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5-4-2022

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Assessing the Coverage of US Cancer Center Primary Catchment Areas

Amy E. Leader¹, Christopher McNair², Christina Yurick³, Matthew Huesser³, Elizabeth Schade³, Emily E. Stimmel⁴, Caryn Lerman⁵, and Karen E. Knudsen^{2,6}

ABSTRACT

Background: Cancer centers are expected to engage communities and reduce the burden of cancer in their catchment areas. However, the extent to which cancer centers adequately reach the entire US population is unknown.

Methods: We surveyed all members of the Association of American Cancer Institutes (N = 102 cancer centers) to document and map each cancer center's primary catchment area. Catchment area descriptions were aggregated to the county level. Catchment area coverage scores were calculated for each county and choropleths generated representing coverage across the US. Similar analyses were used to overlay US population density, cancer incidence, and cancer-related mortality compared with each county's cancer center catchment area coverage.

Results: Roughly 85% of US counties were included in at least one cancer center's primary catchment area. However, 15% of US

Introduction

Cancer center commitment to its catchment area

Cancer centers have had a longstanding commitment of reducing the cancer burden in the populations that they serve. In 2012, the National Cancer Institute (NCI) solidified this commitment by requiring that dedicated cancer centers identify and describe their catchment area, as well as document ongoing research that specifically addresses the cancer burden, risk factors, incidence, mortality, morbidity, and inequities in the catchment area (1). In 2016, NCI updated this requirement to include a section dedicated to Community Outreach and Engagement (2). Although there is some leeway in how a cancer center defines its catchment area, it is typically defined as where the majority of patients with cancer treated by a center reside, where research participants live,

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Cancer Epidemiol Biomarkers Prev 2022;31:955-64

doi: 10.1158/1055-9965.EPI-21-1097

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counties, or roughly 25 million Americans, do not reside in a catchment area. When catchment area coverage was integrated with population density, cancer incidence, and cancer-related mortality metrics, geographical trends in both over- and undercoverage were apparent.

Conclusions: Geographic gaps in cancer center catchment area coverage exist and may be propagating cancer disparities. Efforts to ensure coverage to all Americans should be a priority of cancer center leadership.

Impact: This is the first known geographic analysis and interpretation of the primary catchment areas of all US-based cancer centers and identifies key geographic gaps important to target for disparities reduction.

See related commentary by Lieberman-Cribbin and Taioli, p. 949

the boundaries of a cancer center or hospital's marketing approach, or a combination of these options (3). Catchment areas are almost always defined using a data-driven approach and are frequently informed by a community advisory board. A cancer center must continually monitor their catchment area (4) and ensure that their research is driven by the needs and population burden of the catchment area (5).

Cancer health disparities

The first report of differences in cancer outcomes by race or ethnicity was published almost 50 years ago (6, 7). Black Americans have had the highest overall cancer-related death rate of any racial or ethnic group in the United States (US) for more than four decades (8). Since then, socioeconomic, behavioral, systemic, and environmental factors have been identified to contribute to cancer disparities. For example, it is estimated that eliminating socioeconomic disparities could prevent 34% of cancer-related deaths among all US adults between the ages of 25 and 74 (9). Older adults tend to have lower levels of health literacy and greater difficulty navigating the health care system than younger adults (10). Health risk behaviors are known to cluster and are more likely seen in individuals with lower educational attainment, putting them at greater risk of cancer (11). Type of health insurance, or the lack of it, has contributed to differing rates of early diagnosis, surgery, and cancer outcomes (12-14). More recently, attention has been focused on environmental factors, such as where people live, in identifying root causes of cancer disparities.

Cancer disparities as a function of where people live

Cancer incidence and mortality, as well as disparities, display strong geographic patterns across the US (15). The environment where individuals work, live, and play is increasingly being recognized as important across the cancer control continuum, including cancer risk, detection, diagnosis, treatment, and survivorship (16, 17). Americans living in rural communities are disproportionately bearing the burden of cancer disparities due to high rates of modifiable risk factors, social





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Note: Supplementary data for this article are available at Cancer Epidemiology, Biomarkers & Prevention Online (http://cebp.aacrjournals.org/).

A.E. Leader and C. McNair contributed equally as the co-senior authors of this article.

or environmental factors, and less access to cancer care (18, 19). Populations living within distinct rural areas, such as residents of Appalachia (20), the deep South (21), or indigenous people on tribal lands (22), have been the focus of rural cancer research for years. Similarly, it is well known that Americans living in urban communities also experience a disproportionate cancer burden due to factors related to economics, environmental or social pressures (23). Those residing in extremely rural or urban areas may need additional or unique resources and support from cancer centers whose catchment areas serve these geographies and populations.

Study purpose

Although each of the NCI-designated cancer centers is required to define and report its catchment area to the NCI, the extent to which these cancer center primary catchment areas adequately cover the US population is unknown. Furthermore, there are other cancer centers that are not NCI-designated and are not required to disclose their catchment area. As part of the Association of American Cancer Institute's (AACI) Presidential Initiative, all AACI-member cancer centers were surveyed to understand the geographic coverage of cancer center primary catchment areas across North America. County-level catchment area data were integrated with population density, cancer incidence, and cancer-related mortality metrics. The data presented herein are, to our knowledge, the first comprehensive study to report and map cancer center catchment areas across the US. Findings suggest gaps in cancer center coverage and have the potential to influence policy that distributes cancer resources and care adequately to all.

Materials and Methods

Primary catchment area survey design and distribution

An online survey (Supplementary Fig. S1) was developed to capture the following information: The name of the cancer center and its geographically defined primary catchment area, with a suggested response in zip code or county format. The survey was limited in size and scope to maximize response rates and was distributed through the AACI network via the Presidential Initiative.

Survey data collection

The survey was emailed to cancer center directors, using a master file list from AACI. Membership to AACI requires that a cancer center have a wide range of cancer-related clinical disciplines related to patient care, including a broad portfolio of cancer clinical trials, and should actively participate in cancer-related community prevention, education, and screening activities. All NCI clinical or comprehensive cancer centers are members of AACI, plus an additional 31 cancer centers that are not NCI-designated. Data were collected using Survey Monkey. Responses were excluded from centers that either: (i) represented basic science centers without a clinical presence or (ii) had indeterminate catchment areas, as only NCI-designated centers are required to define a catchment area. In addition, responses from 3 AACI cancer centers operating as one larger entity were merged to a single institution for downstream analysis. Each institution's response was subsequently annotated or interpreted to a represent primary coverage at the county level.

Data sources

Population density data

Population density metrics were assessed using the US Census Bureau 2014–2018 American Community Survey 5-year estimates and supplemental estimates (24).

Cancer incidence and mortality data

Cancer Incidence and mortality metrics were assessed using the Centers for Disease Control and Prevention's (CDC) 1999–2018 USCS Cancer Statistics Report (25), with county-level data from the 2014 to 2018 combined dataset. Importantly, data from Kansas and Minnesota were not available at the county-level using the CDC report due to state legislation. In addition, data for US territories (excluding Puerto Rico) were not available through this data source. Ageadjusted incidence and mortality rates were used for all downstream analysis and mapping.

Statistical analysis

Coverage scores

Catchment Area Coverage Scores were generated by totaling the number of AACI cancer centers that claimed a county within its primary catchment area. Although downstream analysis, including the percentage of total coverage, was limited to the US, Catchment Area Coverage Scores were also generated for AACI members in Canada (Supplementary Fig. S2).

Overlay scores

Overlay scores for population density, cancer incidence, and cancerrelated mortality rates were generated using the following methodology: Each individual metric was assessed at the county level. Next, metrics for each county were split into quintiles, with the highest quintile (5) representing the largest population, cancer incidence rate or mortality rate and 1 the lowest. Overlay scores were then generated for both counties with AACI center catchment area coverage ("covered") and those without ("uncovered"). For covered counties, overlay scores were calculated by subtracting each county's quintile for the respective metric from its Catchment Area Coverage Score, resulting in an overlay score. For example, a county with primary catchment area coverage from a single AACI center (1) in the highest population density quintile (5) would have an overlay score of -4 (1 - 5 = -4). As each uncovered county's Catchment Area Coverage Score = 0, overlay scores for uncovered counties are representative simply of their respective population density, cancer incidence, or mortality quintile. Importantly, overlay score distribution percentages were calculated using only the number of counties in each respective category as the denominator (covered using only covered counties as a denominator whereas uncovered using only uncovered counties).

Data visualization

Canadian shapefiles and census tracts obtained from Statistics Canada (26). All maps and choropleths were generated using the Urbnmapr package in R that included state, county, and territory level shapefiles (27).

Data availability statement

The raw data file containing the response from each cancer center is available upon request.

Results

Primary catchment area survey distribution and data collection

One hundred and two surveys were sent to AACI cancer center directors. We received 102 responses, or a 100% response rate. We excluded responses from basic science centers (n = 7), as well as centers with catchment areas that were indeterminate (n = 4; Supplementary Table S1). In addition, responses from 3 distinct AACI centers (Mayo Clinic Minnesota, Arizona, FL) were merged to a single

population density overlay scores calculated (Supplementary Fig. S4).

Counties with a negative Population Density Overlay Score represent

cancer center "undercoverage" relative to their population, whereas a positive overlay score represents "overcoverage." As shown in **Fig. 3A**, Population Density Overlay Score values ranged from

-4 (high population density and low cancer center coverage score)

to 1 (higher cancer center coverage score than population density).

Interestingly, only 22.3% of covered counties exhibited balanced

coverage, whereas 2.1% of covered counties were overcovered as

defined by this metric. Significantly, 75.4% of counties with AACI

center coverage in the US were undercovered as determined by a

county's Population Density Overlay Score. With respect to geo-

graphical distribution, much of the Southwest and Plains region

exhibited balanced coverage, whereas the Northeast, Appalachia,

and Great Lakes regions displayed the largest concentration of

Density Overlay Score is representative of its population density alone,

as the Catchment Area Coverage Score is 0 for each of these counties.

Interestingly, although the majority of counties were in the first, and

thus least densely populated quintile (51.5%), 22.6% of uncovered counties were in the fifth quintile, suggesting that there are a significant

number of counties within the highest population density quintile with

no primary cancer center coverage (Supplementary Fig. S5A). Geographically, the majority of these population dense, uncovered regions

fall in the Southeast and US island territories (Supplementary

Population density integration was able to establish areas of both

balanced and unbalanced cancer center coverage with respect to US

county-level populations, cancer incidence was next used to highlight

the potential for cancer-specific impact in primary catchment area

coverage. Cancer incidence rates were split into quintiles, indicating

Primary catchment area coverage-cancer incidence

With regard to uncovered counties, each county's Population

catchment area for analysis purposes. The resulting 89 AACI center responses were analyzed and subsequently mapped to the county level (Fig. 1).

Primary catchment area coverage across the US

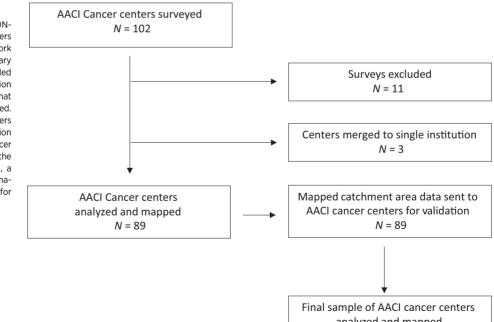
Catchment-Area Coverage Scores were calculated for each county in the US as shown in Fig. 2A. Coverage score values ranged from 0 (no AACI center primary catchment area coverage, 14.9% of all US counties) to 5 (included in the primary catchment area of 5 distinct cancer centers, <1% of US counties). Geographically, counties with higher coverage scores tended to cluster along the East coast (Fig. 2B). Half of all counties in the US (51.3%) were covered by a single center (Fig. 2A and B). In addition, each AACI center was mapped to the state(s) in which any portion of their primary catchment area resided (Table 1). California represented the state with the largest number of institutions claiming any portion of the primary catchment area coverage (n = 10), whereas 8 states as well as the Virgin Islands had no claimed AACI center coverage as a primary catchment area within state lines. Furthermore, the percentage of counties with coverage from at least a single AACI center was calculated for each state to determine states with potential gaps in cancer coverage. Although many states displayed coverage across all counties, 5 states and one US territory were sparsely covered, with coverage rates under 75% (New York, Missouri, Florida, Arizona, Delaware, Puerto Rico).

Primary catchment area coverage—population density integration

To better determine the downstream impact of AACI center coverage across the US, county level population density metrics were obtained from the US Census Bureau 2014–2018 estimates. Population density estimates were split into quintiles and plotted as shown in Supplementary Fig. S3. To integrate population density data with primary catchment area information, counties were split into those that were "covered" by an AACI Center as a primary catchment area (n = 2,748) and those that were "uncovered" (n = 483) and

Figure 1.

Primary Catchment Area Survey CON-SORT Diagram. AACI Cancer Centers were surveyed using the AACI network and asked to self-define its primary catchment area. Surveys were excluded from centers without a clinical operation and those that had catchment areas that were in development or not defined. Responses from separate AACI centers existing within the same institution were merged to a single site. Cancer center responses were mapped to the counties indicated in the responses, a follow-up survey was sent for confirmation, and responses were finalized for downstream analysis.



undercoverage (Fig. 3B).

Fig. S5B).

integration

Final sample of AACI cancer centers analyzed and mapped N = 89

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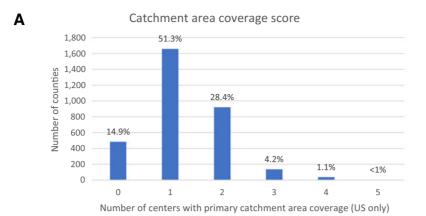
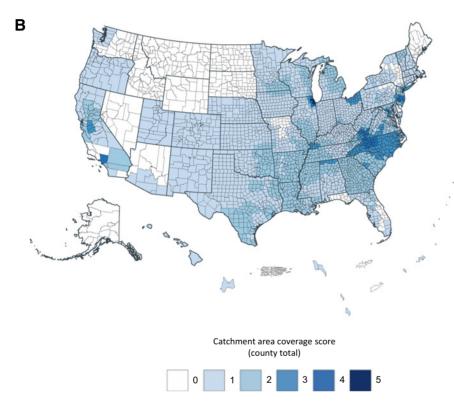


Figure 2.

Primary Catchment Area Coverage Distribution. **A**, Distribution of CACS's by score. Number of counties and percentages are inclusive only of counties in the US and outlying territories. **B**, Choropleth of the US, and US territories with county-level Catchment Area Coverage Scores (CACS) displayed. Scores were calculated for each county by totaling the number of cancer centers which defined a county within its primary catchment area.



counties with the highest cancer incidence rates (quintile 5) to lowest (quintile 1) and subsequently mapped as shown in the choropleth in Supplementary Fig. S6 (county-level data from Minnesota and Kansas were unavailable from due to state legislation).

To integrate cancer incidence with primary catchment area coverage, Cancer Incidence Overlay Scores were calculated for each county using the methodology described above (**Fig. 3**; Supplementary Fig. S7). Interestingly, 7.2% of counties were overcovered as defined by their Cancer Incidence Overlay Scores, compared with 2.1% using population density metrics, whereas only 20.6% of counties had balanced coverage compared with their incidence rates (**Fig. 4A**). However, 72% of counties with AACI center coverage were undercovered as defined by their Cancer Incidence Overlay Scores. Importantly, trends in coverage were dispersed across the US; the Great Lakes, Appalachia, and Northeast displayed a sizeable concentration of undercovered counties while the Southwest and Rocky Mountain areas exhibited the most overcovered and balanced areas, respectively (Fig. 4B). Counties without AACI cancer center coverage were spread across cancer incidence quintiles, with 31.4% of uncovered counties in the first quintile (lowest incidence) and the second through fifth quintiles ranging from 15.1% to 21.4% of uncovered counties, exhibiting a fairly even distribution (Supplementary Fig. S8A). The concentration of highest age-adjusted incidence in areas without coverage was seen throughout Maine and parts of the Midwest, with Alaska also displaying increased cancer incidence without AACI center coverage (Supplementary Fig. S8B).

Primary catchment area coverage—cancer-related mortality integration

To determine how primary catchment area coverage associates with cancer-related mortality, age-adjusted cancer-related mortality rates were split into quintiles and mapped (Supplementary Fig. S9). Cancer Mortality Overlay Scores were next calculated for each county in both covered and uncovered areas (Supplementary Fig. S7). With respect to

Table 1.	Primary	catchment	area	coverage	by	state.
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(Continued on the following page)

Table 1. Primary catchment area coverage by state. (Cont'd)

State	Counties with AACI center coverage (%)	No. of Centers	AACI Center
Virginia	98.50%	7	Wake Forest Baptist, VCU Massey, U Virginia, St Jude, Georgetown Lombardi, George Washington, Duke
Virgin Islands	0.00%	0	
Vermont	100.00%	2	University of Vermont, Dartmouth Hitchcock
Washington	100.00%	1	Fred Hutchinson
Wisconsin	100.00%	3	University of Wisconsin Carbone, Medical College of Wisc, Mayo
West Virginia	100.00%	4	Wake Forest Baptist, U Virginia, St Jude, Duke
Wyoming	0.00%	0	

Note: Cancer centers were stratified by the state(s) represented in their county-level primary catchment area. A single center can be represented across multiple states.

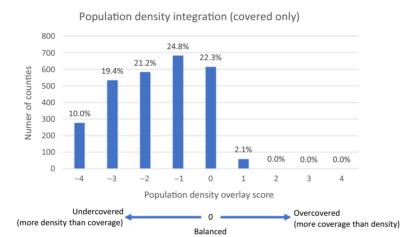


Figure 3.

Integration of Primary Catchment Area with Population Density. **A**, Distribution of PDOS's across all "covered" counties in the US and outlying territories, where negative score represents undercoverage, O represents balanced, and a positive score overcoverage. **B**, Choropleth displaying the geographical distribution of PDOS's across counties with catchment area coverage (gray indicates unavailable data and "uncovered" counties).

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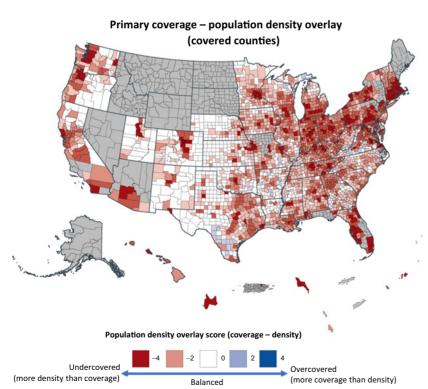
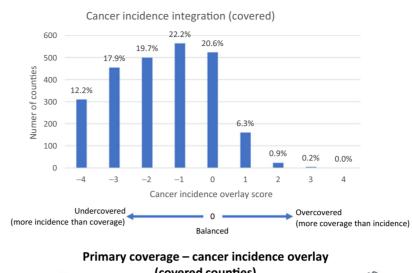
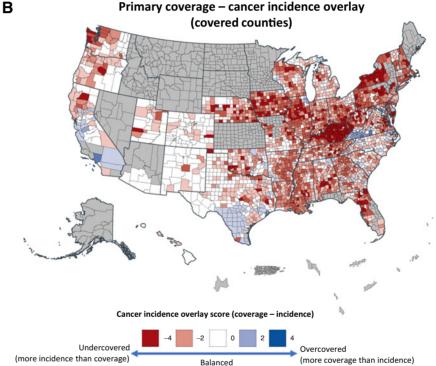


Figure 4.

Integration of Primary Catchment Area with Cancer Incidence Rates. **A**, Distribution of CIOS's across all "covered" counties in the US. **B**, Choropleth displaying the geographical distribution of Cancer Incidence Overlay Scores across all counties with catchment area coverage (gray indicates unavailable data and counties not without AACI center coverage). Α





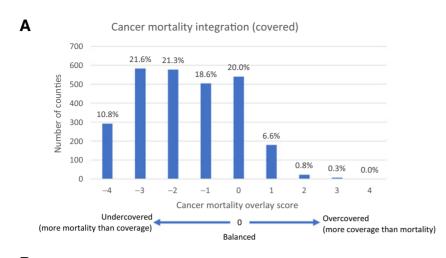
counties with AACI coverage, only 20% exhibited a balanced Cancer Mortality Overlay Score, whereas 7.7% were found to be overcovered (Fig. 5A). Significantly, 72.3% of counties covered by a primary catchment area were determined to be undercovered, as defined by overlay with relative cancer-related mortality rates. Cancer Mortality Overlay Score undercoverage was identified to be most concentrated in Appalachia, the Great Lakes, and Southern US, whereas balanced and overcovered counties were sparsely distributed in the Midwest and along the eastern coast (Fig. 5B). Counties without AACI center catchment area coverage skewed toward lower cancer-related mortality quintiles (60% of uncovered counties in the first and second quintile, Supplementary Fig. S10A). However, high cancer-related mortality in uncovered counties was identified across Maine, Alaska, and parts of the Rockies suggesting potential areas for concern (Supplementary Fig. S10B).

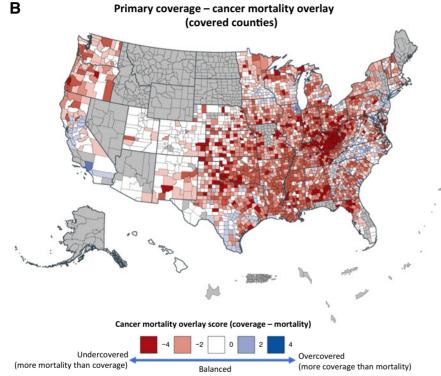
Discussion

To our knowledge, this is the first study to systematically assess the coverage of cancer center primary catchment areas. Although 85% of US counties were covered by a cancer center primary catchment area, 483 US counties (15%) were uncovered by a cancer center. This translates into over 25 million Americans being excluded from the attention and resources that cancer centers typically devote to population-level efforts in their catchment-area counties. When catchment area coverage was integrated with population density, cancer incidence, and cancer-related mortality metrics, geographical trends in both over- and undercoverage were apparent.

The most disconcerting finding from this study may be the large number of Americans who are residing outside of a cancer center primary catchment area. "Undercoverage" appears to be greatest in the Appalachian and Southern US, where cancer disparities have

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historically been large and continue to persist. Patients in these geographic areas are known to have poorly informed beliefs about cancer prevention and screening (28, 29), are less likely to begin timely cancer treatment (30), and are less likely to enroll in a clinical trial (31). Eight states, almost all with large rural populations, were entirely uncovered by a primary catchment area, leaving those patients to travel great distances for high-quality cancer care. Failure to adequately service these communities with accessible and culturally tailored highquality cancer care will continue to propagate cancer disparities.

Similarly, in some parts of the country, populations were "overcovered" by multiple cancer centers, creating potential opportunities for redistribution of valuable and expensive resources. In counties covered by more than one cancer center catchment area, coalitions among cancer centers to align and synergize efforts may be appropriate (32, 33). State comprehensive cancer control coalitions may also play a role in working with cancer centers to ensure cancer equity across each state (34). Having cancer centers publicly report their catchment areas, through NCI or AACI, would allow for more transparency and could spur efforts to realign catchment areas. "Right-sizing" catchment area coverage across the country should be a priority of all cancer centers and of national cancer center leadership. In this study, catchment area data are reported at the county level. However, it is increasingly being recognized that cancer disparities are propagated at the community and neighborhood level. The built environment, as well as neighborhood isolation and concentration of poverty due to decades-long discriminatory practices, have been shown to be associated with increased cancer-related mortality (35, 36). It is possible that neighborhood factors beyond merely deprivation or poverty, such as the social environment, and other contextual factors, may be associated with cancer survivorship or mortality (37). Nevertheless, these findings provide clear evidence that "place" is an important factor in cancer risk, outcomes, and disparities. Most cancer

Figure 5.

Integration of Primary Catchment Area with Cancer Mortality Rates. **A**, Distribution of CMOS's across all "covered" counties in the US. **B**, Choropleth displaying the geographical distribution of Cancer Mortality Overlay Scores across all counties with catchment area coverage (gray indicates unavailable data and counties without AACI center coverage). centers recognize this evidence base, as a large focus of their community outreach work occurs within neighborhoods and communities across their catchment areas.

Although this study is the first of its kind to aggregate and visualize cancer center primary catchment areas, there are limitations. Catchment areas were self-reported by each cancer center without validation; however, we attempted to verify each catchment area through publicly available data on each cancer center website and with cancer center directors. Cancer center focus on COE has only been in effect since 2016 and most likely has not yet affected long-term outcomes of cancer incidence and mortality. In addition, although the catchment areas were valid at the time of data collection (December 2020), some cancer centers may have altered their catchment area footprint because the survey was fielded. For example, Huntsman Cancer Institute recently expanded its primary catchment are to include states within the Mountain West Region that is not reflected in these data. Furthermore, some cancer centers have secondary catchment areas that were not reflected in these data. The data on population density, cancer incidence, and cancer-related mortality are not real-time data. Population estimate, cancer incidence, and cancer-related mortality data may change over time, and it is unclear how trends will change in response to changes in screening or diagnoses due to the COVID-19 pandemic. We used a simple summed score to calculate overand undercoverage of catchment areas; future work could build on this methodology with more intricate analyses. Finally, the survey only collected information about catchment area coverage, not priorities within a cancer center's catchment area. A survey, including this question, and others related to priorities and initiatives, is being designed and distributed to cancer centers in a follow-up AACI study.

The current study joins a growing body of research that aims to understand how cancer center community outreach and engagement efforts can best meet the needs of the population, now and in the future (38). Findings from our study show where the gaps are, geographically, in the distribution of effort and resources from US cancer centers. From here, we can begin to work toward catchment area equity, where every American is covered by a primary cancer

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center catchment area, linking all populations to a cancer center home. Other areas of interest stemming from this study include mapping cancer center catchment areas against maps of cancer risk behaviors, social determinants of health, or health service utilization to begin to understand the upstream factors and their relationship to catchment area coverage. Understanding the extent of community outreach and engagement by each cancer center, in each catchment area, is also important. We hope that these maps spark discussions within and across cancer centers about the role that catchment areas have played in the first 50 years of our commitment to eradicating cancer (39, 40), and how we can leverage them in the years to come to reduce and ultimately eliminate cancer disparities.

Authors' Disclosures

No disclosures were reported.

Authors' Contributions

A.E. Leader: Data curation, investigation, writing-original draft, writing-review and editing. C. McNair: Data curation, software, formal analysis, investigation, visualization, methodology, writing-original draft, writing-review and editing. C. Yurick: Data curation, investigation. M. Huesser: Resources, data curation, writing-review and editing. E. Schade: Data curation, investigation. E.E. Stimmel: Resources, data curation, writing-review and editing. C. Lerman: Writing-review and editing. K.E. Knudsen: Conceptualization, resources, data curation, supervision, visualization, writing-original draft, project administration, writing-review and editing.

Acknowledgments

A.E. Leader, C. McNair, C. Yurick, M. Huesser, E. Schade, and K.E. Knudsen were supported by NIH grant NCI5P30CA056036-17. C. Lerman was supported by NIH grant NCIP30CA14098. We thank staff at the AACI for their support and assistance in fielding the survey and data collection.

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Received September 19, 2021; revised November 10, 2021; accepted January 12, 2022; published first January 21, 2022.

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