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## INVESTIGATING NEUROINFLAMMATION IN SPORADIC AND LRRK2-ASSOCIATED PARKINSON'S DISEASE

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### DOCTORAL THESIS

# Investigating neuroinflammation in sporadic and LRRK2-associated Parkinson's disease

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A thesis submitted in fulfillment of the requirements for the degree of Doctor of Philosophy in Biology

in the

Molecular and Functional Neurobiology Group Luxembourg Centre for Systems Biomedicine

## **Declaration of Authorship**

I, Katja BADANJAK, declare that this thesis titled, "Investigating neuroinflammation in sporadic and LRRK2-associated Parkinson's disease" and the work presented in it are my own. I confirm that:

- This work was done wholly or mainly while in candidature for a research degree at this University.
- Where any part of this thesis has previously been submitted for a degree or any other qualification at this University or any other institution, this has been clearly stated.
- Where I have consulted the published work of others, this is always clearly attributed.
- Where I have quoted from the work of others, the source is always given. With the exception of such quotations, this thesis is entirely my own work.
- I have acknowledged all main sources of help.
- Where the thesis is based on work done by myself jointly with others, I have made clear exactly what was done by others and what I have contributed myself.

Signed:		
Date:		

"...it is the struggle itself that is most important. We must strive to be more than we are. It does not matter that we will never reach our ultimate goal. The effort yields its own rewards."

Lt Cmdr Data (Star Trek: The Next Generation)

## **Abstract**

# Investigating neuroinflammation in sporadic and LRRK2-associated Parkinson's disease

by Katja BADANJAK

Inflammatory responses are evolutionarily conserved reactions to pathogens, injury, or any form of a serious perturbation of a human organism. These mechanisms evolved together with us and, although capable of somewhat adapting, innate responses are gravely impacted by prolonged human lifespan. Better sanitary measures, health systems, food and medicine supply have prolonged human life expectancy to ~72 years. Aging is characterized by prolonged, chronic (often low-grade) inflammation. With tissue and cellular defense mechanisms becoming dysfunctional over time, this inflammation becomes detrimental and destructive to the human body.

Aging is a major risk factor for Parkinson's disease (PD), a movement disorder characterized by the loss of dopaminergic neurons. Even though the disease is predominantly idiopathic, genetic cases are contributing to a better understanding of the underlying cellular and neuropathological mechanisms. In comparison to neuronal demise, the contribution of microglia (the immune cells of the brain) to PD is relatively understudied. Initially studied in PD patient-derived postmortem tissue, novel *in vitro* technologies, such as induced pluripotent stem cells (iPSCs), are permitting the generation of specific cell types of interest in order to study disease mechanisms.

We derived microglia cells from iPSCs of patients and healthy or isogenic controls to explore (shared) pathological immune responses in LRRK2-PD and idiopathic PD. Our findings suggest a significant involvement of microglia cells in the pathogenesis of PD and highlight potential therapeutic targets in alleviating overactive immune responses.

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My deepest gratitude goes to my family. To my parents and sisters who encouraged me to undertake this journey, who were always there in the most difficult times, and never allowed me to give up, thank you. Biggest thanks to my friends in Croatia who, despite being far away, would always be there for me when I came back.

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## List of Abbreviations

**BBB** Blood-brain barrier

ccf-mtDNAcGASCyclic GMP-ATP synthaseCNSCentral nervous system

**DAMP** Damage-associated molecular pattern

**GPNMB** Glycoprotein non-metastatic melanoma protein B

IL1 $\beta$ Interleukin betaIL6Interleukin 6IFN- $\gamma$ Interferon gamma

IPD Idiopathic Parkinson's diseaseiPSCs Induced pluripotent stem cells

**LB** Lewy body

**LBD** Lewy body disorder

**LN** Lewy neurites

LRRK2 Leucine-rich repeat kinase 2

mtDNA Mitochondrial DNA

MHC-II Major histocompatibility complex 2

**NLRP3** NOD-, LRR- and pyrin domain-containing protein 3

**PAMP** Pathogen-associated molecular pattern

PD Parkinson's disease

PSP Progressive supranuclear palsyRBD REM sleep behavior disorderROS Reactive oxygen species

**RNAseq** RNA sequencing

sc-RNAseq Single-cell RNA sequencingsn-RNAseq Single-nuclei RNA sequencing

**SN** Substantia nigra

SND Substantia nigra degeneration
 SNpc Substantia nigra pars compacta
 TNF-α Tumor necrosis factor alpha

## 1 Introduction

### 1.1 Parkinson's disease

Parkinson's disease (PD) is globally the fastest growing neurological disorder in terms of prevalence, disability, and deaths (GBD 2015 Neurological Disorders Collaborator Group, 2017). It is the most common type of parkinsonism, a condition resulting in a combination of movement disabilities: postural instability, muscular rigidity, bradykinesia, freezing, flexed posture, and tremor (Fahn et al., 2011).

While age is the most important risk factor, the disease is further associated with environmental factors such as exposure to pollution, heavy metals, and pesticides (GBD 2015 Neurological Disorders Collaborator Group, 2017). The expected increase in life expectancy and industrialization will further increase the incidence and duration of PD. Between 1990 and 2016, the incidence of PD has doubled: from 2.6 million to more than 6 million patients worldwide (GBD 2016 Parkinson's Disease Collaborators, 2018). If this trend continues, by 2040, the number of cases will exceed 12 million, with some estimates predicting even a staggering number of 17 million patients. The prevalence of PD is increasing so dramatically that it is considered as the pandemic of modern times (albeit of non-infectious kind), with the risk of developing PD during one's lifetime being 1 in 15 (Dorsey et al., 2018; Wanneveich et al., 2018). Continuous scientific efforts to explain the disease mechanisms are crucial in developing therapies that not only ameliorate the symptoms, but also halt the disease progression.

PD was first studied more than 200 years ago by James Parkinson, who provided initial clinical insights and described the motor symptoms of six patients (Parkinson, 2002). By now, it is known that most PD cases are suffering from an idiopathic (sporadic) form, while 10% of patients carry a mutation in one of the established PD genes.

At the cellular level, the main hallmark of PD is the progressive loss of dopaminergic neurons in the *substantia nigra pars compacta* (SNpc) of the midbrain, which consequently leads to the movement deficits outlined above. In addition, the formation of Lewy bodies (LB) and Lewy neurites (LN) are a key pathological hallmark of PD. Both are due to inclusions of  $\alpha$ -synuclein protein aggregates found in neurons of PD patients (Baba et al., 1998; Mahul-Mellier et al., 2020; Spillantini et al., 1998). Genetic mutations in the *SNCA* gene (which encodes the  $\alpha$ -synuclein protein) are causative of PD, while *SNCA* variants can still increase the risk of developing the disease (Krüger et al., 1998; Lill and Klein, 2017). The propagation of  $\alpha$ -synuclein through the different brain regions was suggested as a means of classifying the stages of PD pathogenesis (Braak et al., 2003). Additionally, the aggregation of  $\alpha$ -synuclein in the form of LBs or LNs is not a characteristic feature found in all PD cases. Thus, it still needs to be elucidated if this disease hallmark is a cause or a consequence of the complex and heterogeneous disorder (Gaig et al., 2007; Johansen et al., 2018; Schneider and Alcalay, 2017).

# 1.2 Clinical features of idiopathic and LRRK2-associated Parkinson's disease

Mutations in LRRK2 are the most frequent cause of genetic PD, comprising around 5% of all autosomal dominant familial PD cases, and around 1-2% of all idiopathic PD (IPD) patients (Marras et al., 2011). While multiple genetic variants are known, G2019S is the most common mutation in LRRK2. Several studies show that this nucleotide change has incomplete penetrance (Kachergus et al., 2005; Latourelle et al., 2008). Furthermore, LRRK2 disease penetrance among cases in families with PD is higher than that of sporadic PD. This finding, together with the presence of LRRK2 phenocopies (PD-manifesting relatives of G2019S mutation carriers, who do not carry the mutation) in LRRK2-affected families, suggests the role of additional genetic modifiers and/or environmental factors as contributors to the disease manifestation (Latourelle et al., 2008). Interestingly, the clinical phenotypes of LRRK2-PD and IPD are similar, but with some major motor and non-motor differences. Tremor is more prominent in LRRK2-PD when compared to IPD. Although disease severity, falls, dyskinesia, and overall motor function decline are lower and more benign in LRRK2-PD. Regarding non-motor symptoms, LRRK2 individuals have better cognitive and olfactory function (although still abnormal), lower levels of depression, but worse performance on color discrimination tests (Healy et al., 2008; Marras et al., 2011; Saunders-Pullman et

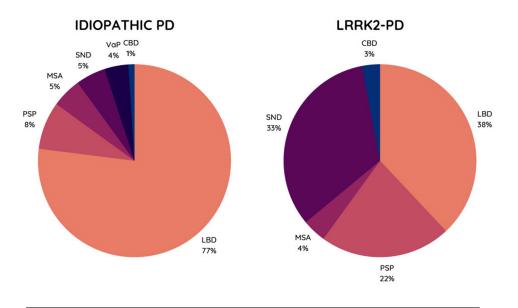


Figure 1. Frequency of neuropathological features in idiopathic and LRRK2-PD. LBD-Lewy body disorder; PSP-progressive supranuclear palsy; MSA-multiple system atrophy; SND-substantia nigra degeneration; VaP-vascular parkinsonism; CBD-corticobasal degeneration (adapted from (Chittoor-Vinod et al., 2021)).

al., 2018; Shu et al., 2018). Finally, sleep disturbances are reported to be another non-motor symptom. A study showed LRRK2-PD patients experiencing more frequent sleep onset insomnia, but more discreet REM sleep behavior disorder (RBD) compared to IPD patients (Pont-Sunyer et al., 2015). Even though the clinical features of IPD and LRRK2-PD largely overlap, upon studying post-mortem tissues, major differences with regard to pathological features were discovered. LRRK2 parkinsonism has more heterogeneous neuropathology, with Lewy body disorder (LBD), substantia nigra degeneration (SND), and progressive supranuclear palsy (PSP) being the main observed post-mortem findings (Figure 1.). On the other hand, IPD brains displayed primarily LBD tissue pathology (Chittoor-Vinod et al., 2021).

## 1.3 Manuscript I

# The contribution of microglia to neuroinflammation in Parkinson's disease

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### 1.3.1 Preface

So far, PD research has primarily been focused on various neuronal aspects of the disease, i.e. the relevance of neuronal demise in terms of PD pathogenesis, clinical symptoms, and potential therapies. Relatively recently, microglia started to emerge as important contributors to neuronal cell death. Thus, we decided to summarize the current literature concerning the contribution of microglia to PD, hoping that such an overview would benefit the scientific community studying this disease.

In this review, we explored the role of innate immune signaling in the pathogenesis of PD. We focused on microglial function and findings from single-cell (sc) and single-nuclei (sn) RNAseq datasets. Furthermore, we discussed different animal and iPSC-derived genetic models of PD, and presented intriguing perspectives of 3D cell culture technologies. Lastly, we highlighted immunotherapies that either modulate the immune response or prevent the accumulation of  $\alpha$ -synuclein with the aim to delay the onset of PD.

I contributed to this review by being in charge of sections 1 (Introduction), 3 ([Neuro] Inflammation in PD), and 4 (Disease Modeling: Animal Models, Patient-Derived iPSC models, and Cell-Based 3D Models and Platforms). In addition, I contributed to the writing of the abstract and the generation of all figures.





Review

## The Contribution of Microglia to Neuroinflammation in Parkinson's Disease

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**Abstract:** With the world's population ageing, the incidence of Parkinson's disease (PD) is on the rise. In recent years, inflammatory processes have emerged as prominent contributors to the pathology of PD. There is great evidence that microglia have a significant neuroprotective role, and that impaired and over activated microglial phenotypes are present in brains of PD patients. Thereby, PD progression is potentially driven by a vicious cycle between dying neurons and microglia through the instigation of oxidative stress, mitophagy and autophagy dysfunctions, a-synuclein accumulation, and pro-inflammatory cytokine release. Hence, investigating the involvement of microglia is of great importance for future research and treatment of PD. The purpose of this review is to highlight recent findings concerning the microglia-neuronal interplay in PD with a focus on human postmortem immunohistochemistry and single-cell studies, their relation to animal and iPSC-derived models, newly emerging technologies, and the resulting potential of new anti-inflammatory therapies for PD.

**Keywords:** neuroinflammation; microglia; brain; neurodegeneration; animal models; iPSC; Parkinson's disease



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### 1. Introduction

### 1.1. Parkinson's Disease

Parkinson's disease (PD) is one of the most common neurodegenerative disorders with prevalence of around 1% in people aged above 65 years [1]. It is caused by a chronic and progressive loss of dopaminergic neurons in the substantia nigra (SN) pars compacta (pc) [2]. Despite great advances in research, it is still an elusive field of medical science, with around 10% of all cases being of genetic and others of idiopathic origin [3]. Genetic forms of PD include mutations in 23 loci, some of which have been studied intensely in the past two decades: LRRK2 (PARK8), SNCA (PARK1), PRKN (PARK2), PINK-1 (PARK6), and DJ-1 (PARK7) [4,5]. Age is considered a major risk factor for the development of PD [6,7]. However, in addition, the genetic predisposition and exposure to environmental factors contribute to pathogenesis, making PD an age-related multifactorial disease. Environmental factors such as herbicides or pesticides can induce oxidative stress, DNA damage, and neuronal cell death [8]. The demise of dopaminergic neurons leads to lower levels of dopamine that is released into the striatum and thus, with progression of the disease, patients endure characteristic motor symptoms (tremor, rigidity, bradykinesia), cognitive decline (dementia) and even psychiatric signs (depression, apathy, anxiety) coupled with constipation and sleep disturbances [1,9,10].

A first clue to what might be the underlying mechanism of parkinsonism symptoms came unexpectedly in 1982 from a group of drug addicts who, after injection of a synthetic heroin, began experiencing symptoms commonly seen in PD patients. The drug was found

to be 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP). MPTP, when transformed into its ion 1-methyl-4-phenylpyridinium (MPP+), acts as a highly potent initiator of neuronal cell death through inhibition of complex 1 of the respiratory chain machinery in mitochondria [11,12]. Besides the demise of dopaminergic neurons and mitochondrial dysfunction, other molecular pathologies have been linked to PD since the late 20th century. They include aggregation of  $\alpha$ -synuclein, formation of  $\alpha$ -synuclein-containing Lewy bodies and neurites, somatic mtDNA alterations, autophagy dysfunction, and activation of microglia cells [13–17].

As of today, most common therapies are purely symptomatic, and they usually include substitution of dopamine by administering the drug Levodopa. Current treatments also have their drawbacks: 1. they only relieve some of the symptoms; 2. they do not slow the progression of the disease; 3. they have limited long-term efficacy. Therefore, PD researchers have turned their focus on how to diagnose the disease during its early phases. Motor symptoms occur at a rather late stage of the disease—only after 50% of dopaminergic neurons have been lost, patients experience impaired movement [18]. Thus, there is hope that with the discovery of early-stage biomarkers, we will be able to target molecular impairments and inflammatory phenotypes during the prodromal phase of the disease, thereby preventing the worst motor symptoms [19]. Among others, promising biomarkers would be indicators of inflammation, cytokines and chemokines, released by microglia into the extracellular space.

#### 1.2. Microglia

Microglia are immune cells of the brain, representing the neural tissue's defense system [20]. Microglia cells were first discovered more than 100 years ago by Pío Del Río Hortega, using a silver carbonate staining method which allowed identifying them in brain tissue samples. He called microglia "the true third element" in addition to neurons and astrocytes [21]. He described microglia as a heterogeneous cell type with various morphologies ranging from highly ramified to ameboid shaped cells providing a first indication for the existence of microglia sub-populations [22].

During fetal development, microglia migrate from the yolk sac as primitive macrophages to the central nervous system's (CNS) neuroepithelium. In the CNS, they constitute up to 12% of all cells, and their density changes depending on the brain region [23]. Early studies in mice showed that bone-marrow-derived hematopoietic cells move to the CNS, where they differentiate into microglia-like cells. Accordingly, microglia cannot be replenished from the internal CNS pool but peripheral cells need to be recruited to maintain a sufficient number of microglia [24]. By contrast, these studies employed whole-body irradiation techniques, which led to impaired blood-brain barrier (BBB) integrity, giving rise to peripheral cell entry. With innovative conditional cell depletion techniques, it was recently shown that microglia have the ability to self-renew, and that interleukin-1 (IL-1) signaling is enabling this process [25]. Just like the abundance of microglia is region-specific, microglial morphology varies from brain area to brain area. In a resting state, microglia survey the brain microenvironment and show ramified morphology. Surveillance encompasses multiple functions: clearance of accumulated or deteriorated neuronal and tissue elements, dynamic interaction with neurons whilst regulating the synaptic pruning process, and maintaining overall brain homeostasis [26,27]. Once activated upon brain damage and certain host or non-host stimuli, microglia are quickly undergoing a morphology change into an ameboid-like form, coupled with the release of inflammatory molecules, cytokines and chemokines. With regard to their activation, microglia are commonly divided into two classes: M1 (pro-inflammatory) or M2 (anti-inflammatory). Even though, by now, it is known that the states of activation are much more heterogeneous

New approaches are being developed to determine sub-populations of microglia, mostly through single-cell gene expression studies and by determining fine morphological differences using computational methods [28–30]. With age, microglia tend to express more IL-1 $\beta$  and they become more phagocytic in nature compared to microglia from younger

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> brains [31–33]. These phenotypic changes over time can influence their ability to function normally and attain the neuronal homeostasis and support. Eventually, an accumulation of non-functional, senescent microglia could contribute to irreversible and progressive neurodegeneration in PD.

#### 2. Human Post-Mortem Tissue Studies

The first neuropathological evidence suggesting an involvement of microglia in PD was published in 1988 [14]. Compared to age-matched non-neurologic cases, the authors observed an enrichment of reactive microglia in post-mortem SN samples from PD patients characterized by the activation of human major histocompatibility class II (MHC2) proteins which function in antigen presentation and inflammatory signalling. While these MHC2positive cells covered the whole range of microglia morphologies, the reactive ameboid shape was strongly enriched indicating the association with a neuropathological activity.

A more recent study confirmed these observations in post-mortem SN tissue of PD patients and additionally described MHC2-positive microglia in the putamen, transentorhinal cortex, cingulate cortex and the temporal cortex of these individuals [34]. Further analysis in PD post-mortem brain tissue also revealed a correlation between ameboid shaped microglia appearance and  $\alpha$ -synuclein pathology in the SN and the hippocampus (HPC), as well as a SN-specific Toll-like receptor 2 upregulation in these MHC2-positive microglia. Altogether, this work implicated a region-dependent and possibly disease stage-dependent microglia heterogeneity in PD [34].

To complement these morphology-based analyses of microglia heterogeneity on the transcriptional level, single-cell laser capture microscopy was applied to microglia in postmortem PD brains [35]. This approach allowed exclusively collecting microglia, thereby creating an advantage over common bulk RNA analyses of brain homogenates, which are either contaminated by other cell types or exhibit sorting-induced skewed RNA profiles. The single-cell study revealed that the transcriptional profiles of microglia differ between brain regions (SN, HPC) in both control subjects and PD patients. PD samples exhibited unique active pathways compared to controls, including inflammation-related aldosterone and reactive oxygen species metabolism. A comparison of isolated microglia from the HPC or the SN in PD samples showed that biological pathways linked to behavior, regulation of transport and synaptic transmission were among the most differentially regulated ones. Thus, this study was the first to demonstrate microglia transcriptional heterogeneity when comparing different brain regions from control subjects and PD patients.

During the last decade, high-throughput techniques for single-cell RNA sequencing (scRNAseq) have been developed, which have revolutionized our capability to decipher cellular heterogeneity at the transcriptional level. Most of these high-throughput methods rely on the dissociation of the sample into individual cells and can therefore mainly be applied to cultured cells, frozen tissue from young animals or fresh tissue.

A comprehensive cross-species single-cell microglia transcriptomic analysis in brain tissue confirmed the existence of different microglia transcriptional subpopulations in animals and humans. In addition, the study revealed that human microglia heterogeneity is more complex in humans than any of the tested animal species. Especially microglia pathways suspected to be implicated in neurodegenerative diseases such as the complement system and phagocytosis, as well as PD risk factors are more profoundly expressed in humans compared to rodents as the typical animal model [36].

To circumvent dissociation issues with more mature and solid samples, the RNA sequencing methodology was adapted to single-nuclei (sn). snRNAseq does not rely on intact cells but intact individual cell nuclei. This approach is applicable to frozen human post-mortem brain tissue, the most accessible sample type provided by biobanks (in light of the general unavailability of fresh brain tissue samples from living patients).

One of the first snRNAseq studies of human cortical and nigral tissue was performed by Agarwal and colleagues [37]. They created a single-cell atlas based on healthy control tissue. Their analysis indicated an enrichment in PD risks variants in neurons. By contrast, Int. J. Mol. Sci. 2021, 22, 4676 4 of 23

they reported no such association in microglia. On the one hand, the lack of PD risk association in microglia may be due to reduced sensitivity of snRNAseq compared to scRNAseq [38] that suggests that snRNAseq might not be ideal to recover microglial states in human tissue. On the other hand, it is possible that exclusively employing control brain samples may mask PD associations in certain cell types. This hypothesis is supported by our own results. Applying snRNAseq analysis to frozen post-mortem midbrain tissue from idiopathic PD patients and controls, we identified a disease-specific upregulation of microglia [39]. In PD patients, activated microglia split into two activation branches; one with GPNMB-high microglia and the other with IL-1β-high microglia. Moreover, employing immunofluorescence and quantitative imaging analyses, we showed that the increase in microglia abundance is restricted to the PD nigra. Additional morphological analyses confirmed that PD patient microglia are more amoeboid supporting cell activation in the SN [39]. Contrary to the findings by Agarwal et al., we observed a significant PD risk variant enrichment in microglia and neurons when focusing on patient midbrain sections. For example, variants in LRRK2 were highly associated with PD in microglia, which is in accordance with previous research [40].

Overall, very few sc- or snRNAseq datasets from post-mortem brain tissue from PD patients have been published so far, certainly due to the only very recent availability of advanced RNAseq techniques (Table 1). This low number of studies, which typically include only few samples, also does not yet allow for a definitive conclusion about the exact relevance of microglia transcriptional heterogeneity but strongly suggest a functional role of microglia in PD.

Based on the above-mentioned studies, human microglia are considerably more heterogeneous in terms of morphology and transcriptomics when comparing different brain regions than rodents and possibly show disease-specific signatures. These findings highlight the need for further in-depth studies in human tissue to characterize the role of microglia heterogeneity in PD. Beyond human postmortem brain tissue, also the application of single-cell technologies to iPSC-derived patient models may be useful to further elucidate microglia functions and mechanisms in PD (see Section 4.2 for information on this topic).

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	Major Outcomes	Regional heterogeneity (inter- and intra-condition), PD-specific active pathways including inflammation-related aldosterone and reactive oxygen species metabolism	Complex human microglia heterogeneity, Neurodegeneration- linked pathways and PD risk factors most profoundly expressed in human microglia	PD risk variants in neurons but not in microglia	Disease-specific upregulation of microglia, into two branches: GPNMB-high resp. IL-1β-high microglia PD risk variants enrichment in neurons and microglia
	Analyzed Microglia	Control SN $n = 3600$ microglia cells, control CA1 $n = 3600$ microglia cells, PD SN $n = 3600$ microglia cells, PD CA1 $n = 3600$ microglia microglia cells,	n = 1069 microglia cells	Cortex $n = 500$ microglia nuclei, $SN n = 325$ microglia nuclei	n = 3903 microglia nuclei
studies.	Method	RT-PCR of immunolabeled (LN3) laser-captured microdissected microglia	scRNAseq (MARS-seq2.0)	snRNAseq (10X Genomics Chromium Single Cell Kit [v2 chemistry])	snRNAseq (10X Genomics Chromium Next GEM Single Cell 3' Kit v3.1)
1. Overview of human single cell and single nuclei studies	Tissue State	Post-mortem frozen unfixed	Fresh (surgically removed excess tissue surrounding epileptic focal)	Post-mortem frozen unfixed	Post-mortem frozen unfixed
uman single cel	Age (Years)	controls: 73.6 $\pm$ 6 PD patients: 74.6 $\pm$ 15.6	9-55	55-70	Controls: 65–95; PD patients: 65–85
. Overview of h	Gender	All M	3 F, 3 M	Cortex: 1 F, 3 M; SN: 2 F, 4M	Control: 1 F, 5 M; 5 M; PD: 1 F, 4 M
Table 1	Brain Region	SN, HPC (CA1)	Various	Cortex (middle frontal gyrus), SN (central portion at the level of the third nerve encompassing both ventral and dorsal tiers)	Midbrain
	Samples	Controls: $n = 6$ , PD patients: $n = 6$	controls: $n = 6$	Controls: $n = 5$	Controls: $n = 6$ , PD patients: $n = 5$
	Condition	Age-matched control subjects (absence of PD pathology), PD patients	Control subjects (absence of neuropathology)	Age-matched control subjects (no neurological disease)	Age-matched control subjects, idiopathic PD patients
	Reference	Mastroeni et al. 2018 [35]	Geirsdottir et al. 2019 [36]	Agarwal et al. 2020 [37]	Smajic et al. 2021 [39]

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### 3. (Neuro) Inflammation in PD

With a high degree of neuroplasticity and with low capacity to self-regenerate, the brain is especially sensitive to outer stimuli and injury. In addition, being protected by a blood-brain and blood-cerebrospinal fluid barrier, the brain was considered an "immune-privileged" organ. By contrast, in the last decade, this perception has been challenged and studies have changed our understanding of the immune response in the brain.

Inflammatory processes are considered to be a "double-edged sword": on the one hand, they help to clear out toxins and unwanted pathogens, while on the other hand, they promote cytotoxicity and neurodegeneration. Several studies suggested mechanisms of immune response and how they can influence neuronal cell death in PD. Already by the end of the 20th century, cytokines and complement proteins, which are components of the innate immune system, were found to be upregulated in brain, cerebrospinal fluid (CSF) and sera of PD patients [41]. Specifically, TNF $\alpha$ , Il-1 $\beta$  and IL6 were discussed as possible PD biomarkers [42–45]. In addition, Mount and colleagues showed a rise of IFN- $\gamma$  plasma levels in PD patients compared to healthy controls [46]. Interestingly, the concentration of these markers in peripheral tissues might depend on PD severity and progression. Higher levels of TNF $\alpha$  in serum were characteristic of PD patients with more severe clinical features of impaired cognition, depression, sleep disturbances and fatigue [47,48]. As those studies identified that some of the prodromal symptoms are coupled with an underlying inflammatory response, the question arises whether inflammation really is only a consequence, rather than an active contributor to neurodegeneration.

The adaptive immune response has also been associated with neuropathological features of PD and, initially, the importance of microglial Fcy receptor was studied. Immunoglobulins from PD patients activated Fcy receptor positive (Fcy R+/+) microglia cells in mice, which further promoted dopaminergic neuron cell death, while Fcy R-/- microglia are not activated and no neuronal loss is detected [49]. There are a number of candidates in PD pathology capable of eliciting immune response and in vitro studies are focusing on components released by dying neurons. Significantly increased levels of autoantibodies were found against neuronal cells, brain lysate, and dsDNA accompanied with significantly higher titers of all three in sera of PD patients compared to healthy individuals [50]. Corroborating this, some studies focused on investigating the amount of mitochondrial DNA (mtDNA) in serum of PD patients. MtDNA, like some other mitochondrial compounds, is considered a damage-associated molecular pattern (DAMP), triggering pro-inflammatory signalling [51,52]. Our own biomarker study revealed higher serum levels of circulating cell-free mtDNA in patients with mutations in PRKN or PINK1 compared to patients with idiopathic PD (IPD) [45]. On another note, studies also showed PD patients having higher autoantibody levels against α-synuclein in blood serum. Those differences highly correlate with inheritance mode of the disease but not with other disease-associated factors, while IPD cases were not significantly different from healthy controls [53]. A different study also showed increased levels of antibodies towards monomeric  $\alpha$ -synuclein in serum of PD patients compared to controls, but ELISA responses reduced as PD progressed [54]. Neuromelanin is another neuronal component implicated in the disease. PD patients had elevated levels of autoantibodies against melanin in sera samples. Interestingly, ELISA absorbance response was significantly and negatively correlated with disease duration [55].

With regard to the adaptive immune system, studies investigating postmortem brain samples found reactive CD4+ and CD8+ T cells in postmortem brain samples from PD patients [56,57], suggesting a "porous" BBB and an impact of additional inflammatory mechanisms on the CNS. Furthermore, in the periphery, PD patients have elevated numbers of Th17 cells, which primarily produce IL-17. Interestingly, IL-17 was also found to have a detrimental effect on dopaminergic neuron iPSC-derived autologous cultures [57]. Another study, which explored peripheral immunity in PD, found a reduction in CD4+ T cells in patients. In addition, these cells were observed to preferentially differentiate towards a Th1-reactive state [58]. Recent PD research also showed differentially expressed genes in peripheral blood mononuclear cells (PBMCs), with patients presenting an elevated

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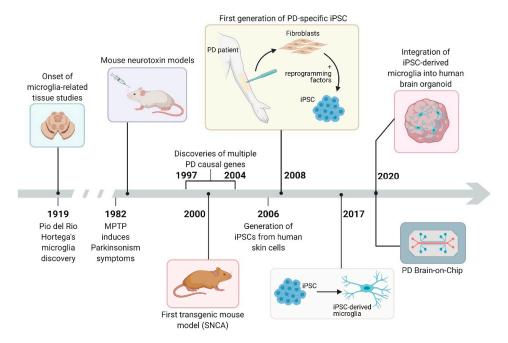
abundance of CD49d+ Treg cells, which are known to suppress inflammatory processes [59]. When investigating the interaction of microglia and peripheral immune cells in PD, a recent study in rats found an important role of infiltrating T cells. These cells induce a microglial pro-inflammatory response to  $\alpha$ -synuclein through upregulation of microglial MHC-II. In turn, this cell-cell communication causes neuronal cell death [60]. Considering the previously mentioned phenomenon of a "leaky" BBB in PD, the above-described phenotypes might be a mere consequence of dysfunctional pathways in brain cells, which release DAMPs into the periphery, causing an ongoing inflammatory loop. It is yet to be investigated how peripheral and CNS immune regulation is achieved in PD. These studies provide insights into the early immune response in PD and highlight novel targets for immunotherapies, which are further discussed in Section 5.

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## 4. Disease Modeling: Animal Models, Patient-Derived iPSC Models, and Cell-Based 3D Models and Platforms

Since the discovery of microglia cells more than 100 years ago, multiple models have been designed to study inflammation in PD. Some of the most widely used models are summarized in Figure 1. In next sections, we will summarize key studies performed in animal and human patient-derived microglial model systems.



**Figure 1.** Historical overview of the different model systems used in PD microglia research. Figure created using BioRender.com.

### 4.1. Animal Models

PD animal studies may be either of genetic or neurotoxin nature. In vivo animal models are an essential part of pre-clinical trials in drug development. They are highly valuable when investigating the relevance of mutations, tissue pathology, motor symptoms and behavioral patterns in many diseases [61]. In addition, although these models cannot exhibit full neuropathological mechanisms, the progressive nature of PD and sometimes even robust neurodegeneration, they have proven to be invaluable resources of knowledge for researchers. Furthermore, in vitro models include primary microglia isolated from mice or rats, and immortalized murine microglia cell lines like BV-2, which can be greatly expanded and ultimately lead to reduction of animals used in studies. In the next section,

we will briefly summarize the inflammatory phenotypes observed in in vivo and in vitro models of PD.

#### 4.1.1. Neurotoxin Model

Not long after the discovery that mitochondrial respiratory chain inhibition can induce parkinsonism symptoms in healthy individuals, scientists around the world studied the neurotoxic effects of such agents in invertebrate, vertebrate and non-human primates model systems and immortalized cell lines. Among the most commonly used neurotoxins is MPTP, while other studies have also investigated the impact of rotenone or paraquat.

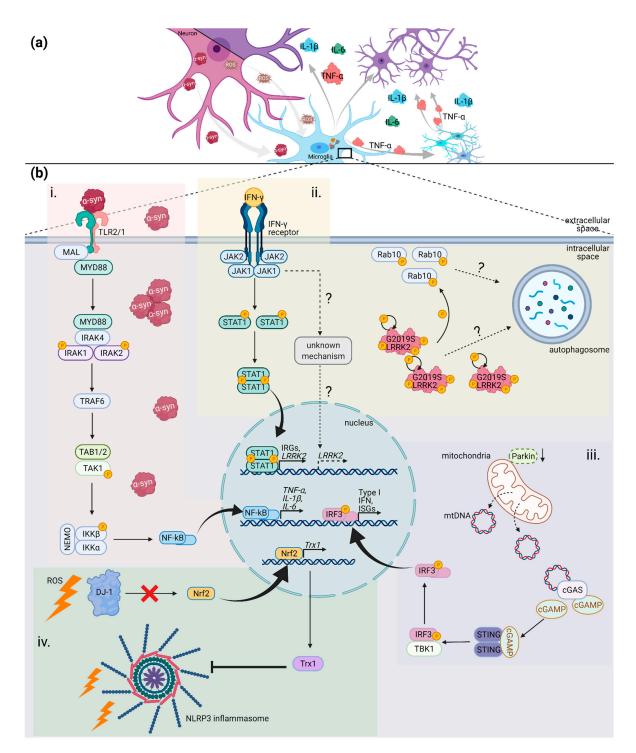
One of the first studies performed in mice with MPTP-induced PD found a significant increase in microglia numbers and altered/activated microglia morphology in the SN early upon treatment, while dopaminergic neurons were depleted days after microglial activation [62]. Furthermore, blocking microglia activation with minocycline (a tetracycline compound with anti-inflammatory properties) after MPTP exposure not only prevented IL-1β formation but also diminished the demise of dopaminergic neurons. Minocycline treatment also reduced MPTP-induced iNOS activity, NADPH-oxidase (both enzymes known for microglia-mediated neurotoxicity), and TNF- $\alpha$  production [63,64]. TNF- $\alpha$ mRNA expression was upregulated in MPTP-treated mice compared to saline-treated animals. This increase preceded the loss of dopaminergic markers such as TH and dopamine, suggesting the involvement of inflammatory pathways prior to neurodegeneration. In addition, upon MPTP treatment, transgenic mice lacking TNF receptors did not exhibit dopamine or dopaminergic neuron loss [65]. Of note, MPTP-treated macaques had increased serum levels of IFN- $\gamma$  and TNF- $\alpha$  compared to controls, even 5 years after neurotoxin exposure. Monkeys with severe parkinsonism (displaying symptoms of rigidity and a curved posture) showed significant dopaminergic neuronal loss, and activation of microglia and astrocytes [66].

Using knockout (KO) mouse models, molecular mechanisms of IFN- $\gamma$  and TNF- $\alpha$  were further investigated. Upon MPTP treatment, IFN- $\gamma$  KO mice had a completely rescued phenotype, while TNF- $\alpha$  KO mice had a minor activation of microglia, thus implying a synergistic effect of TNF- $\alpha$  and IFN- $\gamma$  signaling pathways [66]. IFN- $\gamma$  was shown to have a significant role in promoting neuronal cell loss after rotenone treatment, but only in the presence of microglia. Treatment of dopaminergic neuron-microglia co-cultures with rotenone revealed that cultures with wildtype but not IFN- $\gamma$  receptor-deficient microglia had a significant loss of dopaminergic neurons [46]. In rats, rotenone together with lipopolysaccharide (LPS) is initiating neurodegeneration through microglia-mediated NADPH oxidase activation and release of ROS [67]. This NADPH activation was further found to be a direct consequence of HMGB1 (high-mobility group box 1) release from microglia and dying neurons upon ongoing LPS treatment, thereby propagating the detrimental inflammatory loop [68]. Although there have been reports that rotenone cannot directly activate microglia cells [69], additional work confirmed that the activation process is indeed mediated by p38-MAPK signaling [70].

### 4.1.2. SNCA

The aggregation of  $\alpha$ -synuclein and formation of a-synuclein-containing Lewy bodies and neurites have been established as hallmarks of PD pathology since the first immunohistochemistry analysis of SNpc tissue [13]. Duplication, triplication and point mutations (A30P, A53T, E46K, H50Q, and G51D) in the *SNCA* gene cause an autosomal dominant form of PD [71–76]. SNCA mouse models include  $\alpha$ -synuclein treatments, overexpression (OE) of human  $\alpha$ -synuclein, and transgenic models with inserted human  $\alpha$ -synuclein point mutations. Aggregation and neurotoxicity of a-synuclein are subsequent events of oxidative stress, genetic alterations and post-translational modifications like phosphorylation, nitration, ubiquitination and others [77]. Nitrated  $\alpha$ -synuclein can activate not only microglia, but also peripheral leukocytes, thus accelerating neurodegeneration [78,79]. Furthermore, when released, a-synuclein can trigger microglia activation and an inflammatory

response (Figure 2). In rat midbrain neuron-microglia co-cultures, extracellular  $\alpha$ -synuclein had a strong neurotoxic effect, which was mediated by an increase in ROS. Interestingly, neurotoxicity was abolished when microglia were depleted [80]. When priming microglia with  $\alpha$ -synuclein injected into the SNpc, mice were more susceptible to environmental insults [81]. This finding suggests a more intricate relationship between the CNS and the peripheral immune response. In addition, both, treatment of primary mouse microglia with  $\alpha$ -synuclein and  $\alpha$ -synuclein OE in mice, are known to give rise to microglial ROS, TNF- $\alpha$ , IL-1 $\beta$ , COX2, iNOS [82,83]. Furthermore,  $\alpha$ -synuclein exposure enhances the gene expression of toll-like receptors (TLRs) as well as of adapters and transcription factors such as MyD88 and NF- $\kappa$ B [84,85]. These results implicate  $\alpha$ -synuclein as a damage-associated molecular pattern (DAMP). In agreement with this hypothesis, the activation of TLRs was found to be temporally and regionally induced after  $\alpha$ -synuclein treatment, with an early response specifically in the nigrostriatal pathway [83]. Fibrils of  $\alpha$ -synuclein but not monomers, can activate the NLR family pyrin domain containing 3 (NLRP3) inflammasome, induce the production and release of IL-1β and cleaved caspase-1, and mediate the release of ASC specks into the extracellular space. Pre-treatment of mice microglia with the small-molecule NLRP3 inhibitor MCC950, ameliorated  $\alpha$ -synuclein-mediated inflammation [86,87]. With regard to point mutations in  $\alpha$ -synuclein, some studies have shown their greater potential to activate microglia compared to wildtype  $\alpha$ -synuclein [88–90]. Treatments with mutated α-synuclein triggered diverse phenotypes of microglia activation (based on cellular morphology and pro-inflammatory cytokine expression). While A53T-mutant  $\alpha$ -synuclein has shown the strongest effect, wt and E46K had little to no effect on microglia activation. In addition, A53T-mutant  $\alpha$ -synuclein is implicated in the NFκB/AP-1/Nrf2 pathway, which can lead to higher cytokine expression and elevated ROS levels (Figure 2) [88]. Together, these studies suggest a molecular link between  $\alpha$ -synuclein aggregation and neuroinflammation in PD.



**Figure 2.** Neuron-microglia cross talk and selected inflammatory pathways involved in PD. (a) Inflammatory loop initiated by neuronal demise and propagated by microglial dysfunction. (b) Inflammatory pathways associated with  $\alpha$ -synuclein (i), LRRK2 (ii), parkin (iii) or DJ-1 (iv) in the context of PD pathology. This figure was created with BioRender.com.

#### 4.1.3. LRRK2

Mutations in the leucine rich repeat kinase 2 (LRRK2) gene are the most common cause of PD, having been associated with up to 3% of idiopathic and 5–15% of all familial PD cases [91]. Pathogenic variants include R1441C and N1437H in the Ras-of-complex (ROC) domain, Y1699C in the C-terminal of ROC (COR) domain, and G2019S and I2020T in the kinase domain. Mutations in LRRK2 are autosomal dominantly inherited. The complex structure of the protein with several active domains may be one reason why the function of LRRK2 is still not fully understood. LRRK2 is predominantly expressed in immune cells (macrophages and monocytes) of the CNS, certain peripheral tissues, and blood, which led to the hypothesis that LRRK2 has its main function in innate immunity [92–94]. In this context, it is also important to note that single nucleotide polymorphisms (SNPs) in LRRK2 have been associated with higher susceptibility to bacterial infections and chronic inflammatory diseases such as Crohn's disease and leprosy [95–98]. Unlike other alterations in LRRK2, the most common mutation, G2019S, is not completely penetrant, meaning that not all individuals harboring the single nucleotide change will develop PD [99]. Thus, a "multiple-hit" model was suggested, where a "second-hit" is required for the onset of PD in a G2019S mutation carrier. This model is further corroborated by studies in transgenic LRRK2-mutant mice, which show little to no neurodegeneration [100,101]. Upon stimulation of LRRK2 R1441G transgenic mice with LPS, LRRK2 levels significantly increased [94]. Interestingly, although in primary microglia LRRK2 protein levels were consistently elevated upon LPS stimulation, some studies showed no upregulation at mRNA level, implicating complex post-translational modifications [102]. In addition, LPS-treated transgenic microglia released higher levels of pro-inflammatory TNF- $\alpha$ , IL-1 $\beta$  and IL-6, and lower levels of anti-inflammatory IL-10. The same results were obtained when studying the gene expression of these cytokines, indicating an upstream role of LRRK2 in cytokine modulation. When assessing the neurotoxic effect of microgliaderived molecules, conditioned medium from LPS-stimulated transgenic microglia caused more severe neurodegeneration than medium from LPS-stimulated wildtype microglia [94]. Furthermore, the knockdown of LRRK2 (LRRK2-KD) almost completely abrogated microglial pro-inflammatory phenotypes (with  $TNF-\alpha$ ,  $IL-1\beta$  and IL-6 being significantly reduced) in LPS-treated microglia [103]. These findings in LRRK2-KD microglia were consistent with results obtained after exposure of wildtype microglia to a small-molecule kinase inhibitor, implicating both LRRK2 expression and kinase activity in the induction of pro-inflammatory mechanisms (Figure 2) [102]. The activation of LRRK2 can be induced through TLR pathways in a MyD88-dependent manner [104]. This finding further illustrates the connection between the innate immune response and LRRK2 function in PD.

### 4.1.4. PRKN

Somatic mutations in the PRKN gene cause early-onset familial PD, and they are inherited in an autosomal recessive manner [105]. PRKN codes for parkin, an E3 ubiquitin ligase, and it is involved in the removal of damaged mitochondria, a process also known as mitophagy [106]. Parkin mutations are rare, but have a strong "mitochondrial phenotype" [107]. The frequency of PRKN mutation can reach up to 10–25% in early-onset PD patients, suggesting a strong genetic role in the pathology of PD [108]. Although inflammatory phenotypes in PRKN-associated PD have only recently became a research focus, mitochondria have the potential to enable immune responses through several of its components such as ROS, N-formyl peptides, cytochrome c, cardiolipin, and mtDNA, mutually referred to as mito-DAMPs [109]. Deficiencies in both mitophagy and autophagy pathways can lead to the release of these components into the cytosol and the activation of the NLRP3 inflammasome [110,111]. In wt mice, the treatment with rotenone upon an insult with LPS and ATP enabled mtDNA translocation into the cytosol, which, in turn, contributed to the secretion of the pro-inflammatory cytokines IL-1β and IL-18 [111]. First studies in parkin-/- mice could not conclude any differences in neurodegeneration between wt and mutants, prior or even upon acute treatment with LPS. Only upon chronic LPS administration, parkin-/- mice had SNpc dopaminergic neuron degeneration and fine-motor

function deficits [112]. The protective role of parkin in immune cells has been reported in multiple studies. Parkin-deficient macrophages had enhanced mtDNA release, mtROS levels, and IL-1β extracellular release upon NLRP3 agonist (LPS and ATP) treatment. Parkin can prevent the accumulation of damaged mitochondria. In Parkin-silenced cells, a buildup of damaged mitochondria was observed upon LPS and ATP insult. The study implicated NF-kB-p62 anti-inflammatory signaling, since parkin recruitment to mitochondria is crucial for p62 tagging within the mitophagy/autophagy process [113]. In addition, parkin is suspected to regulate the transcription of A20, an inhibitor of NF-κB-mediated cytokine expression [114]. Surprisingly, another study found that LPS-treated microglia and macrophages have reduced mRNA levels of PRKN and this was prevented by blocking NF-κB signaling [115]. Thus, chronic neuroinflammation can phenocopy parkin depletion, where anti-inflammatory pathways may not be enough to rescue the exacerbated inflammatory phenotype. Furthermore, mtDNA can be detected by the cyclic GMP-AMP synthase (cGAS)—stimulator of interferon genes (STING) pathway. A brief report showed higher mtDNA release in Parkin-/- mice under exhaustive exercise. Moreover, Parkin-/- mice that were crossed with mutator mice (that harbor a defective mtDNA proofreading polymerase) had higher mtDNA release and production of pro-inflammatory cytokines. This increased cytokine expression was abrogated upon STING deletion, implicating mtDNA release and cGAS-STING signalling in the observed phenotypes (Figure 2) [44]. Finally, parkin has the ability to prevent the presentation of mitochondrial antigens on the surface of immune cells by inhibiting mitochondria-derived vesicles (MDVs), linking also autoimmune mechanisms to PD [116].

### 4.1.5. DJ-1

Although DJ-1 was first described as an oncogene, mutations in this gene are associated with an early-onset PD. The inheritance of DJ-1 is autosomal recessive and mutations are rare [107]. DJ-1 is expressed in cells with high-energy demand, and it can successfully "buffer" oxidative stress through stabilization of nuclear factor erythroid-derived 2-like 2 (Nrf2) protein [117]. Its role in microglia and inflammatory pathways has not been extensively studied since the focus has primarily been on its expression in dopaminergic neurons, which are particularly energy-demanding cells. Nevertheless, together with its antioxidant activity, some studies concluded DJ-1 has a major anti-inflammatory role in the brain. DJ-1 KO mice had elevated basal levels of IFN- $\gamma$  in SNpc compared to control mice and this was aggravated after LPS administration [118]. In mouse microglia, DJ-1 can prevent prolonged phosphorylation of signal-transducers and activators of transcription (STAT1) proteins upon IFN-γ treatment by enabling the interaction between Src-homology 2 domain-containing 101 protein tyrosine phosphatase-1 (SHP-1) and STAT1. DJ-1 KO microglia had elevated levels of nuclear p-STAT1, higher expression of COX-2, iNOS, and  $TNF-\alpha$  [119]. In addition, DJ-1-deficient microglia had increased mitochondrial activity, which resulted in elevated ROS levels compared to control microglia and those were further exacerbated upon LPS exposure. LPS-treated DJ-1-deficient microglia had higher levels of nitric oxide (NO) and secreted cytokines but lower levels of anti-inflammatory triggering receptor expressed on myeloid cells 2 (TREM2) protein [120]. Although the same group of authors reported higher phagocytosis ability based on zymosan particle uptake, another study showed reduced uptake of soluble  $\alpha$ -synuclein in DJ-1 KD microglial cells [121]. α-synuclein could significantly increase the secretion of IL-1β, IL-6, and NO. Also, DJ-1 KD microglia had impaired degradation of p62 and LC3-II upon activation of autophagy. Furthermore, control cells accumulated more  $\alpha$ -synuclein upon inhibition of autophagy, while this effect was observed to a lesser extent in DJ-1 KD microglia [121]. Additionally, an extensive study found shared pathways between DJ-1 and NLRP3, which to some extent explains the upregulation of pro-inflammatory cytokines (Figure 2). After MPTP treatment, DJ-1 can suppress NLRP3 activity through upregulation of the antioxidant pathway Nrf2/Trx1, while DJ-1-deficient cells could only be partially rescued by overexpression of

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Nrf2 [122]. More studies will be needed to further explore the link between DJ-1 regulation of oxidative stress and neuroinflammation.

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### 4.2. Human Induced Pluripotent Stem Cell (iPSC) Studies

Advancements in stem cell techniques had a significant impact on the quality of research, especially in neuroscience, since patient material is hard to obtain and animal models in the majority of cases do not fully mirror PD-associated neurodegeneration. Two major classes of iPSC models can be distinguished: gene-modified (in which PD causing mutations are either inserted or corrected) and patient-derived cells. The biggest advantage of iPSC models, which also constitutes their biggest disadvantage, is the genetic background. The original genetic signature of a patient can, on the one hand, help to decipher the potentially multifaceted molecular mechanisms underlying PD in this particular case, while on the other hand, it may cause (functional) heterogeneity between different patient lines. Further information on this topic can be found in a detailed review by Volpato and colleagues [123]. Although, currently, PD studies employing iPSCs are mainly focusing on neuronal differentiation protocols, in the next few paragraphs, we will briefly summarize the available literature exploring iPSC-derived microglia and macrophages in the context of PD.

#### 4.2.1. SNCA

To our knowledge, only one study investigating SNCA-mutant iPSC-derived microglia and/or macrophages has been published to date. Differences between control, A53T and SNCA triplication macrophages were assessed. SNCA triplication cells had higher intracellular levels and an increased release rate of  $\alpha$ -synuclein compared to, both, A53T and control cells. The CXCL1, IL-18, and IL-22 cytokine levels in the supernatant of SNCA triplication macrophage cultures were significantly upregulated compared to all other investigated cultures. By contrast, there was no difference with regard to the levels of released CCL17, IL-4, IL-6, IL-8, IL-10, and TNF-α between healthy and SNCA-mutant macrophages. In addition, in SNCA triplication cells, the phagocytic ability was significantly reduced. Further strengthening this result, treating control cells with monomeric  $\alpha$ -synuclein had the same impact. Accordingly, high levels of exogenous and endogenous  $\alpha$ -synuclein severely disrupt the functionality of iPSC-derived macrophages. The authors found differences with regard to the uptake mechanisms of fibrillar and monomeric  $\alpha$ -synuclein [124]. Finally, a very recent study investigated the effect of an α-synuclein antibody on iPSC-derived microglia. Surprisingly, misfolded α-synuclein bound to the antibody triggered inflammatory signalling through the upregulation of the NLRP3 inflammasome [125]. This finding calls into question ongoing clinical trials with antibodies against  $\alpha$ -synuclein (see Section 5 for details).

### 4.2.2. LRRK2

As described in the previous sections, LRRK2 is highly abundant in immune cells. Thus, iPSC-derived microglia and macrophages are used to explore the impact of LRRK2 mutations on immune signalling in PD. First research revealed that LRRK2 is involved in the later stages of phagosome maturation. Furthermore, the localization of LRRK2 is essential for the recruitment of Rab8 and Rab10 to phagosomes, which is mediated by LRRK2 kinase activity. Moreover, it was confirmed that IFN-γ directly upregulates LRRK2 gene expression [126]. In another study, LRRK2 G2019S microglia showed increased motility compared to healthy control and LRRK2 KO microglia. This motility reached control levels upon IFN- $\gamma$  treatment. An assessment of the phagocytic ability showed that G2019S microglia have an increased capacity to engulf fluorescent beads. In addition, LRRK2 G2019S microglia had reduced levels of nuclear NF-kB, upon treatment with both LPS and IFN- $\gamma$ , suggesting impaired inflammatory signaling mediated by this transcription factor. Moreover, when the supernatant from LPS-treated G2019S microglia was added to control or G2019S iPSC-derived neurons a shortening of the neurite length was ob-

served [127]. Conversely, a study investigating the motility of iPSC-derived monocytes found that G2019S knock-in cells were less motile than wild type cells [128]. In light of opposing findings in microglia, this suggests a cell-type specific impact of the G2019S mutation in LRRK2.

### 4.3. Human Cell-Based 3D Models and Platforms

On the one hand, the complex tissue organization in the brain makes cell-cell communication and interactions difficult to study in in vivo models. On the other hand, in vitro models do not cover the full scope of signalling between all types of brain cells. However, thanks to the development of iPSC-derived co-culture systems, it is now possible to investigate the role of non-neuronal cells in neurodegeneration at least to some degree. Excitingly, 3D cultures, such as brain organoids and brain spheres, have recently emerged as highly valuable tools to model multiple cellular interactions and the pathophysiology of numerous concurrent processes in vivo. Brain organoids have been particularly useful in the research of developmental and neurodegenerative diseases as well as brain cancers [129-136]. By contrast, only a few studies have focused on PD pathogenesis so far [137,138]. Since brain organoids consist of cells from the neuroectodermal region, microglial cells, which originate from the yolk sac, need to be "artificially" added to the 3D culture. While multiple groups have successfully integrated microglia into cortical organoids and brain sphere cultures [139-141], some researchers observed spontaneous microglia formation in cortical organoids under differentiation conditions, which do not inhibit non-neuronal lineages [142]. First results suggest that the incorporated microglia support neuronal development and maturation in cortical organoids. Moreover, the microglia appear to become more ramified and matured as the organoid grows [141]. Several research teams, including our close collaborators, are investigating microglial inflammatory signalling in midbrain-specific organoids from PD patients. Though, this work is not without its hurdles. A major challenge of microglia-midbrain organoid cultures is to obtain (and maintain) physiological ratios and distributions of different brain cell types. Significant scientific efforts are currently ongoing to configure cell culture media compositions that will facilitate the simultaneous growth of all cell types, thereby achieving a more physiological microenvironment that prevents the activation of microglia (which is, for instance, commonly observed in the dead core of organoids). Furthermore, cell-based microfluidics systems (also known as Brain-on-Chip technology) have been developed to investigate microglia interactions with astrocytes and neurons under the impact of a continuous fluid flow [143-145]. To mimic the BBB composition, some systems are designed to contain a layer of microvascular endothelial cells and pericytes. Exposing a human Brain-Chip (which mirrored the microenvironment of the substantia nigra) to  $\alpha$ -synuclein fibrils initiated dopaminergic neuron death, mitochondrial dysfunction and neuroinflammation, while BBB was significantly compromised [145]. Due to their capacity to model the BBB, both organoids and microfluidic systems have emerged as highly promising resources for therapeutic testing in brain diseases [146,147].

### 5. Immunotherapies in Parkinson's Disease

### 5.1. Anti-Inflammatory Treatments in PD

In a number of animal PD models, the use of nonsteroidal anti-inflammatory drugs (NSAIDs) showed promising results [148]. Exemplary, in an MPTP mouse model, treatment with acetylsalicylic acid, which inhibits the cyclooxygenase isoenzymes COX-1 and COX-2, prevents neuronal loss in the substantia nigra [149]. By contrast, clinical trials exploring the neuroprotective effect of NSAIDs were less conclusive. While several studies reported that NSAIDs may be able to delay or even prevent the onset of PD [148,150], there have also been reports of no impact of NSAID intake on PD risk [148]. A recent meta-analysis that comprised 15 studies on the use of NSAIDs and PD risk found no association. Moreover, a dose-response analysis revealed that the amount and duration of NSAIDs administration did not influence the outcome [151].

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> In light of these results, alternative approaches that specifically target the transition of microglia from a proinflammatory (M1) to an anti-inflammatory (M2) state have been suggested [148]. Suppression of the M1 state may be achieved by acting at the level of the proinflammatory cytokines TNF- $\alpha$ , IL-1 $\beta$  and IFN- $\gamma$  [148]. Supporting this hypothesis, the overexpression of dominant negative TNF (DN-TNF) in the nigra of 6-OHDA-treated rats reduced the loss of dopaminergic neurons [152]. An alternative approach may be to modify the endocannabinoid system with the aim to reduce pro-inflammatory microglial toxicity [148]. The expression of the cannabinoid receptor CB2 was found to be increased in glial cells in various conditions affecting the CNS, including AD and PD [153]. Interestingly, while the abundance of CB2 is enhanced in activated PD microglia, an immunolabeling study in human postmortem nigral tissue revealed that CB2 levels are decreased in dopaminergic neurons from PD patients. This observation coincided with diminished numbers of tyrosine hydroxylase-containing neurons in the PD tissue [153]. In line with these findings, administering the CB2 agonist β-caryophyllene to rotenone-challenged rats reduced the release of proinflammatory cytokines which, in turn, prevented dopaminergic neuron demise and the activation of glial cells [154].

> Also strategies that actively promote a shift of microglia from M1 to M2 have been considered [148]. For instance, the cerebral infusion of a recombinant adeno-associated viral vector expressing human interleukin-10 (IL-10) was tested in an MPTP mouse model. Enhancing the abundance of the anti-inflammatory cytokine led to an increase in striatal TH protein levels [155]. Further of interest in this regard, the multiple sclerosis drug Glatiramer acetate was shown to decrease microglial activation by promoting an M2 state [156]. As in the case of IL-10 overexpression, treatment of MPTP mice with Glatiramer acetate rescued tyrosine hydroxylase (TH) protein levels in the striatum. Moreover, exposure to the immuno-modulatory agent reversed motor phenotypes in these animals [157]. However, none of the proposed treatments facilitating a shift from M1 to M2 has been tested in humans (for a more detailed review of immuno-modulatory treatment approaches in PD—including a study comparison in tabular form—see Subramaniam and Federoff [148]). Thus, further research in PD patient-derived cellular models will be required to understand the molecular consequences of microglial activation regulators, which will be a prerequisite to eventually use such agents in clinical trials.

#### 5.2. Anti-α-Synuclein Immunotherapies

One of the best studied hallmarks of PD are  $\alpha$ -synuclein aggregates. As mentioned above, the protein can act as DAMP triggering inflammatory processes. Thus, one research focus in PD is the development of immunotherapeutic approaches that reduce intra- and extracellular  $\alpha$ -synuclein levels in the brain. Specifically, antibodies hold the promise of alleviating  $\alpha$ -synuclein toxicity and promoting the protein's degradation [158]. Various epitopes have been tested in preclinical studies with  $\alpha$ -synuclein transgenic mice. In two independent studies, administration of antibodies that target the C-terminus (Ab274, 1H7 or 5C1) reduced microgliosis and improved neuronal survival [159,160]. In animals that received ab274, there is even evidence that microglia block the cell-to-cell transfer of  $\alpha$ synuclein by enhancing the clearance of neuron-derived aggregates [160]. With regard to antibodies that are directed against the N-terminus, no improvement of microgliosis phenotypes was observed [158]. Finally, when focusing on antibodies that recognize oligomeric or fibrilar forms of α-synuclein, Syn-O1, Syn-O4 and Syn-F1 effectively reduced protein aggregation and neurodegeneration in transgenic mice [161]. Only animals treated with Syn-O4 displayed decreased microglia levels and showed reduced ramification of these cells in the hippocampus [161].

In light of the overall promising results from immunotherapeutic analyses in mice, several antibodies are being tested in phase I clinical trials, which focus primarily on the safety of treatments [162]. By contrast, only two antibodies have so far been moved forward into phase II clinical trials [162], which aim to establish the clinical efficiency of the immunotherapeutics. In the PASADENA study (Clinical Trials.gov Identifier: NCT03100149),

Prasinezumab (PRX002) is currently being tested in patients with early PD. Initial results indicate that the primary outcome of the study was not achieved. At week 52, the MDS-UPDRS total score was not significantly different between the treatment and the placebo group. Nevertheless, some parameters suggest that the antibody delays the progression of motor symptoms in PD patients. However, whether Prasinezumab has a positive impact on microglial phenotypes in these individuals currently remains elusive. In addition, the SPARK study (ClinicalTrials.gov Identifier: NCT03318523), which aims to evaluate the clinical benefits of the  $\alpha$ -synuclein antibody BIIB054, is currently ongoing.

#### 6. Outlook

The complex and diverse aetiology of PD represents a major obstacle for effective therapeutic interventions. The here described recent findings highlight the importance of neuroinflammatory processes and put microglia as the immanent immune cells of the brain in the focus of novel therapeutic approaches. In particular, this immunological entry point could not only support the identification of common mechanisms underlying the different genetic and idiopathic disease developments but also allow to target disease progression not on the cellular but on the (immune) system level of the brain. While the evidence for the contribution of microglia to disease progression clearly demonstrates the large potential for alternative treatments, further detailed investigations are required to reveal underlying mechanisms and to dissect cellular heterogeneity of microglia in the disease context. Thus, scRNAseq has shown that microglia diversity goes beyond the traditional M1/M2 description and that PD-associated signatures are species- and humanspecific but the impact of the microenvironment is still elusive. The recent developments in spatial transcriptomics [163,164] and the application to human iPSC model systems such as organoids [141] and the brain [165] will allow for further insights into the regulation of the complex ecosystem within the brain and how intercellular interactions contribute to PD progression. A major challenge for these studies is the lack of physiologically realistic model systems due to the general limitations of animal models and the not yet resolved issue in human organoid systems, which do not reflect the brain composition of the affected brain regions as described above. Hence, addressing these current limitations is essential to deepen our understanding of the multicellular dimension of PD development and particularly the impact of microglia and their role in neuroimmunology that will not only support the development of new therapeutic strategies in PD but may also provide a more unifying perspective on neurodegeneration given the recent indications for the involvement of microglia in Alzheimer's disease and multiple sclerosis [165,166].

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### 2 Aims

PD is a complex, multifactorial neurodegenerative disease. The disease onset is influenced by both genetic and environmental factors, making the modeling of PD extremely difficult. Although brain tissues from PD patients can provide insights into the cellular complexity and the involvement of the different cell types to disease pathogenesis, such tissue analyses offer merely a snapshot of the situation during late-stage PD. By contrast, the molecular mechanisms driving the disease progression cannot be studied in such a model. Thus, it was a true scientific breakthrough when Yamanaka and colleagues succeeded to generate iPSCs – patient-derived stem cells that are capable of differentiating into any cell type of interest (Takahashi and Yamanaka, 2006). Soon after, iPSC technology motivated PD and Alzheimer's disease research groups into creating multiple differentiation protocols in order to generate dopaminergic neurons, astrocytes, and macrophages/microglia (Oksanen et al., 2017; Reinhardt et al., 2013; van Wilgenburg et al., 2013).

As previously mentioned, mutations in *LRRK2* are the most common genetic form of PD, and among the pathogenic variants the G2019S substitution is the most abundant (Healy et al., 2008; Paisán-Ruíz et al., 2004; Zimprich et al., 2004). The cellular functions of LRRK2 are still not fully understood, although multiple studies implicate this protein in vesicular trafficking and autophagy lysosomal pathways in neurons. Interestingly, though, LRRK2 is mainly expressed in immune cells, suggesting that it is also crucially involved in the regulation of immune function and response. Several pathogenic forms of LRRK2 exhibit higher kinase activity - the most investigated phenotype in LRRK2-PD studies (Fraser et al., 2016; Greggio et al., 2009; Melachroinou et al., 2020).

With regard to clinical characteristics, LRRK2-PD patients are most similar to IPD cases (Aasly et al., 2005). Interestingly, genome-wide association studies revealed LRRK2 as a risk factor for IPD, while other studies detected upregulated LRRK2 kinase activity in post-mortem brain tissues of IPD patients (Di Maio et al., 2018; Sharma et al., 2012; Simón-Sánchez et al., 2009).

I had the opportunity to attend a training at Oxford University, during which I learned how to generate macrophages with a protocol that involves the formation of embryoid bodies from iPSCs. Applying this protocol to iPSCs from controls and patients, I had the chance to explore the contribution of microglia to neurodegeneration (Haenseler et al., 2017a; van Wilgenburg et al., 2013).

The similarities between IPD and LRRK2-PD compelled us to investigate iPSC-derived microglia from individuals with LRRK2 G2019S alongside cultures from IPD patients and controls, in the hopes of better understanding shared inflammatory phenotypes.

We identified several objectives that needed to be addressed:

- To generate and characterize iPSC-derived microglia cells from LRRK2
   G2019S mutation carriers, gene-corrected cells, and healthy controls.
- To generate and characterize iPSC-derived microglia from an IPD patient and a healthy control.
- To investigate inflammatory phenotypes under basal conditions and upon inflammatory stimuli.
- To identify phenotypes and dysregulated pathways shared between IPD and LRRK2-PD iPSC-derived microglia.

## 3 Results

### 3.1 Manuscript II

# LRRK2 G2019S as a trigger of inflammatory signaling in patient-derived microglia

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### 3.1.1 Preface

Mutations in the *LRRK2* gene are causative of genetic PD, while variations in the same gene are a risk factor for IPD. The G2019S mutation, which is located in the kinase domain of the protein, is causing a pathogenic overactivation of LRRK2 kinase activity. There is evidence that inhibition of the kinase function is beneficial, and might directly influence the pathogenesis of the disease. Furthermore, the G2019S mutation is not fully penetrant. The penetrance varies between different ethnicities, increases with age, and is influenced by the collective impact of common variants in PD-linked genes (Healy et al., 2008; Iwaki et al., 2020; Latourelle et al., 2008; Lee et al., 2017; Marder et al., 2015; Squillaro et al., 2007). Moreover, at the cellular level, LRRK2 is highly expressed in immune cells and regulated by different stimuli (Ahmadi Rastegar and Dzamko, 2020; Dzamko et al., 2012; Fan et al., 2021; Lee et al., 2020; Panagiotakopoulou et al., 2020). Until now, the impact of LRRK2 G2019S on microglial innate immunity and function are not well studied.

To investigate the molecular mechanisms that may define the penetrance of LRRK2 G2019S and to identify phenotypes that distinguish cells from non-manifesting and manifesting mutation carriers, we generated iPSC-derived microglia cells from a healthy individual, an isogenic control, one non-manifesting and two manifesting LRRK2 G2019S mutation carriers. We treated the cells with IFN- $\gamma$ , which was previously shown to induce LRRK2 transcription. Furthermore, upon IFN- $\gamma$  treatment, we inhibited kinase activity with MLi-2. Western blotting was used to determine the phosphorylation levels of LRRK2 and of endogenous substrates of LRRK2 kinase activity. In addition, we compared the transcriptomic profiles between different genotypes and treatment conditions. In this fashion, we identified gene targets and pathways that are genotype or disease-specific. My contribution to this study was the maintenance and generation of iPSC- derived microglia cells and subsequent treatments, RNA and protein extraction, immunocytochemistry, and Western blotting. Furthermore, I also contributed to the analysis of the generated data. Finally, I contributed to the writing of the manuscript and have prepared (supplementary) figures, and supplementary tables.

# LRRK2 G2019S as a trigger of inflammatory signaling in patient-derived microglia

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### **Abstract**

Mutations in Leucine-rich repeat kinase 2 (LRRK2) are the most common genetic cause of Parkinson's disease (PD). The mutation with the highest prevalence in LRRK2 is G2019S, while other variants in this gene have been identified as risk factors for idiopathic PD. The penetrance of LRRK2 G2019S is incomplete and possibly regulated by genetic modifiers and environmental factors. Moreover, elevated LRRK2 kinase activity has been a prime therapeutic target in LRRK2linked and idiopathic PD. In the current study, we differentiated iPSC-derived microglia from a healthy individual, an isogenic control, as well as manifesting and non-manifesting LRRK2 G2019S mutation carriers. The resulting microglial models were treated with interferon gamma (IFN- $\gamma$ ) and subsequently with the LRRK2 kinase inhibitor MLi-2. We show that upon IFN- $\gamma$  exposure, S1292 phosphorylation in LRRK2 - a readout of pathogenic kinase activity - was upregulated in a G2019S-specific manner and downregulated after MLi-2 treatment. By contrast,  $\alpha$ -synuclein upregulation occurred exclusively in manifesting G2019S LRRK2 microglia and did not respond to MLi-2. Interestingly, RNA sequencing (RNAseq) revealed that elevated LRRK2 kinase activity and increased  $\alpha$ -synuclein abundance disrupt Notch signaling in a PD-specific manner in LRRK2 G2019S-mutant microglia. Together, our study further implicates LRRK2 in the propagation of inflammation in PD, suggesting a role for microglia in the penetrance of the G2019S mutation.

### Introduction

Parkinson's disease (PD) is a neurodegenerative disorder resulting in the demise of dopaminergic neurons. It is defined by the symptom triad tremor, rigidity and bradykinesia and other non-motor symptoms such as depression and hyposmia (Kouli et al., 2019). PD mutations in the *Leucine-rich repeat kinase* 2 (*LRRK2*) gene are inherited in an autosomal dominant manner, while variants in the same gene account for 1-2% of all sporadic PD cases. The G2019S mutation in *LRRK2* is the most common cause of genetic PD and is responsible for around 5% of all familial cases (Berwick et al., 2019; Marras et al., 2011; Ren et al., 2019). Furthermore, the penetrance of this mutation is incomplete, being influenced by age, environmental and multiple inherited susceptibility factors (Latourelle et al., 2008). LRRK2-PD is clinically similar to idiopathic PD (IPD), suggestive of shared pathogenic features (Chittoor-Vinod et al., 2021; Marras et al., 2011).

LRRK2 is a large, multi-domain protein consisting of an enzymatic core, formed by a remarkable variety of enzymatic domains such as ROC/GTPase, COR (Cterminus of ROC) and serine threonine kinase domains. It has multiple interaction and binding sites such as ankyrin and leucine-rich repeat motifs, and WD40 repeats (Russo et al., 2014). This highly sophisticated and complex protein structure makes LRRK2 an important component of multiple cellular pathways, although its exact biological function currently remains elusive. The G2019S mutation is located in the kinase domain of the protein, causing a pathogenic overactivation. Frequently studied physiological substrates of LRRK2 kinase activity are Rab GTPases, regulators of vesicular trafficking, most notably Rab5, Rab8, Rab12, Rab10, and Rab29 (Lee et al., 2020; Seol et al., 2019). An evolutionary conserved phospho-site T73 on Rab10 was found to be upregulated in G2019S models of LRRK2-PD. This over-phosphorylation leads to disturbed homeostasis between membrane-bound and cytosolic Rab10 (Steger et al., 2016). Upregulation of Rab phosphorylation is considered a marker of the disease phenotype in different PD models (Jeong et al., 2018; Lara Ordóñez et al., 2021; Madero-Pérez et al., 2017; Nirujogi et al., 2021; Wang et al., 2021). Furthermore, LRRK2 is mainly regulated by other kinases and can also be phosphorylated under basal conditions. It has 74 phosphorylation sites. However, half of these are still awaiting to be confirmed (Marchand et al., 2020). The heterologous phosphorylation site at S935 has emerged as an important contributor to the physiological function of LRRK2. Similarly, phosphorylation at S1292 was described as a direct and physiological marker of overactive LRRK2 kinase activity and is an established phenotype of the G2019S mutant protein (Kluss et al., 2018). Modulating overactive kinase

activity with kinase inhibitors has become a therapeutic target in LRRK2-PD research, and one of the prime candidates for clinical trials. MLi-2 is a novel, highly potent and selective inhibitor of LRRK2 kinase activity (Scott et al., 2017). Its protective effect was demonstrated by reduced neurodegeneration upon MPTP treatment and downregulation of S935 LRRK2 in G2019S mice models (Fell et al., 2015; Kluss et al., 2021; Novello et al., 2022).

Neuroinflammation is a prominent feature of PD. Multiple studies found higher levels of proinflammatory cytokines and chemokines in cerebrospinal fluid and serum of PD patients when compared to healthy individuals (Borsche et al., 2020; Dobbs et al., 1999; Mount et al., 2007; Scalzo et al., 2009; Sliter et al., 2018). Interestingly, LRRK2 has been associated with susceptibility to bacterial infections, Crohn's disease, and leprosy, all of which are characterized by highly inflammatory phenotypes (Barrett et al., 2008; Umeno et al., 2011; Weindel et al., 2019; Zhang et al., 2009). In addition, LRRK2 expression is elevated in immune cells, suggesting its involvement in the innate immune response (Gardet et al., 2010; Gillardon et al., 2012; Hakimi et al., 2011; Thévenet et al., 2011). Corroborating this, many studies showed that LRRK2 expression can be upregulated by interferon gamma (IFN- $\gamma$ ), a proinflammatory cytokine involved in the pathogenesis of PD (Gardet et al., 2010; Kuss et al., 2014; Lee et al., 2020; Mount et al., 2007; Panagiotakopoulou et al., 2020; Thévenet et al., 2011). So far, LRRK2 studies have been mostly conducted in models focusing on neuronal demise. To shed more light on glial involvement in LRRK2-PD, in this study, we employed iPSC technology to generate microglia from (isogenic) controls, manifesting and non-manifesting G2019S mutation carriers. Making use of these unique cellular models, we investigated the role of LRRK2 kinase activity in PD pathogenesis and microglia activation. Furthermore, we explored the effect of IFN- $\gamma$  and MLi-2 on the phosphorylation of LRRK2 and Rab10. Lastly, we performed RNAseq in order to identify disease-relevant cellular pathways.

### **Results**

### Characterization of iPSC-derived microglia

Induced pluripotent stem cells (iPSCs) used in this study were successfully characterized by assessing the expression of the pluripotency markers Nanog, Sox-2, and Oct-4 (Figure S1). We generated microglia cells from iPSCs as previously described (van Wilgenburg et al., 2013). To ascertain their microglial identity, we stained for Iba1, an established microglial marker. The comparable abundance of Iba1 per CellMask suggested no influence of the genotype on the terminal differentiation potential (Figure S2). Furthermore, we determined whether differentiated cells had the capacity to phagocyte Zymosan particles. This analysis did not reveal any impairments in G2019S-mutant microglia when compared to (isogenic) control cells (Figure S2).

### Analysis of LRRK2 kinase activity

Previous studies identified kinase activity upregulation as a characteristic feature of LRRK2-PD models. LRRK2 kinase activity can be examined by analyzing phosphorylation levels of the protein at serine 935 (S935) and serine 1292 (S1292), and phosphorylation of Rab10 on threonine 73 (T73). We sought to investigate these phospho-sites in our cells under baseline conditions. LRRK2 G2019S carriers showed a downregulation of S935 phosphorylation in LRRK2, independent of the disease status (Figure 1A). By contrast, we did not detect differences in LRRK2 phosphorylation at S1292 (Figure 1B). No difference was observed in the phosphorylation levels of Rab10 (Figure 1C). Moreover, under basal conditions, we neither detected any differences in total LRRK2 protein across all microglia cell lines, nor did we observe changes in total Rab10 protein levels (Figure 1D).

## Inflammation induces S1292 LRRK2 phosphorylation in G2019S mutants

A number of studies found inflammatory stimuli capable of inducing LRRK2 expression and kinase activity (Gardet et al., 2010; Lee et al., 2020; Mount et al., 2007; Panagiotakopoulou et al., 2020). One such pro-inflammatory cytokine, IFN- $\gamma$ , was shown to directly influence the expression of LRRK2, supporting the role of LRRK2 in the regulation of inflammatory responses. Furthermore, MLi-2 was identified as an efficient inhibitor of LRRK2 kinase activity. Based on previous studies, we decided to expose the microglia models to IFN- $\gamma$  for 72h, followed

by MLi-2 treatment for 2h (Figure 2A). We observed a significantly higher protein abundance of LRRK2 in all microglia cells upon IFN- $\gamma$  stimulation. MLi-2 treatment had no effect on the abundance of total LRRK2 protein (Figure 2B, C). Conversely, only G2019S carriers displayed significantly elevated phosphorylation levels at S1292-LRRK2 upon IFN- $\gamma$  treatment, when compared to both, the healthy control and the gene-corrected microglia, and these levels were successfully downregulated by kinase inhibition (Figure 2B, D). While pRab10 levels were significantly upregulated in all IFN- $\gamma$ -treated cells in comparison to their untreated counterparts, no difference between genotypes was detected. The upregulation of pRab10 levels was diminished upon MLi-2 treatment (Figure 2B, D). Interestingly, S935-LRRK2 levels behaved in a similar manner to pRab10 upon all treatments (data not shown).

### IFN- $\gamma$ can recapitulate S1292-LRRK2 phenotype in IPD microglia

Studies in human post-mortem IPD tissues revealed an upregulation of LRRK2 kinase activity in neurons and microglia compared to control tissues (Di Maio et al., 2018). To recapitulate this finding *in vitro*, we treated iPSC-derived IPD microglia with IFN- $\gamma$ , followed by inhibition of LRRK2 kinase activity with MLi-2. Despite the limitation of a small sample size, we observed a significant upregulation of phospho-S1292-LRRK2 in IPD microglia under inflammatory conditions when compared to healthy microglia (Figure S3). Furthermore, phospho-S1292-LRRK2 levels were successfully downregulated with MLi-2. In addition, total LRRK2 protein levels were significantly lower in IPD microglia, when compared to control cells, irrespective of the treatment. Moreover, total Rab10 protein levels were significantly reduced at baseline in IPD microglia in comparison to control cells (Figure S3).

### Endogenous $\alpha$ -synuclein as a marker of disease manifestation

 $\alpha$ -synuclein aggregates and Lewy body inclusions (LBs) in neurons are the primary neuropathological features of PD (Spillantini et al., 1997; Steiner et al., 2018). Although the molecular mechanisms underlying the aggregation are not fully understood, it has been postulated that  $\alpha$ -synuclein spreading drives PD pathology (Braak et al., 2003). Interestingly, LRRK2 has been shown to modulate the propagation of  $\alpha$ -synuclein in a kinase-dependent manner (Bae et al.,

2018). Thus, we wanted to investigate the impact of LRRK2 kinase overactivation on α-synuclein in our glial models under baseline and inflammatory conditions. To determine the abundance of  $\alpha$ -synuclein, we performed immunocytochemistry experiments (Figure 3A). Under inflammatory conditions, manifesting G2019S microglia had significantly higher levels of nuclear  $\alpha$ -synuclein when compared to both non-manifesting and gene-corrected cells. Furthermore, this phenotype was maintained upon addition of the kinase inhibitor (Figure 3B). Although non-significant, we observed a tendency for the upregulation of cytoplasmic  $\alpha$ -synuclein, similar to what was seen in the nuclei. Moreover, total cellular  $\alpha$ -synuclein levels (measured as a sum of nuclear and cytoplasmic  $\alpha$ -synuclein) were significantly upregulated in manifesting G2019S LRRK2 microglia, when compared to gene-corrected cells and non-manifesting G2019S carrier microglia (Figure 3B). To test whether this increase is due to elevated SNCA gene expression, we made use of our RNAseq dataset. Indeed, SNCA mRNA levels were significantly higher in the manifesting G2019S microglia when compared to nonmanifesting cells. This phenotype was maintained upon inflammatory treatment and was not changed upon kinase inhibition (Figure 3C). Furthermore, SNCA was not differentially expressed in IPD iPSC-derived microglia compared to control cells, under all conditions (data not shown).

# Transcriptomic analysis reveals microglial activation in LRRK2 PD microglia after IFN treatment

We recently applied single-cell RNAseq to post-mortem midbrain tissues from IPD patients and controls (Smajić et al., 2021). This analysis revealed 29 differentially expressed genes along the activation trajectory of IPD microglia (Smajić et al., 2021). In order to understand if iPSC-derived LRRK2-mutant microglia are indeed activated, we assessed the expression of selected marker genes defining the trajectory in IPD. We focused on GPNMB, DPYD and GRAMD4, as these genes were also shown to be upregulated in other neurodegenerative diseases with glial involvement (Castillo et al., 2017; Srinivasan et al., 2020). Under basal conditions, both G2019S carriers expressed higher levels of GPNMB, but under IFN- $\gamma$  treatment, only the manifesting G2019S microglia presented an upregulation, when compared to healthy, gene-corrected, and non-manifesting G2019S microglia cells. Moreover, kinase inhibition did not change the levels of GPNMB achieved under kinase-activating conditions (Figure 4A). In line with this finding, upon IFN- $\gamma$  exposure, also the expression levels of DPYD and GRAMD4 were most elevated in the microglia from the manifesting LRRK2 G2019S carriers (Figure 4B, C).

# Pathway analysis highlights altered Notch signaling in LRRK2 PD microglia

To further assess the transcriptomic landscape of LRRK2 G2019S and (isogenic) control microglia cells under baseline, IFN- $\gamma$ - and MLi-2-treated conditions, we analyzed our RNAseq dataset in more detail. Based on the top 1000 differentially expressed genes across all conditions, microglia clustered primarily by treatment condition. However, contrary to our results from the LRRK2 phosphorylation analyses, exposure to MLi-2 did not show a rescue effect on gene expression when compared to IFN- $\gamma$ -treated cells (Figure 5A).

Guided by this result and the observation that our LRRK2 phosphorylation-related analyses revealed differences only upon a proinflammatory stimulus, we next focused on transcriptional alterations in IFN- $\gamma$ -treated microglia. We compared cells by (i) genotype, to identify genes and pathways driven solely by the presence of the G2019S mutation (microglia from all LRRK2 G2019S mutation carriers vs [isogenic] controls), and by (ii) disease status, to identify genes and pathways involved in the manifestation of LRRK2-PD (microglia from affected vs unaffected LRRK2 G2019S mutation carriers). Among the 50 most differentially expressed genes between LRRK2 G2019S manifesting microglia and healthy or gene-corrected control microglia, LRRC25 and CADM1 were identified as interesting targets. LRRC25 can regulate the IFN- $\gamma$  response, while CADM1 was found upregulated along the activation trajectory in post-mortem IPD microglia (Du et al., 2018; Smajić et al., 2021). Both genes were significantly upregulated in manifesting LRRK2 G2019S microglia compared to both healthy and genecorrected cells under inflammatory but also basal conditions (Figure 5B, C). Moreover, in the 50 most differentially expressed genes of the second comparison, we could identify genes possibly involved in the manifestation of LRRK2-PD (Figure 5B, D). For example, under IFN- $\gamma$  treatment, IRAK4 and SH3PXD2B were significantly downregulated in non-manifesting when compared to manifesting LRRK2 G2019S microglia (Figure 5D). Of note, IRAK4 is crucially involved in TLR-mediated innate immune response, and SH3PXD2B was also identified as one of the IPD-specific genes in the microglia activation trajectory (Lin et al., 2010; Smajić et al., 2021).

Finally, in order to identify pathways that are dysregulated in our dataset when considering the aforementioned comparisons, we performed pathway enrichment analysis. Among the top 20 pathways, the majority was driven by the presence of the G2019S mutation, while only a few were primarily linked to disease manifestation (Figure 6A; Figure S4). Interestingly, the comparison by genotype identified Notch signaling and the comparison by PD status highlighted ER stress

as the most significantly dysregulated pathways, respectively (Figure 6). Furthermore, the histone demethylase PHF8 can both regulate Notch signaling and is a target of the same pathway (Iacobucci et al., 2021). Analyzing our RNAseq dataset, the expression of *PHF8* was significantly downregulated in both G2019S carriers, compared to (isogenic) control cells. We additionally validated these expressions by performing a qPCR experiment (Figure S5).

### Discussion

Microglia, the resident macrophages of the brain, are involved in the maintenance of CNS homeostasis, and in a multitude of defense mechanisms. Since LRRK2 has also been associated with diseases characterized by inflammation, and is mainly expressed in immune cells, our study's aim was to further elucidate inflammatory mechanisms in LRRK2-PD microglia. To achieve this, we generated and functionally characterized iPSC-derived microglia from a healthy individual, an isogenic control, a non-manifesting LRRK2 G2019S carrier, and two LRRK2 G2019S PD patients under baseline and IFN- $\gamma$ -treated conditions.

While LRRK2 is both a GTPase and a kinase, increased kinase activity in G2019S models has led LRRK2-PD research to focus on the latter property. Robust readouts of the kinase activity are phosphorylation of Rab GTPases, and phosphorylation of LRRK2 itself (Berwick et al., 2019). Although at baseline, we did not observe differences in pRab10 or phospho-S1292-LRRK2 levels, we reported reduced phosphorylation at S935-LRRK2. S935 phosphorylation levels are considered a robust readout, when testing the efficiency of kinase inhibitors (Dzamko et al., 2010; Vancraenenbroeck et al., 2014). In addition, S935 phosphorylation is crucial for LRRK2 function as it enables the binding of 14-3-3 proteins and thereby induces the translocation of LRRK2 (Lavalley et al., 2016; Manschwetus et al., 2020; Nichols et al., 2010). While previous studies reported a reduction in phospho-S935-LRRK2 levels in N1437H, R1441C/G/H, Y1699C, and I2020T LRRK2-mutant models, we observed the same behavior in G2019S iPSC-derived microglia (Doggett et al., 2012; Padmanabhan et al., 2020).

Emerging evidence implicates inflammatory signaling in the pathogenesis of PD as a consequence but also as an initiator of neurodegeneration (Barcia et al., 2011). A self-perpetuating loop of cytokine release and microglial activation has been proposed as one of the mechanisms affecting the demise of neurons (Mogi et al.,

1994; Mount et al., 2007; Nagatsu et al., 2000). Exemplarily, exposure to the cytokine IFN- $\gamma$  was shown to upregulate LRRK2 mRNA levels and to modulate its kinase activity (Gardet et al., 2010; Kuss et al., 2014; Lee et al., 2020; Panagiotakopoulou et al., 2020). Upon stimulation with IFN- $\gamma$ , we indeed observed an upregulation of LRRK2, both on mRNA and protein level. Moreover, the autophosphorylation at S1292 was suggested to correlate with LRRK2 kinase activity, both in vitro and in vivo (Kluss et al., 2018; Sheng et al., 2012). To date, however, detection of this phospho-site has only been possible in murine LRRK2 overexpression models, and in exosomes of LRRK2-PD patients (Fraser et al., 2016; Kluss et al., 2018; Sheng et al., 2012). Our study is the first to show a G2019Sspecific upregulation of phospho-S1292 LRRK2 in iPSC-derived LRRK2-PD microglia. By contrast, we observed an overall increase in the phosphorylation of Rab10 upon treatment but no differences between (isogenic) control and manifesting or non-manifesting G2019S-mutant microglia. This finding is in accordance with a recent study investigating phospho-Rab10 levels in human peripheral blood neutrophils, where G2019S individuals showed no differences compared to healthy controls and IPD cases (Fan et al., 2021). In addition, we found that both the phosphorylation at S1292-LRRK2 and of Rab10 could be reduced by exposure to MLi-2. By contrast, total LRRK2 protein levels were not affected by MLi-2-induced kinase inhibition. Together, this suggests that an inflammatory stimulus can affect LRRK2 kinase activity in a mutation-specific manner, as evidenced by the upregulation of phospho-S1292 LRRK2 in both G2019S carriers. Moreover, this pathogenic "gain-of-kinase-activity" can be downregulated by MLi-2, demonstrating the potency of this inhibitor in iPSC-derived microglia cells.

Since S1292-LRRK2 was found upregulated in IPD microglia in post-mortem tissues, we decided to investigate if we can model these findings *in vitro* (Di Maio et al., 2018). Our results confirm that IFN- $\gamma$  can recapitulate kinase activity in IPD microglia, as seen in LRRK2 G2019S microglia. Furthermore, we found no difference in pRab10 levels in IFN- $\gamma$ -treated IPD microglia, which is corroborated by a study investigating IPD peripheral blood neutrophils and brain tissue, where pRab10 was also not changed (Fan et al., 2021). Interestingly, IPD microglia had lower LRRK2 levels compared to control microglia under all conditions, a phenotype already observed in LPS-stimulated iPSC-derived IPD microglia, and in IPD brain tissue (Badanjak et al., 2021; Simunovic et al., 2009). Taken together, these results indicate that IFN- $\gamma$  plays a significant role in phospho-S1292-LRRK2 upregulation in IPD and LRRK2-PD iPSC-derived microglia.

Alpha-synuclein is a highly conserved protein, predominantly found in the presynaptic nerve terminal, and its aggregation is one of the hallmarks of PD neuropathology in the form of Lewy bodies (Emamzadeh, 2016; George, 2002). Animal models showed higher aggregation of neuronal  $\alpha$ -synuclein when the LRRK2 G2019S mutation is introduced, and this aggregation could be regulated by modulating LRRK2 kinase activity (Longo et al., 2017; Volpicelli-Daley et al., 2016a; Ysselstein et al., 2019). Although predominantly studied in neurons,  $\alpha$ -synuclein has an important role in other cells of the CNS and periphery. Mentions of its function beyond neurons and CNS are relatively sparse but important:  $\alpha$ synuclein was shown to be expressed in immune cells, and to be involved in the regulation of hematopoiesis, phagocytic ability, and cytokine release (Austin et al., 2006; Bido et al., 2021; Gardai et al., 2013; Shin et al., 2000; Xiao et al., 2014). In our study, we report elevated protein levels of  $\alpha$ -synuclein in manifesting LRRK2 G2019S microglia upon IFN- $\gamma$  treatment. This suggests that inflammatory cytokines can modulate total protein levels, thereby potentially influencing the penetrance of the G2019S mutation. Furthermore, several studies revealed that neuronal  $\alpha$ -synuclein is taken up by microglia (Choi et al., 2020; Maekawa et al., 2016; Scheiblich et al., 2021). By contrast, to our knowledge, here we show for the first time that the endogenous  $\alpha$ -synuclein mRNA and protein levels are upregulated in microglia derived from PD patients with LRRK2 G2019S. Thus, our work highlights α-synuclein as a glial marker of LRRK2 G2019S manifestation. Of note, while there is evidence for impaired lysosomal degradation of  $\alpha$ -synuclein in LRRK2-PD neurons, we did not explore additional cellular mechanisms underlying the observed synuclein phenotypes in iPSC-derived microglia beyond RNAseq (Bieri et al., 2019; Obergasteiger et al., 2020; Volpicelli-Daley et al., 2016b).

Inspired by our single-cell RNAseq study in post-mortem midbrain tissue (Smajić et al., 2021), we explored the expression of genes, which marked the activation trajectory of IPD microglia, in our iPSC-derived cultures. In this fashion, we aimed to elucidate possible shared phenotypes, which could contribute to microglia activation in LRRK2-PD. We showed an upregulation of *GPNMB* in LRRK2-PD microglia and of *DPYD* and *GRAMD4* in manifesting and non-manifesting LRRK2 G2019S carriers. GPNMB was recently linked to neurodegeneration with high expression in activated microglia (Saade et al., 2021). Furthermore, GPNMB has been studied in the context of AD. In a transgenic AD mouse model and brain tissue form sporadic AD patients, GPNMB was overabundant in a subset of activated microglia (Hüttenrauch et al., 2018). Demonstrating common inflammatory mechanisms across different neurodegenerative

disorders, also *DPYD* and *GRAMD4* were previously shown to be upregulated in AD microglia (Castillo et al., 2017; Srinivasan et al., 2020).

In addition, we report on the main genes and pathways differentially regulated under IFN- $\gamma$  treatment in LRRK2 manifesting microglia compared to healthy and gene-corrected microglia, and compared to non-manifesting G2019S-mutant microglia. In the first comparison, we identified LRRC25 and CADM1. Interestingly, LRRC25 was shown to regulate the immune response to IFN- $\gamma$  through the autophagy pathway (Tian et al., 2019). CADM1 functions as a cell adhesion molecule, shown to interact with natural killer and CD8+ cells to induce cytotoxicity (Boles et al., 2005). Thus, the overexpression of both aforementioned genes highlights the role of inflammatory propagation in LRRK2-PD microglia. Furthermore, in the second comparison, we identified a downregulation of IRAK4 and SH3PXD2B in non-manifesting G2019S LRRK2 microglia compared to the manifesting G2019S cells. IRAK4 was previously described as a master initiator of the immune response, and its pharmaceutical inhibition has been a promising target in pre-clinical trials for PD and inflammatory bowel disease (Lopes, 2018) and SH3PXD2B codes for Tks4 – a protein involved in ROS production and the regulation of the actin cytoskeleton (Gianni et al., 2011; Lányi et al., 2011). Overall, these findings indicate inflammatory and oxidative signaling genes as potential disease biomarkers and therapeutic targets in LRRK2-associated PD. Lastly, we performed enrichment analysis to investigate, which pathways are dysregulated in IFN- $\gamma$ -treated human microglia due to (i) the presence of the G2019S mutation or (ii) the disease status. We identified the Notch pathway as being the most enriched in the first comparison. Notch signaling is an evolutionary conserved pathway, responsible for cell-cell communication, ultimately influencing cell fate and proliferation, differentiation, and cell death. It was reported that both LRRK2 and  $\alpha$ -synuclein regulate this pathway in neuronal models, while in microglia the downregulation of Notch induces pro-inflammatory activation (Crews et al., 2008; Grandbarbe et al., 2007; Imai et al., 2015). Additionally, Notch signaling was reported to be a therapeutic target for restabilization of microtubules, a defective cellular phenotype previously observed in LRRK2-PD models (Bonini et al., 2013; Leschziner and Reck-Peterson, 2021; Panagiotakopoulou et al., 2020; Parisiadou and Cai, 2010). Moreover, we showed the downregulation of PHF8 in the Notch pathway. A recent study found this gene implicated in the fine-tuned regulation of the IFN- $\gamma$  response, while its downregulation was prompting enhanced transcription of IFN- $\gamma$  stimulated genes (Asensio-Juan et al., 2017). These findings further implicate Notch signaling in the regulation of inflammatory pathways in LRRK2 G2019S-mutant microglia.

In our study, we elucidated functional phenotypes in microglia derived from manifesting and non-manifesting LRRK2 G2019S carriers as well as (isogenic) controls. While, to our knowledge, this is the first investigation of LRRK2-PD penetrance mechanisms in endogenous glial models, our work has limitations. Firstly, due to high cell culture costs, all observations were made in a small sample. Future studies will need to include more microglial cultures derived from multiple patients in order to confirm our observations. Secondly, while MLi-2 had an impact on LRRK2 autophosphorylation, we did not observe a rescue effect upon LRRK2 kinase inhibition with regard to  $\alpha$ -synuclein abundance and inflammatory signaling. This may mean that the latter phenotypes occur independently of LRRK2 kinase overactivation in patient microglia. However, we cannot rule out at this stage that longer incubation times with MLi-2 would eventually lead to diminished  $\alpha$ -synuclein levels and altered gene expression profiles in LRRK2 G2019S microglia.

Taken together, our study highlights possible mechanisms involved in the manifestation of LRRK2-PD. Based on our findings; it is conceivable that increased LRRK2 kinase activity in G2019S-mutant microglia causes an upregulation of  $\alpha$ -synuclein, which in turn induces Notch-mediated pro-inflammatory signaling. The disease specificity of the  $\alpha$ -synuclein phenotype further strengthens the notion that genetic and environmental factors can modulate the cellular consequences of LRRK2 kinase overactivation. Finally, while there are several reports in the literature demonstrating that microglia can engulf  $\alpha$ -synuclein released from neurons, we provided further evidence that PD gene mutations suffice to trigger an upregulation of the intrinsic mRNA and protein levels of  $\alpha$ -synuclein in pure microglial cultures (Haenseler et al., 2017). Additional functional studies to elucidate the proposed LRRK2 -  $\alpha$ -synuclein - Notch axis in more detail are warranted. This research will pave the way for penetrance-modifying anti-inflammatory treatment strategies for carriers of LRRK2 mutations.

### Materials and methods

### Differentiation of human iPSCs into microglia cells

Individuals who donated skin biopsies for this study (two manifesting G2019S carriers, one non-manifesting G2019S carrier, as well as a healthy control) gave written and informed consent. Skin fibroblasts were reprogrammed into iPSCs as previously described (Table S1.) (Lee et al., 2020). iPSCs were cultured in mTeSR<sup>TM</sup>1 complete medium (StemCell Technologies). Microglia cells were differentiated from iPSCs following an established protocol (van Wilgenburg et al., 2013)(Badanjak et al., 2021). For full medium compositions, please refer to Table S2. The study was approved by the Comité National d'Ethique de Recherche Luxembourg (CNER, vote 201411/05).

### Microglia treatments

Harvested macrophage precursors were seeded into 6-well plates at a density of  $1x10^6$  cells/well. After treatment with 100 ng/ml IFN- $\gamma$  (PHC4031, ThermoFisher Scientific) for 72 hours, cells were treated with MLi-2 kinase inhibitor (5756/10, R&D Systems Europe Ltd) for 2h at a concentration of 200nM. Next, cells were subjected to either protein or RNA extraction.

To assess phagocytic ability, macrophage precursors were seeded into 96-well glass-bottom plates at a density of 25 000 cells/well. Cells underwent the aforementioned treatments, and finally, 50 000 Zymosan bioparticles (ThermoFisher Scientific) were added per well for 45 minutes. Then, cells were subjected to fixation and immunocytochemistry stainings.

### RNA isolation and quantitative PCR

RNA extraction was performed following the manufacturer's instructions for direct RNA extraction from the plate using the RNeasy RNA isolation kit (Qiagen, 74106). 200ng of RNA was used to synthesize cDNA with the SuperScriptTM III Reverse Transcriptase (Invitrogen, 18080044).

Quantitative PCR (qPCR) was performed using iQ SYBR Green (Biorad, 170-8885). The expression of *PHF8* was normalized to the house-keeping genes *ACTB* and *L*27. The PCR reaction was run on a LightCycler 480.

### RNAseq and data analysis

Library preparation and sequencing were performed at BGI in Copenhagen, Denmark on the BGISeq-500 platform.

The raw RNAseq reads were first quality-filtered, which included removing adaptor sequences, contamination and low-quality reads, checking the base percentage distribution and distribution of quality scores along the reads. The sequence data was then pre-processed using the software package Rsubread (Liao et al., 2019). Gene-level differential expression analysis was conducted in the R statistical programming software (Team and Others, 2018) using the software package DESeq2 (Love et al., 2014) and filtering out genes with low expression counts using the filterByExpr-function with default parameters from the package edgeR (Robinson et al., 2010). P-value significance scores for differential expression were computed using the Wald test, and were adjusted for multiple hypothesis testing according to the Benjamini and Hochberg method (Benjamini and Hochberg, 1995).

Pathway enrichment analyses were implemented in the GeneGo MetaCore™ software (https://portal.genego.com) using the gene-level differential expression analysis results obtained with DESeq2 as input for the standard enrichment analysis workflow. The pathway over-representation analysis statistics, including false-discovery rate (FDR) scores according to the method by Benjamini and Hochberg, were determined for the GeneGo collections of cellular pathway maps, process networks and Gene Ontology gene set terms.

### Western blotting

Total protein from microglia cultures was extracted directly from the plate, using ice cold RIPA buffer (Pierce) supplemented with 1X Protease/phosphatase Inhibitor Cocktail (ThermoScientific). The whole well was washed multiple times, on ice, and the lysate suspension was transferred to an Eppendorf tube and vortexed for 20 seconds followed by incubation on ice for 20 minutes. The samples were centrifuged at 21130 g for 20 minutes at 4°C. The protein concentration was measured using the Pierce<sup>TM</sup> BCA protein kit (ThermoFisher Scientific) following the manufacturer's instructions.

Cell lysates were denatured in a loading buffer at 70°C for 10 minutes. Proteins were then separated on NuPAGE 4%–12% Bis-Tris gels (Invitrogen) in NuPAGE MES Running Buffer (NP0002) and transferred on a 0.2  $\mu$ m nitrocellulose membrane. Membranes were blocked with 5% milk in TBS-Tween (TBST, 10 mM Tris-HCl, 150 mM NaCl, 0.1% Tween-20, pH 8.0) for 1 hour at RT. Thereafter, membranes were incubated overnight at 4°C with primary antibodies (Table S3). On the next day, membranes were washed three times in TBST and incubated with the respective secondary antibodies for 1 hour at RT. Immunoreactivity was detected by enhanced chemiluminescence (ECL select western blotting detection

reagent, GE Healthcare) or near-infrared detection (Odyssey, Li-COR).

### Immunocytochemistry and image analysis

IPSCs and microglia were fixed in 4% PFA (ThermoFisher Scientific, Alfa Aesar J61899) for 15 minutes and washed twice with PBS (Westburg, LO BE17-513F). The cells were permeabilized and blocked in PBS containing 0.25% Triton X-100 and 1% BSA for 1 hour at RT followed by overnight incubation with primary antibodies (Table S3). Next, cells were washed with PBS and incubated with the corresponding secondary antibodies. Thereafter, another three washing steps with PBS were completed and Hoechst was used as a counterstain at 0.1 mg/mL for 15 minutes in PBS. CellMask Orange stain (ThermoFisher Scientific, H32713) was added in certain experiments and was used as indicated per manufacturer's protocol. To mount the cover slips onto slides, Prolong Antifade mounting media (ThermoFisher) was used. Acquisition of microglia images was performed using a Yokogawa CV8000 microscope and images of iPSCs were acquired with a Zeiss LSM 710. All images were acquired using a secondary antibody-only control, to confirm the specificity of the observed signal.

For quantitative image analysis, custom code was implemented using Matlab 2020a, and computations were hosted on the High-Performance Computing infrastructure of the University of Luxembourg (Varrette et al., 2014). Briefly,

'Iba1VolumeByCellMaskVolume' is the ratio between Iba1-positive pixels and CellMask-positive pixels per field of view. Furthermore, 'ZymosanVolumeBy-CellMaskVolume' is the ratio between Zymosan-positive pixels and CellMask-positive pixels per field of view. Finally, 'SNCAVolumeByNucleiVolume' is the ratio between α-synuclein-positive pixels and Hoechst-positive pixels per field of view, and 'SNCAVolumeByCytoplasm' is the ratio of α-synuclein-positive pixels and CellMask-positive pixels, without taking into account Hoechst-positive pixels, per field of view. The underlying Matlab and R codes are available upon request.

#### **Statistics**

All experiments were performed in at least three biological replicates of iPSC-derived microglia. The data was normalized by the average of values per replicate. GraphPad Prism software (version 9) was used for all statistical analyses. To determine the presence of outliers, we used the ROUT test. Two-way ANOVA was used for grouped values. Differences were considered significant (\*) when p-values were below 0.05.

### **Author contributions**

KB collected the data. KB, PM, SD, SS, PA, and EG performed the analysis. KB and AG wrote the manuscript, which was reviewed by all authors. PS contributed to the collection of fibroblasts and the generation of iPSCs. AG acquired funding for the study. AG was in charge of direction and planning of the study.

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### **Competing interests**

The authors report no competing interests.

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## Figure captions

**Figure 1. Kinase activity in LRRK2 iPSC-derived microglia. A)** Immunoblot of pS935 LRRK2, LRRK2 and  $\beta$ -actin, and quantification of S935 LRRK2 normalized to total LRRK2. **B)** Immunoblot of pS1292 LRRK2, LRRK2 and  $\beta$ -actin, and quantification of S1292 LRRK2 normalized to total LRRK2. **C)** Immunoblot of pRab10, Rab10 and  $\beta$ -actin, and quantification of pRab10 normalized to total Rab10. **D)** Immunoblot of LRRK2, Rab10 and  $\beta$ -actin, and quantification of LRRK2 and Rab10 normalized to  $\beta$ -actin. Data are represented as mean  $\pm$  SEM. \*p < 0.05; HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting LRRK2; M2 LRRK2, manifesting LRRK2; NM LRRK2, nonmanifesting LRRK2.

**Figure 2. Inflammatory stimulus induces upregulation of kinase activity. A)** Overview of the treatments performed during terminal differentiation of microglia cells. On day 12, cells were treated with IFN- $\gamma$  (100 ng/ml) for 72h. 2h prior to the collection of the samples, a subset of cells pretreated with IFN- $\gamma$  were further treated with MLi-2 kinase inhibitor. **B)** Immunoblots of pS1292 LRRK2, LRRK2, pRab10, Rab10, and  $\beta$ -actin. **C)** Quantification of LRRK2 protein levels, normalized for  $\beta$ -actin. **D)** Quantification of kinase activity readouts, phospho-S1292-LRRK2 and pRab10, normalized for total LRRK2 and Rab10 levels, respectively. Data are represented as mean ± SEM. \*\*\*p < 0.001; \*\*\*\*p < 0.0001; HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting LRRK2; M2 LRRK2, manifesting LRRK2; NM LRRK2, non-manifesting LRRK2.

**Figure 3. Endogenous levels of** *α***-synuclein in iPSC-derived microglia. A)** Immunocytochemistry staining for *α*-synuclein of microglia cells. **B)** Quantification of data from A). Volume of *α*-synuclein in nuclei was normalized to NucleiMask. Volume of *α*-synuclein in the cytoplasm was normalized to Cytoplasm volume (defined as CellMaskVolume - NucleiVolume). Total *α*-synuclein was calculated as a sum of nuclear (SNCAVolumebyNucleiVolume) and cytoplasmic *α*-synuclein volume (SNCAVolumeinCytoplasmVolume). Scale bar:  $50\mu$ m. **C)** Expression of *SNCA* in iPSC-derived microglia cells, upon all conditions. Data are represented as mean  $\pm$  SEM. \*p < 0.05 , \*\*p < 0.01 , \*\*\*p < 0.001; HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting PD; M2 LRRK2, manifesting PD; NM LRRK2, non-manifesting LRRK2.

**Figure 4. Expression of microglia-activating genes in iPSC-derived microglia. A)** Expression of *GPNMB* in the RNAseq dataset. **B)** Expression of *DPYD* in the RNAseq dataset. **C)** Expression of *GRAMD4* in the RNAseq dataset. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001; HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting LRRK2; M2 LRRK2, manifesting LRRK2; NM LRRK2, non-manifesting LRRK2.

Figure 5. Transcriptomic analysis of iPSC-derived microglia. A) Heatmap of 1000 DEGs across all conditions shows clustering of microglia cells. **B)** Heatmaps of 50 DEGs in IFN- $\gamma$  treated microglia, between manifesting G2019S LRRK2 microglia and healthy and gene-corrected microglia, and between manifesting and non-manifesting G2019S LRRK2 microglia. **C)** Selected gene expression levels from the first comparison: *LRRC25* and *CADM1*. **D)** Selected gene expression levels from the second comparison: *IRAK4* and *SH3PXD2B*. HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting LRRK2; M2 LRRK2, manifesting LRRK2; NM LRRK2, non-manifesting LRRK2.

**Figure 6. Pathway analysis. A)** First 20 enriched pathways in iPSC-derived microglia, upon IFN- $\gamma$  stimulation. In orange: LRRK2-PD vs healthy and genecorrected microglia; in blue: LRRK2-PD vs NM-LRRK2. **B)** Visualization of the most enriched pathway; the blue bars represent under-expressed genes, the red bars over-expressed genes; the numbers refer to the comparison (1 = "Lrrk2-PD vs. healthy and gene-corrected cells", 2 = "Lrrk2-PD vs. NM-LRRK2"). HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting LRRK2; M2 LRRK2, manifesting LRRK2; NM LRRK2, non-manifesting LRRK2

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## **Figures**

Figure 1.

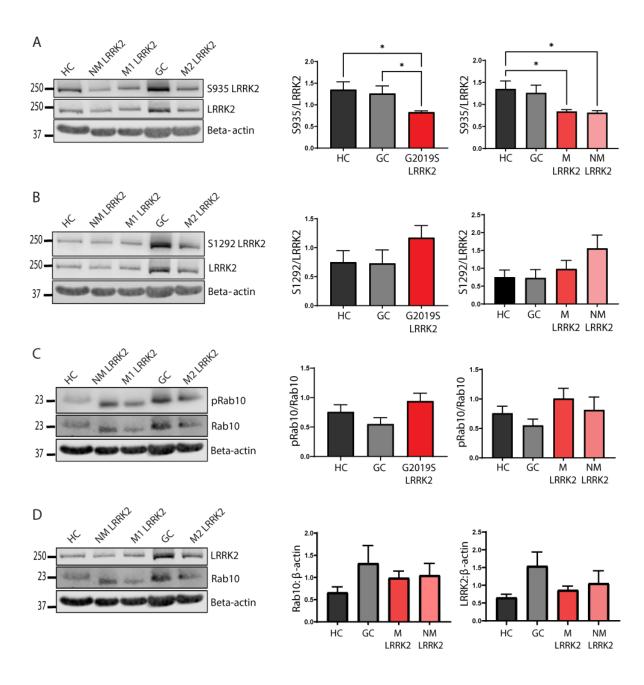
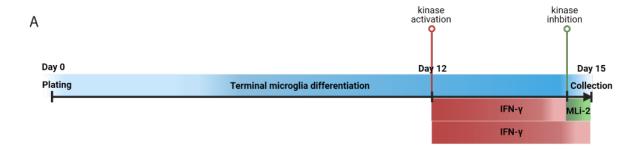
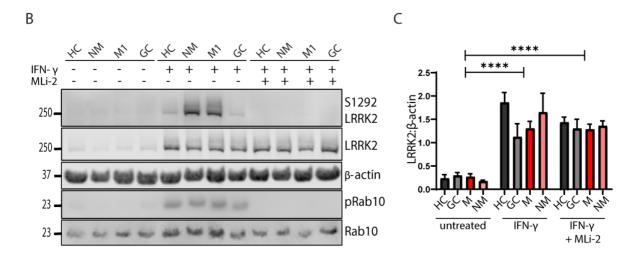
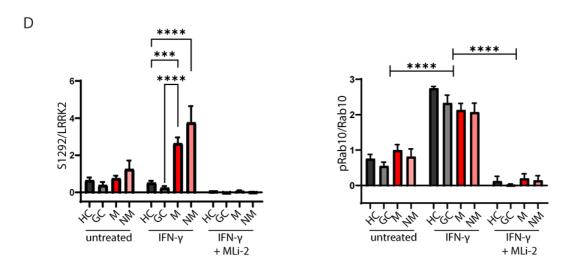


Figure 2.

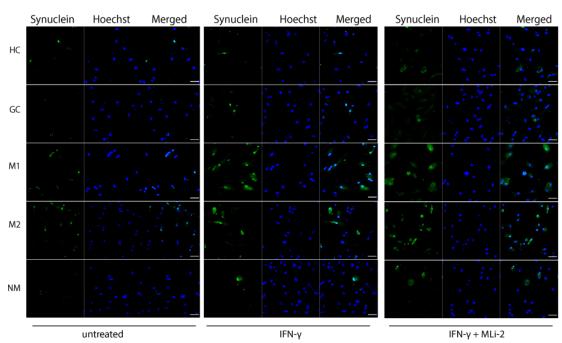




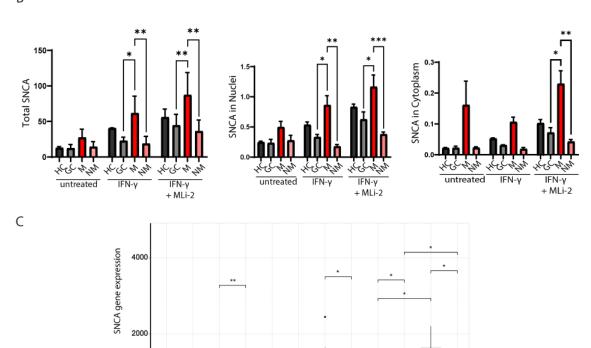


## Figure 3.

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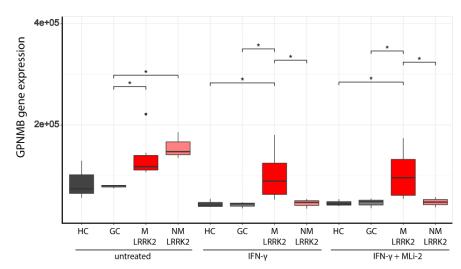


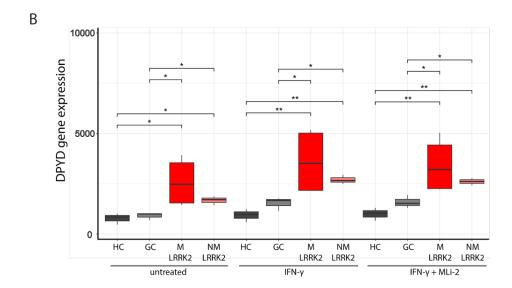
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M LRRK2

## Figure 4.







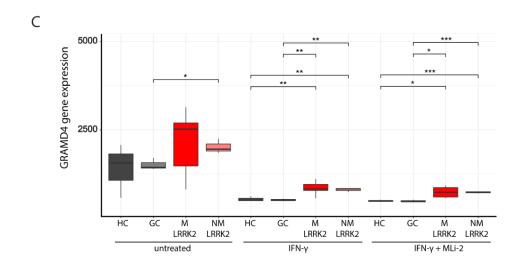
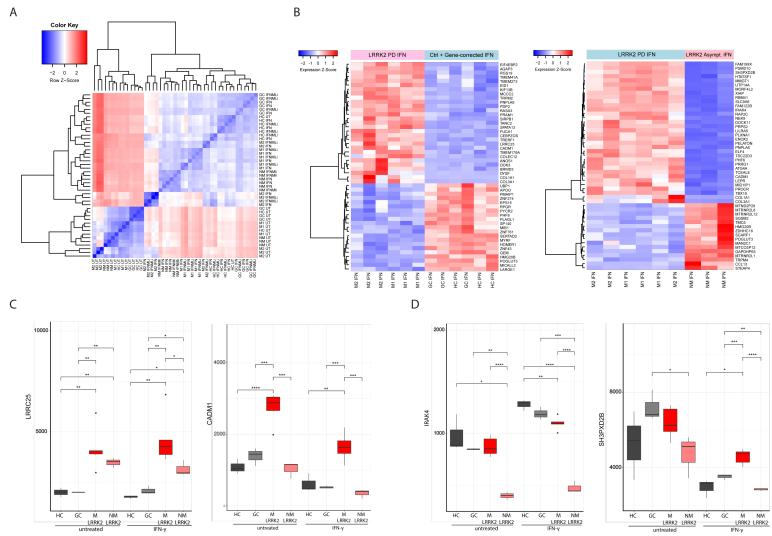
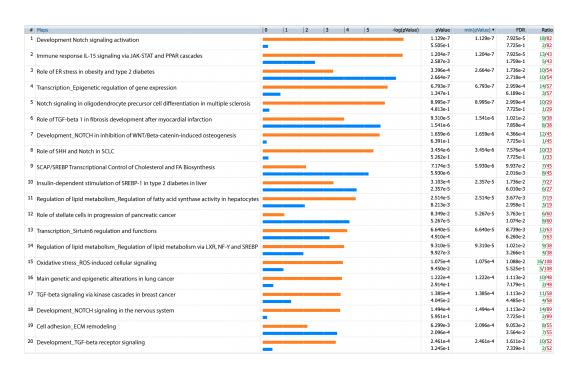


Figure 5.

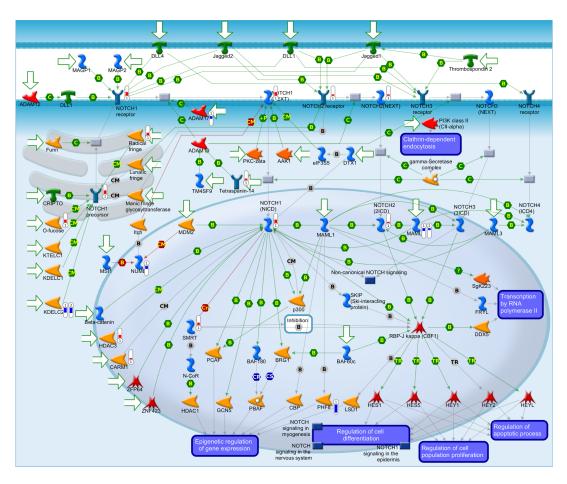


## Figure 6.

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## Supplemental information

# LRRK2 G2019S as a trigger of inflammatory signaling in patient-derived microglia

Katja Badanjak<sup>1</sup>, Patrycja Mulica<sup>1</sup>, Paul Antony<sup>1</sup>, Sylvie Delcambre<sup>1</sup>, Semra Smajic<sup>1</sup>, Carmen Venegas<sup>1</sup>, Philip Seibler<sup>2</sup>, Enrico Glaab<sup>1</sup>, Anne Grünewald<sup>1,2\*</sup>

### Figure captions

**Figure S1. Characterization of iPSC lines used in the study. A)** iPSCs were characterized for the presence of Sox-2, Nanog, and Oct-4. Immunostainings were coupled with Hoechst stain, confirming the correct cell identity. Scale bar: 50μm. HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting LRRK2; M2 LRRK2, manifesting LRRK2; NM LRRK2, non-manifesting LRRK2.

**Figure S2. Characterization of iPSC-derived microglia. A)** Immunostaining with microglia-specific marker Iba1 shows positive expression in all microglia cells. **B)** Assessment of functionality with Zymosan particles demonstrates phagocytic ability of terminally differentiated cells. **C-D)** Quantification of data from A, and B, respectively. Both Iba1 volume and Zymosan volume were normalized to the CellMask volume. Scale bar:  $50\mu$ m. Data are represented as mean  $\pm$  SEM. HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting LRRK2; M2 LRRK2, manifesting LRRK2; NM LRRK2, non-manifesting LRRK2.

**Figure S3. IFN-** $\gamma$  recapitulates S1292-LRRK2 phenotype in IPD iPSC-derived microglia. A) Immunoblot of pS1292 LRRK2, LRRK2, pRab10, Rab10, and  $\beta$ -actin. B) Quantifications of S1292-LRRK2 and pRab10 protein levels normalized for total LRRK2 and Rab10, respectively. C) Quantifications of total LRRK2 and Rab10 protein levels, normalized for  $\beta$ -actin. Data are represented as mean ± SEM. \*p < 0.05, \*\*p < 0.01, \*\*\*\*p < 0.0001; HC, healthy control; IPD, idiopathic Parkinson's disease.

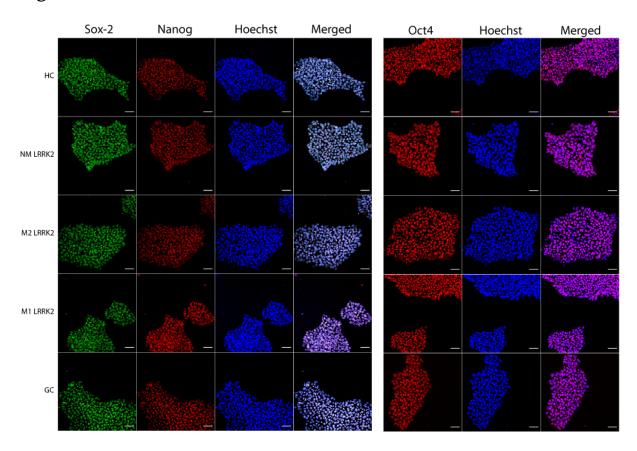
**Figure S4. Pathway analysis legend.** Visualization taken from the website: www.portal.genego.com.

**Figure S5.** *PHF8* **gene expression. A)** RNAseq analysis of *PHF8* expression levels. **B)** Validation of *PHF8* expression levels by means of qPCR, normalized for *ACTB* and *L27*. Data are represented as mean  $\pm$  SEM. \*p < 0.05 , \*\*p < 0.01 , \*\*\*p < 0.001 , \*\*\*\*p < 0.0001; HC, healthy control; GC, gene correction of M1 LRRK2; M1 LRRK2, manifesting PD; M2 LRRK2, manifesting PD; NM LRRK2, non-manifesting LRRK2.

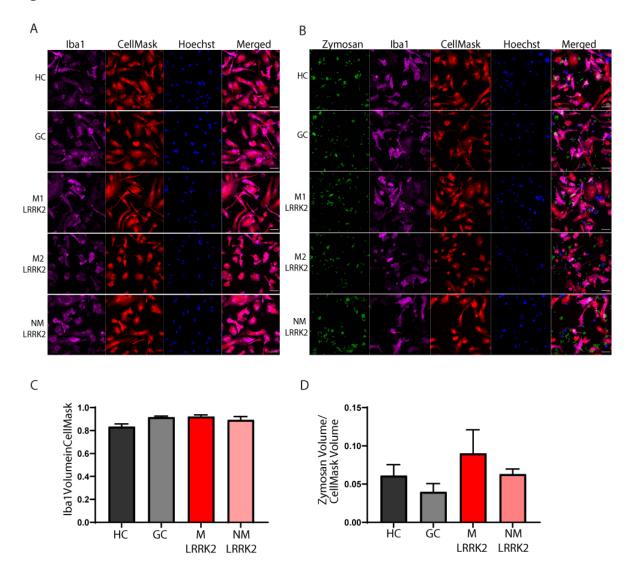
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## **Figures**

## Figure S1.

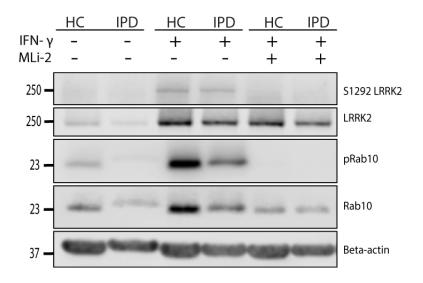


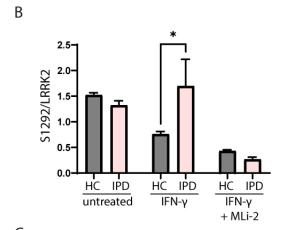
## Figure S2.

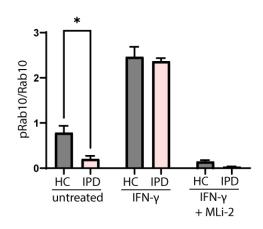


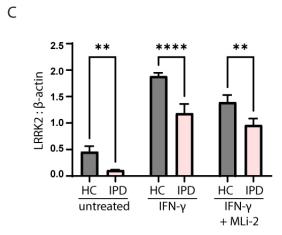
## Figure S3.

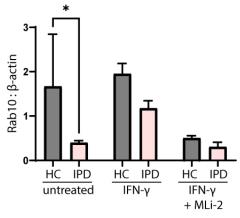




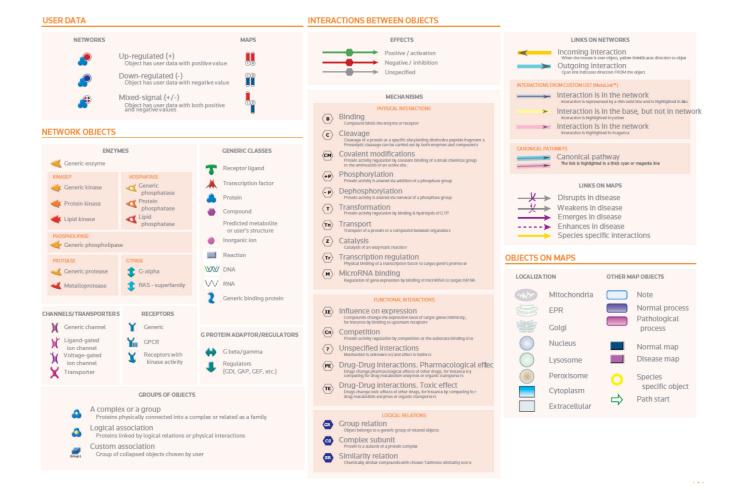








#### Figure S4.



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## Figure S5.

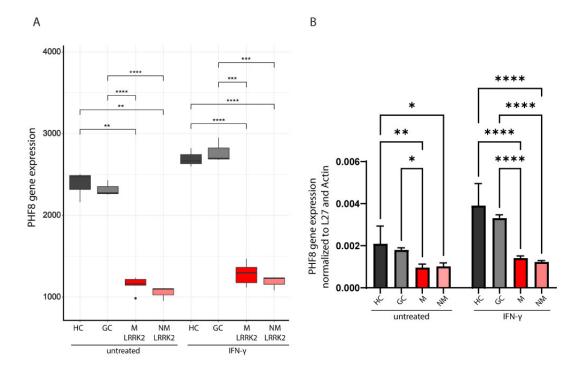


Table S1. Human iPSC lines used in the study.

LABEL	ID OF IPSC	DIAGNOSIS	GENOTYPE LRRK2	GENDER	AGE AT BIOPSY
НС	#48	Healthy	WT/WT	F	63
GC	SFC832-03-06 LRRK2WT/WT	Gene correction	WT/WT	F	77
M PD1	SFC832-03-19	PD	G2019S/WT	F	77
M PD2	L-3200	PD	G2019S/WT	F	57
NM PD	L-3085	Healthy	G2019S/WT	F	55

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## Table S2. Differentiation media

Basal medium		Supplements		
iPSC culture	mTeSR (StemCell Technologies, 100- 0276)	none		
EB formation	mTeSR (StemCell Technologies, 100- 0276)	50ng/ml BMP4 (Invitrogen, PHC9534), 50ng/ml VEGF (Invitrogen, PHC9394), 20ng/ml SCF (Mlltenyi, 130-096-695)		
Macrophage precursor factory	X-VIVO 15 (Lonza, LO BE02-060Q)	100 U/ml Pen/Strep (Gibco, 15140-122), 2mM GlutaMax (Gibco, 35050-038), 0,055 mM B-ME (Gibco, 31350-010), 100ng/ml M-CSF (Invitrogen, PHC9501), 25ng/ml IL-3 (Invitrogen, PHC0033)		
Terminal microglia differentiation	Advanced DMEM (ThermoFisher, 12634010)	100 U/ml Pen/Strep (Gibco, 15140-122), 2mM GlutaMax (Gibco, 35050-038), 1 in 100 N2 (ThermoFisher, 17502001), 0,055 mM B-ME (Gibco, 31350-010), 100ng/ml GM-CSF (Peprotech, 300-03-100ug), 100ng/ml IL-34 (Peprotech, 200-34-100ug)		

Table S3. Antibodies used either for Western blotting or immunocytochemistry experiments

ANTIBODY	SUPPLIER	<b>CATEGORY NUMBER</b>	WB	ICC
ACTIN	Sigma	A1978	1:5000	
LRRK2	UCDavis	75-188	1:1000	
PS935 LRRK2	abcam	ab133450	1:1000	
PS1292 LRRK2	abcam	ab203181	1:1000	
PAN RAB10	abcam	ab230261	1:1000	
PT73 RAB10	Nanotools	0680-100/Rab10-605B11	1:1000	
NANOG	Bioke	3580S		1:1000
SOX-2	SantaCruz	sc-365823		1:1000
OCT4	abcam	ab19857		1:1000
IBA1	Fujifilm	019-19741		1:500
A-SYNUCLEIN	Novus	NBP1-05194		1:500

## 3.2 Manuscript III

## IPSC-derived microglia as a model to study inflammation in idiopathic Parkinson's disease

Katja Badanjak<sup>1</sup>, Patrycja Mulica<sup>1</sup>, Semra Smajic<sup>1</sup>, Sylvie Delcambre<sup>1</sup>, Leon-Charles Tranchevent<sup>1</sup>, Nico Diederich<sup>2</sup>, Thomas Rauen<sup>3</sup>, Jens C. Schwamborn<sup>1</sup>, Enrico Glaab<sup>1</sup>, Sally A. Cowley<sup>4</sup>, Paul Antony<sup>1</sup>, Sandro Pereira<sup>1</sup>, Carmen Venegas<sup>1</sup>, Anne Grünewald<sup>1,5\*</sup>

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#### 3.2.1 Preface

The majority of PD patients are idiopathic, meaning there is no known (genetic) cause of the disease. High clinical and molecular heterogeneity of the disease, complex environmental influences, and genetic variability between patients, make IPD the most difficult form of PD to study. Multiple analyses investigating serum and CSF levels of certain inflammatory cytokines identified an upregulation of IL-1 $\beta$ , IL-10, IL-18, IL-6, and TNF- $\alpha$ , thus defining inflammation as one of the hallmarks of IPD (Brodacki et al., 2008; Long-Smith et al., 2009; Nagatsu and Sawada, 2005; Wang et al., 2015).

In this proof-of-concept study, we made use of publicly available bulk RNAseq datasets, and identified *IL1B* and *IL10* as inflammatory targets significantly upregulated in nigral tissue of IPD patients. Furthermore, by exploring our previously generated single nuclei-RNAseq dataset, we confirmed microglia as primary cell type involved in the expression of these cytokines. To understand if we can model these observations *in vitro*, by employing iPSC technology, we derived microglia cells from IPD and control cells. Both *IL1B* and *IL10* are involved in inflammasome activation, and lipopolysaccharide (LPS) treatment is often used in cell culture models to induce the first, priming step of the NLRP3 inflammasome activation. By applying this approach to our cultures, we observed higher levels of both *IL1B* and *IL10* in IPD compared to control microglia. Furthermore, we found higher expression of NLRP3 on both mRNA and protein level in LPS-stimulated IPD microglia. Lastly, we detected a downregulation of LRRK2, on basal mRNA levels, and on both mRNA and protein levels upon LPS treatment.

My contribution to this study included the maintenance of the cell cultures, generation of iPSC-derived microglia and subsequent treatments, RNA and protein extraction, immunocytochemistry of iPSC-derived microglia cells, and Western blotting. Furthermore, I also contributed to the analysis of the generated data. Finally, I contributed to the writing of the manuscript and have prepared figures 2, 3, 4, and 5.



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# iPSC-Derived Microglia as a Model to Study Inflammation in Idiopathic Parkinson's Disease

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Badanjak K, Mulica P, Smajic S, Delcambre S, Tranchevent L-C, Diederich N, Rauen T, Schwambom JC, Glaab E, Cowley SA, Antony PMA, Pereira SL, Venegas C and Grünewald A (2021) IPSC-Derived Microglia as a Model to Study Inflammation in diopathic Parkinson's Disease. Front. Cell Dev. Biol. 9:740758. doi: 10.3389/fcell.2021.740758. Parkinson's disease (PD) is a neurodegenerative disease with unknown cause in the majority of patients, who are therefore considered "idiopathic" (IPD). PD predominantly affects dopaminergic neurons in the substantia nigra pars compacta (SNpc), yet the pathology is not limited to this cell type. Advancing age is considered the main risk factor for the development of IPD and greatly influences the function of microglia, the immune cells of the brain. With increasing age, microglia become dysfunctional and release pro-inflammatory factors into the extracellular space, which promote neuronal cell death. Accordingly, neuroinflammation has also been described as a feature of PD. So far, studies exploring inflammatory pathways in IPD patient samples have primarily focused on blood-derived immune cells or brain sections, but rarely investigated patient microglia in vitro. Accordingly, we decided to explore the contribution of microglia to IPD in a comparative manner using, both, iPSC-derived cultures and postmortem tissue. Our meta-analysis of published RNAseq datasets indicated an upregulation of IL10 and IL1B in nigral tissue from IPD patients. We observed increased expression levels of these cytokines in microglia compared to neurons using our single-cell midbrain atlas. Moreover, IL10 and IL1B were upregulated in IPD compared to control microglia. Next, to validate these findings in vitro, we generated IPD patient microglia from iPSCs using an established differentiation protocol. IPD microglia were more readily primed as indicated by elevated IL1B and IL10 gene expression and higher mRNA and protein levels of NLRP3 after LPS treatment. In addition, IPD microglia had higher phagocytic capacity under basal conditions—a phenotype that was further exacerbated upon stimulation with LPS, suggesting an aberrant microglial function. Our results demonstrate the significance of microglia as the key player in the neuroinflammation process in IPD. While our study highlights the importance of microglia-mediated inflammatory signaling in IPD, further investigations will be needed to explore particular disease mechanisms in these cells.

Keywords: microglia, iPSC, neuroinflammation, idiopathic Parkinson's disease, disease modeling

#### INTRODUCTION

Parkinson's disease (PD) is an age-related, multifactorial disorder, resulting in the demise of dopaminergic neurons in the substantia nigra pars compacta (SNpc) of the midbrain, which subsequently leads to motor difficulties, tremor, and postural instability in affected individuals (Pang et al., 2019). While there is a genetic component to the disease, with 10% of all cases carrying a mutation in one of the causal PD genes, 90% of patients are deemed idiopathic.

The majority of studies published to date describe molecular mechanisms centered around  $\alpha$ -synuclein aggregation, mitochondrial dysfunction, dysregulated autophagy flux, and neuroinflammation as the underlying causes of PD (Wang et al., 2015; Maiti et al., 2017). Interestingly, all of these processes are also affected by aging, which leads to functional decline, both at the physiological and molecular level. Thus, it is not surprising that aging is considered a major risk factor for the development of PD (Jin et al., 2020).

Additionally, "inflammaging" is a novel term coined to define basal, low-level inflammation during adult life that, with time, turns into a destructive, pathological process. On the one hand, lower levels of inflammation are considered to have a positive outcome on the overall cellular state. On the other hand, during prolonged inflammation, beneficial mechanisms of defense start to wear off while damaging insults increase. This phenomenon might explain why seemingly low-grade inflammatory occurrences can have a significant negative impact on health in older individuals (Calabrese et al., 2018).

Inflammation is one of the hallmarks of PD and it is propagated mostly through microglia cells, which are responsible for the innate immune defense of the brain. Early brain tissue studies showed an upregulation of microglial cells in the SNpc and higher expression of human major histocompatibility complex class II (MHC-II) molecules, while in human serum and cerebrospinal fluid (CSF), increased concentrations of cytokines such as IL-1β, IL-6, TNF-α, IL-2, IL-18, and, IL-10 were detected (Nagatsu and Sawada, 2005; Brodacki et al., 2008; Long-Smith et al., 2009; Collins et al., 2012; Wang et al., 2015; Badanjak et al., 2021). In line with these results, our own immunohistochemistry and single-nuclei transcriptomic analyses in postmortem midbrain tissue revealed an increase in abundance and a decrease in the complexity of microglia in IPD tissue, suggestive of an activated state. Moreover, patient microglia presented a diseasespecific gene expression signature, indicating a significant role of these cells in the pathogenesis of the movement disorder (Smajić et al., 2020). Also, most recently, genes of the IFN-y signaling pathway were found to be dysregulated in IPD patients (Magalhaes et al., 2021).

One of the most commonly implicated inflammatory pathways in PD is the inflammasome pathway (Chao et al., 2014; Sebastian-Valverde and Pasinetti, 2020; Yan et al., 2020). The NOD-, LRR-, and pyrin domain-containing protein 3 (NLRP3) is by far the most studied inflammasome, the main function of which is the clearance of pathogens. NLRP3 is a cytosolic sensor of intracellular and extracellular stimuli

such as damage-associated and pathogen-associated molecular patterns (DAMPs and PAMPs, respectively). Two signals are necessary to fully activate this pathway, a priming signal and an activation signal. The priming signal is characterized by the upregulation of IL1B and NLRP3 expression, while the secondary signal is characterized by the release of mature cytokines (Swanson et al., 2019). Underlining the relevance of the NLRP3 inflammasome, IL-1 $\beta$  has been associated with disease pathogenesis in multiple PD biomarker studies (Koprich et al., 2008; Su et al., 2008; Nakahira et al., 2011; Gillardon et al., 2012; Pike et al., 2021).

In our current study, we investigated inflammation markers in different models of IPD. First, we explored available RNAseq transcriptomic datasets from postmortem midbrain tissues to assess the expression of key cytokines in IPD. Next, we differentiated microglia from iPSC from IPD and control donors to test whether these cells can mirror the phenotypes observed in the brain. We detected elevated levels of *IL1B* and *IL10* in whole tissue or single cell RNAseq datasets from IPD nigral or midbrain sections. Indicative of the fidelity of iPSC-derived cellular PD models, both *IL1B* and *IL10* were also upregulated in patient microglia upon lipopolysaccharide (LPS) treatment. This coincided with increased protein abundance of NLRP3 in these cells, further implicating the inflammasome in the pathogenesis of PD.

#### **MATERIALS AND METHODS**

#### **Bulk RNA Cytokine Expression Analysis**

To profile the expression of cytokines in human SN tissue, we used a differential expression meta-analysis of publicly available case-control transcriptomics datasets, including only SN samples, as previously described (Glaab and Schneider, 2015). This provided meta-analysis Z-scores and FDR significance scores for candidate genes of interest (see **Supplementary Table 1**).

#### Postmortem Single-Nuclei RNA Sequencing of Human Midbrain

To analyze cytokine expression in a single-cell landscape, in this study, we used our previously published snRNAseq dataset from five IPD and six control postmortem midbrain tissues (GSE157783). The normalization, sample integration and cell clustering were performed using *Seurat* (version 3.1.5) in R 4.0.0., as described in Smajić et al. (2020).

The gene expression analysis was performed in neuronal and microglial clusters derived from our snRNAseq dataset (Smajić et al., 2020). For each of the two clusters, pseudobulk populations were created by merging all cells in the cluster from every individual in order to present the overall expression of cytokines. Then, the expression was presented as a sum of expressions of each individual cell and displayed in a bar plot using "ggplot2" and "gg.gap" packages. The cytokine expression in microglia was shown in both conditions using the "DotPlot" function.

## Differentiation of Human iPSCs Into Microglia

An IPD patient as well as an age- and gender-matched control (both female, age: 68; IPD patient AAO: 60), who donated skin biopsies for the study, gave written and informed consent. Skin fibroblasts were reprogrammed into iPSCs as previously described (Arias-Fuenzalida et al., 2017). The study was approved by the Comité National d'Ethique de Recherche Luxembourg (CNER, vote 201411/05 V1.3). iPSCs were maintained in mTeSR<sup>TM</sup>1 complete medium (StemCell Technologies). Microglia were differentiated from iPSCs following an established protocol (van Wilgenburg et al., 2013; Haenseler et al., 2017). In brief, embryoid bodies (EBs) were generated from iPSCs in mTeSR Plus (STEMCELL Technologies) supplemented with 50 ng/ml BMP-4 (Invitrogen), 50 ng/ml VEGF (Invitrogen) and 20 ng/ml SCF (Miltenyi). On day 4, EBs were transferred to a low attachment 6-well plate and were replenished with fresh EB media. On day 7, the medium was changed to X-VIVO 15 (Lonza) supplemented with 25 ng/ml IL-3 (Invitrogen), 100 ng/ml M-CSF (Invitrogen), 2 mM Glutamax (Gibco), 1% P/S (Gibco) and 0.055 mM β-mercaptoethanol (Gibco) and the EBs were transferred to T75 flasks (factories). These conditions promoted the generation of macrophage precursors. The factories were kept in culture for up to 6-8 months and macrophage precursors were harvested regularly. Terminal differentiation was achieved by culturing macrophage precursors in advanced DMEM/F12 supplemented with N2, Glutamax, P/S, β-mercaptoethanol, 100 ng/ml IL-34 (Peprotech) and 10 ng/ml GM-CSF (Peprotech). During all steps of the differentiation, cells were incubated at 37°C, 5% CO<sub>2</sub>.

#### Microglia Treatments

Microglia were seeded into 6-well plates at a density of  $1\times10^\circ6$  cells/well. Upon treatment with 100 ng/ml LPS (Thermo Fisher Scientific 00-4976-93) for 3 h, cells were subjected to protein and RNA extractions. For functional analyses, microglia were seeded into 96-well glass-bottom plates at a density of 25,000 cells/well. Cells were treated with 50,000 Zymosan bioparticles (Thermo Fisher Scientific) per well for 45 min. After, cells were subjected to fixation (described in more detail below).

#### RNA Isolation and Quantitative PCR

RNA was isolated from microglia using the RNeasy RNA isolation kit (Qiagen, 74106) following the manufacturer's instructions for direct RNA extraction from the plate. cDNA was synthesized from 200 ng of RNA using the SuperScript<sup>TM</sup> III Reverse Transcriptase (Invitrogen, 18080044). Quantitative PCR (qPCR) was performed using iQ SYBR Green (Biorad, 170-8885). The PCR reaction was run on a LightCycler 480 (Roche). The samples were denatured for 5 min at 95°C. Amplification ran over 45 cycles with a denaturation step of 10 s at 95°C, primer annealing of 10 s at 60°C, and elongation of 10 s at 75°C. The expression of *IL1B*, *IL10*, *LRRK2*, and *NLRP3* was normalized to the expression of the housekeeping gene *ACTB*.

#### **Western Blotting**

Total protein from microglia cultures were extracted directly from the plate, using ice cold RIPA buffer (Pierce) supplemented with 1X Protease/phosphatase Inhibitor Cocktail (Thermo Fisher Scientific). The whole well was washed multiple times, on ice, and the lysate suspension was transferred to an Eppendorf tube and vortexed for 20 s followed by incubation on ice for 20 min. The samples were centrifuged at 21,130 g for 20 min at 4°C. The protein concentration of the cell lysates was measured using a bicinchoninic acid assay using Pierce<sup>TM</sup> BCA protein kit (Thermo Fisher Scientific) following the manufacturer's instructions.

Cell lysates were denatured in a loading buffer at 95°C for 5 min prior to loading on the gels. Proteins were then separated on NuPAGE 4-12% Bis-Tris gels (Invitrogen) in NuPAGE MES Running Buffer (NP0002) and transferred on a 0.2  $\mu m$ nitrocellulose membrane. Membranes were blocked with 5% milk in TBS supplemented with Tween-20 (TBST, 10 mM Tris-HCl, 150 mM NaCl, 0.1% Tween-20, pH 8.0) for 1 h at RT. Thereafter, membranes were incubated overnight at 4°C with the following primary antibodies: 1:1,000 anti-NLRP3 (D4D8T, Cell Signaling), 1:500 anti-LRRK2 (75-188, UC Davis), 1:10,000 anti-β-actin (A1978, Sigma). On the next day, membranes were washed three times in TBST and incubated with the respective secondary antibodies for 1 h at RT. Immunoreactivity was detected by enhanced chemiluminescence reaction (ECL select Western blotting detection reagent, GE Healthcare) or nearinfrared detection (Odyssey, Li-COR).

## Immunocytochemistry and Image Analysis

IPSCs and microglia were fixed in 4% PFA (Thermo Fisher Scientific, Alfa Aesar J61899) for 15 min and washed twice with PBS (Westburg, LO BE17-513F). The cells were permeabilized and blocked in PBS containing 0.25% Triton X-100 and 1% BSA for 1 h at RT followed by overnight incubation with primary antibodies: anti-Nanog (3580S, Bioke), anti-Sox2 (sc-365823, Santa Cruz), anti-Oct4 (ab19857, Abcam), anti-Iba1 (ab5076, Abcam), anti-P2RY12 (APR-020-F, Alomone labs). On the next day, cells were washed and incubated with the corresponding secondary antibodies. Thereafter, another three washing steps with PBS were completed and Hoechst was used as a counterstain at 0.1 mg/ml for 15 min in PBS. To mount the cover slips onto slides, Prolong Antifade mounting media (Thermo Fisher Scientific) was used. Acquisition of microglia images was performed using a Zeiss LSM 710 and Yokogawa CV8000 microscope, and images of iPSC were acquired with a Zeiss Axio Imager M2. All acquired images were normalized for secondary-only antibody control, to confirm specificity of the signal observed.

For quantitative image analysis, custom code was implemented using MATLAB 2020a, and computations were performed using the High-Performance Computing (HPC) infrastructure of the University of Luxembourg (Varrette et al., 2014). Briefly, the "ZymosanAreaByIba1Area" is the ratio between Zymosan positive pixels and Iba1 positive pixels per

field of view. Furthermore, the mean abundance of Iba1 has been quantified as ratio of Iba1 area per nuclei count. The underlying MATLAB code is available upon request.

#### **Statistics**

All experiments carried out using iPSC-derived microglia were performed with 3–4 biological replicates. The data was normalized by the average of values per replicate. For statistical analyses, GraphPad Prism software (version 9) was used. To evaluate the presence of outliers, we used the ROUT test. Two-way ANOVA was used for grouped values. Differences were considered significant (\*) when *p*-values were below 0.05.

#### **RESULTS**

#### Idiopathic Postmortem Midbrain Tissue Is Exhibiting Increased Cytokine Gene Expression

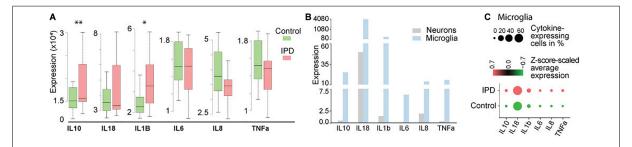
Studies implicating inflammatory cytokines in PD have been mostly conducted on neurotoxin and genetic animal models, or by analyzing peripheral blood samples and CSF from PD patients (Badanjak et al., 2021). To further confirm if these findings are indeed occurring in the brain of IPD patients, we analyzed available transcriptomic datasets. The transcriptomics data of human IPD and control SN revealed a significant increase in IL1B and IL10 expression in the patient tissue (FDR = 0.023; FDR = 0.0067, respectively) (Figure 1A and Supplementary Table 1). A recent study showed that inflammation is not only mediated by microglia but can also be observed in neurons from an IPD mouse model (Panicker et al., 2020). In order to understand whether the detected immune signatures in the human SN are also cell-type specific, we examined our midbrain snRNAseq dataset to obtain an insight into transcriptional changes with single-cell resolution. We confirmed that the expression of cytokines is specific to microglia (Figure 1B). Further analysis of the microglia population revealed higher expression and a larger percentage of expressing cells in IPD compared to control tissue (Figure 1C and Supplementary Table 2).

## Characterization of iPSC-Derived Microglia Model

IPD patient and control microglia were generated using an established protocol (van Wilgenburg et al., 2013; Haenseler et al., 2017; Figure 2A). The available iPSC lines were characterized by immunostaining with the stem cell markers Nanog, Sox2 and Oct4 (Figure 2B). Differentiation of iPSCs into microglia was achieved with the addition of multiple factors throughout the differentiation process (Figure 2A) to mimic microglia development in the human embryo. The microglial identity of cells was confirmed by positive expression of Iba1 and purinergic receptor (P2RY12) (Figure 2C). Comparable Iba1 areas per nuclei suggest that the disease status of the investigated lines did not have an impact on the differentiation procedure (Figure 2D). Additionally, we wanted to functionally characterize microglia cells by treating them with Zymosan bioparticles and assessing their phagocytic ability. While both control and IPD microglia were able to phagocyte the bioparticles, IPD microglia had a higher capacity (mean: 0.02493, SD = 0.02472) compared to control microglia (mean: 0.01606, SD = 0.01304; ANOVA: \*\*\*p = 0.0004). Furthermore, although the mean phagocytic capacity after LPS treatment was not significantly different between untreated and treated cells, IPD microglia had a significantly higher uptake of Zymosan particles compared to control cells upon addition of LPS (CTR/LPS mean: 0.01897, SD = 0.01695; IPD/LPS mean: 0.02683, SD = 0.02835; ANOVA: \*\*p = 0.0070) (**Figure 3**).

# Upregulation of NOD-, LRR- and Pyrin Domain-Containing Protein 3 Inflammasome Components in Idiopathic Microglia

To test the transferability of our findings from postmortem single-nuclei transcriptomics to live cells, we investigated the gene expression of *IL1B* and *IL10* in iPSC-derived IPD microglia. Moreover, we quantified the mRNA and protein levels of the NLRP3 inflammasome, the inflammatory pathway most associated with chronic inflammation in PD. The priming step in inflammasome assembly is frequently mimicked by LPS



**FIGURE 1** Cytokine expression in bulk RNA-seq and single-nuclei RNA-seq datasets. **(A)** Bulk analysis of SN tissue displays higher expression of IL1B and IL10 in IPD (FDR = 0.023; FDR = 0.0067). **(B)** Single-nuclei analysis of the human midbrain shows that the overall expression of cytokines is higher in microglia (3903 cells) than in neurons (5314 cells). **(C)** Cytokines are expressed in a large number of IPD and control microglia. Z-score-scaled average expression per cluster shows higher levels in IPD compared to control microglia. "DotPlot" function (Seurat package) was used to visualize expression and percentage of cytokine-expressing cells. \*p < 0.05; \*\*p < 0.01; IPD, idiopathic PD.

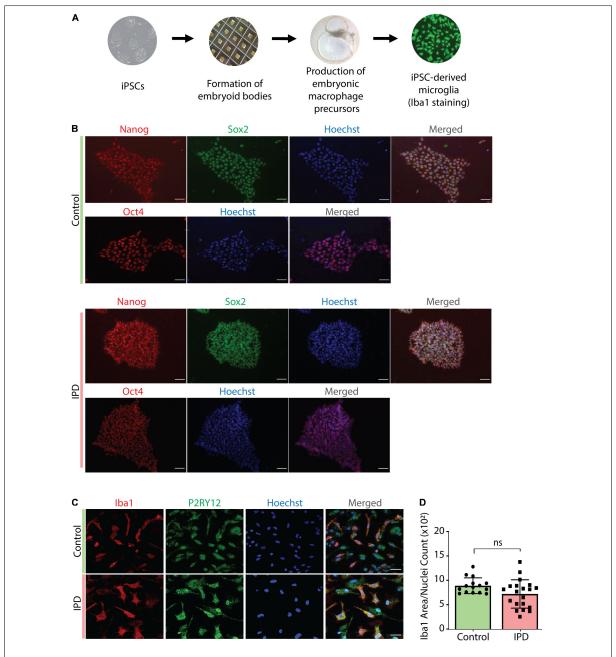
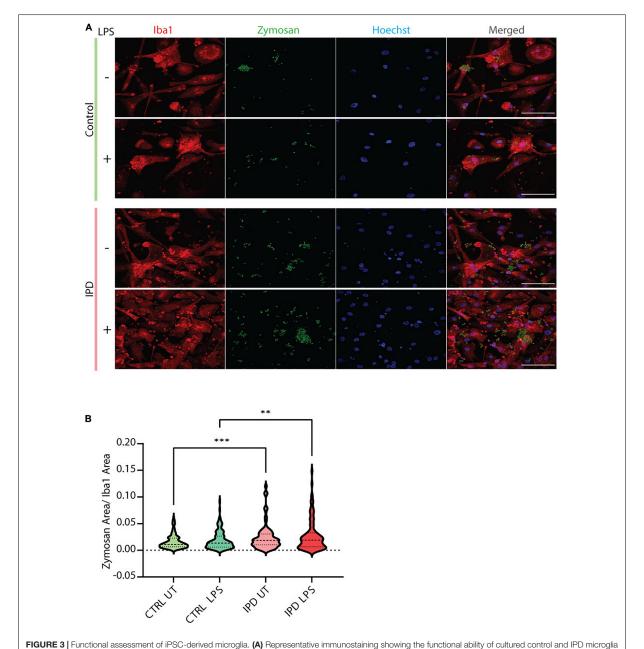


FIGURE 2 | Characterization of cellular models used in the study. (A) Overview of the protocol used to derive microglia from iPSCs. (B) Immunostaining with Nanog, Sox-2 and Oct-4, coupled with nuclear staining with Hoechst, confirmed the iPSC identity of our cells. Scale bar, 50 μm. (C) Terminally differentiated microglia were confirmed to express the microglia-specific markers lba1 and P2RY12. Scale bar, 30 μm. (D) Quantification of data from (C); no difference in lba1 protein levels (lba1 area was normalized for nuclei count); IPD, idiopathic PD; CTR, healthy control.

treatment (McKee and Coll, 2020). At baseline, IPD and control microglia did not show significant differences (data not shown). However, upon LPS treatment, the expression levels of *IL1B* and *IL10* increased significantly in both conditions compared

to the respective untreated cells (*IL1B* CTR/LPS fold mean: 1.558, SD=0.117; ANOVA: \*\*p=0.0098; IPD/LPS fold mean: 3.880, SD=0.323; ANOVA: \*\*\*\*p<0.0001; *IL10* CTR/LPS fold mean: 3.409, SD=0.342, ANOVA: \*\*\*\*p<0.0001; IPD/LPS



treated with Zymosan bioparticles. Scale bar, 50  $\mu$ m. **(B)** Quantification of data from **(A)**; IPD microglia have higher phagocytic capacity (Zymosan area was normalized for lba1 area) compared to control cells. \*\*p < 0.01; \*\*\*p < 0.001; IPD, idiopathic PD; CTRL, healthy control.

fold mean: 7.717, SD=0.530, ANOVA: \*\*\*\*p<0.0001). When comparing the treatment response between both conditions, IPD microglia showed significantly higher IL1B and IL10 expressions fold changes compared to control cells (IL1B LPS mean: 2.719, SD=1.642; ANOVA: \*\*\*\*p<0.0001; IL10 LPS mean: 5.563, SD=3.047, ANOVA: \*\*\*\*p<0.0001). Additionally, when investigating NLRP3 expression, only IPD microglia showed a significant upregulation upon LPS treatment, compared to

both untreated IPD cells (*NLRP3* IPD/LPS fold mean: 3.984, SD=0.250; ANOVA: \*\*\*\*p<0.0001) and to LPS-treated healthy microglia (*NLRP3* LPS mean: 2.627, SD=1.918; ANOVA: \*\*\*\*p<0.0001) (**Figure 4A**).

Furthermore, NLRP3 protein levels corroborated the gene expression results. Again, both control and IPD microglia had significantly higher NLRP3 protein levels upon LPS addition compared to their respective basal levels (CTR/LPS fold mean:

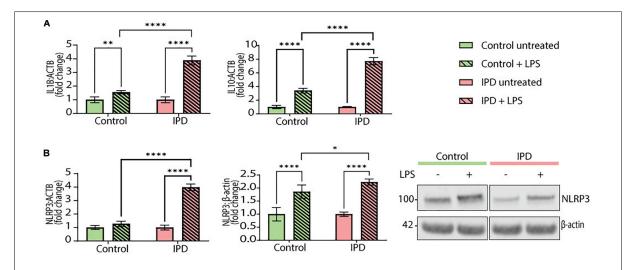


FIGURE 4 | Inflammatory phenotype and LRRK2 levels in iPSC-derived microglia. (A) IL1B and IL10 gene expression (normalized to ACTB) in control and IPD microglia; a quantitative PCR showed higher gene expressions of IL1B and IL10 in IPD compared to control cells upon priming with LPS (100 ng/ml). Values are represented as fold changes of untreated cells. (B) NLRP3 mRNA and protein levels (normalized to ACTB and β-actin, respectively) are increased in IPD microglia compared to control cells upon priming with LPS (100 ng/ml). Values are represented as fold change of untreated cells. \*p < 0.05; \*\*p < 0.01; \*\*\*\*p < 0.001; IPD, idiopathic PD, NLRP3, NOD-, LRR-, and pyrin domain-containing protein 3.

1.866, SD=0.254; IPD/LPS fold mean: 2.231, SD = 0.115; ANOVA: \*\*\*\*p<0.0001). However, when comparing across conditions, IPD microglia showed a significant upregulation compared to treated control glia (LPS mean: 2.048, SD=0.2585; ANOVA: \*p=0.0416) (Figure 4B).

#### Downregulation of Leucine-Rich Repeat Kinase 2 Upon Lipopolysaccharide Treatment in Idiopathic Microglia

Leucine-rich repeat kinase 2 (LRRK2) is a protein implicated in both the idiopathic and genetic forms of PD. It is highly expressed in cells of the immune system and associated with immune disorders (Van Limbergen et al., 2009; Umeno et al., 2011) as well as infectious diseases (Zhang et al., 2009; Weindel et al., 2020). Its pathogenic effects have been extensively studied in the context of LRRK2-PD and in some instances in IPD. Basal levels of LRRK2 expression were significantly downregulated in IPD microglia (CTR mean: 1.355, SD = 0.136; IPD mean: 0.929, SD = 0.244; ANOVA: \*p = 0.0226), while control cells had significantly lower expression upon LPS treatment (CTR mean: 1.355, SD = 0.136; CTR/LPS mean: 0.915, SD = 0.178; ANOVA: \*p = 0.0186) (Figure 5A). Furthermore, we investigated LRRK2 protein levels in our iPSC-derived microglia and saw a non-significant downregulation in untreated IPD microglia compared to controls (CTR mean: 1.332, SD = 0.257; IPD mean: 0.615, SD = 0.425; ANOVA: p = 0.0593). Moreover, after stimulating the cells with LPS, IPD microglia had significantly less LRRK2 protein compared to LPS-treated control glia (CTR/LPS mean: 1.649, SD = 0.391; IPD/LPS mean: 0.402, SD = 0.215; ANOVA: \*\*p = 0.0036) (**Figure 5B**).

#### **DISCUSSION**

Although the majority of PD cases suffer from the idiopathic form of the movement disorder, the cause of neurodegeneration in these individuals has not been extensively investigated. Genome wide association studies (GWAS) identified over 40 PD risk loci, the majority of which overlaps with known autosomal dominant PD genes, most notably *SNCA* and *LRRK2*, while other studies revealed the presence of heterozygous variants in autosomal recessively inherited PD genes (Simón-Sánchez et al., 2009; Nalls et al., 2014; Zeng et al., 2018; Germer et al., 2019; Lai et al., 2020). The main difficulty scientists are facing when studying IPD is the heterogeneous nature of the disease, which is further exacerbated by a plethora of environmental and epigenetic influences.

Inflammation has been considered a hallmark of PD since the late 1980's, when an upregulation of reactive microglia was first seen in patient brain tissue samples (McGeer et al., 1988). Positron emission tomography (PET) imaging dyes, which allow visualizing activated microglia *in vivo* over time, have been tested as biomarkers for disease progression (Gerhard et al., 2006; Terada et al., 2016; Roussakis and Piccini, 2018). Unfortunately, however, the findings from PET studies have not been successfully transferred to the clinic and the exact molecular mechanisms triggering neuroinflammation in PD currently remain elusive.

Thus, in this study, we investigated patient-derived microglia to explore the inflammatory component of IPD. First, we made use of publicly available transcriptomic data from nigral postmortem tissue to assess the expression of different cytokines in IPD patients compared to age-matched controls. Previous reports established certain secreted cytokines as reliable biomarkers in serum and plasma of PD patients, among them

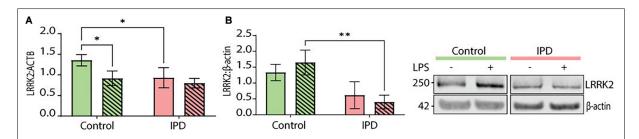


FIGURE 5 | LRRK2 levels in iPSC-derived microglia. (A) IPD microglia have a significant decrease of *LRRK2* expression at basal level (normalized to *ACTB*). (B) IPD microglia show a significant downregulation of total LRRK2 protein levels (normalized to β-actin) compared to control cells upon LPS treatment only. \*p < 0.05; \*\*p < 0.01; IPD, idiopathic PD; LRRK2, Leucine-rich repeat kinase 2.

IL-1β, IL-18, IL-6, IL-10, IL-8, TNF- $\alpha$  (Nagatsu et al., 2000; Brodacki et al., 2008; Qin et al., 2016). In line with these studies, our meta-analysis of published brain SNpc case-control transcriptomics datasets indicated elevated levels of *IL10* and *IL1B* in IPD patients.

To explore the cellular origin of this upregulation in IPD, we made use of our previously generated snRNAseq dataset from midbrain IPD and control tissue. Multiple cytokines, including *IL10* and *IL1B*, were predominantly expressed in microglia. This is in accordance with microglia acting as the main player of the immune system in the CNS. Moreover, in the same published dataset, we observed an increase in the microglia number as well as morphological alterations, indicative of an activated state, in IPD patients (Smajić et al., 2020). Next, to corroborate our findings from homogenized SN tissue, we investigated whether any of the aforementioned cytokines show an IPD-specific expression pattern in microglia. While we observed an increase in the expression of all investigated candidates in the patient compared to control cells, the levels of *IL10*, *IL18*, and *IL1B* were the most abundant.

Albeit informative, these postmortem results may be confounded by the fact that they only represent the molecular situation during the latest stage of the disease. Thus, to study inflammatory phenotypes and pathways in an in vitro IPD model, we differentiated microglia from control and patientderived iPSCs using a published protocol (van Wilgenburg et al., 2013; Haenseler et al., 2017). While cultured IPD microglia did not show altered morphology (data not shown), we observed elevated phagocytosis in these cells indicative of overactive immune function. Phagocytosis is an integral part of microglial homeostatic function, and is not only involved in the recognition of self and non-self threats, but also in the engulfment of synaptic elements and the pruning process. Furthermore, enhanced and uncontrolled clearance is contributing to synaptic degeneration. Indeed, multiple PD studies showed loss of presynaptic terminals and synaptic changes in PD patient compared to control brains (Delva et al., 2020; Matuskey et al., 2020). It is also worth noting that disrupting the phagocytic ability of mouse glia was sufficient to rescue the neuronal degeneration phenotype observed in these animals after LPS injection (Bodea et al., 2014). Since we observed the differences in phagocytosis already at basal level, one may speculate that the genetic background in IPD glia

contributes to the development of the disease. However, it is still unclear whether overactive, defective or perturbed uptake triggers PD pathogenesis (Janda et al., 2018).

To further validate our findings from postmortem tissue, we analyzed different inflammasome components in the iPSCderived microglia cultures. IPD microglia were more reactive after priming with LPS, as indicated by enhanced expressions of IL1B and IL10, and higher mRNA and protein levels of NLRP3 compared to treated control cells. Higher levels of IL1B and NLRP3 in IPD microglia indicate a stronger priming step, which is necessary for downstream inflammasome activation and immune response. While we are the first to show NLRP3 dysregulation in iPSC-derived IPD microglia, our results are in agreement with findings from genetic PD models. Specifically, α-synuclein fibrils were shown to induce NLRP3 activation, and loss of the PD-associated protein Parkin triggered the release of mitoDAMPs into the cytosol, which in turn activated the NLRP3 inflammasome in mice (Zhong et al., 2016; Gordon et al., 2018; Ji et al., 2020; Pike et al., 2021). Moreover, NLRP3 was shown to regulate IL10 levels in mice macrophages, with IL10 production being decreased in NLRP3<sup>-/-</sup> mice (Gurung et al., 2015; Kobayashi et al., 2016). This is consistent with our observation of IL10 and NLRP3 co-regulation. In line with a biomarker study in serum, IPD patients had higher levels of IL-10 compared to healthy individuals (Rentzos et al., 2009). Furthermore, while the relationship of IL-1β and IL-10 has not been extensively studied in the context of PD, there are reports showing that, under inflammatory conditions, IL-10 selectively inhibits the release of IL-1β (Sun et al., 2019). Patientderived microglia will be a useful model to explore the molecular mechanisms linking IL-10 and IL-1β in PD in more detail.

Further of interest with regard to inflammation in genetic but also IPD is the kinase LRRK2. Affected individuals harboring mutations in LRRK2 closely mirror the clinical picture of IPD patients (Tolosa et al., 2020) with kinase activity dysregulation being a shared feature of both forms of the disease. Due to its high abundance in immune cells, researchers have speculated that LRRK2 may be crucially involved in the regulation of neuroinflammatory processes (Gardet et al., 2010; Di Maio et al., 2018; Fyfe, 2018). Studies investigating *LRRK2* expression in IPD brain tissue showed a significant downregulation in dopaminergic neurons, which may contribute to the pathology

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of the movement disorder (Simunovic et al., 2009; Sharma et al., 2011; Yılmazer et al., 2021). In agreement with these reports, we detected significantly reduced *LRRK2* expression and a trend toward lower LRRK2 protein abundance in IPD patient microglia at baseline. Inflammatory insults exacerbated this phenotype, leading to a further reduction in LRRK2 protein levels in the IPD patient-derived cells. However, the exact pathways connecting LRRK2 downregulation to microglia dysfunction in IPD warrant further investigation.

Taken together, inspired by published biomarker studies, we investigated inflammatory phenotypes in different models of IPD. In both, nigral and midbrain RNAseq datasets, we observed a disease-specific upregulation of IL10 and IL1B. Furthermore, from our postmortem single-cell results, we derived that this overexpression predominantly stems from microglia. Next, to test whether we could reproduce this phenotype in a dish, we generated iPSC-derived IPD microglia. Further implicating IL10 and IL1B, in IPD, the expression of these cytokines was also enhanced in patient microglia upon LPS treatment. Finally, we identified an upregulation of NLRP3 on RNA and protein level, corroborating our findings concerning IL10 and IL1B. However, in light of the variability of sporadic PD, our results from a small sample may only be representative for a subset of IPD cases, warranting validation studies in larger cohorts. Moreover, while our study highlights the relevance of microglia in IPD, further experiments will be needed to decipher the exact pathways triggering neuroinflammation in sporadic PD patients.

#### **DATA AVAILABILITY STATEMENT**

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: https://www.ncbi.nlm.nih.gov/geo/, GSE157783; https://www.ncbi.nlm.nih.gov/geo/, GSE8397.

#### **ETHICS STATEMENT**

Patients gave written and informed consent. The study was approved by the Comité National d'Ethique de Recherche Luxembourg (CNER, vote 201411/05 V1.3).

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#### **AUTHOR CONTRIBUTIONS**

SAC provided training in iPSC-derived microglia. KB, PM, SD, and SLP collected the data. KB, PM, SS, L-CT, PMAA, and EG performed the analysis. KB, PM, SS, PMAA, CV, and AG wrote the manuscript, which was reviewed by all authors. CV and AG conceived the study. ND, TR, and JCS contributed to the establishment of fibroblast cultures and iPSC generation from patient and control cells. AG acquired funding for the study and was in charge of direction and planning of the study.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcell.2021. 740758/full#supplementary-material

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#### Supplemental information

# IPSC-derived microglia as a model to study inflammation in idiopathic Parkinson's disease

Katja Badanjak<sup>1</sup>, Patrycja Mulica<sup>1</sup>, Semra Smajic<sup>1</sup>, Sylvie Delcambre<sup>1</sup>, Leon-Charles Tranchevent<sup>1</sup>, Nico Diederich<sup>2</sup>, Thomas Rauen<sup>3</sup>, Jens C. Schwamborn<sup>1</sup>, Enrico Glaab<sup>1</sup>, Sally A. Cowley<sup>4</sup>, Paul Antony<sup>1</sup>, Sandro Pereira<sup>1</sup>, Carmen Venegas<sup>1</sup>, Anne Grünewald<sup>1,5\*</sup>

### Supplementary Table 1. Gene expression analysis of human IPD and control SN transcriptome.

Gene symbol	Z-score	FDR
IL1B	2.273739646	0.022981645
IL6	-0.293008563	0.769515607
IL10	2.710259928	0.00672305
IL18	1.512416069	0.13042805
IL8	1.290107048	0.197013493
TNF	-0.37382918	0.708531408

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### Supplementary Table 2. Gene expression analysis in IPD and control microglia of the human midbrain single-cell transcriptome.

Gene symbol	Average expression	Percent expressed	cluster_id
IL1B	0.325002368	5.55967383	Microglia_IPD
IL6	0.003882633	0.11119348	Microglia_IPD
IL18	3.170897328	63.45441067	Microglia_IPD
TNF	0.011861339	0.48183840	Microglia_IPD
IL10	0.015103408	0.70422535	Microglia_IPD
IL8	0.014423550	0.29651594	Microglia_IPD
IL1B	0.306312685	2.98755187	Microglia_Control
IL6	0.002964900	0.08298755	Microglia_Control
IL18	3.089786862	59.33609959	Microglia_Control
TNF	0.000000000	0.00000000	Microglia_Control
IL10	0.007378525	0.24896266	Microglia_Control
IL8	0.002578054	0.08298755	Microglia_Control

#### 3.3 Manuscript IV

## Mitochondrial damage-associated inflammation highlights biomarkers in PRKN/PINK1 parkinsonism

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#### 3.3.1 Preface

Certain innate immune responses can be a direct consequence of mitochondrial dysfunction. The PD-linked protein PINK1 and Parkin are regulating the process of mitophagy and are known as suppressors of inflammation (Litwiniuk et al., 2021). Disruptive mitophagy can lead to the release of mitochondrial damage–associated molecular patterns (mtDAMPs) such as mtROS, mtDNA, and cytochrome c. Furthermore, the release of mtDNA was shown to induce the cGAS/STING and/or NLRP3 inflammasome pathways, and subsequently the transcription/ cleavage of pro-inflammatory cytokines (Haque et al., 2020; Mishra et al., 2021; Sliter et al., 2018; West et al., 2015).

In the following study, inflammatory biomarkers were assessed in serum samples from individuals with heterozygous or biallelic mutations in *PRKN* and *PINK1* as well as from IPD patients and controls. Interestingly, *PRKN* or *PINK1*-mutant patients had higher serum levels of IL6 and C-reactive protein (CRP) compared to healthy individuals. Furthermore, IL6 was positively correlated with the disease duration in PD patients, suggestive of a cumulative and progressive effect of *PRKN* and *PINK1* mutations to cytokine release. The upregulation of IL6 and CRP was accompanied by higher levels of circulating cell-free (ccf) mtDNA in the serum, implicating mitochondrial dysfunction as primary mechanism of inflammation in *PRKN/PINK1* patients.

My contribution to this study was the extraction of DNA from serum samples, and final revision of the manuscript.

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# Mitochondrial damage-associated inflammation highlights biomarkers in *PRKN/PINKI* parkinsonism

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There is increasing evidence for a role of inflammation in Parkinson's disease. Recent research in murine models suggests that parkin and PINK1 deficiency leads to impaired mitophagy, which causes the release of mitochondrial DNA (mtDNA), thereby triggering inflammation. Specifically, the CGAS (cyclic GMP-AMP synthase)-STING (stimulator of interferon genes) pathway mitigates activation of the innate immune system, quantifiable as increased interleukin-6 (IL6) levels. However, the role of IL6 and circulating cell-free mtDNA in unaffected and affected individuals harbouring mutations in PRKN/PINK1 and idiopathic Parkinson's disease patients remain elusive. We investigated IL6, C-reactive protein, and circulating cell-free mtDNA in serum of 245 participants in two cohorts from tertiary movement disorder centres. We performed a hypothesis-driven rank-based statistical approach adjusting for multiple testing. We detected (i) elevated IL6 levels in patients with biallelic PRKN/PINK1 mutations compared to healthy control subjects in a German cohort, supporting the concept of a role for inflammation in PRKN/PINK1-linked Parkinson's disease. In addition, the comparison of patients with biallelic and heterozygous mutations in PRKN/PINK1 suggests a gene dosage effect. The differences in IL6 levels were validated in a second independent Italian cohort; (ii) a correlation between IL6 levels and disease duration in carriers of PRKN/PINK1 mutations, while no such association was observed for idiopathic Parkinson's disease patients. These results highlight the potential of IL6 as progression marker in Parkinson's disease due to PRKN/PINK1 mutations; (iii) increased circulating cell-free mtDNA serum levels in both patients with biallelic or with heterozygous PRKN/PINK1 mutations compared to idiopathic Parkinson's disease, which is in line with previous findings in murine models. By contrast, circulating cellfree mtDNA concentrations in unaffected heterozygous carriers of PRKN/PINK1 mutations were comparable to control levels; and (iv) that circulating cell-free mtDNA levels have good predictive potential to discriminate between idiopathic Parkinson's disease and Parkinson's disease linked to heterozygous PRKN/PINK1 mutations, providing functional evidence for a role of heterozygous mutations in PRKN or PINK1 as Parkinson's disease risk factor. Taken together, our study further implicates inflammation due to impaired mitophagy and subsequent mtDNA release in the pathogenesis of PRKN/PINK1-linked Parkinson's disease. In individuals carrying mutations in PRKN/PINK1, IL6 and circulating cell-free mtDNA levels may serve as markers of Parkinson's disease state and progression, respectively. Finally, our study suggests that targeting the immune system with anti-inflammatory medication holds the potential to influence the disease course of Parkinson's disease, at least in this subset of patients.

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Keywords: Parkinson's disease; parkin; PINK1; IL6; ccf-mtDNA

Abbreviations: ccf-mtDNA = circulating cell-free mitochondrial DNA; STING = stimulator of interferon genes

#### Introduction

Multiple lines of evidence support an association between Parkinson's disease and inflammatory processes (Hirsch and Hunot, 2009). In particular, pro-inflammatory cytokines are elevated in serum and CSF samples from patients with Parkinson's disease (Dzamko et al., 2015). These studies are in keeping with the epidemiological observation that intake of anti-inflammatory drugs lowers the risk of developing Parkinson's disease (Noyce et al., 2012). However, it remains unclear if inflammation originates from peripheral tissue or the CNS. If the latter is true, additional studies are required to clarify if the observed inflammation triggers the loss of dopaminergic neurons as a primary event or whether it is a secondary consequence of neurodegeneration. Either way, there is evidence that cytokines, such as interleukin-6 (IL6), overcome the blood-brain barrier and are transported from brain tissue to the blood and vice versa (Banks, 2015). Despite more than two decades of intense research, the molecular pathways connecting interleukin release and anti-inflammatory treatment with Parkinson's disease remain largely elusive.

Biallelic mutations in parkin (encoded by *PRKN*) and *PINK1* cause Parkinson's disease with typical clinical features, albeit with an earlier age of onset (Kasten *et al.*, 2018). The role of heterozygous mutations as a risk factor for Parkinson's disease is still under debate as epidemiological analyses provided controversial results (Reed *et al.*, 2019). Moreover, data on the occurrence of Lewy bodies as a hallmark of Parkinson's disease is limited and must be interpreted with caution in the context of monogenic Parkinson's disease (Schneider and Alcalay, 2017). However, there is evidence that heterozygous mutations increase the risk of

developing Parkinson's disease with an age of onset falling between that of biallelic mutation carriers and idiopathic Parkinson's disease patients (Klein *et al.*, 2007; Grünewald *et al.*, 2013; Huttenlocher *et al.*, 2015). Parkin and PINK1 are part of the molecular pathway that controls mitophagy (Narendra *et al.*, 2012). Intriguingly, parkin or PINK1 deficiency leads to CGAS (cyclic GMPD-AMP synthase)/STING (stimulator of interferon genes)-dependent activation of a type I interferon response, associated with increased IL6 levels in biallelic *PRKN/PINK1* knockout mice (Sliter *et al.*, 2018).

IL6 is a pro-inflammatory cytokine, which acts in the initiation of innate immune responses, induces the release of C-reactive protein (CRP) (Tanaka et al., 2014), and is elevated in serum samples from patients with Parkinson's disease (Qin et al., 2016). While the particular role of IL6 and CRP in inflammation is controversial (Del Giudice and Gangestad, 2018), there is convincing evidence that IL6 increases with age (Puzianowska-Kuźnicka et al., 2016). Circulating cell-free mitochondrial DNA (ccf-mtDNA) plays a role in the aetiology of various (systemic) diseases including immune responses (West and Shadel, 2017). Connecting impaired mitochondrial clearance and inflammation, the release of ccf-mtDNA into the cytosol triggers innate immunity activation (West and Shadel, 2017) through CGAS/STING (Lood et al., 2016), TLR9 (Toll-like receptor 9) or inflammasomes such as NLRP3 (nucleotide-binding oligomerization domain-like receptor family, pyrin domain-containing-3) (Zhong et al., 2018). In idiopathic Parkinson's disease, reduced mtDNA levels have been observed in the CSF in early-stage idiopathic Parkinson's disease (Pyle et al., 2015). However, no reports have indicated an association between serum ccf-mtDNA levels and neurodegeneration in general and with Parkinson's disease in particular.

With the aim to decipher the role of the cGAS-STING pathway in neuroinflammatory processes in monogenic Parkinson's disease, we have previously analysed IL6 levels in a small pilot sample of PRKN and PINK1 mutation carriers, idiopathic Parkinson's disease patients, and healthy control subjects, demonstrating increased IL6 levels in patients with biallelic PRKN mutations (Sliter et al., 2018). In light of the molecular mechanism identified in mice, in the current study, we hypothesized that STING signalling is triggered by ccf-mtDNA as a consequence of impaired mitophagy and results in IL6 elevation in human PRKN and PINK1 mutation carriers. Using a hypothesis-driven statistical approach, we investigated, for the first time, ccf-mtDNA along with IL6 and CRP levels in sera from a large sample of patients with monogenic or idiopathic Parkinson's disease and control subjects.

#### Materials and methods

#### Patient recruitment and collection of samples

In the context of the present cross-sectional study, participants were recruited at tertiary movement disorder referral centres in Lübeck, Tübingen (Germany), and Pavia/Rome (Italy). Samples from the University of Lübeck were collected within the SysMedPD study, the EPIPARK cohort (Kasten et al., 2012), and the Transregional Collaborative Research Center 134. All participants gave written informed consent, and the local ethics committees approved the study. Patients were neurologically examined by a movement disorder specialist. Demographic and clinical data, such as sex, age at examination, and regarding patients with Parkinson's disease, age at onset and disease duration were assessed. For a subset of patients, more detailed phenotypic information was obtainable including data referring to the disease state [Hoehn and Yahr stage, Movement Disorder Society Unified Parkinson's Disease Rating Scale part III (MDS-UPDRS III)], and olfactory impairment. Results from patients examined using the previous version of the UPDRS III were transformed into MDS-UPDRS III values as described (Goetz et al., 2012). Olfactory impairment was assessed using the Brief Smell Identification Test (BSIT) or via TDI scores from the Sniffin' Sticks test, respectively, and age-adjusted.

Mutation carriers were identified by Sanger or gene panel sequencing, multiplex ligation-dependent probe amplification (MLPA) analysis, or real-time PCR analysis. We identified PRKN variants in 57 study participants and PINK1 variants in 25 participants. Unaffected individuals harbouring nucleotide changes, which were classified as variants of unknown significance according to ACMG recommendations (Richards et al., 2015), were excluded from the study.

Venous blood was obtained for preparation of serum and DNA extraction. Blood draw and clinical examination took place between 2004 and 2019. In total, samples from 245 participants were analysed. Samples from Lübeck and Tübingen were combined to form the 'German cohort' including 15 biallelic PRKN/PINK1, 19 affected heterozygous PRKN/PINK1, 15 unaffected heterozygous PRKN/PINK1 mutation carriers, 59

idiopathic Parkinson's disease patients and 90 healthy, mutation-free control subjects. We aimed to replicate the results of the German cohort in an independent cohort from another centre. Therefore, we additionally investigated the so-called 'Italian cohort' consisting of 19 PRKN/PINK1 biallelic, five affected heterozygous, nine unaffected heterozygous mutation carriers, as well as five idiopathic Parkinson's disease patients and nine healthy control subjects.

IL6 values from 45 individuals already reported previously (Sliter et al., 2018) were included in the present study, but their serum samples were re-measured in a different laboratory (Supplementary Table 1). Since on rare occasions selected measurements (IL6, CRP or ccf-mtDNA) failed for individual study participants, sample numbers differ between analyses and are therefore indicated for each experiment.

#### Sample processing

At all study centres, venous blood was collected in serum tubes. Samples were centrifuged at 4°C for 10 min at 2000-4000g dependent on the centre within 2 h after blood collection. After centrifugation, samples were immediately frozen at -80°C.

#### **IL6** and **CRP** measurements

IL6 was measured using an ELISA (Cobas Elecsys IL6 assay). High-sensitive CRP was investigated using particle-enhanced immunonephelometry (Cobas CRPHS assay). Both analyses were performed at the certified diagnostic laboratory LADR Centrallab Dr Kramer and Colleagues, Geesthacht, Germany. Samples from Lübeck and Tübingen (German cohort) were measured together in one run, those of the Italian cohort in a second run. Possibly due to batch effects, the Italian samples exhibited considerably lower IL6 levels (Italian cohort: 2.9 ± 2.9 pg/ml, n = 47; German cohort:  $5.90 \pm 1.90$  pg/ml, n = 181). Because of these batch-dependent differences in IL6 levels, correlation analyses included only samples from the German cohort. As rank-based statistics were used throughout, values below the detection limit (IL6: 1.5 pg/ml; CRP: 0.3 mg/l) were set to arbitrarily small values of 1.4 pg/ml and 0.2 mg/l, respectively. IL6 and CRP levels were measured in a blinded fashion.

#### Quantification of serum circulating ccf-mtDNA levels

Levels of ccf-mtDNA in the serum samples from the German cohort were analysed in an equally blinded fashion at the Luxembourg Centre for Systems Biomedicine, University of Luxembourg.

DNA from serum of the German cohort was extracted using the QIAamp 96 DNA blood extraction kit (Qiagen) according to the manufacturer's instructions. Digital PCR (dPCR) was used to quantify ccf-mtDNA. The dPCR assay was performed using TaqMan<sup>TM</sup> technology. Primers and probes coupled with non-fluorescent quenchers were used to quantify fragments within the mitochondrial gene MT-ND1 and the nuclear singlecopy gene B2M (Phillips et al., 2014; Rygiel et al., 2015). Digital PCR was performed using the QuantStudio<sup>TM</sup> 3D Digital PCR System (Applied Biosystems), and samples were prepared following the manufacturer's instructions. Samples were loaded on a QuantStudio<sup>TM</sup> 3D digital PCR Chip v2 using the QuantStudio<sup>TM</sup> 3D Digital PCR Chip loader. The PCR was then performed on the ProFlex<sup>TM</sup> 2X Flat PCR System using a previously published programme (Rygiel *et al.*, 2015). The chips were read using the QuantStudio<sup>TM</sup> 3D Digital PCR Instrument and the data were analysed using the QuantStudio<sup>TM</sup> 3D AnalysisSuite, version 3.1.6-PRC-build2. Samples with a B2M copy number >200 copies/ $\mu$ l were considered as contaminated with nuclear DNA and were not considered for further analysis.

#### Statistical analysis

The phenotypic characteristics of the study cohort, correlation analyses, and differences in CRP levels are presented at a descriptive level.

In one individual, the IL6 value was implausibly elevated [>10 standard deviations (SD) above the mean] and thus set to missing. The following group differences were tested in the German cohort: (i) IL6 between PRKN/PINK1 biallelic, PRKN/PINK1 heterozygous affected, PRKN/PINK1 heterozygous unaffected, and healthy mutation-negative controls; (ii) IL6 between PRKN/PINK1 biallelic, idiopathic Parkinson's disease, and healthy controls; and (iii) ccfmtDNA between PRKN/PINK1 biallelic, PRKN/PINK1 heterozygous affected, PRKN/PINK1 heterozygous unaffected, idiopathic Parkinson's disease, and healthy controls. For the first two comparisons, an ordered effect was assumed and Jonckheere-Terpstra tests performed; for the latter, no specific order was assumed, so that a Kruskal-Wallis test was performed. When significant, results were followed-up with pairwise Wilcoxon rank sum tests. To account for multiple testing (Harrington et al., 2019) of three hypotheses, the overall significance level of 0.05 was adjusted to 0.0167. To evaluate whether the observed effects might be confounded by different ages at examination of the probands, we performed a sensitivity analysis taking age at examination into account. Specifically, we first performed linear regressions to predict log(IL6) and log(ccf-mtDNA), respectively, from age at examination and saved the residuals. Second, we tested for differences in the resulting residuals between groups as before. Moreover, we analysed the utility of ccf-mtDNA levels to discriminate between idiopathic Parkinson's disease and Parkinson's disease associated with heterozygous PRKN/PINK1 mutations using a receiving operating characteristic (ROC) curve. Finally, we investigated a possible dependency of IL6 on disease duration in idiopathic and parkin/PINK1-associated Parkinson's disease patients after adjusting for age at examination. Thus, we performed a linear regression to predict disease duration from age and saved the residuals. The latter were then used in a correlation analysis with IL6. Data from the Italian cohort were used for replication purposes only and thus required no additional adjustment. Analyses were performed using R version 3.5 (R Core Team, 2018). Figures were created with GraphPad Prism 8.

#### **Data** availability

The data used in this study are available from the corresponding author, upon reasonable request.

#### Results

The following three hypotheses were addressed in this paper. First, we aimed to re-evaluate serum IL6 levels in *PRKN* mutation carriers in a larger study cohort and to extend our analysis to *PINK1* mutation carriers. Second, we investigated if a gene dosage effect for IL6 exists when comparing biallelic with heterozygous *PRKN/PINK1* mutation carriers and idiopathic Parkinson's disease patients. Third, to explore a possible link between impaired mitophagy, the CGAS-STING pathway, and inflammatory signalling in Parkinson's disease, we quantified the abundance of ccf-mtDNA in serum in our cohort.

### Phenotypic characterization of the study cohort

Table 1 shows demographic data for the five study groups investigated here. For patients with Parkinson's disease, mean age at onset, disease duration, MDS-UPDRS III, Hoehn and Yahr stage, and the frequency of olfactory dysfunction were additionally provided. The essential study groups, namely *PRKN/PINK1* biallelic, affected heterozygous, and healthy control subjects, were age-matched. By contrast, as idiopathic Parkinson's disease occurs at a later age than recessively inherited monogenic Parkinson's disease (Kasten *et al.*, 2018), patients with idiopathic Parkinson's disease were older at the time of examination and sampling of biomaterials.

In our cohorts, Parkinson's disease associated with biallelic mutations in *PRKN/PINK1* was characterized by an earlier age of onset, a longer disease duration, and reduced olfactory impairment, while affected heterozygotes exhibited similar clinical features as idiopathic Parkinson's disease patients. Accordingly, the clinical phenotypes of participants included in our study agreed with previously described characteristics (Alcalay *et al.*, 2011; Kasten *et al.*, 2018), indicating that we investigated a representative group of monogenic and idiopathic Parkinson's disease patients.

# IL6 is elevated in the serum of Parkinson's disease patients with biallelic mutations in PRKN or PINKI

As a first step, we tested the distribution of serum IL6 levels in the German cohort (Table 2 and Fig. 1A and C). We hypothesized that patients with Parkinson's disease, due to biallelic mutations in PRKN or PINK1, exhibit higher IL6 levels compared to healthy control subjects and higher levels compared to affected and unaffected heterozygous mutation carriers, while heterozygous mutation carriers were expected to show higher IL6 levels than healthy control subjects. We confirmed the assumed order (P = 0.0018, Fig. 1A). Moreover, pairwise analyses of PRKN/PINK1 biallelic patients compared to healthy controls showed a significant

	PRKN/ PINKI mutation carrier	Sex, females (%)	Age at onset, years (n)	Age at examination, years (n)	Disease duration, years (n)	MDS- UPDRS III (n)	Hoehn and Yahr stage (n)	Olfactory impair- ment (%)
PRKN/PINK I biallelic	23/11	21/34 (61.8)	$33.2 \pm 8.1 \ (34)$	51.3 ± 11.7 (34)	$18.1 \pm 8.8  (34)$	32.2 ± 16.9 (11)	2.6 ± 1.0 (11)	9/19 (47.4)
PRKN/PINK I affected heterozygous	19/5	13/24 (54.2)	44.4 $\pm$ 11.8 (22)	$53.8 \pm \ \text{I}\ 3.0\ (24)$	$8.9 \pm 8.9 \; (22)$	$24.8 \pm \ 14.7 \ (6)$	$2.2\pm0.4\text{I}\text{(6)}$	9/13 (69.2)
PRKN/PINK I unaffected heterozygous	15/11	10/24 (41.7)	NA	50.7 ± 13.4 (24)	NA	NA	NA	5/20 (25.0)
Idiopathic Parkinson's disease	NA	29/64 (45.3)	53.I ± II.9 (64)	$61.6 \pm 11.9 (64)$	$\textbf{8.4} \pm \textbf{6.1 (64)}$	$31.7 \pm 15.1 (40)$	$2.4 \pm 0.6 \; \text{(40)}$	32/39 (82.1)
Healthy controls	NA	57/99 (57.6)	NA	55.1 ± 10.9 (98)	NA	NA	NA	14/38 (36.8)

Sex and olfactory impairment are presented as part of the whole group with percentages in parentheses. Age at onset, age at examination, disease duration, Movement Disorder Society Unified Parkinson's Disease Rating Scale part III (MDS-UPDRS III), and Hoehn and Yahr stage are presented as mean ± standard deviation. NA = not applicable.

Table 2 IL6, CRP and mtDNA levels in the different study groups

	Cohort	IL6, pg/ml (n)	CRP, mg/l (n)	ccf-mtDNA, copy number (n)
PRKN/PINK I biallelic	German	6.8 [5.6–8.5] (15)	2.7 [1.1–7.1] (15)	2774 [1315–5647] (13)
	Italian	3.0 [2.1-4.5] (19)	2.5 [0.8-4.3] (19)	NA
PRKN/PINK1 affected heterozygous	German	5.4 [4.7-6.5] (18)	1.1 [0.6-3.6] (18)	3608 [1724–5471] (17)
	Italian	2.3 [1.8–2.7] (5)	0.7 [0.3-6.9] (5)	NA
PRKN/PINK1 unaffected heterozygous	German	5.5 [4.6-6.4] (13)	0.9 [0.5-2.3] (13)	1487 [830–2194] (14)
	Italian	1.4 [1.4-2.2] (9)	1.0 [0.8-1.8] (9)	NA
Idiopathic Parkinson's disease	German	5.5 [4.7–7.1] (51)	1.2 [0.4–2.2] (51)	1226 [655–2015] (57)
	Italian	1.6 [1.5-2.5] (5)	1.0 [0.9-4.2] (5)	NA
Healthy controls	German	5.0 [4.5-6.0] (84)	1.0 [0.4-2.1] (85)	1434 [715–2688] (55)
	Italian	1.4 [1.4–1.7] (9)	0.7 [0.2-2.8] (9)	NA
All participants	German	5.3 [4.7-6.5] (181)	1.1 [0.5-2.4] (182)	1467 [752–3051] (156)
	Italian	2.1 [1.4–2.9] (47)	1.3 [0.7–3.0] (47)	NA

Distribution of IL6, CRP and ccf-mtDNA in the different study groups separated into the German and the Italian cohorts. Results are presented as median [IQR]. Statistics are shown in Figs 1 and 2 and Supplementary Fig. 3. NA = not applicable

IL6 elevation in patients with Parkinson's disease due to biallelic PRKN/PINK1 mutations (P = 0.0006, Fig. 1A). Investigating IL6 levels in the Italian cohort (Table 2 and Fig. 1B) showed similar differences (PRKN/PINK1 biallelic > affected/unaffected heterozygotes > healthy controls; P = 0.00008). Pairwise analyses revealed significantly higher IL6 values in PRKN/PINK1 biallelic patients (P = 0.007) and a trend towards elevated IL6 levels in affected heterozygous mutation carriers (P = 0.065) compared to healthy control subjects (Fig. 1B). By contrast, unaffected heterozygotes did not show an increase. Moreover, we found increased IL6 levels comparing PRKN/PINK1 biallelic with unaffected heterozygous mutation carriers (P = 0.0062). A similar trend was also present in the German cohort (P = 0.0302, Fig. 1A).

Moreover, we hypothesized that PRKN/PINK1 biallelic mutation carriers exhibit higher IL6 levels than patients with idiopathic Parkinson's disease, while we expected individuals in the idiopathic Parkinson's disease group to present with higher serum IL6 than healthy control subjects. Indeed, we confirmed the expected order (PRKN/PINK1 biallelic >

idiopathic Parkinson's disease > healthy controls; P = 0.0005, Fig. 1C). Performing pairwise analyses, we detected a trend towards higher IL6 levels in idiopathic Parkinson's disease patients than in healthy controls (P = 0.0559). As significance levels were adjusted to P < 0.0167 to account for multiple testing, differences in IL6 values between PRKN/PINK1 biallelic mutation carriers and patients with idiopathic Parkinson's disease did not reach significance (P = 0.0259, Fig. 1C).

Analysing *PRKN* and *PINK1* mutation carriers separately, we did not detect any difference between IL6 levels, neither biallelic nor in heterozygous mutation carriers (Supplementary Fig. 2). This was true for both the German (Supplementary Fig. 2A and C) and the Italian cohorts (Supplementary Fig. 2B and D).

IL6 triggers the release of CRP, which was also found to be upregulated in Parkinson's disease (Qiu et al., 2019). Therefore, we investigated CRP levels (Table 2) exploring the same order as previously assumed for IL6. Investigating group differences in general, we saw no relevant differences (Supplementary Fig. 3A). However, we observed a trend

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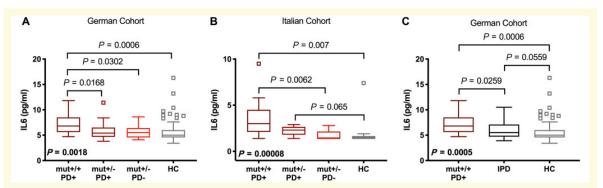


Figure 1 Interleukin 6 (IL6) is increased in serum from biallelic PRKN/PINK1 mutation carriers compared to healthy controls. IL6 levels in serum samples from Parkinson's disease (PD) patients with mutations in PRKN/PINK1, unaffected mutation carriers, idiopathic Parkinson's disease (IPD) patients, and healthy control subjects (HC). (A) Patients with Parkinson's disease due to biallelic PRKN/PINK1 mutations (mut+/+ PD+, n = 15) exhibited higher IL6 levels compared to healthy controls (n = 84). Unaffected heterozygous mutation carriers (mut+/- PD-, n = 13) showed similar IL6 levels compared to affected heterozygous mutation carriers (mut+/- PD+, n = 18). (B) Investigating an independent cohort from Italy yielded similar group differences as presented in A, while the total amount of IL6 among all participants was lower compared to the German cohort due to a batch effect (mut+/+ PD+, n = 19; mut+/- PD+, n = 5; mut+/- PD-, n = 9; HC, n = 9). Regarding the Italian cohort, biallelic PRKN/PINK1 mutation carriers exhibited increased IL6 levels compared to unaffected heterozygotes. Moreover, there was a trend towards elevated IL6 levels in PRKN/PINK1 affected heterozygotes compared to healthy control subjects. (C) In the German cohort, PRKN/PINK1 biallelic patients showed a trend towards higher IL6 levels compared to patients with idiopathic Parkinson's disease (n = 51), while idiopathic Parkinson's disease patients exhibited a trend towards elevated IL6 release compared to healthy control subjects. As multiple testing was performed, the significance level was adjusted to  $\alpha = 0.0167$ . The assumed order among the different groups was tested with the Jonckheere-Terpstra test (bold, lower left corner). Pairwise differences between two groups were assessed using the Wilcoxon rank sum test. Data are presented as box and whisker plots. The box extends from the 25th to the 75th percentile. The line in the middle of the box represents the median. Tukey whiskers are used.

towards increased CRP levels in individuals with Parkinson's disease due to mutations in PRKN/PINK1 compared to patients with idiopathic Parkinson's disease (exploratory P = 0.0319) and an elevation compared to healthy control subjects (exploratory P = 0.0122, Supplementary Fig. 3A). Moreover, CRP levels correlated with IL6 levels in affected biallelic and heterozygous PRKN/PINK1 mutation carriers (Supplementary Fig. 3B), idiopathic Parkinson's disease patients (Supplementary Fig. 3C) and healthy control subjects (Supplementary Fig. 3D).

# Circulating cell-free mtDNA is increased in Parkinson's disease patients with mutations in PRKN or PINKI

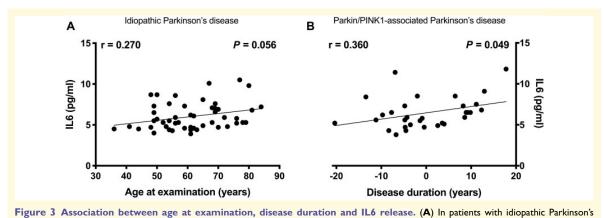
We expected affected heterozygous and biallelic PRKN/PINK1 mutation carriers to exhibit higher serum levels of ccf-mtDNA compared to idiopathic Parkinson's disease patients and healthy controls. Statistical testing revealed group differences in general (P = 0.0006). Compared to patients with idiopathic Parkinson's disease, pairwise analyses showed increased ccf-mtDNA release in PRKN/PINK1 biallelic mutation carriers (P = 0.0094) and affected heterozygous mutation carriers (P = 0.0002). Compared to healthy control subjects, we observed a trend towards elevated ccf-

mtDNA levels in biallelic PRKN/PINK1 mutation carriers (P = 0.0459) and an increase in affected heterozygous individuals (P = 0.0019). Furthermore, we found elevated ccfmtDNA levels in affected compared to unaffected PRKN/PINK1 heterozygotes (P = 0.0058, Table 2 and Fig. 2A). Moreover, assessing the utility of ccf-mtDNA levels to discriminate between idiopathic Parkinson's disease and Parkinson's disease associated with heterozygous PRKN/PINK1 mutations, we found an area under the ROC curve of 0.81 (Fig. 2B).

## IL6 levels are associated with disease duration in patients with PRKN or PINKI mutations

Focusing on idiopathic Parkinson's disease, we found a trend towards a positive correlation between IL6 levels and age (Fig. 3A). A similar association was absent in individuals with biallelic PRKN/PINK1 mutations (r = 0.018, exploratory P = 0.949, n = 15) and in healthy control subjects (r = 0.076, exploratory P = 0.490, n = 84). Moreover, while in patients with Parkinson's disease due to PRKN or PINK1 mutations IL6 levels correlated with disease duration (Fig. 3B), we found no such association in patients with idiopathic Parkinson's disease (r = 0.065, exploratory P = 0.65, n = 51).

Figure 2 Serum ccf-mtDNA is increased in *PRKN/PINK1* mutation carriers and discriminates between affected heterozygotes and idiopathic Parkinson's disease patients. (**A**) Patients with Parkinson's disease (PD) due to biallelic *PRKN/PINK1* mutations (mut+/+ PD+, n = 13) and affected heterozygous individuals (mut+/- PD+, n = 17) exhibited elevated serum ccf-mtDNA levels compared to idiopathic Parkinson's disease patients (IPD, n = 57). Additionally, affected heterozygous patients showed higher ccf-mtDNA levels than healthy control subjects (HC, n = 55). Unaffected heterozygous mutation carriers (mut+/- PD-, n = 14) exhibited ccf-mtDNA levels between those of affected mutation carriers and idiopathic Parkinson's disease patients. As multiple testing was performed, the significance level was adjusted to  $\alpha = 0.0167$ . Group differences in general were tested via Kruskal-Wallis test (bold). Pairwise differences between two groups were analysed using the Wilcoxon rank sum test. Data are presented as box and whisker plots. The box extends from the 25th to the 75th percentile. The line in the middle of the box represents the median. Tukey whiskers are used. (**B**) Assessment of the utility of ccf-mtDNA levels to discriminate between idiopathic Parkinson's disease patients (n = 57) and affected heterozygous *PRKN/PINK1* mutation carriers (n = 17) investigated by a receiver operator characteristic (ROC) curve.



disease (n = 51), we observed elevated IL6 levels with increasing age when determining the Spearman's correlation of both variables. (B) In PRKN/PINK1 biallelic and heterozygous patients (n = 31), there was a positive correlation between disease duration and IL6 levels. The Spearman's correlation was calculated after adjusting for age at examination. Correlation coefficient is shown in the top left, exploratory P-values are presented in the top right corner. Lines represent linear regression.

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As a sensitivity analysis, we additionally performed a linear regression analysis to predict the influence of age at examination on IL6 and ccf-mtDNA levels and used the resulting residuals to test for differences between study groups while taking age into account. Thereby, group- and pairwise differences in ccf-mtDNA levels remained unchanged. In the context of IL6 levels, the assumed order was still present after the adjustment for age (P = 0.0008). However, this adjustment led to differences regarding pairwise analyses between PRKN/PINK1 biallelic mutation carriers and affected heterozygotes (P = 0.0133), supporting the assumed gene dosage effect of PRKN and PINK1 mutated alleles in the context of IL6. Furthermore, this regression analysis revealed a difference in IL6 levels between PRKN/ PINK1 biallelic mutation carriers and idiopathic Parkinson's disease patients (P = 0.0129).

#### **Discussion**

Our study further implicates inflammation due to impaired mitophagy and subsequent mtDNA release in the pathogenesis of *PRKN/PINK1*-associated Parkinson's disease. We extended our previous findings in a small group of biallelic *PRKN* mutation carriers to an additional 18 biallelic *PRKN*, and—for the first time—11 biallelic *PINK1* mutation carriers. By this, we showed that *PRKN* and *PINK1* mutation carriers exhibit similar IL6 levels when investigated separately. As only IL6 elevation in biallelic *PRKN* mutation carriers was previously described, our study provides first-time evidence that IL6 is similarly increased in patients with Parkinson's disease due to biallelic *PINK1* mutations in line with the notion of both proteins being involved in a common pathway during mitophagy (Narendra *et al.*, 2012).

PRKN/PINK1 biallelic mutation carriers showed higher IL6 levels compared to heterozygous mutation carriers, suggesting a gene dosage effect regarding IL6 release. However, this interpretation is limited. Although we confirmed the presence of the assumed order PRKN/PINK1 biallelic > PRKN/PINK1 heterozygous > healthy controls, pairwise analysis between heterozygotes and healthy controls did not reach significance, which would have been necessary to show a complete gene dosage effect.

In accordance with previous reports (Qin et al., 2016), we also found a trend towards elevated IL6 levels in idiopathic Parkinson's disease compared to healthy controls. In addition, we detected a positive correlation between IL6 levels and disease duration in affected PRKN/PINK1 mutation carriers that was absent in patients with idiopathic Parkinson's disease, indicating a specific influence of the genetic alterations on IL6 levels. Moreover, although PRKN and PINK1 mutation carriers included in our study were younger than idiopathic Parkinson's disease patients, they exhibited higher IL6 values, arguing for an age-independent IL6 elevation in PRKN/PINK1 mutation carriers. Our findings appear robust, as samples from two independent cohorts revealed similar results.

In line with previous research (Tanaka et al., 2014), CRP levels increased with higher IL6 serum concentrations in *PRKN/PINK1* mutation carriers, as well as in patients with idiopathic Parkinson's disease and healthy control subjects. However, as we found no significant group differences when investigating CRP levels, our data support IL6 as a more specific marker in the context of (monogenic) Parkinson's disease.

Release of ccf-mtDNA represents a common feature in various systemic diseases (West and Shadel, 2017). However, an association between ccf-mtDNA accumulation and IL6 elevation has, so far, only been established in murine models in the context of Parkinson's disease (Sliter et al., 2018). We observed increased ccf-mtDNA levels in the serum of PRKN/PINK1 biallelic mutation carriers and affected heterozygotes compared to patients with idiopathic Parkinson's disease. In line with our previous experiments in Prkn knockout mice (Sliter et al., 2018), this finding indicates that the release of damage-associated molecular patterns (DAMPs), such as mtDNA from mitochondria, is a consequence of impaired mitophagy in PRKN/PINK1-associated Parkinson's disease.

The question to what extent heterozygous mutations in autosomal recessively inherited Parkinson's disease may act in a dominant manner with highly reduced penetrance is still discussed controversially (Klein et al., 2007; Reed et al., 2019). However, a dominant-negative mechanism in the context of affected heterozygous PINK1 mutation carriers has been reported recently, providing insights into how heterozygous mutations might increase the probability of developing Parkinson's disease (Puschmann et al., 2017). In our study, we provide evidence that ccf-mtDNA serum levels are useful to discriminate affected heterozygous PRKN/PINK1 mutation carriers from idiopathic Parkinson's disease patients with high accuracy. Thus, our observations support the idea of a role for heterozygous variants at least as a strong risk factor for Parkinson's disease. However, while we did not find an increase in ccf-mtDNA concentrations in unaffected heterozygous mutation carriers compared to healthy control subjects or patients with idiopathic Parkinson's disease, affected heterozygous mutation carriers exhibited higher ccf-mtDNA levels than unaffected heterozygotes. This difference might indicate that in unaffected carriers, mitochondrial clearance is operating effectively until currently unknown factors trigger a breakdown of the genetically vulnerable mitophagy machinery. Subsequent to this tipping point, clinical symptoms, as well as an increase in ccf-mtDNA (and IL6) levels occur. Accordingly, investigating serum ccf-mtDNA levels in PRKN/PINK1-assocciated Parkinson's disease may contribute to the elucidation of mechanisms mediating penetrance and may have the potential to serve as a marker of affection status for heterozygous PRKN/PINK1 mutation carriers. A previous study quantifying ccf-mtDNA in CSF from idiopathic Parkinson's disease cases found decreased levels in early-stage patients (Pyle et al., 2015). Contrary to this report, in our study, we investigated idiopathic Parkinson's disease patients in an

Furthermore, the idiopathic Parkinson's disease group exhibited elevated serum IL6 despite low ccf-mtDNA levels. This finding can be interpreted in line with previous research providing evidence for inflammation due to impaired mitochondrial function and clearance independent of the mtDNA-cGAS-STING pathway. For example, neuromelanin-triggered NFκB (nuclear factor kappa-lightchain-enhancer of activated B cells) signalling (Wilms et al., 2003) and autophagy-mediated NLRP3 inflammasome activation (Zhong et al., 2018) are established molecular mechanisms in Parkinson's disease. Moreover, mtDNA variants were recently described to act as immunogenic neoepitopes (Deuse et al., 2019). Thus, somatic mtDNA mutations, which have been previously documented in idiopathic Parkinson's disease (Bender et al., 2006), might constitute an additional parameter modulating cGAS-STING signalling and, in turn, IL6 levels. Together, these findings highlight the potential of patient stratification attempts in personalized medicine approaches for idiopathic Parkinson's disease.

In our previous study (Sliter et al., 2018), we showed that mtDNA mutator mice genetically lacking parkin accumulate ccf-mtDNA in serum and display neurodegenerative features and motor impairment. Interestingly, both of these phenotypes could be rescued by genetic inactivation of STING. Thereby, a direct contribution of neuroinflammation to the Parkinson's disease-like phenotype associated with PRKN and PINK1 mutations has been observed in murine models. In light of these findings, the presence of increased ccfmtDNA in patients with PRKN/PINK1 mutations also implicates cGAS-STING signalling in the human disease process. Assuming that neuroinflammation causes neurodegeneration in patients with PRKN or PINK1 mutations, targeted anti-inflammatory treatment may present a strategy to slow disease progression specifically in this group of individuals, who are estimated to make up 12.3% of early-onset (<45 years) Parkinson's disease cases (Kilarski et al., 2012). Several approaches to influence the ccf-mtDNA-CGAS-STING-IL6 pathway that could now be applied to Parkinson's disease have already been established in the past. For instance, a protective effect of acetylsalicylic acid has been discussed in STING-mediated disease (Elkon, 2019). This finding is in accordance with epidemiological results, indicating that non-steroid-anti-inflammatory-drugs (NSAIDs) might have a positive effect on Parkinson's disease in general (Noyce et al., 2012). More specific, anti-IL6 (Emery et al., 2008) and anti-JAK antibodies (Lee et al., 2014) represent an established treatment approach in different inflammatory conditions such as for instance, rheumatoid arthritis, and might have therapeutic potential in monogenic Parkinson's disease.

Several limitations of our study should be mentioned. First, we performed a retrospective analysis that did not allow us to correct for influencing factors. In particular, inflammatory cytokines like IL6 (Tanaka et al., 2014), but also ccf-mtDNA (Grazioli and Pugin, 2018) have previously been reported to be increased in a number of inflammatory and cardiovascular diseases as well as in cancer. Thus, the specificity of the markers investigated in this study might be reduced if applied in clinical testing. Second, 45 samples, which had already been analysed for IL6 serum levels in our pilot analysis (Sliter et al., 2018), were reassessed in the current study to validate the original IL6 results in an independent laboratory and to additionally obtain data on CRP and ccf-mtDNA serum levels from these individuals. Statistical testing revealed that the key findings from our study hold up, whether or not these samples are included in the analysis (Supplementary Fig. 1). Third, despite measuring IL6 levels in a certified diagnostic laboratory, the concentrations differed between the two tested cohorts. This variability may be explained by batch effects or varying pre-analytic treatment of the blood samples. However, such experimental limitations can be addressed in further studies by implementing standardized sample processing and prospective study design, as well as by increasing the number of enrolled mutation carriers. Furthermore, the role of cGAS-STING signalling in the transition from health to disease state in heterozygous mutation carriers warrants further investigation in the context of reduced penetrance. Finally, additional molecular studies in patient-derived neurons and glia will be required to fully decipher the upstream processes and downstream targets of the ccf-mtDNA-cGAS-STING-IL6 pathway in Parkinson's disease.

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In conclusion, we demonstrated for the first time an association between a PRKN or PINK1-mutant genotype, increased ccf-mtDNA release, and neuroinflammation in Parkinson's disease patients. Together, the combination of elevated ccf-mtDNA and IL6 levels in serum of PRKN or PINK1 mutation carriers supports the concept of impaired mitophagy triggering IL6 release (most likely via cGAS-STING signalling) that was, thus far, only demonstrated in mouse models. Our study has significant translational impact as especially serum ccf-mtDNA levels could serve as a biomarker of disease state, directing therapeutic approaches, which target the innate immune response in PRKN/PINK1-associated Parkinson's disease in the near future.

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#### **Competing interests**

The authors report no competing interests. R.J.Y. did not supply patient materials and does not have a clinical protocol to report.

#### Supplementary material

Supplementary material is available at Brain online.

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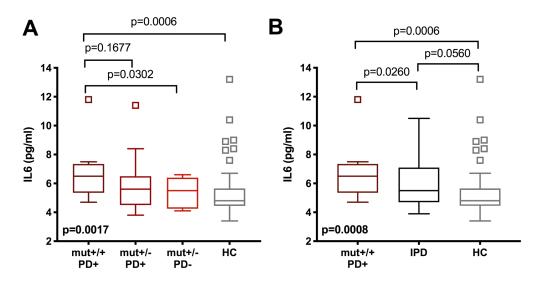
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#### Supplemental information

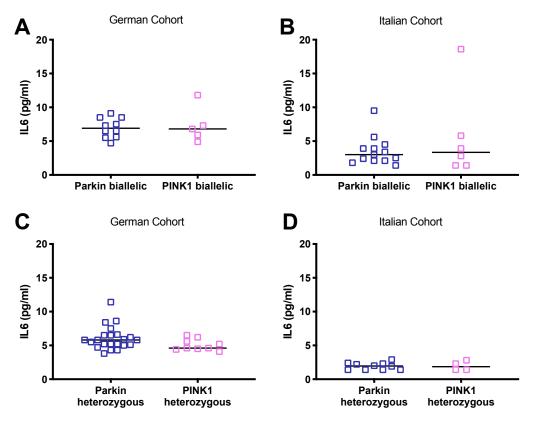
# Mitochondrial damage-associated inflammation highlights biomarkers in PRKN/PINK1 parkinsonism

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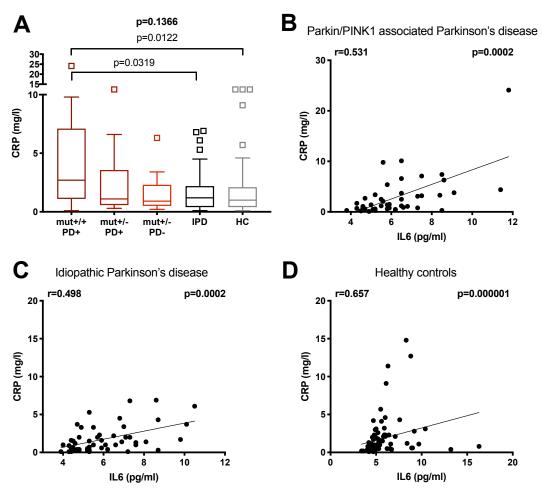


Supplementary Figure 1: Interleukin 6 (IL6) levels in newly included individuals. Serum IL6 levels in participants from the German Cohort that have not been previously assessed (Sliter et al., 2018). A. Investigating the hypothesized assumed order (*Parkin/PINK1* biallelic (mut+/+ PD+, n=10) > *Parkin/PINK1* affected (mut+/- PD+, n=13) and unaffected (mut+/- PD-, n=5) heterozygotes > healthy controls (HC, n=57), *Parkin/PINK1* biallelic individuals exhibit elevated IL6 level compared to healthy controls. Moreover, there was a trend towards elevated IL6 levels in patients with PD due to biallelic *Parkin/PINK* mutations compared to unaffected heterozygotes. B. *Parkin/PINK1* biallelic individuals exhibited a trend towards elevated IL6 levels compared to IPD patients, while IPD patients showed a trend towards higher IL6 levels than HC. Together, analyzing only the newly included individuals led to very similar results compared to the whole German Cohort. The assumed order among the different groups was tested with the Jonckheere-Terpstra test (exploratory p-value in bold, lower left corner). Pairwise differences between two groups were assessed using the Wilcoxon rank sum test (exploratory p-values, parentheses). Data is presented as box and whisker plots. The box extends from the 25th to the 75th percentile. The line in the middle of the box represents the median. Tukey whiskers are used.

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**Supplementary Figure 2:** *Parkin* and *PINK1* mutation carriers exhibit similar Interleukin 6 (IL6) levels. A. IL6 levels in patients with PD due to biallelic *Parkin* (n=10) and *PINK1* (n=5) mutations from the German Cohort. B. IL6 levels in biallelic *Parkin* (n=13) and *PINK1* (n=6) mutations carriers from the Italian Cohort. C. IL6 levels in heterozygous *Parkin* (n=22) and *PINK1* (n=9) mutation carriers (affected and unaffected) from the German Cohort. D. IL6 levels in symptomatic and asymptomatic heterozygous *Parkin* (n=10) and *PINK1* (n=4) mutation carriers from the Italian Cohort. Together, IL6 levels are similar if investigating *Parkin* and *PINK1* mutation carriers separately among all groups and cohorts. Lines represent the median.



Supplementary Figure 3: C-reactive protein (CRP) levels show a trend to be elevated in biallelic Parkin/PINK1 mutation carriers and correlate with Interleukin 6 (IL6) levels. CRP levels in monogenic and idiopathic Parkinson's disease (IPD) patients and healthy controls (HC); A. There is a trend towards elevated CRP levels in biallelic Parkin/PINK1 mutation carriers (mut+/+ PD+, n=15) compared to IPD patients (n=51) and a significant difference compared to healthy controls (n=85). Parkin/PINK1 affected heterozygotes (mut+/- PD+, n=18); Parkin/PINK1 unaffected heterozygotes (mut+/- PD-, n=13). Results from the German Cohort are shown. A similar distribution was observed in the Italian Cohort (Table 2). CRP levels correlate with IL6 levels in **B.** Parkin/PINK1 biallelic and heterozygous mutation carriers (n=44), in C. IPD patients (n=51), as well as in D. Healthy controls. In A, group differences in general were explored via Kruskal-Wallis test (bold). Although the overall test was not significant, exploratory p-values regarding pairwise analysis, assessed by Wilcoxon rank sum test, are shown (parentheses). Data is presented as box and whisker plots. The box extends from the 25th to the 75th percentile. The line in the middle of the box represents the median. Tukey whiskers are used. In B, C, and D, a Spearman's correlation was calculated. The correlation coefficient is shown in the upper left, exploratory p-values are presented in the upper right corner.

Supplementary Table 1: Samples from study participants already included in the pilot study.

	German	All samples
	Cohort	
Parkin/PINK1 biallelic	5/15 (33%)	5/34 (15%)
Parkin/PINK1 heterozygous	5/19 (26%)	5/24 (21%)
affected		
Parkin/PINK1 heterozygous	8/15 (53%)	8/24 (33%)
unaffected		
Idiopathic Parkinson's	0/59 (0%)	0/64 (0%)
disease		
Healthy controls	27/90 (30%)	27/99 (27%)
All participants	45/198 (23%)	45/245 (18%)

A subset of the participants investigated in the recent study was already included in a pilot IL6 analysis in the context of Sliter *et al.*, 2018. In the former study, just samples from the Lübeck study site were included. For the recent study, the analysis took place in a different laboratory then in the pilot study.

#### 4 Discussion

With the advancing age of the world population, the incidence of neurodegenerative disorders is also on the rise. The number of PD cases is growing each year and is estimated to reach 12 million people by the year 2040 (Dorsey et al., 2018). The total economic burden of PD in the USA alone was estimated at 50 billion USD in the year 2017. Furthermore, the cause of this growth is suspected not to be advanced aging of the population alone, but a complex interaction between genetic predisposition, lifestyle choices, and other factors that vary across countries, by gender, and with age (Rocca, 2018).

Given the fact that PD cases are mostly sporadic, with a spectrum of pathologies, different ages of disease onset, and possible multiple genetic modifiers, modeling the disease has proven to be extremely difficult. Animal models have given many valuable mechanistic insights into neurotoxin-induced and genetic forms of PD. However, animal models cannot fully reconstitute PD pathologies observed in patients. In order to reduce the use of animals in research, and to better mirror patient-specific phenotypes, iPSC-derived cultures have proven to be a valuable substitute.

Although primarily studied in neurons, PD pathology is covering multiple cell types of the brain. We summarized the available literature concerning the role of microglia in PD, and their importance in maintaining CNS homeostasis, in both animal and iPSC-derived model systems (Manuscript I). The result section of this thesis describes the experimental work performed in iPSC-derived microglia (Manuscript II and III) and the investigation of inflammatory phenotypes and biomarkers in blood samples from PD patients (Manuscript IV).

#### 4.1 iPSC-derived microglia

Microglia are the resident macrophages of the brain, which are responsible for immune responses to extracellular stimuli and danger signals, and maintaining CNS homeostasis throughout the human lifetime. Human microglia originate from the yolk sac at around 4.5 weeks of gestational age, and will colonize the midbrain area upon 22 weeks (Alliot et al., 1999; Ginhoux et al., 2010; Monier et al., 2007; Tavian and Péault, 2005). Of note, mice studies were crucial in understanding if microglia are yolk sac-derived cells or cells which develop during postnatal hematopoiesis. Yolk sac-derived macrophages are distinct from blood monocyte-derived macrophages, which are dependent on Myb (Ginhoux et al., 2010; Schulz et al., 2012). Additionally, early colonization from the yolk sac to the brain parenchyma happens prior to neurogenesis, suggestive of a role for microglia in maintaining the correct homeostatic conditions, which favor neurodevelopmental events (Alliot et al., 1991). In turn, microglia are maturing to their fully functional and ramified form in the presence of neurons (Haenseler et al., 2017b).

As described in Manuscript I, studies on microglia involving human tissue and animal models have their own drawbacks. Firstly, human tissue is extremely rare and not readily available. While it is possible to isolate microglia cells from human fetal and adult brains, the microglial transcriptomic signature upon culturing those cells *ex vivo* is lost (Butovsky et al., 2014). Secondly, mice microglia are more readily available, but they often showcase significant differences in the expression of disease risk genes, and the expression and regulation of immune pathways involved in human diseases (Booth et al., 2017; Kodamullil et al., 2017). In order to create a patient-derived model and to achieve *in vitro* cultures of near 100% microglial purity, we applied an established microglial differentiation protocol to iPSCs.

Multiple protocols for the generation of microglia-like cells were described previously (Abud et al., 2017; Haenseler et al., 2017b; Muffat et al., 2016; Pandya et al., 2017). Since most of them require additional steps of sorting, purification or co-culturing with astrocytes, we opted for a protocol devised by Haenseler *et al*. It efficiently reconstitutes the microglial journey from the yolk sac to the brain, through the formation of embryoid bodies, and establishment of macrophage precursor-producing factories, with addition of growth factors, which direct the cells to become Myb-independent macrophages. Even though this protocol aims to obtain neuron-microglia co-cultures as the final step to achieve terminally differentiated microglia, we cultured our macrophage precursors as a monoculture, by adding the factors IL-34 and GM-CSF. Both ligands are able to stimulate the activation of the IL-34 receptor, a signaling molecule that is crucial to achieve a microglia-specific signature (Haenseler et al., 2017b; Kempthorne et al., 2020). In Manuscript II and III, we successfully differentiated iPSC-derived microglia

and confirmed their microglial identity by immunostaining for the microglia-specific markers Iba1 and P2RY12. Microglia cells are responsible for phagocyting necrotic and apoptotic brain cells and cellular debris, and modeling this function *in vitro* is part of the (functional) characterization of these cells (Janda et al., 2018). Indeed, we were able to show that both LRRK2-PD and IPD microglia are capable of phagocyting Zymosan bioparticles (Manuscript II and III). Our characterization experiments highlighted that iPSC-derived microglia are a great tool to study inflammatory processes in the CNS, *in vitro*.

## 4.2 Modeling LRRK2-associated PD and LRRK2 kinase activity

Modeling LRRK2-PD in animal and in vitro cell culture models usually consists of either LRRK2 overexpression or insertion of PD-associated LRRK2 mutations (Seegobin et al., 2020). Unfortunately, neither of these genetic modifications could prompt degeneration of dopaminergic neurons, or even obvious changes in the brain pathology (Arranz et al., 2015; Baptista et al., 2013; Garcia-Miralles et al., 2015; Herzig et al., 2012; Hinkle et al., 2012; Liu et al., 2015). These findings, together with the incomplete penetrance of LRRK2 G2019S, led to the assumption that LRRK2-PD is a result of multiple hits. According to the "multiple-hit hypothesis", a genetic insult brings a system (an organism) to the edge of perturbation, while a second (environmental) hit is needed to fully manifest the scope of the disease (Cannon and Greenamyre, 2013; Johnson et al., 2019; Sulzer, 2007). In LRRK2-PD, the second hit was assumed to be of inflammatory nature. Indeed, the LRRK2 protein is highly abundant in immune cells of both the adaptive and innate immunity (Cook et al., 2017; Gardet et al., 2010; Hakimi et al., 2011). The importance of LRRK2 in the innate immune response was corroborated when LRRK2 KO animals were shown to be less sensitive to LPS-induced neuroinflammation and neurodegeneration (Daher et al., 2014). In addition, in vitro studies found that inhibition of LRRK2 kinase activity can successfully lower levels of pro-inflammatory cytokines, while treatment with IFN- $\gamma$  can upregulate both LRRK2 levels and kinase activity (Gardet et al., 2010; Lee et al., 2020; Moehle et al., 2012; Panagiotakopoulou et al., 2020; Russo et al., 2016).

The main cellular sources of IFN- $\gamma$  are natural killer (NK) cells, and CD8+ and CD4+ T lymphocytes. Taking into consideration that LRRK2 is highly expressed

in peripheral organs, like spleen, liver and lungs, and peripheral cells of the immune system, impact of tissue-specific macrophages, monocytes and T lymphocytes has been reported in PD patients (Konstantin Nissen et al., 2022; Wallings et al., 2020). A shift towards higher numbers of CD8+ cells, and cells producing higher amounts of IFN- $\gamma$  was seen in PD patients, while naïve CD4+ cells tend to differentiate preferentially towards the Th1 lineage signature with increased IFN- $\gamma$  production (Baba et al., 2005; Kustrimovic et al., 2018). T and B lymphocytes, and monocytes from PD patients showed higher expression of LRRK2 and exhibited a pro-inflammatory phenotype (Bliederhaeuser et al., 2016a; Cook et al., 2017; Kuss et al., 2014; Thévenet et al., 2011). Furthermore, a groundbreaking study in mice lymphocytes found that the presence of LRRK2 mutations alone is sufficient to prompt the LPS-induced demise of dopaminergic neurons, establishing peripheral immunity as a key trigger of neurodegeneration in this LRRK2 model (Kozina et al., 2022).

Since CNS microglia are not the main source of IFN- $\gamma$ , we treated our cultures with the cytokine to mimic the "second hit" that would otherwise be caused by an inflammatory insult from the peripheral immune system. In Manuscript II, we report elevated LRRK2 protein levels in iPSC-derived microglia, upon IFN- $\gamma$  exposure. While LRRK2 levels did not significantly differ between different microglia cells, phospho-S1292 LRRK2 was upregulated solely in LRRK2 G2019S carriers, after IFN- $\gamma$  treatment. By including gene-corrected cells in our study, we determined that these results are primarily caused by the G2019S mutation and do not result from the genetic background of the patient.

LRRK2 phosphorylates a large group of substrates. In a recent study, changes in cellular phosphorylation events highlighted the differences between manifesting and non-manifesting LRRK2 G2019S patients (Garrido et al., 2022). Furthermore, phosphorylated levels of Rab10 protein were considered a robust readout of LRRK2 kinase activity in animal and *in vitro* models (Iannotta et al., 2020; Ito et al., 2016; Wang et al., 2021). Conversely, in a recent study in PD patient neutrophils and PBMCs, pRab10 was not different between control and patients, and pRab10 levels were not correlated to total LRRK2, indicative of a complex regulation between LRRK2 and its substrates (Atashrazm et al., 2019). In Manuscript II, we could induce phosphorylation of Rab10 upon IFN- $\gamma$  treatment, indicative of higher kinase activity upon an inflammatory stimulus. However, we did not observe a mutation-specific upregulation of pRab10, as was seen in the analysis of phospho-S1292 LRRK2 levels. Furthermore, these results are in line with a recent study that found no significant influence of LRRK2 G2019S on pRab10 levels in human neutrophils. Instead, the R1441G mutation in LRRK2 induced elevated

pRab10 (Fan et al., 2021). Of note, the authors could not detect S1292 levels in their experimental setup. This might be due to a cell type-specific regulation of LRRK2 phosphorylation - an aspect of LRRK2-PD not yet fully understood.

Reducing augmented LRRK2 kinase activity is currently the main therapeutic target for LRRK2-PD patients. In Manuscript II, we successfully decreased the levels of both S1292 LRRK2 and pRab10 upon MLi-2 treatment. Our results indicate S1292 LRRK2 as the primary readout of mutation-specific kinase activity in iPSC-derived microglia cells, and highlight the importance of IFN- $\gamma$  signaling in this cascade.

#### 4.3 Alpha-synuclein and LRRK2

Alpha-synuclein is a protein primarily expressed in neurons. Its aggregation is one of the hallmarks of PD and one of the prime subjects of PD research (Bendor et al., 2013; Bernal-Conde et al., 2019). Microglia readily uptake extracellular  $\alpha$ -synuclein, helping in clearance and degradation, which is usually disrupted in PD models (Bliederhaeuser et al., 2016b; Choi et al., 2020; George et al., 2019; Guo et al., 2020; Haenseler et al., 2017a; Mavroeidi and Xilouri, 2021). Strictly defining the intricate interplay between LRRK2 and  $\alpha$ -synuclein has not yet been achieved (O'Hara et al., 2020). By contrast, multiple studies showed that LRRK2 G2019S plays a role in the accumulation and aggregation of  $\alpha$ -synuclein in neurons and defective clearance of  $\alpha$ -synuclein in astrocytes (Longo et al., 2017; Novello et al., 2018; Volpicelli-Daley et al., 2016). Interestingly, LRRK2 was found to regulate the levels of toxic accumulation of  $\alpha$ -synuclein in a kinase-dependent manner (Daher et al., 2015; Ho et al., 2020; Obergasteiger et al., 2020; Volpicelli-Daley et al., 2016; Zhao et al., 2020). Moreover, LRRK2 G2019S microglia were shown to exchange the synuclein load through a nanotubular network (Scheiblich et al., 2021).

Beyond the above-mentioned functional studies, little is known about the significance of endogenous  $\alpha$ -synuclein expression in immune cells.  $\alpha$ -synuclein was found to be of importance in microglial development and function, while increased levels in peripheral immune cells were considered a biomarker of PD (Austin et al., 2006; Bido et al., 2021; Gardai et al., 2013; Shin et al., 2000; Xiao et al., 2014). An immunocytochemistry experiment allowed us to study the localization and protein levels of  $\alpha$ -synuclein. In Manuscript II, we found elevated levels of total and nuclear  $\alpha$ -synuclein in manifesting LRRK2 G2019S microglia, exacerbated upon IFN- $\gamma$  treatment, but seemingly not dependent on kinase activity. Interestingly, a recent study found no correlation between *SNCA* expression and IFN-regulated genes in aging post-mortem brains. Only in the brains of

PD patients, *SNCA* was positively co-expressed with IFN-regulated genes (Liscovitch and French, 2014). Together with our data, these findings would signify a detrimental loop between  $\alpha$ -synuclein and IFN- $\gamma$ , and a potential role of *SNCA* in directly modulating the immune response and affecting the penetrance of LRRK2-PD. Furthermore, a recent study in LRRK2 G2019S mice found that  $\alpha$ -synuclein fibrils trigger the recruitment of infiltrating LRRK2-expressing peripheral monocytes with a distinct pro-inflammatory signature (Xu et al., 2022). Additionally, infiltrating monocytes were found to be crucial in synuclein-driven neurodegeneration (Harms et al., 2018). These findings are indicative of a substantial involvement of  $\alpha$ -synuclein in propagating inflammation in LRRK2-PD, beyond the CNS.

# 4.4 Transcriptomics of LRRK2-PD iPSC-derived microglia

In order to further assess the involvement of LRRK2 G2019S in PD pathogenesis, we performed RNA sequencing on our samples. Given that we observed major changes between different microglia mainly upon IFN- $\gamma$  treatment, we decided to focus our transcriptomic analysis on microglia under inflammatory conditions only. When analyzing differentially expressed genes that define the disease status in IFN- $\gamma$ -stimulated LRRK2 G2019S microglia, we identified several genes involved in inflammation. The expressions of GPNMB, DPYD, GRAMD4, CADM1 and SH3PXD2B were specifically upregulated in LRRK2 G2019S patient microglia. This set of genes was also linked to the activation trajectories of IPD microglia, suggestive of shared microglial activation features between LRRK2-PD and IPD (Smajić et al., 2021). GPNMB is associated with activated microglia and its expression was found to be upregulated upon excessive proinflammatory signaling (Ripoll et al., 2007; Saade et al., 2021). Moreover, CADM1 is a cell-adhesion protein shown to have a major role in interaction with CD8+ T lymphocytes, and is consequently further inducing IFN- $\gamma$  production (Faraji et al., 2012). Interestingly, we also identified IRAK4 upregulation in the manifesting G2019S microglia compared to the non-manifesting G2019S microglia. Multiple studies suggested that inhibition of IRAK4 is a suitable therapeutic strategy for neurodegenerative disorders since this protein is involved in the overactivation of innate immune signaling (Giménez et al., 2020; Mullard, 2020; Ngwa et al., 2021). More work will be needed to confirm its therapeutic potential in LRRK2-PD.

Pathway enrichment analysis revealed the importance of Notch signaling in LRRK2 G2019S microglia. Notch signaling is a conserved pathway regulating development, cell fate, differentiation, and immune response by receiving cell-to-cell information (Brandstadter and Maillard, 2019; Keewan and Naser, 2020; Radtke et al., 2010; Shang et al., 2016; Wongchana et al., 2018). While there are only few publications dealing with Notch signaling in PD, it has been implicated in neuronal dysfunction in LRRK2 and  $\alpha$ -synuclein models, and was shown to control the microglial activation state (Desplats et al., 2012; Grandbarbe et al., 2007; Imai et al., 2015). Moreover, *PHF8*, a central gene of the Notch pathway, was down-regulated in LRRK2 G2019S carriers. PHF8 was previously shown to control the extent of IFN- $\gamma$  signaling (Asensio-Juan et al., 2017). These findings will be the basis for further experiments exploring the role of Notch signaling in LRRK2-PD.

#### 4.5 LRRK2 and IPD

Clinical similarities between IPD and LRRK2-PD compelled us to investigate shared phenotypes in iPSC-derived microglia from these two PD forms. LRRK2 kinase activity was recently found enhanced in IPD patient post-mortem tissues (Di Maio et al., 2018). In Manuscript II, we observed that IFN- $\gamma$  treatments can elicit a similar response in IPD iPSC-derived microglia. Phospho-S1292 LRRK2 was upregulated upon IFN- $\gamma$  exposure in IPD microglia compared to control cells. By contrast, pRab10 levels were comparable between the groups upon IFN- $\gamma$  treatment. Even though we investigated a small sample size, we could recapitulate the S1292 phenotype as observed in post-mortem tissues.

Results in both LRRK2 and IPD microglia suggest that IFN- $\gamma$  signaling mediates LRRK2 kinase overactivation. Additionally, our pRab10 data confirm the results of another study in brain tissue samples and neutrophils from IPD patients, where no differences between patients and healthy individuals were detected (Fan et al., 2021). Furthermore, LRRK2 expression was downregulated in IPD microglia compared to control cells, irrespective of the treatment, an observation already seen in our published study on IPD microglia (Manuscript III), and in IPD post-mortem tissue (Badanjak et al., 2021; Simunovic et al., 2009).

While we observe shared microglial phenotypes between the IPD and LRRK2-PD with respect to LRRK2 kinase activity, most other assessed features differed between the two forms. This discrepancy is not surprising, and is possibly a consequence of differential downstream mechanisms under IFN- $\gamma$  treatment. However, in light of the number of samples investigated, future studies will be crucial to validate our findings.

#### 4.6 Studying inflammation in IPD

Studies on inflammatory mechanisms in the CNS of IPD patients are relatively sparse. The majority of studies carried out so far, explore peripheral blood-derived immune cells and tissue samples. In addition, the high heterogeneity of cases makes IPD extremely hard to model *in vitro*. Having multiple published RNAseq datasets from IPD tissues at our disposal, we decided to explore the contribution of microglia cells in IPD in a comparative manner and to model those findings in our iPSC-derived microglia (Manuscript III).

Cytokines are inflammatory intercellular mediators responsible for the propagation of the inflammatory response and are crucially involved in defense mechanisms of the CNS. We analyzed cytokine expressions in published RNAseq datasets from postmortem tissues and observed an upregulation of IL10 and IL1B in IPD nigral tissues compared to controls. In order to obtain higher cellular resolution and confirm that these expressions are indeed microglia-driven, we made use of our previously published IPD single-cell midbrain atlas. Not surprisingly, these cytokine expressions were specifically upregulated in microglia cells from IPD patients, highlighting microglia as the main drivers of neuroinflammation in IPD. Furthermore, we wanted to validate this data in vitro, using iPSC-derived microglia technology. In order to mount an effective inflammatory response, LPS is often used in experimental setups. LPS is a component of the highly antigenic outer membrane of Gram-negative bacteria (Qin et al., 2007). In Manuscript III, we found that iPSC-derived microglia from IPD patients have elevated IL1B and IL10 levels upon LPS insult, confirming the cytokine expression findings from the public RNAseq and sn-RNAseq datasets. Furthermore, LPS was shown to stimulate NLRP3 expression in other cellular models of neurodegeneration (Hulse and Bhaskar, 2022; Liang et al., 2022), which motivated us to explore the inflammasome in our cells. Indeed, we observed higher mRNA and protein levels of NLRP3 upon LPS exposure, highlighting the relevance of this pathway in the pathogenesis of PD.

As previously stated, LRRK2 is also implicated in IPD. Although, upon IFN- $\gamma$  treatment, we observed higher phospho-S1292 LRRK2 levels in IPD microglia, LPS exposure did not have the same effect. This suggests that LRRK2 kinase activity is tightly regulated by specific inflammatory insults. Furthermore, other studies demonstrated higher total LRRK2 levels after LPS treatment, a phenotype, which we did not observe in our iPSC-derived microglia, indicative of differential responses between various microglia model systems (Moehle et al., 2012).

An important aspect of PD research is the identification of reliable blood biomarkers, which would provide insight into the susceptibility, diagnosis, and potential disease progression of an individual. In a recent study, defective mitophagy in PRKN-PD mice prompted the release of mtDNA, activation of inflammatory cGAS-STING pathway, and increased IL6 production (Sliter et al., 2018). Translating this exciting study to patients, we identified an upregulation of mtDNA, IL6, and C-reactive protein in manifesting carriers of *PRKN* and *PINK1* mutations (Manuscript IV). Interestingly, these pro-inflammatory components were not upregulated in the serum of IPD patients. Thus, we might speculate that the peripheral response in IPD patients is a low-grade chronic inflammation. While in early-onset PRKN-PD, mitochondrial dysfunction and the release of mitochondrial components (which have antigenic potential) induce even peripheral inflammatory phenotypes.

### 4.7 Anti-inflammatory therapies

The range of anti-inflammatory therapies tested in the treatment of PD were summarized in Manuscript I. This included the use of non-steroid anti-inflammatory drugs (NSAIDs), and antibody therapies against  $\alpha$ -synuclein. Additionally, enhanced LRRK2 kinase activity has encouraged the development of multiple LRRK2 kinase inhibitors. Initial inhibitors (the so-called generation 0) were not very potent and selective for LRRK2, and had no ability to cross the mouse BBB. Further development saw two generations of more potent and selective kinase inhibitors, although penetration to the brain was still low (Anand et al., 2009; Choi et al., 2012; Covy and Giasson, 2009; Estrada et al., 2014; Hatcher et al., 2015; Nichols et al., 2009). The third generation is characterized by two extremely potent LRRK2 kinase inhibitors: MLi-2 and PF-06685360 (Andersen et al., 2018; Fell et al., 2015; Scott et al., 2017). Multiple studies, including our own, have shown downregulation of phospho-S935 LRRK2 and pRab10 levels upon MLi-2 treatment (Daher et al., 2015; Wang et al., 2021). While effective and useful for in vitro modeling of LRRK2-PD, adverse effects of these inhibitors have been reported in pre-clinical trials (Wojewska and Kortholt, 2021). Thus, we turn our focus to therapies widely available to the general population, which have an anti-inflammatory potential -NSAIDs.

NSAIDs exhibit their effect through inhibition of cyclooxygenase COX-1 and COX-2, consequently reducing the production of prostaglandins (Ghlichloo and Gerriets, 2021). Higher levels of COX enzymes and prostaglandins were associated with neurodegenerative disorders (Minghetti, 2004). It is still under debate whether there is any association between NSAIDs uptake and PD incidence (Brakedal et al., 2021; Samii et al., 2009). Interestingly, two independent studies found that the intake of aspirin and ibuprofen was associated with later age-at-onset of LRRK2-PD and IPD (Gabbert et al., 2022; San et al., 2020).

### 5 Outlook

In my doctoral thesis, I have provided findings on inflammatory processes in LRRK2-associated and idiopathic PD. The generation of iPSC-derived microglia was crucial in order to decipher the molecular mechanisms in endogenous patient microglia - cells that are exclusive to the CNS, and thus not readily available. Our experimental setup allowed us to investigate mutation-specific phenotypes in both manifesting and non-manifesting LRRK2 G2019S microglia. While both G2019S carriers were characterized by an upregulation of kinase activity, only LRRK2-PD microglia were additionally shown to have higher  $\alpha$ -synuclein levels and *GPNMB* expression, upon IFN- $\gamma$  treatment. Furthermore, our transcriptomic analysis identified Notch signaling as a potential pathway mediating inflammation in LRRK2-PD microglia.

Further experiments are needed to strengthen our study on LRRK-PD microglia. Firstly, taking into account the propensity of  $\alpha$ -synuclein and GPNMB to achieve an inflammatory effect on surrounding cells and tissue, we plan to perform ELISA experiments. Furthermore, it would be interesting to investigate if the release of both is dependent on LRRK2 kinase activity.

Moreover, a study by Smajic *et al.* found several genes specific to the GPNMB<sup>high</sup> cluster in IPD microglia. In order to find additional shared phenotypes in microglia activation, we plan to investigate those genes specifically in our LRRK2 microglia RNAseq dataset.

Finally, we intend to validate multiple targets identified in the RNAseq dataset, together with exploring the regulation of Notch signaling in iPSC-derived microglia cells.

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# **Appendix**

## Additional publication

Parkin Deficiency Impairs Mitochondrial DNA Dynamics and Propagates Inflammation



#### RESEARCH ARTICLE

# Parkin Deficiency Impairs Mitochondrial DNA Dynamics and Propagates Inflammation

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ABSTRACT: Background: Mutations in the E3 ubiquitin ligase parkin cause autosomal recessive Parkinson's disease (PD). Together with PTEN-induced kinase 1 (PINK1), parkin regulates the clearance of dysfunctional mitochondria. New mitochondria are generated through an interplay of nuclear- and mitochondrial-encoded proteins, and recent studies suggest that parkin influences this process at both levels. In addition, parkin was shown to prevent mitochondrial membrane permeability, impeding mitochondrial DNA (mtDNA) escape and subsequent neuroinflammation. However, parkin's regulatory roles independent of mitophagy are not well described in patient-derived neurons.

**Objectives:** We sought to investigate parkin's role in preventing neuronal mtDNA dyshomeostasis, release, and glial activation at the endogenous level.

**Methods:** We generated induced pluripotent stem cell (iPSC)-derived midbrain neurons from PD patients with parkin (*PRKN*) mutations and healthy controls. Live-cell imaging, proteomic, mtDNA integrity, and gene expression analyses were employed to investigate mitochondrial biogenesis and genome maintenance. To assess neuroinflammation, we performed single-nuclei RNA sequencing in postmortem tissue and quantified

interleukin expression in mtDNA/lipopolysaccharides (LPS)-treated iPSC-derived neuron-microglia co-cultures. **Results:** Neurons from patients with *PRKN* mutations revealed deficits in the mitochondrial biogenesis pathway, resulting in mtDNA dyshomeostasis. Moreover, the energy sensor sirtuin 1, which controls mitochondrial biogenesis and clearance, was downregulated in parkindeficient cells. Linking mtDNA disintegration to neuroinflammation, in postmortem midbrain with *PRKN* mutations, we confirmed mtDNA dyshomeostasis and detected an upregulation of microglia overexpressing proinflammatory cytokines. Finally, parkin-deficient neuron-microglia co-cultures elicited an enhanced immune response when exposed to mtDNA/LPS.

**Conclusions:** Our findings suggest that parkin coregulates mitophagy, mitochondrial biogenesis, and mtDNA maintenance pathways, thereby protecting midbrain neurons from neuroinflammation and degeneration. © 2022 The Authors. *Movement Disorders* published by Wiley Periodicals LLC on behalf of International Parkinson and Movement Disorder Society

**Key Words:** Parkinson's disease; mitochondrial DNA; induced pluripotent stem cells; parkin; neuroinflammation

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WASNER ET AL

#### Introduction

Dopaminergic neurons (DANs) of the substantia nigra pars compacta (SNpc) in the midbrain are critically involved in the regulation of movement. Loss of DANs results in clinical motor disturbances of patients with Parkinson's disease (PD)—the second most common neurodegenerative disorder. Although the underlying biological mechanisms causing neuronal loss are still under investigation, mitochondrial dysfunction has been well implicated in PD pathology.

The majority of patients with PD are sporadic, with individuals manifesting the disease at  $\geq$ 65 years of age. The remainder are caused by genetic mutations, of which many are linked to mitochondrial dysfunction. Roughly 50% of patients with early-onset PD harbor mutations in parkin (*PRKN*).<sup>2,4</sup>

PRKN encodes the E3 ubiquitin ligase parkin—an established regulator of mitochondrial clearance.<sup>5</sup> However, parkin's substrates are involved in several fundamental cellular processes. For instance, parkin targets parkin-interacting substrate (PARIS)—an inhibitor of the mitochondrial biogenesis regulator peroxisome gamma coactivator 1-alpha (PGC1-α).<sup>6</sup> Moreover, *PRKN* overexpression in cell models revealed an association with the mitochondrial genome and a direct interaction with mitochondral transcription factor A (TFAM)—the main transcription factor of mitochondrial DNA (mtDNA).

mtDNA has gained recent interest as a determinant of aging and age-associated diseases, including PD. Improper mtDNA maintenance has been shown to allow its escape from the mitochondrial compartment, triggering an immune response. This phenomenon was furthermore demonstrated in parkin-knockout (KO) "mutator" mice, which harbor an error-prone version of DNA polymerase  $\gamma$  (POLG). These animals show elevated extracellular mtDNA levels and cyclic GMP-AMP synthase (cGAS)-stimulator of interferon genes (STING) signaling under stress conditions. However, parkin's involvement in these cellular processes has yet to be investigated in patient-derived neurons.

To explore the role of parkin in mtDNA maintenance endogenously, we generated induced pluripotent stem cell (iPSC)—derived midbrain neurons from patients with PD with biallelic *PRKN* mutations. We found that parkin-deficient neurons exhibit impaired mitochondrial biogenesis, mtDNA dynamics, and increased cytosolic mtDNA levels. Parkin knockdown during mutagenic stress mirrored these phenotypes and evidenced upregulations of the cGAS protein and extracellular mtDNA. Moreover, treatment with lipopolysaccharides (LPS) and mtDNA elicited a stronger inflammatory response in *PRKN*-mutant compared with control neuron–microglia co-cultures. Finally, single-nuclei RNA sequencing (snRNAseq) of postmortem midbrain

sections from a patient with *PRKN*-PD revealed microgliosis and proinflammatory signaling. Our findings elucidate novel parkin-regulated mitophagy-independent mechanisms contributing toward mitochondrial quality control. We show that parkin coordinates mitochondrial biogenesis and mtDNA maintenance and is essential to prevent neuroinflammation and neurodegeneration.

#### **Materials and Methods**

Generation of iPSCs was performed as described, 11 and DANs and microglia were derived using established protocols. 12-14 To isolate tyrosine hydroxylase (TH)positive cells, iPSC-derived neurons were subjected to fluorescence-activated cell sorting (FACS) using an adapted protocol. 15 Production of lentiviral vectors expressing short hairpin RNA (shRNA) against human PRKN or a control plasmid was performed as described. 16 SH-SY5Y neuroblastoma cells were treated with 200 μM cobalt chloride (CoCl<sub>2</sub>). <sup>17</sup> Nicotinamide adenine dinucleotide:nicotinamide adenine dinucleotide hydrogen (NAD+:NADH) ratios were determined using a kit (Sigma, St. Louis, MO). Respiratory chain complex I (CI) and citrate synthase activities were assessed in mitochondrial fractions by means of spectrophotometry. 18,19 Isolated extracellular mtDNA was quantified using a Digital PCR System (Applied Biosystems, Waltham, MA) and TaqMan probes specific for mitochondrially encoded NADH:ubiquinone oxidoreductase core subunit 1 (MT-ND1) and beta-2-microglobulin (B2M). 20,21 Polar metabolites from 30 day-old neurons were extracted and then derivatized and measured as published.<sup>22</sup> All experiments using iPSCderived neurons and SH-SY5Y cells were performed with at least three biological replicates. Unpaired twotailed Student's t tests or one-way analysis of variance followed by post hoc Tukey tests were used to determine statistical significance (P < 0.05).

Midbrain sections were immunostained, and TH-positive neurons were isolated through laser capture microdissection (LCM) using the PALM MicroBeam (Zeiss, Oberkochen, Germany).<sup>20</sup> Nuclei isolation, snRNAseq, and data analysis of the *PRKN*-mutant midbrain was carried out as described.<sup>23</sup>

A detailed description of the materials and methods can be found in the supplement.

#### Results

#### Parkin Deficiency Impairs Mitochondrial Biogenesis in the Neurons of Patients with PD

We generated iPSC-derived midbrain neurons from healthy controls and *PRKN* mutation carriers (Fig. S1A, B), which lack the parkin protein (Fig. S1C). Furthermore, we employed SH-SY5Y wild-type (WT) and

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isogenic parkin-KO cells, which were generated using clustered regularly interspaced short palindromic repeats and CRISPR-associated protein 9 (CRISPR/Cas9) technology (Fig. S1D).

Parkin targets a plethora of proteins,<sup>24</sup> with mounting evidence supporting regulatory roles in diverse cellular mechanisms beyond mitophagy. Given that mitophagy and mitochondrial biogenesis are tightly linked to preserve bioenergetic homeostasis,<sup>25</sup> we sought to investigate possible alterations in mitochondrial biogenesis in our models. Previous research demonstrated that parkin overexpression enhances mitochondrial biogenesis through PGC1-α, either directly or via PARIS, its transcriptional repressor.<sup>6,26,27</sup> We found significantly lower levels of PGC1-α protein in parkin-deficient neurons and SH-SY5Y cells compared with controls (Fig. 1A,B). Interestingly, neither cell model showed differences in the PARIS protein under basal conditions (Fig. 1A,C).

Conversely, parkin deficiency substantially reduced sirtuin 1 (SIRT1) levels (Fig. 1A,D), an NAD<sup>+</sup>-dependent energy sensor acting on mitochondrial biogenesis through regulation of PGC1- $\alpha$  gene expression and protein deacetylation. <sup>28,29</sup> Moreover, in parkin-

deficient neurons, we detected higher lactate:pyruvate ratios (Fig. 1E), which suggests a lack of free NAD<sup>+</sup> based on the chemical equilibrium principle.<sup>30</sup>

PGC1-α regulates the transcription of nuclear respiratory factors (NRFs), which in turn mediates gene expressions of mtDNA transcription factors and replication activators TFAM and mitochondrial transcription factor B2 (TFB2M).<sup>31</sup> In agreement with reduced PGC1-α abundance, we found that parkin deficiency resulted in decreased NRF1 protein levels (Fig. 1A,F) and reduced *TFAM* and *TFB2M* expression (Fig. 1G, H). In addition, we detected significantly downregulated mRNA levels of twinkle mtDNA helicase (*TWNK*), a factor mainly involved in mtDNA replication (Fig. 1I).

## Parkin Influences mtDNA Dynamics and Respiratory Chain Function

Mitochondrial biogenesis may be defined as the division and growth of preexisting mitochondria and is accomplished by the import of nuclear-encoded proteins and transcription and replication of the mitochondrial genome, which contains genes encoding subunits

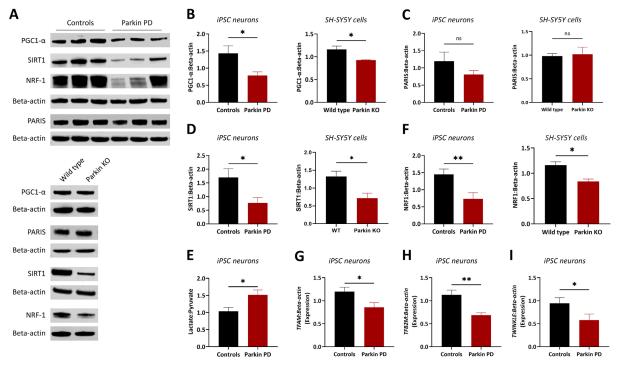


FIG. 1. Mitochondrial biogenesis is impaired in parkin-deficient cells. (A) Representative cropped Western blot images of total cell lysates from induced pluripotent stem cell (IPSC)-derived neurons from controls and patients with parkin-associated Parkinson's disease (Parkin PD) as well as wild-type and parkin-knockout (KO) SH-SY5Y neuroblastoma cells. (B-E) Quantifications from (A) peroxisome gamma coactivator 1-alpha (PGC1-α) (B), parkin-interacting substrate (PARIS) (C), sirtuin 1 (SIRT1) (D) and nuclear respiratory factor 1 (NRF1) (F) protein levels normalized to beta-actin. (E) Lactate-to-pyruvate ratios served as a proxy measure for free nicotinamide adenine dinucleotide:nicotinamide adenine dinucleotide hydrogen (NAD+/NADH) ratios. (G-I) Quantitative polymerase chain reaction (qPCR) was used to quantify gene expression of mitochondrial transcription factor A (TFAM) (G), mitochondrial transcription factor B2 (TFB2M) (H) and twinkle mtDNA helicase (TWNK) (I) in iPSC-derived neurons from controls and patients with parkin-associated PD normalized to beta-actin. Data are presented as the mean ± SEM. SEM = standard error of the mean, \*P < 0.05, \*\*P < 0.01; ns = not significant as determined by Student's t test.

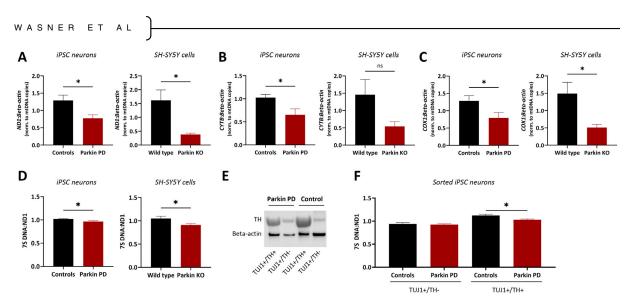


FIG. 2. Parkin influences mitochondrial DNA (mtDNA) dynamics. (A-C) Quantitative polymerase chain reaction (qPCR) was used to measure expression of mtDNA-encoded genes NADH:ubiquinone oxidoreductase core subunit 1 (ND1) (A), cytochrome B (CYTB) (B), and (C) cytochrome C oxidase I (COX1) in induced pluripotent stem cell (iPSC)-derived neurons from controls and Parkinson's disease patients with mutations in parkin (Parkin PD) and wild-type and parkin-knockout (KO) SH-SY5Y neuroblastoma cells. Gene expression was normalized to beta-actin and mtDNA copy number. (D) A multiplex real-time polymerase chain reaction (RT-PCR) assay was used to quantify transcription-associated 7S DNA per mtDNA molecule (with probes targeting ND1) in iPSC-derived neurons and SH-SY5Y cells. (E, F) Neuronal cultures from three controls and three PRKN mutation carriers were sorted using the pan-neuronal marker β-Tubulin 3 (TUJ1) and the dopaminergic neuron (DAN) marker tyrosine hydroxylase (TH) and subjected to Western blotting and RT-PCR analyses. (E) Representative cropped Western blotting images of TH and TUJ1 protein abundances in sorted control and patient neurons. (F) TUJ1/TH double-positive iPSC-derived neurons were separated from TUJ1-positive/TH-negative neurons derived from controls and patients with PRKN mutations. The resulting cell populations underwent multiplex RT-PCR to quantify the abundance of 7S DNA per mtDNA molecule (with a probe targeting ND1). RT-PCR results are from two technical replicates. n = 3 biological replicates; data are presented as the mean ± SEM; SEM = standard error of the mean,  $^*P < 0.05$ , ns = not significant as determined by Student's t test.

of the electron transport chain (ETC).<sup>32</sup> Our experiments suggest decreases in nuclear-encoded factors controlling mitochondrial biogenesis. We next sought to assess mtDNA dynamics by measuring the expression of mtDNA-encoded genes. The expression of mitochondrially encoded NADH:ubiquinone oxidoreductase core subunit 1 (MT-ND1), mitochondrially encoded cythochrome B (MT-CYTB), and mitochondrially encoded cytochrome C oxidase I (MT-COX1) (encoding subunits of complexes I, III, and IV, respectively) per mtDNA molecule was significantly downregulated in our parkin-deficient models (Fig. 2A-C). In line with this, both parkin-deficient iPSC-derived neurons and SH-SY5Y cells showed diminished 7S DNA: MT-ND1 ratios, suggestive of fewer transcription initiation events (Fig. 2D).  $^{20}$  Moreover, we used FACS to isolate TH-positive neurons and found that the 7S DNA phenotype is specific to DANs (Fig. 2E,F).

Because parkin overexpression was reported to enhance the selective removal of mitochondria harboring deleterious mtDNA mutations, <sup>33</sup> we explored the abundance of somatic major arc deletions. By contrast, we did not find differences between groups (data not shown). We further evaluated the mtDNA copy number and detected significantly higher mtDNA levels in parkindeficient cells using both real-time polymerase chain reaction (RT-PCR) (Fig. 3A) and immunocytochemistry (Fig. 3B). Finally, we assessed respiratory chain function

in SH-SY5Y cells and found that parkin-KO cells exhibited significantly reduced CI activity compared with WT cells (Fig. 3C). These results suggest that although parkin deficiency leads to an accumulation of mtDNA molecules, it also hinders the mtDNA transcription process, which likely contributes to ETC dysfunction.

#### Parkin Mitigates Cytosolic mtDNA Infiltration

Consistent with its evolutionary bacterial origin, mtDNA has been identified as a damage-associated molecular pattern (DAMP). Cytosolic mtDNA molecules can activate the innate immune system via the cGAS-STING pathway.8 Implicating mtDNA release in the pathogenesis of PRKN-PD, our previous research showed increased levels of circulating cell-free mtDNA (ccf-mtDNA) and inflammatory cytokines in serum from *PRKN* mutation carriers. <sup>34</sup> Several mechanisms have been proposed to facilitate mtDNA release into the cytosol, including TFAM depletion.8 We next confirmed that reduced TFAM gene expression detected in parkin-deficient neurons resulted in diminished TFAM (protein):mtDNA ratios (Fig. 3D, Fig. S2A). TFAM also acts as a packaging factor compacting the mtDNA molecule to form the mitochondrial nucleoid, and disruption of this process is associated with mtDNA extrusion from mitochondria. To investigate if impaired TFAM:mtDNA ratios coupled to disrupted mtDNA

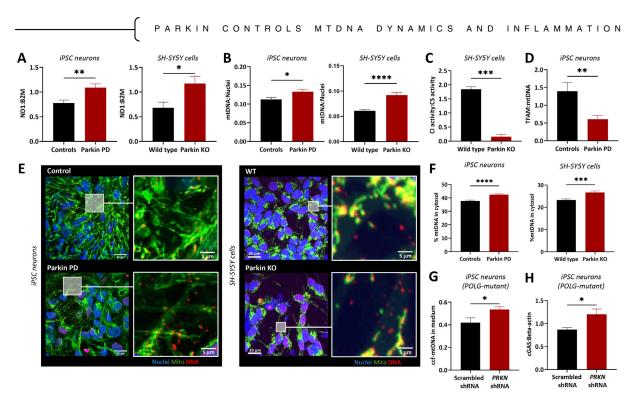


FIG. 3. Parkin attenuates cytosolic mitochondrial DNA (mtDNA) infiltration at baseline and extracellular mtDNA release during mutagenic stress. (A) A real-time polymerase chain reaction (RT-PCR) assay was used to quantify mtDNA copy number (with a probe targeting NADH:ubiquinone oxidoreductase core subunit 1 [ND1]) relative to the nuclear single copy gene beta-1-microglobulin (B2M) in induced pluripotent stem cell (iPSC)-derived neurons and SH-SY5Y neuroblastoma cells in healthy controls and patients with parkin-associated Parkinson's disease (Parkin PD) as well as wild-type (WT) and parkin-knockout (KO) SH-SY5Y cells. (B) Immunocytochemistry analysis of mtDNA copy number in iPSC-derived neurons and SH-SY5Y cells. Copy number was determined by mtDNA volume:nuclei volume. (C) Quantification of mitochondrial complex I activity normalized to citrate synthase activity in SH-SY5Y cells. (D) Quantification of mitochondrial transcription factor A (TFAM) protein abundance normalized to mtDNA copy number in iPSC-derived neurons. (E) Representative cropped immunocytochemistry images used to assess mtDNA subcellular location by targeting mtDNA, nuclei (Hoechst 33342), the mitochondrial marker translocase of outer mitochondrial membrane 20 (TOM20) and cytosol (high content screening CellMask Orange Stain [ThermoFisher Scientific, Waltham, MA]). (F) Analysis of mtDNA localization in iPSC-derived neurons and SH-SY5Y cells from (E). Percentage of mtDNA in cytosol was calculated by dividing the mtDNA volume outside mitochondria by total mtDNA volume. (G) Extracellular circulating cell-free mtDNA (ccf-mtDNA) in medium from polymerase γ (POLG)-mutant iPSC-derived neurons transduced with scrambled or PRKN short hairpin RNA (shRNA). Quantification by means of multiplex digital PCR (dPCR) targeting the mtDNA fragment ND1 and the nuclear single-copy gene B2M. ccf-mtDNA was calculated as the ratio of extracellular ND1 normalized to extracellular B2M copies to the sum of intra- and extracellular ND1 normalized to extracellular ND1 normalized t malized by their respective intra- and extracellular B2M copies. (H) cyclic GMP-AMP synthase (cGAS)-stimulator of interferon genes (STING) signaling in POLG-mutant iPSC-derived neurons transduced with scrambled or PRKN shRNA. Quantification of cGAS protein levels relative to beta-actin from Figure S2E. n = 3 or 5 biological replicates; data are presented as the mean  $\pm$  SEM; SEM = standard error of the mean, \*P < 0.05, \*\*P < 0.01, \*\*P < 0.001, \*\*\*\*P < 0.0001 as determined by Student's t test.

dynamics could result in elevated mtDNA release, we assessed the subcellular localization of mtDNA molecules with an imaging approach. Indeed, patient neurons and parkin-KO SH-SY5Y cells harbored significantly more mtDNA molecules in the cytosol compared with controls (Fig. 3E,F). This mtDNA shift from mitochondria to the cytosol was independently validated by applying an RT-PCR approach to cellular fractions from control and parkin-KO SH-SY5Y cells (Fig. S2B).

#### Hypoxic Conditions Mirror mtDNA Phenotypes Observed in Parkin-Deficient Cells

To test our hypothesis of metabolic remodeling as the underlying cause of mtDNA dyshomeostasis in

PRKN-PD, we exposed WT SH-SY5Y cells to the hypoxia-inducing agent CoCl<sub>2</sub>.<sup>17</sup> CoCl<sub>2</sub> treatment triggered an upregulation of the hypoxia-inducible factor 1-α (Fig. 4A) and a shift from oxidative phosphorylation to glycolysis as indicated by increased NAD+:NADH ratios (Fig. 4B). In line with the cellular function of SIRT1, the protein was less abundant under hypoxic conditions (Fig. 4A,C). We then investigated the SIRT1 target PGC1-α, which was also downregulated in CoCl2-treated cells (Fig. 4A,D). To explore the impact of PGC1-α depletion on mtDNA maintenance, we next determined the protein levels of NRF1 and TFAM, which were both downregulated in response to CoCl<sub>2</sub> exposure (Fig. 4A,E,F). In addition, the treatment reduced the 7S DNA:MT-ND1 ratio (Fig. 4G) and MT-ND1 mRNA levels (Fig. 4H).

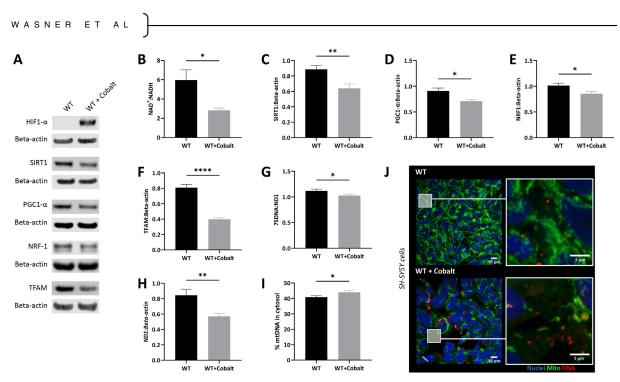


FIG. 4. Hypoxia-mediated metabolic alterations induce mitochondrial DNA (mtDNA) dyshomeostasis. (A) Representative cropped Western blot images of total cell lysates from untreated and cobalt chloride (CoCl₂)-treated wild-type (WT) SH-SY5Y neuroblastoma cells. (B) Nicotinamide adenine dinucleotide: nicotinamide adenine dinucleotide hydrogen (NAD+/NADH) ratios measured in cellular extracts from untreated and CoCl₂-treated WT SH-SY5Y cells. Quantifications from (A) sirtuin 1 (SIRT1) (C), peroxisome gamma coactivator 1-alpha (PGC1-α) (D), nuclear respiratory factor 1 (NRF1) (E), mitochondrial transcription factor A (TFAM) (F) protein levels normalized to Beta-actin. (G) Real-time polymerase chain reaction quantification of transcription-associated 7S DNA per mtDNA molecule. (H) qPCR was used to quantify gene expression of the mtDNA gene NADH:ubiquinone oxidoreductase core subunit 1(ND1). (I) Analysis of mtDNA localization in untreated and CoCl₂-treated WT SH-SY5Y cells (J). Percentage of mtDNA in cytosol was calculated by dividing the mtDNA volume outside mitochondria by total mtDNA volume. (J) Representative cropped immunocytochemistry images used to assess mtDNA subcellular location by targeting mtDNA, nuclei (Hoechst 33342), the mitochondrial marker translocase of outer mitochondrial membrane 20 (TOM20), and cytosol (high content screening CellMask Orange Stain [ThermoFisher Scientific, Waltham, MA]). n ≤ 3 biological replicates; data are presented as the mean ± SEM; SEM = standard error of the mean, "P < 0.05, "P < 0.01, \*\*\*\*P < 0.001 as determined by Student's t test.

Finally, we tested whether the CoCl<sub>2</sub>-induced metabolic shift was sufficient to trigger mtDNA release. Indeed, high-throughput imaging revealed elevated cytosolic mtDNA levels in treated SH-SY5Y cells (Fig. 4I,J). These data indicate that metabolic impairments can interfere with mtDNA dynamics.

#### Mutagenic Stress Exacerbates Parkin-Mediated Mitochondrial Biogenesis and mtDNA Transcription Deficits

Next, we further explored the downstream effects of parkin deficiency-induced mtDNA dyshomeostasis. Although we observed an increase of cytosolic mtDNA in parkin-mutant neurons, this mtDNA release from the mitochondria was not accompanied by an upregulation of extracellular mtDNA or immune-related factors (Fig. S2C,D), which may be explained by the inability of iPSC-derived cultures to model age-associated phenotypes such as inflammation.<sup>35</sup> To overcome the rejuvenation-associated limitations of iPSC-derived neurons, we

adapted a mitochondrial aging strategy from mice. Recently, an innovative mouse strain was generated that, contrary to most established rodent PD models, recapitulates motor phenotypes during the short lifetime of the animals. To simulate mitochondrial aging, parkin-KO mice were crossed with animals harboring an error-prone version of POLG, which causes mtDNA mutagenic stress. The resulting parkin-KO "mutator" mice showed increased serum levels of ccf-mtDNA and inflammatory cytokines mediated by cGAS-STING signaling. Inspired by this work, we differentiated iPSCs from a patient with compound-heterozygous mutations in *POLG* and subjected the resulting neurons to shRNA to reduce parkin expression (Fig. S3A).

Compared with *POLG*-mutant cells transduced with scrambled shRNA, parkin shRNA reduced 7S DNA: *MT-ND1* ratios (Fig. S3B) and showed significantly lower levels of mitochondrial biogenesis factors PGC1-α and NRF1 (Fig. S3A,C,D), strengthening our findings in *PRKN*-mutant neurons. Next, we quantified ccf-mtDNA in the extracellular medium and found a

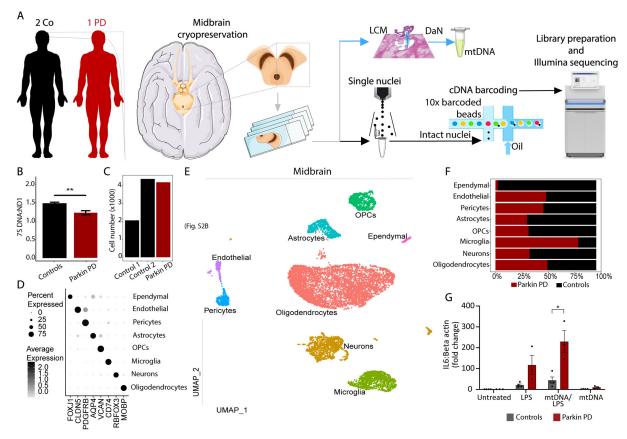
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significant upregulation, suggesting that parkin reduction in the presence of mtDNA stress elevated the release of mtDNA from mitochondria into the extracellular space (Fig. 3G). Furthermore, we found an increase in protein abundance of the cytosolic DNA sensor cGAS (Fig. 3H). Finally, we assessed the expression of key cytokines that were previously shown to be upregulated in serum from parkin-KO "mutator" mice and patients with *PRKN* mutations in response to cGas/STING signaling. <sup>9</sup> By contrast, RT-PCR analyses still revealed very low levels of interleukin 6 (*IL6*) and interleukin-1-beta (*IL1B*) in any of the investigated neurons (Fig. S3E), likely attributed to the absence of microglia in the cultures.

## mtDNA Maintenance Impairments Propagate Neuroinflammation in *PRKN*-PD Tissue

Although iPSC-derived neurons allow the study of parkin-related mitochondrial functions, the cultures do not reflect the cellular diversity of the midbrain. Recent publications implicate glia-mediated inflammation in the pathogenesis of *PRKN*-associated PD. <sup>9,34</sup> In light of these findings, we next used postmortem tissue from a patient with PD with compound-heterozygous *PRKN* mutations and two healthy controls to assess the extent and possible consequences of mtDNA disintegration in a more comprehensive environment (Fig. 5A).

We first sought to validate our findings concerning mtDNA maintenance in human brain tissue. We



**FIG. 5.** Cell-type differences in human *PRKN*-mutant and control midbrains. (**A**) Handling of the midbrain tissue for single-cell studies. Midbrain sections were used for (1) laser capture microdissection (LCM) of dopaminergic neurons (DAN) and (2) nuclei isolation, 10X Genomics platform processing, and Illumina sequencing. (**B**) 7S DNA:mitochondrially encoded NADH:ubiquinone oxidoreductase core subunit 1 (7SDNA:MT-ND1) ratios quantified via multiplex real-time polymerase chain reaction from single postmortem DANs of the substantia nigra pars compacta. (**C**) Number of nuclei per sample. The analyzed population is composed of 4173 *PRKN*-mutant nuclei and 6405 control nuclei, making a population of 10,578 nuclei (**D**) Representative cell-type specific marker genes. (**E**) The population of 10,578 nuclei projected onto a uniform manifold approximation and projection (UMAP) space. (**F**) Percentage of *PRKN*-mutant and control cell-type specific nuclei. (**G**) Fold change of interleukin 6 (IL6) expression at baseline (NT = nontreated) or after treatment with mitochondrial DNA (mtDNA), lipopolysaccharides (LPS), or both. n = 3 patients and n = 4 controls; data are presented as the mean  $\pm$  SEM; SEM = standard error of the mean, \*P < 0.05, \*\*P < 0.01, ns = not significant as determined by two-way analysis of variance and Sidak's multiple comparisons test. Co = healty control subjects, PD/Parkin PD = Parkinson's disease patient with mutations in parkin, OPCs = oligodendrocyte precursor cells, FOXj1 = forkhead box J1, CLDN5 = claudin 5, PDGFRB = platelet derived growth factor receptor beta, AQP4 = aquaporin 4, VCAN = versican, RBFOX3 = RNA binding fox-1 homolog 3, MOBP = myelin associated oligodendrocyte basic protein.

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applied LCM to midbrain tissue to isolate single neuromelanin- and TH-positive neurons (n = 10 per sample) from the SNpc, which were then subjected to RT-PCR. In line with our previous results, we found significantly reduced 7S DNA:*MT-ND1* ratios in postmortem patient DANs (Fig. 5B). However, we did not detect any differences in mtDNA deletion levels or in mtDNA copy number (data not shown).

Next, to determine whether PRKN mutation carriers suffer from neuroinflammation, we performed snRNAseq from postmortem ventral midbrain sections (Fig. 5A). A total of 10,578 high-quality nuclei (patient = 4173, controls = 2047 and 4358) were projected into two dimensions with the uniform manifold approximation and projection (UMAP) algorithm (Fig. 5C,E). We found eight major cell types including neurons and glial cells (Fig. 5D,E). Each cell cluster was annotated based on the expression of marker genes (Fig. 5D, Table S1). The most abundant cell type making ≈52.5% of the midbrain population are oligodendrocytes, followed by neurons (≈14.6%), microglia ( $\approx 11.6\%$ ), oligodendrocyte precursor cells ( $\approx 7.3\%$ ), and astrocytes (≈6.6%). Residual abundances were detected for other cell types. To assess neuroinflammation, we first compared cell density distributions in UMAP and observed a large increase in the microglial population and a decreased fraction of neurons and astrocytes (Fig. 5F) in the PRKN-mutant midbrain. Our results confirm the alteration in glial cell populations in the PRKN mutation carriers reported previously.<sup>36</sup> This shift infers an incorrect immune response, which likely leads to neuroinflammation. The resulting decrease of the PRKN midbrain neuronal population (Fig. 5F) compared with controls may be a trigger or downstream effect of the aforementioned immune response. Furthermore, the proinflammatory cytokines IL1B and tumor necrosis factor (TNF) were differentially expressed and upregulated in PRKNmutant microglia compared with controls (Table S2). Accordingly, the immune response pathways, primarily the interleukin signaling pathways, were most perturbed in microglia from the PRKN mutation carrier (Tables S3 and S4).

Finally, to assess the causal link between mtDNA dyshomeostasis and neuroinflammation in *PRKN*-associated PD, we generated iPSC-derived neuron-microglia co-cultures from controls and patients with *PRKN* mutations and exposed them to LPS, mtDNA isolated from patients with *PRKN*-PD, or both. The composition of the co-cultures with regard to DANs and microglia was not affected by the different treatments (Fig. S4A,B). Moreover, stimulation by mtDNA or LPS alone did not reveal any differences in the expression of cytokines in cells lacking parkin. However, when mtDNA was added as a secondary trigger after LPS priming, we observed that patient-derived co-

cultures showed a greater response in the expression of *IL6* (Fig. 5G). Although *IL1B* was equally upregulated after LPS/mtDNA treatment, the difference between *PRKN*-PD and control co-cultures was not significant (Fig. S4C).

#### Discussion

Using a multimodal approach, we explored novel mechanisms of mitochondrial quality control exerted by parkin. Although most parkin studies focused on its role in mitochondrial clearance, the wide range of parkin substrates suggests that the protein functions in cellular processes beyond mitophagy.<sup>24</sup> Thus, we decided to investigate the mitochondrial biogenesis and mtDNA maintenance pathways in parkin-deficient cells.

Mitochondrial biogenesis is synchronized in the nucleus, with PGC1- $\alpha$  acting as the master regulator.<sup>2</sup> Studies found a direct interaction between parkin and PGC1- $\alpha$ , <sup>27</sup> whereas others have shown an interaction with PARIS—a PGC1-α transcriptional repressor.<sup>6</sup> In the current study, parkin-depleted cells showed reduced PGC1-α protein levels while the abundance of PARIS remained unchanged, insinuating an alternative mechanism of PGC1-α reduction. We looked upstream and found that the SIRT1 protein was reduced in parkindeficient cells. PGC1-a gene expression and protein deacetylation is regulated by the NAD<sup>+</sup>-dependent energy sensor SIRT1.<sup>28,29</sup> Moreover, our and a published metabolic study in parkin-deficient neurons revealed increased lactate:pyruvate ratios, suggesting lower levels of freely accessible NAD+ as a result of metabolic remodeling from oxidative phosphorylation to glycolysis. 30,3

PGC1-α can activate the NRFs, which control the expression of the mitochondrial transcription factors *TFAM* and *TFB2M*.<sup>31</sup> Our gene expression and protein analyses confirmed disruptions of this pathway at each level in cells lacking parkin. The sole semiautonomous organelle of human cells, mitochondria, encompass their own system to coordinate mtDNA transcription, replication, translation, and repair. Because of its dynamic nature and proximity to the ETC, mtDNA maintenance is exceptionally important for ensuring homogeneity and preventing the expansion of aberrant mtDNA molecules. Studies in mitotically active parkinoverexpressing cells have shown a direct interaction of parkin with TFAM and an association with the mitochondrial genome. <sup>26,38</sup>

Focusing on mtDNA integrity, we found significantly less 7S DNA per mtDNA molecule in iPSC-derived neurons, SH-SY5Y cells, and postmortem DANs lacking parkin. This is in agreement with previous findings in postmortem DANs isolated from patients with idiopathic PD (IPD).<sup>20</sup> The D-loop region of the

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mitochondrial genome serves as the initiation site for its replication and transcription. The synthesis of 7S DNA in the D-loop is stimulated by TFAM, consistent with evidence showing that elevated TFAM matrix levels increase the rate of 7S DNA synthesis.<sup>39</sup> Indeed, calculating TFAM:mtDNA ratios, parkin-deficient neurons exhibited a significant reduction. Moreover, we observed decreased mtDNA gene expression that coincided with diminished ETC CI activity in parkin-KO SH-SY5Y cells. Of note, we previously reported ETC CI impairments in iPSC-derived neurons from our PRKN-mutant samples. 40 Interestingly, experiments in purified TH-positive neurons from patients with PRKN mutations highlighted that the 7S DNA phenotype is more pronounced in DANs, suggesting a link between mtDNA homeostasis and dopamine signaling. Because of their high energy requirements and the autoxidation properties of the neurotransmitter, DANs are particularly vulnerable to metabolic changes. 41,42 Interestingly, hypoxic conditions mimicked the mtDNA phenotypes observed in parkin-deficient cells. These findings further strengthened our hypothesis that a shift from oxidative phosphorylation to glycolysis triggers mtDNA dyshomeostasis in the absence of parkin.

Beyond alterations in the mitochondrial biogenesis pathway, we detected elevated mtDNA copy numbers but diminished TFAM abundance per mitochondrial genome in parkin-deficient neurons. TFAM reduction has previously been shown to allow mtDNA to escape from mitochondria into the cytosol, where it is recognized by the cytosolic DNA sensor cGAS, provoking activation of an innate immune response. Indeed, we observed that neurons from patients with PD and parkin-KO SH-SY5Y cells incur higher levels of mtDNA in the cytosolic compartment compared with controls. We also quantified mtDNA molecules in the extracellular medium of our cellular samples, yet we did not detect any differences compared with controls or increases in inflammatory cytokines.

However, keeping in mind that reprogramming of postnatal cells can cause artificial rejuvenation, 35 we burdened parkin-deficient cells with mitochondrial mutagenic stress—a normal aging phenomenon<sup>43</sup>—to investigate mtDNA release and inflammation. To create a neuronal aging model of PRKN-PD, we adopted an approach by Pickrell and colleagues who crossed parkin-KO with *POLG*-mutant mice. <sup>10</sup> These animals exhibited levodopa-reversible motor deficits, a selective loss of nigral DANs, impaired mtDNA dynamics, and cGas/ STING-mediated inflammation.<sup>9,10</sup> Accordingly, we generated iPSC-derived midbrain neurons from a patient with Alper's disease with compound-heterozygous POLG mutations and subjected the cultures to either scramble or parkin shRNA. Parkin knockdown in POLG-mutant neurons replicated the 7S DNA phenotype as well as disruptions of the mitochondrial

biogenesis pathway. In addition, we detected elevated ccf-mtDNA levels in the cellular medium and an increase in cGAS protein abundance. In agreement with previous results in parkin-KO "mutator" mice, this suggests that parkin depletion combined with mitochondrial mutagenic stress triggers the release of mtDNA into the cytosol and extracellular space in patient neurons.

Microglia are considered the resident innate immune cells in the brain, which can be activated by various DAMPs. The lack of microglial cells in our neuronal cultures may explain the inability to detect inflammation in our samples. We therefore decided to use postmortem tissue from a patient with compound-heterozygous *PRKN*-PD and controls to investigate a potential link between parkin dysfunction and neuroinflammation using snRNAseq. Our results showed a strong infiltration of microglia in the *PRKN*-mutant midbrain and an upregulation of the proinflammatory cytokines *IL1B* and *TNF*. This dysregulation resulted in the perturbation of immune and oxidative stress response pathways.

To additionally evaluate the extent of the microglia phenotype in *PRKN*-PD, we made use of our recently published single-cell data set of five patients with IPD and six controls.<sup>23</sup> Comparing the cell-type distribution across the three groups (Fig. S5A-D), the *PRKN*-mutant midbrain showed an even larger percentage of microglia than the IPD tissue samples (Fig. S5D). Moreover, the expression of microglia activation markers heat shock protein 90 alpha family class A member 1 (*HSP90AA1*) and *IL1B* was the highest in the *PRKN*-mutant cell population (Fig. S5E). Thus, despite the limitation of examining brain tissue from a single mutant case, this cross-comparison further supports a role for microglia in the pathogenesis of *PRKN*-PD.

With regard to the distribution of other glial cells, we detected a reduction in astrocytes in PRKN-PD (Fig. S4D), which is in line with previous observations in the PRKN-mutant midbrain.<sup>36</sup> The resulting lack of astroglial neuron support likely perpetuates the inflammatory phenotypes in PRKN-PD as indicated by elevated expression of the astrocyte activation marker cluster of differentiation 44 (CD44) (Fig. S5F). Interestingly, despite lower overall astrocyte numbers, CD44 levels in PRKN-PD astrocytes were even higher than in IPD astrocytes (Fig. S5F). Although additional rare PRKN-mutant midbrain samples need to be studied in the future, our snRNAseq analysis, which also considered our published results from IPD cases, provides valuable insights into the cell-type composition and transcriptomic landscape of the PRKN-PD midbrain.

Finally, to assess whether mtDNA dyshomeostasis and inflammation are causally linked in *PRKN*-PD, we generated iPSC-derived neuron–microglia co-cultures from controls and patients with *PRKN* mutations, which were treated with mtDNA isolated from *PRKN*-mutant cells. Our results showed that, when added as a secondary stimulus to LPS priming, mtDNA caused *IL6* overexpression

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in cells lacking parkin, suggesting that parkin deficiency renders cells more responsive to proinflammatory stimuli.

Taken together, our study highlights parkin's involvement in mtDNA maintenance and supports a link between mtDNA dyshomeostasis and inflammation in human cellular models of PD. In iPSC-derived cultures from patients with PRKN mutations, we observed that mtDNA transcription-associated 7S DNA is preferentially depleted in DANs. This TFAM deficiency-mediated phenotype is likely the consequence of SIRT1 depletion and inactivation in response to a lack of free NAD<sup>+</sup> in the absence of parkin. However, the origin of the mitochondrial energy deficit in PRKN-mutant neurons currently remains elusive. Interestingly, recent studies suggest that parkin deficiency can be a driver of altered mitochondrial metabolism. Either via its function in mitochondrial clearance or through translational control of nuclear-encoded ETC mRNAs, <sup>5,26</sup> the mutant protein could trigger the respiratory complex impairments detected in patient neurons. With SIRT1 acting as a connector between cell metabolism, mitophagy, and biogenesis pathways, further research will be needed to determine the exact sequence of events triggering mitochondrial dysfunction in parkin-deficient DANs.<sup>44</sup> Moreover, additional analyses in iPSC-derived co-culture systems should aim at the identification of the immune signaling pathways activated in microglia in response to neuronal release of mitochondrial DAMPs, including mtDNA. Such investigations will pave the way for innovative anti-inflammatory treatment approaches in PD.

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#### **Data Availability Statement**

Raw data for the midbrain tissue sample from the *PRKN* mutation carrier is available in the GEO with accession number GSE166790. Raw data for the two control samples were previously sequenced used in this study are available in the GEO with the accession number GSE157783 (data sets: C2-GSM4774937, C3-GSM4774938). Other data are available upon request.

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#### PARKIN CONTROLS MTDNA DYNAMICS AND INFLAMMATION

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#### **Supporting Data**

Additional Supporting Information may be found in the online version of this article at the publisher's web-site. 162 Appendix

#### Parkin deficiency impairs mitochondrial DNA dynamics and propagates inflammation

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## 1. Supplementary methods

### 1.1. Cell culture

### 1.1.1. Generation of small molecule neural precursor cells (smNPCs)

smNPCs were cultured and expanded in N2B27 medium - Neurobasal (Gibco)/DMEM-F12 (Gibco) 50:50 with 1% B27 lacking vitamin A (ThermoFisher), 0.5% N2 (Life Technologies), 1% penicillin-streptomycin (ThermoFisher) and 1% 200 mM glutamine (Westburg) - supplemented with 3  $\mu$ M CHIR 99021 (Sigma), 150  $\mu$ M ascorbic acid (AA) (Sigma) and 0.5  $\mu$ M purmorphamine (Sigma). The medium was changed every second day.

#### 1.1.2. Generation of midbrain neurons

To initiate neuronal differentiation, smNPCs were counted using the Countess II FL Automated Cell Counter (ThermoFisher) and seeded onto Matrigel-coated (Corning) 6-well plates in N2/B27 medium supplemented with 1  $\mu$ M purmorphamine, 200  $\mu$ M ascorbic acid (AA) and 100 ng FGF8 (PeproTech) for 8 days. For the next 2 days, cells were cultured in N2/B27 medium with 0.5  $\mu$ M purmorphamine and 200  $\mu$ M AA. For the remainder of the differentiation (22 days), cells were maintained in Maturation medium: N2/B27 supplemented with 200  $\mu$ M AA, 500  $\mu$ M dibutyryl-cAMP (Applichem), 1 ng/mL TGF- $\beta$ 3 (Peprotech), 10 ng/mL GDNF (Peprotech) and 20 ng/mL BDNF (PeproTech). The medium was changed every second day.

### 1.1.3. Generation of induced pluripotent stem cells (iPSCs)

Skin fibroblasts obtained from an Alper's disease patient with *POLG* mutations (Fig. S1A) were reprogrammed into iPSCs using the Epi5<sup>TM</sup> Episomal iPSC Reprogramming Kit (ThermoFisher) according to the manufacturer's instructions. Briefly, fibroblasts were maintained in DMEM supplemented with 10% FBS and 1% Penicillin-Streptomycin. Once 75-90% confluent, fibroblasts were washed with PBS (Gibco) and detached by adding 0.05% Trypsin/EDTA (ThermoFisher) for 5 min at 37°C. Cells were then collected and counted using the Countess II FL Automated Cell Counter. Next, 1 x  $10^7$  cells were removed, centrifuged at 200 x g for 5 min and transduced with Epi5<sup>TM</sup> Reprogramming Vectors and Epi5<sup>TM</sup> p53 & EBNA Vectors using the Amaxa Nucleofector I (Lonza) with 3 pulses at 1650 V for 10 ms. After transfection, cells were seeded onto irritated mouse embryonic fibroblasts and cultured

in mTeSR<sup>TM</sup> 1 complete medium (STEMCELL Technologies). The medium was changed every day. After ~1 month, iPSC colonies were picked based on their stem-cell-like morphology and expanded on Matrigel-coated plates. The generation of smNPCs and midbrain neurons was performed identically as described for iPSCs from Parkin mutation carriers.

## 1.1.4. Generation of isogenic Parkin-knockout neuroblastoma cells

Parkin knockout neuroblastoma (SH-SY5Y) cells were generated using an RNA-guided CRISPR/Cas9 endonuclease. For this, SH-SY5Y cells were transiently cotransfected with plasmids expressing either a human codon-optimized Cas9 (hCas9 was a gift from George Church [Addgene plasmid # 41815]) and a guide RNA (gRNA\_Cloning Vector was a gift from George Church [Addgene plasmid # 41824]) containing a 19-base long sequence that matches the human *PRKN* target sequence 5'- GTGGTTGCTAAGCGACAGG -3'. Upon transfection, cells were re-suspended in growth medium, counted and plated onto Petri dishes at a density of 1 cell/cm2. Cells were grown until they formed distinct, monoclonal colonies. The colonies were scraped off, transferred into different wells of a 6-well plate, and propagated to obtain enough material for the DNA extraction for Sanger sequencing. We identified one clonal cell line carrying a homozygous mutation c.100\_101insC (p.Q34PfsX5) in the *PRKN* gene resulting in a premature stop codon, further leading to nonsense-mediated decay. To induce hypoxia, SH-SY5Y cells were plated onto a 6-well plate at a density of 1.1 million cells/well and, the following day, treated for 24h with 200 μM CoCl<sub>2</sub> (Merck, C8661) in MOPS (ROTH, 6979.2) buffer.

### 1.2. Parkin silencing

For Parkin knockdown, the lentiviral pLKO.1 vector (Addgene) was used to express short hairpin RNA (shRNA) against human Parkin (Sense: ccggCCAGTAGCTTTGCACCTGATTctcgagAATCAGGTGCAAAGCTACTGGtttttg).¹ The MISSION pLKO.1-puro Non-Mammalian shRNA control Plasmid DNA (Sigma), targeting no known mammalian genes, was used as negative control for lentiviral transduction (Sense: CCGGCAACAAGATGAAGAGCACCAACTC-GAGTTGGTGCTCTTCATCTTGTTGTTTTT). Lentiviral constructs, as well as 2<sup>nd</sup> generation packaging plasmids (psPAX2, pMD2G), were transfected in HEK293T cells by calcium phosphate precipitation in the presence of 25 μM

chloroquine. HEK293T cell culture medium, containing the respective lentiviral particles, were harvested 48 hrs post-transfection, passed through 0.45  $\mu$ M filters and used to transduce target cells overnight, in presence of 8  $\mu$ g/mL Polybrene.

### 1.3. Generation and treatment of iPSC-derived neuron-microglia co-cultures

DANs and microglia cells were generated according to previously described protocols.<sup>2-4</sup> At day 92 of neuronal differentiation, 4x10<sup>5</sup> microglia precursor cells were plated onto corresponding cell lines of neurons leading to their maturation. Equal amounts of both cell types were co-cultured for 35 days before treatment with LPS (100 ng/mL) for 3 hours and mtDNA (300 ng) for 1 hour. A mixture of mtDNA extracted from all *PRKN*-mutant patients was used.

## 1.4. Immunocytochemistry

SH-SY5Y cells, iPSC-derived smNPCs and midbrains neurons were fixed in 4% paraformaldehyde for 15 min at room temperature and then washed three times in PBS (Gibco). Cells were then permeabilized in the blocking buffer (1% bovine serum albumin [Sigma], 0.25% Triton-X 100 [Sigma] in PBS) for 1 hr at room temperature. Cells were then stained overnight at 4°C with primary antibodies in blocking buffer. For smNPCs, cells received antibodies against Nestin (R&D Systems, MAB1259), Pax6 (BioLegend, 901301) and Musashi (Abcam, ab21628). For neurons, cells were subjected to several combinations of antibodies (depending upon the experiment) against TUBB3 (BioLegend, 801202), tyrosine hydroxylase (Sigma T8700), DNA (Progen AC-30-10), TOM20 (Santa Cruz SC-17764). The next day, cells were washed three times in PBS and then stained with fluorescent secondary antibodies in blocking buffer for 1h at room temperature. Cells were then washed three times in PBS, and incubated with Hoechst 33342 (ThermoFisher) for 10 min. For the assessment of mtDNA release into the cytosol, cells were incubated for 30 minutes at room temperature with HCS CellMask<sup>TM</sup> Orange stain (ThermoFisher) prior to the nuclear staining and following the manufacturer's indications. Images were taken using a 20X objective (for smNPCs) or 40X objective (for neurons) using the Zeiss Axio Imager 2 microscope, or 60X objective for neurons and SH-SY5Y cells on the Cell Voyager CV8000 high-content screening confocal microscope (Yokogawa Electric Corporation).

### 1.5. Western blotting

Antibodies used in the study: Parkin (Santa Cruz sc-32282), SIRT1 (Abcam ab32441), PGC1-α (Novus Biologicals NBP1-04676), NRF1 (Abcam ab175932), NRF2 (Cell Signaling abe1047), VDAC (Abcam ab14734), LC3A/B (Cell Signaling, 4108), TFAM (Abcam ab47517), TUBB3 (Tuj1) (BioLegend 801202), tyrosine hydroxylase (Sigma T8700), cGAS (Santa Cruz sc-515777) and beta-actin (Sigma A1978).

### 1.6. Metabolite extraction and metabolomics analysis

Metabolites from 35d-old neurons were extracted using a Methanol/Water-Chloroform mix. Neurons were seeded in 12-well plates at day 25 of differentiation and then maintained for 5 days. Cells were washed once with 0.9% NaCl (Sigma). Metabolism was quenched with 200  $\mu$ L ice-cold methanol (Roth). 80  $\mu$ L of 4°C water was added and the plate was placed on an orbital shaker for 10 min at 4°C. The mixture was transferred to a tube containing 100  $\mu$ L of ice-cold chloroform (Roth) and then shaken on a thermomixer at 4°C for 5 min. An additional 100  $\mu$ L of ice-cold chloroform and 4°C water were added. The samples were then vortexed and centrifuged for 5 min at 21000 x g, 4°C. 125  $\mu$ L of the upper phase were transferred to a glass vial and dried in a vacuum centrifuge. Samples were capped and kept at -80°C until measurement.

Polar metabolites were derivatized and measured by GC-MS using an Agilent 7890B GC coupled to an Agilent 5977A Mass Selective Detector (Agilent Technologies) following an established method. The MetaboliteDetector software package (Version 3.220180913) was used for mass spectrometry (MS) data post processing and quantification. NAD\*:NADH ratios were determined using the colorimetric NAD/NADH Quantification Kit from Sigma following the manufacturer's instructions.

## 1.7. Mitochondrial extraction

SH-SY5Y cells were resuspended and washed in homogenization buffer (HB) (10 mM Tris, pH 7.4, 1 mM EDTA, 250 mM Sucrose) with protease and phosphatase inhibitors (Halt Protease & Phosphatase inhibitor cocktail). After washing the pellet at 4000 x g for 5 min at 4°C, fresh HB was added and the pellet was homogenized for 1 min on ice

using a pellet pestle (Sigma). Homogenates were then centrifuged at 1500 x g for 10 min at 4°C and the supernatant was removed. After two washing steps in HB, mitochondria were pelleted by centrifugation at 8000 x g for 10 min.

### 1.8. NADH-COQ1 reductase assay

To measure complex I activity, mitochondrial homogenates were subjected to three freeze/thaw cycles in HB buffer and then placed in buffer containing 20 mM K $^+$ Phosphate buffer (sodium phosphate (VWR), magnesium chloride (Sigma), 150  $\mu$ M NADH (Sigma), 1 mM potassium cyanide (Sigma), and bovine serum albumin (BSA) (Sigma)) followed by an additional three freeze/thaw cycles. Samples were then placed into a 96-well plate (Greiner Bio-One) and kinetic measurement was evaluated at 340 nm using the Biotek Cytation 5 plate reader (Biotek). We first measured baseline activity for 3 min, then added 50  $\mu$ M ubiquinone (Sigma) and measured kinetics for 10 min, and finally added 10  $\mu$ M rotenone (Abcam) and measured for 10 min.

## 1.9. Citrate synthase assay

The citrate synthase assay was performed on the same mitochondrial extracts as in the NADH-COQ1 assay. Here, we followed a modified protocol based on Coore  $et~al.^5$  First, we subjected mitochondria to three freeze/thaw cycles in HB buffer and then added a buffer containing 100 mM Tris (Sigma), 100  $\mu$ M acetyl CoA (Sigma), 100  $\mu$ M DTNB (Sigma) and 0.1% Triton X (Sigma), followed by three more freeze/thaw cycles. The samples were then added to a 96-well plate (Greiner Bio-One) and kinetic measurement was performed at 412 nm in the BioTek Cytation 5 plate reader (BioTek) at baseline for 3.5 min. We then added 100  $\mu$ M oxaloacetic acid (Sigma) and measured for an additional 10 min.

## 1.10. Quantitative PCR analyses

## 1.10.1. MtDNA integrity and copy number analyses

DNA was extracted using the QIAmp DNA Mini Kit (Qiagen) following the manufacturer's instructions. Transcription and copy number were measured using a real-time polymerase chain reaction (RT-PCR) method on the LightCycler

480 (Roche) with TaqMan probes for *D-loop, MT-ND1, MT-ND4,* and the nuclear single copy gene *B2M* as described.<sup>6,7</sup>

### 1.10.2. Digital PCR

DNA was quantified using a digital PCR (dPCR) assay with TaqMan probes specific for *MT-ND1* and *B2M*. dPCR was executed using the QuantStudio 3D Digital PCR System (Applied Biosystems) as published.<sup>6, 7</sup>

## 1.10.3. RNA extraction and quantitative PCR

RNA was prepared by using the RNeasy Mini Kit (Qiagen). RNA was then reverse-transcribed into cDNA using SuperScript III Reverse Transcriptase (ThermoFisher) and measured via RT-PCR using iQ SYBR Green Supermix (Biorad) on the LightCycler 480. Primer sequences are summarized in Table S5.

## 1.11. Laser capture microdissection

Frozen sections were fixed with 4% paraformaldehyde for 10 min and then washed in distilled water for 10 min. After three 5 min washes in TBST buffer, a TH primary antibody (Merck) was applied for 1 hr at room temperature. After three more washes, a secondary antibody (Santa Cruz) was applied for 30 min at room temperature. Following more washing, sections were incubated with DAB (Millipore) and 3% H2O2 for 4 min at room temperature and then washed in distilled water for 5 min. Single TH-positive neurons were isolated through laser capture microdissection (LCM) using the PALM MicroBeam (Zeiss) and captured in 15  $\mu$ L of lysis buffer (50 mM Tris-HCl, pH 8.5, 1 mM EDTA, 0.5% Tween-20, 200 ng/mL proteinase K), centrifuged for 10 min at 20,000 x g at 4°C and then incubated for 3 hr at 55°C and 10 min at 95°C.

## 1.12. Single-nuclei RNA sequencing (snRNAseq) and data analysis

## 1.12.1. Tissue processing

Eight sections of the *PRKN*-mutant brain were pooled together for nuclei isolation. Tissue was collected in cold lysis buffer (10 mM Tris-HCl, 10 mM NaCl, 3 mM MgCl2, 0.1% NonidetTM P40). The resulting tissue suspension was

filtered and pelleted by centrifugation. Pellets were washed with 'nuclei wash and resuspension buffer' (1xPBS, 1% BSA,  $0.2~U/\mu L$  RNase inhibitor) and then filtered and re-pelleted. Next, pelleted nuclei were incubated in DAPI solution (1.5  $\mu M$  DAPI in 1x PBS) for 5 min prior to FACS-sorting. After dissociation, the nuclei suspension was subjected to FACS-sorting with FACSDiva Cell Sorter (BD Biosciences). Single DAPI-positive nuclei were sorted. To sequence harvested nuclei and prepare cDNA libraries, the Chromium Next GEM Single Cell 3'Kit v3.1 was used. The quality of resulting cDNA was assessed with the Agilent 2100 Bioanalyzer System.

## 1.12.2. Sequencing and data analysis

Sequencing was performed via Illumina NovaSeq 600-S2. Resulting data was processed identically to controls.<sup>8</sup> Briefly, after sequencing, the quantification of transcripts and filtering FASTQ files were produced from raw base call outputs with the Cell Ranger (10X Genomics) *mkfastq* pipeline v.3.0. Further, with the Cell Ranger (10X Genomics) count pipeline v.3.0 with default parameters, we obtained a gene-barcode UMI count matrix per sample. Considering that nuclei rather than cells were sequenced, we utilized the Cell Ranger recommended variation of the human reference transcriptome (hg38), which also annotates introns as exons. Only barcodes with more than 1500 UMIs and 1000 genes were included. Moreover, only those with less than 10% of mitochondrial-encoded and 10% ribosomal encoded genes were kept. Only genes detected in more than three barcodes were retained and ribosomal and mitochondrial-encoded genes were removed. With Scrubblet57, possible multiplet barcodes were identified, and such were kept with an estimated duplet score smaller than 0.15.

To determine the cell types comprising human midbrain tissue samples, we pooled the samples in a single embedding following the Seurat v3 integration workflow. The top 4000 most variable genes were identified with SelectIntegrationFeatures, and used to determine the between-sample cell-anchors with FindIntegrationAnchors. The IntegrateData function was used to build a centered expression matrix, which was further used for principal component analysis. After, the Shared Nearest Neighbor (SNN) cell graph was built with the top 25 principal components and then clustered using the Louvain algorithm (resolution = 1.5) with the FindClusters function. With the FindAllMarkers function we detected the marker genes (expressed in minimally 25% of the cluster) for each cluster by using the default 'wilcox' test. With such top marker genes, each cell cluster was cell-type annotated. To

identify the differentially expressed genes in microglia, *FindMarkers* function with *logfc.threshold=0.25* and *min.pct=0.05* was used to compare microglia clusters between control and Parkin conditions. This list of differentially expressed genes was further utilized in pathway enrichment analysis with MetaCore software.

## 2. Supplementary figures

Α	Disease Status	Sample	Sex	Age of Sampling	AAO	Gene	Mutation
^	Healthy	Control 1	М	39	N/A	N/A	N/A
	Healthy	Control 2	F	37	N/A	N/A	N/A
	Healthy	Control 3	М	40	N/A	N/A	N/A
	Parkinson's disease	Parkin-PD 1	М	58	43	PRKN	c.1072Tdel (hom)
	Parkinson's disease	Parkin-PD 2	М	57	15	PRKN	delEx4; + c.924C>T
	Parkinson's disease	Parkin-PD 3	F	41	37	PRKN	del Ex1;c.924C>T
	Alpers' disease	POLG	М	1 month	Birth	POLG	c.1399G>A; c.2740A>C

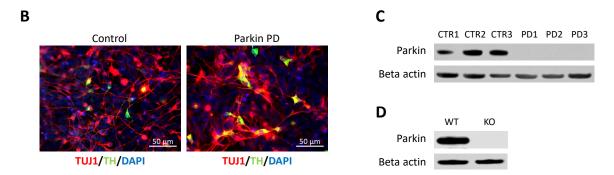


Figure S1 Derivation and characterization of iPSC-derived midbrain neurons and SH-SY5Y cells. (A) Overview of patients and controls included in the *in vitro* part of the study. (B) iPSC-derived neurons were stained for the neuronal marker TUJ1 and the catecholamine rate limiting enzyme tyrosine hydroxylase (TH). Images were taken using a 40x objective. (C) Parkin protein abundance in iPSC-derived patient and control neurons. Protein was extracted and subjected to Western blotting analysis using anti-Parkin and anti-beta-actin antibodies. Representative cropped images are shown. (D) Parkin protein abundance in control and isogenic Parkin-knockout SH-SY5Y cells. Representative cropped images are shown.

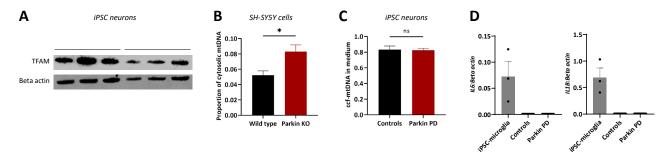


Figure S2 MtDNA dynamics and inflammation in PRKN-mutant and control iPSC-derived neurons. (A) Representative cropped Western blot images of TFAM and beta-actin. (B) Quantification of mtDNA in cytosolic and mitochondrial fractions from Parkin-PD and control iPSC-derived neurons. mtDNA levels were quantified by means of real-time PCR targeting the mtDNA fragment *ND1* and the nuclear single copy gene *B2M*. The proportion of cytosolic mtDNA normalized to cytosolic *B2M* copies was calculated relative to the total amount of cellular mtDNA copies. (C) Extracellular ccf-mtDNA in medium from Parkin-PD and control iPSC-derived neurons. Quantification by means of multiplex dPCR with probes against *ND1* and *B2M*. The proportion of extracellular *ND1* normalized to extracellular *B2M* copies was calculated relative to the total amount of mtDNA copies present in the medium and cells. (D) *IL6* and *IL1B* gene expression. *IL6* and *IL1B* levels were measured relative to the house-keeping gene *Beta-actin*. *IL6* and *IL1B* expression in iPSC-derived control microglia served as a positive control. n = 3 or 5 biological replicates, data are presented as the mean ± SEM; \*P < 0.05, \*\*P < 0.01, ns = not significant as determined by student's t-test.

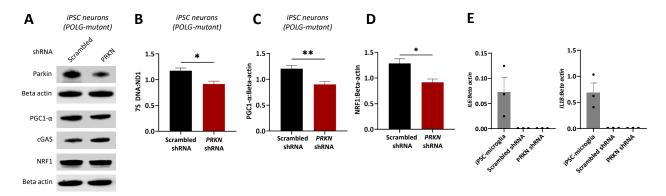


Figure S3 MtDNA dynamics and inflammation in iPSC-derived neurons from a compound-heterozygous POLG mutation carrier transduced with scrambled or PRKN shRNA. (A) Representative cropped images of Western blot membranes of whole cell lysates. Cells were harvested 5 days post transduction and subjected to Western blot analysis. (B) Quantification of 7S-associated transcription via multiplex RT-PCR. mtDNA transcription initiation events were determined by calculating the 7S DNA:ND1 ratios. (C) Quantification of PGC1- $\alpha$  protein levels relative to Beta-actin from (A). (D) Quantification of NRF1 protein levels relative to Beta-actin from (A). (E) *IL6* and *IL1B* gene expression in *POLG*-mutant iPSC-derived neurons transduced with scrambled or *RRKN* shRNA. *IL6* and *IL1B* levels were measured relative to *Beta-actin*. *IL6* and *IL1B* expression in iPSC-derived control microglia served as a positive control. n = 3 or 5 biological replicates, data are presented as the mean  $\pm$  SEM; \*P < 0.05, \*\*P < 0.01, as determined by student's t-test.

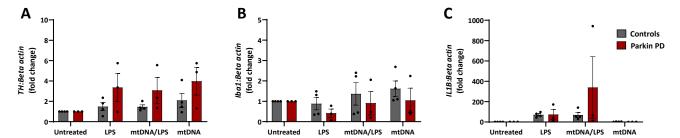


Figure S4 Expression of neuronal, microglial and inflammatory marker genes in PRKN-PD and control neuron-microglia cocultures. (A) Dopaminergic neuron abundances in co-cultures. Expression of *TH* was measured relative to *Beta-actin* by means
of qPCR. This assessment did not reveal significant differences between lines and treatments. (B) Microglia abundance in cocultures. Quantifying the expression of the microglial marker gene *Iba1* relative to the house-keeping gene *Beta-actin* by qPCR
did not show differences between investigated lines and treatments. (C) Pro-inflammatory cytokine analysis. Quantitative gene
expression analysis of *IL1B* relative to *Beta-actin* revealed a non-significant upregulation in *PRKN*-PD co-cultures after cotreatment with LPS and mtDNA.

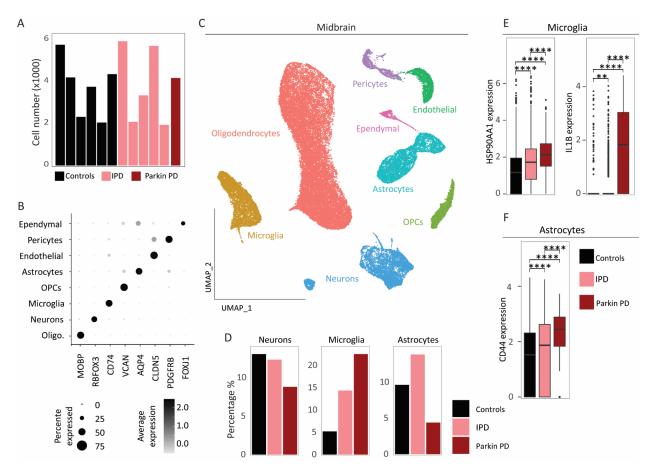


Figure S5 PRKN-mutant midbrain single-nuclei transcriptome comparison with six healthy controls and five idiopathic Parkinson's disease (IPD) cases. (A) Number of nuclei per sample. (B) Cell-type specific marker genes. (C) UMAP representation of 45.608 nuclei from *PRKN*-mutant, control and IPD midbrain samples. (D) Percentage of *PRKN*-mutant, control and IPD neuronal, astrocytic and microglia nuclei. (E) *CD44* gene expression in astrocytes in *PRKN*-mutant, control and IPD samples. (F) *HSP90AA1* and *IL1B* gene expression in microglia in *PRKN*-mutant, control and IPD samples.

# 3. Supplementary tables

Table S1 Marker genes for cell clusters

Table S2 Differentially expressed genes in PRKN-mutant midbrain microglia

Table S3 PRKN-mutant midbrain microglia; upregulated pathways

Table S4 PRKN-mutant midbrain microglia; downregulated pathways

(Tables S2-4 were submitted as csv files)

Table S5 Sequences of primers used in the study

Gene	Forward	Reverse
Beta-actin	5' - CGAGGACTTTGATTGCACATTGTT	5' - TGGGGTGGCTTTTAGGATGG
MT-ND1	5'- ATACCCACACCCACCCAAGAAC	5'- GGTTTGAGGGGGAATGCTGGA
МТ-СҮТВ	5'- CTGATCCTCCAAATCACCACAG	5'- GCGCCATTGGCGTGAAGGTA
MT-COX1	5'-GGAGCAGGAACAGGTTGAACAG	5'-GTTGTGATGAAATTGATGGC
TFAM	5'-AAGATTCCAAGAAGCTAAGGGTGA	5'-CAGAGTCAGACAGATTTTTCCAGT
TFB2M	5'-TCTGGCAATTAGCTTGTGAG	5'-CTTACGCTTTGGGTTTTCCA
Twinkle	5'-ATTGTAGAAGGACGTGGACG	5'-TGCAGAGCTCACTCTAGGTG

## 4. References

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