

Immunohistochemical/histochemical double staining method in the study of the columnar metaplasia of the oesophagus

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Abstract

Intestinal metaplasia in Barrett's oesophagus (BO) represents an important risk factor for oesophageal adenocarcinoma. Instead, few and controversial data are reported about the progression risk of columnar-lined oesophagus without intestinal metaplasia (CLO), posing an issue about its clinical management. The aim was to evaluate if some immunophenotypic changes were present in CLO independently of the presence of the goblet cells. We studied a series of oesophageal biopsies from patients with endoscopic finding of columnar metaplasia, by performing some immunohistochemical stainings (CK7, p53, AuroraA) combined with histochemistry (Alcian-blue and Alcian/PAS), with the aim of simultaneously assess the histochemical features in cells that shows an aberrant expression of such antigens. We evidenced a cytoplasmic expression of CK7 and a nuclear expression of Aurora A and p53, both in *goblet cells* of BO and in *non-goblet cells* of CLO, some of which showing mild dysplasia. These findings suggest that some immunophenotypic changes are present in CLO and they can precede the appearance of the goblet cells or can be present independently of them, confirming the conception of BO as the condition characterized by any extension of columnar epithelium. This is the first study in which a combined immunohistochemical/histochemical method has been applied to Barrett pathology.

Introduction

Barrett's oesophagus (BO) was endoscopically defined by the presence of at least 2 to 3 cm of columnar epithelium in the lower oesophagus. Recently, the Global Evidence-Based Consensus of Montreal defined Barrett

oesophagus as columnar metaplasia lining the distal oesophagus, with specialized intestinal metaplasia (IM) with goblet cells, or gastric metaplasia with cardiac type or fundic-oxynitic type mucosa.¹ The main feature of intestinal metaplasia is the presence of Alcian blue-positive mucin-producing goblet cells. Moreover, in clinical practice, the finding of cardiac and/or fundic mucosa without intestinal metaplasia²⁻⁴ in the distal oesophagus is frequent and it has been reported as columnar-lined oesophagus (CLO). However, it probably represents an early reflux-lesion preceding an evident Barrett phenotype with goblet cells, in keeping with previous studies showing that CK7 is a marker related to early phase of gastroesophageal reflux, and it is present also in CLO biopsies lacking of IM.⁵ BO with IM represents an important risk factor for oesophageal adenocarcinoma, in keeping with the progression sequence *metaplasia-dysplasia-adenocarcinoma*. Chandrasoma *et al.* hypothesized that patients with cardiac metaplasia could potentially progress towards dysplasia and adenocarcinoma through IM.⁶⁻⁷ Instead, few and controversial data are reported in the literature about the progression risk of CLO lacking of IM, giving rise to a question about the clinical management of this lesion. On this basis, we studied a series of oesophageal biopsies from patients with endoscopic abnormalities related to columnar metaplasia, by performing some double and triple immunohistochemical/histochemical stains (CK7/Alcian/PAS, p53/Alcian/PAS, Aurora A/Alcian/PAS) in order to simultaneously assess the mucinous histochemical features of the cells with aberrant expression of such antigens. The aim of the study was to evaluate if some immunophenotypic changes were present in CLO cases without IM and if these changes could precede the appearance of the goblet cells or may be present independently of them. Aurora A maps in 20q13.2, a chromosomal region frequently amplified and over-expressed in several human malignancies (breast, bladder, prostate, ovary, colon and pancreas).⁸ Recently its expression was evidenced in Barrett adenocarcinoma and in CLO.^{9,10} In experimental studies, the over-expression of Aurora A was oncogenic and constantly associated with mutation of the gene *Tp53*^{11,12} that, becoming unable to block the kinase activity of the Aurora A, leads to a strong accumulation of extra-copies of centrosomes.¹³ Cells without a functional p53 and with high levels of Aurora A are characterized by repeated cell cycles, until they become aneuploid. These observations identify the protein Aurora A as a prognostic marker, being itself an accomplice of carcinogenesis. To our knowledge, this is the first study in which a combined immunohistochemical/histochemical method has been applied in the study of Barrett pathology.

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Materials and Methods

This is a retrospective study performed on 57 biopsies obtained from *H. pylori*-free patients showing columnar metaplasia of the lower oesophagus at the endoscopy. The consent for study participation was obtained from all patients. The study was approved by the Institutional Ethic Committee. The cases were retrieved from broader clinical records, consisting of 819 oesophageal biopsies collected between June 2006 and June 2012 and comprising 228 cases (27.8%) of columnar metaplasia (135 with BO and 93 with CLO) and 26 cases of adenocarcinoma (3.1%).

Formalin-fixed, paraffin-embedded specimens were retrieved from the Archives of the Department of Pathology, University of Palermo (Italy). For each patient, 4-quadrant biopsy specimens have been taken every 2 cm and stained with Hematoxylin-Eosin and Alcian-PAS. The patients were divided into three groups: i) Group A, 20 BO cases consisting of IM, with Alcian blue-positive goblet cells; ii) Group B, 17 cases of CLO consisting of PAS+ columnar metaplasia without Alcian blue positive goblet cells; iii) Group C, 20 cases

with intermediate features of transitional metaplasia (TM), consisting of PAS+ columnar mucosa with only few cells showing initial production of acid-Alcian blue positive-mucins, but devoid of goblet cell morphology. All the cases were stained with combined double/triple immunohistochemical/histochemical methods. The combined staining method consists of two steps: in the first step an immunohistochemical staining was performed, according to the manufacturer's instructions (Universal LSAAB kit, Dako). Primary antibodies used in this study were: CK7 (Clone SP52; 1:100; Ventana), p53 (Clone DO7; 1:200; Ventana) and Aurora A (Clone EP1008Y; 1:100; Epitomics). Immunohistochemical positivity was considered significant when it was cytoplasmic for CK7, nuclear for p53 and nuclear or nuclear/cytoplasmic for Aurora A. As positive control for Aurora A and p53, we referred to a case of adenocarcinoma arising in the lower oesophagus (Barrett adenocarcinoma). For Aurora A and p53, the positivity in at least 3% of the nuclei was considered significant. For CK7, cytoplasmic staining in at least one gland was considered significant. Negative controls, lacking primary antibody incubations, were included for each immunohistochemical run.

In the second step, a histochemical staining was performed on the same sections previously subjected to the immunohistochemical assay. At first, Alcian blue staining was performed and the results of this double immunohistochemical/histochemical staining were observed. Subsequently, PAS staining was added (triple staining) and the results were observed again. The histochemical stainings were performed with only minor modifications according to Poletti *et al.*¹⁴ For Alcian blue staining, the sections were stained with Alcian blue solution (pH 2.5) for 30 min at room temperature, washed in running tap water for 10 min, rinsed in DI water, counterstained in Mayer's hematoxylin for 5 min and washed in DI water. The acetic acid as mordant was not used because it has no effect on the final results. For PAS staining, sections were oxidized in 0.5% periodic acid solution for 5 min, rinsed in DI water, placed in Schiff reagent for 15 min, washed in tap water for 5 min, counterstained in Mayer's hematoxylin for 1 min and washed in DI water. Finally, the slides were dehydrated, cleared and mounted. Differentiation with hydrochloric acid was omitted because it markedly reduces tissue antigenicity and causes fading of the chromogenic reaction when the immunohistochemical staining is performed before PAS staining.

Results

A case of adenocarcinoma of the lower oesophagus (Barrett adenocarcinoma) is showed in Figure 1a. The tumor exhibited strong nuclear positivity for p53 and Aurora A (Figure 1b) and it was used as positive control for the immunohistochemical stains with these antibodies. CK7 was positive in all the three groups; it was more diffusely and strongly expressed in group A, while focally and more weakly in groups B and C.

Group A. Both Aurora A and p53 immunostainings were positive in the nuclei of Alcian blue+ goblet cells in 6 of 20 cases (Figure 2a). Noteworthy, they were positive also in nuclei of PAS+/Alcian blue negative columnar cells, whereas adjacent Alcian blue+ goblet cells were negative [Figure 2b].

Group B. Eight of 17 cases showed focal nuclear positivity for Aurora A and p53 in PAS+/Alcian blue negative columnar cells (Figure 3 b,c). Noteworthy, CK7 was expressed just in the same Aurora A/p53 positive areas (Figure 3d).

Group C. Four of 20 cases showed positive immunostaining both for Aurora A and p53. Aurora A was positive both in Alcian blue-negative cells (Figure 4a) and in cells with initial production of Alcian blue-positive mucins, but lacking of goblet cell morphology (cells with intermediate features between IM and CLO) (Figure 4b). As in the previous group, p53 and CK7 were expressed in the same areas, despite the absence of IM.

Noteworthy, the sequential histochemical steps of the double and triple staining did not affect the immunohistochemical results obtained in the previous step. The results are summarized in Table 1.

Table 1. Results of the sequential histochemical steps of the double and triple staining.

	Cases	Ck7	Aurora A/p53
Group A	20	20	6
Group B	17	17	8

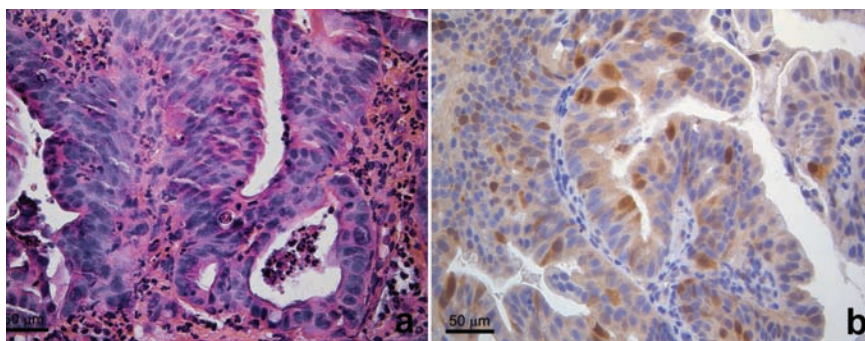


Figure 1. Adenocarcinoma of the oesophagus. a) Hematoxylin and eosin stain. b) Aurora A immunohistochemical stain. Strong nuclear positivity in neoplastic cells.

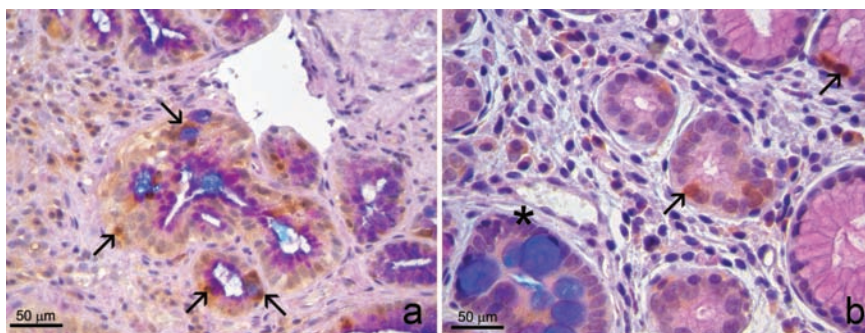


Figure 2. Group A. Intestinal metaplasia with goblet cells. a) Positivity for Aurora A in nuclei of glands comprising Alcian blue+ goblet cells (arrows). b) In another field of the same case, Aurora A was positive only in nuclei of Alcian blue-/PAS+ columnar cells (arrows), whereas the gland with Alcian blue+ goblet cells was negative (asterisk). a,b) Triple Aurora A/Alcian blue/PAS stain.

Discussion

The combined use of immunohistochemical/histochemical staining methods has rarely been reported in the literature. Wong *et al.* used the double Alcian blue/Chromogranin A and Alcian-blue/Mib-1 staining in specimen of gastric mucosa with intestinal metaplasia and neuroendocrine hyperplasia, to distinguish the proliferating cellular component.¹⁵ To our knowledge, a double histochemical/immunohistochemical method has not been applied to the study of oesophageal pathology.

In our study, all the cases stained positively, at least focally, for CK7, considered an early marker of gastroesophageal reflux because it is usually absent in normal fundic and cardiac mucosa, but present in columnar metaplastic mucosa of the oesophagus, including cases lacking of IM.⁵ Furthermore, our study showed nuclear expression of Aurora A kinase in columnar metaplasia lacking of goblet cells (group B), often correlating with p53 nuclear expression. The Aurora A kinase belongs to serine/threonine group of kinases that operate as key regulators of mitosis and it has a role in the cell cycle progression. Several studies showed that a deregulation in the expression and activity of this protein leads to defects in the assembly of the centrosome and of the mitotic spindle, aneuploidy, genetic instability and tumourigenesis.^{11,12,16-22} Nuclear positivity has been reported in hormone-related (breast, ovary and prostate) human cancers associated with a poor outcome,^{23,24} but not in non-hormone responsive conditions, such as in the oesophageal columnar metaplasia and in the Barrett adenocarcinoma. Previously, we evidenced the overexpression of Aurora A with RT-PCR in cases of columnar mucosa with and without IM.¹⁰

In the present study, we evidenced Aurora A immunohistochemical overexpression in the nuclei of PAS+ cells. In the group A we found nuclear Aurora A-positive staining in some Alcian blue+ goblet cells of Barrett mucosa, but, noteworthy, other goblet cells did not stain for Aurora A, whereas the adjacent PAS+ columnar cells stained positively. This is in keeping with the findings of Group B cases, lacking of IM, in which Aurora A was present in the nuclei of PAS+ columnar cells.

The over-expression of Aurora A in *non-goblet cells* may support the recently introduced conception of BO *without intestinal metaplasia*,¹ in contrast with the definition in which the goblet cells represented an essential prerequisite for the diagnosis of BO and an obliged step in the cancer progression. In fact, the definition of BO is still confusing and ambiguous. According to the American College of Gastroenterology²⁵ and to the American

Gastroenterological Association,²⁶ intestinal metaplasia is the only type of oesophageal columnar epithelium that clearly predisposes to malignancy and it is still required for the diagnosis of BO. Furthermore, our findings are in keeping with Kelly *et al.*, who observed that patients with CLO lacking of IM on biopsy have a similar cancer risk to those with specialized IM, so they conclude that IM is not essential for cancer risk.²⁷ Of course, since the carcinogen-

esis is a multistep process consisting of many overlapping mutations, the over-expression of Aurora A in the columnar mucosa, with or without IM, should not be considered a condition necessarily leading to the tumour, but a potentially reversible condition after stopping or decreasing of the stimulus. The appearance of Aurora A and p53 nuclear positivity, together with the reflux-related CK7 cytoplasmic positivity, in areas lacking of IM, could suggest

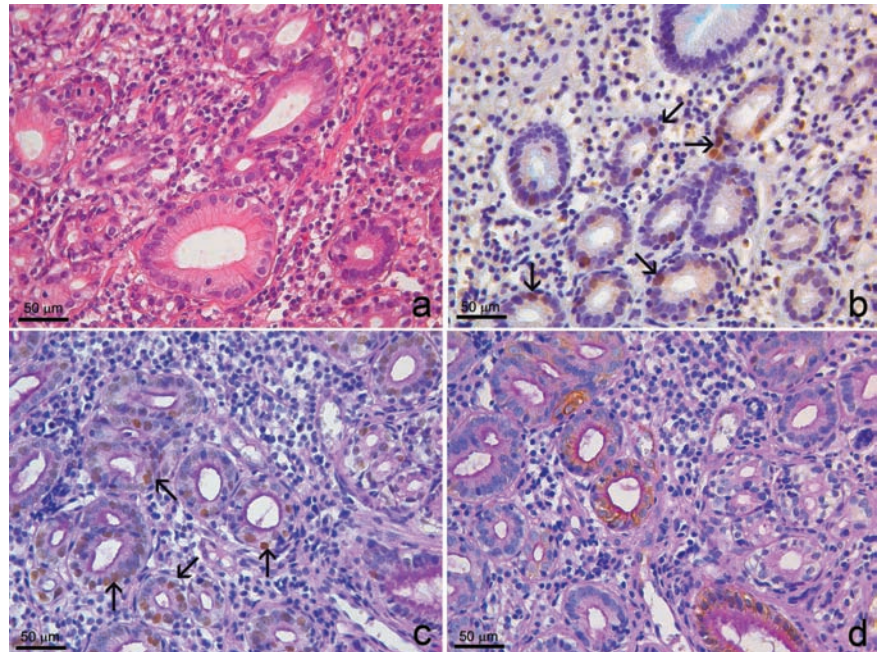


Figure 3. Group B. Columnar lined oesophagus. a) Hematoxylin and eosin stain. b) Double Aurora A/Alcian blue stain showed Alcian blue negativity and Aurora A nuclear positivity (arrows). c) Triple p53/Alcian blue/PAS stain showed cytoplasmic PAS positivity and p53 nuclear positivity (arrows). d) Triple Ck7/Alcian blue/PAS stain shows Ck7 positivity in Alcian blue-/PAS+ cells.

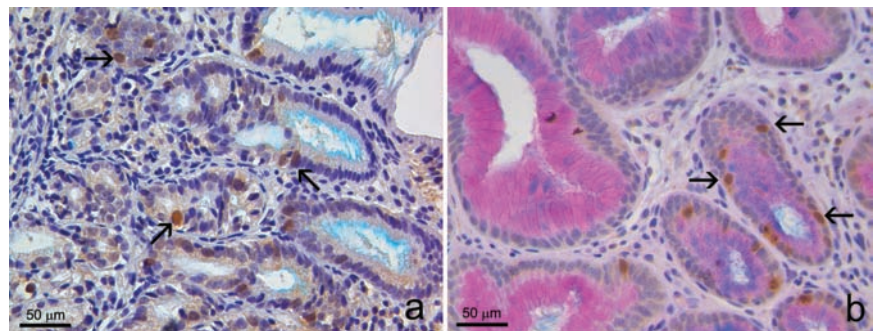


Figure 4. Group C. Mucosa with a few cells with intermediate features between intestinal metaplasia and columnar-lined oesophagus, showing initial production of Alcian blue positive mucins, but lacking of goblet cell morphology. a) Aurora A positivity both in Alcian blue negative cells (arrows); double Aurora A/Alcian blue stain. b) Aurora A positivity in cells with initial production of acid mucins (arrows); triple Aurora A/Alcian blue/PAS stain.

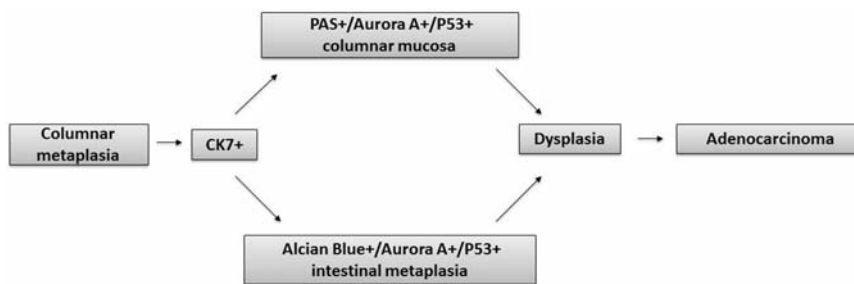


Figure 5. Cancer sequence hypothesis, in which Ck7/AuroraA/p53/PAS+ columnar mucosa could represent an intermediate step of the carcinogenesis, independently from the presence of intestinal Alcian blue+ goblet cells.

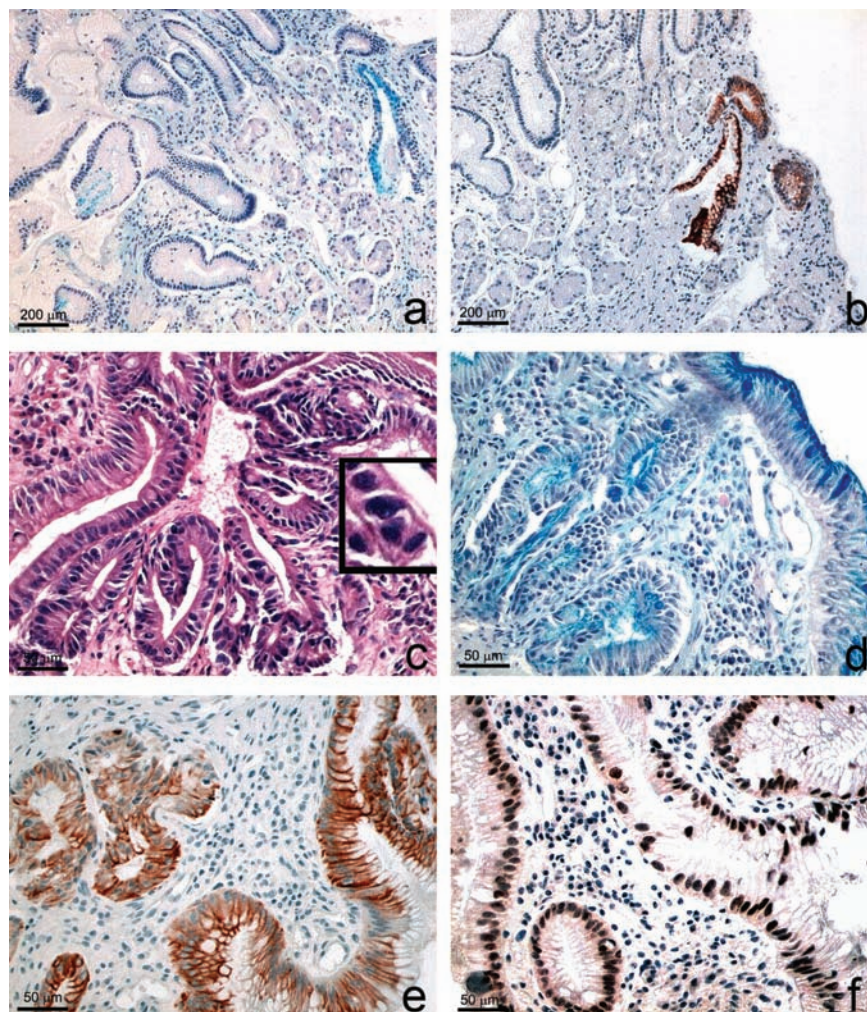


Figure 6. Progression from columnar-lined oesophagus to Barrett's oesophagus with dysplasia in a patient never treated with PPI. a-b) Baseline observation: weak Alcian positivity (a) and very focal Ck7 positivity (b), in a few columnar cells of cystically dilated glands (a, Alcian PAS; b, Ck7). c-f) Two years follow-up observation of the same case, showing dysplasia (c) intestinal metaplasia (d), diffuse cytoplasmic CK7 (e) and nuclear p53 immunostaining (f). The insert in c) shows nuclear changes and mitoses. c) H-E; d) Alcian PAS; e) CK7; f) p53.

another way besides the traditional *IM-dysplasia-adenocarcinoma* sequence. In keeping with our immunophenotypical findings, we hypothesize a cancer sequence, in which CK7/AuroraA/p53/PAS+ columnar mucosa could represent an intermediate step of the carcinogenesis, independently from the presence of intestinal Alcian blue+ goblet cells (Figure 5). Recently, in fact, adenocarcinoma of gastroesophageal junction arising from cardiac type metaplasia have been described,²⁸ suggesting again the hypothesis of a double pathway previously reported by us.⁵

Noteworthy, in our study, consisting of 819 oesophageal biopsies collected between June 2006 and June 2012, we found 26 cases of adenocarcinoma (3.1%), 25 of which aroused in patients without a known clinical history of BO, lacking previous endoscopy and never treated with GERD therapy. On the contrary, none of 228 patients with endoscopic and histologic columnar-lined oesophagus (135 with BO and 93 with CLO), treated with constant therapy for GERD symptoms, developed a high-grade dysplasia/adenocarcinoma, during a mean follow-up of 5 years (range 1-12) with bi-annual endoscopy and biopsy. Only one case of CLO, untreated, progressed to BO with dysplasia (Figure 6). Given our results, we think that the immunophenotypical alterations (such as Aurora A and p53 expression) in some cells of CLO cases could be by themselves responsible of a risk progression, despite the absence of IM. Therefore, in our view, also CLO patients require an appropriate management, because the outcome is not *ab initio* predictable. Of course, in consideration of the low risk of cancer progression, both in CLO and BO patients treated with PPI therapy, and of the necessity to refine the surveillance program to obtain a good cost/benefit ratio, we think that a more long-term interval of endoscopies (e.g., a 3-year interval) could be enough if BO and CLO cases are properly treated. PPI therapy, in fact, could be useful not only for the GERD symptoms but also to reduce the risk of cancer progression.

In conclusion, the combined staining method allowed us to simultaneously evidence the type of mucins produced by the columnar cells and the immunophenotypical expression of Aurora A, p53 and CK7 that may be indicative of an early phase of the carcinogenic pathway independently from the IM step.

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