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## Evidence-Based Clinical Use of Nanoscale Extracellular Vesicles in Nanomedicine

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- ABSTRACT: Recent research has demonstrated that all 63 body fluids assessed contain substantial amounts of vesicles 64 that range in size from 30 to 1000 nm and that are 65 surrounded by phospholipid membranes containing differ-66 67 ent membrane microdomains such as lipid rafts and caveolae. The most prominent representatives of these 68 so-called extracellular vesicles (EVs) are nanosized exo-69 somes (70–150 nm), which are derivatives of the endosomal 70 system, and microvesicles (100-1000 nm), which are 71 produced by outward budding of the plasma membrane. 72
- 73 Nanosized EVs are released by almost all cell types and



pleural fluid of a lung cancer patient.

mediate targeted intercellular communication under physiological and pathophysiological conditions. Containing cell-type-

specific signatures, EVs have been proposed as biomarkers in a variety of diseases. Furthermore, according to their physical 75 functions, EVs of selected cell types have been used as therapeutic agents in immune therapy, vaccination trials, regenerative 76

medicine, and drug delivery. Undoubtedly, the rapidly emerging field of basic and applied EV research will significantly 77 influence the biomedicinal landscape in the future. In this Perspective, we, a network of European scientists from clinical, 78 academic, and industry settings collaborating through the H2020 European Cooperation in Science and Technology (COST) 79 program European Network on Microvesicles and Exosomes in Health and Disease (ME-HAD), demonstrate the high potential 80

of nanosized EVs for both diagnostic and therapeutic (i.e., theranostic) areas of nanomedicine. 81

T trategic platforms for nanomedicine seek to exploit the 82 improved (and often novel) physical, chemical, and 83 biological properties of nanomaterials. However, these 84 85 documents specify that there is an urgent need for bio-86 mimetism, namely, the process of simulating what occurs in 87 nature.<sup>1</sup>

Extracellular vesicles (EVs), such as exosomes and small 88 89 microvesicles, are nanovesicles, naturally released from cells in 90 both normal or diseased states. Reflecting their cells of origin, 91 these EVs are assembled by specific sets of molecules including 92 proteins, lipids, metabolites, and nucleic acids. According to 93 their molecular signature, they are able to interact specifically 94 with selected target cells at local or distant sites, within or 95 between organs.<sup>4</sup> Considered to be a vectorized signaling 96 system, they seem to bind to specific membrane microdomains 97 on their target cells; among others, these membrane micro-98 domains contain transmembrane receptors, integrins, and celladhesion molecules. To transmit their information, they either 99 fuse with the plasma membrane or get incorporated by 100 endocytotic processes (Figure 1). Thus, in addition to direct 101 cell-cell contact and soluble factors (e.g., cytokines, chemo- 102 kines, and hormones), EV-mediated signaling provides a third 103 complex and targeted mode of intercellular communication.<sup>5</sup> 104 According to their features, EVs are ideal candidates to serve 105 as biomarkers, nanosized drug-delivery vehicles, and mediators 106 for a variety of therapeutics in oncology, immune therapy, and 107 regenerative medicine.<sup>4,6</sup> Thus, EVs have the potential for great 108 clinical impact in nanomedicine. The dual potential of EVs 109 as diagnostic tools and as therapeutic agents supports their 110 use in "theranostics". This area of nanomedicine focuses on 111 multidisciplinary research to set up new systems for various 112 nanobiomedical applications, ranging from the medical use of 113 nanoplatform-based diagnostic agents, to therapeutic agents, 114 to possible future applications of diagnosis and therapy.<sup>7</sup> 115



Figure 1. Exosomes, a natural source of nanoparticles to target cell membranes and deliver bioactive molecules or to be analyzed for biomarkers. (A) Extracellular vesicles are 50-300 nm vesicles surrounded by a lipid bilayer. Such physical characteristics are uniquely observed by cryo-electron microscopy (exemplified by a picture of exosomes derived from a human melanocytic cell line observed by cryo-EM. Credit: G. van Niel and A. Di Cicco. (B) Schematic representation of extracellular vesicles and the potential bioactive molecules and biomarkers that can be associated. Families of molecules of interest are classified by color codes as detailed in the text beneath. Credit: G. van Niel.

116 Theranostics includes the early detection of diseases, the 117 monitoring of therapeutic responses, and the targeted delivery 118 of therapeutic agents. Theranostics at the nanoscale encom-119 passes nanoprobes, nanocarriers, and nanodiagnostics. How-120 ever, the most important task of a theranostic strategy concerns 121 theranostic nanoformulations, which deal with the develop-122 ment of new agents based on a "whole-in-one approach", which 123 should have maximal application in the field of personalized 124 medicine. Extracellular vesicles appear to be ideal nanovectors 125 for theranostics, with maximal potential for targeting the 126 disease site with only minimal side effects. If successful, the 127 proof-of-concept in the use of EVs as autologous or allogeneic 128 nanovectors for both diagnosis and therapy of major diseases 129 will enable widespread preclinical and clinical applications.

### 130 NANOSIZED EXTRACELLULAR VESICLES AS DISEASE 131 BIOMARKERS

132 In this section, we present data supporting the future of 133 nanosized EVs as potentially the most reliable biomarkers in 134 medicine. The majority of the available clinical data have been 135 obtained from studies of cancer patients. However, based on 136 the more limited data emerging from studies of other patho-137 logies, the ensemble of the data supports EVs found in bodily 138 fluids as a source of biomarkers for all human diseases evaluated 139 thus far. The current "equipment" of disease biomarkers 140 represents an unmet clinical need, and so far, many approaches 141 have searched for single molecules as biomarkers. As an example, 142 prostate-specific antigen (PSA) is a prominent molecule that is 143 used as a prostate cancer (PCa) marker. Plasma PSA 144 determination is now used worldwide in PCa screening, and it 145 rapidly replaced digital rectal examination for early detection of 146 cancer.<sup>8,9</sup> Plasma PSA is controversial as a PCa biomarker,  $^{147}$  however,  $^{10-12}$  due to the likelihood of false positives, including 148 benign prostatic hyperplasia (BPH).<sup>11</sup> Since PSA testing fails to 149 discriminate between BPH and tumors, the use of this analysis <sup>150</sup> causes overdiagnosis and overtreatment with consequent patients <sup>151</sup> suffering side effects.<sup>11,13–15</sup> Prostate-specific antigen values above 4.0 ng per milliliter are considered abnormal; however, 152 cutoff levels can change with age, race, and individual 153 physiological condition,<sup>11,13,14</sup> with no significant progress in 154 the last decades.<sup>16</sup> As multimolecular aggregates, EVs offer the 155 unique opportunity to use a combination of different markers 156 specifically expressed on tumor-derived EVs. In fact, serum PSA 157 has been detected on plasma and urine- derived EVs in a large 158 clinical study.<sup>17,18</sup> 159 p

### EVs have the potential for great clinical impact in nanomedicine.

Tumors. Tumor-derived EVs are proposed to contain a 160 tumor-specific molecular signature, qualifying them as potential 161 biomarkers in tumor diagnostics.<sup>19</sup> Such EVs can be harvested 162 from biofluids such as blood and, for some cancer types, urine, 163 In addition to PSA, clinical studies on other EV-associated 164 cancer biomarkers have already been described and are 165 summarized in Table 1. For example, a retrospective study 166 on EV-associated biomarkers in stages III and IV melanoma 167 patients showed increased levels of plasmatic caveolin-1 and 168 CD63-positive EVs.<sup>20</sup> Researchers found that EV-associated 169 caveolin-1 displayed a sensitivity of 69% and specificity of 170 96.3%, whereas a conventional cancer biomarker used in the 171 follow up of melanoma patients, such as lactate dehydrogenase 172 (LDH) serum levels, was altered in only 12.5% of patients.<sup>20</sup> 173 More recently, a study in patients with pancreatic cancer found 174 that glypican-1 (GPC1)-positive EVs were detectable in the 175 serum of patients with pancreatic cancer with high levels of 176 specificity and sensitivity and could distinguish healthy subjects 177 and patients with a benign pancreatic disease from patients with 178 early- and late-stage pancreatic cancer.<sup>21</sup> Moreover, breast cancer 179 patients also presented high levels of GPC1 on EVs, suggesting 180 that an increase of certain EV subtypes might represent a hall- 181 mark of malignant cancers in general. In fact, EV concentration 182 could also be used as an indicator of clinical status. For example, 183 when the effect of treatment with imatinib due to a 184

### Table 1. Clinical Data Showing the Role of Nanosized Extracellular Vesicles as Tumor Biomarkers

cancer biomarker		indication	biofluid	clinical study size	ref
PSA	prostate cancer	screening/early	urine	controls $N = 10$ ; disease $N = 24$	17
PSA	prostate cancer	diagnosis screening/early diagnosis	plasma	control $N = 2$ ; disease $N = 5$	18
EGFRvIII	glioblastoma	early diagnosis	serum	disease $N = 30$	137
(phospho)Met	melanoma	early diagnosis/ prognosis	plasma	Controls $N = 7$ ; stage III $N = 24$ ; stage IV $N = 14$	23
caveolin-1	melanoma	early diagnosis	plasma	controls $N = 58$ ; disease $N = 90$	20
survivin	prostate cancer	early diagnosis	olasma	HD $N = 8$ ; BPH $N = 20$ ; disease $N = 39$	25
CD 24	breast cancer	early diagnosis	serum	HD $N = 14$ , disease $N = 18$	138
EGRF	lung cancer	diagnosis/ personalized medicine	serum	HD $N = 9$ ; disease $N = 9$	139
miR-21, miR-141, miR-200a, miR-200b, miR-200c, miR-203, miR-205, miR-214	ovarian cancer	early diagnosis/ prognosis	serum	HD $N = 10$ ; stage I $N = 10$ ; stage II $N = 10$ ; stage III $N = 20$ ; stage IV $N = 10$	140
RNU6-1, miR-320, and miR-574-3p	glioblastoma	early diagnosis	serum	controls $N = 50$ ; disease $N = 50$	141
TMPRSS2:ERG2 and PCA3 mRNAs	prostate cancer	early diagnosis	urine	blinded prospective study $N = 30$	142
let-7a, miR-1229, miR-1246, miR-150, miR-21, miR-223, and miR-23a	colorectal cancer	early diagnosis	serum	controls $N = 22$ ; disease $N = 88$	142
miR-21, miR1225-5p	gastric cancer	prognosis	peritoneal lavage fluid	disease $N = 24$	28
methylated LINE1 and SOX17 DNA	gastric cancer	diagnosis	gastric juice	HD $N = 10$ ; disease $N = 20$	143
CCR6 and HER-2/neu	gastric cancer	prognosis	plasma	HD $N = 10$ ; disease $N = 37$	144
miR-151a-5p, miR-30a-3p, miR-200b-5p, miR-629, miR-100, and miR-154-3p	lung cancer	early diagnosis	plasma	HD $N = 10$ ; benign disease $N = 10$ ; malignant disease $N = 10$	145
TGFB1 and MAGE3/6	ovarian cancer	prognosis/therapy monitoring	plasma	HD $N = 10$ ; benign disease $N = 10$ ; malignant disease $N = 22$	146
TYRP2, HSP70, HSC70, VLA-4	melanoma	prognosis	plasma	HD $N = 9$ ; stage I $N = 2$ ; stage III $N = 7$ ; stage IV $N = 18$	23
miR-21	human esophageal cell carcinoma	prognosis	serum	HD $N = 41$ ; disease $N = 51$	147
KRAS	pancreatic cancer	personalized medicine	serum	HD $N = 2$ ; disease $N = 2$	148
BRAFV600E, EGFR	lung cancer, melanoma	personalized medicine	plasma	<i>in vivo</i> model <i>N</i> = 8	96
Glypican-1	pancreatic cancer	early diagnosis	serum	HD $N = 100$ ; disease $N = 190$	21
Glypican-1	breast cancer	early diagnosis	serum	HD $N = 100$ ; disease $N = 32$	21
Hsp60	colon cancer	early diagnosis/ follow up	plasma	controls $N = 40$ ; disease $N = 57$	Cappello
MMP-9, DKP4, EMMPRIN, PODXL	renal cell carcinoma	biomarker discovery	urine	controls $N = 23$ ; RCC $N = 29$	149
EDIL-3/Del1	bladder cancer	diagnostic/ prognostic?	urine	controls $N = 12$ ; patients $N = 12$	150
Presence: LASS2, GALNT1	bladder cancer	diagnosis	urine	controls $N = 11$ , patients $N = 8$	151
Absence: ARHGEF39 and FOXO3	bladder cancer	diagnosis	unne	controls IV = 11, patients IV = 0	151
TACSTD2	bladder cancer	diagnosis	urine	controls $N = 29$ ; patients $N = 37$	152
ITGA3 and ITGB1	metastatic prostate cancer	detection	urine	patients with BPH ( $N = 5$ ), PCa ( $N = 5$ ), and metastatic PCa ( $N = 3$ )	153
miR-34a	prostate cancer	response to treatment	urine	controls $N = 36$ ; patients $N > 100$ (different disease stage)	154
TM256, ADIRF, LAMTOR1 and others.	prostate cancer	diagnosis/follow up	urine	controls $N = 15$ ; prostate cancer $N = 16$	155
AGR2 splice variants	prostate cancer	screening/early diagnosis	urine	BPH $N = 15$ ; prostate cancer $N = 24$	156

185 gastrointestinal stromal tumor was monitored, researchers found 186 that the concentration of EVs before the treatment was increased 187 with respect to the control.<sup>22</sup> Elevated levels of EV-expressing 188 TYRP-2, VLA- 4, HSP70, and HSP90 have been detected in the 189 plasma of melanoma patients.<sup>23</sup> Both HSP70 and HSP90 belong 190 to the family of heat shock proteins (HSPs), which may emerge 191 as a novel class of EV-associated cancer biomarkers.<sup>19</sup> 192 Remarkably, EV-associated levels of HSP60 were dramatically 193 decreased in colon cancer patients after surgical removal of 194 the tumor.<sup>24</sup> As previously mentioned, EVs may also shuttle 195 well-known tumor markers such as PSA. The EV-associated biomarker survivin has also been identified as a promising 196 surrogate biomarker for early diagnosis of PCa.<sup>25</sup> Furthermore, 197 in PCa patients, the EV concentration, as measured by nano-198 particle tracking analysis (NTA), is higher than that in the 199 plasma of healthy controls.<sup>26</sup> Interesting results were obtained by 200 comparing *N*-glycan profiles of EVs from indolent and aggressive 201 prostate cancer to those from noncancerous profiles.<sup>27</sup> Other 202 series of clinical data of paramount importance are summarized 203 in Table 1.

Interestingly, in addition to plasma and serum biofluids, other 205 biofluids may represent valuable sources of EV biomarkers. 206

<sup>207</sup> Peritoneal lavage and gastric juice, for example, may represent <sup>208</sup> promising, noninvasive, and informative sources for gastric <sup>209</sup> cancer diagnosis and/or follow up.<sup>28</sup>

Bronchoalveolar lavage (BALF) is an excellent bioresource 210 211 for studying lung disorders, including cancer. Bronchoalveolar 212 lavage contains EVs with the morphology, density range, and 213 cargo with different size and vesicular forms compared to that 214 of lung surfactant aggregates. In humans, EVs recovered from 215 BALF of healthy individuals were shown to contain major 216 histocompatibility complex (MHC) molecules that may 217 regulate the local immune defense.<sup>29</sup> In sarcoidosis, however, 218 the quantity of EVs is increased and they present a relatively 219 greater quantity of MHC class I and class II molecules, as well as 220 other bioactive molecules, such as neuregulin-1. Furthermore, 221 they can activate autologous cells to produce inflammatory 222 cytokines.<sup>30</sup> In asthma, BALF EVs exhibit particular microRNA 223 (miRNA) profiles<sup>31</sup> and carry the biosynthetic machinery for 224 leukotriene biosynthesis. Different miRNA contents were found 225 in BALF from non-small-cell lung cancer compared to that from 226 plasma.<sup>32</sup>

Extracellular vesicles have also been isolated from nasal lavage 2.2.7 228 fluid and can be used for studying upper airway diseases. 229 Urinary EVs have also gained much attention as a source of 230 biomarkers, as urine can be collected noninvasively in large amounts, and the isolated EVs are as stable as those from other 232 biofluids. Urine contains highly heterogeneous populations of EVs that are released by the epithelial cells of the genitourinary 234 system,<sup>34,35</sup> and the molecular profiles of urinary EVs seem to 235 directly reflect the pathophysiological state of this system. 236 Therefore, EV-based diagnosis could represent an alternative to 237 current diagnostics, which, for many diseases of the genito-238 urinary system (kidney, bladder, prostate), rely on poorly 239 predictive, relatively inaccurate biomarkers and/or on biopsy, 240 which is associated with patient morbidity. Recently described 241 isolation, purification,<sup>36</sup> and analytical strategies for urinary EVs 242 facilitate their in-depth molecular characterization in research 243 settings<sup>37,38</sup> and also in hospital settings.<sup>39</sup> During pathogenesis, 244 the released EVs are subjected to disease-specific alterations 245 that can be detected by in-depth proteomic, transcriptomic 246 miRNA analyses or by metabolomics studies<sup>35</sup> to reveal the 247 disease-specific markers that may be validated in preclinical and 248 clinical diagnostic platforms. Notably, studies of the molecular 249 composition of urinary EVs have not been restricted to cancer. 250 Extracellular vesicles may also provide a reliable source of 251 molecules to help understand the metabolic and physiologic 252 state of the urinary tract, providing suitable biomarkers for 253 diseases such as kidney injury, gromerulonephritis, lupus 254 nephritis, diabetic nephropathy, thin basement membrane 255 nephropathy, polycystic kidney disease, and/or fibrosis.<sup>35</sup>

**Neurodegenerative Diseases.** Extracellular vesicles have been implicated in various neurodegenerative diseases including Alzheimer's disease (AD), Parkinson's, and amyotrophic lateral selerosis. Central nervous system resident neural and non-neural co cells all release EVs that can be detected in biological fluids, thus constituting a potentially beneficial source of information. In recent years, several groups have investigated EVs in blood and cerebrospinal fluid (CSF) during neurological diseases.<sup>40</sup> In several cases, EV analysis is progressing to the clinic despite numerous technological limitations. Among stroke victims, several studies have reported that endothelium and platelets runder stress conditions release EVs, whose increase in plasma is proportional to ischemic brain volume.<sup>41</sup> In neurodegenerative disorders, the release of neurotoxic protein aggregates in association with EVs has been reported,<sup>42</sup> and further 270 investigations have explored the roles of EVs in the pathogenesis 271 of these diseases.<sup>43</sup> In fact, an interesting feature of neuro- 272 degenerative diseases is that they are characterized by the 273 deposition of certain misfolded proteins into amyloid/amyloid- 274 like aggregates in distinct regions of the brains. The misfolded 275 versions of the proteins are suggested to be the primary culprits 276 in the pathogenesis of AD, for instance. Amyloid proteins are, 277 in fact, released in association with EVs, fully in agreement with 278 the intracellular pathways of amyloid-associated proteins. Both 279 immunoelectron microscopy and density gradient separation of 280 EVs demonstrate that they contain A $\beta$  peptides, suggesting that 281 cells released some of the A $\beta$  peptides in association with EVs, 282 which can enable further deposition of peptides into amyloid 283 plaques or even facilitate long-range transport. Evidence that 284 EVs can participate in the formation of amyloid plaques came 285 from the observation that EVs contain many pro-amyloidogenic 286 lipids such as cholesterol, gangliosides, and sphingolipids, 287 further supporting the hypothesis that they may participate in 288 amyloid formation. While many of the underlying studies 289 indicate detrimental roles of EVs in promoting amyloids, there is 290 some controversy in this regard, as EVs have also been pro- 291 posed to have a protective role by aiding in the clearance of 292 amyloids.<sup>44,45</sup> Extracellular vesicles detected in the CSF are also 293 suggested to be a potential source of biomarkers for patients 294 with dementia.<sup>46</sup> Similarly, in patients affected by neuro- 295 inflammatory diseases such as multiple sclerosis, CSF EVs 296 have been proposed as biomarkers for microglia activation, with 297 the possibility of revealing the activation type (*i.e.*, protective or  $^{298}$  detrimental), along with disease progression.<sup>47</sup> Finally, seminal  $^{299}$ work has shown that glioblastoma EVs can be detected in 300 plasma and reflect the corresponding brain tumor volume and 301 its response to treatment, which is an extraordinary potential 302 advancement over invasive brain biopsies or repeated imaging of 303 the brain.<sup>48</sup> These studies suggest that further investigations into 304 the use of EVs as biomarkers are highly warranted for a series of 305 neurological diseases. 306

Infectious Diseases. The definition of the role of EVs in 307 the context of infection is still developing, as viruses, bacteria, 308 fungi, protozoa, and helminths all secrete forms of EVs, 309 and even prions have been detected in EVs.<sup>49,50</sup> Clinically 310 important pathogens like HIV-1 and hepatitis C and A viruses 311 use EVs either to alter the host cell or to transport themselves 312 to host cells. Infected cells can, in turn, release EVs that contain 313 pathogen-associated molecular patterns (PAMPs) to stimulate 314 the immune response.<sup>51</sup> On the contrary, infectious agents can 315 use EVs to spread infection, facilitating movement of infectious 316 materials, and to evade the host immune system response.<sup>52</sup> 317 The Leishmania infantum parasite cultivation strategy used 318 to accumulate exogenous antigens dramatically influences the 319 composition of the recovered exoproteome, where an enrich- 320 ment of proteins that are known to be essential for infection, 321 such as GP63 or EF1, was observed.<sup>53</sup> The first in vivo demon- 322 stration of EV secretion by a pathogen was reported in sand 323 flies infected with Leishmania major.<sup>54</sup> In this study, parasite 324 EVs were coegested with the parasite during the insect's bite, 325 influencing the host's infectious process and exacerbating 326 the disease symptoms. Thus, EVs have been proposed as 327 relevant candidates to add to the repertoire of virulence factors 328 associated with vector-transmitted infections.<sup>54</sup> Thus, there is 329 great potential for EVs as future biomarkers for infectious 330 diseases of different etiologies, including viral, bacterial, and 331 parasitic diseases.<sup>4</sup> 332 p Extracellular vesicles have been implicated in various neurodegenerative diseases including Alzheimer's disease, Parkinson's, and amyotrophic lateral sclerosis.

Autoimmune and Other Diseases. Extracellular vesicles 333 334 seem to play key roles in autoimmune diseases. Behcet's disease (BD) is a complex multiorgan chronic inflammatory condition 335 336 of unknown etiology wherein the genetic background and 337 environmental factors are thought to be important contributors 338 to disease pathogenesis.<sup>55</sup> In BD patients, plasmapheresis has 339 been shown to induce rapid short-term remission, suggesting 340 that an unidentified plasma-associated factor could be a trigger 341 of flare-ups.<sup>56</sup> These patients were found to have elevated EV 342 numbers in their plasma, and the majority of those EVs were 343 derived from platelets. It has been proposed that a plasma EV 344 number-based stratification of BD could more precisely identify 345 inactive and active disease states and so could aid in its 346 pharmacological management.

Glycosylation changes of EVs are being considered as disease 348 biomarkers. In addition, other types of molecules, such as 349 glycans, have been shown to be EV-linked biomarkers of 350 different diseases, including some inflammatory and auto-351 immune diseases. For example, urinary EVs from patients with 352 classical galactosemia are characterized by complex-type 353 N-linked glycosylation in contrast to healthy subjects whose 354 EV glycosylation was mainly of high-mannose-type.<sup>57</sup> Surface 355 glycosylation of urinary EVs was also analyzed in autosomal 356 dominant polycystic kidney disease (ADPKD). Here, lectin 357 microarray analysis revealed that 6 out of 43 different lectins 358 have different binding intensity to EVs from individuals with 359 ADPKD compared to EVs from healthy subjects.<sup>58</sup> All of these 360 findings demonstrate the biomarker potential of EV glycans and 361 the applicability of high-throughput techniques (such as lectin <sup>362</sup> microarrays) in selecting lectins that can be used as the basis for 363 establishing new diagnostic assays.

### 364 NANOSIZED EXTRACELLULAR VESICLES AS 365 THERAPEUTIC AGENTS

Tumor and Infectious Disease Vaccination. As 366 367 described above and previously reviewed,<sup>4</sup> EVs from different 368 cell types exert a variety of different physiological functions. 369 Initiated with the observation that B-cell-derived EVs carry 370 functional MHC-peptide complexes on their surface and 371 contain the potential to exert T cell stimulatory functions,<sup>5</sup> 372 interest was raised in using EVs as immune modulatory agents. 373 After it was shown that EVs derived from dendritic cells (DCs) 374 pulsed with tumor antigens mediated antitumor responses, 375 limited numbers of preclinical and clinical trials investigated 376 the role of DC-derived EVs as antitumor therapies. So far, 377 two phase I clinical trials have been performed, one in France 378 and one in the United States, to treat melanoma or small-cell 379 lung carcinoma patients, respectively (Table 2).<sup>61,62</sup> The trials 380 mainly demonstrated feasibility and safety; a small number of 381 patients benefited from the treatment, resulting in the initiation 382 of a clinical phase II trial in France to treat non-small-cell lung 383 cancer patients.<sup>63</sup> Although the later therapy did not induce 384 detectable effector T cell responses, a positive effect on natural 385 killer (NK) cells was observed in some patients.<sup>64</sup> Following 386 the same strategy, EVs from DCs pulsed with pathogens of Table 2. Therapeutic Application of EVs in Human Clinical Trials and a Treatment Attempt

	ref	61	62	NCT01159288 <sup>64</sup>	74	NCT02138331	76	NCT01294072	NCT01854866
	study size	<i>n</i> = 15	n = 13	n = 22	<i>n</i> = 40	n = 20	n = 1	<i>n</i> = 35	<i>n</i> = 22
	official clinical study title			phase II trial of a vaccination with tumor antigen-loaded dendritic cell-derived exosomes on patients with unresectable non-small-cell lung cancer responding to induction chemotherapy		phase I study of the effect of cell-free cord blood derived microvesicles on $\beta$ -cell mass in type 1 diabetes mellitus (T1DM) patients		phase I clinical trial investigating the ability of plant exosomes to deliver curcumin to normal and malignant colon tissue	phase II study of tumor cell-derived microparticles used as vectors of chemotherapeutic drugs to treat malignant ascites and pleural effusion
	phase	phase I	phase I	phase II	phase I	phase I	treatment attempt	phase I	phase II
	EV modification							curcumin loaded	chemotherapeutic drug loaded
1	disease	melanoma	non-small lung cancer	non-small-cell lung cancer	colorectal cancer	type I diabetes	GvHD	colon cancer	malignant pleural effusion
I	EV source	lendritic cells pulsed with antigenic peptides	lendritic cells pulsed with antigenic peptides	lendritic cells pulsed with antigenic peptides	scites	ASCs	ASCs	lant nanovesicles	umor cells

<sup>387</sup> infectious disease, such as fungi, bacteria, parasitic protozoa, and <sup>388</sup> helminths, might be useful as agents in anti-infectious disease <sup>389</sup> treatment. In fact, proof-of-principle trials have been performed <sup>390</sup> with DC-EVs obtained from *Toxoplasma gondii*-pulsed DCs. <sup>391</sup> Indeed, such EVs conferred protection against subsequent <sup>392</sup> *Toxoplasma* infections in preclinical models.<sup>65–67</sup> Proof-of-<sup>393</sup> principle vaccination trials have been also performed in <sup>394</sup> preclinical animal models for malaria infection. Here, applica-<sup>395</sup> tion of EVs from infected reticulocytes were found to protect <sup>396</sup> mice from lethal *Plasmodium yoelii* infections,<sup>68</sup> thus reinforcing <sup>397</sup> the use of EVs as a new therapeutic approach against parasitic <sup>398</sup> diseases.

In other settings, EVs directly released from pathogens or 300 400 from pathogen-infected cells have been used to pulse DCs 401 in vitro or for subsequent in vivo vaccination in a number of 402 preclinical models.<sup>6,69</sup> In a similar context, outer membrane 403 vesicles (OMVs), which are continuously produced by Gram-404 negative bacteria by vesiculation of the outer membrane,<sup>70</sup> have 405 successfully been used as vaccines.<sup>71</sup> For example, an OMV-406 based vaccine named Bexsero has been generated by Novartis. 407 It efficiently protects against Neisseria meningitides infections 408 and is used as a vaccine against serogroup B meningococcal 409 diseases in children.<sup>72,73</sup> Extracellular vesicles as vaccines have 410 also been used in antitumor therapy. Specifically, in a phase I 411 clinical trial performed in China, EVs from ascites fluid from 412 colorectal cancer patients were used as a vaccine to trigger 413 antitumor activities of DCs (Table 2). Feasibility and safety 414 were demonstrated.<sup>74</sup> Preclinical and clinical EV-based vaccina-415 tion trials for antitumor treatment or to fight infectious diseases 416 indicate that this therapeutic concept is safe and feasible. The 417 future will show how this can be translated as nanomedicinal 418 approaches in clinics.

Immune Suppressive and Regenerative Therapies. 419 420 Patient cohorts with a variety of different degenerative and 421 inflammatory diseases have been treated with somatic stem 422 cells, especially with mesenchymal stem cells (MSC), either to <sup>423</sup> promote regeneration or to suppress inflammation.<sup>75</sup> Contrary 424 to the original assumption that stem cells integrate into affected 425 tissue to exert their therapeutic function, they instead seem to 426 act in a paracrine rather than in a cellular manner. The results 427 of increasing numbers of studies in preclinical models and a 428 single treatment attempt of a graft versus host disease patient 429 suggest that EVs exert the stem cells' therapeutic effects.<sup>6,76–</sup> 430 Head-to-head comparisons of MSC and MSC-EV applica-431 tions have been performed in animal models for acute kidney 432 failure<sup>79</sup> and ischemic stroke.<sup>80</sup> Significant differences were 433 undetected

Thus, it is feasible that, in the future, stem-cell-derived EVs 434 435 could be used instead of stem cells to treat various diseases. 436 There are several challenges to be addressed before stem-cell-437 derived EVs can be approved for the treatment of certain 438 diseases, but compared to therapies with stem cells, they provide 439 a variety of advantages. In contrast to cells as non-self-renewing 440 units, EVs lack any endogenous tumor-formation potential. 441 Furthermore, they can be sterilized by filtration through 442 0.22  $\mu$ m filters and can be handled, stored, and characterized 443 more easily than cells. However, it has to be considered that 444 any given EV samples may provide heterogeneous mixtures of 445 different EV subentities, all containing different compositions. 446 For biological activity, heterogeneity may be an important 447 parameter, as EVs may concomitantly convey multiple signals 448 that act synergistically for a defined activity. However, this 449 heterogeneity provides a challenge to the standardization of EV

preparations. Recent findings indicate that EVs released from 450 stem/progenitor cells promote tissue regeneration by modu- 451 lation of gene transcription and induction of epigenetic changes 452 in recipient cells and by delivering growth factors,<sup>81</sup> but studies 453 on the mode of action and identification of potentially healing 454 molecules carried by EVs are a challenge for the field. Rapid 455 translation of EV products for therapeutic use is also challenged 456 by the lack of standard purification and characterization 457 methods that can be used in clinical settings.<sup>6</sup> However, a 458 number of research groups and companies are working on these 459 challenges. It is highly likely that stem-cell-derived EVs as well as 460 EVs from other cell types (e.g., endothelial cell or regulatory 461 T cells<sup>82-87</sup>) will advance to clinical applications within the next 462 few years. Treatments of a range of diseases have been 463 considered as potentially profiting from EV therapies, including 464 autoimmune, chronic, and acute inflammatory diseases such as 465 rheumatoid arthritis, inflammation of connective and vascular 466 tissues, autoimmune inflammatory disease, intestinal chronic 467 inflammatory diseases, Crohn's diseases and ulcerative colitis, 468 type 1 diabetes, multiple sclerosis, cystic fibrosis, graft versus host 469 disease, as well as diseases associated with acute tissue damage 470 such as myocardial infarction, ischemic stroke, acute and chronic 471 kidney failure, drug-induced liver injury, hypoxia-induced 472 pulmonary hypertension, hind limb ischemia, and perinatal 473 asphyxia.<sup>6</sup>

Further, within the context of EV research, parasites 475 (including helminths) have been shown to produce EVs 476 expressing immunomodulatory molecules.<sup>50</sup> Such EVs have 477 been considered for the treatment of autoimmune disorders.<sup>88</sup> 478 Indeed, recent studies have shown the usefulness of EVs from 479 *Heligmosomoides polygyrus*, a parasitic roundworm, in a rodent 480 model of allergy.<sup>89</sup> 481

Drug Delivery. From an applied perspective, synthetic 482 lipoproteins have long been considered to be viable nano- 483 carriers for targeted delivery of drugs<sup>90-93</sup> because numerous 484 cancers overexpress light density lipoprotein receptor. The 485 most widely exploited drug-delivery platform is based on 486 liposomes or lipid-based nanoparticles (LNPs). These nano- 487 formulations have been used effectively to encapsulate various 488 macromolecular drugs including proteins, chemotherapeutics, 489 imaging agents, and different species of therapeutic RNAs 490 (e.g., small interfering RNA, siRNA). Many of these bind to 491 apolipoprotein E (ApoE) in blood and facilitate efficient 492 delivery to the liver.<sup>92</sup> Despite being effective, the main limita- 493 tions with current nanocarriers based on LNPs are potential 494 toxicity/immunogenecity and limited ability to penetrate organs 495 and tissues outside the reticuloendothelial system (RES). Hence, 496 EVs have emerged as candidates for drug delivery. Several 497 reports have indicated the high delivery potential of EVs, such as 498 paclitaxel in autologous prostate cancer EVs,<sup>93</sup> in particular, in 499 relation to endogenous protein and miRNA transfer.<sup>94</sup> 500 Furthermore, they can contain gDNA.<sup>95,96</sup> Extracellular vesicles 501 have also successfully been used to deliver exogenous drugs such 502 as small molecules, miRNAs, and siRNAs.<sup>97</sup> Recently, it was 503 demonstrated that even an exogenous protein (catalase) can be 504 loaded into EVs and subsequently confer neuroprotection in 505 models of Parkinson's disease.98

By engineering EVs to display targeting moieties, tissues 507 beyond the RES are amenable to targeting even after systemic 508 delivery.<sup>99,100</sup> Although EVs hold true potential as drug-delivery 509 platforms, we note that the efficacy of loading of the lipophilic 510 small drugs is good,<sup>94</sup> but in the case of siRNA, it is very low.<sup>101</sup> 511 Similarly, in the case of endogenous miRNA transfer with EVs, 512 s13 caution has to be taken, as the majority of extracellular RNA is s14 not associated with EVs.<sup>102</sup> Thus, strategies are needed that can s15 increase exogenous drug loading or methods of manipulating s16 producer cells that permit selective loading of proteins or RNA s17 into EVs. Examples where loading of drugs (in addition to the s18 self-assembly of lipophilic drugs) could be achieved include s19 the use of extruded vesicles from cells as well as synthetic s20 EVs.<sup>103–105</sup> However, it remains to be shown whether such s21 systems are equally effective and safe as naturally secreted and s22 purified EVs. In this context, it is interesting to note that s23 exosomes released from melanocytes and melanoma cells s24 were recently found to interact physically with ApoE-associated s25 lipoparticles, maybe indicating that each of the different s26 nanomessengers can be combined to make use of each of s27 their advantages as a drug-delivery tool.<sup>106</sup>

Nanoparticle PEGylation (PEG is a coiled polymer of 528 529 repeating ethylene ether units with dynamic conformations) is 530 the current standard for stealth in nanoparticle drug delivery. 531 However, potential immunological response and absence of 532 active targeting prevent its widespread use.<sup>107</sup> PEGylated nano-533 particles rely on the enhanced permeability and retention (EPR) 534 effect for tumor targeting, which is absent if primary tumors 535 or metastases are smaller than 100 mm<sup>3</sup>.<sup>108</sup> Bioconjugation 536 approaches of PEGylated nanoparticles with targeting ligands 537 to self-organize into some useful conformation are ambiguous 538 because of denaturation of proteins during the conjugation 539 process and the overall difficulty of duplicating biological 540 complexity on the nanoscale.<sup>109</sup> These disadvantages are largely 541 absent when functionalizing PLGA (poly(lactic-co-glycolic 542 acid)), gold, or silicon nanoparticles with cellular plasma 543 membranes. This has already been successfully demonstrated 544 with cancer cell membranes to induce an immune response  $^{545}$  (*i.e.*, as a vaccination)<sup>110</sup> and by leukocyte and erythrocyte  $^{546}$  membranes to enhance circulation times (*i.e.*, by avoiding 547 immune uptake)<sup>109,111</sup> and increasing cancer cell specificity.<sup>1</sup> These hybrids possess the ease-of-use and flexibility of synthetic 549 materials, as well as the functionality and complexity of natural 550 materials. Thus, EV-sized, cell-membrane-camouflaged nanoparticles are a delivery strategy with the potential to improve the 552 therapeutic efficacy of the treatment of a variety of diseases.

**Extracellular Vesicles in Milk.** According to epidemio-554 logical analysis, human milk is better than artificial infant 555 formula in allowing appropriate metabolic programming and 556 protecting the baby against conditions such as type 2 diabetes, 557 obesity, and hypertension in later life. Purification of EVs from 558 breast milk has been described.<sup>112,113</sup>

### EV-sized, cell-membrane-camouflaged nanoparticles are a delivery strategy with the potential to improve the therapeutic efficacy of the treatment of a variety of diseases.

Breast milk is rich in many bioactive molecules all sent to the baby in different packaging (*e.g.*, exfoliated cells, microvesicles, fat globules). Finding and using natural sources of EVs loaded with bioactive miRNA from mammals will require extensive effort in purifying and characterizing EVs both from milk and from digestive fluids of the baby. The design of artificial nanoparticles for breast milk supplementation remains unresolved. **Other Therapeutic Implications.** In discussing EVs' potential for therapy, a number of glycobiological aspects of EVs are worth mentioning. First, from a fundamental point of 568 view, glycans (as other molecules) are specifically enriched or 569 excluded from EVs. The fact that A/B blood group antigens 570 are excluded from EVs compared to the plasma membrane is 571 what enables EVs to be used therapeutically.<sup>114</sup> Second, from a 572 technological point of view, specific targeting of EVs loaded 573 with therapeutics may be accomplished by displaying peptides 574 on their surfaces. An associated issue is proteolytical degradation 575 of such peptides in circulation, but this can be prevented by 576 introducing a glycosylation motif at specific positions, without 577 influencing protein–target interactions.<sup>115</sup> Third, for applica- 578 tions, specific glyco-profiles of EVs related to several diseases 579 were detected by lectins, and new adjuvant cancer therapy 580 strategies employing lectins to remove circulating cancer-derived 581 EVs selectively have been proposed.<sup>116</sup>

Extracellular Vesicles in Cosmetics. Recent studies have 583 highlighted roles for EVs in the skin. Maintenance of skin 584 pigmentation, which is required for skin color and for photo- 585 protection against harmful UV radiation, is the consequence of 586 tight intercellular communication between keratinocytes and 587 melanocytes. In an academic-industrial collaboration between 588 the Raposo group and Clarins Laboratories, it was shown that 589 human primary keratinocytes secrete EVs that are targeted to 590 melanocytes to modulate pigmentation. Extracellular vesicles 591 are key actors in skin pigmentation, enhancing melanin synthesis 592 by increasing the expression and activity of melanosomal 593 proteins.<sup>117</sup> These effects are connected to particular miRNA 594 compositions. Furthermore, the function of keratinocyte-derived 595 EVs has been demonstrated to be photo-type-dependent and is 596 modulated by UVB. This study not only uncovers an important 597 physiological function for EVs in our understanding of how 598 pigmentation is regulated by intercellular communication but 599 also opens new avenues for technological development. For 600 example, based on these findings, Clarins recently launched a 601 new product that, likely by acting on the composition of EVs, 602 inhibits overproduction of melanin ("Sérum Mission Perfection 603 de Clarins"). 604

# PRECLINICAL DATA SUPPORT A GREAT FUTURE605FOR NANOSIZED EXTRACELLULAR VESICLES606IN NANOMEDICINE607

Based on the clinical evidence (outlined above) showing that 608 EVs may be exploited as either disease biomarkers or therapeutic 609 tools, it is conceivable that EVs may represent key players in the 610 future of nanomedicine and, in particular, in the field aimed at 611 defining the most biomimetic approach in nanomedicine. The 612 presence of EVs in the plasma of both healthy individuals and 613 those with various diseases suggests that EVs may serve as 614 vectors for transferring information to tissues and organs far from 615 their places of production, that is, acting in a paracrine manner. 616

These actions indicate that EVs may well diffuse normal, 617 abnormal, or aberrant messages to cells both close to their 618 origins and at distances. This, in turn, suggests that EVs may 619 play key roles as nanodevices belonging to integrated networks 620 involved in multiple pathophysiologies. Our current under- 621 standing is that EVs are key regulators of normal functions of 622 the body.<sup>4</sup> 623

It is conceivable that in the near future nanosized EVs may 624 be helpful in the screening and diagnosis of viral diseases. 625 In fact, we have evidence that EVs are natural delivery systems 626 for a variety of viruses including EBV, HCV, HIV, coxsackie 627 virus B1, and hepatitis A.<sup>118–124</sup> Moreover, prion proteins 628 are shuttled by nanovesicles, although only preclinical data are 629 630 available to date.<sup>125–129</sup> The data strongly suggest that 631 EV-based tests will be included in new screening approaches 632 for transmissible diseases, for example, in blood donors.

Preclinical data also support the use of EVs as the most biomimetic nanovectors for a variety of molecules, including proteins, nucleic acids, and chemicals. Nanosized EV-encapsulated curcumin, delivered by the intranasal route, is efficient in preventing brain inflammation and is more effective than curcumin alone.<sup>130</sup> Moreover, EVs released by human tumor eells or human tumors treated with cisplatin contain cisplatin in the its active/native form.<sup>131</sup> The future of the clinical use of EVs et depends on a high level of networking between researchers ture research. A level of consensus was recently achieved by the ture research. A level of consensus was recently achieved by the ture rational Society for Extracellular Vesicles (ISEV), although it has not yet been fully implemented in clinical studies.<sup>6,132,133</sup>

Funded by Europe's Horizon 2020 program, a consortium of 646 647 academic, clinical, and industry partners with a common interest 648 in EVs has been established. This cooperation in science and 649 technology, entitled the European Network on Microvesicles 650 and Exosomes in Health and Disease (ME-HaD), includes EV 651 researchers from 27 European countries and allied groups from 652 the United States and Australia. The aim of ME-HaD is to foster 653 multidisciplinary approaches to research in this field, including 654 the theranostic relevance of EVs, with the ultimate goal of 655 exploiting EVs for clinical applications, which is achievable only 656 through coordinated efforts and valorization. Guided, mentored, 657 and trained by more experienced EV researchers within 658 ME-HaD, this consortium currently includes membership of 659 more than 250 early stage researchers, who will hopefully be the 660 future leaders in the field of EV research and application.

### 661 THE FUTURE OF EXTRACELLULAR VESICLES IN 662 NANOMEDICINE AND INDUSTRY INVESTMENT

663 The life science market is remarkably conservative, relative 664 to the extremely dynamic EV market. For instance, ultra-665 centrifugation is still the gold standard for EV isolation, used 666 by  $\sim$ 60% of researchers in the field. The acceptance of novel 667 commercial tools is slow. The pharma industry, however, is 668 open to EV-based solutions in companion diagnostics and 669 personalized medicine if they are reliable and specific for EVs. 670 Thus, EV analysis will likely enable rapid *in vitro* diagnostic or 671 laboratory-developed/exoteric tests for hospitals or centralized 672 comprocesses and surrogate markers for the development of 674 novel therapies.

1. In order to surmount regulatory hurdles (which are diverse and rapidly evolving in the biggest markets, such as the Tunited States, the European Union, and Asia) and both market and cultural insertion, extensive clinical validation and technobeso collaborative research efforts including multiple stakeholders so as to produce definitive evidence that EV marker assays outperform and/or complement conventional diagnostics, thus leading to a broad acceptance from clinicians and patients.

2. The technological readiness level of EV analysis might for not be sufficiently robust. Fabrication of novel materials and sophisticated devices (microfluidic chips or specific sensors) for has produced some exciting proof-of-concept applications of advanced technologies. These have limited application in so of advanced technologies. These have limited application in the source of the still are not guaranteed to work in "all hands", according to their inventors. On the other hand, we have convincing 716

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evidence of EV detection and analysis using cost-effective and 692 familiar formats of assays that are compatible with off-the-shelf 693 laboratory equipment such as plate readers or polymerase chain 694 reaction (PCR) cyclers.<sup>20,134</sup> 695

Extensive developments in the field of EVs, in particular, the 696 promising preliminary results from using EVs, therapeutically 697 and as diagnostics markers, has resulted in a number of start-ups 698 that have initiated commercialization of these achievements. 699 Big and small pharmaceutical companies have already taken 700 first steps in evaluating development, costs of the investments, 701 and registration and commercialization strategies. Promising 702 results and demands for new therapeutic EV development will, 703 undoubtedly, stimulate pharmaceutical industry interest in the 704 production of therapeutic EVs at larger scales. 705

The active participation of the pharmaceutical industry 706 should support the development of the field of EVs. Large 707 companies, with a high volume of starting material and the 708 availability of analytical tools, will accelerate development of the 709 detection and characterization of EVs by both the evaluation 710 of commonly used techniques and the development of new 711 techniques. In addition, the pharmaceutical industry's high 712 demands for quality regulation will accelerate standardization 713 of EV sample collection, isolation, and analysis methods, which 714 are highly desirable outcomes.

### CONCLUSIONS AND PROSPECTS

Nanosized EVs, which may both contain disease biomarkers 717 and/or be the vectors of potential therapeutic molecules, thus 718 represent the ideal theranostic approach. This new multi- 719 disciplinary field focuses on building nanosystems for future 720 joint applications of diagnosis and therapy. The theranostic 721 "all-in-one approach" has great potential in the field of 722 personalized medicine, as it enables the detection and 723 monitoring of a disease in individual patients, possibly in early 724 clinical stages, as well as targeted drug delivery at the site of the 725 disease. Here, we have included data dealing with clinical studies 726 and provided evidence that EVs are currently used in clinical 727 research as biomarkers of disease and as therapeutic tools. Thus, 728 this Perspective emphasizes the evidence that natural nanosized 729 EVs are critical to the future of nanomedicine. 730

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