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The mitochondrial chaperone TRAP1 promotes neoplastic growth by inhibiting succinate dehydrogenase

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Summary

Cancer is a highly heterogeneous and complex disease, whose development requires a reorganization of cell metabolism. Most tumor cells downregulate mitochondrial oxidative phosphorylation and increase the rate of glucose consumption and lactate release, independently of oxygen availability (the Warburg effect). This metabolic rewiring is believed to favour tumor growth and survival. However the molecular mechanisms that inhibit oxidative phosphorylation (OXPHOS) during neoplastic progression are only partially understood.

Within this context, we studied TRAP1, a conserved chaperone of the Heat Shock Protein 90 (HSP90) family, localized mainly in the mitochondrial matrix and whose expression is induced in the majority of tumor types.

We found that TRAP1 is associated to succinate dehydrogenase (SDH), the Complex II of the respiratory chain. We observed in different tumor cell models that TRAP1 diminished *in vivo* tumor cell respiration by inhibiting the succinate:coenzyme Q reductase (SQR) activity of Complex II. This Complex II inhibition was further enhanced in TRAP1-expressing cells that progressed through a focus forming assay (*in vitro* tumorigenesis assay), causing an accumulation of succinate that led to the stabilization of the pro-neoplastic transcription factor HIF1 α , thus favouring the metabolic switch necessary for tumor growth and progression. In fact, we observe *in vitro* e *in vivo* tumorigenesis only in TRAP1 expressing cells.

Riassunto

Il cancro è una malattia altamente complessa ed eterogenea, il cui sviluppo richiede una riorganizzazione del metabolismo cellulare. La maggior parte delle cellule tumorali diminuisce la fosforilazione ossidativa mitocondriale e aumenta invece la quantità di glucosio consumato e di produzione di lattato, in maniera completamente indipendente dalla disponibilità di ossigeno. Questo fenomeno è noto come Effetto Warburg. Si pensa che questa riprogrammazione metabolica favorisca la crescita e la sopravvivenza del tumore. Comunque sono solo parzialmente noti i meccanismi molecolari che inibiscono la fosforilazione ossidativa (OXPHOS) durante la trasformazione neoplastica.

In questo contesto, abbiamo deciso di studiare TRAP1, uno scaperone appartenente alla famiglia delle Heat Shock Protein 90 (HSP90), che si trova principalmente nella matrice mitocondriale e la cui espressione è indotta nella maggior parte dei tumori.

I risultati che abbiamo ottenuto mostrano l'associazione di TRAP1 alla succinato deidrogenasi (SDH), il Complesso II della catena respiratoria. Abbiamo osservato in diversi modelli cellulari tumorali che TRAP1 diminuisce la respirazione delle cellule tumorali *in vivo* inibendo l'attività succinato:coenzima Q reduttasi (SQR) del Complesso II. L'inibizione del Complesso II aumenta ulteriormente nelle cellule esprimenti TRAP1 durante il saggio di tumorigenesi *in vitro*, provocando l'accumulo di succinato. Questo aumento di succinato induce la stabilizzazione del fattore di trascrizione pro-neoplastico HIF1 α , favorendo lo switch metabolico necessario per la crescita e la progressione tumorale. Infatti, si osserva tumorigenesi *in vitro* e *in vivo* solo nelle cellule esprimenti TRAP1.

1.1 Hallmarks of cancer

Cancer is a highly heterogeneous and complex disease characterized by several alterations in basic homeostatic processes. In 2000, Hanahan and Weinberg defined some biological processes that lead to the transformation of normal cells into malignant ones. A small number of molecular, biochemical, and cellular traits (acquired capabilities) are shared by most or probably all types of human cancers. The essential alterations that hallmark cancer and regulate malignant growth (Hanahan and Weinberg, 2000) are:

1. *Self-sufficiency in growth signals*
2. *Insensitivity to growth inhibitory signals*
3. *Evasion of programmed cell death (Apoptosis)*
4. *Limitless replicative potential*
5. *Sustained angiogenesis*
6. *Tissue invasion and metastasis*

Genetic mutations leading to neoplastic transformation can occur either in *oncogenes* (dominant gain of function mutations) or in *tumor suppressor genes* (recessive loss of function mutations); products of these genes include protein involved in the regulation of diverse biological processes, such as growth, proliferation, apoptosis, metabolism, and DNA repair.

From what we know so far, we can affirm that tumor development proceeds via a process formally analogous to Darwinian evolution, in which a succession of genetic changes, each conferring a specific advantage to the carrier cell, leads to the progressive process of neoplastic transformation, *i.e.* the conversion of normal cells into cancer cells (Hanahan and Weinberg 2000), allowing them to overstep all bounds posed by the surrounding environment to tumor formation.

1.1.1 Self sufficiency in growth signals

Normal cells require growth factors (GF) in order to move from a quiescent state into a proliferative one. GF are diffusible protein, which bind cognate receptors on the cell surface thus transmitting into the internal space of cells a plethora of signals. Indeed, following activation of multiple intracellular signalling cascades, GF control metabolism, entry into the

cell cycle and motility, both through regulation of gene expression and through post-translational modulation of protein function. Conversely, cancer cells acquire autonomy from exogenous GFs in several ways: they can (i) become capable to synthesize the GFs they need, e.g., sarcomas produce TGF α (Fedi et al., 1994); (ii) overexpress GF-binding receptors, e.g. EGF-R /erbB in breast cancer (Slamon et al., 1987); (iii) to structurally change GF receptors in order to obtain constitutively active signals, example.g. with truncated versions of EGF receptor lacking much of its cytoplasmic domain (Fedi et al., 1994); (iv) switch the isoform of the extracellular receptor, in order to express those that are more prone to transmit growth signals. Oncogenic mutations can also occur on downstream cytosolic components of GFs signaling: a classical example is hyper-activation of the MAP kinase cascade, observed in the majority of cancers and caused by mutations in several components of this signaling pathway (Dhillon et al., 2007).

1.1.2 Insensitivity to growth inhibitory signals

Multiple anti-proliferative stimuli operate to counteract growth signals and maintain tissue homeostasis. These type of signals include both soluble factors and immobilized inhibitors embedded in the extracellular matrix. Antigrowth signals block proliferation, prompting cell exit from the cycle, in the G₀ quiescent state, which frequently preludes to the acquisition of specific differentiation traits. Cancer cells evade these stimuli by modulating components that govern the transit of cells through the G1 phase of the cell cycle. A classical pathway altered in cancer cells is the one controlled by the protein *pRb*, encoded by the retinoblastoma tumor suppressor gene *Rb1*. The protein pRB blocks proliferation, because it sequesters the *E2F1* transcription factor, a master switch that controls the transition from G1 into S phase. Disruption of this cascade leads to forced proliferation (Goodrich et al., 2006), and mutational inactivation of the two *Rb1* alleles causes pediatric retinoblastoma and is involved in many tumor types.

Another important player in avoiding post mitotic differentiation is the proto-oncogenic transcription factor c-Myc (Dang, Cell, 22, 149, 2012). The resting cell normally expresses little c-Myc, whereas cells stimulated by growth factors dramatically increase c-Myc expression as an immediate early response gene. c-Myc is constitutively expressed in a wide spectrum of cancers and it is associated with aggressive, poor differentiated neoplasias. c-Myc, in association with the transcription factor Max, activates the expression of genes that

directly induce proliferation, such as those encoding Cyclin D2 or CDK4 (Pelengaris et al., 2002). Cell differentiation is controlled by Mad-Max transcription factor complexes: c-Myc over-expression disrupt Mad-Max interaction in several tumors favoring formation of c-Myc-Max complexes (Pelengaris et al., 2002). Recent works have established a role for c-Myc also in cell cycle progression, metabolism, apoptosis and genomic instability (Dang, 2012).

1.1.3 Evasion of programmed cell death (Apoptosis)

Tumors are characterized by a high proliferation rate that is sustained also by the development of a robust anti-apoptotic platform. During apoptosis, lipid asymmetry of cellular membranes is disrupted, the cytoplasmic and nuclear skeletons are broken down, the cytosol is extruded, the chromosomes are degraded, and the nucleus is fragmented. Eventually, the shriveled cell corpses are engulfed by macrophages.

Signals that elicit apoptosis converge on mitochondria, which respond to stimuli by releasing cytochrome *c* which in turn activates intracellular protease called *caspases* (this feature will be discussed in detail in paragraph 1.4).

1.1.4 Limitless replicative potential

Mammalian cells carry an intrinsic, cell autonomous program that limits their multiplication, independently of cell-to-cell signaling. Once cells have progressed through a certain number of doublings, they stop growing and enter a state called senescence. Cellular senescence is characterized by a cell cycle arrest that can be triggered by many types of intrinsic and extrinsic stress, including telomere malfunction, oncogene activation and tumor suppressor gene inactivation (Priour and Peeper, 2008; Kuilman et al., 2010). Ultimately, most signals that induce senescence converge on p53 and pRB, which have been proposed to act as gate-keeper tumor suppressors (Priour and Peeper, 2008). From the first description of Oncogene-Induced cellular Senescence (OIS) over a decade ago (Serrano et al., 1997), many subsequent studies have confirmed that OIS prevents cells from undergoing oncogenic transformation *in vitro*. However, it has long been debated whether any *in vivo* correlate does exist. It is only since recent years that evidence has been accumulating indicating that OIS *in vivo* is a major protective mechanism against cancer (Priour and Peeper, 2008). One strategy used by malignancies to replicate in a limitless way is the maintenance of *telomeres*. The ends of chromosomes, called telomeres and characterized by repeats of 6 bp sequence elements,

control the number of duplications in a cell life. Each doubling passage leads to a loss of 50-100 bp of telomeric DNA. When telomeres reach a critical minimal length, their protective structure is disrupted. This triggers a DNA damage response (DDR) that can induce a transient proliferation arrest, allowing cells to repair their damage. However, if the DNA damage exceeds a certain threshold, cells are destined to undergo either apoptosis or senescence (Kuilman et al., 2010). Telomeric DNA can be replicated: this process requires telomerase, a specialized cellular ribonucleoprotein RNP reverse transcriptase (Blackburn, 2005). Malignancies, in order to avoid senescence induced by telomeres erosion, upregulate telomerases which add hexanucleotide repeats onto the end of telomeric DNA, regenerating them continuously (Hanahan and Weinberg, 2000; Blackburn, 2005).

1.1.5 Sustained angiogenesis

Physiological angiogenesis is the spreading of capillary venules from pre-existing vessels. Ischemia and oxygen decrease initiate a cascade of events that stimulates new vessel formation in order to increase nutrient and oxygen supply. This complex process requires interactions among different cell types, extracellular matrix, growth factors, several cytokines. The process of cancer angiogenesis relies on many of the same processes involved in the physiological angiogenesis, with the difference that these processes are constitutively active in cancer. When the primary tumor mass reaches 1-2 mm of diameter, the tumor demand for oxygen and nutrients exceeds the local supply capability and the internal tumor regions undergo ischemia. Cancer cells respond to these hypoxic microenvironments with the induction of HIF transcription factors, which strongly boost angiogenesis, . In addition to hypoxia, other factors can aberrantly upregulate HIF family expression under normoxic conditions in tumors, for example inactivating mutations in the tumor suppressor gene von Hippel Lindau (VHL), which encodes a protein involved in proteasomal degradation of HIF1 α (Denko, 2008).

The ability of cancer to stimulate new angiogenesis is a pre requisite for the rapid cell expansion that preludes to the formation of macroscopic solid tumors (Hanahan and Weinberg, 2000; Ellis et al., 2008; Chung et al., 2010). There are many instances in which cancer cells upregulate signals that initiate angiogenesis, such as vascular endothelial growth factors (VEGF) fibroblast growth factors (FGF 1/2), and down regulate the endogenous angiogenesis inhibitors such as β -interferon or thrombospondin-1. New vessels induced by

cancer cells are quite different from normal ones because they are tortuous and disorganized. Moreover they are leakier than normal: this both contributes to the generation of an interstitial hypertension that limits the delivery of anti-neoplastic drugs, and favors the spreading of metastases.

1.1.6 Tissue invasion and metastasis

The capability of neoplastic cells to trespass tissue boundaries, to colonize both adjacent districts (invasion) and distant areas, utilizing in this case the blood or the lymph flux (metastasis), are characteristic of tumors. The pathological importance of these processes is highlighted by the observation that cancer lethality is caused in the vast majority of cases by invasive or metastatic tumor masses. Invasion and metastasis are complex events and their genetic and biochemical determinants remain not completely understood.

Epithelial tissues, representing the origins of most solid tumors, are formed by relatively rigid sheets of cells. These are separated from the stroma by a basement membrane and are highly organized by lateral belts of cell–cell adhesion complexes. During the progression from an *in situ* tumor to an invasive carcinoma, epithelial tumor cells are released from their neighbors and breach the basement membrane barrier. This phenomenon requires a complex reorganization of tumor cell features, called EMT (Epithelial to Mesenchymal transition; Geiger and Peeper, 2009). EMT is an evolutionarily conserved developmental process. Indeed during embryonic development, certain differentiated polarized epithelial cells, on extracellular cues, undergo profound morphogenetic changes, collectively referred as EMT. This is typified by the dissolution of cell–cell junctions and loss of apico–basolateral polarity, resulting in the formation of migratory mesenchymal cells with invasive properties (Singh and Settleman, 2010) The changes in cell adhesion and migration during tumor invasion are reminiscent of EMT developmental process and has an active role in different stages of the metastatic cascade such as intravasation. It has been reported that transforming growth factor β (TGF β) acts as a major player in the metastatic process. In non neoplastic cells TGF β mediates growth arrest and apoptosis, but recently Adorno et al. (2009) have identified for TGF β an oncogenic role which would promote mutant p53-mediated suppression of the related p63 protein, thus uncovering a new role for p63 in the negative regulation of metastasis (Clohessy and Pandolfi, 2009).

Several classes of proteins are involved in tethering cells to their surroundings in a tissue, and many of these are altered when cells acquire metastatic capacity. Proteins affected include cell-cell adhesion molecules (CAMs, for instance E-cadherin) but also integrins that regulate interactions between cells and the extracellular matrix and extracellular proteases (Geiger and Peeper, 2009). Recently it was proposed that metastasis can evolve following two different models and this is crucial under a therapeutic point of view (fig.1).

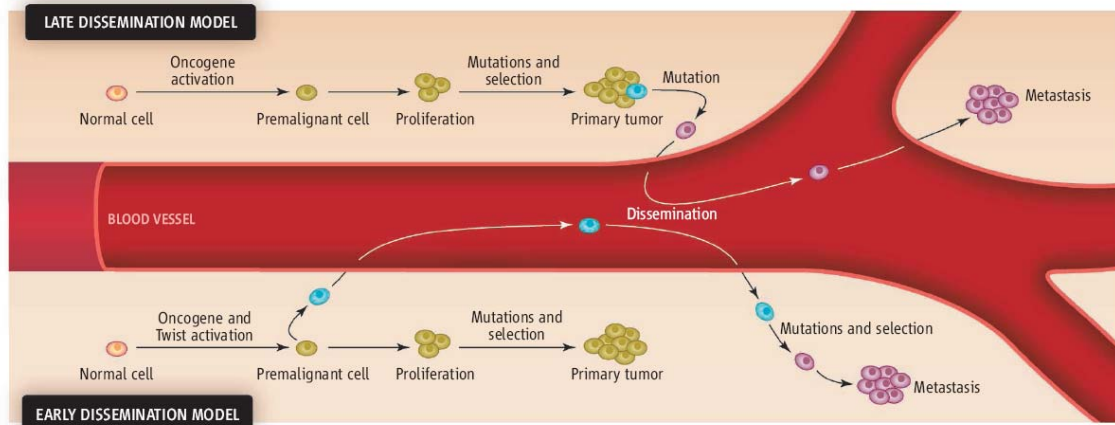


Figure 1. Late and early metastatic cascade model. The late dissemination model postulates that the expansion of metastatic clones occurs into the primary tumor, these aggressive cells would have a genetic profile similar to that of primary tumor cells. The early dissemination model proposes that mutations accumulate at distant sites, where metastatic cells genetically diverge from primary tumor cells (From Klein, 2008).

The first one is the *linear progression model*, also called the *late dissemination model*. In this model, cancer cells undergo genetic and epigenetic alterations, multiple successive rounds of mutations and selections for competitive fitness in the context of primary tumors. After a number of such rounds, cells that can proliferate leave the primary accrual site to seed and grow in secondary loci. Therefore, this clonal expansion is strictly related to tumor size and, more importantly, metastases are genetically similar to primary tumors.

The second model is the *parallel or early dissemination model*. This type of progression predicts an early dissemination of metastatic founder cells, with no need of accumulating mutations in the primary tumor mass. So, a great genetic disparity exists between cells derived from the metastatic founder and those grown in the primary tumor, as microenvironment becomes the limiting factor in the gathering of site-specific genetic and epigenetic mutations (Klein, 2008; Klein, 2009). When tumor cells lose contact with the basement membrane they must face another anti-tumor barrier: *anoikis*. It is a form of

programmed cell death which is induced by anchorage-dependent cells detaching from the surrounding extracellular matrix. In this way detaching cells are deprived of essential signal for growth or survival and die. Tumor cells are capable of evading *anoikis*. This mechanism is achieved through the involvement of constitutive activity of survival pathways that include integrin-dependent activation of FAK and subsequent ERK phosphorylation. In addition, FAK associates with RIP, thereby inhibiting the association of RIP with Fas and the ensuing formation of a death-inducing signalling complex (DISC), thus favoring cell survival. Up-regulation of CD44 also contributes to survival signals and promotes anoikis resistance (Bunek et al., 2011). Moreover amorphosis, or cell death caused by changes in cell shape, could hamper metastasis by inducing apoptosis when tumor cells enter “foreign” environments. Suppression of this type of cell death is a prerequisite for tumor cells to successfully metastasize to distant sites (Geiger and Peeper, 2009).

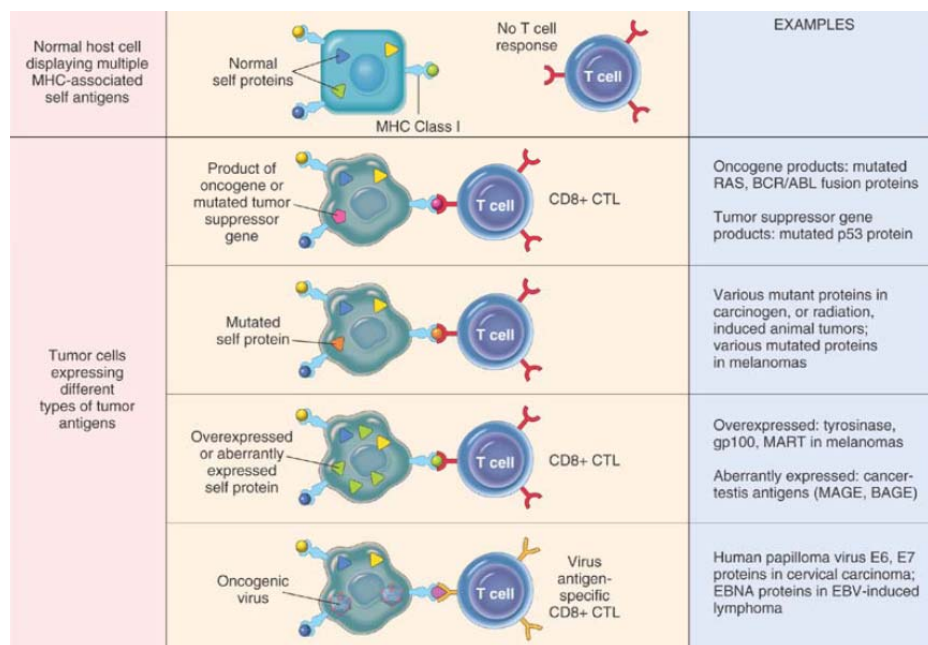


Figure 2. Principal classes of tumor antigens recognized by CTL CD8+ cells. Some proteins expressed or mutated in cancer (oncoproteins, mutated self proteins or oncogenic viruses) can be recognized by the immune system if associated to MHC I and destroyed by CTL cells (from Kumar et al., 2009)

After a decade of intensive oncological research and in the light of new observations and evidences, Hanahan and Weinberg in 2011 added two new emerging hallmark capabilities and two enabling characteristics, crucial to the acquisition of the six hallmark capabilities:

1.1.7 Enabling Characteristic: Tumor promoting inflammation

Links between cancer and inflammation were first made in the 19th century, on the basis of the observation that tumors often arise at sites of chronic inflammation and that inflammatory cells are present in tumor biopsies. Today the role of inflammation in cancer is established but controversial: tumor cells must develop a variety of strategies to escape antineoplastic surveillance provided by cells involved in the inflammatory response such as CTL or NK, cells that are crucial for the control and elimination of nascent tumors (Mantovani et al., 2008; Mantovani, 2009; Steer et al., 2010; see Fig. 2).

Conversely, epidemiological studies have shown that chronic inflammation predisposes individual to various types of cancer, and that infections and inflammatory responses are connected to cancer in 15-20% of total cases (Balkwill and Mantovani, 2001). There are many triggers of chronic inflammation that increase the risk of developing cancer; these include microbial infections (for example *H. Pylori* is associated with gastric cancer and gastric mucosal lymphoma; Mantovani et al., 2008) and autoimmune diseases (for example the association between inflammatory bowel disease and colon cancer; Mantovani et al., 2008). Hallmarks of cancer-related inflammation include the presence of inflammatory cells and mediators in tumor tissues and tissue remodeling and angiogenesis similar to those observed in chronic inflammatory responses. Cancer and inflammation are functionally connected in two different ways (fig. 3):

- inflammatory conditions activate a genetic program in cancer cells that increases the rate of neoplastic transformation (extrinsic pathway);
- genetic alterations of cancer cells (e.g. oncogene activation) drive the production of inflammatory cytokines (intrinsic pathway). Typical examples are activation of the receptor tyrosine kinase *RET* (Borrello et al., 2005), induction of the RAS-RAF signaling pathway or of the transcription factor MYC, which drives the development of a variety of tumor types. In all these cases, a transcriptional program similar to the one occurring during inflammation is induced, with the production of tumor-promoting inflammatory chemokines and cytokines (Sparmann et al., 2004 ; Sumimoto et al., 2006; Shchors et al., 2006).

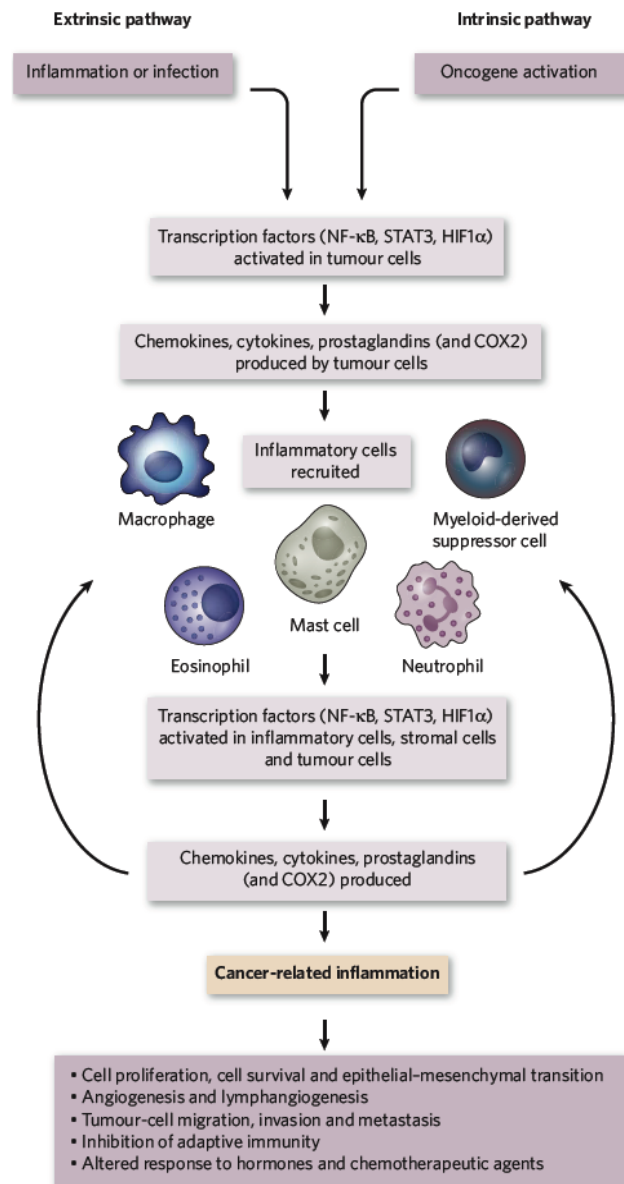


Figure 3. Pathways that connect inflammation and cancer. Cancer and inflammation are connected by two pathways: the intrinsic one activated by genetic events and the extrinsic one due to infectious conditions and inflammation. Both pathways converge in the activation of transcription factors (NF- κ B, STAT3, HIF1 α) that coordinate the production of chemokines and cytokines. (from Mantovani et al. 2008)

Tumor suppressor proteins (e.g. VHL, TGF β and the phosphatase PTEN) can also regulate the production of inflammatory mediators.

Both intrinsic and extrinsic pathways converge on the activation of endogenous transcription factors, which elicit cytokine synthesis. Inflammatory pathways are also involved in migration, invasiveness and ectopic survival. As an example, the chemokine receptor CXCR4

is expressed in tumors, and its expression correlates with the metastasis of colorectal, breast, liver and esophageal cancer (Mantovani, 2008).

1.1.8 *Enabling Characteristic: Genome instability and mutations*

Cancer cells often increase the rate of mutation in order to obtain mutant genes whose protein products contribute to the tumorigenic process. In other words, genome instability is inherent to the great majority of human cancer cells because it accelerates the rate of accumulation of favorable genotypes (Hanahan and Weinberg, 2011). There are two ways by which tumors can do that: through an increase in the sensitivity to mutagenic agents (due to mutations in genes whose products are involved in the inactivation of mutagenic molecules before they damage DNA), or through a breakdown in one or several components of the genomic maintenance machinery (genes codifying for proteins involved in detecting DNA damage and activating the repair machinery, or enzymes directly repairing damaged DNA), or both (Negrini et al., 2010). The molecular genetic analysis of cancer cell genomes has provided compelling evidences of gain and loss of gene copy numbers, finding recurrences of specific aberration patterns at particular sites in the genome.

1.1.9 *Emerging Hallmark: Evading immune destruction*

Cells and tissues are constantly monitored by the immune system and this surveillance is responsible for recognizing and eliminating the vast majority of incipient cancer cells and thus nascent tumors. Oncogenic mutations can be recognized also by the immune system. In particular cytotoxic T Lymphocytes (CTL) and Natural Killer (NK) cells can efficiently discover and destroy cells the express novel or aberrant antigens associated to MHC class I proteins or that do not express MHC class I proteins (Kumar et al. 2009, Fig. 2). In order to avoid detection and to limit eradication, solid tumors must escape the immune system, both the innate and the adaptative arms. This is achieved by the malignant cell through a variety of strategies: for example, cancer cells may block the activity of infiltrating CTLs and NK cells by secreting TGF- β or other immunosuppressive factors (Yang et al., 2010; Shields et al., 2010).

1.1.10 Emerging Hallmark: Reprogramming energy metabolism

Many observations made during the early period of modern cancer biology identified metabolic changes as a common feature of cancerous tissues. One of these features is the prevalent use of glycolysis as source of energy, independently of the oxygen availability. This phenomenon was discovered by Otto Warburg at the beginning of 20th century (Warburg et al., 1927; Warburg, 1956) and cancer glucose avidity is currently used in clinical practice to diagnose cancer and dissemination of micro-metastases with the PET (positron electron tomography) technique. Today we know that cancer cells are characterized by several metabolic alterations, which will be discussed in the next paragraph.

1.2 Metabolic alterations in cancer

Tumor cells have a remarkably different metabolism from that of the tissues which they are derived from. Indeed, the metabolism of neoplastic cells must sustain higher proliferative rates and resist oxygen paucity found in the inner tumor mass; at the same time, neoplasms are continuously exposed to oxidative insults (Tennant et al., 2010).

In the third decade of the last century, Warburg measured oxygen consumption and lactate production in tumor slices, either in the presence or absence of oxygen. He found that the rapidly growing tumor cells consumed glucose at a surprisingly high rate compared to normal cells in the presence of oxygen, and most of the glucose-derived carbon was secreted by neoplasms in the form of lactate (Warburg, 1927). Warburg later postulated that this phenomenon, termed ‘aerobic glycolysis’, was provoked by mitochondrial impairment and was the origin of cancer cell transformation (Warburg, 1956). His observations were almost forgotten for almost a century, and metabolic alterations of tumors were simply considered an epiphenomenon of changes elicited in the neoplastic cells by mutations in oncogenes and tumor suppressor genes.

In the same period, Crabtree observed that increased glucose availability boosts glycolysis in cancer and normal proliferating cells, while inhibiting respiration, an observation now known as the ‘Crabtree effect’ (Crabtree, 1928). He further suggested that this observation is sufficient to explain the decrease in oxidative phosphorylation-derived ATP in cancer, which argues against Warburg’s initial hypothesis that defects in respiration are the cause for increased glycolysis. Years later it was suggested that respiration inhibition by glycolysis was

caused by glycolysis competing with oxidative phosphorylation for Pi and ADP. However, the Crabtree effect does not provide an explanation for the actual cause of the observed increased aerobic glycolysis in cancer (Frezza and Gottlieb, 2009).

Subsequent work showed that mitochondrial function is not impaired in most cancer cells, suggesting that the shift towards glycolysis during neoplastic transformation occurs as a consequence of regulatory mechanisms.

We still do not understand exactly what is the advantage obtained by tumor cells in moving their metabolic demand towards glucose usage (Fig. 4). The current model postulates that the preferential use of aerobic glycolysis offers the following advantages to highly proliferative cells. First, it focuses cells on the use of glucose, which is the most abundant extracellular nutrient; second, the flux of ATP derived from glycolysis can exceed the one produced during OXPHOS, despite the low efficiency of glycolysis, when glucose is provided at a sufficiently high concentration; third, glucose utilization provides essential metabolic intermediates for the biosynthesis of diverse macromolecules (lipids, proteins and nucleic acids) and for anti-oxidative defenses, with the production of NADPH (Vander Heiden et al. 2009).

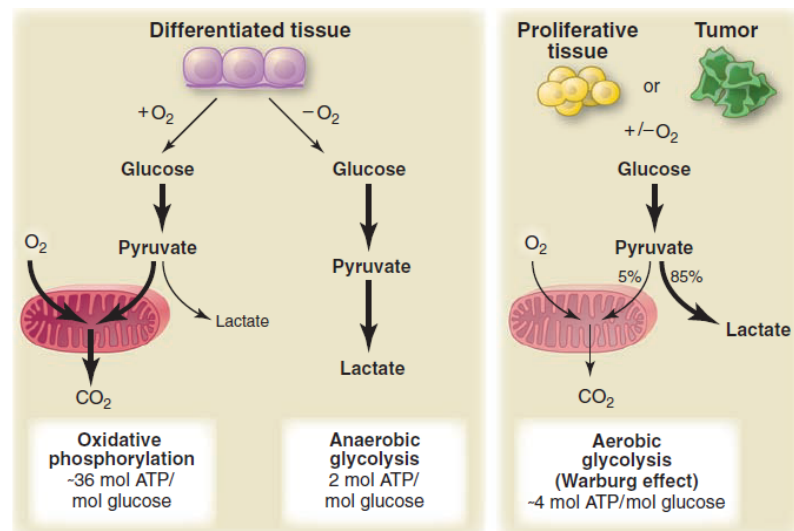


Figure 4. ATP yield of oxidative phosphorylation, anaerobic glycolysis and aerobic glycolysis (Warburg Effect). (from Vander Heiden et al., 2009)

Cancer cells need biosynthetic precursors to sustain rapid proliferation, so it is not surprising that metabolic activities in cancer cells are very different from those in non-proliferating

cells. So, as well as aerobic glycolysis, there are other core fluxes, such as the pentose phosphate pathway, the *de novo* fatty acid synthesis and glutamine-dependent anaplerosis, that cancer cells need for their high rate of replication.

1.2.1 The pentose phosphate pathway:

In order to sustain the rapid proliferation that is a characteristic of tumours, there must be an increase in the synthesis of both fatty acids and nucleotide precursors. Cells divert the glycolytic intermediates into the Pentose Phosphate Pathway (PPP), either from glucose 6-phosphate (using the oxidative arm of PPP) or from fructose 6-phosphate (using the non-oxidative arm of PPP). These intermediates can then be used to reduce nicotinamide adenine dinucleotide phosphate (NADP⁺) to NADPH (from the oxidative arm only) and synthesize ribose 5-phosphate, a nucleotide precursor (Tennant et al, 2009).

1.2.2 Fatty acid synthesis:

Proliferating cells in general and cancer cells in particular require *de novo* synthesis of lipids for membrane assembly. Under conditions where pyruvate dehydrogenase (PDH) is not inhibited, pyruvate is converted into acetyl-CoA and enters the tricarboxylic acid (TCA) cycle by condensing with oxaloacetate to form citrate (Figure 3A). Citrate is mostly oxidized in the TCA cycle to produce reducing potential for the mitochondrial electron transport chain (ETC), but it can also be used for fatty acid synthesis in the cytosol. Cytosolic citrate is converted back into oxaloacetate and acetyl-CoA by the action of ATP citrate lyase. This further supports the anabolic reprogramming observed in tumorigenesis, boosting proliferation of tumor cells.

1.2.3 Glutaminolysis:

There are two major sources of energy and carbon for cancer cells: glucose and glutamine. It has been recently proposed that glucose accounts mainly for lipid and nucleotide synthesis, whereas glutamine is responsible for anaplerotic re-feeding of the TCA cycle, for amino acid synthesis and for nitrogen incorporation into purine and pyrimidine for nucleotide synthesis (DeBerardinis et al., 2007).

Once in the cell, glutamine is initially deaminated to form glutamate, a process catalysed by the enzyme glutaminase. Glutamate in turn can be converted into α -ketoglutarate either by a second deamination process catalyzed by the enzyme glutamate dehydrogenase or through

transamination. On entering the TCA cycle, a-ketoglutarate is metabolized to eventually generate oxaloacetate, an important anabolic precursor that will condense with the acetyl-CoA generated from glycolysis or glutaminolysis to produce citrate (Fig. 5).

The importance of glutaminolysis in cancer metabolism is evident from the considerable release of ammonium in the venous effluent of cancer patients, and by the fact that, with time, the majority of patients develop glutamine depletion. In fact, glutaminase has been found to be over-expressed in a variety of tumor models and human malignancies, and the rate of glutaminase activity correlates with the rate of tumor growth.

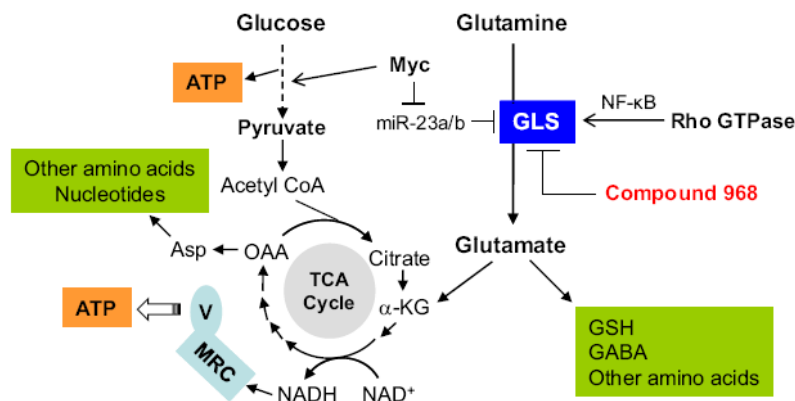


Figure 5. Pathways of glutamine metabolism. The interconnection between glutamine metabolism and glucose metabolism is highlighted. Inhibition of glutaminase by compound 968 suppresses oncogenic transformation induced by Rho GTPases. GLS, glutaminase; TCA cycle, tricarboxylic acid cycle; MRC, mitochondrial respiratory chain; V, mitochondrial respiratory complex V; OAA, oxaloacetate; Asp, aspartate; α -KG, a-ketoglutarate, miR=micro RNA (from Lu et al., 2010).

1.3 Mitochondria and tumor

The molecular mechanisms responsible for the metabolic shift are under intense scrutiny, and their unraveling will allow to understand how changes in the metabolic profile can provide tumors with a growth advantage (Denko 2008). There are two main mechanisms explored by researchers:

1) *Mutations that alter metabolism.* Oncogenic mutations, both in oncogenes and in tumor suppressor genes can directly influence metabolism. For example, Ras and Akt mutations increase glycolytic flux at different levels (Hsu and Sabatini 2008), whereas p53 inhibits glycolysis by inducing the expression of TIGAR, which decreases fructose 2-6-biphosphate levels (Hsu and Sabatini 2008; Cairns et al. 2011).

2) *Environmental stresses.* A variety of signals reaching the tumor from the surrounding environment can modulate the metabolism of the neoplastic cell; these signals include hypoxia, acidosis and interstitial pressure.

The first evidences of a direct, causative role of mitochondrial alterations in the tumorigenic process were only discovered a decade ago when mutations in succinate dehydrogenase (SDH) (Baysal et al., 2000) or fumarate hydratase (FH) (Tomlinson et al., 2002), both enzymes of the TCA cycle, were found to be the initiating events of familial paraganglioma or leiomyoma and of papillary renal cell cancer, respectively. Soon after the discovery of SDH mutations it was proposed that the HIF pathway is activated in the associated tumors (Gimenez-Roqueplo et al., 2001) but only four years later it was demonstrated that impaired HIF α degradation due to the inhibition of its hydroxylation by PHDs is at the heart of the pathology of these tumours.

HIF stabilization was also found under normoxia (pseudohypoxia), and two models have been proposed to explain this observation (Fig. 6).

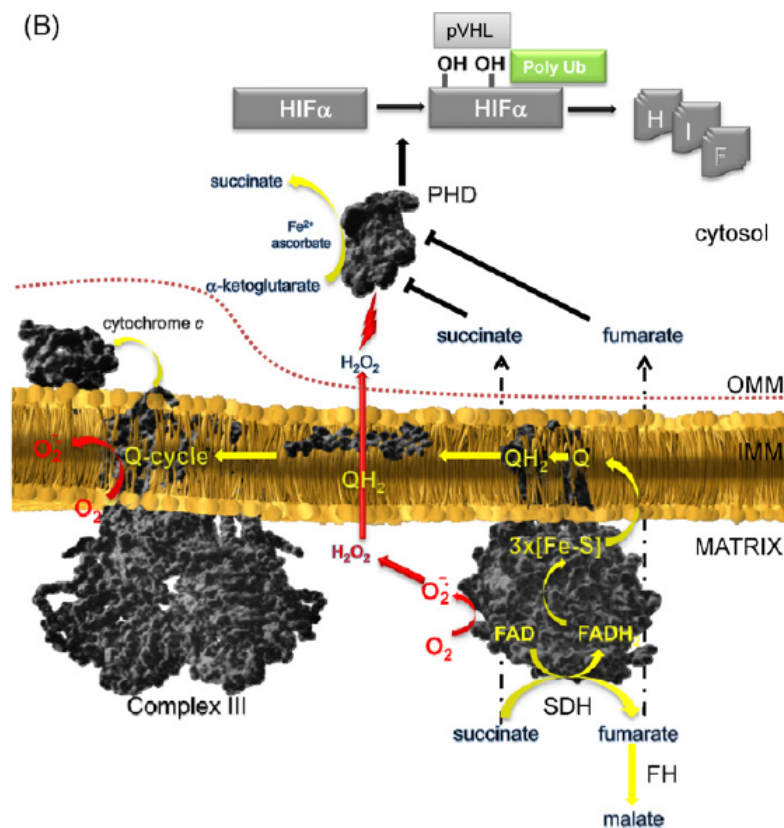


Figure 6.: The physiological roles of SDH in the TCA cycle and the ETC and its potential roles in cancer. During succinate oxidation to fumarate by SDHA, the reduction of FAD to FADH₂ occurs. Electrons are transferred through the iron-sulphur centres on SDHB to ubiquinone (Q) bound to SDHC and SDHD in the inner mitochondrial membrane (IMM), reducing it to ubiquinol (QH₂). Ubiquinol transfers its electrons through complex III. It was proposed that obstructing

electron flow within complex II might support a single electron reduction of oxygen at the FAD site. Superoxide is dismutated to hydrogen peroxide which can then leave the mitochondria and inhibit PHD in the cytosol, leading to HIF α stabilization. Succinate which accumulates in SDH-deficient tumors, can also leave the mitochondria and inhibit PHD activity in the cytosol (From Frezza and Gottlieb, 2009).

The first model proposes that reactive oxygen species (ROS), generated from an impaired complex II, inhibit HIF α hydroxylation by PHDs. ROS oxidize iron and ascorbate, that are cofactors of PHDs, inactivating them. However, there are controversial data regarding ROS production in SDH deficient cells (Ishii et al, 2005; Selak et al., 2006).

The second model proposes that succinate, whose concentration increases in SDH-deficient mitochondria, can serve as a mitochondria-to-cytosol messenger that inhibits PHD activity through a feedback mechanism (Selak et al., 2005). Moreover, it was reported that, similar to succinate, the increased fumarate levels observed in FH-deficient HLRCC (Hereditary leiomyomatosis and renal cell cancer) tumors also inhibit PHD activity and consequently HIF α degradation (Isaacs et al., 2005).

These two models of pseudo-hypoxic HIF1 α stabilization are not necessarily mutually exclusive and it is possible that *in vivo* both ROS and succinate cooperate to inhibit PHD activity in SDH-deficient tumors (Frezza and Gottlieb, 2009).

1.4 Hypoxia-inducible factors and cancer

In cancer, the combination of dysregulated cell proliferation and of abnormal blood vessels result in severe hypoxia. To adapt to this hostile environment, cancer cells exploit physiological responses to hypoxia. Cells respond to hypoxic conditions by activating hypoxia-inducible factors (HIFs), which regulate the delivery and consumption of oxygen (Semenza, 2012). Cells can increase oxygen consumption in physiological conditions, such as in response to proliferation stimuli. The ensuing hypoxic conditions activate HIFs, leading to transcription of the VEGF gene, which encodes vascular endothelial growth factor that stimulates angiogenesis and increases oxygen delivery.

HIF is a heterodimeric protein formed by a constitutively expressed subunit (HIF β , also called ARNT) and an oxygen-regulated subunit (HIF α ; Boulahbel, 2009). HIF activity is regulated by oxygen through proline and asparagine hydroxylation. The hydroxylation of two proline residues (Pro 402 and Pro 564) in the ODDD (oxygen-dependent degradation domain) in HIF-1 α and in HIF-2 α is mediated by prolyl hydroxylase domain protein 2 (PHD2). In humans, the PHD family is composed of three different 2-oxoglutarate (α -

ketoglutarate) dioxygenases (PHD1, PHD2 and PHD3) that require iron and ascorbate as cofactors. This hydroxylation allows the interaction of the ODDD with the pVHL (von Hippel–Lindau protein)–ubiquitin E3 ligase, promoting the ubiquitination and subsequent proteasomal degradation of HIF α . Under low oxygen, the hydroxylation does not occur, as the required oxygen atoms are obtained from molecular oxygen, whereas 2-oxoglutarate provides electrons and is then decarboxylated to succinate. Thus, the interaction between HIF1 α and pVHL is prevented. As a result, HIF α is stabilized, forms a heterodimer with HIF β and promotes the expression of target genes. The number of direct HIF target genes is currently greater than 800 (Semenza, 2012).

HIFs play key roles in many crucial aspects of cancer biology including angiogenesis, stem cell maintenance, metabolic reprogramming, autocrine growth factor signaling, epithelial–mesenchymal transition, invasion, metastasis, and resistance to radiation therapy and chemotherapy. This is achieved through modulation of several specific HIF-regulated genes (Fig 7):

- Metabolic reprogramming: HIF-1 mediates the expression of genes encoding glucose transporters (GLUT1 and GLUT3), glycolytic enzymes (Hexokinase 1 and 2, lactate dehydrogenase, pyruvate kinase M2).
- Angiogenesis: HIF-1 controls the expression of several genes encoding angiogenic growth factor, as VEGF, stromal-derived factor 1 (SDF1) or placental growth factor (PGF).
- Epithelial–mesenchymal transition: HIF-1 induces the transcription of genes that block the expression of E-cadherin and other proteins that are responsible for the architecture of the cytoskeleton, for cell-cell adhesion or other features of epithelial cells.
- Invasion and metastasis: HIF activates also the transcription of genes which encode for proteases that degrade or remodel the extracellular matrix and that favor extravasation of cancer cells at metastatic sites.

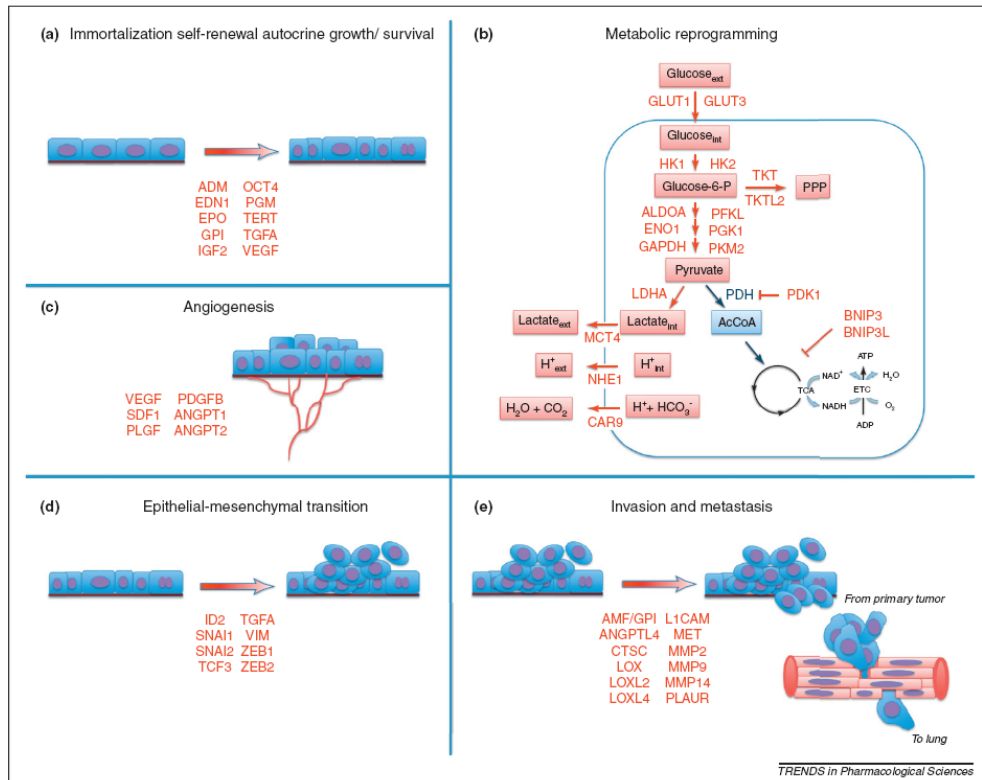


Figure 7 HIF target genes encode proteins involved in crucial aspects of cancer progression. (a) HIF target genes that promote cell immortalization, stem cell self-renewal, and autocrine growth and survival include those encoding adrenomedullin (ADM), endothelin 1 (EDN1). (b) HIF-1 target genes involved in metabolic reprogramming include glucose transporter 1 and 3 (GLUT1, GLUT3), hexokinase 1 and 2 (HK1, HK2), glycolytic enzymes aldolase A (ALDOA), enolase 1 (ENO1), glyceraldehyde-3-phosphate dehydrogenase (GAPDH), phosphofructokinase L (PFKL), phosphoglycerate kinase 1 (PGK1), pyruvate kinase M2 (PKM2), and lactate dehydrogenase A (LDHA). (c) HIFs stimulate tumor vascularization by activating transcription of the genes encoding VEGF, stromal-derived factor 1 (SDF1), placental growth factor (PGF), platelet-derived growth factor B (PDGFB), and angiopoietin 1 and 2 (ANGPT1, ANGPT2). (d) HIF target genes that promote epithelial–mesenchymal transition include those encoding inhibitor of differentiation 2 (ID2), snail 1 and 2 (SNAI1, SNAI2), transcription factor 3 (TCF3), TGFA, vimentin (VIM), and zinc finger E-box-binding homeobox 1 and 2 (ZEB1, ZEB2). (e) HIF target genes promoting invasion and metastasis include those encoding autocrine motility factor (AMF; also known as GPI), angiopoietin-like 4 (ANGPTL4), cathepsin C (CTSC), lysyl oxidase (LOX), LOX-like 2 and 4 (LOXL2, LOXL4), L1 cell adhesion molecule (L1CAM), Met proto-oncogene/hepatocyte growth factor receptor (MET), matrix metalloproteinase 2, 9, and 14 (MMP2, MMP9, MMP14), and the urokinase plasminogen activator receptor (PLAUR) (From Semenza, 2012).

1.5 Chaperones in cancer

In cancer cells, the aberrant stimulation of a variety of biological routines, which encompass proliferation, growth, motility and survival, in the presence of several mutant proteins and under the constitutive pressure of hyperactivated kinase signalling, requires a highly efficient process of protein quality control. This is carried out by molecular chaperones, which are involved in the correct folding of nascent polypeptides and in the productive assembly of multimeric protein complexes, while minimizing the danger of aggregation in the protein-rich intracellular environment. These proteins also control the conformational changes associated to molecular dynamics, as in the case of propagation of signals through reversible phosphorylations, and in the regulation of protein degradation and turn-over (Akerfelt et al., 2010; Liberek et al., 2008). The mechanism that cells use to ensure the quality of intracellular proteins is the selective destruction of misfolded or damaged polypeptides. In eukaryotic cells, a large ATP-dependent proteolytic machine, the 26S proteasome (Ravid and Hochstrasser, 2008) prevents the accumulation of non-functional, potentially toxic proteins. This process is of particular importance in protecting cells against harsh conditions (for example, heat shock or oxidative stress) and in a variety of diseases (for example, cystic fibrosis and the major neurodegenerative diseases).

Heat shock proteins (*HSP*) are an important protein family of intracellular chaperones. The heat shock response is a highly conserved mechanism in all organisms from yeast to humans that is induced by extreme proteotoxic insults such as heat, oxidative stress, heavy metals, toxins and bacterial infections. To face these and many other stress conditions, HSPs regulate protein-protein interactions in several conditions, maintaining the correct polypeptide folding in a plethora of dynamic situations, assisting in the establishment of proper protein conformational changes, also preventing unwanted protein aggregations. HSPs also contribute to the stabilization of partially unfolded proteins, and to the transport of proteins across cell membranes, and are also involved in protein degradation and in the control of signaling activation. Their conservation among different eukaryotes suggests that heat shock proteins are essential for cell survival (Richter et al., 2010; Hishiya and Takayama, 2008).

The 90-kDa heat shock proteins (HSP90) are crucially involved in cell signaling, proliferation, and survival, and are ubiquitously expressed in cells. Many proteins in tumor cells are dependent upon the HSP90 protein folding machinery for their stability, refolding, and maturation (Landriscina et al., 2009).

HSP90 is an evolutionarily conserved molecular chaperone that participates in stabilizing and activating more than 200 proteins —referred to as HSP90 ‘clients’- many of which are essential for constitutive cell signaling and adaptive responses to stress. To accomplish this task, HSP90, the chaperone HSP70, and additional proteins termed *co-chaperones* form a dynamic complex known as the HSP90 chaperone machine (Taipale et al., 2010; Neckers et al., 2009). Cancer cells use the HSP90 chaperone machinery to protect an array of mutated and over-expressed oncoproteins from misfolding and degradation. Therefore, HSP90 is recognized as a crucial facilitator of oncogene addiction and cancer cell survival. For all these features HSP-90 is an important therapeutic target in cancer (Whitesell and Lindquist, 2005). The first HSP90 inhibitor, 17-AAG (tanespimycin), entered clinical trials in 1999. In 2004, a second HSP90 inhibitor, 17-DMAG (alvespimycin), entered in clinical experimentation. Owing to extensive efforts in rational drug design and discovery, HSP90 inhibitors are currently undergoing clinical evaluation in cancer patients (Trepel et al., 2010; see Table 1).

Table 1
HSP90/TRAP1 antagonists under preclinical/clinical evaluation.

| Pharmaceutical agents | Target domain | Human cancer models |
|--------------------------------------|---|--|
| Geldanamycin | HSP90 ATP-binding N-terminal domain | Pancreas carcinoma, rhabdomyosarcoma, |
| <i>Geldanamycin Analogs</i> 17AAG | HSP90 ATP-binding N-terminal domain | Prostate, breast, ovarian and hepatocellular carcinoma, melanoma, neuroblastoma, glioblastoma, advanced solid malignancies |
| Radicicol PU-H71 | | n.a. Hepatocellular and breast carcinoma |
| BIB021 | | Multidrug-resistant tumor cells |
| SNX-2112 17-DMAG | | Multiple myeloma Cervical, gastric, colon hepatocellular and pancreas carcinoma, melanoma, multiple gynecologic cancer cell types |
| IPI-504 | | Multiple myeloma, pancreas and HER-2 positive breast carcinoma |
| Shepherdin | Mitochondrial HSP90/ TRAP1 complex | n.a. |
| Gamitrinibs | HSP90 ATP-binding N-terminal domain (mitochondria-directed) | n.a. |
| Novobiocin | HSP90 ATP-binding C-terminal domain | Drug-resistant human tumors |
| Epigallocatechin-3-Gallate (EGCG) | HSP90 ATP-binding C-terminal domain | Kidney, prostate, breast and pancreas carcinoma |

Table 1. TRAP1 antagonists under preclinical/clinical evaluation (Landriscina et al, 2009)

HSP90 is characterized by a unique ATP-binding pocket. The conserved chaperone structure consists of three domains: an amino terminal region (N domain) that contains an ATP and

drug-binding site and co-chaperone-interacting motifs; a middle (M) domain that provides docking sites for client proteins and co-chaperones, and that participates in forming the active ATPase; and a carboxy-terminal (C) domain that contains a dimerization motif, a second drug-binding region and interaction sites for other co-chaperones. Dimerization of two HSP90 protomers through their C domains is necessary for chaperone function. Although HSP90 is primarily a cytoplasmic protein, it was also found in organelles such as mitochondria (Trepel et al. 2010).

Mammalian cells also express two compartmentally restricted HSP90 homologues:

- *Glucose-regulated protein 94 (GRP94)* found in the endoplasmic reticulum (ER);
- *Tumour necrosis factor receptor-associated protein 1 (TRAP1)* localized into mitochondria.

Like other HSP90 proteins, both GRP94 and TRAP1 possess ATPase activity but both lack known co-chaperones. Recent studies suggest that GRP94 is essential for the maturation and secretion of insulin-like growth factors, which are autocrine mitogens that have a key role in transformation. ATP binding and hydrolysis are essential for the chaperone activity of GRP94, and a comparison of the nucleotide-binding pocket of GRP94 with that of HSP90 suggests that GRP94-specific inhibitors can be designed. In light of these recent findings, GRP94 should be evaluated as a *bona fide* anticancer target. (Trepel et al. 2010).

The mitochondrial chaperone TRAP1

TRAP1 (also known as HSP75) is a molecular chaperone of 75 kDa, homologous to HSP90 (Chen et al., 2005). TRAP1 was first identified as a HSP90-like chaperone while screening for proteins associated with the cytoplasmic domain of the type 1 Tumor Necrosis Factor Receptor-1 (TNFR-1), using the yeast two-hybrid system. A positive clone bound to the NH₂-terminal domain of TNFR-1 *in vitro* was designated as TNFR1-Associated Protein-1 (TRAP1; Song et al., 1995). The interaction between TNFR-1 and TRAP1 turned out to be an artifact. Indeed, translation of the TRAP-1 mRNA generates a precursor protein of 704 amino acids, containing a mitochondrial import sequence of 59 amino acids, which is removed upon organelle import (see Fig. 8), and TRAP1 expression is restricted to mitochondria.

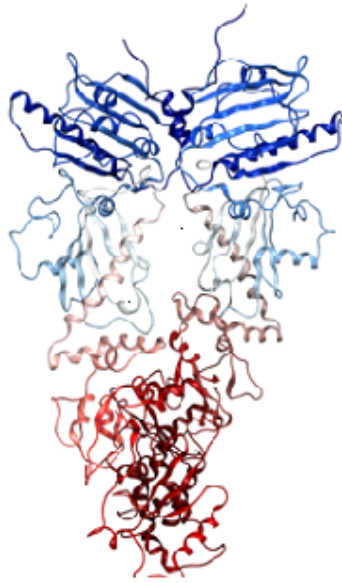


Figure 8. TRAP1 structure (Sturlese and Mammi, unpublished structure)

TRAP1 displays a higher ATP binding affinity than HSP90, with a typical ATPase cycle (Felts et al., 2000), but it does not bind the classical HSP90 co-chaperones (p23 and Hop), even if this does not exclude the possibility that TRAP1 binds other co-chaperones, not yet identified. . See Table 2 for a comparison between TRAP1 and HSP90.

Table 1
Structured–function comparison between TRAP-1 and Hsp90.

| Structure–function properties | TRAP-1 | Hsp90 |
|--|-----------|----------------------|
| Bergerat-type ATP-binding fold | Yes | Yes |
| Tight homodimer architecture | Yes | Yes |
| Post-translational modifications (phosphorylation, acetylation) | Potential | Yes |
| MEEVD sequence (tetratricopeptide repeat recognition) | No | Yes |
| Binding to co-chaperones (p23, Hop) | No | Yes |
| Inhibited by Geldanamycin, radicicol | Yes | Yes |
| Mitochondrial localization | Yes | Yes (only in tumors) |

Table 2. Comparison between TRAP1 and HSP90 (Altieri et al., 2011).

The expression of TRAP1 is low or absent in the mitochondria of normal tissues (with the exception of the brain and testis) but is markedly increased in tumor mitochondria (Kang et al., 2007). Accordingly, microarray analyses identified TRAP1 as one of the target genes

upregulated by the Myc oncogene (Coller et al., 2000). Many chaperones are endowed with a protective role against acute and chronic stress, and an anti-apoptotic function is emerging for TRAP1 as well. Indeed, in natural killer cells TRAP1 protects from Granzyme M-mediated generation of ROS and by the ensuing apoptosis (Hua et al., 2007) It was proposed a general function of TRAP1 in the protection of mitochondria from oxidative stress. Accordingly, TRAP1 was also found to be a substrate of the serine/threonine kinase PINK-1, whose mutations cause an autosomal recessive form of Parkinson's disease, whose pathogenesis largely involve oxidative stress of dopaminergic neurons. TRAP1 phosphorylation by PINK1 protects cells from apoptosis (Pridgeon et al., 2007). This finding supports the notion that TRAP1 plays a role in Parkinson's disease, but also suggests that Ser phosphorylation may be an important regulatory mechanism in TRAP1 function. An anti-oxidant role of TRAP1 could also be important in tumorigenesis. In human osteosarcoma SAOS-2 cells and colon carcinoma cells high TRAP1 levels elicit resistance to oxidants and chemotherapeutics (Costantino et al., 2009). TRAP1 overexpressing cells become more resistant to chemotherapeutics, suggesting a role for the anti-apoptotic effect of TRAP1 in tumorigenesis. Consistently, Fluorouracil (FU) resistant colon carcinoma cells and other tumor cells upregulate TRAP1 protein levels (Montesano Gesualdi et al., 2007). The importance of TRAP1 in neoplastic transformation is further supported by the finding that mitochondria from tumor cells contain TRAP1 in a complex with HSP90, and that disabling the ATPase activity of these chaperones leads to the selective death of neoplastic cells (Kang et al., 2007). Moreover TRAP1 silencing in prostate cancer cell lines caused apoptosis, as did its targeting with mitochondria-specific HSP90 inhibitors (Leav et al., 2009), and TRAP1 inhibition leads to the collapse of mitochondrial integrity, cytochrome *c* release, and caspase activation in several tumor cell lines and in several murine tumor models with little effect on non-transformed cells and minimal *in vivo* toxicity (Kang et al., 2009; Kang et al., 2007). Notably, TRAP1 could also have a role as a cancer biomarker, as its expression is increased in 62% of all colorectal carcinomas, and in 100% of metastatic colorectal carcinomas (Landriscina et al., 2009).

Taken together, these observations indicate that TRAP1 displays a relevant role in tumorigenesis, and that it may inhibit some key apoptogenic events in mitochondria, whose loss of regulation could contribute to the process of neoplastic transformation.

2. Materials and Methods

Cell cultures and transfections

Human SAOS-2 osteosarcoma cells, human cervical cancer HeLa cells and human HCT-116 colorectal carcinoma cells were purchased from ATCC. MEF cells, obtained from C57BL/6J mice through SV40-immortalization, were a generous gift of Dr R. Stein, Tel Aviv University. All these cells were grown in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (Invitrogen). RWPE-1 normal prostate epithelial cells (transfected with a single copy of the human papilloma virus 18) and RWPE-2 cell line, which was obtained by (transformation of RWPE-1 cells with Ki-Ras , were grown in keratinocyte medium (Gibco) supplemented with EGF and bovine pituitary extract; 100 units/ml penicillin and 100 µg/ml streptomycin were added to all media, and cells were kept in a humidified atmosphere of 5% CO₂/95% air at 37°C.

TRAP1 stable interference was achieved by transfecting cells with a panel of TRAP1 shRNAs from Sigma: CCGGTCCCTGTA^{CT}CAGAA; CCGGCAGAGCACTCAC^{CT}ACTATGCTCGAGCATAGTAGGGTGAGTGCTCTGTTT TTTG; CCGGTGGTTCTGGAGTGTTTGAAATCTCGAGATTTCAAACACTCCAGAACCATTT TTTG.

HIF1α stable interference was achieved with the following HIF1α shRNAs from Sigma: CCGGCCAGTTATGATTGTGAAGTTACTCGAGTAACTTCACAATCATAACTGGTTT TTTT; CCGGTGCTCTTTGTGGTTGGATCTACTCGAGTAGATCCAACCACAAAGAGCATTT TTTT). Scrambled shRNAs were used as negative controls. Stable interfered cells were selected in 0.8 µg/ml puromycin (Sigma). TRAP1 mutant lacking the mitochondrial import sequence (ΔN TRAP1) was a Δ1–59-Myc construct (generated as in Amoroso et al., 2012). For the expression of TRAP1 cDNA (cloned in a pCMV6 vector, Origene), MEF cells were transfected with Lipofectamine 2000 (Invitrogen) and selected in G418 (0.5 mg/ml; Sigma). The rate of cell growth was measured with a Scepter™ cell counter (Millipore).

Tissue samples

Specimens from both tumor and normal, non-infiltrated peritumoral mucosa were obtained from patients with colorectal carcinoma during surgical cancer removal, after an expressed written informed consent to use biological specimens for investigational procedures was obtained from all patients. Samples were cut into 125 mm³ pieces and one specimen was fixed in formalin to confirm the histopathological diagnosis, while the others were frozen in liquid nitrogen for further analyses. TRAP1 expression was increased in all samples from metastatic neoplasias and in the majority of initial, non-metastatic tumors with respect to the non-infiltrated surrounding mucosa. For ETC complex II evaluations, at least three samples with increased TRAP1 expression and three samples without any TRAP1 expression changes were analyzed.

***In vitro* tumorigenesis assays**

For the focus forming assay, 10⁶ cells were plated in 10 cm Petri dishes (BD Falcon) in Dulbecco's modified Eagle's (DMEM) medium supplemented with 10% fetal bovine serum (Gibco). When cells reached sub-confluence, serum concentration was decreased to the reported values, which did not induce cell death *per se*, and changed every 4th day. Mitochondria utilized for the determination of ETC complex enzymatic activities or cell lysates used for Western immunoblot assays were obtained at the 15th day after serum decrease, *i.e.* 1-2 days before cells that did not form foci started a massive death process (see below for sample preparation). 25 days after serum decrease foci appeared as thick masses and cords of cells. Plates were washed in PBS, fixed in methanol for 30 min and foci colored with GEMSA solution for 1 h. After washing in deionized water, size and number of foci was analyzed with an Image Analyzer custom software (Rasola et al., 2007). For the soft agar assay, cells were grown in 6 cm Petri dishes covered by a bottom layer composed by DMEM medium mixed with low melting point agarose (Promega) at a final concentration of 1.0%, and by a top layer of DMEM medium supplemented with 0.5% serum and mixed with low melting point agarose at a final concentration of 0.6%. Cells (3x10⁵) were added during the preparation of the upper layer, where they remained embedded. Dishes were then maintained

in a humidified atmosphere of 5% CO₂-95% air at 37°C for three weeks, adding medium (DMEM 0.5% serum) on the top of the two layers every 7th day. At the 25th day, dishes were washed in PBS and colonies were stained with Crystal Violet 0.05% and analyzed with Image Analyzer software.

***In vivo* tumorigenesis assays**

Experiments were performed in 5-week-old female CD1 nude mice (Charles River Laboratories) treated in accordance with the European Community guidelines. Twelve mice were injected subcutaneously bilaterally in the flanks with 1.5×10^7 SAOS-2 mock or shTRAP1 cells in 200 μ l of serum-free sterile PBS. In a subset of animals, tumor growth was favored by injecting cells in PBS mixed with Matrigel in a 1:1 ratio. Tumor growth was evaluated on alternate days by calliper measuring. After three weeks, mice were sacrificed and tumors stored at -80°C or fixed in formaldehyde and maintained in 70% ethanol for immunohistochemical analyses.

Cytofluorimetric analyses

Flow cytometry recordings were performed to determine cell death, as described (Fassetta et al., 2006; Gramaglia et al., 2004), and mitochondrial mass. Briefly, cells were incubated at 37°C in 135 mM NaCl, 10 mM HEPES, 5 mM CaCl₂ with FITC-conjugated Annexin-V (Boehringer Mannheim) and propidium iodide (PI, 1 μ g/ml; Sigma), to detect phosphatidylserine exposure on the cell surface (increased FITC-conjugated Annexin-V staining) and loss of plasma membrane integrity (PI permeability and staining). N-acrydine orange (NAO 20 μ M, Invitrogen), which binds to cardiolipin in mitochondrial membranes, was utilized to evaluate mitochondrial mass. Samples were analyzed on a FACS Canto II flow cytometer (Becton Dickinson). Data acquisition and analysis were performed using FACSDiva software.

Mitochondria purification and quantification

Mitochondria were isolated after cell disruption with a glass-Teflon or electrical potter (Sigma) in a buffer composed by 250 mM sucrose, 10 mM Tris-HCl, 0.1 mM EGTA-Tris, pH 7.4. Nuclei and plasma membrane fractions were separated by a first mild centrifugation (700g, 10 min); mitochondria were then spinned down at 7000g, 10 min, and washed twice (7000g, 10 min each). All procedures were carried out at 4°C. In order to define submitochondrial protein localization, isolated mitochondria were digested with trypsin at different concentrations at 4°C for 1h. Where indicated, 0.1% SDS was added before trypsin. Trypsin was then inactivated with a protease inhibitor cocktail (Sigma). Mitochondria were quantified using a BCA Protein Assay Kit (Thermo Scientific-Pierce).

Western immunoblots, immunoprecipitations and crosslinkings

For Western immunoblots analyses, cells were lysed at 4°C in a buffer composed by 140 mM NaCl, 20 mM Tris-HCl pH 7.4, 5 mM EDTA, 10% glycerol, 1% Triton X-100, in the presence of phosphatase and protease inhibitors (Sigma). Lysates were then cleared with a centrifugation at 13000g for 30 min at 4°C, and proteins were quantified using a BCA Protein Assay Kit (Thermo Scientific-Pierce).

Protein immunoprecipitations were carried out on 3 mg of total cellular extracts. Lysates were pre-cleared with an incubation with protein A-Sepharose (Sigma) for 1 h at 4°C and then incubated in agitation for 18 h at 4°C with the antibody conjugated to fresh protein A-Sepharose beads. Where indicated, an anti mouse IgG was added as a negative isotype control. Beads were then washed several times in lysis buffer.

For the crosslinking assays, isolated mitochondria were suspended in PBS buffer and incubated with dimethyl 3,3-dithiobis-propionimidate (DTBP, Sigma, 1 mM), a membrane-permeable, homo-bifunctional reagent that reacts with the primary amines of two interacting proteins at an average distance of about 8 Å (Giorgio et al., 2009), for 15 minutes at room temperature and then spinned at 7000 rcf for 5 minutes. Pellet was then lysed as above, and

lysates were ultracentrifuged at 100000 *rcf* for 25 minutes at 4°C prior to TRAP1 immunoprecipitation.

Proteins extracted from total cell lysates or from immunoprecipitations were then boiled for 5 min in Laemmli sample buffer, separated in reducing conditions on SDS-polyacrylamide gels and transferred onto Hybond-C Extra membranes (Amersham) following standard methods. Primary antibodies were incubated 16 h at 4°C, and horseradish peroxidase-conjugated secondary antibodies were added for 1 h. Proteins were visualized by enhanced chemiluminescence (Millipore). Anti TRAP1 (sc-13557), anti SDHA (sc-166947), anti SDHB (sc-59688) mouse monoclonal antibodies and anti actin (sc-1615) goat polyclonal antibody were all from Santa Cruz; anti HIF1 α (610959) mouse monoclonal antibody was from Becton Dickinson; anti GAPDH (MAB374) mouse monoclonal antibody was from Chemicon; anti Bcl-X (54H6) rabbit polyclonal antibody was from Cell Signaling; anti Cyp-D (AP1035) mouse monoclonal antibody was from Calbiochem; the anti mouse IgG was from Thermofisher; anti COXII (12C4F12) mouse monoclonal antibody was from MitoSciences (Total OXPHOS Human Antibody Cocktail, MS601); anti Hsp90 (610418) mouse monoclonal antibody was from BD Transduction Laboratories; mouse monoclonal anti Complex II immunocapture antibody was from MitoSciences.

Blue native polyacrylamide gel electrophoresis (BN-PAGE)

BN-PAGE experiments were performed on mitochondria isolated as described. ETC complexes and super-complexes were extracted from 200 μ g of mitochondria in a buffer composed by 1M aminocaproic acid, 50 mM Bis Tris pH 7, in the presence of 1% digitonin at 4°C for 2 min. After extraction mitochondria were spun at 100000 *rcf* for 30 min and supernatants were collected and loaded on polyacrylamide 3-12% Bis Tris pre-cast gradient gels (Invitrogen) after addition of sample buffer added of G250 (Invitrogen). Bands were then visualized with a 18 h Coomassie Blue staining. Bands corresponding to ETC complex II and IV were cut and run on a normal SDS PAGE, in order to separate single protein components and to identify them by Western immunoblotting.

ETC complex II and IV activity assays

To measure the enzymatic activity of respiratory chain complex II, cells or biopsies were homogenized with an electric potter (Sigma) in a buffer composed by 250 mM sucrose, 10 mM Tris-HCl, 0.1 mM EGTA-Tris, pH 7.4, Percoll 10%, protease and phosphatase inhibitors and mitochondria isolated as described above. Mitochondrial enriched fractions (40 µg per trace) were used for spectrophotometric recordings (600 nm, 30°C) of the reduction of 2-6 dichlorophenolindophenol (DCPIP). Mitochondria are preincubated for 10 min at 30°C in a buffer composed by potassium phosphate 25 mM pH 7.2, sodium succinate 20 mM, alamethicin 5 µM. After the pre-incubation time, sodium azide (500 µM), antimycin A (1 µM), rotenone (1 µM) and DCPIP (50 µM) were added for 1 min to the medium. Reaction started after the addition of an intermediate electron acceptor (Coenzyme Q1, 6.5 µM; 25). Each measurement of ETC complex II activity was normalized for citrate synthase (CS) activity.

To measure CS activity, citrate formation is determined with a spectrophotometer as an increase in absorbance at 420 nm, 37°C. Reaction buffer was composed by 100 mM Tris-HCl pH 8, 100 µM DTNB, 300 µM Acetyl -CoA, 500 µM Oxaloacetate.

To measure the enzymatic activity of respiratory chain Complex IV, cells were subjected to three cycles of freezing and thawing in liquid nitrogen. These cellular homogenates were used for spectrophotometric recordings (550 nm, 37°C) of the oxidation of reduced cytochrome *c*, nm ($\epsilon=18.5 \text{ mM}^{-1} \text{ cm}^{-1}$) in a buffer composed by potassium phosphate 100 mM pH 7, water and laurel-maltoside 10% p/v. The reaction started by the addition of cellular homogenates and the decrease in absorbance was followed for 3 min. Reduced cytochrome *c* was prepared immediately before use by adding a few grains of sodium dithionite. Each measurement of ETC complex IV activity was normalized for protein amount and for CS activity, as above.

Oxygen consumption rate (OCR) experiments

The rate of oxygen consumption was assessed in real-time with the XF24 Extracellular Flux Analyzer (Seahorse Biosciences), which allows to measure OCR changes on adherent cells, after up to four sequential additions of compounds. Cells (5×10^4 /well) were plated the day before the experiment in a DMEM/10% serum medium; experiments were carried out on confluent monolayers. Before starting measurements, cells were placed in a running DMEM medium (supplemented with 25 mM glucose, 2mM glutamine, 1mM sodium pyruvate, without serum and sodium bicarbonate) and pre-incubated for 30 min at 37°C in atmospheric CO₂. OCR values were then normalized for the protein content of each sample. An accurate titration with the uncoupler FCCP was performed for each cell type, in order to utilize the FCCP concentration (20-300 nM, depending on the cell type) that maximally increases OCR. To exclude that OCR differences between mock and shTRAP1 cells were caused by changes in succinate availability inside mitochondria, the cell-permeable analogue methyl-succinate was used, and no appreciable difference was recorded.

Immunohistochemical analyses

Four thick serial sections of paraffin-embedded tumor samples were stained with haematoxylin-eosin (H&E) and Azan-Mallory. For immunohistochemistry analysis with TRAP1, HIF1 α and MIB1/Ki67, briefly after dewaxing and hydration, sections were incubated in EDTA buffer at pH 8.0 or in citrate buffer 5 mM at pH 6.0, respectively, in a microwave oven for antigen retrieval. Afterward, sections were treated with ULTRA V Block for 5 min (Thermo Scientific) and incubated for 60 min with the primary antibody (anti-HIF1 α monoclonal antibody, 1:50, was from BD Biosciences and anti- MIB-1/Ki67 GTX73546 monoclonal antibody, 1:100, was from GeneTex). Sections were subsequently incubated with rabbit Primary Antibody Amplifier Quanto for 10 min (Thermo Scientific). Then, after the buffer wash step, sections were treated with HRP Polymer Quanto for 10 min. Immunoreactivity was visualized with 3-30-diaminobenzidine (DAKO).

Immuno-electron microscopy analyses

To perform immuno-electron microscopy experiments, cells were fixed with a mixture of 4% paraformaldehyde and 0.05% glutaraldehyde, antibody-labeled using the gold-enhanced protocol, embedded in Epon-812, and cut. EM images were acquired from thin sections using a FEI Tecnai-12 electron microscope equipped with an ULTRA VIEW CCD digital camera (FEI).

Determination of intracellular succinate level

To determine the intracellular succinate level, cells were first washed three times in PBS at room temperature and then quickly scraped in a buffer composed by 30% acetonitrile, 50% methanol and 20% water on ice. Lysates were collected and frozen in a cold solution with methanol and dry ice for 5 min in order to favor the metabolic quenching needed for metabolites extraction. The insoluble material was immediately spun down in a cooled centrifuge at 16000 g for 15 min at 0°C and the supernatant was collected for subsequent analysis. Metabolites were separated using a liquid chromatography (LC) system and analyzed by mass spectrometry (MS). A ZIC-HILIC column (4.6 mm×150 mm, guard column 4.6 mm×10 mm, Merck, Germany) was used for LC separation using formic acid, water acetonitrile as component of the mobile phase. Results were normalized to protein concentration, measured from parallel cell culture using BCA kit as described above.

Intracellular ATP determination

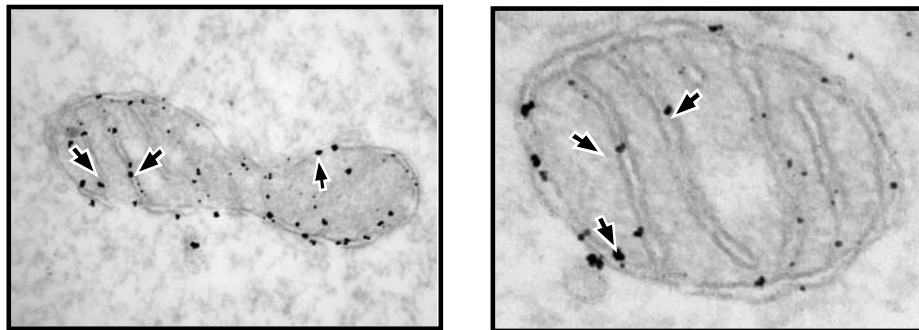
Intracellular ATP was quantified by the luciferin/luciferase method using the ATP determination kit by Invitrogen/Molecular Probes following manufacturer's instructions. Cells were kept for two hours in the different experimental conditions, washed in PBS, lysed in boiling water to avoid ATPase activity. 2.5 µg of proteins were analyzed in a 100 µl final volume. Each experiment was performed in triplicate.

3. Results

3.1 Mitochondrial TRAP1 promotes neoplastic transformation

We found that TRAP1 is localized in mitochondria of cancer cell models, as expected (Altieri et al., 2011). In fact, when we analyzed the subcellular distribution of the chaperone TRAP1 in tumor cell models, we found it into mitochondria, both with immuno-electron microscopy inspection (Fig. 9A) or with mitochondrial fractionation (Fig. 9B).

A



B

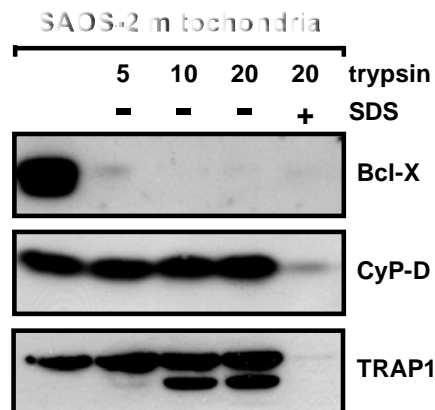


Figure 9. TRAP1 localization. (A) Immuno-electron microscopy inspection shows most of TRAP1 along the inner mitochondrial membranes (arrows). (B) Trypsin treatment of isolated mitochondria shows that TRAP1 is partially cleaved at the highest trypsin concentration, but it displays a pattern similar to that of the matrix protein cyclophilin D (CyP-D), thus indicating that most of TRAP1 is found in the internal mitochondrial compartments. Blots were probed for Bcl-X as a marker of the outer mitochondrial membrane and for CyP-D as a matrix marker.

In order to study TRAP1 functions, we decided to modulate TRAP1 expression levels in different tumor cell models, using different short hairpin RNAs (shRNA), in order to be sure that there are not off-target effects, due to the use of shRNA. In Fig. 10, there are the tumor cell models we used: SAOS-2 osteosarcoma cells, HCT116 colon carcinoma cells and HeLa cervix carcinoma cells and in which TRAP1 expression had been interfered (dubbed shTRAP1 cells).

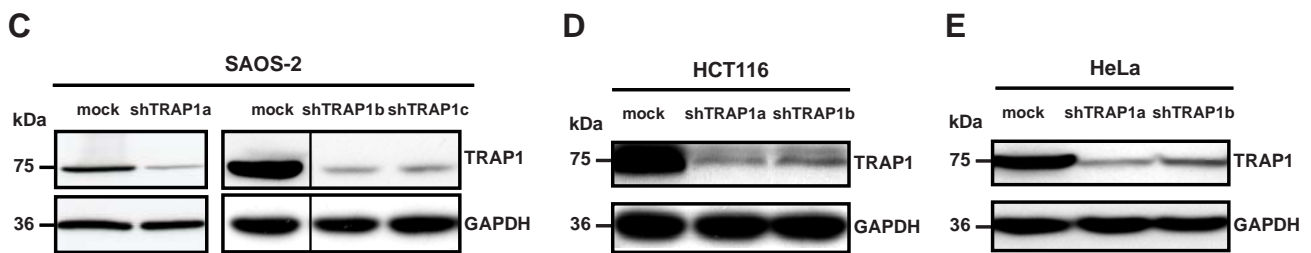


Figure 10. Different tumor cell models in which TRAP1 expression has been downregulated. In SAOS-2 cells, HCT116 cells and HeLa cells, TRAP1 expression has been modulated using different short hairpin RNA.

We wanted to understand if TRAP1 could have a role in the tumorigenic process, so we performed *in vitro* tumorigenesis assays: focus forming and soft agar. In the focus forming assay, only cancer cells, that have lost contact inhibition, can overgrow and form foci. There is foci formation only in mock cells, but not in tumor cells in which TRAP1 expression has been downregulated (Fig. 11).

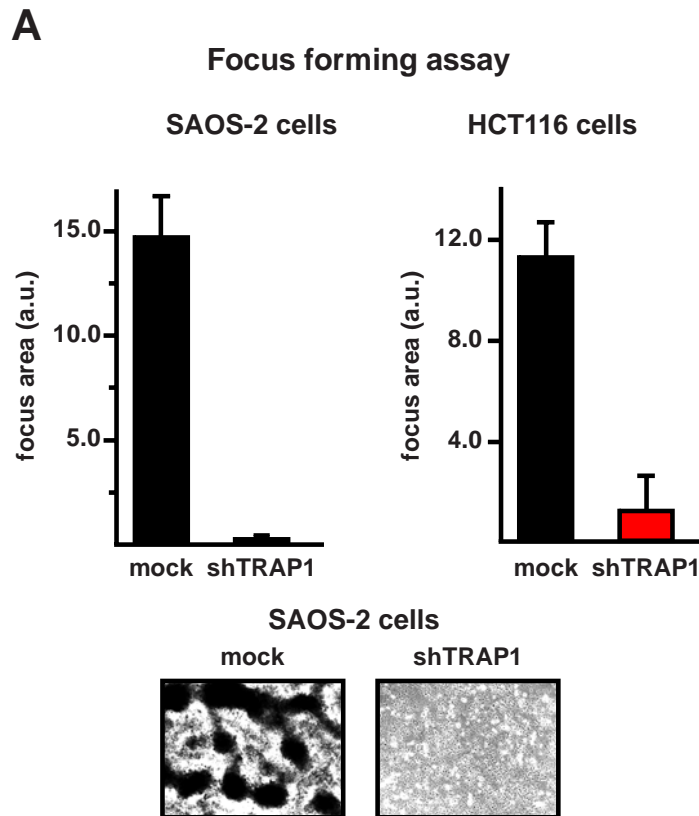


Figure 11. SAOS-2 cells and HCT116 cells lose the capability to form foci after knocking-down TRAP1 expression. Data indicate the total focus area at the 25th experimental day with low serum. Representative areas showing focus growth are reported.

We performed also a second *in vitro* tumorigenic assay, the soft agar assay. In this assay, cells are embedded in an agar matrix and only cancer cells that escape anoikis cell death signal, can grow and form colonies. Again we observed colonies formation only in mock cells, but not in shTRAP1 cells (Fig. 12), both in SAOS-2 cells and HeLa cells.

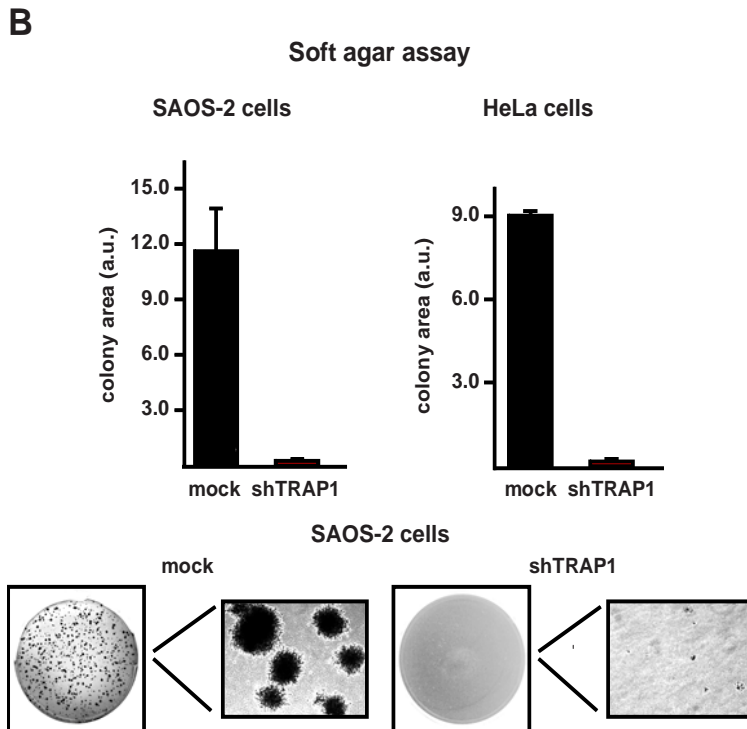


Figure 12. SAOS-2 cells lose the capability to form colonies in soft agar after knocking-down TRAP1 expression. Data indicate the total colony area at the 20th experimental day with low serum. Representative areas showing colony growth are reported.

From these *in vitro* tumorigenesis assays, we can conclude that the down-regulation of TRAP1 expression by RNA interference abrogate any transforming potential. In fact, shTRAP cells became unable both to form foci and to grow in soft agar (Fig. 11 and 12).

The absence of growth of foci or colonies in shTRAP cells is not due to differences in the growth rate between mock cells and shTRAP cells, as it is possible to see in Fig. 13. TRAP1 modulation has no effect on cell growth rate.

C

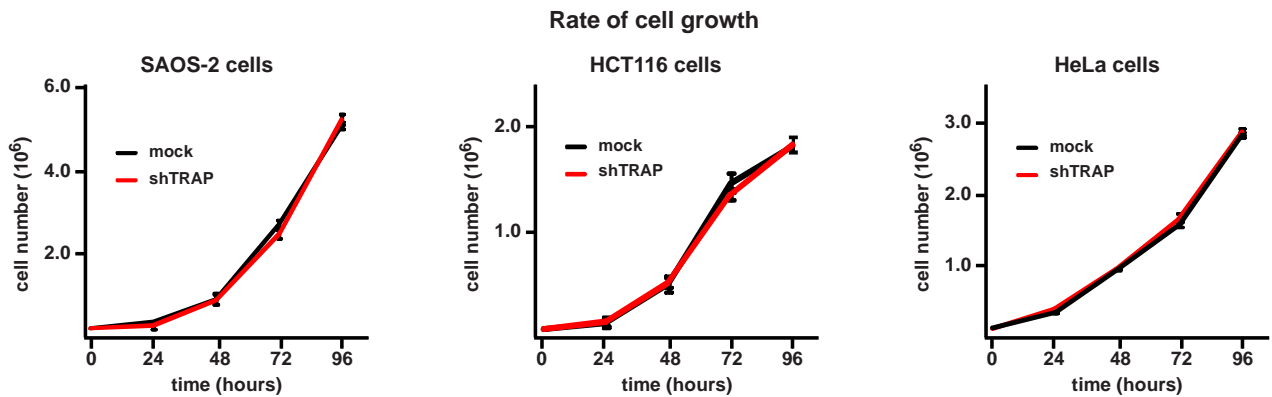


Figure 13. Rate of cell growth in different tumor cell models, with or without TRAP1 downregulation. There is no difference in the rate of cell growth in different tumor cell models (SAOS-2 cells, HCT116 cells and HeLa cells) in which TRAP1 expression has been downregulated.

From the experiments performed so far, we can conclude that TRAP1 is necessary for *in vitro* tumorigenesis. We decided to understand which is the role of TRAP1 *in vivo*. So we decided to perform *in vivo* tumorigenesis. We injected mock and shTRAP SAOS cells in nude mice (Fig. 14) and notably, shTRAP1 tumor cells lost the ability to develop tumor masses when injected, both with or without matrigel, that is used to mimics the extracellular matrix.

D

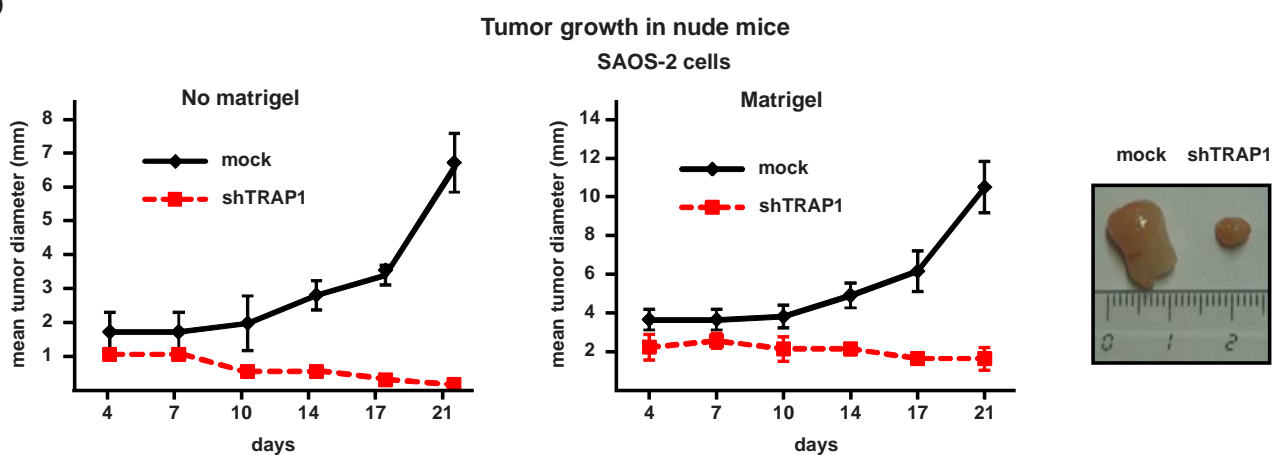


Figure 14. Kinetics of tumor growth in nude mice after injection of SAOS-2 cells without or with a Matrigel bolus (left and right, respectively); representative tumors grown with Matrigel are shown on the right. All along the Figure, data are reported as mean \pm SD values ($n \geq 3$).

Conversely, when the TRAP1 cDNA was expressed in non-transformed cells, either RWPE-1 prostate epithelial cells or fibroblasts (Mouse Embryonic Fibroblasts), these acquired the capacity to form colonies in soft agar (Fig.15).

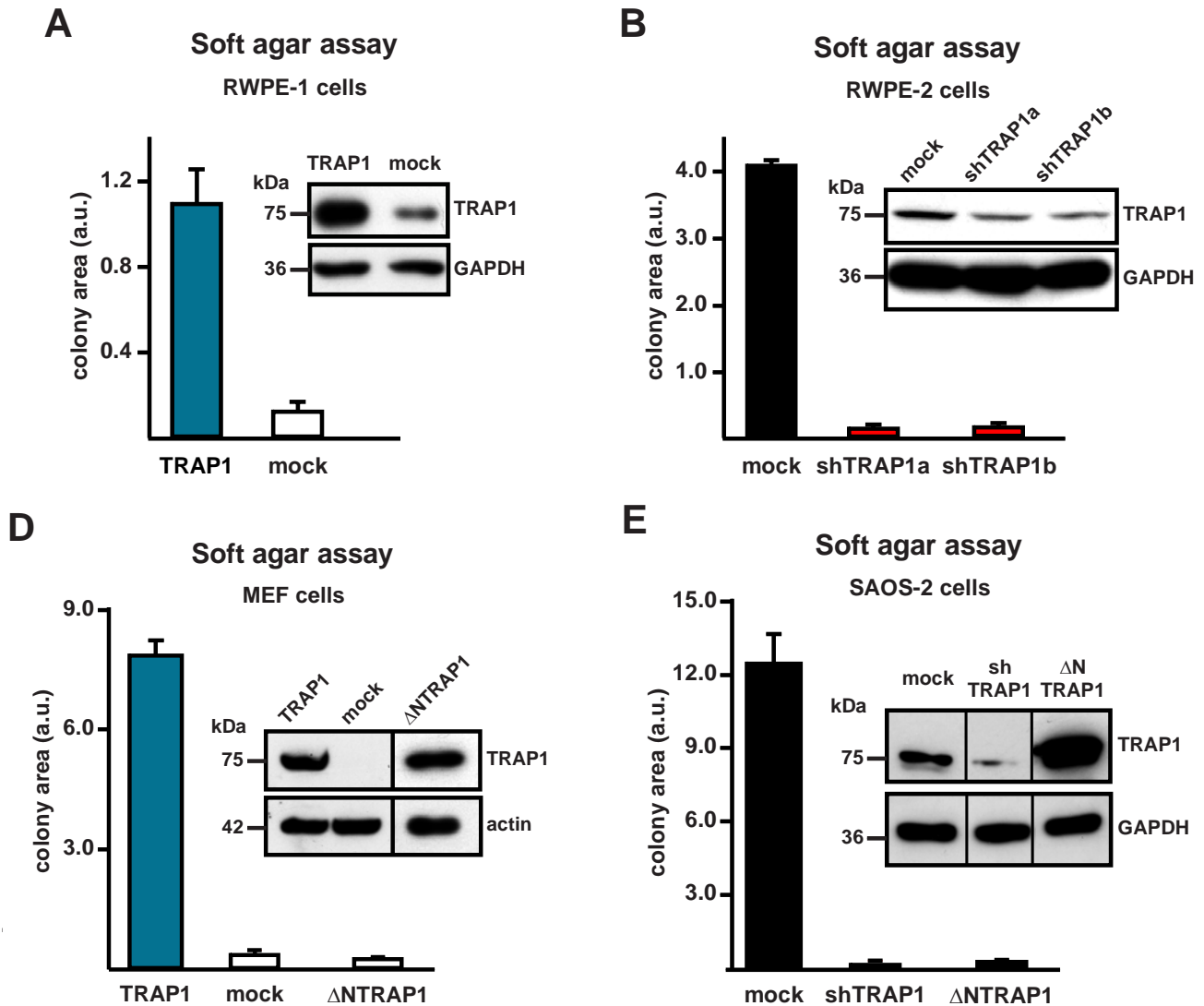


Figure 15. Figure 2. Mitochondrial TRAP1 confers transforming potential to cells. (A) Soft agar tumorigenesis assays were performed both in non-transformed cells, *i.e.* human epithelial prostate RWPE-1 cells and mouse embryo fibroblasts (MEF; D), stably transfected with either a TRAP1 cDNA or with a scrambled shRNA (mock); and in transformed cells, *i.e.* human epithelial prostate RWPE-2 cells obtained by ν -Ki-Ras expression in RWPE-1 cells (B) and human osteosarcoma SAOS-2 cells (E) stably transfected with either a scrambled shRNA (mock) or with TRAP1 shRNAs (shTRAP1). Growth of colonies in soft agar was also assessed in MEF cells (D) or in SAOS-2 shTRAP1 cells (E) stably transfected with a TRAP1 construct lacking the mitochondrial import sequence (Δ NTRAP1). All along the Figure, Western immunoblots show TRAP1 expression levels in the different cell types; GAPDH or actin are shown as loading controls. Data are reported as mean \pm SD values ($n\geq 3$).

While when we down-regulate TRAP1 expression in RWPE-2 prostate cells, which are transformed by expression of v-Ki-Ras in RWPE-1 cells (Rasola et al., 2010a), abolished their tumorigenic features (Fig. 15). Mitochondrial localization of TRAP1 was essential for its pro-neoplastic activity, as expression of a TRAP1 construct devoid of its mitochondrial targeting sequence was not tumorigenic either in cancer or in non-transformed cells (Fig. 15).

3.2 TRAP1 binds SDH and inhibits its succinate:coenzyme Q reductase (SQR) enzymatic activity

We then asked whether TRAP1 promotes transformation by acting on mitochondrial metabolism, thus contributing to the Warburg phenotype. This could occur through an inhibitory effect on respiration. We observed that TRAP1 interacts with ETC complex IV (Figure 16A), but without affecting its cytochrome oxidase enzymatic activity (Figure 16C).

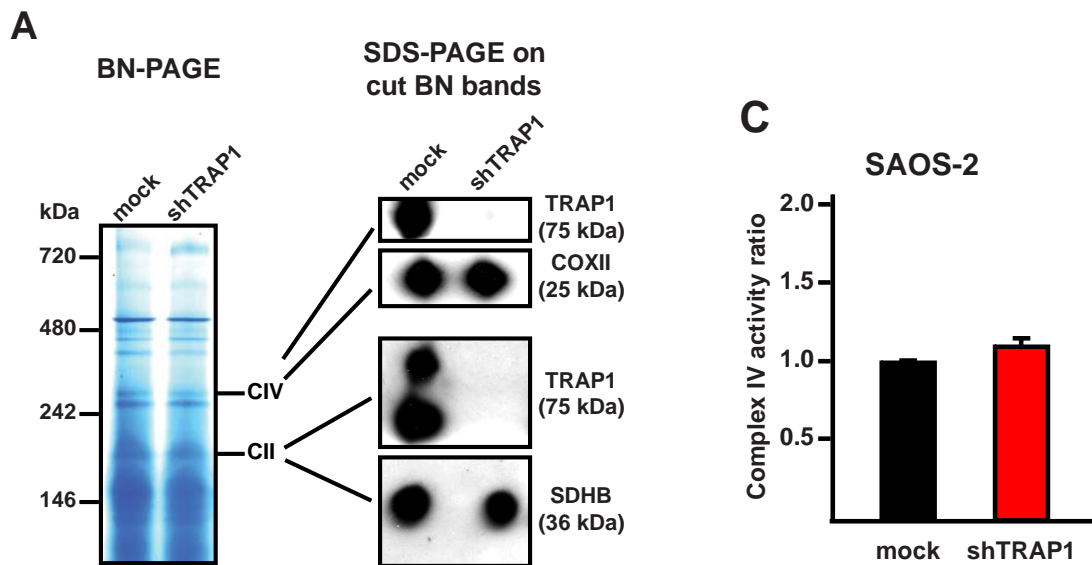
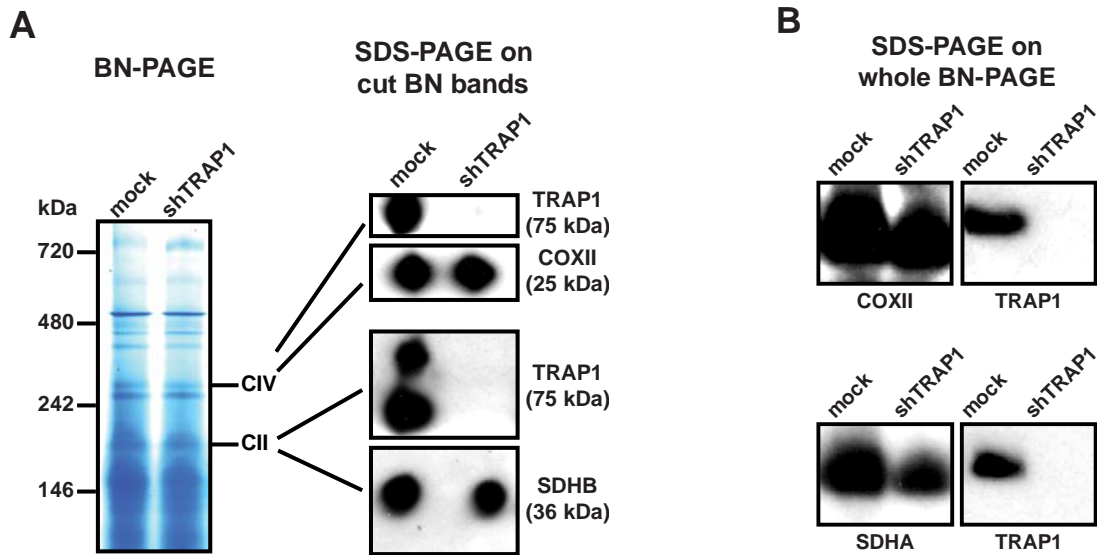


Figure 16. TRAP1 interacts with Complex IV of the respiratory chain complexes but it does not affect its activity. (A) TRAP1 interacts with subunit COXII of Complex IV. This interaction is seen with a blue native gel and then the bands of the BNG were cut and loaded into a second dimension. (C) TRAP1 does not influence CIV activity. Complex IV activity was measured with a spectrophotometric assay.

TRAP1 also associated with ETC complex II, which couples the Krebs cycle to oxidative phosphorylation (OXPHOS) by oxidizing succinate to fumarate and then transferring electrons to coenzyme Q; hence, the enzyme is called either succinate dehydrogenase (SDH) or succinate:coenzyme Q reductase (SQR; Cecchini, 2003; Lemarie and Grimm, 2011). We found that TRAP1 interacts with SDH (Fig. 17), using different approaches. We performed a Blue Native Gel (Fig. 15 A) and we cut the bands corresponding to Complex II and we loaded them into a normal



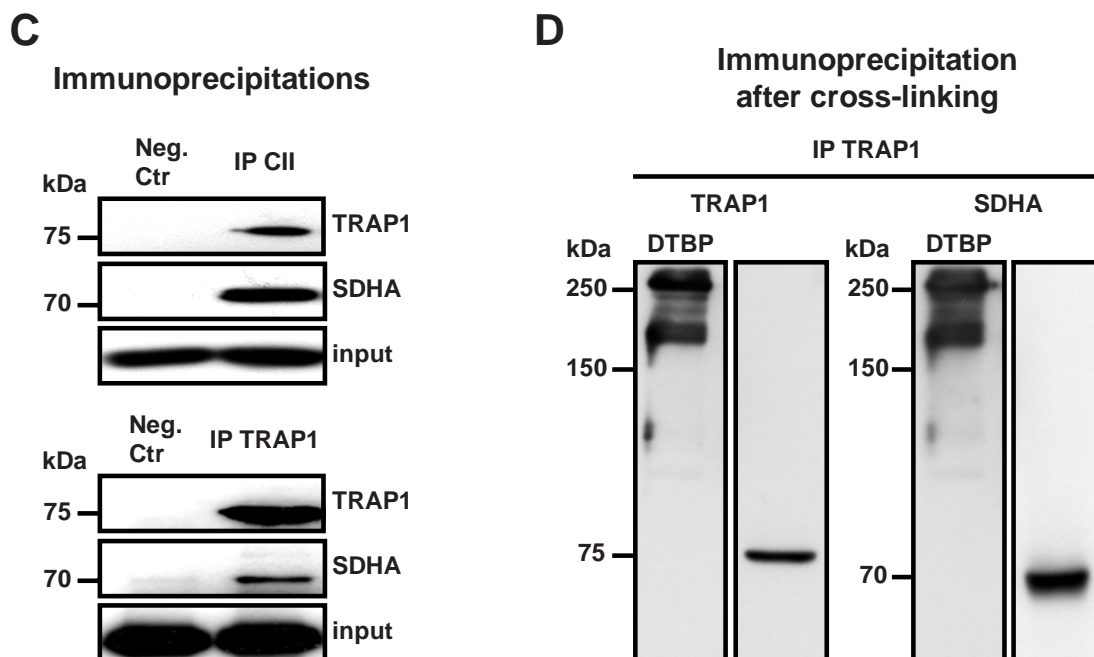


Figure 17. TRAP1 binds ETC complex II. (A) Blue native gel electrophoresis. Bands corresponding to complex II were cut, run on a SDS PAGE and probed with an anti TRAP1 and with an anti SDHB antibody. (B) SDS PAGE on whole Blue Native Gel was performed and TRAP1 interactions with Complex II and Complex IV were confirmed. (C) Crosslinking experiments on mitochondria from mock SAOS-2 cells. TRAP1 was immunoprecipitated after mitochondrial treatment with the crosslinker DTBP, loaded in parallel on separate lanes of a SDS-PAGE and probed with either an anti TRAP1 or with an anti SDHA antibody. (D) Complex II and TRAP1 immunoprecipitations (IP) on lysates of SAOS-2 mock cells. The interaction between TRAP1 and SDHA is shown by co-IP. IgG are used in negative isotype controls.

sodium dodecyl sulphate polyacrilamide gel (SDS-PAGE) and we found that TRAP1 interacts also with subunit B of Succinate dehydrogenase. To confirm that TRAP1 interacts only with Complex II and Complex IV of the respiratory chain complexes, we performed a SDS-PAGE on the whole Blue Native Gel and again we confirm that TRAP1 interacts only with Complex II and Complex IV of the respiratory chain complexes. There is also a possibility that TRAP1 interacts with Complex I, but it's not so clear from the experiments performed so far.

So we found an interaction between TRAP1 and Complex II and we decided to investigate if this interaction has also a functional meaning, so we measured Complex II activity (SQR activity). We found that the SQR enzymatic activity was increased in mitochondria from shTRAP1 cells relative to those derived from control cells (Fig. 18). Complex II activity was measured with a spectrophotometric

assay, using isolated mitochondria. shTRAP cells have a complex II activity that is double compare to mocks cells.

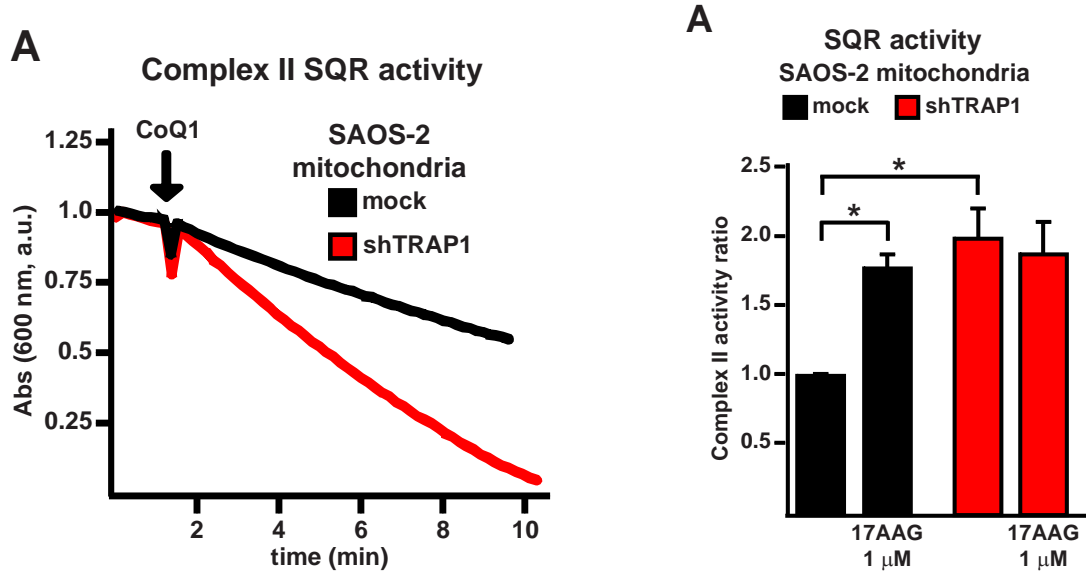


Figure 18. Analysis of the succinate:coenzyme Q reductase (SQR) enzymatic activity of complex II in mitochondria from SAOS-2 cells. Analysis is performed on mitochondria from cultured cells; Mock indicates SAOS-2 cells stably transfected with a scrambled shRNA; shTRAP1 indicates SAOS-2 cells stably transfected with a TRAP1 shRNA. Enzyme activity values are compared to those of SAOS-2 mock cells in culture. On the right there is a representative experiment and on the left there is mean of nine different experiments.

17-allylamino-17-demethoxygeldanamycin (17-AAG), an inhibitor of TRAP1/Hsp90 ATPase activity (Felts et al., 2000) whose availability to mitochondria was recently shown *in situ* (Xie et al., 2011), specifically increased SQR activity in control mitochondria, whereas shTRAP1 mitochondria were insensitive to the drug (Fig. 18). The effect of 17-AAG was unrelated to Hsp90, as Hsp90 protein levels were the same in mock and shTRAP1 cells (Fig 19).



Figure 19. Blot for Hsp90 levels. A western blot for the level of Hsp90 was performed in SAOS-2 cells. The levels of Hsp90 are the same for mock and shTRAP cells, so the effects of 17AAG is due to its action on TRAP1.

The difference in Complex II activity between shTRAP cells and mock cells is real and it is not due to other factors. In fact there is no change in complex II protein levels (Fig. 20D) or in mitochondrial mass (Fig. 20E). The mitochondrial mass was measured with NAO staining, that binds to cardiolipin. In this way it is possible to have a measure of the mitochondrial mass in the cells. SAOS-2 cells have the same mitochondrial mass and also the same amount of Complex II.

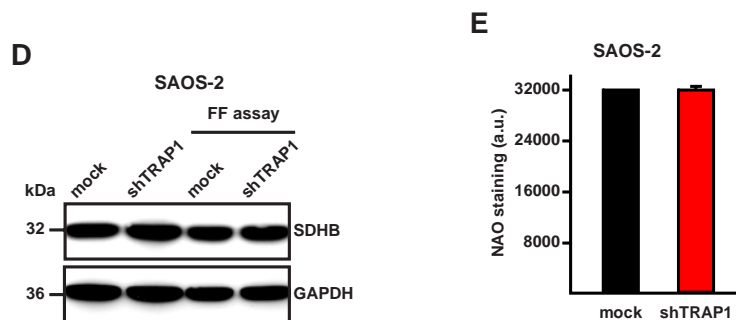


Figure 20. No change in Complex II levels or mitochondrial mass. (D) Western immunoblot showing that no changes in SDHB protein expression occur during the focus forming assay (FF samples were obtained a the 15th experimental day). GAPDH is used as a loading control. (E) N-acrydine orange (NAO) cytofluorimetric analyses indicate that no change in mitochondrial mass occur between SAOS-2 mock and shTRAP1 cells. All along the Figure, bar graphs report mean±SD values ($n \geq 3$). Asterisks indicate a significant difference ($p < 0.01$ with a Student's *t* test analysis). Cells are dubbed as in previous figures.

The experiments of Complex II activity were performed with mitochondria isolated from cells kept in culture, but we decided to investigate what happens during the focus forming, that is an *in vitro* tumorigenesis assay, that mimics tumor formation. We found that the SQR activity of ETC complex II was further inhibited in mitochondria from control cells that progressed through the focus forming assay, compared to mitochondria from the same cells kept in standard culture conditions, whereas no change in SQR activity could be appreciated in mitochondria from shTRAP1 cells during the focus forming experiments (Fig. 21).

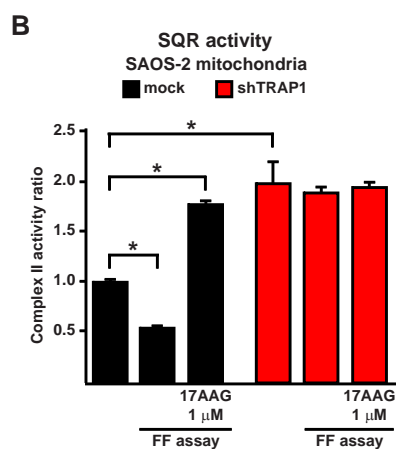


Figure 21. Complex II activity during culture and during Focus forming. Activity values of mitochondria from cultured cells are compared with extracts from focus forming assays obtained at the 15th experimental day. Mock indicates SAOS-2 cells stably transfected with a scrambled shRNA; shTRAP1 indicates SAOS-2 cells stably transfected with a TRAP1 shRNA. Enzyme activity values are compared to those of SAOS-2 mock cells in culture.

17-AAG could still reactivate the SDH enzyme in mitochondria of TRAP1-expressing cells undergoing the focus forming process (Fig. 21), indicating that even the enhanced inhibition of SQR activity occurring during the *in vitro* transformation progression is mediated by TRAP1 and remains reversible.

In further accord with an inhibitory function of TRAP1 on ETC complex II, mitochondria from MEF cells stably expressing TRAP1 showed a diminished SQR activity compared to controls, and this inhibition was increased during the focus forming assay; 17-AAG reactivated SDH selectively in mitochondria from TRAP1-expressing MEFs (Fig. 22).

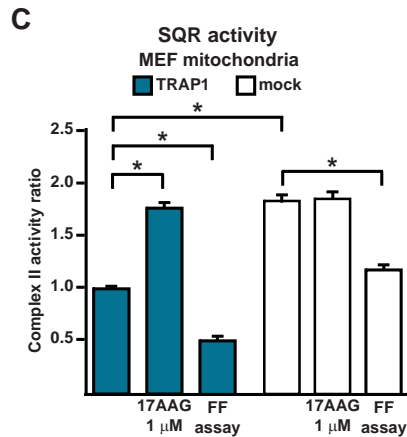


Figure 22. SQR activity is measured on mitochondria from mouse embryo fibroblasts (MEF) kept in culture or undergoing a focus forming assay (15th day). TRAP1 indicates cells stably transfected with the TRAP1-containing vector; cells stably transfected with a control vector are dubbed mock. Enzyme activity values are compared to those of mitochondria from TRAP1-expressing MEFs in culture. All along the Figure, the TRAP1 inhibitor 17-allylamino-17-demethoxygeldanamycin (17-AAG) was added 5 min before starting recordings. Bar graphs report mean±SD values (n≥3); asterisks indicate significant differences (p<0.01 with a Student's *t* test analysis).

3.3 TRAP1 induction inhibits complex II enzymatic activity in human colorectal cancers

TRAP1 expression was shown to be increased in a variety of tumor types (Kang et al., 2007 and <http://www.proteinatlas.org/>). We compared the SQR activity of ETC complex II between colorectal cancers and the surrounding non-transformed mucosae obtained from a set of human patients. In all colorectal cancer samples at stage IV, characterized by metastases to lymph nodes and to distant sites, and in the majority of samples of stage I-III, characterized by absence of distant metastases, TRAP1 was upregulated relative to normal mucosa, and this up-regulation was paralleled by a decrease in SQR activity, which could be partially rescued by 17-AAG (Fig. 23E). In a small subset of stage I-III colorectal cancers TRAP1 expression was not induced relative to surrounding non-tumor tissues. In these samples we could not detect any difference in SQR activity between samples from tumor and normal mucosa (Fig. 23F), strengthening the link between TRAP1 and the regulation of complex II activity.

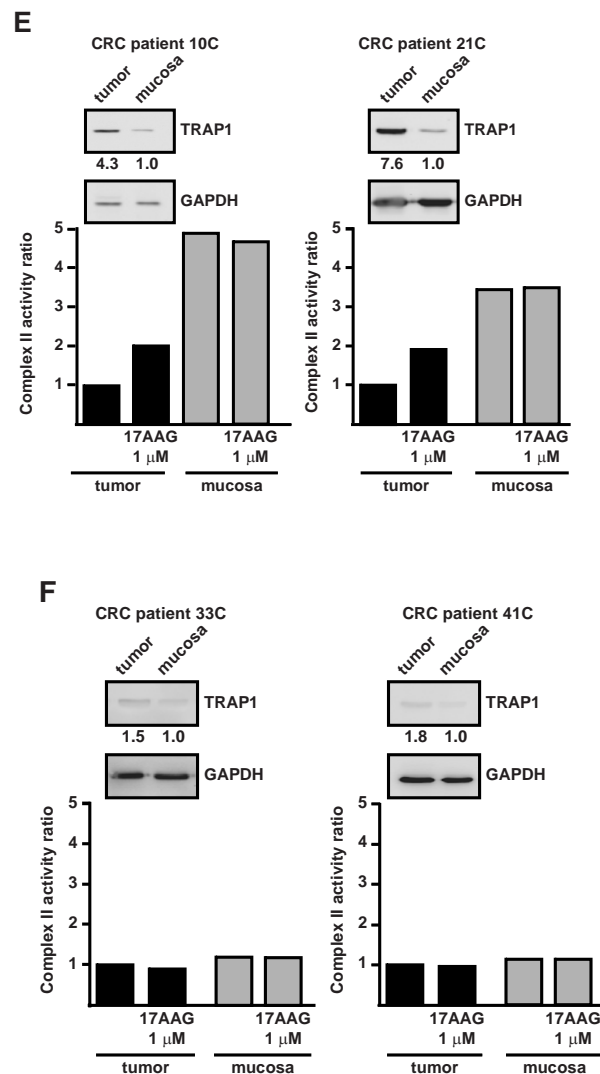


Figure 23. Complex II enzymatic activity in human colorectal cancer samples. Representative analyses of SQR activity on human colorectal cancer (CRC) samples are carried out as in Figure 3E-F and compared to surrounding non-cancerous mucosae. As shown in the insets, samples reported in (E), which were obtained from metastatic CRC tumors, display an increase of TRAP1 expression in tumors with respect to mucosae; samples reported in (F), which were obtained from non-metastatic CRC tumors, do not show any increase of TRAP1 expression. GAPDH is shown as a loading control.

3.4 TRAP1 inhibits cell oxygen consumption rate and ATP production by OXPHOS

The SQR assays described so far measure the maximal enzymatic activity of complex II, as the complex is made accessible in permeabilized mitochondria and exposed to an excess of substrates. We next analyzed whether TRAP1 also affects the oxygen consumption rate (OCR) of living cells, in order to have a more physiological situation. We measured the oxygen consumption rate using the

Extracellular Flux Analyzer (Seahorse Bioscience), that allows to measure the oxygen consumption on adherent cells. Down-regulation of TRAP1 markedly increased mitochondrial-dependent respiration in SAOS-2 cells (Fig. 24).

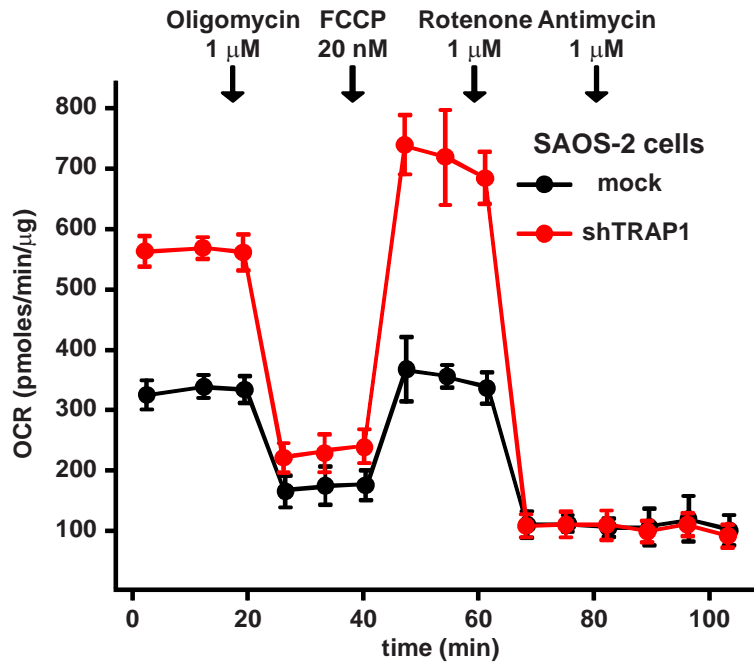


Figure 24. Oxygen consumption rate are decreased by TRAP1 expression. Representative traces of oxygen consumption rate (OCR) experiments performed on monolayers of living SAOS-2 cells. Subsequent additions of the ATP synthase inhibitor oligomycin, of the uncoupler FCCP, of the ETC complex I inhibitor rotenone and of the ETC complex III inhibitor antimycin A were carried out.

In shTRAP1 cells the extra OCR was used to make ATP, as it was inhibited by the ATP synthase blocker oligomycin; moreover, addition of the uncoupler FCCP increased respiration well above the basal level, indicating an increased respiratory capacity that remained fully sensitive to ETC inhibition by rotenone (Fig. 24). The comparison with control cells is striking, because unlike shTRAP1 cells they already utilize their maximal respiratory capacity under basal conditions, as shown by the lack of OCR increase with FCCP (Fig. 24), an arrangement implying that any additional ATP requirement must be provided by glycolysis.

The down-modulation of cell respiration by TRAP1 is a general phenomenon, because in all cancer cell models we tested, we obtained the same results (Fig. 25). We used as cell models: HeLa cells, MEF cells and RWPE-2 cells.

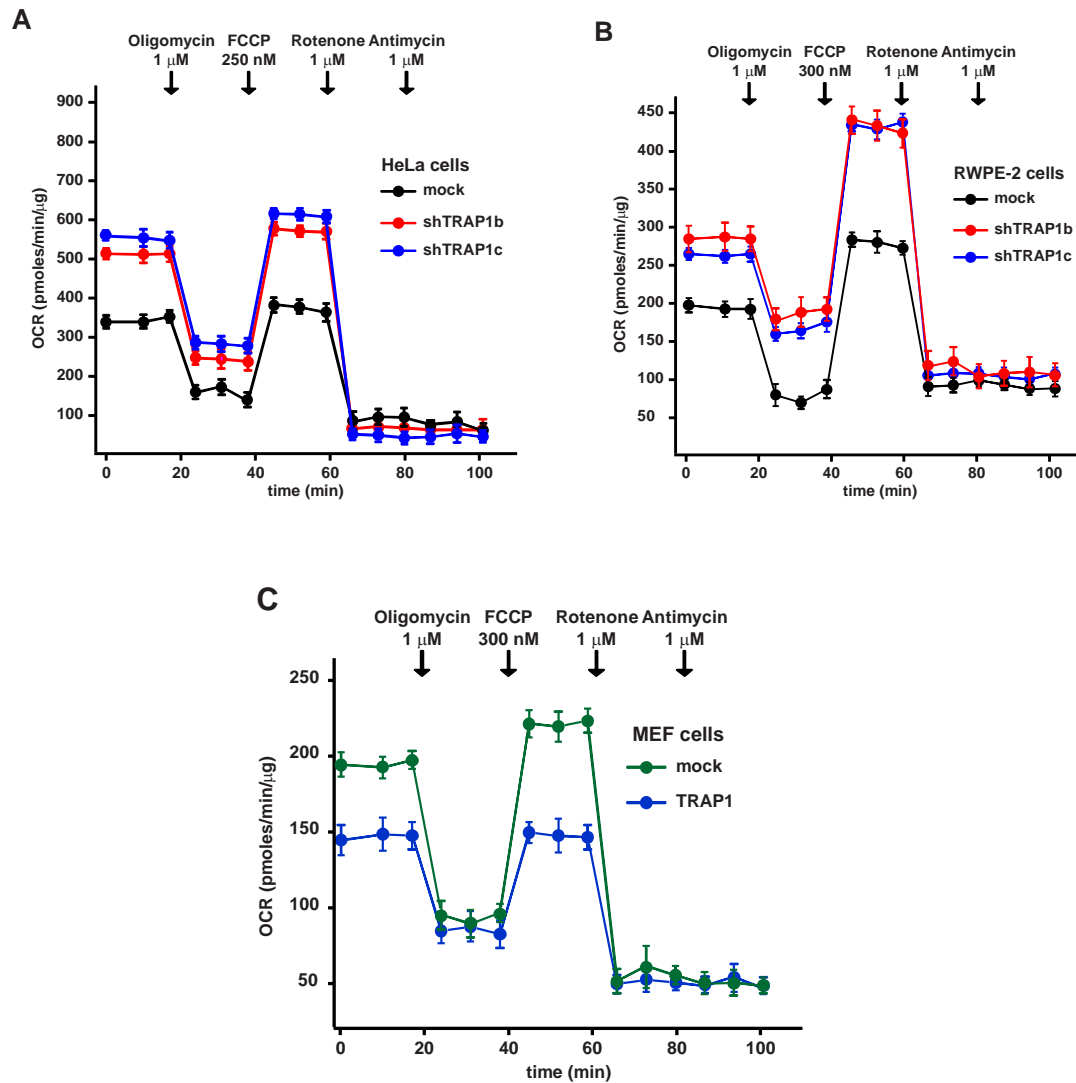


Figure 25. Oxygen consumption rate are decreased by TRAP1 expression in different tumor cell models. Representative traces of oxygen consumption rate (OCR) experiments performed on monolayers of living HeLa cells (A), or RWPE-2 cells (B), or MEFs (C). Subsequent additions of the ATP synthase inhibitor oligomycin, of the uncoupler FCCP, of the ETC complex I inhibitor rotenone and of the ETC complex III inhibitor antimycin A were carried out.

Moreover, expression of the TRAP1 cDNA in non-transformed fibroblasts markedly inhibited basal OCR, and abolished any respiratory reserve (Fig. 25C), mimicking the respiratory pattern of TRAP1-expressing tumor cells.

In full accord with the effect of the drug on SQR activity (see Fig. 21), the TRAP1 inhibitor 17-AAG increased OCR only in TRAP1-expressing cells (Fig. 26).

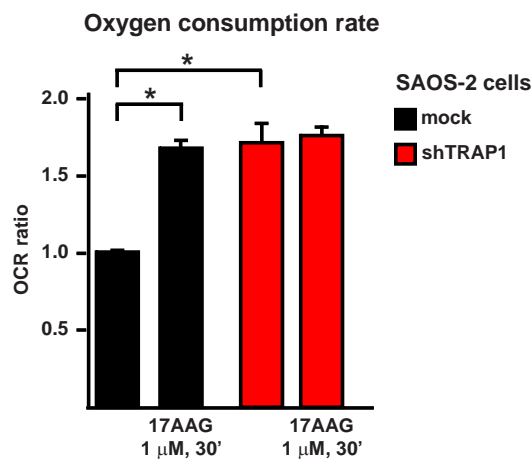


Figure 26. 17AAG is able to abolish the inhibitory effect of TRAP1 in basal respiration. The TRAP1 inhibitor 17-allylamino-17-demethoxygeldanamycin (17-AAG) was added 30 min before starting experiments. Bar graphs report mean \pm SD values ($n\geq 3$); asterisks indicate significant differences ($p<0.01$ with a Student's t test analysis).

Consistently we found that OXPHOS marginally contributes to ATP synthesis in mock cells, whereas a high proportion of the intracellular ATP content is provided by glycolysis, with a marked increase of glycolytic ATP during the *in vitro* tumorigenic process; instead, in shTRAP1 cells most of the ATP comes from OXPHOS (Fig. 27).

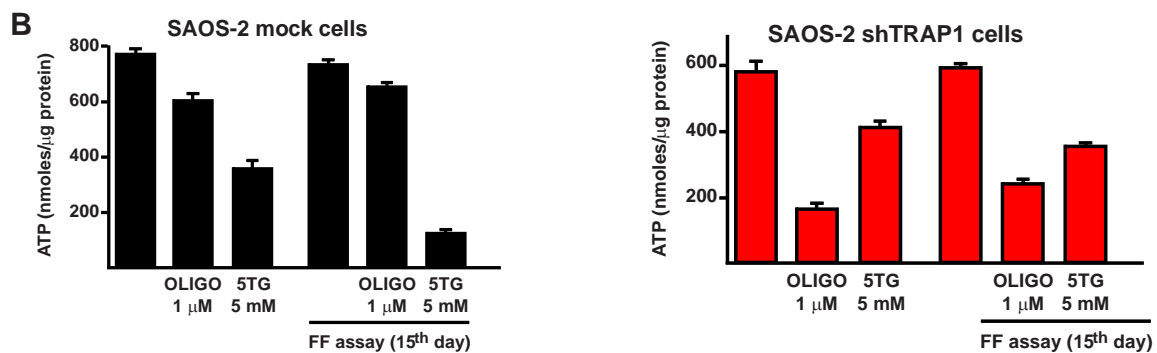


Figure 27. ATP levels were measured in mock (left) or in shTRAP1 (right) SAOS-2 cells. Cells are kept in standard culture conditions (bars on the left) or in a focus forming assay for 15 days (bars on the right). Where indicated, cells were treated for 2 hours with the ATP synthase inhibitor oligomycin or with the hexokinase inhibitor 5-thio-glucose (5TG) in a no glucose medium to discriminate between ATP produced by OXPHOS and by glycolysis. Bar graphs report mean±SD values (n≥3).

3.5 SDH inhibitors selectively affect respiration, survival and soft agar growth in shTRAP1 cells

A low concentration of the ETC complex II inhibitors 3-nitro-propionic acid (3-NP), which inactivates SDH after covalent binding with an Arg residue in the catalytic core of SDHA (Huang et al., 2006), or thenoyltrifluoroacetone (TTFA), which blocks electron transfer from succinate to coenzyme Q at the quinone-binding site in subunits B and D (Huang et al., 2006), inhibited OCR in shTRAP1 cells but were inactive in the presence of TRAP1 (Fig. 28), paralleling the down-modulation of the SQR activity induced by 3-NP only in TRAP1-expressing mitochondria (Fig. 28).

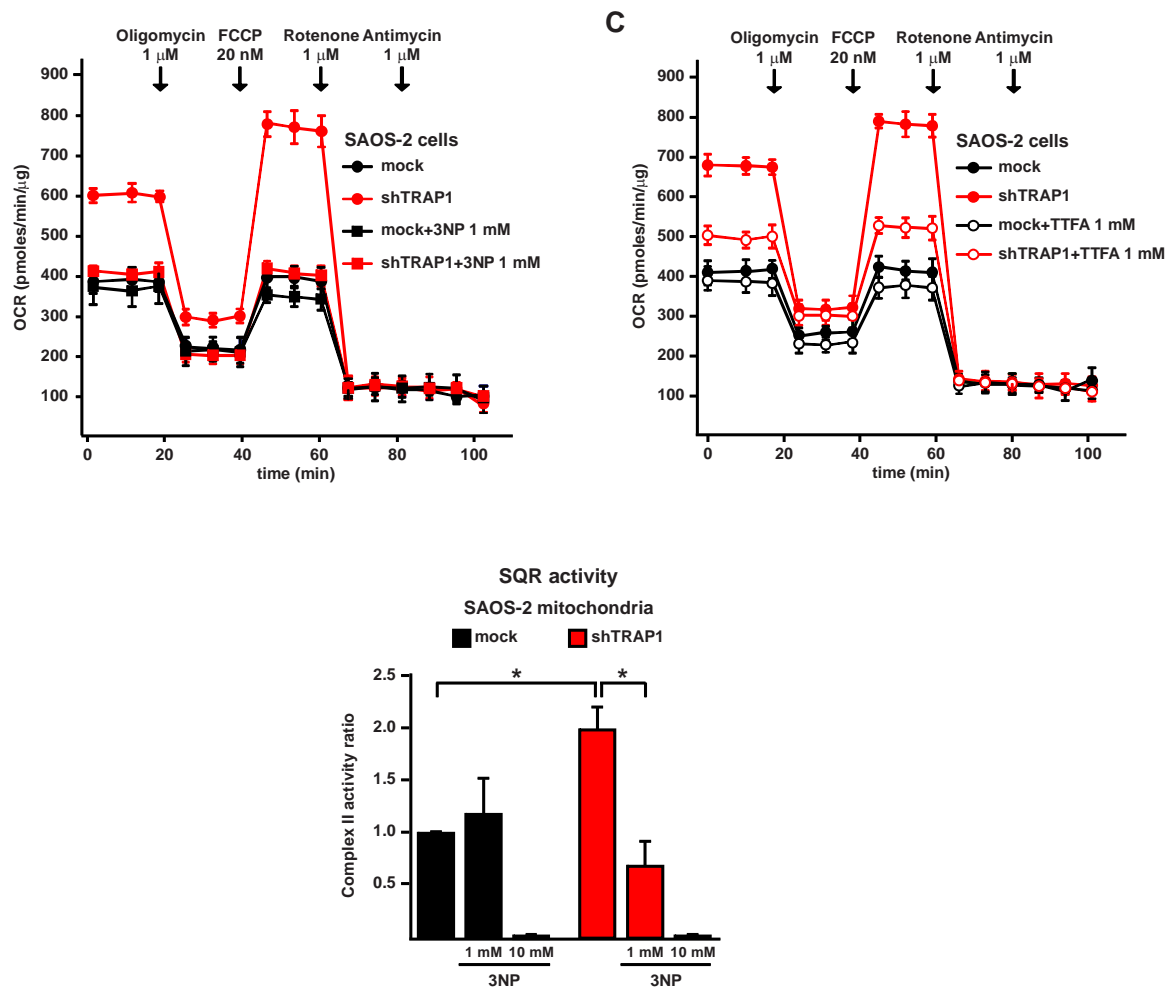


Figure 28. TRAP1-induced down-modulation of SDH activity decreases cell oxygen consumption rate Representative traces of oxygen consumption rate (OCR) experiments performed on monolayers of living SAOS-2 cells. Subsequent additions of the ATP synthase inhibitor oligomycin, of the uncoupler FCCP, of the ETC complex I inhibitor rotenone and of the ETC complex III inhibitor antimycin A were carried out. The SDH inhibitors 3-nitro propionic acid (3-NP; left) and thenoyltrifluoroacetone (TTFA; right) were added to cell monolayers 15 min before starting the experiments. (Bottom) Analysis of the effect of 3-NP on the succinate:coenzyme Q reductase (SQR) enzymatic activity of complex II in mitochondria from SAOS-2 cells. 3-NP was added 5 min before starting recordings; 3-NP 10 mM was used to fully inhibit the SDH enzyme.

These data indicate that TRAP1 limits maximal respiration by acting at ETC complex II. We also found that 3-NP inhibits in a dose-dependent fashion death in shTRAP1, but not in mock SAOS-2 cells placed in conditions of long-term starvation that mimic the paucity of nutrients found in the inner tumor mass during the phases of its rapid accrual (Fig. 29). Moreover, treatment with 3-NP partially

restored the ability of shTRAP1 cells to form colonies in soft agar, whereas it was ineffective on the colonies formed by control cells (Fig. 29).

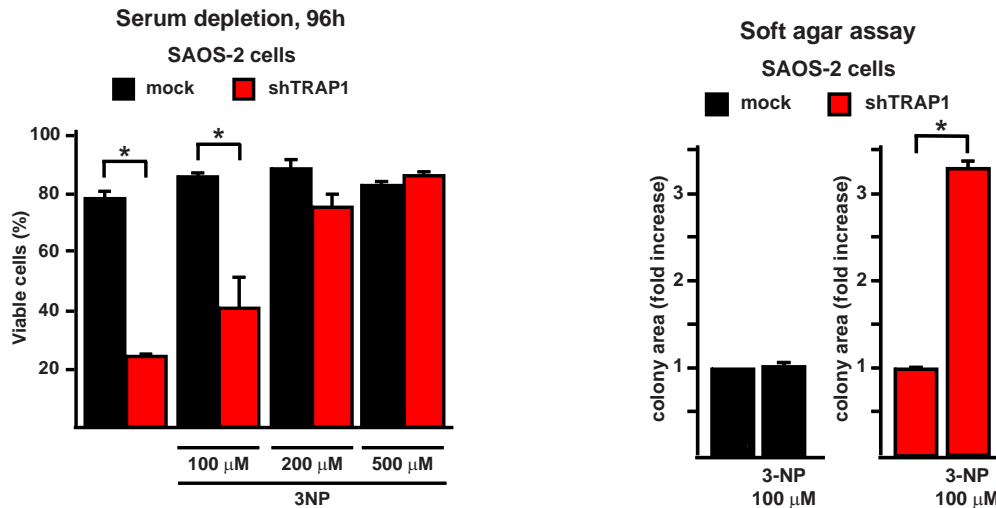


Figure 29. TRAP1-induced down-modulation of SDH activity prompts resistance to stress stimuli. (Left) Cytofluorimetric cell death analysis of SAOS-2 cells starved in a medium without serum for 96 h with or without the reported concentrations of 3-NP. Viable cells are identified as double negative for propidium iodide and Annexin V-FITC. (Right) Soft agar assay on SAOS-2 cells. Data are reported as fold increase of colony area of mock cells grown with 3-NP compared with mock cells kept without the drug (left) and, separately, as fold increase of colony area of shTRAP1 cells grown with 3-NP compared with shTRAP1 cells kept without the drug (right). All along the Figure, in SAOS-2 experiments mock indicates cells stably transfected with a scrambled shRNA; shTRAP1 indicates cells stably transfected with a TRAP1 shRNA. All bar graphs report mean±SD values (n≥3). Asterisks indicate a significant difference (p<0.01 with a Student's *t* test analysis).

The use of 3 nitropropionic acid allows us to mimics the inhibitory effect of TRAP1 on Complex II, so we can conclude that TRAP1 and 3 nitropropionic acid share the same binding site on Complex II (presumably subunit A). Moreover, reproducing the inhibitory effect of TRAP1 on Complex II activity, we can partially restored the ability of shTRAP1 cells to form colonies in soft agar, suggesting that the inhibition of Complex II is important for tumorigenesis.

3.6 TRAP1 induces succinate accumulation and HIF1α stabilization

It was shown that succinate accumulation induces HIF1 by inhibiting PHDs, the enzymes that hydroxylate HIF1α allowing its subsequent ubiquitin-dependent degradation (Selak et al., 2005). We observed that during the focus forming assay, the *in vitro* tumorigenesis assay that mimics tumor

formation, the intracellular level of succinate increased only in TRAP1-expressing cells (Fig. 30), matching the down-modulation of their SDH enzymatic activity (Fig. 21).

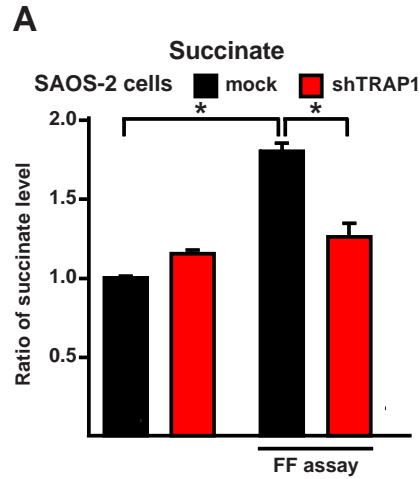


Figure 30. TRAP1 induces succinate accumulation. Bar graphs showing LC-MS measurements of intracellular succinate level. Values are compared with cultured mock SAOS-2 cells.

We decided to investigate if succinate accumulation corresponds to HIF stabilization. In keeping with the succinate results, during the focus forming process HIF1 α was detectable exclusively in TRAP1-expressing cells (Fig. 31) and not in other conditions.

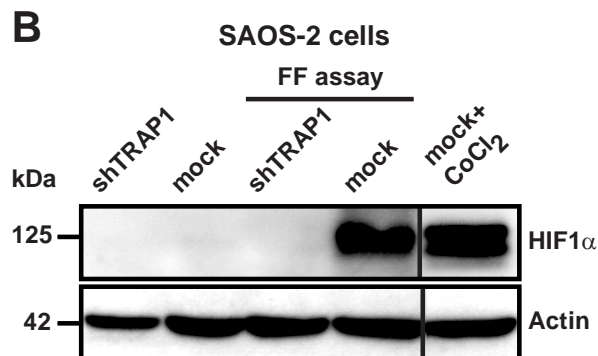


Figure 31. TRAP1 induces stabilization of HIF1 α . Western immunoblot showing HIF1 α expression in cultured cells and on extracts from focus forming assays obtained at the 15th experimental day. CoCl₂ is used as a positive control for HIF1 α stabilization. Blots were probed with an anti-actin antibody to check for protein load.

We confirmed that HIF1 α is not degraded in mock cells during focus forming experiment. In fact, HIF1 α is hydroxylated (at the level of Pro402 and Pro564) in shTRAP cells, both in basal condition or

during the focus forming. In mock cells, HIF1 α is hydroxylated only in basal condition and not during focus forming (Fig. 32). HIF1 α hydroxylation by PHD2 is followed by proteasomal degradation.

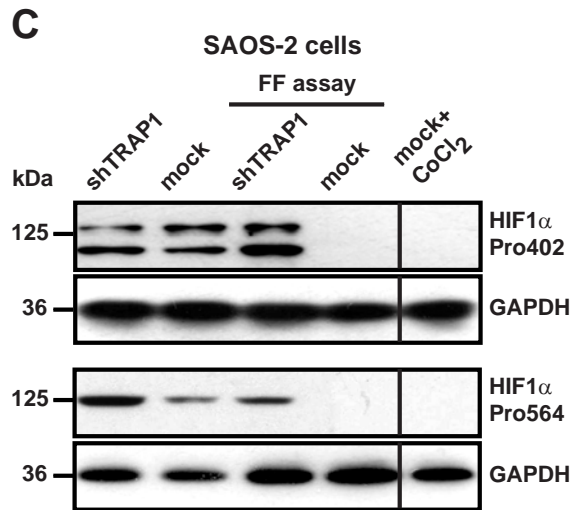


Figure 32. Hydroxylation of HIF1 α in SAOS-2 cells in basal condition and in focus forming. Western immunoblot showing HIF1 α hydroxylation in cultured cells and on extracts from focus forming assays obtained a the 15th experimental day. CoCl₂ is used as a positive control. Blots were probed with an anti-GAPDH antibody to check for protein load.

We exclude the involvement of HIF2 α in our model, in fact we do not see his stabilization during the focus forming experiment (Fig 33).

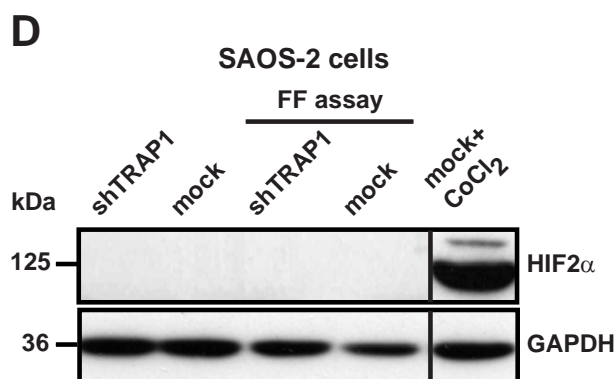


Figure 33. TRAP1 does not induce stabilization of HIF2 α . Western immunoblot showing HIF2 α expression in cultured cells and on extracts from focus forming assays obtained a the 15th experimental day. CoCl₂ is used as a positive control for HIF2 α stabilization. Blots were probed with an anti-actin antibody to check for protein load.

HIF1 α can be stabilized or by the increase in succinate levels (Selak et al., 2005) or by hypoxia (Ishii et al, 2005). To verify what happens during focus forming in mock cells, we used pimonidazole (Hypoxyprobe-1). Pimonidazole hydrochloride is reductively activated in hypoxic cells and forms stable adducts with thiol (sulphydryl) groups in proteins, peptides and amino acids. MAb1 antibody binds to these adducts allowing their detection by immunochemical means. We performed again a focus forming with preincubation of pimonidazole and then performed a western blot (Fig. 34). We found that there is not hypoxia during focus forming assay, so HIF1 α is effectively stabilized by the increase in succinate levels.

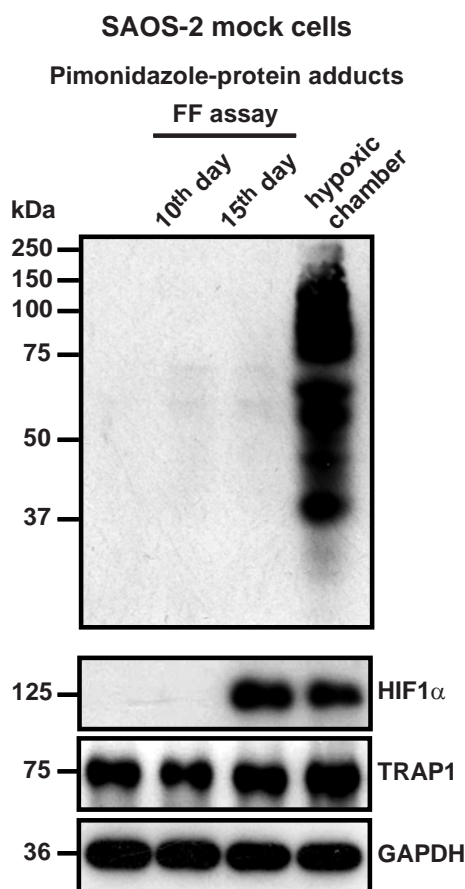


Figure 34. Pimonidazole-protein adducts. Pimonidazole (200 μ M) was added during the focus forming for 2 hours and then the cells were lysated. Cells kept for 24 hours in hypoxic chamber and treated in the same way with pimonidazole are used as positive control.

Consistently, in tumor samples obtained from nude mice xenografted with TRAP1-expressing SAOS-2 cells (see Fig. 14), which were characterized by densely packed cells amidst which fibrotic and necrotic areas could be observed (marked as F and N, respectively, in the panels of Fig. 35), HIF1 α was clearly detected in the majority of cells, the signal being particularly strong in the nuclei of cells where proliferation markers were also evident (compare the MIB/Ki67 and the HIF1 α staining in Fig. 35). In these samples, cells displayed a punctuate TRAP1 signal that well fits with its mitochondrial localization (see the high magnification TRAP1 staining in Fig. 35).

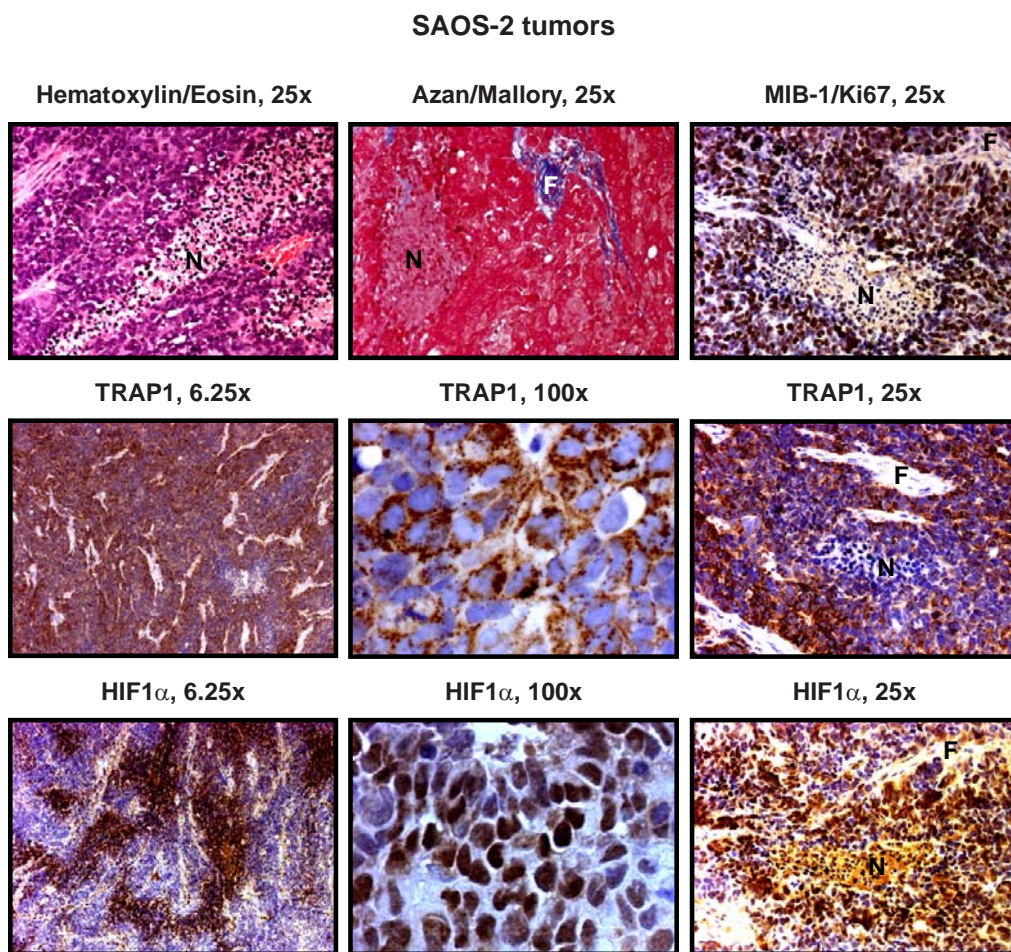


Figure 35. Immunohistochemical inspections of tumors formed by SAOS-2 control cells after injection in nude mice (see Figure 14). Hematoxylin/eosin and Azan/Mallory staining reveal tumors rich of densely packed cells, with few fibrotic areas (F) and a large number of necrotic regions (N). TRAP1 is visible in most cells (see the 6.25x magnification) as a punctuate signal (100x magnification), which is compatible with its mitochondrial localization. HIF1 α expression is evident all along the samples (see the 6.25x magnification), mainly in the nuclear compartment of cells (100x magnification), and the signal is particularly strong in the perinecrotic areas, where also the proliferation marker MIB-1/Ki67 is found (25x magnifications).

3.7 TRAP1 induces succinate accumulation and HIF1 α stabilization, which is required for tumor cell growth

We found that dimethyl succinate induce the stabilization of HIF1 α , without any differences between shTRAP cells and mock cells, both in basal or during focus forming (Fig. 2A). Moreover, the addition of dimethyl succinate induce colonies formation in soft agar assay only in shTRAP cells and not in other condition. So the increase in cytosolic succinate in our model is responsible for colonies formation (Fig.2B).

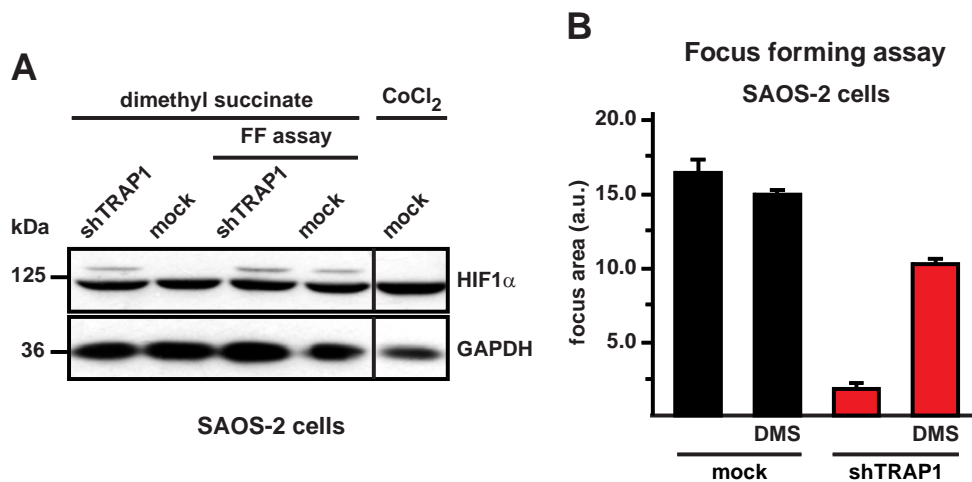


Figure 36. Dimethylsuccinate induces HIF1 α stabilization and growth of colonies in soft agar assay. In (A) dimethyl succinate 20mM was added for 48 h and then cells were lysated for western blot analysis. Chlorure Cobalte is used as positive control. In (B) dimethyl succinate 5mM was added all along the soft agar assay.

HIF1 α inhibition with a cell-permeable esterified form of α -ketoglutarate (1-trifluoromethyl benzyl- α -ketoglutarate, TaKG), which reverses HIF1 α stabilization by restoring PHDs enzymatic activity (MacKenzie et al., 2007; Tennant et al., 2009) fully abolished formation of foci in TRAP1-expressing tumor cells (left) and in MEFs transfected with a TRAP1 cDNA (right). In fact this compound compete with succinate for the binding site on PHDs, so these enzymes are activated and they induce HIF1 α degradation (Fig. 37).

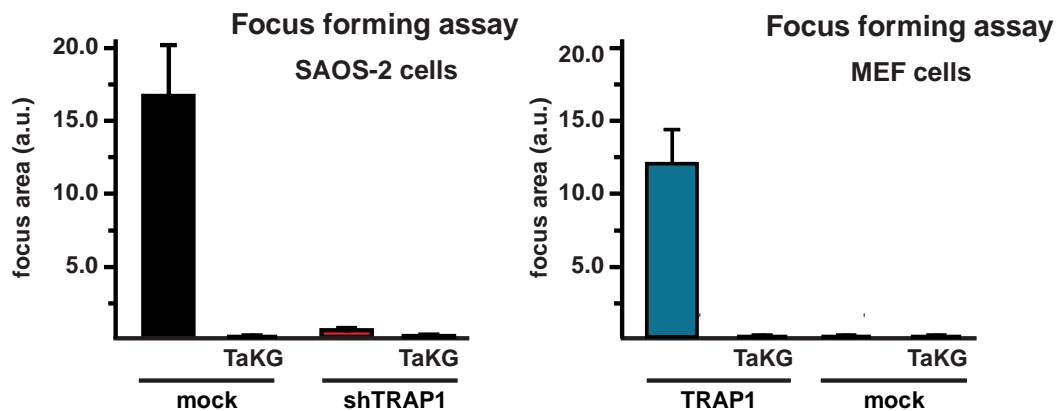


Figure 37. Focus forming assay on SAOS-2 cells or MEFs grown with or without 1-trifluoromethyl benzyl- α -ketoglutarate (TaKG). This compound was added during all along the experiment of focus forming. All along the Figure, bar graphs report mean \pm SD values ($n \geq 3$). Asterisks indicate a significant difference ($p < 0.01$ with a Student's t test analysis). Cells are dubbed as in previous figures.

We tried to establish the importance of HIF1 α stabilization not only with a chemical approach, but also with short hairpin RNAs. We performed interference on HIF1 α (Fig. 38, left) or on HIF1 β (Fig. 38, right), using different short hairpin RNAs. In both cases, we fully abolished formation of foci in TRAP1-expressing tumor cells.

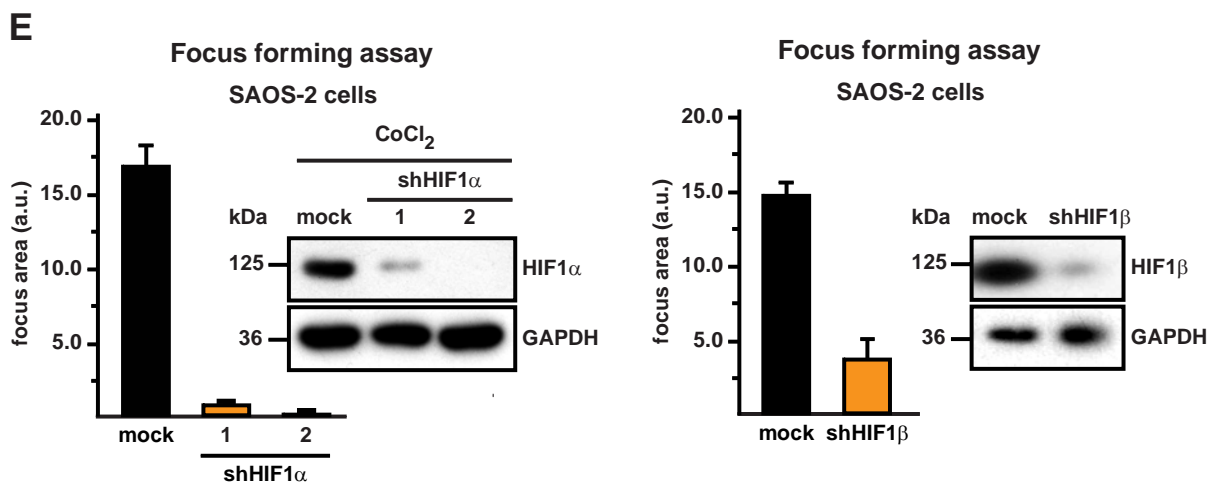


Figure 38. Focus forming assay on SAOS-2 cells where HIF1 α (left) and HIF1 β (right) expression had been knocked-down by RNA interference. In the inset the maximal HIF1 α expression is reported after CoCl₂ treatment. All along the Figure, bar graphs report mean \pm SD values ($n \geq 3$).

These last experiments show the importance of HIF1a for our cell models. In fact when HIF is not stabilized, we do not observe *in vitro* tumorigenesis.

4. Discussion

Tumor cells tend to increase their glycolytic activity without a matching increase of oxidative phosphorylation (Warburg, 1927; Warburg, 1956). Inhibition of the tumor suppressor p53 or activation of the transcription factor HIF1 curtail OXPHOS by inducing the autophagic degradation of respiratory complexes and by abrogating the synthesis of some of their subunits (such as SDHB) or assembly factors (Denko, 2008; Semenza, 2010; Vousden, 2009). Conversely, OXPHOS inhibition can play a causal role in tumorigenesis. Inactivating mutations in mtDNA genes encoding for subunits of ETC complex I and III were found associated with renal oncocyomas (Gasparre, 2008), as well as thyroid and prostate cancers (Abu-Amero, 2005; Petros, 2005). However, these mutations are confined to a small set of neoplasms, and the lack of clear-cut molecular mechanisms hampers the definition of whether OXPHOS inhibition as such can play a general tumorigenic role. Key findings of the present work are the demonstration that the mitochondrial chaperone TRAP1, which is widely expressed in tumors, but not in highly proliferating, non-transformed cells (<http://www.proteinatlas.org/>), is a component of the molecular machinery that decreases mitochondrial respiration; and that this event is crucial for neoplastic progression. Indeed, we find that TRAP1 behaves as an oncogene, since (i) without TRAP1 tumorigenesis is blunted both *in vitro* and *in vivo*; and (ii) TRAP1 expression confers tumorigenic potential to non-transformed cells. We observe that TRAP1-mediated inhibition of SDH limits the maximal rate of respiration and leads to succinate accumulation, followed by HIF1 α stabilization. HIF1 induction elicits a pseudohypoxic response which can boost neoplasm evolution promoting angiogenesis, epithelial/mesenchymal transition and the glycolytic switch (Brahimi-Horn, 2011; Semenza, 2010) accordingly, we observe that promoting HIF1 α degradation abolishes the neoplastic potential of TRAP1-expressing cells. In our model, HIF1 α stabilization emerges after several days of *in vitro* transformation, when SDH is more strongly inhibited by TRAP1. This suggests that a threshold SDH inhibition must be reached to allow for succinate accumulation, which is not at all surprising given the multiple pathways through which excess succinate can be utilized including increased heme synthesis (Frezza, 2011). Despite a partial respiratory inhibition, TRAP1-expressing cells fully utilize their residual respiratory capacity to produce ATP, as shown by OCR experiments, but

reorient their metabolism towards glycolysis to meet any energy demand that exceeds respiratory capacity, in complete accord with Warburg's observations.

TRAP1 is likely to begin a feed-forward loop, as it inhibits SDH and respiration (hence OXPHOS) and induces HIF1, which in turn further inhibits OXPHOS (Denko, 2008; Semenza, 2010) and directly down-regulates SDH by induction of miR-210 (Puissegur, 2011). The complete block of the SDH enzyme caused by loss-of-function mutations would be an extreme case only seen in specific subsets of tumors (Bardella, 2011); whereas the partial and reversible SDH inhibition caused by TRAP1 and its increased expression levels, would mediate a general pro-neoplastic function of TRAP1, which fits its identification as a *bona fide* hypoxia- and c-Myc-inducible gene (Coller, 2000; Ruiz-Romero, 2010).

Tumor cells could be endowed with a multi-chaperone mitochondrial complex, as TRAP1 interacts with cyclophilin D, Hsp90 and Hsp60 (Ghosh, 2010; Kang, 2009). Notably, TRAP1 is involved in the inhibition of the mitochondrial permeability transition pore (Kang, 2007), whose opening irreversibly commits cells to death (Rasola, 2007; Rasola, 2010), and we have observed that keeping the pore locked can be used by tumor cells to evade apoptosis (Rasola, 2010). Thus, TRAP1 could take part in several mitochondrial changes that crucially contribute to the neoplastic phenotype. Targeting its chaperone activity and molecular interactors could dismantle the metabolic and survival adaptations of neoplastic cells, paving the way to the development of highly selective mitochondriotropic anti-neoplastic drugs.

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