



## A New Class of Balanced 4-PSK STTC for Two and Three Transmit Antennas

Thi Minh Hien Ngo, Gheorghe Zaharia, Stéphane Bougeard, Jean-François Hérald

### ► To cite this version:

Thi Minh Hien Ngo, Gheorghe Zaharia, Stéphane Bougeard, Jean-François Hérald. A New Class of Balanced 4-PSK STTC for Two and Three Transmit Antennas. The 8th IEEE International Workshop on Signal Processing Advances for Wireless Communications, Jun 2007, Helsinki, Finland. pp.1-5, 2007. <hal-00172742>

**HAL Id: hal-00172742**

**<https://hal.archives-ouvertes.fr/hal-00172742>**

Submitted on 17 Sep 2007

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# A NEW CLASS OF BALANCED 4-PSK STTC FOR TWO AND THREE TRANSMIT ANTENNAS

*Thi Minh Hien Ngo, Gheorghe Zaharia, Stéphane Bougeard, Jean François H elard*

Institute for Electronics and Telecommunications of Rennes (IETR) - UMR CNRS 6164

INSA - 20 avenue des Buttes de Co esmes, 35043 Rennes, France

Email: Minh-Hien.Ngothi@ens.insa-rennes.fr

## ABSTRACT

A new class of 4-PSK Space Time Trellis Codes (STTC) for 2 and 3 transmit antennas is proposed in this paper. We call these codes Balanced STTC because they use the points of the constellation with the same probability. Comparing to known codes, these codes offer the best performance. Therefore, the systematic search for good codes can be reduced to this class. It is shown that all the best published codes are balanced. The paper presents the design of these balanced STTC and gives a complete list of the best 4-state codes. Several 16-state balanced codes for 2 and 3 transmit antennas are also given.

## 1. INTRODUCTION

Space Time Trellis Coded Modulation (STTCM) was introduced in 1998 by Tarokh *et al.* [1] by combining channel coding with the Multiple Input Multiple Output (MIMO) concept to improve the data rate and the reliability of wireless communications. Many performance criteria have been established to maximize both diversity and coding gain of STTC. The rank and determinant criteria for slow fading channels with the Euclidian distance and the product distance criteria for fast fading channels have been proposed in [1]. In [2] Chen introduced the trace criterion which governs the coding for systems with a great product of the numbers of transmit (Tx) and receive (Rx) antennas.

Based on above criteria, many different STTC for 2 Tx antennas have been found by a systematic code search [3–7]. The performance study of these codes was carried out over slow and fast Rayleigh fading channels to identify the most efficient ones [8]. It has been shown that over slow fading channels, the codes constructed with the trace criterion give similar or even better results than the codes constructed with the rank and the determinant criteria. Over fast fading channels, “trace criterion codes” as Chen’s codes outperform the other tested codes. In the same way, some codes for 3 Tx antennas have been published in [6, 9]. We remark that all the codes which achieve the best performance have the same property: they use the points of the constellation with the same probability if the data are generated by a binary memoryless source with equally probable symbols. Therefore, we call these codes “Balanced-STTC” (B-STTC).

Until now, no efficient construction method of STTC has been proposed and systematic code search has been employed to obtain STTC with good performance [3–7]. The main contribution of this paper is the description of a method of construction of this new class of codes which offers the best performance. Therefore, the systematic search for good codes can be reduced to this class. A table with all the best 4-state STTC and a table with some best 16-state STTC for 2 Tx antennas are given. Some best 16-state STTC for 3 Tx antennas are also presented. Finally, the performance of all these codes is evaluated by simulation.

The rest of the paper is organized as follows. Section II briefly describes the STTC. Their performance criteria are presented in Section III. The new class of balanced codes is introduced in Section IV and their properties are listed in Section V. The design of 4-PSK balanced space-time trellis codes for 2 and 3 Tx antennas is described in Section VI and VII respectively. Finally, it is shown in Section VIII that the best B-STTC outperform or equal previously known codes.

## 2. SPACE TIME TRELLIS CODING

We consider the case of  $2^n$ -PSK space-time trellis encoder as shown on Fig.1.

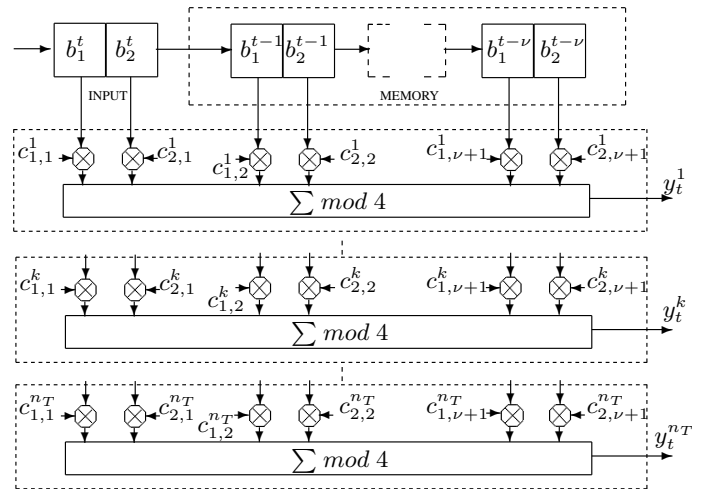


Fig. 1. ST trellis encoder with 4-PSK and  $n_T$  Tx antennas

This encoder is composed of one input block of  $n$  bits and  $\nu$  memory blocks of  $n$  bits. At each time  $t \in \mathbb{Z}$ , all the bits of a block are replaced by the  $n$  bits of the previous block. The  $i^{\text{th}}$  bit  $b_i^{t-j+1}$ ,  $i = 1 \dots n$ , of the  $j^{\text{th}}$  block,  $j = 1 \dots \nu + 1$ , is associated to  $n_T$  multiplier coefficients  $c_{i,j}^k \in \mathbb{Z}_{2^n}$ ,  $k = 1 \dots n_T$  where  $n_T$  is the number of Tx antennas. A ST trellis encoder is thus classically defined by its generator matrix  $\mathbf{C}$  of  $n_T \times n(\nu + 1)$  coefficients:

$$\mathbf{C} = \begin{bmatrix} c_{1,1}^1 & \dots & c_{n,1}^1 & \dots & c_{1,\nu+1}^1 & \dots & c_{n,\nu+1}^1 \\ \vdots & & \vdots & & \vdots & & \vdots \\ c_{1,1}^k & \dots & c_{n,1}^k & \dots & c_{1,\nu+1}^k & \dots & c_{n,\nu+1}^k \\ \vdots & & \vdots & & \vdots & & \vdots \\ c_{1,1}^{n_T} & \dots & c_{n,1}^{n_T} & \dots & c_{1,\nu+1}^{n_T} & \dots & c_{n,\nu+1}^{n_T} \end{bmatrix} \quad (1)$$

The encoder outputs for the  $k^{\text{th}}$  antenna are computed as

$$y_t^k = \sum_{i=1}^n \sum_{j=1}^{\nu+1} b_i^{t-j+1} c_{i,j}^k \pmod{2^n} \quad (2)$$

where  $y_t^k \in \mathbb{Z}_{2^n}$  represents the index of the  $2^n$ -PSK symbol  $s_t^k = e^{jy_t^k \frac{\pi}{2}}$  sent to the  $k^{\text{th}}$  antenna. The modulated streams for all antennas are then transmitted simultaneously.

### 3. DESIGN CRITERIA

Design criteria have been proposed in [1] [2] to exploit the  $n_T n_R$  spatial diversity order and to offer optimal coding gain. Cases of slow and fast Rayleigh fading are mainly studied. The transmitted  $n_T$  dimension symbols  $\mathbf{s}_t = [s_t^1 s_t^2 \dots s_t^{n_T}]^T$ , where  $[\cdot]^T$  denotes the transpose operator, are assumed to be grouped in a frame of length  $L_f$ . For each case, criteria are derived from the minimization of the Pairwise Error Probability (PEP), *i.e.* the probability of transmitting the  $n_T \times L_f$  dimension coded frame  $\mathbf{S} = [\mathbf{s}_t \mathbf{s}_{t+1} \dots \mathbf{s}_{t+L_f-1}]$  and deciding erroneously in favour of another  $n_T \times L_f$  dimension coded frame  $\mathbf{E} = [\mathbf{e}_t \mathbf{e}_{t+1} \dots \mathbf{e}_{t+L_f-1}]$ . The  $n_T \times n_T$  product matrix  $\mathbf{A} = \mathbf{B}\mathbf{B}^*$  is introduced where  $\mathbf{B}^*$  denotes the hermitian of the  $n_T \times L_f$  difference matrix  $\mathbf{B} = \mathbf{E} - \mathbf{S}$ .

$$\mathbf{B} = \begin{bmatrix} e_t^1 - s_t^1 & \dots & e_{t+q}^1 - s_{t+q}^1 & \dots & e_{t+L_f-1}^1 - s_{t+L_f-1}^1 \\ \vdots & & \vdots & & \vdots \\ e_t^{n_T} - s_t^{n_T} & \dots & e_{t+q}^{n_T} - s_{t+q}^{n_T} & \dots & e_{t+L_f-1}^{n_T} - s_{t+L_f-1}^{n_T} \end{bmatrix} \quad (3)$$

In [2], Chen proposes a new criterion which is valid in the case of slow and fast Rayleigh fading channels since the product  $\text{rank}(\mathbf{A}) \cdot n_R > 3$ . Under this assumption, the PEP is minimized if the sum of all the eigenvalues of the product matrix is maximized. For a square matrix, the sum of all the eigenvalues is equal to the trace of the matrix  $\mathbf{A}$

$$\text{tr}(\mathbf{A}) = \sum_{k=1}^{n_T} \lambda_k = \sum_{k=1}^{n_T} \left( \sum_{q=0}^{L_f-1} |e_{t+q}^k - s_{t+q}^k|^2 \right) \quad (4)$$

For each pair of coded frames, a matrix  $\mathbf{A}$  and then  $\text{tr}(\mathbf{A})$  can be computed. The minimum trace is the minimum of all

these values  $\text{tr}(\mathbf{A})$ . Since the product  $\text{rank}(\mathbf{A}) \cdot n_R > 3$ , the minimization of the PEP amounts to use a code which has the maximum value of the minimum trace.

## 4. BALANCED CODES

### 4.1. Why balanced codes?

The concept of ‘‘balanced codes’’ is based on the observation that all the good STTC proposed in the literature present the same property: the generated symbols of the constellation are equally probable.

Indeed, if the binary input data is generated by a memoryless source  $\mathbf{S} = \{0, 1\}$  with equally probable symbols, then, in the case of 4-PSK modulation, from a given state  $\mathbf{X} = [x_1 x_2 \dots x_L]^T \in \mathbb{Z}_2^L$  of the shift-register realized by  $(\nu+1)$  blocks of  $n$  bits, the MIMO symbol  $\mathbf{Y} = [y_1 y_2 \dots y_{n_T}]^T \in \mathbb{Z}_4^{n_T}$  generated by the STTC encoder shown in Fig.1 is:

$$\mathbf{Y} = \mathbf{C} \cdot \mathbf{X} \quad (5)$$

where  $\mathbf{C}$  is the generator matrix (1). This is a deterministic relation. Therefore, the STTC is defined by a map:

$$\Phi : \mathbb{Z}_2^L \rightarrow \mathbb{Z}_4^{n_T} \quad (6)$$

which associates to the state  $\mathbf{X}$  an unique codeword  $\mathbf{Y}$ . Note that  $\Phi(\mathbb{Z}_2^L) \subseteq \mathbb{Z}_4^{n_T}$  represents the set of generated codewords  $\mathbf{Y}$ . A given codeword  $\mathbf{Y}$  can be obtained for several states  $\mathbf{X} \in \mathbb{Z}_2^L$ . Let  $n(\mathbf{Y})$  be the number of occurrences of the codeword  $\mathbf{Y}$ .

By definition, a STTC is *balanced* if and only if each generated codeword  $\mathbf{Y} \in \Phi(\mathbb{Z}_2^L)$  has the same number of occurrences  $n(\mathbf{Y}) = n_0 \geq 1$ .

In addition, if  $\Phi(\mathbb{Z}_2^L) = \mathbb{Z}_4^{n_T}$ , then all the codewords are generated and the STTC is *fully balanced*.

Due to the random source  $\mathbf{S} = \{0, 1\}$ , from a given state  $\mathbf{X}$  the encoder can have only 4 equally probable next states. The matrix  $\mathbf{T}$  of the transition probabilities between these states corresponds to a Markov chain. Due to the symmetry of the matrix  $\mathbf{T}$ , the steady state probabilities of the states  $\mathbf{X}$  are all equal. For a balanced code, by using (5), the generated codewords  $\mathbf{Y}$  are also equally probable. In other words, the generated symbols of the constellation are equally probable.

### 4.2. Properties of balanced STTC

The design of the B-STTC is based on the following properties:

**Theorem 1** If a MIMO code with a  $L$ -length shift-register is fully balanced then  $L \geq L_{\min} = n \cdot n_T$ . One can observe that  $L_{\min} = \dim(\mathbb{Z}_2^{n_T})$ .

**Theorem 2** Let us consider a balanced MIMO code with a  $L$ -length shift-register. Then, for any additional column matrix  $\mathbf{C}_i \in \mathbb{Z}_2^{n_T}$ , the resulting MIMO code with a  $(L + 1)$ -length shift-register is also balanced.

**Definition 1** The vectors  $\mathbf{C}_1, \mathbf{C}_2, \dots, \mathbf{C}_L$  are linearly independent if the equation

$$x_1 \mathbf{C}_1 + x_2 \mathbf{C}_2 + \dots + x_L \mathbf{C}_L = \mathbf{0} \in \mathbb{Z}_2^{n_T} \quad (7)$$

with  $x_i \in \{0, 1\}$  holds if and only if all  $x_i = 0$ .

**Definition 2** A set of linearly independent vectors  $\mathbf{C}_1, \dots, \mathbf{C}_m$  is called a base for  $\mathbb{Z}_2^{n_T}$  if and only if

$$\text{span}(\mathbf{C}_1, \mathbf{C}_2, \dots, \mathbf{C}_m) = \left\{ \sum_{i=1}^m x_i \mathbf{C}_i / x_i \in \{0, 1\} \right\} = \mathbb{Z}_2^{n_T}.$$

In this case,  $m = n \cdot n_T$  is the dimension of the set  $\mathbb{Z}_2^{n_T}$ .

## 5. PROPERTIES OF THE BASES OF $\mathbb{Z}_4^{n_T}$

In the following, a method to design fully balanced codes is presented. The properties of the bases of  $\mathbb{Z}_4^{n_T}$ , which characterize the fully balanced codes are listed. Further, the systematic search will be performed only for these fully balanced codes.

*Property 1:* The null vector  $0 \in \mathbb{Z}_4^{n_T}$  can not be used to form a base.

*Property 2:* If the vector  $\mathbf{C}_i \in \mathbb{Z}_4^{n_T}$  is used to form a base, then  $\mathbf{C}_j = -\mathbf{C}_i$  does not belong to this base.

*Property 3:* If the vectors  $\mathbf{C}_1, \mathbf{C}_2, \dots, \mathbf{C}_m \in \mathbb{Z}_4^{n_T}$  with  $m < L_{\min} = n \cdot n_T$  are linearly independent, then the vectors

$$\mathbf{C}_{m+1} = \sum_{i=1}^m \lambda_i \mathbf{C}_i \in \mathbb{Z}_4^{n_T}, \quad \lambda_i \in \{-1, 0, 1\} \quad (8)$$

can not be used to obtain  $m + 1$  linearly independent vectors.

*Property 4:*  $\mathcal{C}_0 = 2\mathbb{Z}_2^{n_T}$  is a normal subgroup of the additive group  $\mathbb{Z}_4^{n_T}$ . For each element  $v \in \mathbb{Z}_2^{n_T}$  we consider the coset  $\mathcal{C}_v = v + 2\mathbb{Z}_2^{n_T}$ , where addition is in  $\mathbb{Z}_4^{n_T}$ .

*Property 5:* If  $u_1 \in \mathcal{C}_u$  and  $v_1 \in \mathcal{C}_v$  then  $u_1 + v_1 \in \mathcal{C}_{u \oplus v}$ , where  $\oplus$  represents the addition in  $\mathbb{Z}_2^{n_T}$ .

*Property 6:* If  $u_1 \in \mathcal{C}_u$  then  $u_1 + \mathcal{C}_v = \mathcal{C}_{u \oplus v}$ .

*Property 7:*  $u + \mathcal{C}_u = \mathcal{C}_0 = 2\mathbb{Z}_2^{n_T}$ .

*Property 8:* The sum of two cosets is defined by

$$\mathcal{C}_u + \mathcal{C}_v = \{u_1 + v_1 / u_1 \in \mathcal{C}_u \text{ and } v_1 \in \mathcal{C}_v\} \quad (9)$$

*Property 9:* The direct sum of two cosets is a coset:

$$\mathcal{C}_u + \mathcal{C}_v = \mathcal{C}_{u \oplus v}$$

*Property 10:* If  $u_1 \in \mathcal{C}_u$  then  $-u_1 \in \mathcal{C}_u$ .

*Property 11:* If  $p_1, p_2, \dots, p_{n_T} \in \mathbb{Z}_2^{n_T} \setminus \{0\}$  are linearly independent then  $\text{span}(2p_1, 2p_2, \dots, 2p_{n_T}) = 2\mathbb{Z}_2^{n_T} = \mathcal{C}_0$ .

*Property 12:* If  $p \in \mathbb{Z}_2^{n_T}$  then the sum of 2 different elements of the coset  $\mathcal{C}_p$  is an element of  $\mathcal{C}_0 \setminus \{2p\}$ .

*Property 13:* One base of  $\mathbb{Z}_4^{n_T}$  contains at least one vector in  $\mathcal{C}_0$ .

*Property 14:* One base of  $\mathbb{Z}_4^{n_T}$  contains at most  $n_T$  vectors in the same coset.

*Property 15:* One base of  $\mathbb{Z}_4^{n_T}$  always contains  $n_T$  vectors which belong to  $n_T$  cosets  $\mathcal{C}_{p_1}, \mathcal{C}_{p_2}, \dots, \mathcal{C}_{p_{n_T}}$  different from  $\mathcal{C}_0$  such as the vectors  $p_1, p_2, \dots, p_{n_T}$  are linearly independent. Similarly, the cosets  $\mathcal{C}_{p_1}, \mathcal{C}_{p_2}, \dots, \mathcal{C}_{p_{n_T}}$  are called linearly independent.

## 6. DESIGN OF 4-PSK STTC WITH 2 TX ANTENNAS

The design of the fully balanced codes includes 2 steps:

- First step: generation of all the bases of  $\mathbb{Z}_4^2$ .
- Second step: permutation of the column vectors of each obtained base to generate all the fully balanced codes.

Table 1 shows the partition of  $\mathbb{Z}_4^2$  in 4 cosets.

**Table 1.** Partition of  $\mathbb{Z}_4^2$  in cosets

$\mathcal{C}_{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}$	0	0	2	2
	0	2	0	2
$\mathcal{C}_{\begin{bmatrix} 0 \\ 1 \end{bmatrix}}$	0	0	2	2
	1	3	1	3
$\mathcal{C}_{\begin{bmatrix} 1 \\ 0 \end{bmatrix}}$	1	1	3	3
	0	2	0	2
$\mathcal{C}_{\begin{bmatrix} 1 \\ 1 \end{bmatrix}}$	1	1	3	3
	1	3	1	3

One base of  $\mathbb{Z}_4^2$  contains at least one vector in  $\mathcal{C}_0$  and at most 2 vectors in  $\mathcal{C}_0$  (*Property 13, 14*). Then, there are two types of fully balanced codes of  $\mathbb{Z}_4^2$ :

- Type *I* codes which contain only 1 non-null vector in  $\mathcal{C}_0$
- Type *II* codes which contain 2 non-null vectors in  $\mathcal{C}_0$

### 6.1. Design of fully balanced codes of type *I*

A base of a fully balanced code of type *I* contains only one vector  $2p_i \in \mathcal{C}_0 \setminus \{0\} = \mathcal{C}_0^*$ . A second vector  $2p_j \in \mathcal{C}_0^*$  is obtained as the sum of 2 different vectors of a coset  $\mathcal{C}_{p_j}$ : there are 3 possibilities to choose this coset. Due to the structure of a coset  $\mathcal{C}_{p_j} = \{p_j, -p_j, q_j, -q_j\}$ , there are 4 different ways to choose these 2 vectors:  $\{p_j, q_j\}$ ,  $\{-p_j, q_j\}$ ,  $\{p_j, -q_j\}$ ,  $\{-p_j, -q_j\}$ . The last vector can be chosen in a different coset, so there are  $2 \cdot 4 = 8$  possibilities. Therefore, there are  $3 \cdot 4 \cdot 8 = 96$  different bases of type *I* in  $\mathbb{Z}_4^2$ .

### 6.2. Design of fully balanced codes of type *II*

A base of a fully balanced coset code of type *II* contains 2 different vectors in  $\mathcal{C}_0^* = 2\mathbb{Z}_2^2 \setminus \{0\}$ . These 2 vectors generate  $\mathcal{C}_0 = 2\mathbb{Z}_2^2$ . Therefore, it is sufficient to choose a vector  $u_1$  in a coset  $\mathcal{C}_u$  to obtain the whole coset  $\mathcal{C}_u$ . In the same way, if we choose another vector  $v_1$  in a coset  $\mathcal{C}_v$ , the whole coset  $\mathcal{C}_v$  is also generated. Moreover,  $u_1 + v_1 \in \mathcal{C}_{u \oplus v}$ . Therefore, the sum of the vector  $u_1 + v_1$  with the coset  $\mathcal{C}_0$  gives  $\mathcal{C}_{u \oplus v}$ . Hence, all the vectors of  $\mathbb{Z}_4^2$  are generated.

The algorithm to obtain a base of type *II* is as follows:

1. Choose 2 different vectors in  $\mathcal{C}_0^*$  (3 possibilities);
2. Choose 2 different cosets  $\mathcal{C}_u$  and  $\mathcal{C}_v$  different from  $\mathcal{C}_0$  (3 possibilities);
3. For each of these 2 cosets, choose one representative (4 possibilities for each coset).

Therefore, there are  $3 \cdot 3 \cdot 4 \cdot 4 = 144$  bases of type *II* in  $\mathbb{Z}_4^2$ . Finally, the total number of the bases of  $\mathbb{Z}_4^2$  is:  $96 + 144 = 240$  bases.

## 7. DESIGN OF 4-PSK STTC WITH 3 TX ANTENNAS

Similarly to the design of 4-PSK STTC with 2 Tx antennas, this section is focused on the design of bases of  $\mathbb{Z}_4^3$ . Thereafter, the vectors forming one base will be permuted to obtain different balanced codes. Table 2 shows the partition of  $\mathbb{Z}_4^3$ .

**Table 2.** Partition of  $\mathbb{Z}_4^3$  in 8 cosets

$\mathcal{C}_{\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}}$	0 0 0	0 0 2	0 2 0	0 2 2	2 0 0	2 0 2	2 2 0	2 2 2
$\mathcal{C}_{\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}}$	0 0 1	0 0 3	0 2 1	0 2 3	2 0 1	2 0 3	2 2 1	2 2 3
$\mathcal{C}_{\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}}$	0 1 0	0 1 2	0 3 0	0 3 2	2 1 0	2 1 2	2 3 0	2 3 2
$\mathcal{C}_{\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}}$	0 1 1	0 1 3	0 3 1	0 3 3	2 1 1	2 1 3	2 3 1	2 3 3
$\mathcal{C}_{\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}}$	1 0 0	1 0 2	1 2 0	1 2 2	3 0 0	3 0 2	3 2 0	3 2 2
$\mathcal{C}_{\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}}$	1 0 1	1 0 3	1 2 1	1 2 3	3 0 1	3 0 3	3 2 1	3 2 3
$\mathcal{C}_{\begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}}$	1 1 0	1 1 2	1 3 0	1 3 2	3 1 0	3 1 2	3 3 0	3 3 2
$\mathcal{C}_{\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}}$	1 1 1	1 1 3	1 3 1	1 3 3	3 1 1	3 1 3	3 3 1	3 3 3

One base of  $\mathbb{Z}_4^3$  contains at least one vector in  $\mathcal{C}_0$  and at most 3 vectors in  $\mathcal{C}_0$  (*Property 13, 14*). Then, there are three types of fully balanced codes of  $\mathbb{Z}_4^3$ :

- Type *I* codes which contain only 1 non-null vector in  $\mathcal{C}_0$
- Type *II* codes which contain 2 non-null vectors in  $\mathcal{C}_0$
- Type *III* codes which contain 3 non-null vectors in  $\mathcal{C}_0$

### Design of fully balanced codes of type *III*

The algorithm to obtain a type *III* base of  $\mathbb{Z}_4^3$  is as follows:

1. Choose 3 linearly independent vectors of  $\mathcal{C}_0$  (28 possibilities). They generate  $\mathcal{C}_0$ .
2. Choose 3 linearly independent vectors  $u, v, w \in \mathbb{Z}_2^3$  (28 possibilities). Therefore, the cosets  $\mathcal{C}_u, \mathcal{C}_v, \mathcal{C}_w \in \mathbb{Z}_4^3$  are linearly independent.
3. Choose the vectors  $u_1 \in \mathcal{C}_u, v_1 \in \mathcal{C}_v, w_1 \in \mathcal{C}_w$  (8 possibilities for each coset). Because  $u_1 + \mathcal{C}_0 = \mathcal{C}_u$  (*Property 6*), the whole coset  $\mathcal{C}_u$  is generated. In the similar way,  $\mathcal{C}_v$  and  $\mathcal{C}_w$  are generated. By using *Property 9*, the other cosets are also generated.

Finally, the total sum of the bases for the fully balanced codes of type *III* is  $28 \cdot 28 \cdot 8 \cdot 8 = 401\,408$  bases.

In a similar way, we obtain 946 176 bases for the fully balanced codes of type *II* and 516 096 bases for the fully balanced codes of type *I*. Finally, the total number of the bases in  $\mathbb{Z}_4^3$  is:  $401\,408 + 946\,176 + 516\,096 = 1\,863\,680$  bases.

## 8. CODE PERFORMANCE

Before showing all the best codes based on the trace criterion, we propose herein some trace properties of 4-state 4-PSK STTC:

- *Property P<sub>1</sub>*: the codes  $\mathbf{C} = \begin{bmatrix} \mathcal{C}_1 \mathcal{C}_2 & \mathcal{C}_3 \mathcal{C}_4 \end{bmatrix}$ ,  $\mathbf{C}' = \begin{bmatrix} -\mathcal{C}_1 \mathcal{C}_2 & \mathcal{C}_3 \mathcal{C}_4 \end{bmatrix}$  and  $\mathbf{C}'' = \begin{bmatrix} \mathcal{C}_1 \mathcal{C}_2 & -\mathcal{C}_3 \mathcal{C}_4 \end{bmatrix}$  have the same minimum trace.

- *Property P<sub>2</sub>*: the codes  $\mathbf{C} = \begin{bmatrix} \mathcal{C}_1 \mathcal{C}_2 & \mathcal{C}_3 \mathcal{C}_4 \end{bmatrix}$  and  $\mathbf{C}' = \begin{bmatrix} \mathcal{C}_2 \mathcal{C}_1 & \mathcal{C}_3 \mathcal{C}_4 \end{bmatrix}$  have the same minimum trace.

- *Property P<sub>3</sub>*: the codes  $\mathbf{C} = \begin{bmatrix} \mathcal{C}_1 \mathcal{C}_2 & \mathcal{C}_3 \mathcal{C}_4 \end{bmatrix}$  and  $\mathbf{C}' = \begin{bmatrix} \mathcal{C}_3 \mathcal{C}_4 & \mathcal{C}_1 \mathcal{C}_2 \end{bmatrix}$  achieve the same minimum trace.

- *Property P<sub>4</sub>*: the same minimum trace is obtained by using a permutation between the rows of the generator matrix  $\mathbf{C}$ , i.e. a permutation between the indices of the Tx antennas.

An exhaustive computer search is carried out to detect all the 4-state 4-PSK STTC with 2 Tx antennas that achieve the maximum rank and the maximum trace. A set of 80 codes with  $\min(\text{rank}(\mathbf{A})) = 2$  and  $\min(\text{tr}(\mathbf{A})) = 10$  is found. All these codes offer a minimum product distance  $d_p^2 = 4 \cdot 6 = 24$  which is the best product distance that can be achieved for 4-state 4-PSK STTC with 2 Tx antennas. Besides, it can be observed that all these codes are fully balanced STTC of type *II*. Since the minimum trace value has been obtained, all these 80 codes can be generated by using the trace properties *P<sub>1</sub>* to *P<sub>4</sub>*.

Table 3 contains all the 4-state fully balanced codes of type *II* which offer the best performance over fast and slow Rayleigh fading channels with two or more Rx antennas.

**Table 3.** 4-state 4-PSK fully balanced STTC with 2 Tx antennas and  $\min(\text{tr}(\mathbf{A})) = 10$

$\begin{bmatrix} 1 & 2 & 0 & 2 \\ 2 & 0 & 2 & 1 \end{bmatrix}$	$\begin{bmatrix} 1 & 2 & 0 & 2 \\ 2 & 0 & 2 & 3 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 0 & 2 \\ 2 & 0 & 2 & 1 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 0 & 2 \\ 2 & 0 & 2 & 3 \end{bmatrix}$
$\begin{bmatrix} 2 & 1 & 2 & 0 \\ 0 & 2 & 1 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 1 & 2 & 0 \\ 0 & 2 & 3 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 3 & 2 & 0 \\ 0 & 2 & 1 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 3 & 2 & 0 \\ 0 & 2 & 3 & 2 \end{bmatrix}$
$\begin{bmatrix} 2 & 0 & 2 & 1 \\ 1 & 2 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 2 & 3 \\ 1 & 2 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 2 & 1 \\ 3 & 2 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 2 & 3 \\ 3 & 2 & 0 & 2 \end{bmatrix}$
$\begin{bmatrix} 0 & 2 & 1 & 2 \\ 2 & 1 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 3 & 2 \\ 2 & 1 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 1 & 2 \\ 2 & 3 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 3 & 2 \\ 2 & 3 & 2 & 0 \end{bmatrix}$

In this table, all the codes are related due to the trace properties presented before.

In order to confirm the utility of the fully balanced STTC, an exhaustive computer search of all 4-state STTC has also been carried out. The obtained results confirm that Table 3 contains all the best STTC. There are not other codes with better performance than the codes given in this table.

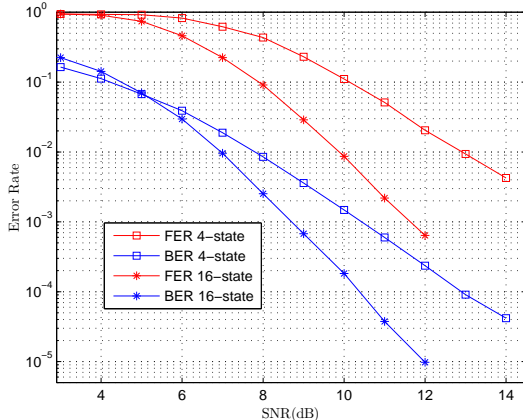
For the 16-state 4-PSK STTC, the construction and the research of the best codes are made from the 4-state 4-PSK STTC by using Theorem 2. Table 4 herein contains all the 16-state Balanced STTC which offer the best performance over fast and slow Rayleigh fading channels with two or more Rx antennas. All these codes have  $\min(\text{tr}(\mathbf{A})) = 16$  and offer a minimum product distance  $d_p^2 = 128$ . Among them, we

found the code proposed by Chen  $\begin{bmatrix} 1 & 2 & 1 & 2 & 3 & 2 \\ 2 & 0 & 3 & 2 & 2 & 0 \end{bmatrix}$  (in bold in Tab. 4).

**Table 4.** 16-state 4-PSK fully balanced STTC with 2 Tx antennas and  $\min(\text{tr}(\mathbf{A})) = 16$

$\begin{bmatrix} 2 & 3 & 2 & 3 & 2 & 1 \\ 0 & 2 & 2 & 1 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 1 & 2 & 3 & 2 & 3 \\ 0 & 2 & 2 & 1 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 3 & 2 & 1 & 2 & 1 \\ 0 & 2 & 2 & 1 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 1 & 2 & 1 & 2 & 3 \\ 0 & 2 & 2 & 1 & 0 & 2 \end{bmatrix}$
$\begin{bmatrix} 0 & 2 & 2 & 1 & 0 & 2 \\ 2 & 3 & 2 & 3 & 2 & 1 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 2 & 1 & 0 & 2 \\ 2 & 1 & 2 & 3 & 2 & 3 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 2 & 1 & 0 & 2 \\ 2 & 3 & 2 & 1 & 2 & 1 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 2 & 1 & 0 & 2 \\ 2 & 1 & 2 & 1 & 2 & 3 \end{bmatrix}$
$\begin{bmatrix} 2 & 1 & 2 & 1 & 2 & 3 \\ 0 & 2 & 2 & 3 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 3 & 2 & 1 & 2 & 1 \\ 0 & 2 & 2 & 3 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 1 & 2 & 3 & 2 & 3 \\ 0 & 2 & 2 & 3 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 3 & 2 & 3 & 2 & 1 \\ 0 & 2 & 2 & 3 & 0 & 2 \end{bmatrix}$
$\begin{bmatrix} 0 & 2 & 2 & 3 & 0 & 2 \\ 2 & 1 & 2 & 1 & 2 & 3 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 2 & 3 & 0 & 2 \\ 2 & 3 & 2 & 1 & 2 & 1 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 2 & 3 & 0 & 2 \\ 2 & 1 & 2 & 3 & 2 & 3 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 2 & 3 & 0 & 2 \\ 2 & 3 & 2 & 3 & 2 & 1 \end{bmatrix}$
$\begin{bmatrix} 3 & 2 & 3 & 2 & 1 & 2 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 2 & 3 & 2 & 3 & 2 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 1 & 2 & 1 & 2 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 2 & 1 & 2 & 3 & 2 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$
$\begin{bmatrix} 2 & 0 & 1 & 2 & 2 & 0 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 1 & 2 & 2 & 0 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 1 & 2 & 2 & 0 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 1 & 2 & 2 & 0 \\ 2 & 0 & 1 & 2 & 2 & 0 \end{bmatrix}$
$\begin{bmatrix} 1 & 2 & 1 & 2 & 3 & 2 \\ 2 & 0 & 3 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 1 & 2 & 1 & 2 \\ 2 & 0 & 3 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 2 & 3 & 2 & 3 & 2 \\ 2 & 0 & 3 & 2 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 3 & 2 & 1 & 2 \\ 2 & 0 & 3 & 2 & 2 & 0 \end{bmatrix}$
$\begin{bmatrix} 2 & 0 & 3 & 2 & 2 & 0 \\ 1 & 2 & 1 & 2 & 3 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 3 & 2 & 2 & 0 \\ 3 & 2 & 1 & 2 & 1 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 3 & 2 & 2 & 0 \\ 1 & 2 & 3 & 2 & 3 & 2 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 3 & 2 & 2 & 0 \\ 3 & 2 & 3 & 2 & 1 & 2 \end{bmatrix}$

Finally, the performance of all these 4-state and 16-state codes is evaluated by simulation and described by the Frame Error Rate (FER) and Bit Error Rate (BER) over fast Rayleigh fading channels. The results are showed in Fig. 2. Note that all the codes given in Tab. 3 achieve the same performance than the code of Chen [2] and they offer better performance than the codes proposed in [5].



**Fig. 2.** Performance of STTC 4-PSK with 2 Tx and 2 Rx antennas over fast Rayleigh fading channels, frame length 128 symbols

For the 16-state 4-PSK with 3 antennas, after having analyzed the fully balanced codes of type III, a set of 7296 codes with  $\min(\text{rank}(\mathbf{A})) = 3$  and  $\min(\text{tr}(\mathbf{A})) = 24$  is found. All

of them achieve the same trace than  $\begin{bmatrix} 1 & 2 & 1 & 2 & 3 & 2 \\ 2 & 0 & 3 & 2 & 2 & 0 \\ 1 & 2 & 2 & 0 & 1 & 2 \end{bmatrix}$

given in [9] and  $\begin{bmatrix} 1 & 1 & 3 & 2 & 2 & 2 \\ 2 & 3 & 2 & 0 & 2 & 0 \\ 3 & 2 & 3 & 2 & 0 & 2 \end{bmatrix}$  proposed in [10].

Some of these 7296 codes are represented in Tab.5. Similarly to the case with 2 Tx antennas, by using the trace properties for 3 Tx antennas, we can also generate the other codes with the same performance from each code in Tab.5.

**Table 5.** 16-state 4-PSK fully balanced STTC with 3 Tx antennas and  $\min(\text{tr}(\mathbf{A})) = 24$

$\begin{bmatrix} 0 & 2 & 2 & 0 & 2 & 3 \\ 0 & 2 & 2 & 3 & 2 \\ 2 & 0 & 1 & 2 & 2 & 1 \end{bmatrix}$	$\begin{bmatrix} 2 & 0 & 2 & 3 & 0 & 2 \\ 0 & 2 & 2 & 2 & 1 & 2 \\ 1 & 2 & 2 & 1 & 2 & 0 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 2 & 3 & 0 & 2 \\ 3 & 2 & 2 & 3 & 2 & 0 \\ 2 & 0 & 2 & 2 & 1 & 2 \end{bmatrix}$	$\begin{bmatrix} 0 & 2 & 0 & 0 & 0 & 1 \\ 0 & 2 & 2 & 0 & 1 & 0 \\ 2 & 0 & 2 & 1 & 0 & 0 \end{bmatrix}$
$\begin{bmatrix} 2 & 2 & 0 & 2 & 2 & 1 \\ 1 & 2 & 2 & 0 & 2 & 3 \\ 2 & 0 & 0 & 2 & 1 & 2 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 2 & 3 & 0 & 2 \\ 2 & 2 & 2 & 1 & 2 & 0 \\ 2 & 0 & 3 & 2 & 0 & 2 \end{bmatrix}$	$\begin{bmatrix} 1 & 2 & 2 & 3 & 2 & 0 \\ 2 & 0 & 2 & 3 & 0 & 2 \\ 0 & 2 & 2 & 2 & 1 & 2 \end{bmatrix}$	$\begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 2 \\ 0 & 1 & 3 & 2 & 3 & 2 \\ 2 & 0 & 1 & 2 & 0 & 0 \end{bmatrix}$
$\begin{bmatrix} 0 & 2 & 2 & 0 & 2 & 3 \\ 3 & 2 & 0 & 2 & 2 & 2 \\ 2 & 0 & 1 & 2 & 2 & 1 \end{bmatrix}$	$\begin{bmatrix} 2 & 3 & 0 & 2 & 0 & 2 \\ 2 & 3 & 3 & 2 & 2 & 0 \\ 2 & 2 & 2 & 0 & 1 & 2 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 2 & 3 & 2 & 0 \\ 3 & 2 & 2 & 0 & 0 & 2 \\ 2 & 2 & 0 & 2 & 2 & 3 \end{bmatrix}$	$\begin{bmatrix} 3 & 2 & 3 & 0 & 2 & 0 \\ 1 & 2 & 2 & 1 & 0 & 2 \\ 3 & 2 & 0 & 0 & 2 & 2 \end{bmatrix}$

## 9. CONCLUSION

In this paper, we proposed a new class of 4-PSK Balanced STTC for 2 and 3 transmit antennas. These codes generate the points of the constellation with the same probability. It has been shown that the best STTC belong to this class. Therefore, the systematic search for good codes can be reduced to this class. A method to design the balanced codes has been described. A complete list of the best 4-state codes with 2 transmit antennas and several 16-state codes for 2 and 3 antennas have also been given. All the 4-state fully balanced STTC listed in this paper are equivalent, *i.e.* they have the same rank, trace and product distance. The simulation results have shown that they outperform the other 4-state STTC for 2 transmit antennas.

## 10. REFERENCES

- [1] V. Tarokh, N. Seshadri, and A.R. Calderbank, "Space-time codes for high data rate wireless communication: Performance criterion and code construction," *IEEE Trans. Inform. Theory*, vol. 44, no. 2, pp. 744–765, March 1998.
- [2] Z. Chen, J. Yuan, and B. Vucetic, "Improved space-time trellis coded modulation scheme on slow fading channels," *Electron. Lett.*, vol. 37, no. 7, pp. 440–441, March 2001.
- [3] W. Firmanto, B.S. Vucetic, and J. Yuan, "Space-time TCM with improved performance on fast fading channels," *IEEE Commun. Lett.*, vol. 5, no. 4, pp. 154–156, April 2001.
- [4] S. Baro, G. Bauch, and A. Hansmann, "Improved codes for space-time trellis-coded modulation," *IEEE Commun. Lett.*, vol. 4, no. 1, pp. 20–22, Jan. 2000.
- [5] Yi Hong and A. Guillen i Fabregas, "New Space-Time Trellis Codes for Slow Fading Channels," in *Proc. IEEE VTC 2006-Spring*, May 2006, vol. 3, pp. 1492 – 1496.
- [6] B.A. Rassool, F. Heliot, L. Revelly, M. Dohler, R. Nakhai, and H. Aghvami, "Fast search techniques for obtaining space-time trellis codes for Rayleigh fading channels and its performance in CDMA systems," in *Proc. IEEE VTC 2003-Spring*, April 2003, vol. 1, pp. 66–69.
- [7] Y.S. Jung and J.H. Lee, "Improved space-time trellis codes using expanded signal set in slow Rayleigh fading channels," in *Proc. IEEE ISIT'2002*, June 2002, p. 248.
- [8] M. Crussiere, J.M. Auffray, and J.F. Helard, "Comparison of STTCM over slow and fast Rayleigh fading channels," in *4th IEEE Workshop on SPAWC 2003*, June 2003, pp. 249–253.
- [9] Z. Chen, B.S. Vucetic, J. Yuan, and Ka Leong Lo, "Space-time trellis codes for 4-PSK with three and four transmit antennas in quasi-static flat fading channels," *IEEE Commun. Lett.*, vol. 6, no. 2, pp. 67–69, Feb. 2002.
- [10] Yi Hong, J. Yuan, Z. Chen, and B. Vucetic, "Space-time turbo trellis codes for two, three, and four transmit antennas," *IEEE Trans. on Vehicular Technology*, vol. 53, no. 2, pp. 318–328, March 2004.