

Cover Letter

Dear Editor,

we would like to submit to Science of the Total Environment Special Issue on Rare Earth Elements the paper: Cerium, gadolinium, lanthanum, and neodymium effects in simplified acid mine discharges to *Raphidocelis subcapitata*, *Lepidium sativum*, and *Vicia faba*

For the first time, we investigated the role of pH in changing the effects of cerium, gadolinium, lanthanum, and neodymium in a simplified acid mine discharge.

The alteration of rare earth elements (REEs) biogeochemical cycles has increased the potential effects related to their environmental exposure in a one-health perspective. Cerium (Ce), gadolinium (Gd), lanthanum (La), and neodymium (Nd) are frequently related to technological applications and their environmental concentrations are already in the $\mu\text{g}/\text{kg}$ – mg/kg (i.e., or L) range depending on the considered matrices (i.e., acid mine discharge (AMD), wastewater, sediment, and soil). The effect of Ce, Gd, La, and Nd was investigated in a simulated AMD (0.01-10.22 mg/L) at pH 4 and 6 considering a battery of photosynthetic organisms (*Raphidocelis subcapitata*, *Lepidium sativum*, and *Vicia faba*) according to a multiple-endpoint approach (growth inhibition, germination index, and mutagenicity). According to modelled chemical speciation, the considered elements were mostly in the trivalent free form (86-88%) at pH 4. Gd, La, and Nd exerted the most relevant toxic effect at pH 4. The pH 6 scenario evidenced a reduction in REEs toxicity level. Mutagenicity was detected only at pH 4 by Gd (up to 3-fold compared to negative controls), La and Nd, while Ce did not show any adverse effect. Toxic effects due to Ce, Gd, La, and Nd can be reduced by controlling the pH, but several gaps into the knowledge still remain about their uptake and trophic transfer, and long-term effects on targeted species.

Prof. Giovanni Libralato on behalf of all co-authors

1 Cerium, gadolinium, lanthanum, and neodymium effects in simplified acid mine discharges to
2 *Raphidocelis subcapitata*, *Lepidium sativum*, and *Vicia faba*

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23 Abstract

24 The alteration of rare earth elements (REEs) biogeochemical cycles has increased the potential effects
25 related to their environmental exposure in a one-health perspective. Cerium (Ce), gadolinium (Gd),
26 lanthanum (La), and neodymium (Nd) are frequently related to technological applications and their
27 environmental concentrations are already in the $\mu\text{g}/\text{kg}$ – mg/kg (i.e., or L) range depending on the
28 considered matrices. The effect of Ce, Gd, La, and Nd was investigated in a simulated AMD (0.01-
29 10.22 mg/L) at pH 4 and 6 considering a battery of photosynthetic organisms (*Raphidocelis*
30 *subcapitata*, *Lepidium sativum*, and *Vicia faba*) according to a multiple-endpoint approach (growth
31 inhibition, germination index, and mutagenicity). According to modelled chemical speciation, the
32 considered elements were mostly in the trivalent free form (86-88%) at pH 4. Gd, La, and Nd exerted
33 the most relevant toxic effect at pH 4. The pH 6 scenario evidenced a reduction in REEs toxicity
34 level. Mutagenicity was detected only at pH 4 by Gd (up to 3-fold compared to negative controls),
35 La and Nd, while Ce did not show any adverse effect. Toxic effects due to Ce, Gd, La, and Nd can
36 be reduced by controlling the pH, but several gaps of knowledge still remain about their uptake and
37 trophic transfer, and long-term effects on targeted species.

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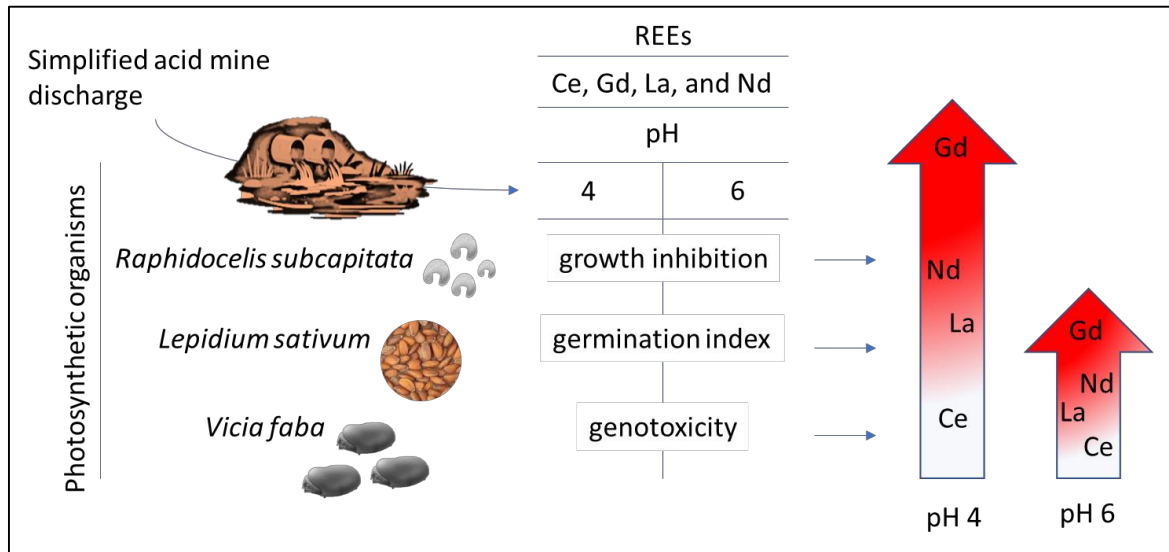
42 Keywords

43 Phytotoxicity; mutagenicity; rare earth elements; pH; modelled speciation

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46 Graphical abstract



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49 Highlights

50 - Low pH values can significantly increase the toxicity of Gd, La, and Nd

51 - Mutagenicity was evidenced for Gd, La, and Nd at pH 4

52 - Toxicity at pH 6 was significantly lower than at pH 4 on a multi-endpoint basis

53 - The sensitivity of the considered biological models was: *R. subcapitata* > *V. faba* > *L. sativum*

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57 1. Introduction

58 Rare earth elements (REEs) are key components of many emerging technologies in industry,
59 agriculture, and medicine due to their unique physical and chemical properties (Gwenzi et al., 2018;
60 Pagano et al., 2015b; Romero-Freire et al., 2018; Takaya et al., 2018). Concerns are rising about the
61 potential increased alterations of REEs biogeochemical cycles due to Anthropocene (Galdiero et al.,
62 2019a; Moreira et al., 2020). At present, five REEs are labelled as critical for energy production
63 (neodymium, europium, terbium, dysprosium, and yttrium) and two additional ones as nearly critical
64 (cerium and lanthanum) (Romero-Freire et al., 2018; US DOE, 2012). Currently, REEs do not present
65 any threshold limit values for national and international regulations, but they can be considered as
66 new emerging contaminants with still unknown effects (Galdiero et al., 2019a). Two main sources of
67 REEs can be found: 1) direct from active or non-active mining ores activities; 2) indirect from mineral
68 processing and industrial use including the waste cycle (Gwenzi et al., 2018).

69 REEs can be considered as lithophile elements substituting other cations of comparable radius and
70 charge in several mineral structures like silicates, carbonates, oxides, phosphates, and related
71 oxyhydroxysalts (Migaszewski and Gałuszka, 2015). The principal mineral sources of REEs are
72 bastnaesite, monazite, and loparite and the lateritic ion-adsorption clays (Balaram, 2019). Although
73 REEs are abundant in the earth's crust ("not rare"), the ability for mining tends to make them very
74 scarce (de Boer and Lammertsma, 2013; Thomas et al., 2014). REEs are not individual native metals,
75 but they occur together in numerous ore/accessory minerals as either minor or major constituents.
76 Sites in areas impacted by mining activities, not only related to REEs extraction, and industry have
77 been shown to contain REEs with concentrations up to 100 times higher than normal background
78 levels (Gwenzi et al., 2018). Mining activities such as cutting, drilling, blasting, transportation,
79 stockpiling, and processing have been linked to severe environmental and health damages in countries
80 such as China, United States of America (USA), India, Malaysia, and Brazil (Adeel et al., 2019;
81 Balaram, 2019; Galhardi et al., 2020; Liang et al., 2014). Acid mine drainage (AMD) has recently
82 raised a great deal of attention as a potential significant source of REEs directly able to affect

83 environmental and human health, if not adequately collected and treated. AMD is composed of acidic
84 wastewater (i.e., approximately $\text{pH} < 5$, but it depends on a site-by-site basis) presenting a generally
85 high amount of sulphate and other metals in a dissolved form including rare earth elements (REEs)
86 ranging from ng/L up to thousands of mg/L and more on a site-specific basis (Migaszewski and
87 Gałuszka, 2015). The median concentration of REEs in European Union stream sediment was 198.9
88 mg/kg (Salminen, 2005), reaching $457.7 \mu\text{g/L}$ in USA ore mine effluent and $61.3 \mu\text{g/L}$ in China coal
89 mine effluent, while in surface water their concentration ranged from $75.03 \mu\text{g/L}$ up to $518.7 \mu\text{g/L}$
90 (Migaszewski and Gałuszka, 2015). Zhao et al. (2007) indicated that the REE-sulphate complexes
91 are the main form of dissolved REEs concentration in acid mine wastewater representing more than
92 60% of the total amount, followed by free metal species form. The presence of REEs in AMD and its
93 acidic pH can increase their mobility and bioavailability in the various environmental compartments
94 with potential negative effects on a one-health approach, especially in mining areas (i.e., both active
95 or abandoned sites) (Cravotta III, 2008; Rim et al., 2013; Stewart et al., 2017; Sun et al., 2017). When
96 the intensity of acidity reaches a baseline ecotoxicity threshold, it can affect organisms through direct
97 acute damage and indirect acidified soil and water (Gonzalez-Gil et al., 2012; Li et al., 2020; Lopes
98 et al., 1999; Xia et al., 2017). Thus, the combined REEs pollution and acid conditions from AMD
99 could adversely affect the structure and function of aquatic ecosystem changing the productivity and
100 the abundance in biomass or even could lead to the elimination of aquatic species (Bott et al., 2012;
101 Kraus and Pomeranz, 2020).

102 Relatively scarce information is available to date on REEs-associated biological effects, including
103 bioassays on model organisms, and human health effects (Galdiero et al., 2019b; Gravina et al., 2018;
104 Pagano et al., 2015a; Pagano et al., 2015b). A recognized mechanism of action in REEs-associated
105 health effects relates to modulating oxidative stress including various endpoints such as growth
106 inhibition, cytogenetic effects, and organ-specific damage (Manier et al., 2013; Tai et al., 2010). Even
107 less is known about the REEs toxicity at low pH levels. Only few papers evidenced that low pHs (i.e.,
108 mine wastewater) can modify their biological activity increasing aquatic toxicity (Haferburg et al.,

109 2007; Romero et al., 2010), where a relevant role is also played by organic and inorganic ligands
110 (Thomas et al., 2014). In particular, primary producers can be highly sensitive indicators of toxic
111 effects due to the aquatic exposure to REEs dissolved in AMD. As a parallelism, we must remember
112 that the notorious Itai-Itai disease was caused by the consumption of rice contaminated by cadmium
113 from cultures irrigated with AMD (Inaba et al., 2005). d'Aquino et al. (2009) stated that few data
114 about REEs effects on macrophytes were available from the scientific literature and, to the best of
115 our knowledge, such scarcity persist today. The need to investigate photosynthetic organisms in
116 relation to AMD contamination (i.e., surface water polluted by uncontrolled and untreated AMD, and
117 direct irrigation with contaminated AMD) pushes ahead the present research topic.

118 This study evaluated the adverse effects of cerium (Ce), lanthanum (La), gadolinium (Gd), and
119 neodymium (Nd) on *Raphidocelis subcapitata* (green microalgae), and *Lepidium sativum*
120 (macrophyte), and *Vicia faba* (macrophyte) considering a background multi-endpoint approach (i.e.,
121 growth inhibition, germination index, and genotoxicity) to check the effect of spiked simplified AMD
122 investigating the role of two pH values (4 and 6) in potential toxicity modification.

123

124 2. Materials and Methods

125 2.1 Analytical methods

126 Trichloride anhydrous salts of Ce (III), La(III), Gd(III), and Nd(III) were purchased from Sigma-
127 Aldrich (Italy). All chemicals were of analytical grade. Testing solutions were prepared from 1 M
128 stock solutions per element in ultra-pure distilled water stored at 4 °C. Stock solutions were diluted
129 to the final test concentrations using freshwater medium (ISO, 2012a) buffered at pH values 4 and 6.
130 The pH was measured with a pH-meter (Mettler Toledo Five Easy, Milan, Italy). The experimental
131 design included 4 exposure concentrations (i.e., 0.01, 0.1, 1, and 10 mg/L – nominal concentrations).
132 Real concentrations were determined by Inductively Coupled plasma mass spectrometry (ICP-MS,
133 Aurora Bruker M90, Bremen, Germany) following previously established protocols and quality
134 assurance and quality control laboratory procedures according to Pagano et al. (2016). The limits of

135 detection (LOD) and quantification (LOQ) were as follows for Ce, Gd, La, and Nd: 0.0010, 0.0018,
136 0.0006, and 0.0011 µg/L as LOD; and 0.0035, 0.0058, 0.0021, and 0.0037 µg/L as LOQ. Analyses
137 were carried out in triplicate.

138

139 2.2. Algal growth inhibition test

140 The algal growth inhibition test (72 h) with *R. subcapitata*, formerly known as *Selenastrum*
141 *capricornutum* and *Pseudokirchneriella subcapitata*, was carried out based on ISO (2012a). The algal
142 density was determined by spectrophotometric analysis (DR5000, Hach Lange GbH, Weinheim,
143 Germany). The percentage inhibition of the cell growth (IG, %) was calculated as the difference
144 between the growth rate of the control and of the sample and expressed as the mean (\pm standard
145 deviation). Toxicity tests were carried out in triplicate.

146

147 2.3 Phytotoxicity test

148 The *L. sativum* germination and root elongation toxicity tests were performed according to ISO
149 (2012b)). Macrophyte seeds (n = 10) were exposed on filter paper Whatman n. 1 imbibed with 3 mL
150 of testing solution in triplicate in Petri dishes. Samples were incubated at 25 ± 1 °C in darkness and
151 the number of seeds germinated and the length of the developing roots were measured after 3 days.
152 Controls were carried out in distilled water. Germination (%), and root elongation inhibition were
153 combined to calculate the germination index (GI, %) (Libralato et al., 2016).

154

155 2.4 Micronucleus test

156 *V. faba* was investigated for genotoxicity according to ISO (2013). Macrophyte seeds (n = 5) were
157 exposed on filter paper Whatman n. 1 imbibed with 6 mL of testing solution in triplicate in Petri
158 dishes. .

159 After incubating in the dark at 22 ± 2 °C for 96 h, the root tips of germinated seeds were fixed for 24
160 h in 1:3 acetic acid: ethanol solutions, then were cut, stained in Schiff's Reagent using Feulgens

161 method, and squashed on microscope slides (ISO, 2013). The micronucleus frequency MCN (%) was
162 evaluated in 10^3 cells from *V. faba* seeds using ImageJ (Schindelin et al., 2015).

163

164 2.5 Data analyses

165 Median effect concentrations (EC50), EC5 and EC10 were calculated as mean values and relative
166 95% confidence limit values (Galdiero et al., 2019b), for *R. subcapitata* and *L. sativum*. Differences
167 between treatments were assessed via one-way analysis of variance (ANOVA) after the verification
168 of normality (Shapiro-Wilk's test) and homoscedasticity (Levene's test). The *post-hoc* Tukey's test
169 accounted for differences within groups setting the statistical significance at $p < 0.05$. Statistical
170 analysis was carried out via SigmaPlot (Systat Software, San Jose, CA).

171

172

173 3. Results and discussion

174 All endpoints were calculated on real concentrations summarized in Table S1 (Supplementary
175 Materials). The use of Visual MINTEQ 3.1 (Gustafsson, 2012) allowed to model the speciation of
176 Ce, Gd, La, and Nd considering reconstructed freshwater according to ISO (2012a) and the two fixed
177 pH values (4 and 6). According to the database in Supplementary Materials (Table S2), most of the
178 considered REEs were present in the trivalent dissolved free forms (86-88%) at pH 4 (i.e., Ce 88%,
179 Gd 86%, La 87%, and Nd 87%), which are the most bioavailable ones. At pH 6, the free trivalent
180 forms are still present, but with significant reductions compared to pH 4 like for Ce (75%), Gd (58%),
181 La (81%), and Nd (74%). The lower amount of Ce, Gd, La, and Nd in the free form at pH 6 might
182 have influenced their bioavailability and the subsequent effects in the exposed biological models.

183 In Figure 1, the results of the IG (%) of *R. subcapitata* were reported at pH 4 and 6, respectively, for
184 Ce (Figure 1 A and B), Gd (Figure 1 C and D), La (Figure 1 E and F), and Nd (Figure 1 G and H). A
185 linear regression model was considered to fit data concentration-response relationships. All equations
186 and the relative standard errors were included in Figure 1 (A-H). These equations allowed the
187 determination of EC50, EC10, and EC5 that were summarized for both pH values in Table 1.

188 For Ce, La and Nd, biostimulation effects were detected at the first two lowest exposure
189 concentrations for both pH 4 and 6, and also for Gd at pH 6. Microalgae growth impairment occurred
190 for Ce, La, and Nd at 1 and 10 mg/L (nominal concentrations) at pH 4 and 6, and for Gd at pH 6. For
191 microalgae exposed to Gd at pH 4, all exposure concentrations evidenced a concentration-response
192 significant toxic effect up to 73% at 5.72 mg/L. REEs biostimulation effects in unicellular green algae
193 were already reported for nano-CeO₂ considering photosynthesis inhibition and ROS formation as
194 endpoints (Rodea-Palomares et al., 2012) and Ce(NO₃)₃ for growth inhibition (Aharchaou et al.,
195 2020).

196 The exposure to Ce at pH 4 showed effects not significantly different from the exposure at pH 6 with
197 a correlation coefficient of $R^2 = 0.93$ (Figure 1 A and B). Only, at 0.137 mg/L the effect of pH 6
198 exposure was still biostimulation and significantly different ($p < 0.001$) from the same concentration

199 at pH 4 treatments being about 25% lower. Ce effects ranged between -10% (0.01 mg/L) and 64%
200 (10.225 mg/L). Indeed, the EC50 of Ce at pH 4 was 3.15 (1.36-7.19) mg/L and Ce EC50 at pH 6 was
201 4.75 (0.04-10.99) mg/L (Table 1). These EC50 values are not significantly different ($p > 0.05$).

202 For Gd, a significant difference in the concentration-response curves can be observed in Figure 1 (C
203 and D), at pH 6 the EC50 value in the investigated concentration range cannot be detected and only
204 EC5 and EC10 values were calculated (i.e., maximum effect of 23% at 5.72 mg/L). At pH 4 (Figure
205 1 C), significant differences ($p < 0.001$) between treatments were highlighted. The maximum detected
206 effect was 73% at 5.72 mg/L. Gd EC50 at pH 4 was 0.267 (0.01-5.30 mg/L). For Gd exposure at pH
207 6, only EC5 and EC10 values were calculated (Table 1).

208 For La, effects ranged between -6% (0.01 mg/L) and 21% (5.32 mg/L) (Figure 1 E and F). At 0.01
209 mg/L and 0.12 mg/L of La no significant differences ($p > 0.05$) were observed between treatments at
210 pH 4 and pH 6. At 1.207 mg/L, the inhibitory effect of pH 6 exposure was significantly different (p
211 < 0.01) from the corresponding concentration at pH 4 treatments being about 8% greater. On the
212 contrary, at 5.321 mg/L, the inhibitory effect of pH 6 exposure was significantly different ($p < 0.001$)
213 from the 5.321 mg/L at pH 4 being about 10% lower. The EC50 after 72 h of exposure was not
214 determined in both pH exposure scenarios, while EC5 and EC10 values were summarized in Table
215 1.

216 About *R. subcapitata* exposure to Nd, the effects varied between 7.4% and 56.7% for pH 4, and -
217 0.9% and 59.7% for pH 6 (Figure 1 G and H). Significant differences ($p < 0.05$) were evidenced
218 amongst treatments at the first two lowest exposure concentrations, while no significant differences
219 ($p > 0.05$) were observed within treatments at the remaining concentrations. At 0.005 mg/L, the
220 inhibitory effect of pH 6 exposure was significantly different ($p < 0.01$) from the same concentration
221 at pH 4 treatments being about 8% lower. At 0.075 mg/L, the inhibitory effect of pH 6 exposure was
222 significantly different ($p < 0.001$) from the 0.075 mg/L at pH 4 being about 14% lower. The EC50
223 values of Nd at pH 4 and 6 were not significantly different ($p < 0.01$) being 1.860 mg/L and 1.856
224 mg/L, respectively (Table 1).

225 These data were partly in agreement with previous studies (Liang and Wang, 2013; Thomas et al.,
226 2014; Wang et al., 2014). The comparison of the growth inhibition effects at pH 4 and 6 evidenced
227 only limited differences between the two exposure scenarios, except for Gd where low pH values can
228 increase the effect on the targeted species.

229 REEs were ranked in increasing order of toxicity at pH 4 according to the estimates obtained. For
230 EC50: La < Ce < Gd < Nd; EC10: La < Ce < Nd < Gd and EC5: La < Ce < Nd < Gd. The toxicity
231 trend evidenced that the most toxic elements at pH 4 were Nd and Gd, while La was the less toxic.
232 At pH 6, the general toxicity decreased and kept similar values compared to pH 4. At both pH, Gd
233 and La EC50 values could not be obtained. REEs were ranked in increasing order of toxicity at pH 6,
234 EC50: La \approx Gd < Ce < Nd; EC10: Gd < La < Nd < Ce and EC5: Gd < La < Ce < Nd.

235 In general, the ecotoxicity did not always increase with the increase in the atomic number of the
236 investigated REEs with *R. subcapitata* as reported in previous studies (González et al., 2015;
237 Malhotra et al., 2020; Pagano et al., 2015b), and the general scarcity of experimental data can make
238 it difficult to fully discuss. For example, EC50 value of Ce (4.4 mg/L) according to (González et al.,
239 2015) was very similar to both EC50s at pH 4 and 6, but the pH of testing solutions was not displayed,
240 while the Gd EC50s were significantly different compared to González et al. (2015) (1.257 mg/L).

241 Several authors (Aharchaou et al., 2020; Joonas et al., 2017; Stauber and Binet, 2000) highlighted
242 that the formation of insoluble REEs species in exposure media or of precipitates in the presence of
243 free ion concentration due to the changes in pH levels might be responsible of the differential
244 responses.

245

246

247 In Figure 2, the results about the GI (%) of *L. sativum* were reported at pH 4 and 6, respectively, for
248 Ce (Figure 2 A and B), Gd (Figure 2 C and D), La (Figure 2 E and F), and Nd (Figure 2 G and H).
249 All detailed data about seed germination and root elongation were provided in Table S3 and Table
250 S4, respectively (Supplementary Materials). GI values between 80% and 120% are considered as
251 acceptable, while, if < 80% or > 120% inhibition or biostimulation effects are identified (Libralato et
252 al., 2016). As a general overview of the obtained results, the GI always evidenced inhibitory effects
253 at the two highest tested concentrations for all the investigated REEs at both pHs. Similarly, all GI
254 values were always > 80% and < 120%, so any biostimulation effect was displayed as well.
255 Considering the exposure of *L. sativum* to Ce (Table 2S), the number of *L. sativum* germinated seeds
256 was 100% in the control test, but when Ce solutions at 0.137 mg/L, 1.431 mg/L and 10.225 were used
257 the number of seeds was reduced of about 80% both at pH 4 and 6. At 0.01 mg/L, the number of
258 germinated seeds was not significantly different from the negative control (< 10% effect). No
259 significant differences ($p > 0.05$) were observed at 0.137 mg/L and 10.225 mg/L of Ce for both pH
260 values, while at 0.01 mg/L and 1.431 mg/L statistical differences in the effects were evidenced ($p <$
261 0.05) within pH 4 and pH 6 treatments (Figure 2 A and B). Ce germination index ranged between
262 81% (0.010 mg/L) and 54% (10.225 mg/L) at pH 4, and between 90% (0.010 mg/L) and 64% (10.225
263 mg/L) at pH 6.

264 The number of *L. sativum* germinated seeds after Gd exposure significantly ($p < 0.05$) decreased from
265 0.154 mg/L, up to 5.721 mg/L. Effects of Gd at pH 4 were not significantly different from those at
266 pH 6 with a correlation coefficient of $R^2 = 0.98$ (Figure 2 C and D). The Gd GI ranged between 83%
267 (0.012 mg/L) and 50% (5.721mg/L) at pH 4, and between 86% (0.012 mg/L) and 60% (5.721mg/L)
268 at pH 6.

269 About the exposure to La, the number of germinated seeds was reduced up to 90% at pH 4 and 80%
270 at pH 6. No significant differences ($p > 0.05$) were evidenced between treatments at the different pHs
271 in the considered concentration range (Figure E and F). At pH 4, only the lowest exposure
272 concentration (0.012 mg/L) showed a GI significantly different (90%) than all other treatments (63%–

273 78%) being the only one presenting no effect. At pH 6, the highest concentrations (5.321 mg/L and
274 1.207 mg/L) showed slight adverse effects ranging between 59% and 66%, while the two lowest
275 treatment presented no effect. In Nd exposure, the number of *L. sativum* germinated seeds was
276 reduced up to 80% at 0.710 and 6.510 mg Nd/L for both pH 4 and 6 (Table S3). Significant differences
277 ($p < 0.05$) were observed only at 6.510 mg/L for both pH values, while at 0.005 mg/L, 0.075 mg/L
278 and 0.710 mg/L no significant difference between treatments was detected ($p > 0.05$) (Table S3). The
279 germination index showed a similar toxicity trend to the previous REEs exposure, but with higher
280 toxicity level (45%) at 6.510 mg/L (pH 4). Indeed, the GI values ranged between 45% and 87% for
281 pH 4, while between 55% and 84% for pH 6.

282 Currently, few data are available about *L. sativum* exposure to REEs including all endpoints. Wang
283 et al. (2007) reported that Ce^{3+} (14 mg/L), La^{3+} (13.8 mg/L), and Nd^{3+} (14 mg/L) in *Lepidium meyenii*
284 enhanced hyperhydricity and the activities of antioxidative enzymes in adventitious shoots like
285 peroxidase (POD), catalase (CAT), ascorbate peroxidase (APX), superoxide dismutase (SOD),
286 monodehydroascorbate reductase (MDHAR) and glutathione reductase (GR), but most adventitious
287 shoots grew normally. Thomas et al. (2014) highlighted that La and Ce at “high pH” (5.95 ± 0.02 and
288 6.74 ± 0.03 , in that order) had no impact on seed germination in the tested species at any
289 concentration, whereas Ce supplied at “low pH” (4.08 ± 0.02) induced negative effects (i.e., inhibition
290 concentration on 10% exposed population, IC₁₀, mg/kg dry soil (d.s.)) on seed germination in
291 *Asclepias syriaca* (54.6 mg/kg d.s.), *Desmodium canadense* (165.9 mg/kg d.s.), *Panicum virgatum*
292 (166.8 mg/kg d.s.), *Raphanus sativus* (150.4 mg/kg d.s.), and *Solanum lycopersicum* (195.34 mg/kg
293 d.s.).

294 The frequency distribution of micronuclei in *V. faba* exposed to Ce (0.010 mg/L), Gd (0.012 mg/L),
295 La (0.012 mg/L), and Nd (0.005 mg/L) was reported in Figure 3 for both pH 4 and 6. No significant
296 differences ($p < 0.05$) were evidenced between the negative controls and the treatments at pH 6. At
297 pH 4, effects were significantly different ($p < 0.05$) from negative controls for La, Nd, and Gd being
298 approximately from three- to four-fold compared to negative controls. The MNF confirmed that Gd

299 at pH 4 is significantly toxic like for *R. subcapitata* and *L. sativum*. For Nd, an increased MNF mitotic
300 and chromosomal aberrations in *V. faba* were also evidenced by Jha and Singh (1994), similarly to
301 our findings. For La, Wang et al. (2011) highlighted some hormetic effects in *V. faba*, but the MNF
302 was not investigated. Only Ce presented no mutagenicity effects either at pH 4 or 6. The pH has a
303 significant role in changing the effects of Gd, La, and Nd to *V. faba* inducing mutagenicity at low
304 values (pH 4).

305

306 **4. Conclusions**

307 The effects of Ce, Gd, La, and Nd were assessed in a simplified acid mine discharge investigating the
308 role of pH 4 and 6 in changing the toxicity profiles of three photosynthetic organisms. A multiple-
309 endpoint approach (i.e., growth inhibition, germination index, and mutagenicity) was used to
310 investigate real exposure scenarios. Results evidenced that pH 4 can increase the toxicity of the
311 selected REEs increasing the amount of free trivalent ions compared to pH 6. In summary, the toxicity
312 trends were as follows: i) for microalgae (i.e., considering the EC50 values): 1) La < Ce < Nd < Gd
313 at pH 4; 2) Nd < Ce < Gd \approx La at pH 6; ii) for *L. sativum* (i.e., considering the GI(%) at the highest
314 exposure concentration): 1) La < Ce < Gd < Nd at pH 4; 2) Ce < Gd \approx La \approx Nd at pH 6; iii) for *V.*
315 *faba* (i.e., MNF): 1) Ce < La \approx Nd < Gd at pH 4; 2) Ce \approx La \approx Nd \approx Gd at pH 6. The sensitivity of
316 the considered biological models was *R. subcapitata* > *V. faba* > *L. sativum*, suggesting that
317 microalgae can have an important role as well as *V. faba* in the risk assessment of REEs.

318 Gd was the most toxic element at pH 4, followed by La and Nd, and Ce. At pH 6, their effects
319 significantly decreased, and Nd evidenced the highest toxicity. Gd, La and Nd evidenced at pH 4 their
320 potential mutagenicity, that was not present at pH 6. According to the considered exposure scenarios,
321 potential significant negative effects could be exerted especially by Gd, La, and Nd in acidic aquatic
322 environments to microalgae and macrophytes, but they can be reduced by controlling the pH.

323 Several gaps into the knowledge still remain about REEs toxicity effects and their potential uptake
324 by aquatic species including the transfer through the food web and potential mechanism of adaptation
325 and detoxification.

326

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331

332 5. References

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459

1 [Table 1 EC5, EC10, and EC50 values for cerium \(Ce\), gadolinium \(Gd\), lanthanum \(La\), and neodymium](#)
 2 [\(Nd\) at pH =4 and 6; values are in mg/L; n.a. = not available; REEs =rare earth elements; EC = effective](#)
 3 [concentration; average EC values are provided \$\pm\$ 95% confidence limit values in brackets \(n = 3\).](#)

4

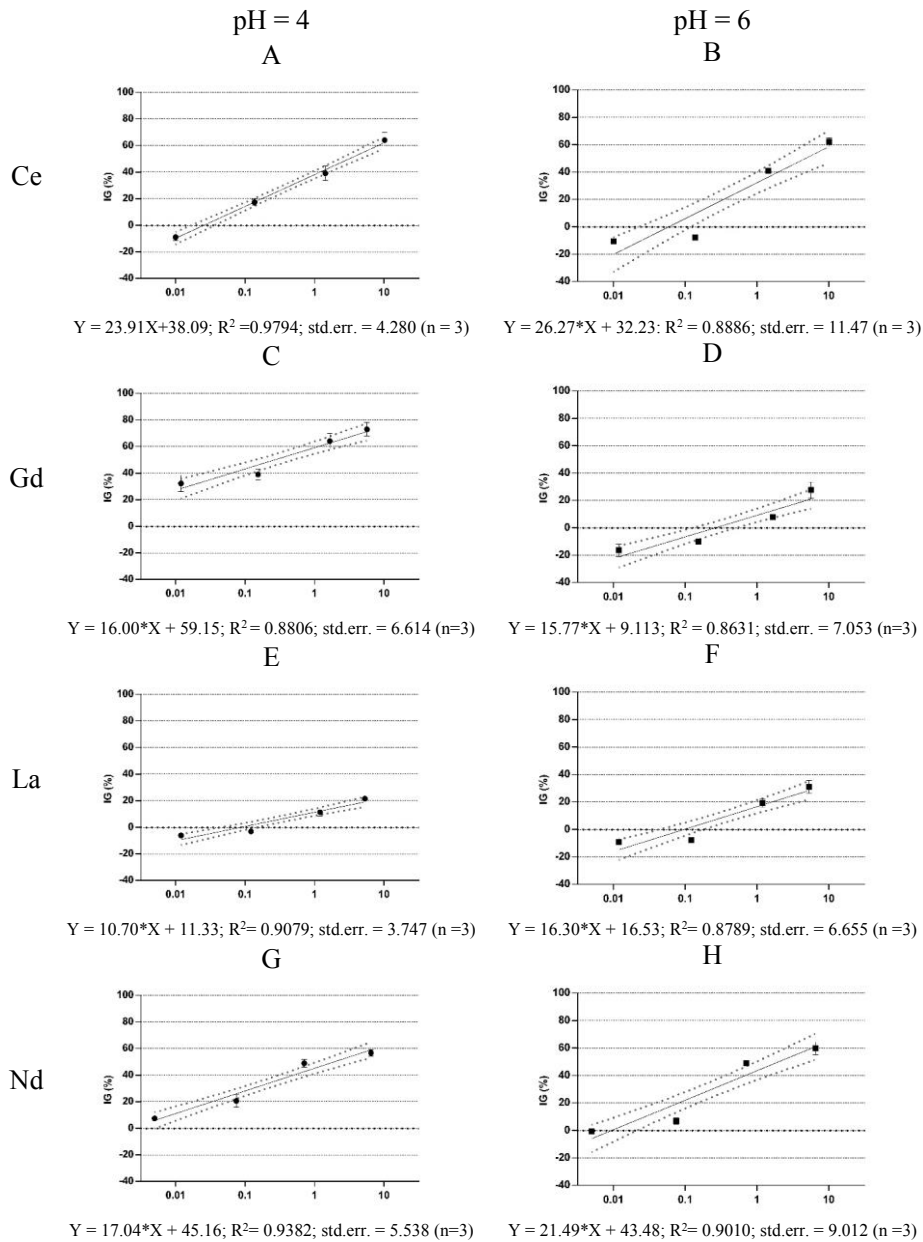
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pH	REEs	EC5	EC10	EC50
4	Ce	0.04 (0.02-0.10)	0.07 (0.03-0.16)	3.15 (1.36-7.19)
	Gd	0.0004 (0.000009- 0.012)	0.0008 (0.00002- 0.02)	0.267 (0.009-5.30)
	La	0.256 (0.007-1.15)	0.751 (0.02-3.21)	n.a.
	Nd	0.004 (0.0001-0.096)	0.008 (0.0003-0.187)	1.860 (1.036 to 3.34)
6	Ce	0.09 (0.00- 0.25)	0.014 (0.00- 0.38)	4.75 (0.04- 10.99)
	Gd	0.553 (0.012-0.096)	1.136 (0.023- 0.211)	n.a.
	La	0.196 (0.002-0.37)	0.398 (0.005-0.714)	n.a.
	Nd	0.01 (0.001-2.16)	0.0276 (0.002-3.64)	1.856 (0.973 – 3.54)

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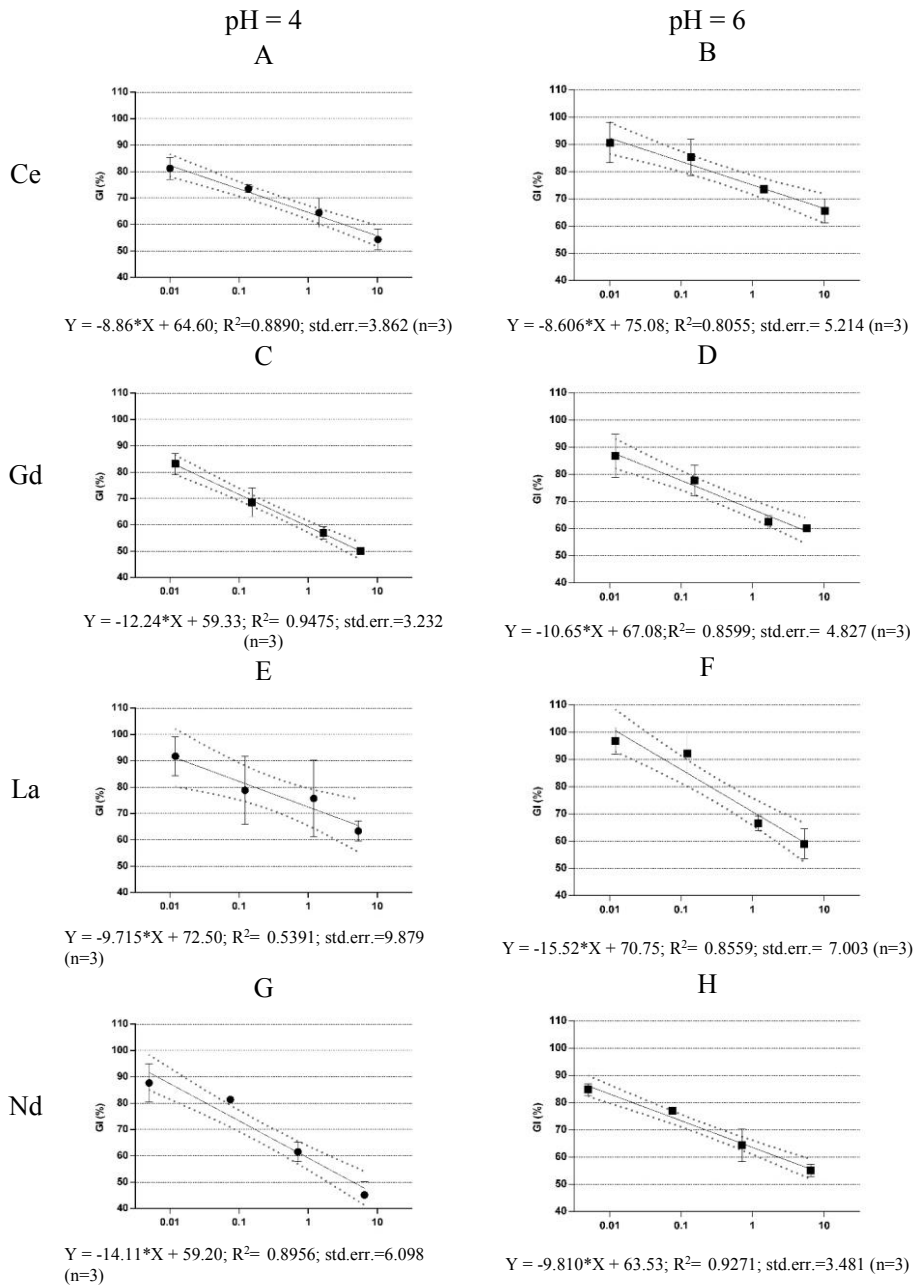
1 [Figure 1](#) Concentration-response relationship at pH 4 and 6 of Ce (A and B), Gd (C and D), La (E and F), and
 2 Nd (G and H) exposed to *R. subcapitata*; concentrations in the x-axis are expressed as mg/L; IG = inhibition
 3 of growth.



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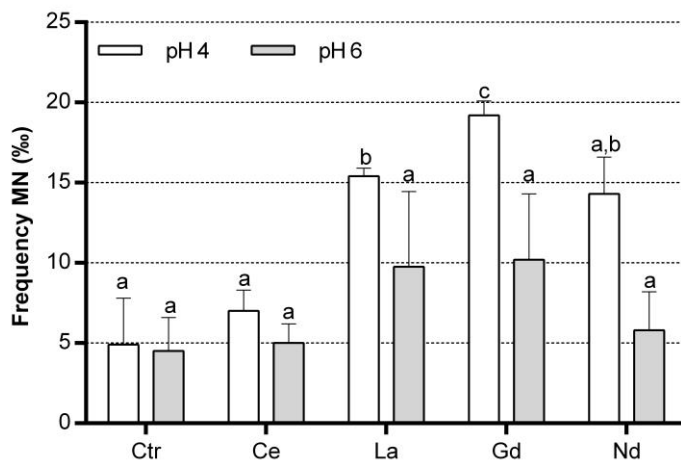
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6 Figure 2 Concentration-response relationship at pH 4 and 6 of Ce (A and B), Gd (C and D), La (E and F), and
 7 Nd (G and H) exposed to *L. sativum*; concentrations in the x-axis are expressed as mg/L; GI = germination
 8 index.



Formatted Table

10 Figure 3 Frequency of micronuclei (MC) in *V. faba* root exposed to Ce (0.010 mg/L), Gd (0.012 mg/L), La
11 (0.012 mg/L), and Nd (0.005 mg/L) at pH = 4 and 6; letters (a-c) correspond to significantly different data
12 (Tukey's test, $p < 0.05$); ctr = negative control; error bars indicate standard errors (n=3).



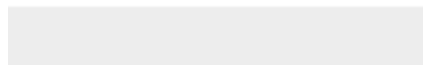
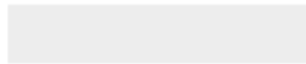
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Credit author statement

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: