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Nicotinic receptor agonists as neuroprotective/neurotrophic drugs. Progress in molecular mechanisms

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Summary In the present work we reviewed recent advances concerning neuroprotective/neurotrophic effects of acute or chronic nicotine exposure, and the signalling pathways mediating these effects, including mechanisms implicated in nicotine addiction and nAChR desensitization. Experimental and clinical data largely indicate long-lasting effects of nicotine and nicotinic agonists that imply a neuroprotective/neurotrophic role of nAChR activation, involving mainly $\alpha 7$ and $\alpha 4\beta 2$ nAChR subtypes, as evidenced using selective nAChR agonists. Compounds interacting with neuronal nAChRs have the potential to be neuroprotective and treatment with nAChR agonists elicits long-lasting neurotrophic effects, e.g. improvement of cognitive performance in a variety of behavioural tests in rats, monkeys and humans. Nicotine addiction, which is mediated by interaction with nACh receptors, is believed to involve the modification of signalling cascades that modulate synaptic plasticity and gene expression. Desensitization, in addition to protecting cells from uncontrolled excitation, is recently considered as a form of signal plasticity. nAChR can generate these long-lasting effects by elaboration of complex intracellular signals that mediate medium to long-term events crucial for neuronal maintenance, survival and regeneration. Although a comprehensive survey of the gene-based molecular mechanisms that underlie nicotine effects has yet not been performed a growing amount of data is beginning to improve our understanding of signalling mechanisms that lead to neurotrophic/neuroprotective responses. Evidence for an involvement of the fibroblast growth factor-2 gene in nAChR mechanisms mediating neuronal survival, trophism and plasticity has been obtained. However, more work is needed to establish the mechanisms involved in the effects of nicotinic receptor subtype activation from cognition-enhancing and neurotrophic effects to smoking behaviour and to determine more precisely the therapeutic objectives in potential nicotinic drug treatments of neurodegenerative diseases.

Keywords: nAChR, nicotinic agonists, neurotrophic factors, FGF-2, neuroprotection, neurotrophism, addiction, desensitization, neuroplasticity

Nicotinic acetylcholine receptors

Neuronal nicotinic acetylcholine receptors (nAChRs) belong to the superfamily of ligand-gated ion channels and

are located in both the peripheral and central nervous systems. They are composed of five subunits and at present nine nicotinic receptor α subunits ($\alpha 2$ – $\alpha 10$) and three β subunits ($\beta 2$ – $\beta 4$) have been identified, each encoded by a different gene (Picciotto et al., 2001). In heterologous expression nAChR can contain more than one of the $\alpha 2$, $\alpha 3$, $\alpha 4$ or $\alpha 6$ subunits and/or both $\beta 2$ and $\beta 4$ subunits as well (Couturier et al., 1990). Accordingly, several different combinations of nAChR subunit mRNA and/or proteins have been identified in the central and peripheral nervous structures (Le Novere et al., 2002). The subunits $\alpha 7$, $\alpha 8$, $\alpha 9$, and $\alpha 10$ subunits can form homomeric receptors (Le Novere and Changeux, 1995). These multiple combinations of nAChR subunits possess distinct pharmacological and physiological properties. Thus nAChRs show different affinity for ligands, variability of permeability for cations and rate of desensitization (Changeux et al., 1998).

The distribution of neuronal nAChR in the CNS differs for the different subunits and the most common subunit arrangements within the central nervous system include the $\alpha 4/\beta 2$ type receptor and the $\alpha 7$ type receptor. The $\alpha 4$ and $\beta 2$ are present in the entire nervous system (Wada et al., 1989). By contrast the distribution of $\alpha 7$ subunit mRNA is restricted to certain layers of cerebral cortex, to the hypothalamus, hippocampus and to some brain stem nuclei (Seguela et al., 1993). nAChR containing other subunits are localized in important brain regions, but they are less abundant. The $\alpha 2$ subunit is expressed at very low levels in restricted brain regions (Wada et al., 1989). The $\alpha 5$ subunit mRNA is expressed at high levels in neurons of the subiculum, pre- and parasubiculum, substantia nigra, ventral tegmental area, and weakly expressed in the cerebral

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cortex (Wada et al., 1990). The $\alpha 3$ and $\beta 4$ subunits, which form the main nAChR responsible for nicotinic synaptic transmission in autonomic ganglia, are highly enriched in the medial habenula (Le Novere and Changeux, 1995). The $\alpha 6$ and $\beta 3$ subunits are highly and selectively enriched in catecholaminergic nuclei, such as the dopaminergic neurons of the substantia nigra, and the noradrenergic neurons of the locus coeruleus (Le Novere and Changeux, 1995). It has therefore been proposed that they can form, together with other subunits, the nAChR responsible for catecholamine release in the basal ganglia and hippocampus (Le Novere and Changeux, 1995). nAChRs located presynaptically regulate the release of serotonin, dopamine, norepinephrine (Summers and Giacobini, 1995), glutamate (Gray et al., 1996), gamma-aminobutyric acid (Ji and Dani, 2000) and acetylcholine (Tani et al., 1998). The $\alpha 4/\beta 2$ nicotinic receptor binds nicotine with high affinity, while the $\alpha 7$ nicotinic receptor binds nicotine with a low affinity (Lena and Changeux, 1998). When agonists bind to the nAChR, the receptor complex undergoes a conformational change in its structure, which allows the channel gate to open, permitting the passage of cations through the channel pore. However functionally, the nAChR complex can exist in three conformational states, which are dynamically regulated by exposure to the agonist: closed, open and desensitized states.

Neuroprotective/neurotrophic effects of nAChR activation

Recently an increasing number of potent nAChR agonists have been used in experimental research and clinical trials, and have been found displaying efficacy and/or a more selective affinity than nicotine for neuronal nAChR subtypes, some of which are considered potential candidates not only for the treatment of neurodegenerative disease such as Alzheimer's disease (AD) and Parkinson's disease (PD) (Bannon et al., 1998), but also, as discussed below, for cognitive disorders associated with Schizophrenia (Kem, 2000).

A growing number of *in vivo* and *in vitro* findings have shown that nAChRs activation have the potential to be neuroprotective/neurotrophic.

Evidence in vivo

nAChRs have important roles in development and synaptic plasticity (Broide and Leslie, 1999; Levin et al., 1999). $\alpha 7$ nAChRs are expressed early in development and have been shown to be important for the control of neurite outgrowth, and are involved in events of synaptic remodelling in the

adult nervous system (Pugh and Berg, 1994). The high concentration of $\alpha 7$ receptors in the hippocampus, a region of the brain that is critical for memory, also supports a role for $\alpha 7$ receptors in the modulation of synaptic plasticity and in memory formation (Small et al., 2001). Nicotinic receptors can modulate the rhythmic activity important for synaptic plasticity, the basis for learning and memory (Huerta and Lisman, 1993).

Many studies have analysed the effect of nAChR agonists and antagonists on cognitive performance in a variety of behavioural tests in rats, monkeys and humans, and their specific involvement in cognitive function, such as attention, learning, sensory perception, memory consolidation and arousal has been reported (Levin and Simon, 1998). The nAChR agonist nicotine improves cognition for prolonged periods in non-human primates and in rodents even after nicotine discontinuation (Gould and Stephen, 2003; Buccafusco et al., 2005). By contrast, a blockade of nicotinic receptors by a nicotinic receptor antagonist impairs memory function (Levin and Rezvani, 2000).

Performance in attention and working memory tasks is improved by nicotine (Gioanni et al., 1999; Levin et al., 2002), and involves multiple brain areas, such as hippocampus, amygdala, and particularly the prefrontal cortex that has been implicated in attention and working memory and goal-directed behaviour (Groenewegen and Uylings, 2000). Epibatidine has been found to induce Long-term potentiation (LTP), improve memory and learning in human and experimental animal models and to be neuroprotective in several *in vitro* models (Jonnala et al., 2002) and both $\alpha 7$ and $\alpha 4\beta 2$ nAChRs are essential for these effects. The GTS-21 nicotinic agonist with potent functional selectivity for the $\alpha 7$ nAChR subtype improves memory performance in several tests, such as one-way active avoidance, Lashley III maze testing, and 17 arm radial maze test performance in aged rats, and improve cognitive and perceptual disturbances in schizophrenia (Arendash et al., 1995). The nicotinic agonist SIB-1508Y, selective for $\alpha 4\beta 2$, and the nicotinic agonist SIB-1553A, active at $\beta 4$ nAChRs, exhibit ability to improve cognitive activity and learning in a variety of models and to promote locomotor activity (Vernier et al., 1999), with long-lasting effects, in chronic low-dose MPTP-treated monkeys (Schneider et al., 2003). In addition, functional magnetic resonance imaging studies have shown increased activation of frontal networks by nicotine administration during attention tasks (Lawrence et al., 2002). Nicotinic receptor function may also be critical for maintenance of cognitive function during aging. Increased neurodegeneration is seen in aged

$\beta 2$ subunit knockout mice and is associated with learning deficits as shown during different conditioning tasks (Picciotto et al., 2001).

The mechanism of the persisting effects of nAChR activation is currently unknown, although the involvement of an alteration in nAChR expression or of interactions with other transmitter systems has been suggested (Levin and Simon, 1998). Recently we have taken into consideration the possibility that this persistence of nicotine effects could also be related to the increased levels of neurotrophic factors observed following nAChR agonist treatment in different experimental models (Belluardo et al., 1998, 1999b, 2000).

Neuroprotective effects of nAChR activation have been shown in different models with lesions of septohippocampal or nigrostriatal systems. Nicotinic agonists protect against neocortical neuronal cell loss induced by nucleus magnocellularis lesions in the rats and delayed hippocampal CA1 subregion neuronal death by ischemia (Nanri et al., 1998) and against septal cholinergic neurons death following fimbrial transection (Martin et al., 2004), and septohippocampal lesion with induced deficits in spatial memory (Decker et al., 1994b, 1995) or working memory (Levin et al., 1993). Prenatal nicotine exposure resulted in a trophic influence on cerebral cortex development characterized by premature increases in cholinergic projections based on analysis of choline acetyltransferase (Navarro et al., 1989).

Chronic nicotine treatment counteracts the disappearance of tyrosine-hydroxylase-immunoreactive or eliminates asymmetry in striatal glucose utilization in the mesostriatal dopamine system after partial hemitransection in the rat (Janson et al., 1988a, 1989; Janson and Moller, 1993; Jeyarasasingam et al., 2002), and protects against neurodegeneration of dopaminergic neurons in the MPTP model (Janson et al., 1988b) and the methamphetamine mice model of Parkinson's disease (Maggio et al., 1998).

Evidence in vitro

There exist numerous model systems in which nicotine has shown protective effects on neurons, including exposure to cytotoxic insults of glutamate, β -amyloid or 6-OHDA (Ryan et al., 2001). Nicotine induces protection of cultured cortical and striatal neurons against cytotoxicity mediated by NMDA and AMPA receptors (Kaneko et al., 1997), and of cultured hippocampal and cerebellar neurons against kainic acid induced neurotoxicity (Semba et al., 1996). This nAChR neuroprotection against glutamate cytotox-

icity seems to be mediated by their inhibitory action on NO-formation (Shimohama et al., 1996). Other studies have shown that nicotine rescues PC12 cells from death induced by NGF deprivation (Jonnala et al., 2002). Nicotine has recently been shown to inhibit A β aggregation by preventing the conversion from α -helix to β -sheet conformations (Salomon et al., 1996). Nicotine was found to prevent A β toxicity in hippocampal neurons (Zamani and Allen, 2001) and in cortical neurons (Shimohama and Kihara, 2001), and it has been suggested that nicotine-induced protection from A β toxicity is mediated by the phosphatidylinositol 3-kinase cascade (Kihara et al., 2001). Nicotine also inhibits A β induced phospholipase A2 activation (Singh et al., 1998). Taken together the results of nicotine inhibition of A β toxicity in cell culture suggested the possibility that the nAChR may be a therapeutic target in AD.

Clinical evidence

Parkinson's disease and Alzheimer disease – Epidemiological and clinical studies have for a long time suggested a potential neuroprotective/neurotrophic role of nicotine in neurodegenerative disease, such as AD and PD (Quik, 2004; Newhouse et al., 2004). During the progression of AD, cholinergic inputs degenerate and the number of nAChRs in some areas decreases (Gotti and Clementi, 2004) and high affinity nAChR of the $\alpha 4\beta 2$ subtype, are significantly lost in AD (Court et al., 2001). This loss likely occurs early in the disease and is probably not true for $\alpha 7$ -nAChR (Martin-Ruiz et al., 1999) although a loss of $\alpha 7$ -nAChRs in AD has been reported (Banerjee et al., 2000). This loss of nicotinic mechanisms, which modulates the gain and accuracy of synapses and modulates the excitability of circuits, is likely to contribute to the overall cognitive deficits associated with AD. Therefore cognitive changes in AD, including memory loss, are also likely to be caused by changes in synaptic plasticity in addition to non-specific neurotoxicity and/or cell loss (Small et al., 2001).

Clinical studies have revealed that nicotine is effective in ameliorating memory and attention deficits in AD patients (Newhouse et al., 2001), and to improve learning and memory in normal humans (Warburton et al., 1986). Numerous studies now demonstrate that chronic nicotine exposure induces increased numbers of CNS nAChR in animals and in human smokers *in vivo* (Wonnacott, 1990) and this up-regulation of receptor number has been proposed to be responsible for nicotine's neuroprotective action (Jonnala and Buccafusco, 2001). ABT-594 and ABT-418, potent and selective agonists for the $\alpha 4\beta 2$ subtype (Bannon et al.,

1998) are 3–10-fold more potent than nicotine as to memory-enhancing actions (Decker et al., 1994a) and improve in subjects with moderate AD deficits in total recall in a verbal learning task, in a selective reminding task, and in non-verbal tasks such as spatial learning and memory and repeated acquisition.

Treatments with cholinesterase inhibitors, such as physostigmine, have shown cognitive improvements (De La Garza, 2003). Recently galantamine, a modest acetylcholinesterase inhibitor and allosteric potentiating ligand at nAChRs, has shown neuroprotective effects against a variety of cytotoxic agents, such as β -amyloid, glutamate and sustained cholinergic stress *in vivo* (Geerts, 2005) and effects on memory, hippocampal plasticity, and elevates the number of nicotinic receptors within the hippocampus and neocortex (Barnes et al., 2000). In patients with AD, galantamine improves cognitive functional and behavioural symptoms (Maelicke et al., 2001). There is ample evidence that these effects of galantamine are mediated through allosteric potentiation of the $\alpha 7$ subunits with the additional benefit of a lower degree of receptor desensitization (Maelicke et al., 2001). Taken together, the loss of nAChRs associated with AD, with the ability of nicotine to upregulate its receptors and the epidemiological data showing smokers to be at less risk of AD than non smokers, are consistent with the hypothesis of nicotine receptor involvement in neuroprotective/neurotrophic functions (Perry et al., 1999).

Like for AD an inverse association between cigarette smoking and PD has been reported (Baron, 1986). According to epidemiological studies, it has been shown that cigarette smoking may have therapeutic implications in PD (Baron, 1986) with substantial improvement of the PD symptoms (Kelton et al., 2000), and acute i.v. nicotine improved reaction time, speed of processing and tracking errors, but not selective attention and semantic retrieval (Fratiglioni and Wang, 2000). Based on the epidemiological studies and the neuroprotective actions of nicotine in animal models of PD (Janson et al., 1988a, b; Fuxe et al., 1990) the potential role of nAChRs as targets for treatment of this disease has been raised (Belluardo et al., 2000; Burghaus et al., 2003), including the specific treatment of cognitive dysfunction associated with PD (Forgacs and Bodis-Wollner, 2004). Some of these effects on learning and memory may not only be caused by neurotrophic actions by nicotine and other nicotinic receptor agonists, but may also be mediated by the enhancement of dopaminergic and noradrenergic function via the ability of nicotinic receptor agonists to enhance the release of dopamine and norepinephrine in the brain including the striatum

(Summers et al., 1997; Andersson et al., 1981; Fuxe et al., 1989), as well as to increases in tyrosine hydroxylase and dopamine β -hydroxylase mRNA levels (Gueorguiev et al., 2000). Therefore, treatment with nicotine, or preferably selective nicotinic agonists, could attenuate nigrostriatal damage and reduce PD progression and thus provide neuroprotective effects by selectively stimulating nAChR subtypes as well as improve PD symptoms by increasing dopamine release and synthesis (Kelton et al., 2000; Lichtensteiger et al., 1982; Kita et al., 1992; Andersson et al., 1981; Fuxe et al., 1986).

Schizophrenia – Over the last twenty years, a high incidence of smoking has been observed in subjects suffering from schizophrenia (Sacco et al., 2004) and clinical data suggested that nicotine improves certain cognitive and sensory abnormalities associated with schizophrenia such as deficits in sensory gating (Ripoll et al., 2004; Tanabe et al., 2005). Data available suggested that nicotine improves attention and inhibitory functions in hippocampus and cingulate gyrus with involvement of GABAergic mechanisms (Tanabe et al., 2005). Furthermore, a partial $\alpha 7$ agonist improves auditory P50 suppression in schizophrenia (Koike et al., 2005) and it has been indicated to be reasonable candidates for the treatment of cognitive and perceptual disturbances in schizophrenia (Koike et al., 2005; Deutsch et al., 2005), since *inter alia* $\alpha 7$ nicotinic receptor activation may create a glutamatergically mediated increase in dopamine release in the prefrontal cortex, and in area implicated in the development of negative symptoms in patients with schizophrenia (Nomikos et al., 2000). Recent studies have shown that also galantamine improves the cognitive performances of patients with refractory schizophrenia having auditory hallucinations and a disorganized form of schizophrenia (Allen and McEvoy, 2002). Therefore, the alleviation of certain symptoms of some forms of schizophrenia induced by nicotine could explain the high incidence of smoking among schizophrenic patients and suggests that nicotine intake by cigarette consumption may be a form of self-medication. It is of substantial interest that there exists a differential modulation of gene expression in NMDA postsynaptic densities in schizophrenic smokers vs. control smokers (Mexal et al., 2005). It is also possible to conceive that neurotrophic actions of nicotinic agonists can be involved in antischizophrenic actions in view of the neurodevelopmental theory of schizophrenia (Lipska, 2004; Freedman, 2005), since nicotinic receptors and FGF-2 exist in the subependymal layer rich in neuronal progenitor cells (Mudo' et al., 2005).

Taken together the available experimental and clinical data largely indicate long-lasting beneficial effects of nico-

tine and nicotinic agonists that imply a neuroprotective/neurotrophic role of nAChR activation, giving these receptors a therapeutic role in PD and AD. In addition, $\alpha 7$ agonists may have a therapeutic potential in schizophrenia.

Nicotine addiction and neuroplasticity

Brain nAChRs distribute to postsynaptic, as well as to pre-, peri- and other, sites, where they may modulate the neurotransmitter release, synapse action and neuronal activity, playing important roles in many physiological processes including neuron development, learning and memory, and reward responses as well as in addiction development (Dani et al., 2001). Addictive drugs produce forms of structural plasticity similar to those associated with other forms of experience-dependent plasticity. Nicotine addiction, which is mediated by interaction with nAChRs, is believed to involve the modification of signalling cascades that modulate synaptic plasticity and gene expression, as proposed for other drugs of abuse (Dani et al., 2001; Nestler, 2002).

A growing body of evidence has shown that drugs of abuse can activate memory mechanisms in the circuits of the mesolimbic reward center (Nestler, 2001; Samaha and Robinson, 2005). It has been reported that nicotine in the ventral midbrain (VTA), via MLA-sensitive nAChR, stimulates both glutamatergic terminals and DA neurons directly, potentiating excitatory transmission in the VTA and replace presynaptic stimulation completely in LTP induction, generating synaptic plasticity (Mansvelder and McGehee, 2000). The glutamatergic input to the DA neurons can undergo LTP in response to pairing of pre- and postsynaptic stimulation, and this process is dependent on NMDA receptor activation (Bonci and Malenka, 1999).

A limited exposure to nicotine is sufficient to induce lasting changes in the circuitry of the mesolimbic DA reward system as shown in human adolescents expressing behaviours that support the observations of lasting changes in synaptic activity by a single exposure to nicotine (Mansvelder and McGehee, 2000), or initial symptoms of nicotine dependence after smoking of only a few cigarettes (DiFranza and Wellman, 2003). Early on acute nicotine injections and acute intermittent exposure to cigarette smoke was found to increase DA turnover and release in certain limbic DA nerve terminal systems of the nucleus accumbens and the olfactory tubercle (Andersson et al., 1981; Fuxe et al., 1986). With continued nicotine exposure, plastic molecular alterations in central DA systems might underlie the continued propensity to consume nicotine by inducing craving, the aversive effects of withdrawal, and

aberrant incentive-salience attribution to environmental stimuli that are associated with nicotine. The synaptic mechanisms that nicotine activates within the DA reward system are likely to underlie the early steps of nicotine dependence and it is one of the critical areas for future research on the physiological basis of nicotine addiction (Pulvirenti and Diana, 2001).

Desensitization: neuroprotection and plastic changes

Work from several laboratories show that when ACh, nicotine or related agonists are continuously applied nAChRs become 'desensitized' or temporarily inactive (Giniatullin et al., 2005). Desensitization in addition to protecting cells from uncontrolled excitation is recently considered as a form of signal plasticity that might modify synaptic efficacy in various brain regions (Dani et al., 2001; Mansvelder and McGehee, 2002). In fact, the most obvious neuroprotective action of desensitized nAChRs is to inhibit excessive excitation dependent on high permeability to Ca^{++} of activated nAChRs. In line with this, mutation at the position leucine 247 of the $\alpha 7$ subunit significantly inhibits desensitization and inserting this mutation into mice can induce animal death (Wang and Sun, 2005). Recently, a reasonable working hypothesis has been developed stating that the phenomena of desensitization beyond simply nicotinic receptor inactivation may contribute to the array of potential effects associated with chronic nicotine exposure. Numerous studies have demonstrated that chronic nicotine exposure induces increased numbers of CNS nAChRs both *in vivo* in animals and in human smokers, caused by post-translational mechanisms (Benwell et al., 1988), and *in vitro* (Bencherif et al., 1995), although other investigations support a change not in the number of receptors but in their state of binding and response to ligands (Vallejo et al., 2005). This nicotine up-regulation of receptor number is viewed as responses to desensitization thereby also implying that the desensitized nAChRs may be responsible for the nicotine's neuroprotective action (Jonnala and Buccafusco, 2001). The mechanism may also involve an enhanced intracellular maturation of the nicotinic receptors (Salette et al., 2005). Pretreatment with nicotine for 24 h has been shown to alleviate the toxic actions of MPTP, indicating a neuroprotective effect of desensitized nAChRs, and the neuroprotective action of nicotine in cultured PC12 cells has been suggested to be due to upregulation of $\alpha 7$ -containing nAChRs following their persistent desensitization (Jonnala and Buccafusco, 2001). According to this finding, it has been reported that desensitized $\alpha 7$ nAChRs have a neuroprotective function through modulating signal

transduction pathways (Dajas-Bailador et al., 2000; Dani, 2001).

A consistent number of reports suggested that the desensitized nAChRs induced by chronic nicotine may be important for learning and memory (Lindstrom et al., 1987). In this context, acute or prolonged chronic nicotine treatment can decrease the threshold for LTP induction in the hippocampus CA1 and can reverse the age-dependent decrease in LTP-induction (Fujii and Sumikawa, 2001). Because a decreased threshold for LTP may be mimicked by MLA and prevented by a non $\alpha 7$ nAChR blocker, it has been suggested that $\alpha 7$ nAChR desensitization are mainly responsible for the effects (Fujii and Sumikawa, 2001). Furthermore, in view of the negative association between cigarette smoking and PD or AD, it has also been suggested that desensitized nAChRs may be responsible for their neuroprotective actions (Baron, 1996). The use of allosteric modulator drugs that do not act as agonists on nAChRs, and therefore cannot generate their widespread desensitization (Maelicke et al., 2000) may be important tools for understanding the role of receptor desensitization in neuroprotection.

Molecular mechanisms mediating neuroprotective effects of nAChR activation

Nicotine and other nAChR agonists as above described have neuroprotective/neurotrophic effects in several *in vivo* and *in vitro* models of neuronal death (O'Neill et al., 2002). Nevertheless, intracellular steps that mediate these effects of nAChR ligands are not fully solved.

Concerning neuroprotective effects, nAChR activation evoking Ca^{++} influx is likely to represent a first step in the intracellular signalling cascades (Dajas-Bailador et al., 2000) followed by diverse downstream pathways and processes that are subsequently activated. Thus, this activation of downstream signalling pathways appears necessary for the prevention of neuronal death. Consistent with this suggestion, block of Ca^{++} influx following activation of $\alpha 7$ nAChR promotes survival of spinal cord motoneurons from programmed cell death (Messi et al., 1997). In hippocampal slices nicotine-induced protection against acute NMDA damage is mediated by the activation of phosphatidylinositol 3-kinase and ERK/MAPK (Ferchmin et al., 2003) or protein kinase C (Li et al., 1999) and regulation of Bcl-2 and Bcl-x expression, which may be involved in prevention of neuronal death (Toborek et al., 2000; Mai et al., 2003). Other results suggested that nAChR stimulation induces neuroprotection against glutamate cytotoxicity by its inhibitory action on NO-formation (Shimohama et al.,

1996). Stimulation of nAChR can also lead to the increased expression of neurotrophic factors (Belluardo et al., 2000). Therefore, activation of diverse signalling mechanisms might subsequently lead to neuroprotection through inhibition of apoptosis and/or increased expression of neurotrophic factors crucial for neuronal maintenance, survival (Belluardo et al., 2000; Roceri et al., 2001).

Molecular mechanisms mediating neurotrophic effects of nAChR activation

Regulation of gene expression by synaptic activity is essential both for normal development in the nervous system and for long-term components of synaptic plasticity (Dajas-Bailador et al., 2000). The chain of events connecting synaptic activity and gene expression is often initiated by calcium influx (Hardingham et al., 2001), and nAChRs, and particularly the $\alpha 7$ nAChR, are permeable to Ca^{++} . In neurons, nAChRs activation can play a relevant role in Ca^{++} signalling not only because of the Ca^{++} entry through different nAChR subtypes, but also because nAChR depolarization of the plasma membrane can activate voltage operated calcium channels and may also increase intracellular Ca^{++} by inducing Ca^{++} mobilization from intracellular stores. The absolute quantity and strategic localization of Ca^{++} entry through nAChRs is likely to be relevant for the regulation of calcium-mediated downstream intracellular events, including transmitter release, cell excitability, activation of protein kinases, gene expression, cell differentiation and survival and new protein synthesis, ultimately leading to changes in synaptic plasticity and neuronal remodelling (Dajas-Bailador et al., 2000). Therefore, in addition to rapid changes in membrane potential, activation of nAChR can also generate longer-lasting effects in the receptive neuron, which contribute to the elaboration of complex intracellular signals that mediate medium-to long-term events (Role and Berg, 1996).

nAChRs have been implicated in a wide variety of neurotrophic events, including learning and memory, which involve mechanisms for calcium-dependent synaptic regulation (Dajas-Bailador et al., 2000). nAChR agonists activate mechanisms of synaptic plasticity and long-term enhancement of memory, which involve an increase in the number and efficiency of synaptic connections between neurons. Long-lasting cognitive effects of nAChR ligands may be attributed to the cellular changes that result in synaptic plasticity and LTP is a model of the synaptic plasticity of learning and memory (Buccafusco et al., 2005).

During the past few decades, the pathways that are involved in synaptic plasticity have been elucidated (Kandel,

2001), particularly the phenomenon of LTP, which is an increase in synaptic strength that can persist for extended periods of time (Bliss and Lomo, 1973). The phases of LTP correlate with the stages of memory, and impairment of the mechanisms of LTP leads to memory deficits (Kandel, 2001). In the early phase of LTP, which lasts only hours, Ca^{++} dependent second messenger systems modify existing proteins to enhance neurotransmitter release from presynaptic neurons and support short-term memory. Late phases of LTP require protein synthesis, lasting at least a day, and require prolonged elevation of the intracellular Ca^{++} concentration. The latter activates a cascade that involves adenylyl cyclase, cAMP and second messengers such as protein kinase A and mitogen-activated protein kinase (MAPK) (Impey et al., 1999). In turn, these events may enhance the activity of transcription factors, e.g. the cAMP response element-binding protein-1 (CREB-1), which increases the expression of immediate early genes and stimulates the synthesis of growth factors and other proteins that potentiate cell excitability and support the formation of new synaptic connections. The growth and maintenance of new synaptic connections enables the persistence of long-term memory (Kandel, 2001). Much evidence indicate that activation of nAChRs may initiate a cascade of cellular signals that produces long-term molecular changes involved in the molecular mechanisms of memory. Repeated exposure to nAChR agonists enhances LTP more than a single dose administration, whereas a persistent activation of nAChRs is not required for the maintenance phase of LTP. These effects of nAChR agonists have been characterized most extensively in the hippocampus, where $\alpha 7$ nAChR activation enhance the probability of LTP and might contribute to the mechanisms that underlie the effects of nicotine on cognition (Ji et al., 2001).

nAChR agonists may activate several mechanisms which may induce long-term changes leading to more complex neurotrophic response in addition to increased number and efficiency of synaptic connections between neurons.

Nicotine treatment by increasing permeability of nAChR to Ca^{++} can induce PKC activity (Fenster et al., 1999), and Ca^{++} CaM-dependent protein kinase II, and both effects can be reversed by nAChR antagonists (Damaj, 2000). The mitogen-activated protein kinase (MAPK or Erk1/2) pathway can also be activated by nicotine-dependent increases in Ca^{++} levels (Cox et al., 1996) or alternatively, changes in MAPK activity might be regulated by nicotine-dependent alterations in the levels of fibroblast growth factors that would activate tyrosine kinase receptor-mediated pathways (Blum et al., 1996). Similarly, Nicotine-dependent changes in phospholipase C (Pandey, 1996) and PKA

signalling pathways might also be mediated by upstream effects of nicotine on neurotrophic factors or neurotransmitter release.

Previous studies have demonstrated that nicotine administration modulated the expression of a variety of genes, and transcriptional activation (Shim et al., 2000). Exposure to nAChR agonists elevates the expression of the immediate early genes c-Fos and JunB in several brain areas (Harlan and Garcia, 1998). The immediate early genes regulate the transcription of downstream targets that have diverse roles in signalling, cell growth and cell maintenance. Recently, Gene-array technology indicates that nicotine administration might affect numerous genes, including those involved in intracellular signalling, transcription, translation, CREB phosphorylation (Gueorguiev et al., 2004), transmitter receptors, ion channel signalling pathways (Sun et al., 2004) and proteins associated with RNA binding and the plasma membrane (Dunckley and Lukas, 2003). We used Rat Genome U34A Affymetrix GeneChip arrays to find in the rat parietal cortex new genes responsive to acute intermittent nicotine treatment and linked to neuroprotection (Belluardo et al., 2005). In this study 25 modified genes were selected and among them five genes encoding transcription factors were found up regulated (Nr4a1, Cebpg, Egr-1, Egr-2, JunB). All these transcription factors show special significance in view of their known role in regulation of several genes, some of which are involved in neurotrophic and/or neuroprotective actions.

Among the intracellular events regulated by nAChR activation, the CREB and ERK/MAPK signalling cascades have attracted particular attention because their activities are central to long-term plasticity in the nervous system (Sweatt, 2001). In fact, activation of ERK/MAPK is required for the formation of contextual and spatial memories in mammals (Sweatt, 2001) and nAChR mediate the Ca^{++} -dependent activation of ERK/MAPK and sustained phosphorylation of CREB in several neuronal models (Chang and Berg, 2001). Nicotine can alter gene expression in rat hippocampal neurons, as reflected by activation of the transcription factor CREB and appearance of the immediate early gene product c-Fos. The process depends on both CaM and MAP kinases and on calcium release from internal stores (Hu et al., 2002). These findings in addition to having directly physiological relevance in learning and memory, may also have pathological relevance in addiction (Nestler, 2002). Nicotine dependence, which is mediated by interaction with nAChR, is likely to involve the modification of signalling cascades that modulate synaptic plasticity and gene expression, as proposed for other drugs of abuse (Nestler, 2001; Dani et al., 2001). Although there are

few reports on the contributions of signalling pathways in models of nicotine addiction, several studies have focused on the modulation of CREB following chronic treatment with nicotine. CREB activation is required for nicotine reward (Walters et al., 2005) and nicotine withdrawal significantly reduced the concentrations of CREB and phosphorylated CREB in rat cortex and amygdala (Pandey et al., 2001). Phosphorylated CREB appears also to be decreased in the nucleus accumbens in mice following chronic consumption of nicotine in their drinking water (Brunzell et al., 2003). Overall, these results support a role for ERK and CREB activity in neural plasticity associated with nicotine dependence.

nAChRs activation is involved on neurotrophic factor gene expression

The mechanisms by which nicotinic signalling may regulates gene expression are poorly understood, and it is not known how such mechanisms interact with other calcium dependent pathways controlling transcription. Therefore, a comprehensive survey of the gene-based molecular mechanisms that underlie nicotine effects is yet to be performed. During the last years we have begun a study aimed to identify genes encoding neurotrophic factors targeted by nAChR activation and to elucidate the signalling pathways through which nAChR regulate their expression.

Several neuronal populations within the adult CNS require the presence of neurotrophic factors to maintain neuronal function (Sofroniew et al., 1990) and survival (Barde, 1989). Long-term changes in synaptic plasticity are associated with neurotrophic factors (Pang et al., 2004) and the reduction in neurotrophic factor expression may result in the neuronal atrophy seen in normal ageing or the neuronal loss observed in neurodegenerative disorders such as AD and PD (Connor and Dragunow, 1998). Therefore a neurotrophic factor gene regulation by nAChR signalling has been also taken into consideration as a possible mechanism involved in neuroprotective/neurotrophic effects by nAChR activation.

We have analysed the effects of acute intermittent nicotine treatment on FGF-2 expression and the results obtained (Belluardo et al., 1998) showed that treatment with acute intermittent nicotine (four i.p. injections at intervals of 30 min; 1 mg/kg), or with other nicotinic agonists such as epibatidine and ABT-594, lead to a substantial upregulation of FGF-2 mRNA and protein levels in the cerebral cortex, the hippocampal formation, the striatum and the ventral midbrain. An extension of this model to the study of aged rats (24 months old) showed that the nicotine-induced increases of FGF-2 mRNA levels is preserved

during aging (Belluardo et al., 1999a, 2000). In cultured chromaffin cells from bovine adrenal medullae stimulation of nAChR increases FGF-2 gene expression (Stachowiak et al., 1994). This nAChR-mediated increase in expression of FGF-2 gene was mediated by adenylate cyclase or protein kinase C and dependent on nuclear interaction of transactivating factors with a novel cis-acting element (Moffett et al., 1998). In order to elucidate the signalling pathways through which nAChR regulate FGF-2 expression we focus on transcription factors identifying a potential role of CREB. We could verify the presence in the promoter of the FGF-2 gene a CRE-site which is able to bind CREB (unpublished data). This involvement of CREB is also supported by the ability of acute nicotine treatment to induce phosphorylation of CREB, without a change in CREB levels, and to increase activation of ERK-1 (unpublished data), which suggests an involvement of Ras/mitogen-activated protein kinase (MAPK) pathways.

This regulation of FGF-2 gene in turn can exert neurotrophic functions involving neuronal survival, trophism and plasticity. FGF-2 exerts its pleiotropic effect through specific high- and low-affinity receptors named FGFR-1-4 (Belluardo et al., 1997). FGF-2 has been implicated to be the potent neurotrophic factor that promotes mitosis and differentiation of neuroblasts (Mayer et al., 1993), and neuronal survival and neurite extension (Ferrari et al., 1989) and protects cultured central neurons from different insults (Cheng and Mattson, 1991). An *in vivo* protective role for FGF-2 has been indicated by studies showing that FGF-2 can support the survival of cholinergic basal forebrain neurons following fimbria transection (Anderson et al., 1988), dopaminergic neurons following MPTP toxicity (Chadi et al., 1993) and hippocampal neurons following cerebral ischemia (Nakata et al., 1993) or hippocampal damage after excitotoxic injury (Mattson et al., 1989). Endogenous expression of FGF-2 blocked by neutralizing antibodies following unilateral suction lesions of the motor cortex retards recovery from injury in rats (Rowntree and Kolb, 1997). A loss of FGF-2 in the substantia nigra in PD has been reported (Tooyama et al., 1993). Transgenic mice lacking FGF-2 showed a reduction in the neuronal density in the motor cortex, with layer V more greatly affected (Ortega et al., 1998), suggesting that FGF-2 controls migration, differentiation and survival especially of cortical neurons (Dono et al., 1998). Recently FGF-2 has been implicated in neurogenesis in adult rat brain (Kuhn et al., 1997). In this context we revealed in the neuroepithelium of the subventricular zone of the lateral ventricle in the adult rat brain the existence of a trophic mechanism mediated by FGF-2 and its receptor and regulated by nAChR, activation

of which by acute intermittent nicotine treatment enhances neuronal precursor proliferation (Mudo' et al., 2005) Other pieces of evidence that nAChRs may play a direct role in the regulation of neurogenesis come from the reported decline of hippocampal cell proliferation in mice lacking the $\beta 2$ subunit (Harrist et al., 2004), and a decrease of neurogenesis in the adult hippocampal formation following chronic nicotine self-administration (Abrous et al., 2002). These findings suggest the possibility of *in vivo* regulation of neurogenesis in the adult brain by nicotine agonists and may help to develop treatment stimulating neurogenesis with important therapeutic implication.

Several reports have showed that other neurotrophic factors may be under regulation of nAChR activation. Intra-hippocampal administration of nicotine increases transiently NGF in the CA1 and dentate gyrus of the hippocampus and PC12 neuronal cells exposed to nicotine increase NGF receptor expression (Jonnala et al., 2002). Acute intermittent nicotine treatment increase levels of BDNF in the rat striatum (Maggio et al., 1998), although other reports in similar experimental models have indicated as unchanged the BDNF levels (Belluardo et al., 1999a). NGF itself enhances ACh release (Lapchak et al., 1994), increases mRNA that encodes nAChR subunits (Henderson et al., 1994) and promotes sprouting of ACh-containing fibers in the septum (Heisenberg et al., 1994). Nevertheless, in contrast to NGF, which is expressed only in restricted regions of brain and does not give account for the large effects of nicotine in several brain regions, FGF-2 is widely distributed in the adult CNS both in neuronal and non neuronal cells (Fuxe et al., 1996) and the potent and widespread activation of FGF-2 in several brain regions following nicotine treatment suggested that the cholinergic activation of the FGF-2 gene via nAChR may be better suited to participate in the plasticity changes and improved neuronal survival in the brain found after nicotine agonist treatment. Therefore, this FGF-2 nicotine activation supports the suggestion that the previously observed neuroprotective effects of nicotine and the potential beneficial effects of nicotine agonists in the treatment of AD and PD, may at least in part involve an activation of the neuronal and glial FGF-2 signalling.

Conclusions

Although the large amount of data acquired in the last years have added new important insight in our understanding the molecular mechanisms that underlie nicotine effects, more work is needed to establish the mechanisms involved in any nicotinic receptor activation effects, from cognition-

enhancing effects to smoking behaviour, and to determine more precisely the therapeutic objectives in potential nicotinic drug treatment of neurodegenerative diseases. In addition, it will be also important to determine how the diverse nAChR subtypes are integrated in such actions and the impact of their changes for pathology, and to define whether neuroprotective/neurotrophic and cognitive enhancements are mediated via acute activation, repetitive activation, or more chronic inactivation of nAChR function or by some combination of these actions. Such knowledge is important for the development of targeted drug therapies acting on nAChRs to delay the onset and progression of chronic age related brain neurodegenerative pathologies, as seen in PD and AD, leading to improvement of cognitive abilities.

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