

## Research



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Single individual structural variant  
detection uncovers widespread  
hemizyosity in molluscs

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The advent of complete genomic sequencing has opened a window into genomic phenomena obscured by fragmented assemblies. A good example of these is the existence of hemizygous regions of autosomal chromosomes, which can result in marked differences in gene content between individuals within species. While these hemizygous regions, and presence/absence variation of genes that can result, are well known in plants, firm evidence has only recently emerged for their existence in metazoans. Here, we use recently published, complete genomes from wild-caught molluscs to investigate the prevalence of hemizyosity across a well-known and ecologically important clade. We show that hemizygous regions are widespread in mollusc genomes, not clustered in individual chromosomes, and often contain genes linked to transposition, DNA repair and stress response. With targeted investigations of *HSP70-12* and *C1qDC*, we also show how individual gene families are distributed within pan-genomes. This work suggests that extensive pan-genomes are widespread across the conchiferan Mollusca, and represent useful tools for genomic evolution, allowing the maintenance of additional genetic diversity within the population. As genomic sequencing and re-sequencing becomes more routine, the prevalence of hemizyosity, and its impact on selection and adaptation, are key targets for research across the tree of life.

This article is part of the Theo Murphy meeting issue 'Molluscan genomics: broad insights and future directions for a neglected phylum'.

## 1. Background

The rapid development of third-generation sequencing technologies and the subsequent increase in the number of species with an assembled genome has led to extraordinary new insights into gene family evolution between related species. At the same time, the flood of new genomic information has given rise to new questions regarding the dynamics of gene family expansion and contraction, and the mechanisms that could be driving such potentially adaptive processes in disparate taxa [1,2].

With increasing depth of sequencing data, it has become apparent that not all individuals of a species possess structurally identical genomes [3,4]. While small-scale variation (single nucleotide polymorphisms, indels, duplications, inversions and translocations) between individuals was entirely expected, large-scale structural variations, incorporating gene content disparity, have come as somewhat of a surprise in metazoan lineages. Variation in DNA content between individuals of a species forms the basis of the pan-genome concept [5]. First described in bacteria, a genic pan-genome consists of a core set of genes shared by all members of a species in addition to a set of 'dispensable' genes which are subject to presence/absence variation (PAV) between individuals [6]. The ratio of dispensable and core genes defines whether a

pan-genome is considered closed or open, with the latter requiring the sampling of a very large and undetermined number of individuals to capture the full complement of dispensable genes in a species [7]. In the context of a diploid eukaryote, dispensable genes may be present in either one, two or zero copies in any given individual, meaning that an assembled genome of a single individual may not capture the full genic complement of that species.

In plants, two recent papers on the pan-genomes of rapeseed and tomato have highlighted the extensive PAV in these species and also the functional importance of some of their dispensable genes [8,9]. In total, at least 12 plant pan-genomes have now been described (reviewed in [10]). In plants, most SVs are associated with transposons and are rich in repeat sequences.

In metazoans, information on pan-genomes is only starting to emerge with genomic variation between individuals noted in animals such as the roundworm *Caenorhabditis brenneri* [11], the family *Caenorhabditis* more generally [12] and the ascidian *Ciona savignyi* [13].

Analyses of humans, probably the most resequenced metazoan species, are also beginning to show evidence of a closed pan-genome (i.e. a pan-genome with a low rate of dispensable to core genes). Based on the re-sequencing of 2504 human genomes, a total of 240 genes were found to be occasionally subject to homozygous deletions in healthy individuals (and therefore likely dispensable) [14]. Analysis of the genome from 910 individuals of African descent led to the assembly of 296 Mb of genomic sequence not included in the reference human genome [15]. In pigs, the size of the pig pan-genome, estimated based on the re-sequencing of 12 individuals, revealed the presence of 72.5 Mb additional genomic sequence [16]. High levels of genomic heterozygosity and gene presence/absence have been recorded in the bivalve molluscs *Mytilus galloprovincialis* [17] and *Crassostrea gigas* [18]. In *M. galloprovincialis*, a species characterized by an open pan-genome (i.e. by a 1:3 dispensable to core genes rate) this has been firmly linked to hemizygosity [17], however, it is unknown how widely this trait is shared with other animals, or with other molluscs in particular.

Hemizygosity occurs in a genome where only one of the two chromosomal pairs encodes a region or block of DNA. In mammals, the most prominent example of hemizygous DNA comes from the X chromosome when it occurs in males. Under the male condition, no homologous region for the majority of the X chromosome exists on the Y chromosome which leaves the genes encoded by these regions monoallelic. To cope with this, dosage compensation mechanisms have evolved to alleviate the issues associated with reduced transcriptional output in males [19]. Hemizygous regions can also result from insertions or deletions (indels) of blocks of DNA and can occur through potentially pathological pathways (i.e. retrovirus or transposon insertion, e.g. [20]). The detection of hemizygous DNA that encodes genes in a single individual is evidence that at the population level, these genes are likely to be subject to PAV as individuals may possess one (hemizygous), two (homozygous) or zero copies (nullizygous) of the dispensable DNA block.

Questions arising from the increasing observation of intraspecific genomic structural variation include, how representative are the single genomes we have for most species of the population or species from which they derive? Are the gene family contractions and expansions observed in these

representative genomes shared in their entirety by all other members of the species? If not, what are the implications for species-wide phenotypic variability, gametic compatibility and ecological adaptability? While some of these questions will not be resolved until data from multiple individuals per species, spanning multiple phyla are available, targeted analysis of individual high-quality genomes can be used to determine how prevalent genomic structural variability and open pan-genomes may be within particular clades.

Molluscan species, which are commonly profligate broadcast spawners [21], are often found inhabiting quite variable environments [21]. Many aquatic species, particularly bivalves, are sessile filter feeders that occur in high-density beds. This places them at risk of infection and exposure to locally unfavourable conditions [22]. The maintenance of genetic variation through a pan-genome, therefore, could enable a population to adapt to changes in environment or extreme local conditions [3].

Here, we examine the hemizygous DNA complement of eight high-quality and near complete conchiferan mollusc genomes (figure 1a). We detail the impacts of widespread hemizygosity on the genomic architectures of this species-rich and ecologically important clade, and investigate the hemizygous gene complement of each species. We note that retroelement-related genes and those involved in splice repair are over-represented in our datasets. Similarly, HSP70, C1qDC proteins, C-type lectins and immune-related GTPases are common in hemizygous regions, suggesting possible adaptive roles in stress response and immunity. Our method, using freely available public data, could be applied to any well-sampled clade. It will be broadly applicable across the tree of life as more high-quality genome sequences become available, providing a clear means of investigating this under-studied, but potentially widespread, means of genomic adaptation.

## 2. Methods

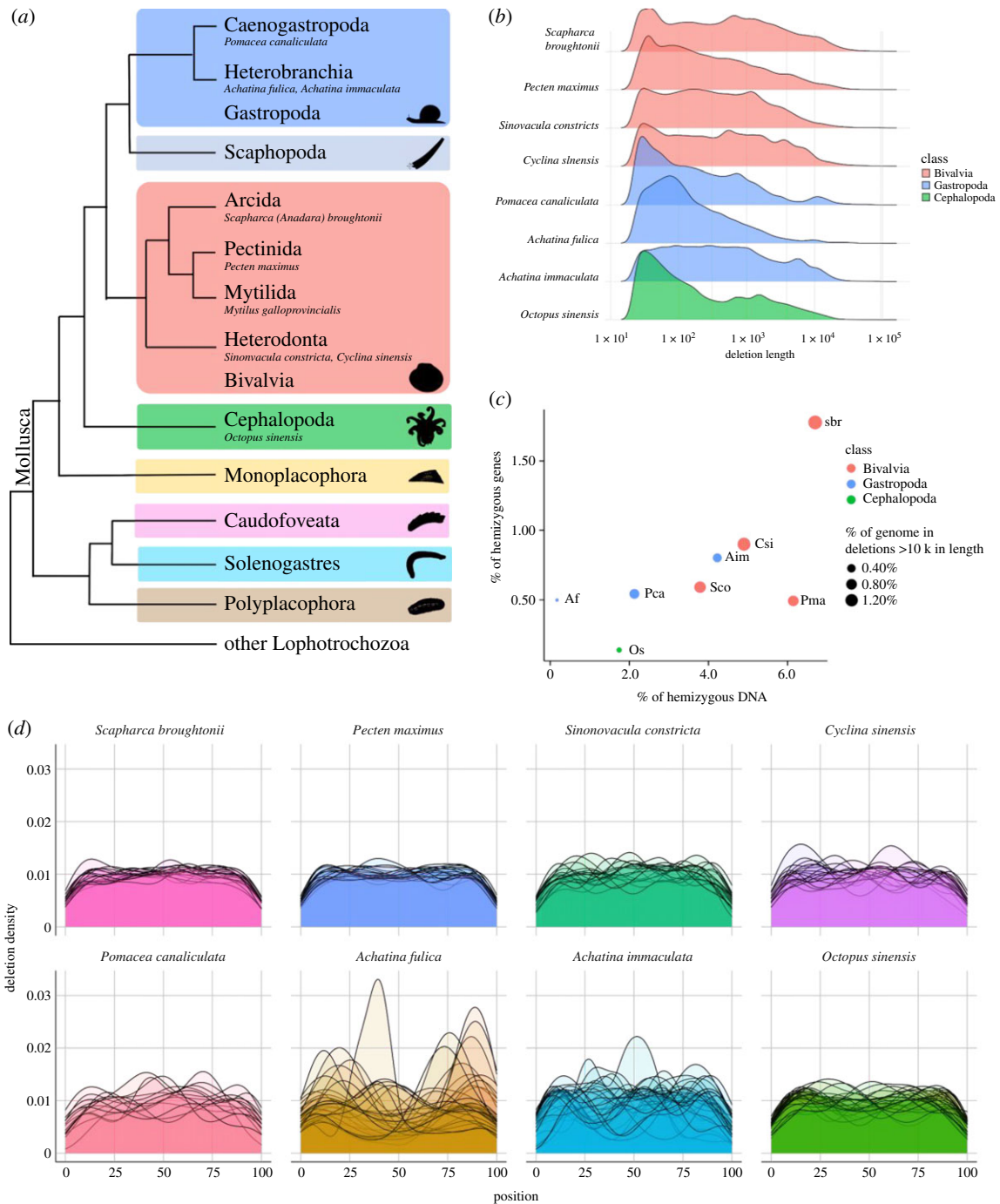
Genome assemblies and datasets used for all subsequent analyses are provided in table 1. Details on command line options for each step in the analysis pipeline can be found in electronic supplementary material, file S1. A brief description of each step involved is provided here.

### (a) Species selection

To document hemizygosity across conchiferan molluscs, we selected publicly available genomes that met three criteria. (i) The available assembly of each genome should be at or approaching chromosomal level, (ii) the sequenced individual should be wild caught and (iii) the genome assembly should be built from PacBio and Illumina datasets and these should be publicly available. These features were sought so that analyses of chromosomal distribution could be performed, artefacts from captive breeding could be avoided, so that precise boundaries of hemizygous regions could be determined and so that *k*-mer coverage of hemizygous regions could be performed. Hi-C Illumina short-read datasets were avoided as they do not map uniformly to their respective genomes. The Illumina datasets for *Pecten maximus* and *Sinonovacula constricta* were 10× Genomics datasets. Q1

### (b) Structural variant detection

To identify hemizygous regions, PacBio's structural variant detection pipeline pbsv v. 2.2.2 [24] was run on each of the eight genomes. The mapped long read bam file and tandem repeats



**Figure 1.** Phylogeny and hemizygous loci analyses of eight molluscan species. (a) Representative cladogram of mollusc relationships after [23]. Species referenced in this manuscript shown in italics. Note, in Bivalvia and Gastropoda, numerous subclades are not shown. (b) Length distribution of hemizygous regions (deletions, log<sub>10</sub> on both axes). (c) Percentage of each genome which is hemizygous versus the percentage of all genes which reside entirely within hemizygous DNA. The size of each point is proportional to the percentage of the genome found in large (greater than 10 kb) hemizygous regions. Species include *Achatina fulica* (Af), *Achatina immaculata* (Aim), *Cyclina sinensis* (Csi), *Octopus sinensis* (Os), *Pecten maximus* (Pma), *Pomacea canaliculata* (Pca), *Scapharca broughtonii* (Sbr) and *Sinonovacula constricta* (Sco). (d) Density of hemizygous loci for each species. Each chromosome is represented by an individual data series (line) which spans the beginning (0% distance) to the end (100% distance) of each chromosome. (Online version in colour.)

annotation files required for pbsv were generated with the mini-map2 [25] wrapper pbmm2 v. 1.0.0 (<https://github.com/PacificBiosciences/pbmm2>) and Tandem Repeats Finder v.4.09 [26] respectively. As the aim was to identify regions of the genome assemblies that were both hemizygous and contained previously annotated genes, the type of structural variants detected by pbsv that were used for subsequent analyses were limited to deletions. Detected deletions that passed pbsv's default criteria were filtered for further analysis. Insertions relative to the reference genomes were also annotated in order to determine the upper bound of hemizyosity for these individuals, however, these were not used for downstream analyses due to the absence

of gene annotations within these loci. As such, unless otherwise specified, 'hemizygous regions' refers only to deletion-associated hemizygous regions for the remainder.

To visualize the level of hemizyosity in each species, deletions at least 10 kb in length that were not associated with tandem repeats were extracted and used as input for chromoMap v. 0.2 [27].

### (c) K-mer analysis of hemizygous regions

Illumina short-read libraries were preprocessed with bduk [28] and mapped to their respective genomes with bwa mem v. 0.7.16



**Table 1.** Genomes used, and basic statistics regarding assemblies.

genome	abbreviation	bioproject	heterozygosity (%)	genome size	% hemizygosity (deletions)	% hemizygosity (deletions plus insertions)
<i>Pecten maximus</i>	Pma	PRJEB35331	1.69	918 306 378	6.14	10.68
<i>Sinonovacula constricta</i>	Sco	PRJNA508451	2.42	1 220 848 272	3.78	7.54
<i>Cyclina sinensis</i>	Csi	PRJNA612143	1.53 <sup>a</sup>	903 119 975	4.89	8.87
<i>Scapharca (Anadara) broughtonii</i>	Sbr	PRJNA521075	1.79	884 566 040	6.69	10.81
<i>Pomacea canaliculata</i>	Pca	PRJNA427478	1.92	440 159 624	2.12	3.91
<i>Achatina fulica</i>	Afu	PRJNA511624	0.19	1 855 892 613	0.17	0.37
<i>Achatina immaculata</i>	Aim	PRJNA561271	0.74	1 653 153 977	4.22	8.06
<i>Octopus sinensis</i>	Osi	PRJNA541812	0.57	2 719 136 158	1.74	2.81

<sup>a</sup>For *C. sinensis*, the heterozygosity value calculated in the original genome publication is displayed as the GenomeScope model did not accurately capture the heterozygous and hemizygous peaks.

[29]. In cases where more than one dataset was used per species, mapped bam files were merged with samtools merge [30]. Mapped reads were extracted with samtools view and converted to fasta format with bbtools reformat.sh [28] and *k*-mer histograms (*k*=21) of all mapped reads were produced with Jellyfish v. 2.3.0 [31]. This histogram was uploaded to GenomeScope 2.0 [32] to obtain heterozygosity estimates for each species.

To produce histograms of *k*-mers from reads mapped to hemizygous regions, reads mapping to hemizygous regions were extracted with samtools view after which only those falling entirely within the defined regions were filtered with bedops bedmap v. 2.4.37 [33]. A fasta file of all filtered reads was extracted with bedtools fastaFromBed v. 2.29.0 [34] and these were used to produce *k*-mer histograms with Jellyfish as was performed for the whole mapped library.

#### (d) Nucleotide-level heterozygosity

In addition to *k*-mer analysis for heterozygosity estimation, we also determined nucleotide-level heterozygosity by assessing the proportion of heterozygous genotype calls for each genome assembly using bcftools [30]. Details on the command line options used for this analysis can be found in electronic supplementary material, file S1.

#### (e) Read coverage of hemizygous regions

The same mapped reads used for *k*-mer coverage were also used to determine read coverage of hemizygous regions and to compare to read coverage of the whole genomes. A sam file of all reads mapping entirely within deletions was produced and from this a bam file was produced with samtools view. The median coverage of each deletion was calculated with mosdepth v. 0.2.9 [35]. For the whole genome, bedtools genomecov v. 2.29.0 [34] was used to calculate coverage at every position in the genome and then the median coverage of every 1 000 nt (1 nt step) window was calculated.

#### (f) Hemizygous gene identification

Genes were extracted from hemizygous regions of the genome using bedtools intersect v. 2.29.2 [34]. Only those genes falling fully within hemizygous regions were extracted for analysis (using the -F 1 option as detailed in electronic supplementary material, file S1). Additional genes which partially overlap hemizygous regions were also identified, however, to avoid

speculation as to whether these are simply disrupted or whether hemizygosity may affect gene isoforms, we decided to discard them from further analysis and to focus on just those genes that are most likely subject to PAV. From these lists, genes were identified and extracted from the full gene list for use in enrichment analyses.

#### (g) Protein domain and gene ontology enrichment analysis

The predicted protein translations obtained from the longest annotated isoform for each gene in the target molluscan genomes were functionally annotated with Pfam conserved domains ID and Gene Ontology terms as follows. Amino acid sequences were subject to a BLASTp analysis against UniProtKB, with an *E*-value threshold set to  $1 \times 10^{-5}$ . Gene Ontology cellular component, biological process and molecular functions terms associated with the top 10 best hits were extracted and used to annotate matching query sequences. Protein sequences were also subject to conserved domain annotation. This analysis used the hmmscan module of HMMER v. 3.3.1 [36] and the search was conducted against the Pfam-A v. 33.1 database [37], annotating domains based on the default *E*-value threshold.

The subset of sequences associated with hemizygous regions, identified as described in the previous paragraph, were then subject to hypergeometric tests on annotations [38] using the script included in the SciPy 1.5.2 package, which were run separately for GO terms and Pfam domain IDs. We here report significantly enriched GO terms and Pfam domains, filtering out based on their over-representation in the tested subset of sequences, compared with the full genome. Namely, enriched annotations were reported for terms associated with *p*-values <0.05 and a difference between the number of observed and expected genes greater than or equal to 5.

#### (h) Phylogenetic analysis

HSP70 and C1qDC genes were identified within the genomes of the target species using genes of known homology for local BlastP searches (*E*-value cutoff, initially  $E^{-9}$ ) [39]. These were then reciprocally blasted against the nr database to confirm likely identity. These sequences, alongside known sequences from previous publications, were aligned using the MAFFT 7 online tool and the G-INS-i strategy [40,41]. The resulting

**Table 2.** Statistics regarding putatively hemizygous regions.

genome	# of deletions	length of deletions	# of non-tandem deletions	length of non-tandem deletions	# of >10 k deletions	length of > 10 k deletions	% hemizygous genes
<i>Pecten maximus</i>	145 503	56 374 042	60 503	35 699 112	428	7 359 495	0.50
<i>Sinonovacula constricta</i>	108 006	46 151 533	56 831	34 057 642	410	12 089 568	0.60
<i>Cyclina sinensis</i>	75 880	44 129 845	46 216	35 875 686	456	11 645 828	0.91
<i>Scapharca (Anadara) broughtonii</i>	83 518	59 203 570	47 022	47 290 026	671	13 984 916	1.79
<i>Pomacea canaliculata</i>	24 569	9 343 212	15 080	8 489 934	111	2 830 553	0.55
<i>Achatina</i> (= <i>Lissachatina</i> ) <i>fulica</i>	16 208	3 197 224	3 112	1 330 508	38	789 841	0.50
<i>Achatina</i> (= <i>Lissachatina</i> ) <i>immaculata</i>	128 736	69 768 216	27 939	41 999 937	351	7 946 998	0.81
<i>Octopus sinensis</i>	153 503	47 178 568	23 187	24 977 873	131	2 440 767	0.14

alignments were trimmed with TrimAL v. 1.2 [42] and the ‘-gappyout’ setting.

The resulting alignments were tested for model fit using ModelFinder [43] as integrated in IQ-TREE multicore v.1.6.10 [44]. The best-fit model was used for analysis of each phylogeny as noted in the figure 4 legend. IQ-TREE multicore v.1.6.10 was used for maximum likelihood (ML) analysis with 1000 non-parametric bootstrap replicates. The resulting consensus phylogeny was then opened in FigTree v. 1.4.4 (<https://github.com/rambaut/figtree/releases>) for annotation and display.

### 3. Results

#### (a) Hemizyosity and heterozygosity in molluscs

Hemizyosity (flagged as deletions by pbsv) of the individual representatives of the eight molluscan species investigated here ranged from 0.17% of the total genome length in the giant African snail *Achatina* (= *Lissachatina*, [45,46]) *fulica* to 6.69% in the ark clam *Scapharca broughtonii* (table 1). If insertions relative to the reference genome are also considered, the hemizyosity of *A. fulica* and *S. broughtonii* increase to 0.37% and 10.81%, respectively (table 1). The *A. fulica* hemizyosity content was a clear outlier among the eight species with the next lowest belonging to the octopus *Octopus sinensis* at 1.74% (2.81% inclusive of insertions), while the congeneric *Achatina* (= *Lissachatina*) *immaculata* had 4.22% hemizygous DNA content (8.06% inclusive of insertions). The number and size (bp) of the deletion-associated hemizygous regions are shown in table 2.

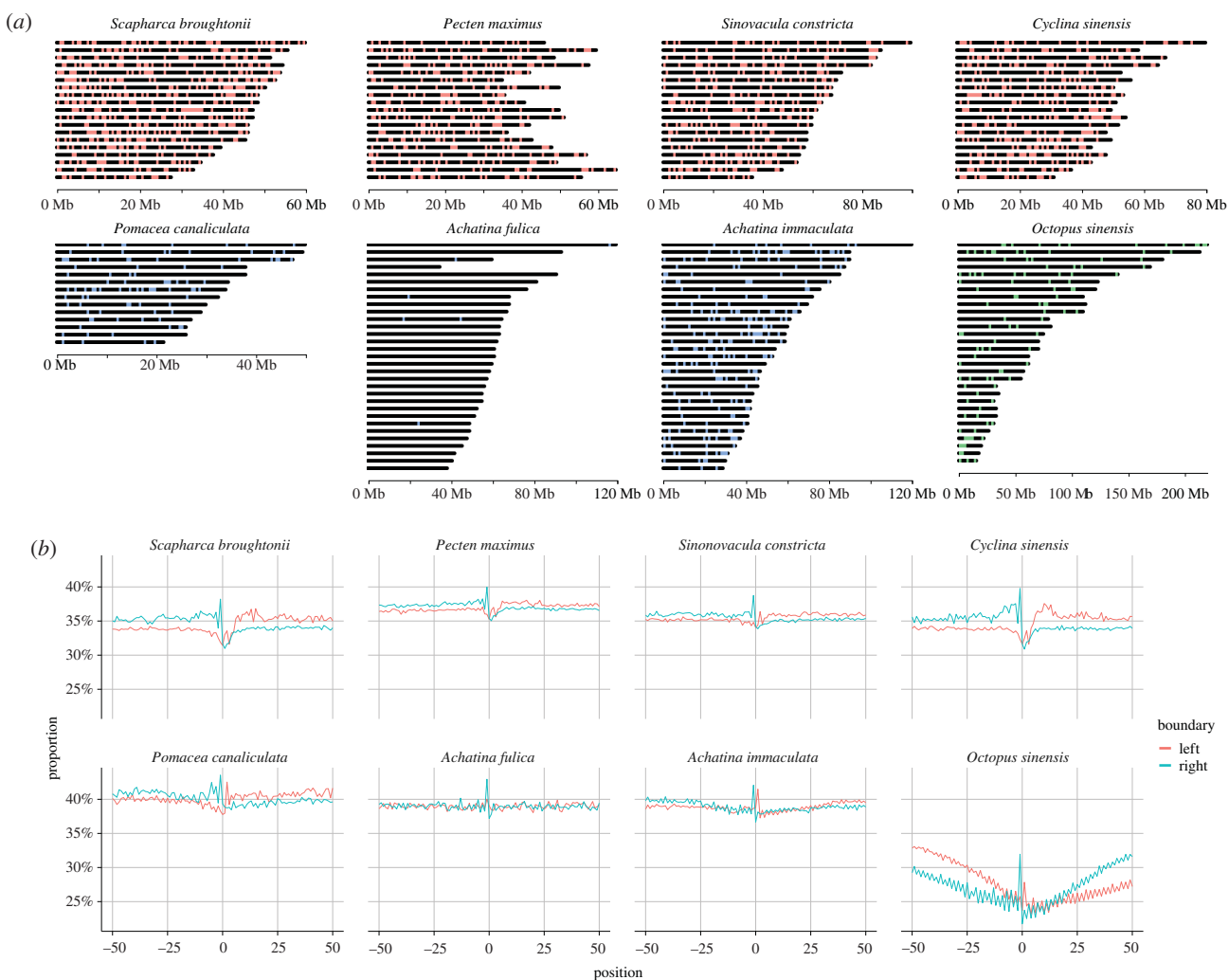
Repetitive sequences appear to be a major component of hemizygous DNA in these species with between 39% and 85% of deletions being flagged as tandem duplication-containing by pbsv (table 2). While the length of most hemizygous regions are short (pbsv default minimum length of 20 bp), we observed between 38 and 671 hemizygous regions that exceeded 10 kb in length (figure 1b,c). The maximum length of SVs that can be annotated is directly related to the read length distribution of the mapped library, making PacBio long reads superior to Illumina short reads for

SV detection [47]. Due to the limitations of pbsv, which does not annotate deletions above 100 kb in length, the maximum deletion size remains unknown for any of the eight samples. This limitation also means that the total number of deletions and total hemizygous DNA content of each sample are both likely under-estimations.

Previous work on human structural variation showed that the number of SVs was non-randomly distributed along each chromosome with the greatest density occurring within 5 Mb of the telomeric chromosomal ends [48]. The pbsv pipeline’s conservative approach to annotating SVs located towards the ends of chromosomes means that putative terminal SVs are not flagged as a PASS and as such were not included for further analysis here (see methods). This results in the appearance that hemizyosity is diminished at the terminal regions of chromosomes and highlights the fact that the numbers of hemizygous regions reported here are conservative lower estimates (figure 1d).

Focusing on deletions over 10 kb in length and which were not flagged as tandem-repeat associated by pbsv, it is evident that large hemizygous regions are not confined to particular chromosomes or chromosomal regions in any of the eight species investigated (figure 2). Although there are a large number of hemizygous regions in all species, there is a clear difference in the number of larger deletions present in the bivalves versus the gastropods and cephalopods (figures 1b and 2). This seems to also translate into the proportion of the genomes that are hemizygous in each of the three molluscan classes however more species will need to be analysed before these trends can be confirmed (figure 1c).

Heterozygosity of each sample as determined by GenomeScope 2.0 [32] ranged from 0.19% in *A. fulica* to 2.41% in the razor clam *S. constricta*. Using a nucleotide-level heterozygosity calculation based on the proportion of heterozygous genotype calls by bcftools [30], we estimated a discrepancy when compared to the *k*-mer-based approach of up to 1.55% (electronic supplementary material, file S2). The difference between these two methods may result from hemizygous *k*-mers which fall within the ‘heterozygous’ peak. We observe limited evidence of correlation between hemizyosity and



**Figure 2.** Chromosomal maps of hemizygous loci and G/C content across homozygous/hemizygous boundaries. (a) Hemizygous loci greater than 10 kb in length which were not flagged by pbsv as ‘tandem repeats’. Each locus is marked as a single point which is not proportional in length to the actual size of the locus. Genomes with red loci are bivalves, those with blue loci are gastropods and the genome with green loci is a cephalopod. (b) Average G/C content spanning 50 bp downstream and 50 bp upstream of the left homozygous/hemizygous boundary or 50 bp downstream and 50 bp upstream of the right homozygous/hemizygous boundary for all annotated hemizygous loci. In each species the transition between homozygous and hemizygous DNA is marked by a G/C spike and apart from the octopus, hemizygous DNA is generally more G/C rich than the flanking homozygous regions. For octopus, hemizygous loci are more A/T rich than the flanking homozygous regions and the entire region surrounding the boundary is relatively depleted of G/C nucleotides. (Online version in colour.)

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heterozygosity within molluscan classes (Bivalvia and Gastropoda), as can be seen in electronic supplementary material, figure S1. This may be due to undersampling.

### (b) *K*-mer and read coverage of hemizygous regions

Both *k*-mer coverage and read coverage of the putative hemizygous regions provide strong support that these regions are in fact hemizygous. In six of the eight species, two clear peaks in both *k*-mer coverage (figure 3a, top row) and read coverage (figure 3b, top row) correspond to what are typically described as the heterozygous and homozygous peaks. The remaining two species, *A. fulica* and *O. sinensis*, have relatively low levels of both heterozygosity and hemizygosity and accordingly only have a single homozygous peak.

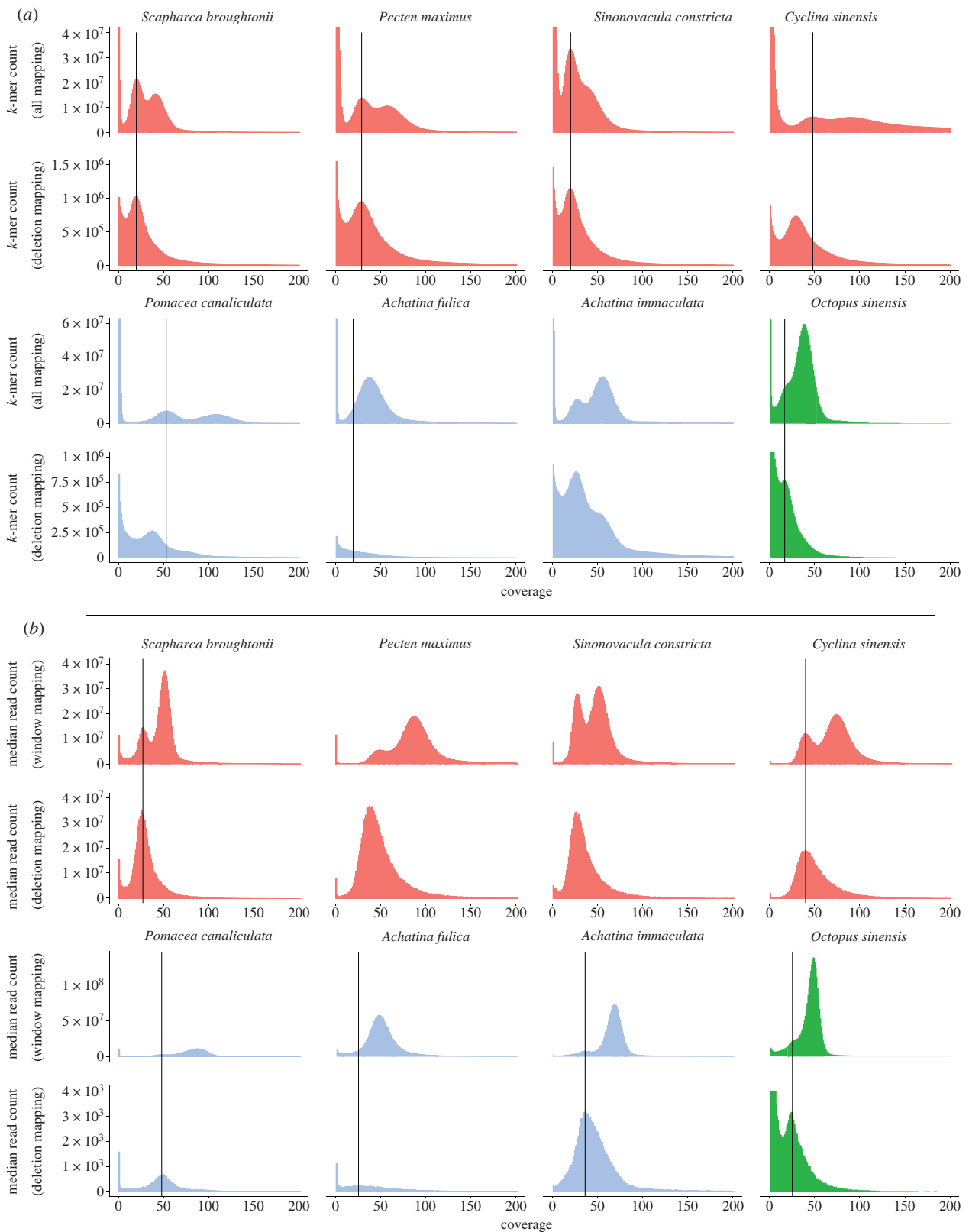
By contrast to the whole genome datasets, *k*-mers and reads extracted from hemizygous regions only show a single peak of coverage that for most datasets corresponds to the ‘heterozygous’ peak of the whole genome plots (figure 3a,b, bottom rows). In the *k*-mer plots of *Cyclina sinensis* and *Pomacea canaliculata*, the hemizygous peaks have slightly reduced coverage relative to the whole

genome heterozygous peaks, possibly due to high error rates in these datasets, and the same is true for the *P. maximus* read coverage datasets.

Unlike the other datasets, the *A. immaculata* hemizygous *k*-mer plot has a second small peak corresponding to the homozygous peak of the whole genome *k*-mer histogram. This may be explained by the fact that a member of the *Achatina* (actually *Lissachatina*, see [45]) genus underwent a whole genome duplication event prior to the divergence of *A. fulica* and *A. immaculata* [49]. Duplicated hemizygous regions would be expected to encode a proportion of identical *k*-mers on each of the two copies and this would result in a peak of coverage corresponding to the whole genome homozygous peak.

### (c) Gene content in hemizygous regions, and gene family over-representation

We have examined the gene content of hemizygous regions within our target species, directly by extracting the annotation of these genes, and more indirectly, by looking at the



**Figure 3.** *k*-mer and median read coverage analysis of hemizygous regions. (a) *k*-mer counts of all mapped reads for each genome with the corresponding *k*-mer counts of reads that map entirely within hemizygous regions located directly below. (b) Median read coverage of all 1000 bp sliding windows for each genome with the corresponding median read coverage of all annotated hemizygous regions located directly below. For both (a) and (b) the black vertical lines mark the 'heterozygous' peaks of the total mapped reads *k*-mer or median read coverage plots. Species are colour coded by class with red for bivalves, blue for gastropods and green for the cephalopod. (Online version in colour.)

over-representation (enrichment) of both Pfam domains and GO terms within these gene complements. On several occasions, hemizygous genes appear to be associated with clusters of tandemly duplicated genes: for example *ADAM17* in *P. canaliculata*, *GTPase IMAP family member 9* in

*A. immaculata*, *Deoxycytidylate deaminase* in *O. sinensis* and numerous other examples.

The number of genes associated with hemizygous regions in *O. sinensis* (0.14% of the total in its genome, table 2) is markedly fewer than that found in the bivalve and gastropod



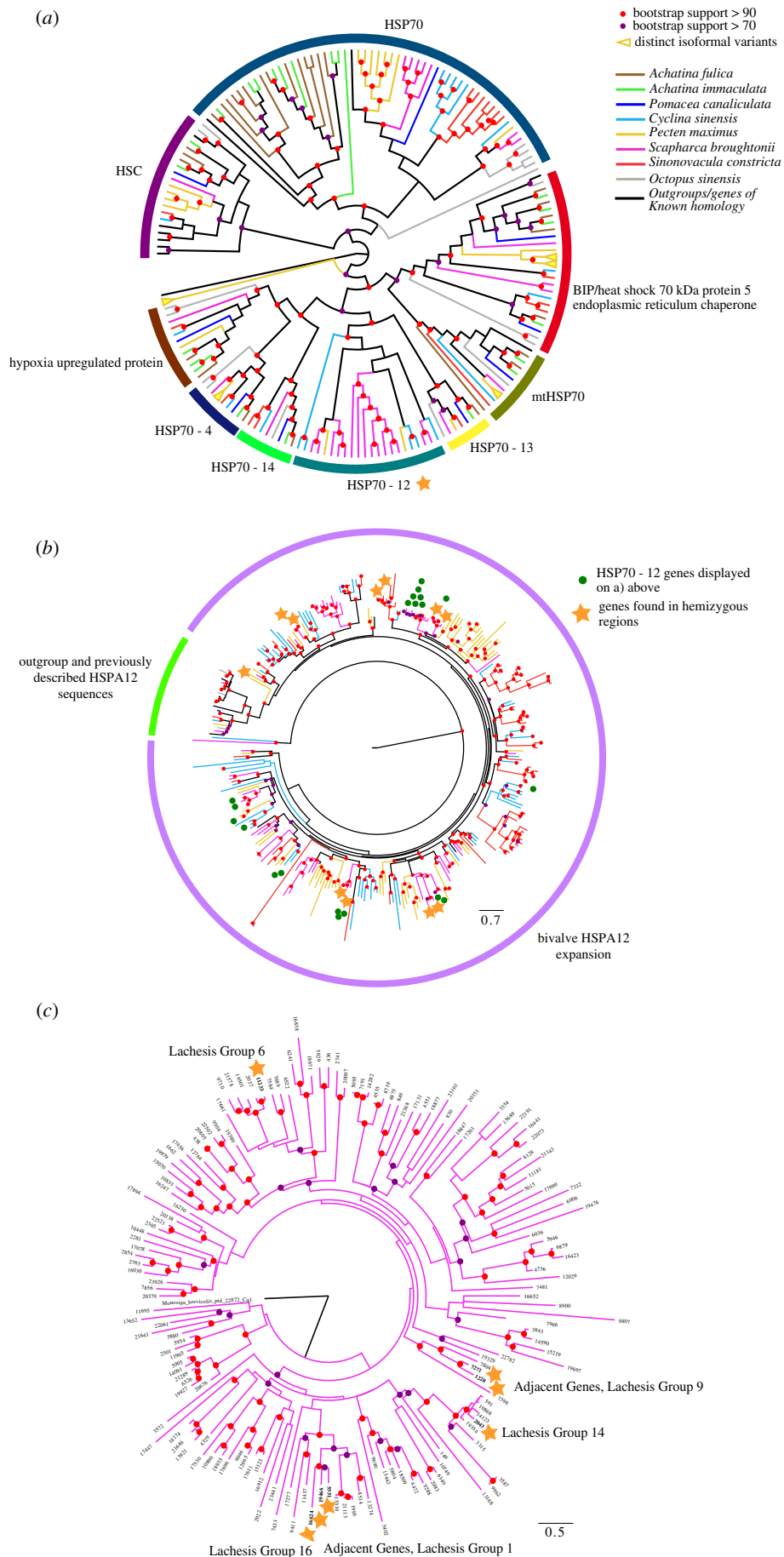


Figure 4. (Caption continued.)

species. As such, the subsequent absence of GO term enrichments and the limited number of Pfam domain enrichments associated with these loci were in line with expectations.

We do however see many zinc-finger domain genes in our blast results for *Octopus* (7/35 genes). Similarly, the gastropods *A. fulica* and *A. immaculata* display limited gene and



**Figure 4.** (Continued.) Phylogenies and cladograms of HSP70 superfamily and C1qDC genes, showing the potential of hemizygous regions as a reservoir and driver of gene diversity. (a) Diagrammatic cladogram of HSP70 superfamily genes from the eight species examined here, with branches coloured according to species identity as seen in the key, and rooted with the *Arabidopsis thaliana* HSP70 sequence. Arcs surrounding the cladogram indicate gene families. Phylogeny upon which this cladogram is based, inferred using the LG + R6 model along with raw sequences, alignment and tree file, available in electronic supplementary material, file S5. Note: this cladogram is not exhaustive and excludes some HSP70-related gene sequences due to alignment and trimming. (b) HSP70-12 phylogeny genes from the eight species examined here, along with outgroups and genes of known identity. Phylogeny inferred using the LG + F+R8 model. Note genes from hemizygous regions, indicated with a star. Genes also included in figure 4a above indicated with a green dot. Phylogeny rooted with *Arabidopsis thaliana* HSP70 sequence. (c) C1qDC superfamily gene inter-relationships in *Scapharca broughtonii*, displayed in a phylogeny reconstructed using the WAG + F + R6 model. Note genes from hemizygous regions, indicated with a star. The linkage groups for these genes as assigned by Lachesis, are also noted alongside them. (Online version in colour.)

domain enrichments which may be the result of decisions made during gene annotation in these species (see below for more details). Visual inspection of the *A. immaculata* hemizygous gene set revealed many repeat-containing genes with poor annotations, resulting in poor enrichment analyses, both for Pfam domains and GO terms. Interestingly, most of the annotated genes in the hemizygous regions of the *Achatina* species seem to be involved in disparate, unrelated processes, the functions of which can only be speculated upon.

While the genes found in hemizygous regions belonged to a variety of gene families, transposable element (TE) linked domains and GO terms were over-represented in our analyses, with a tendency for these genes to be involved in break repair/genomic stability, or immunity. We have investigated these genes in particular below, with full details of blast and enrichment analyses in electronic supplementary material, files S3 and S4.

### (i) Transposable elements

Within hemizygous regions, TE associated genes are common in most of our target species. In *P. canaliculata*, for example, we see classical retrotransposon-like (gag-pol polyprotein) elements in our gene lists (electronic supplementary material, file S3). In several species, the number of hits to TEs are more striking in Pfam domain enrichment analyses, as HMM profile-based searches are much more sensitive than BLAST where clear TEs are often slightly below the *E*-value threshold for annotation. This is also clear in our over-representation analysis of GO terms, particularly for *P. canaliculata*, *S. broughtonii* and *P. maximus*. GO terms such as GO:0003964 'RNA-directed DNA polymerase activity', GO:0006313 'transposition, DNA-mediated' and GO:0015074 'DNA integration', and associated functions, are clearly enriched in these gene sets. Pfam enrichment analyses show the same clear signal of transcriptional element over-representation. Domains such as PF13975.6 'gag-polyprotein putative aspartyl protease; retrotransposon-associated', PF00078.27 'reverse transcriptase' and PF03221.16 'Tc5 transposase DNA-binding domain' are conspicuous in these lists of enriched domains.

Some species do not possess TE-associated genes in hemizygous regions, e.g. the two snails *A. fulica* and *A. immaculata*, and the bivalve *C. sinensis*. This may be a technical artefact arising from the removal of over-represented (repetitive) genes during gene annotation, or it could represent a true biological observation. Possible explanations for the association of hemizygous DNA and transposons include the use of hemizygous regions to aid transposon replication, the difficulty of hemizygous regions to purge TEs or that hemizygous regions are formed through the action of TE replication. This pattern of TE-associated gene enrichment is also seen in plant pan-genomes (e.g. [50]) suggesting a broader pattern to the link between TEs and hemizygosity.

The over-representation of Zinc-finger domains in hemizygous regions in several of our species is also interesting, given that clusters of these genes are known to be found at hotspots for copy number variation, as a means of defence against endogenous retroviruses [51]. It is possible that these genes are playing a similar role here - zinc-finger genes have a conserved role as transcriptional repressors, although their exact functionality and DNA-binding affinities have yet to be fully investigated.

### (ii) DNA break repair and remodelling

We note that many of the genes found in hemizygous regions can be linked to DNA stability, repair and remodelling. GO terms such as GO:0006281 'DNA repair', GO:0045739 'positive regulation of DNA repair' GO:0000733 and DNA strand renaturation (*P. maximus*) and GO:0006310 'DNA recombination' (*S. broughtonii* and *P. canaliculata*) were found to be enriched, indicating that hemizygous regions may code for their own stability. Even within the limited hemizygous-associated gene set of *O. sinensis* is a *SETMAR* orthologue which in primates is known to play a role in DNA double-strand break repair, stalled replication fork restart and DNA integration [52].

In the section above, we note the presence of Zinc-finger domain genes in hemizygous regions in several species, which are known to aid genome stability at otherwise fast-evolving sites. Several of the genes found in *P. maximus* also have significant homology to PIF1, RECQL and Werner syndrome ATP-dependent helicases, which are all involved in genome stability, e.g. [53]. Helicase-like domains were associated with dispensable genes in mussel *M. galloprovincialis* [17], although they share little to no primary sequence homology with matches in UniProt. Their possible implication in the structural aspects of hemizygous regions is an excellent target for future research.

In *P. maximus*, we note the presence of several G-quadruplex GO annotations (GO:0044806 G-quadruplex DNA unwinding GO:0051880 and G-quadruplex DNA binding) in addition to G/C peaks located at homozygous/hemizygous DNA transition boundaries of all species investigated here (figure 2b). G-quadruplexes are four-stranded DNA or RNA secondary structures formed from guanine tetramers. While a complete understanding of their function is still being elucidated, their presence in telomeres, promoter sequences and retroelements suggests a link to genome stability, gene regulation, transposon and retroviral biology [54–57].

### (iii) Immunity

Overall, several immunity-related domains are shared in the species investigated here, but each species has its own characteristic profile. This is also the case for GO term over-representation, although we do note the importance of

568 ontologies such as GO:0002230 'positive regulation of defense  
569 response to virus by host', GO:0045087 'innate immune  
570 response' and GO:0051607 'defense response to virus' in  
571 our enrichment analyses.

572 We commonly observe genes encoding *immunoglobulin-*  
573 *domain containing proteins* and *C-type lectins* in our hemizy-  
574 gous region datasets in a number of species. These have  
575 also been observed as over-represented in *M. galloprovincialis*  
576 [17]. The function of these is yet to be fully understood, but  
577 due to their high plasticity in protein–protein and protein–  
578 carbohydrate interactions, they have been noted elsewhere  
579 as potentially important tools for immune recognition [17].

580 *AIG1 immunity-related GTPase* genes are also observed.  
581 These are also subject to PAV in mussels. *AIG1 immunity-*  
582 *related GTPase* gene function is still obscure, but it plays an  
583 important role in host–parasite interactions in gastropods [58].

584 We also note the presence of defense peptides in hemizy-  
585 gous regions of these genomes. The presence of *Stomoxyn,*  
586 *toxin 32* and other antimicrobial peptide (AMP) annotations  
587 might indicate components of the innate immune system  
588 are present in hemizygous regions. These are characterized  
589 by high intraspecific sequence diversity [59], and hemizygos-  
590 ity would result in greater variation between individual  
591 phenotypes for these genes.

#### 593 (d) Individual gene families, hemizyosity and PAV

594 As an assay for the impact of hemizyosity on gene dupli-  
595 cation rates and gene evolution more generally, we have  
596 studied in detail two gene families where multiple genes  
597 were found in hemizygous regions in multiple species, the  
598 HSP70 superfamily and the C1qDC containing genes. These  
599 are involved in resilience to stress and are important pattern  
600 recognition receptors in innate immunity of invertebrates,  
601 respectively [60–63], and it is possible that PAV in hemizy-  
602 gous regions is linked to differential adaptive capacity  
603 across the ranges of these genes.

604 Both HSP70 and C1qDC gene family expansions have been  
605 noted previously in bivalves [64] and we observe that their  
606 occurrences within hemizygous regions are much more preva-  
607 lent in bivalves than in other molluscs, despite gastropods also  
608 possessing multiple duplicates of these genes. In figure 4a, it  
609 can be seen that multiple lineage-specific duplications have  
610 occurred in many of the genes and gene families within the  
611 HSP70 superfamily and these duplications are not limited to  
612 bivalves. *A. fulica* and *A. immaculata* in particular share many  
613 duplicate, paralogous copies of *HSP70* (*HSPA1*), however  
614 none of these are found in hemizygous regions. By contrast,  
615 the disparate *HSP70-12* (*HSPA12*) genes do frequently occur  
616 in hemizygous regions (figure 4b). Full sequences, alignments  
617 and alternative representations of the phylogeny for these  
618 figures (showing all bootstrap support values) can be found  
619 in electronic supplementary material, file S5.

#### 622 (i) HSP70-12

623 There is very little diversity of *HSP70-12* sequence in gastro-  
624 pods (one copy in each of the three species examined here)  
625 or in *O. sinensis* (three copies). However, this family of genes  
626 has exploded in bivalves. This is especially prominent in  
627 *S. broughtonii*, which possesses eight copies within hemizy-  
628 gous regions, and 76 copies overall. *S. broughtonii* also  
629 possesses an *HSP90* gene within a hemizygous region  
630 (*EVM0009939*). *S. constricta* (2) and *P. maximus* (1) also possess

hemizygous copies of this gene, and more than 60 paralogues  
in total (figure 4b). No *C. sinensis* copies of this gene are within  
hemizygous regions, although it possesses 66 copies spread  
across its genome.

*HSP70-12* genes have been studied in detail in scallops  
[63], where they are known to be protective against toxic  
dinoflagellates. In that study, the drastic expansion of the  
*HSP70-12* family was observed, with a total of 47 paralogous  
copies of *HSP70-12* noted, although the authors do not draw  
any link to hemizyosity. The large numbers of *HSP70-12*  
genes observed here are, therefore, not unusual for bivalves.  
Given their role in protecting against specific pathogens,  
which may vary across the ranges of these species, PAV for  
*HSP70-12* may provide an adaptive phenotype, although  
we have not formally tested this here.

In our phylogeny (figure 4b), we note that there is little or  
no phylogenetic signal for an evolutionarily conserved  
relationship between *HSP70-12* genes from the hemizygous  
regions of different species. These are often in genes separ-  
ated by a number of paralogy events, in strongly supported  
clades. Rather it seems that association events between  
*HSP70-12* genes and hemizygous regions, possibly through  
the action of transposons, have occurred in a lineage-specific  
manner as opposed to being derived from a common  
ancestor (electronic supplementary material, figure S2).

We observe a clear phylogenetic signal for a close relation-  
ship between pairs of hemizygous genes within species. Of the  
8 copies of *HSP70-12* seen in *S. broughtonii*, all are paired with a  
gene of similar sequence, indicated by a star on figure 4b. All of  
these paired genes, when located in the genome, were found in  
close proximity. These pairs are: genes 11804 and 3214, found  
on pseudochromosome 'Lachesis Group 6' 10 kb bp apart,  
genes 1411 and 9653, from Lachesis Group 11 (8 kb apart),  
3314 and 13896, from Lachesis Group 8 (5 kb apart),  
and 17648 and 3510, from Contig00525, separated by only  
6 kb. These paired genes are all likely tandem duplicates,  
potentially mediated by the action of TEs.

In the *S. constricta* genome, the two *HSP70-12* genes seen  
at hemizygous loci are *evm.model.Chr12.1234* and *evm.mo-*  
*del.Chr12.1237*, which are nearly 50 000 base pairs apart,  
at sites 37 468 857–37 468 878 and 37 518 784–37 518 839 on  
chromosome 12, in two separate hemizygous sites.

#### 622 (ii) C1qDC

We also investigated the diversity of C1qDC containing  
genes, as these have been noted previously as being protec-  
tive against environmental and pathogenic impacts [60,61],  
and subject to PAV in mussels [17]. These genes are wide-  
spread in molluscs, and in bivalves in particular, with more  
than 100 copies commonly observed in complete genomes.  
We found copies of C1qDC genes in hemizygous regions  
(see electronic supplementary material, file S3) of *C. sinensis*  
(one copy, *evm.model.Hic\_asm\_12.1571*) and *S. broughtonii*  
(seven copies). We, therefore, chose *S. broughtonii* for specific  
investigation, as can be seen in figure 4c.

Of the seven copies of C1qDC genes found in hemizygous  
regions, two pairs of adjacently located genes were observed  
(in 'Lachesis Groups' 1 and 9) and three single gene loci  
were found (in 'Lachesis Groups' 6, 14 and 16). The pair of  
genes found in Lachesis Group 1, *EVM0005551* and  
*EVM0019466*, are 44 kb apart from one another, at sites  
21 191 896–21 194 964 and 21 238 944–21 240 116 respectively,

631 in a single deletion (pbsv.DEL.17342). The pair in Group 9,  
632 *EVM0007271* and *EVM0001228*, are only 24 kb apart, in a  
633 single deletion (pbsv.DEL.114852) at positions 42 253 538–42  
634 255 235 and 42 278 930–42 291 255. Given their relatively  
635 broad spacing and the relatively long branches separating  
636 these pairs phylogenetically, these could be ancient, rather  
637 than recent, tandem duplicates, or could have come about  
638 by other processes.

639 The sister gene to the two genes found in Lachesis Group  
640 1, *EVM0016624*, is itself found in a hemizygous region, on  
641 Lachesis Group 16. Paralogous copies of these genes are,  
642 therefore, also found in *trans* across the genome more  
643 broadly. It is possible their movement is mediated by the  
644 TEs enriched in hemizygous regions (see previous section),  
645 although this has not been formally tested here.

## 648 4. Discussion

### 649 (a) The prevalence and significance of hemizygosity in 650 molluscs and across the tree of life

651 The detection of hemizygosity in an individual genome is  
652 indirect evidence of PAV of chromosomal regions and poss-  
653 ibly transcriptional products within a population. This is  
654 due to the inheritance of the hemizygous region-containing  
655 chromosome pairs from the two parents which themselves  
656 may have been hemizygous, homozygous or nullizygous  
657 for the particular locus. The detection of hemizygous chro-  
658 mosomal regions within an individual can, therefore,  
659 provide an initial indication of the level of chromosomal  
660 and genic variability that might exist within the population  
661 or species to which it belongs.

662 The complete chromosomal, transcriptional and regulat-  
663 ory repertoire that exists within a population or species is  
664 termed its pan-genome [5]. While such a complete snapshot  
665 of a species' genetic complement can only be attained through  
666 the sequencing of multiple individuals, the detection of sub-  
667 stantial hemizygosity within a single individual can provide  
668 strong evidence of the existence of an open pan-genome. The  
669 investigation of the prevalence and impact of hemizygosity  
670 on evolution is still in its infancy. However, given the evidence  
671 presented here, we can begin to comment on some aspects of  
672 this, and suggest fertile ground for future investigations.

673 Pan-genomes have been described in plants, fungi and  
674 bacteria, and it is only recently that they have been noted in  
675 metazoans [15–17,65]. To date evidence from animals is  
676 sparse, and with patchy phylogenetic distribution [10]. Here,  
677 we show that, at least among conchiferan molluscs, hemizy-  
678 gosity is a common phenomenon. Whether this is true of other  
679 metazoan phyla remains to be investigated. However, the  
680 read-mapping approach used here would be straightforward  
681 to apply to other taxa with little additional cost, as long as a  
682 chromosome-scale genome assembly is available, and would  
683 give an initial indication of the ubiquity of this phenomenon.

684 While the link between hemizygosity and pan-genomes is  
685 clear, estimating a species pan-genome size based on the hemi-  
686 zygosity estimates of a single individual is not possible. As  
687 such, an accurate pan-genome description can only be pro-  
688 vided by re-sequencing of multiple individuals. In general,  
689 only traditional model organisms and humans have been the  
690 target of deep re-sequencing efforts. The most extensive re-  
691 sequencing efforts of any metazoan species have come from  
692

humans and recent results suggest that here species-wide  
genomic variation is associated with relatively small and  
rare structural variants, which account on average for 5 Mb  
of DNA sequence per individual, i.e. less than 0.2% of the  
genome size [65]. By considering population size and the  
level of PAV observed between the three genome assemblies  
in the study, it was estimated that the human pan-genome  
would likely include an extra 19–40 Mb of DNA relative to  
the reference genome, i.e. up to a 1.25% increase. However, a  
more recent study that resequenced 910 humans of African  
descent extended this to 296.5 Mb of additional DNA which  
equates to approximately a 10% increase on the reference  
human assembly [15].

By contrast with animals, in plants and fungi, hemizygos-  
ity is likely to be quite widespread across most genomes [10].  
In fungi, some species have open pan-genomes, with up to  
60% of coding gene sequences found to vary between individ-  
uals (e.g. *Parastagonospora* spp. [66]) although figures for  
genomic sequence variability are not yet available. In plants,  
figures of up to 42% of the complete genome being absent  
(the 'accessory/variable/dispensable genome') in some indi-  
viduals have been reported [67]. However, these values are  
perhaps at the extreme end of the structural variation conti-  
nuum in these clades. Core genomic retention of greater  
than 80% of the complete genome is more common [10].

While it is not possible to estimate pan-genome size based  
on hemizygosity levels within a single individual, our figures  
suggest that molluscs are likely to display less genomic PAV  
than is seen in plants but far more than has been currently  
observed in vertebrates, with the maximum recorded hemi-  
zygosity (inclusive of insertions) seen here 10.81%.

For each hemizygous locus observed, there are four gen-  
otypes possible for each parent and 16 possible crosses  
(electronic supplementary material, figure S3). Of these, 14  
crosses could potentially give rise to a heterozygous (hemi-  
zygous) offspring. As both heterozygous (hemizygous) and  
homozygous positive individuals possess at least one copy  
of the locus, in the binary determination of presence or  
absence, both states would be counted as examples of locus  
presence while only those homozygous negative (nullizy-  
gous) for the locus would be counted as absence.

This means that for each hemizygous locus identified in an  
individual, six of the potential 14 genotype crosses involve one  
parent with at least one copy of the locus and the other parent  
with no copies of the locus. As a result, if the parents of the  
hemizygous individual were sampled, there would be a 3/7  
chance that each locus would be subject to PAV between the  
two parents. If this ratio is applied to total nucleotides located  
within hemizygous regions, for the species with the highest  
observed rate of hemizygosity in this study, *S. broughtonii* at  
6.69%, the total genome percentage subject to PAV between  
the two parents would be 2.87%—at least an order of magni-  
tude higher than the less than 0.2% difference between  
individuals observed in humans [65]. This number is also  
likely to be a significant underestimate as it only considers  
loci that are hemizygous in the offspring of the two individ-  
uals and does not take into account loci for which the  
sampled individual is nullizygous.

An important potential caveat of this calculation is that it  
relies on the assumption that the two alleles for each hemi-  
zygous locus (present and absent) exist in the population at  
equal frequencies. While we are unable to make such esti-  
mates for the species in question, appropriate data for



genes subject to PAV in the Mediterranean mussel are available [17]. Of the 14 570 genes which were absent from at least one of 16 sampled genomes, most were found to be absent in only a small number of individuals (approx. 50% missing from between one and three of 16 sampled individuals). As the absence of a gene implies that the hemizygous locus is homozygous absent at this site (electronic supplementary material, figure S3), we calculate that for any given hemizygous locus found in the sequenced individual there is a 33.8% probability that that locus would be subject to PAV between the two parents (electronic supplementary material, file S6). Given this slightly reduced likelihood in comparison to the example in which both alleles exist at equal proportions within the population (3/7th, 42.9%), and assuming a similar situation in *Scapharca*, the total genome percentage subject to PAV between the two parents of the sequenced individual would be 2.25%.

While hemizygosity is indicative of PAV at the population level, this might not hold true for all loci, for example, if nullizygous individuals are inviable. However, the data collected from the Mediterranean mussel indicates that nullizygosity is broadly tolerated in this species [17]. In this case, 58% of the 12 212 genes encoded by hemizygous regions in the reference genome were subject to PAV in at least one of the 15 resequenced individuals. In mussel, PAV most often targets 'young' and recently expanded multigenic families, which contain a large number of dispensable genes. Nullizygosity may, therefore, be tolerated at each individual locus, even if this condition is not simultaneously tolerated at all loci, i.e. individuals are viable as long as at least one dispensable gene for each AMP family is present.

Until more phyla are sampled, we cannot comment on whether the situation in molluscs or vertebrates is more representative of the norm in the Metazoa. However, it is likely that hemizygosity and the existence of open pan-genomes is much more common than we presently appreciate.

### (b) Sex chromosome evolution and hemizygosity

Sex chromosomes represent a possible reservoir of hemizygosity which must be taken into consideration when presenting widespread evidence of this phenomenon across the Mollusca. In mammals, hemizygosity is largely restricted to sex chromosomes [68]. In molluscs, no sex chromosome has ever been described and consistent with their absence, hemizygous DNA appears to be fairly evenly distributed between and within all chromosomes (figures 1*d* and 2). Although a small amount of variability in the density of hemizygous loci can be observed across some chromosomes, we have found no evidence to suggest a link between hemizygosity and sex determination in the targeted species, which is also consistent with the observations previously collected in *M. galloprovincialis* [17].

In mammals, the chromosome carrying the sex-determining gene (*SrY*) has become progressively degenerated over time through a process of recombination suppression and genetic drift [68]. By contrast, the evidence shown here suggests mollusc autosomes have become hemizygous through transposon and/or retroviral activity.

### (c) Hemizygosity and mollusc biology

Molluscs, and particularly bivalve molluscs, are commonly broadcast spawning species with high population numbers, and high levels of genomic heterozygosity. This heterozygosity,

as measured by *k*-mer distribution, can at least in part be explained by hemizygosity as *k*-mers from both heterozygous alleles (two distinct alleles arising from the same locus) and hemizygous alleles (present in a single copy) contribute to the putative 'heterozygous *k*-mer peak' (electronic supplementary material, file S2). In the *k*-mer plots of total mapped reads (figure 3*a*, top row), the first peak (heterozygous peak) has a coverage approximately half that of the second peak (homozygous peak) however *k*-mers that come from unique (non-repetitive) hemizygous regions form coverage peaks corresponding to the whole genome heterozygous peaks and so will also contribute to this 'heterozygous' peak (figure 3*a*, bottom row). *k*-mers that come from repetitive hemizygous regions (greater than two copies within hemizygous regions or one copy in a hemizygous region and at least one copy in a homozygous region) will not contribute to either the heterozygous or homozygous peaks as their coverage will be at least 1.5× that of homozygous *k*-mers. Finally, *k*-mers for which there are two copies both located within hemizygous regions would be expected to contribute to the 'homozygous' peak.

While the number of two copy hemizygous *k*-mers is expected to be low and thus comprise only a tiny portion of the homozygous peak, organisms with significant non-repetitive hemizygous DNA content should have a significant portion of their putative heterozygous peak being composed of hemizygous *k*-mers. Many molluscs have very high reported heterozygosity levels as determined by *k*-mer analysis but our findings suggest that this rate may be at least partially explained by large non-repetitive hemizygous DNA content which is impossible to discern from heterozygous DNA using a *k*-mer-based approach. This has also been noted elsewhere [17], but the additional evidence provided by the extra species sampled here makes this obvious.

At first sight, the *M. galloprovincialis* genome [17] is characterized by somewhat 'extreme' levels of hemizygosity and gene PAV compared to the species considered in this study. The fraction of hemizygous genomic sequence in the mussel is nearly sixfold that of *S. broughtonii*, the species with the highest hemizygosity among those included in this study (i.e. 36.78% versus 6.69%). Moreover, approximately 35% mussel protein-coding genes were encoded by hemizygous genomic regions, as opposed to less than 2% found in the eight molluscan species studied here (table 2). These differences may be partially attributable to the fact that the estimates provided here derive from only single individuals.

Further sampling will be needed to find the regions that are homozygous absent (nullizygous) from these specimens, but present more generally in the wider population. The size of the hemizygous regions not incorporated into these particular assemblies could be significant. Furthermore, we have not annotated 'insertions', regions present in these specimens but absent from the published genome assemblies. These could again represent a sizable fraction of the genome. In summary, the *M. galloprovincialis* pan-genome appears to have a significantly higher 'openness' than most other molluscs, but this could be artefactual and bears further investigation.

The very low level of heterozygosity observed in *A. fulica* when compared to *A. immaculata* and the other species examined here may be the outcome of founder effects following its unintentional introduction to China sometime prior to 1931 [69,70]. Both *Achatina* species are invasive in China however due to the sparsity of information available on the size and diversity of the introduced populations, assumptions



757 regarding the impacts of these events on the genetic diversity  
758 of the two subsequent populations would be speculative.  
759 What is clear is that genetic diversity of the *A. fulica* specimen  
760 is far lower than that of the conspecific *A. immaculata* speci-  
761 men, however, the reason for this difference is unresolved.

762 As noted in the results, in *A. immaculata*, a small peak of del-  
763 etion-mapping *k*-mers corresponds to the homozygous peak in  
764 the whole genome *k*-mer histogram. If these *k*-mers are the  
765 result of duplicated hemizygous regions that arose and have  
766 been maintained since the whole genome duplication event  
767 that occurred in an *Achatina* ancestor approximately 70 million  
768 years ago (Ma) [49], this would have significant consequences  
769 for our understanding of hemizygous DNA evolution, birth/  
770 death dynamics and long-term persistence within genomes  
771 as no reports of such long-term persistence of hemizygosity  
772 and/or PAV have yet been reported in animals.

#### 773 (d) Gene content in hemizygous regions, and gene 774 family over-representation

775 The idea that dispensable genes may provide improved adap-  
776 tation potential, suggested ever since the first definition of the  
777 pan-genome concept in the scientific literature [71], is now  
778 broadly accepted thanks to multiple large-scale genome re-  
779 sequencing studies carried out in several prokaryotes and in  
780 a few eukaryotes. The number of pan-genomic investigations  
781 carried out in metazoans remains extremely limited, and the  
782 only molluscan species which has been so far targeted by  
783 this type of investigation is the Mediterranean mussel  
784 *M. galloprovincialis*. In line with the adaptive nature of prokar-  
785 yotic and eukaryotic pan-genomes, mussel dispensable genes  
786 were found to be highly enriched in functions related with  
787 innate immune response and survival, which may explain  
788 the high biotic resilience and invasiveness of this species [17].

789 Even though the enrichment of gene families associated  
790 with adaptation were not as strong in our datasets, some  
791 notable overlaps were identified. For example, AIG1  
792 immune-related GTPases, expanded in several stress-adapted  
793 invertebrates [62], were enriched in *P. canaliculata*, and  
794 recurrent annotations linked with stress-related HSP70-like  
795 proteins, as well as with C1qDC proteins and C-type lectins,  
796 which are thought to act as soluble receptors for microbe-  
797 associated molecular patterns (MAMPs) in the molluscan  
798 immune system [72] were also found.

801 We have investigated the contribution of *HSP70-12* and  
802 *C1qDC* genes to hemizygous regions in particular here.  
803 In both of these families, multiple gene copies are found in  
804 hemizygous regions in bivalves, while in cephalopods and  
805 gastropods they appear to be restricted to homozygous  
806 regions. Where they are found, they commonly occur in dupli-  
807 cate which is likely the result of arising through TE-mediated  
808 tandem duplication.

809 Dispensable genes provide a significant contribution to phe-  
810 notypic variability in bacteria and viruses, enabling their rapid  
811 adaptation to new ecological niches and modulating the inter-  
812 action of pathogenic species with their hosts [6,73]. Similarly,  
813 the presence of open pan-genomes may explain the cosmopolitan  
814 distribution of some marine microalgae, able to thrive in  
815 largely different environmental conditions thanks to the acces-  
816 sory metabolic functions provided by dispensable genes [3].  
817 Moreover, dispensable genes play a key role in the interplay  
818 between plants and associated fungi, providing improved  
819 biotic resistance to the host and enhanced pathogenicity to

their parasites [74,75]. The dispensable genes in mollusc pan-  
genomes may likewise provide the potential for situationally,  
regionally and ecologically adaptive variation.

#### 518 (e) How do these hemizygous structural variants come 519 about, and why does gene PAV persist?

The most likely sources of hemizygous DNA are transposon  
and/or retroviral insertions. Evidence for this lies in the  
enrichment for retroelement associated genes in several of  
the species investigated here, in addition to the conserved  
GC bias profile of the homozygous/hemizygous boundaries  
(figure 2b). Retroelements are known to encode G-rich  
sequences at their 5' and/or 3' boundaries which form four-  
stranded secondary structures known as G-quadruplexes  
[76]. G-quadruplexes have also been implicated in transpo-  
son-regulating Piwi interacting RNA (piRNA) biogenesis  
[77,78] and the GC enrichment observed here at the homozy-  
gous/hemizygous boundaries is consistent with transposon  
terminal G-quadruplexes (figure 2b). The outcome of retroele-  
ment-mediated gene duplication coupled with rapid gene  
turnover is likely to present as lineage-specific gene expansion  
as has been previously observed for both HSP70 and C1qDC  
(electronic supplementary material, figure S2 [64]).

Hemizygous regions likely impose a cost on the genomes  
that carry them. The additional load of TEs, coupled to the  
over-representation of DNA repair-related genes they  
encode, suggests that hemizygosity goes hand-in-hand with  
a decrease in DNA stability. Hemizygosity, if prevalent, may  
also interfere with homologous recombination-based DNA  
damage repair mechanisms through recombination sup-  
pression (electronic supplementary material, figure S4), and  
could potentially impact breeding between populations with  
high levels of haplotypic variation through post-zygotic selec-  
tion, as previously suggested in *M. galloprovincialis* [17,79,80].  
Furthermore, the insertion or deletion of large blocks of  
DNA, regardless of their coding capacity, is likely to impact  
flanking gene expression due to modification of the cis-  
regulatory landscape as was recently demonstrated in the  
tomato [9]. How, then, are these regions not rapidly purged  
from populations by natural selection?

It is possible that hemizygosity, while adding to the stand-  
ing pool of genetic variation and thus adaptive at a population  
level, results in a larger number of errors while 'crossing-over'  
during meiosis. This could result in a need for a higher number  
of double-strand break repair genes (e.g. here, *tankyrase*,  
*RAG51*), and similar repair mechanisms. These could migrate  
into hemizygous regions over time (through TE/retroviral  
action) and be conserved by natural selection. Alternatively,  
new hemizygous DNA that is introduced but does not include  
stability genes on arrival, could be purged quickly leaving  
only those hemizygous regions that encode stability related  
genes left for us to observe. It is also possible that the large  
population size of many mollusc species makes these alleles  
(which could be rare) difficult to purge from populations as  
a whole. These hypotheses have not been tested rigorously  
here, but as additional data becomes available across the tree  
of life, these questions will be able to be addressed coherently.

#### 520 (f) Future perspectives and open questions

There are a number of 'known unknowns' still to resolve regard-  
ing genes in hemizygous sites. None of the genomes investigated

820 here have annotated long non-coding RNAs (lncRNAs) or small  
821 non-coding RNAs (snRNAs), and therefore we are unable to  
822 speculate as to whether these are also found in these regions,  
823 even though a large number of dispensable lncRNA genes  
824 have been reported in *M. galloprovincialis* [17].

825 In order to make reliable quantitative comparative assess-  
826 ments of hemizyosity between genomes, future comparative  
827 studies should use genomes built with consistent assembly  
828 and annotation pipelines. Independently built genomes like  
829 those assessed here, which used a range of source data and  
830 assembly methods (see electronic supplementary material,  
831 file S7), likely suffer from assumptions made by the under-  
832 lying software regarding how to treat hemizygous regions.  
833 Under some scenarios, longer (but lower coverage) alleles  
834 might be preferred while other pipelines may prioritize  
835 more consistent higher coverage, with low coverage alleles  
836 excluded from the final assembly. Crucially for gene enrich-  
837 ment analyses, custom repeat libraries might flag repetitive  
838 transposon-associated genes, marking them for exclusion  
839 from final gene sets. This would result in their exclusion  
840 from subsequent enrichment analyses and may explain why  
841 enrichment for TE-associated genes was not universally  
842 detected here. The utilization of previously assembled gen-  
843 omes in this study may also mask errors associated with  
844 false duplications of large highly heterozygous genomic  
845 regions. As recently discussed in a recent preprint by the Ver-  
846 tebrate Genome Project consortium, highly heterozygous  
847 genomes are subject to false duplications and in the present  
848 study, these would manifest as false-positive hemizygous  
849 calls [81]. Standardized assembly and annotation pipelines  
850 would aid in dealing with these issues.

851 The widespread presence of hemizyosity in mollusc gen-  
852 omes also suggests that some modern assembly algorithms  
853 may need adjustment to take into account the prevalence of  
854 hemizygous regions. Upon encountering hemizygous regions  
855 with coverage significantly below that of the remainder of the  
856 assembly, it is plausible that some algorithms may break  
857 contigs at the point of low coverage in the assumption that  
858 the low coverage region corresponds to contamination or  
859 other artefact. Haplotype-aware assembly algorithms will  
860 likely cope with this in many cases. However, along with  
861 haplotype-blind assembly methods, even haplotype-aware  
862 assemblers may ignore the 'deletion' genotype, particularly  
863 when generating a haploid approximation of the full diploid  
864 genome sequence.

865 Re-sequencing of multiple individuals will be important to  
866 obtain a truer picture of the complete pan-genomic  
867 complement, and to determine how common particular dispen-  
868 sable genes and genomic regions are within the species.

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Genotyping tools such as Paragraph [82] or vg [83] could also be used to note the presence or absence of predefined hemizygous regions. When performing re-sequencing experiments, it would be interesting to contrast wild caught individuals and those that have gone through bottlenecks (domesticated/island effect) to test the impact of these on genomic evolution.

## 5. Conclusion

In this work, we have put forward the first systematic investigation of the prevalence of hemizyosity across a metazoan clade. We have found that a number of recently sequenced conchiferan molluscs show widespread hemizyosity at multiple loci across their genomes. Bivalves, in particular, have a striking pattern of hemizyosity, which may reflect the broadcast spawning life cycle of the species sequenced. Genes found in these regions in the mollusc species examined are enriched for functions related to transposition, DNA repair and stress response, suggesting that these loci could be both linked to repetitive elements, and could provide adaptive potential under specific environmental circumstances.

This approach, which is both cost-effective and broadly applicable, will be useful for assaying for the presence and utility of hemizyosity more generally across the tree of life. This phenomenon remains under-investigated, but may have profound implications for our understanding of genomic evolution at both the population and species level.

**Ethics.** No ethical permissions are required for the work carried out in this manuscript. This work does not include human tissue, vertebrate animals, fieldwork or museum specimens in its analyses.

**Data accessibility.** The datasets and code supporting this article have been uploaded as part of the electronic supplementary material. All genomic sequences are available from the original sources with accession numbers as cited in the manuscript.

**Authors' contributions.** A.D.C. conceived of the study and designed experiments. A.D.C., N.J.K. and M.G. undertook the data analysis and drafted the manuscript. All authors gave final approval for publication and agree to be held accountable for the work performed therein.

**Competing interests.** The authors declare they have no competing interests.

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