

1 Priming Cardiac Function with Voluntary Respiratory Maneuvers and Effect on Early  
2 Exercise Oxygen Uptake

3

4 Running Title: Priming Cardiac Function with Respiratory Maneuvers

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23 **New & Noteworthy**

24 We demonstrate that different breathing maneuvers can augment both right and left-sided  
25 cardiac output in healthy subjects. These maneuvers, when performed immediately before  
26 exercise, result in a pre-exercise 'cardiodynamic' increase in oxygen uptake ( $\dot{V}O_2$ )  
27 associated with a subsequent reduction in the 'cardiodynamic'  $\dot{V}O_2$  normally seen during  
28 early exercise. We conclude that pre-exercise breathing maneuvers are a plausible tool  
29 worthy of additional study to prime  $\dot{V}O_2$  kinetics and improve exercise tolerance in patients  
30 with cardiovascular disease.

31 **ABSTRACT**

32 Oxygen uptake ( $\dot{V}O_2$ ) at exercise onset is determined in part by acceleration of pulmonary  
33 blood flow ( $\dot{Q}_p$ ). Impairments in the  $\dot{Q}_p$  response can decrease exercise tolerance. Prior  
34 research has shown that voluntary respiratory maneuvers can augment venous return, but  
35 the corollary impacts on cardiac function,  $\dot{Q}_p$ , and early-exercise  $\dot{V}O_2$  remain uncertain. We  
36 examined a) the cardiovascular effects of 3 distinct respiratory maneuvers (abdominal, AB;  
37 rib cage, RC and deep breathing, DB) under resting conditions in healthy subjects (*Protocol*  
38 *1*, n=13) and b) the impact of pre-exercise DB on pulmonary  $O_2$  transfer during initiation of  
39 moderate intensity exercise (*Protocol 2*, n=8). In *Protocol 1*, echocardiographic analysis  
40 showed increased RV and LV cardiac output (RVCO and LVCO, respectively) following AB  
41 (by  $+23\pm 13$  and  $+18\pm 15\%$ , respectively,  $P<0.05$ ), RC ( $+23\pm 16$ ;  $+14\pm 15\%$ ,  $P<0.05$ ) and DB  
42 ( $+27\pm 21$ ;  $+23\pm 14\%$ ,  $P<0.05$ ). In *Protocol 2*, DB performed for 12 breaths produced a pre-  
43 exercise increase in  $\dot{V}O_2$  ( $+801\pm 254$  ml·min<sup>-1</sup> over ~ 6 s), presumably from increased  $\dot{Q}_p$ ,  
44 followed by a reduction in pulmonary  $O_2$  transfer during early phase exercise (first 20 s)  
45 compared to the control condition ( $149\pm 51$  vs  $233\pm 65$  ml,  $P<0.05$ ). We conclude that (1)  
46 respiratory maneuvers enhance RVCO and LVCO in healthy subjects under resting  
47 conditions, (2) AB, RC and DB have similar effects on RVCO and LVCO, and (3) DB can  
48 increase  $\dot{Q}_p$  prior to exercise onset. These findings suggest that pre-exercise respiratory  
49 maneuvers may represent a promising strategy to prime  $\dot{V}O_2$  kinetics and thereby to  
50 potentially improve exercise tolerance in patients with impaired cardiac function.

## 51 INTRODUCTION

52           Upon initiation of exercise, the pulmonary, cardiovascular, and muscular systems  
53 must synchronize to increase oxygen ( $O_2$ ) flux into the mitochondria to enable sufficient ATP  
54 production through oxidative pathways. Inertia in the involved processes can result in a  
55 transitory mismatch between energetic demand and aerobic supply, a cumulative difference  
56 termed the “ $O_2$  deficit” (1, 2). The rate at which  $O_2$  uptake ( $\dot{V}O_2$ ) increases immediately  
57 following exercise onset is a key determinant of the magnitude of the  $O_2$  deficit and  
58 correspondingly is a determinant of exercise performance and tolerance (3, 4).

59           In healthy people performing moderate intensity exercise, the kinetics of the  $\dot{V}O_2$   
60 response to exercise (hereafter  $\dot{V}O_2$ -on kinetics) are limited by  $O_2$  utilization in the working  
61 muscles rather than by  $O_2$  delivery (5–9). Conversely, disease states that reduce  $O_2$  delivery  
62 to working muscles are associated with a prolonged  $\dot{V}O_2$ -on response (10–15). In particular,  
63 people with impaired cardiac function (e.g. heart failure) may be unable to adequately  
64 augment ventricular function and may therefore fail to adequately increase cardiac output at  
65 the onset of exercise leading to slow  $\dot{V}O_2$ -on kinetics (15). Strategies to improve cardiac  
66 function at the onset of exercise may improve  $\dot{V}O_2$ -on kinetics and minimize  $O_2$  deficit,  
67 thereby enhancing exercise tolerance in this population.

68           The “cardiodynamic phase” of early exercise (16, 17) is defined as the period of time  
69 during which increases in  $\dot{V}O_2$ , as measured at the mouth, are driven mainly by pulmonary  
70 blood flow ( $\dot{Q}_p$ ) augmentation rather than by increased muscular  $O_2$  consumption (16–20).  
71 The increase in  $\dot{Q}_p$  immediately following exercise onset results from the integration of  
72 several processes including augmentation of venous return via respiratory-driven changes in  
73 intrathoracic (ITP) and intra-abdominal pressure (IAP) (21, 22). Results from studies using  
74 deliberate modulations of the “respiratory pump” by maneuvers indicate that abdominal (AB)  
75 and rib cage (RC) breathing can increase venous return (22–25) leading to greater alveolar  
76  $O_2$  transfer during the cardiodynamic phase of exercise (23). Accordingly, voluntary

77 modulation of respiratory mechanics may increase venous return, increase  $\dot{Q}_p$ , and thus  
78 enhance pulmonary  $\dot{V}O_2$  but not locomotory muscle  $\dot{V}O_2$ . However, the impact of breathing  
79 maneuver-induced increases in venous return on  $\dot{Q}_p$  and corollary pulmonary  $O_2$  uptake has  
80 not been rigorously defined. Furthermore, as the right and left ventricles lie in series  
81 interposed by the low-resistance and high-capacitance pulmonary vascular bed, it is  
82 unknown whether increases in right ventricular (RV) cardiac output and thus  $\dot{Q}_p$  immediately  
83 result in commensurate increases in left ventricular (LV) cardiac output.

84         The objective of this study was to define the increase in cardiac output generated by  
85 specific respiratory maneuvers at rest and during the onset of exercise, with the goal of  
86 establishing that these voluntary modulations of the respiratory pump mechanism can  
87 increase venous return and augment  $\dot{Q}_p$ . We addressed this objective using two  
88 complementary protocols involving healthy participants. In “*Protocol 1*”, we tested the  
89 hypotheses that: (1) voluntary respiratory maneuvers (AB and RC) performed at rest would  
90 improve RV and LV cardiac output (RVCO and LVCO, respectively); (2) “deep” breathing  
91 maneuvers (DB), in which the respiratory pump mechanism is enhanced simply by taking  
92 deeper breaths, have similar effects on RVCO and LVCO compared to AB and RC; and (3)  
93 that there would be a time delay between the increases in RVCO and LVCO due to  
94 capacitance properties of the pulmonary vascular bed. In “*Protocol 2*”, we aimed to  
95 determine whether a 1-min bout of DB performed immediately prior to the initiation of  
96 moderate intensity cycling exercise would reduce the increase in  $\dot{V}O_2$  normally observed in  
97 the early phase of exercise by producing a preemptive “cardiodynamic” increase in  
98 pulmonary  $O_2$  uptake prior to exercise onset.

99

## 100 **MATERIALS AND METHODS**

### 101 **Ethical approval**

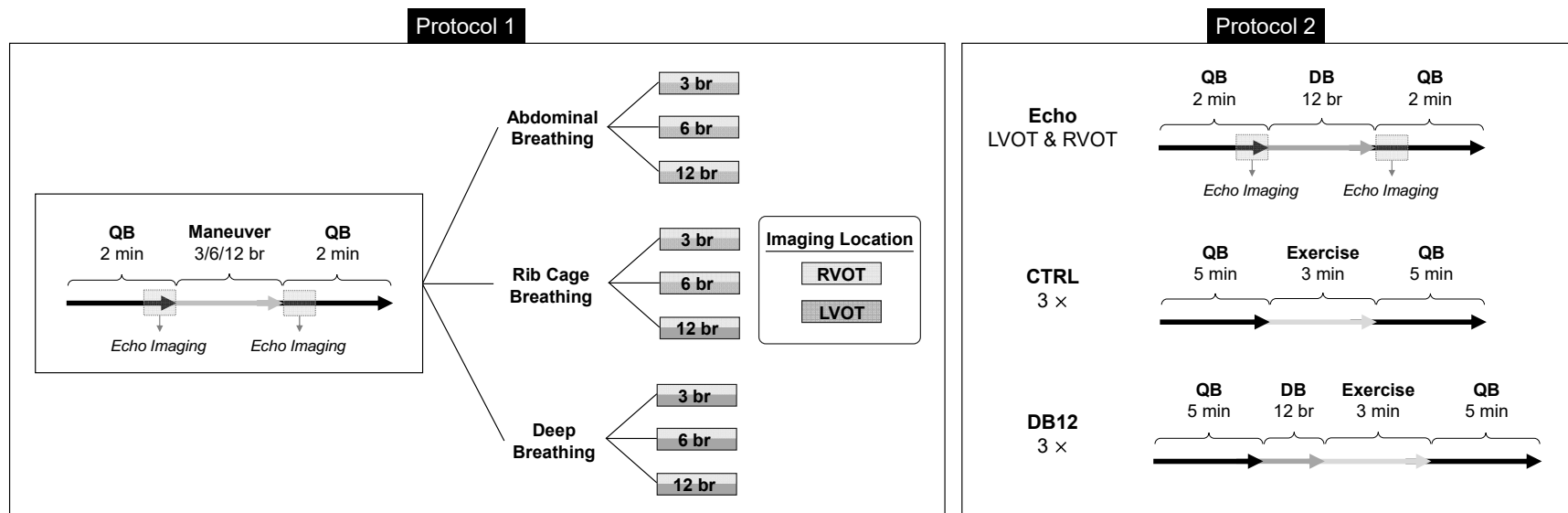
102           These experiments were carried out in accordance with the 2013 version of the  
103 Declaration of Helsinki and were approved by the local institutional review board (Mass  
104 General Brigham Institutional Review Board; Protocol #2020P002299). Participants  
105 volunteered after giving written informed consent prior to starting data collection. Thirteen  
106 male participants without known cardiovascular disease took part in *Protocol 1*, and eight  
107 subjects (subjects 1-8) subsequently took part in *Protocol 2*.

108

### 109 **Experimental Design**

110           An overview of the experimental procedures is shown in **Figure 1**. In *Protocol 1*,  
111 echocardiography to characterize cardiac structure and function was performed with subjects  
112 in the standard left lateral decubitus position. Echocardiograms were performed by a single  
113 highly experienced cardiac sonographer on a Philips EPIQ 7 ultrasound machine (Philips  
114 Healthcare, Cambridge MA, USA) using a focused protocol optimized for evaluation of  
115 cardiac structure and function. Two-dimensional imaging as well as pulsed-wave,  
116 continuous-wave, color, and tissue Doppler were performed from standard parasternal,  
117 apical, and subcostal positions. Two-dimensional and tissue Doppler frame rates were 25–75  
118 Hz and >100 Hz, respectively, for all images. All data were stored digitally for subsequent  
119 analysis on commercially available software (Syngo Dynamics, Siemens Medical Solutions,  
120 Malvern, PA, USA).

121 **Figure 1: Experimental Procedures**



122

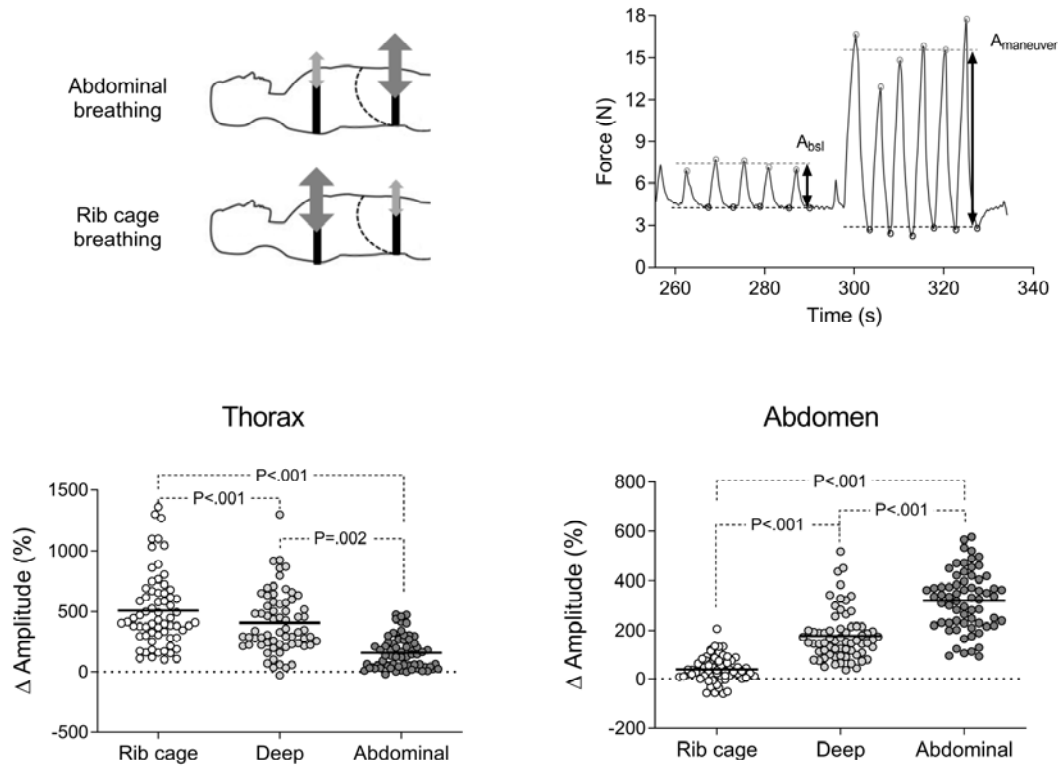
123 **Figure 1:** Overview of the experimental procedure. A schematic is shown outlining the experimental procedures performed in *Protocol 1* (resting  
 124 assessment) and *Protocol 2* (transition to exercise with gas exchange measurement). QB = quiet breathing; RVOT=right ventricular outflow  
 125 tract; LVOT=left ventricular outflow tract; DB = deep breathing maneuver; DB12 = deep breathing performed for 12 breaths. CTRL = control  
 126 condition without pre-exercise breathing maneuver.

127

128 Participants were then familiarized with the respiratory maneuvers. For AB, they were  
129 instructed to breathe deeply by emphasizing abdominal excursion while minimizing rib cage  
130 movements. For RC, they were instructed to breathe deeply by emphasizing rib cage  
131 movements while minimizing abdominal excursion (**Figure 2A**). For DB, participants were  
132 instructed simply to breathe as deeply as possible without guidance as to a specific  
133 technique. Successful performance of each respiratory maneuver was confirmed using two  
134 respiratory belts (Go Direct® Respiration Belt, Vernier Software & Technology, Beaverton,  
135 OR, USA), each consisting of a force transducer attached to a nylon strap adjusted around  
136 the thorax (sternum level) and abdomen (umbilical level), respectively. Real-time signals of  
137 measured forces, which provide an estimate of changes in thoracic and abdominal  
138 excursion, were displayed on a screen via the dedicated software (Vernier Graphical  
139 Analysis v5.2.0-41, Vernier Software & Technology, Beaverton, OR, USA) to provide visual  
140 feedback in order to help participants optimize technique. Respiratory rate, set at 12 breaths  
141 per minute for all respiratory maneuvers, was guided by metronome.



142 **Figure 2: Experimental Respiratory Maneuvers**



143

144 **Figure 2:** Overview of respiratory maneuvers. **A:** illustration of the techniques for abdominal  
 145 and rib cage breathing. Abdominal breathing consists of emphasizing the contribution of the  
 146 diaphragm, resulting in greater abdominal excursion; rib cage breathing consists of  
 147 emphasizing the contribution of the intercostal and accessory respiratory muscles, resulting  
 148 in greater rib cage excursion. **B:** graphical method used to quantify abdominal and thoracic  
 149 excursions during the respiratory maneuvers. The respiratory belts measured changes in  
 150 tension resulting from expansion and contraction of the abdomen and rib cage. The tracing  
 151 shown is an example of a force signal yielded by an abdominal belt.  $A_{bsl}$  is the average  
 152 amplitude over the six breaths preceding the maneuver,  $A_{maneuver}$  is the average amplitude  
 153 over the number of breaths performed during the maneuver (3, 6 or 12). **C** and **D:** changes in  
 154 thoracic (C) and abdominal (D) excursion during rib cage, deep or abdominal breathing.  
 155  $\Delta$ Amplitude is the difference between  $A_{bsl}$  and  $A_{maneuver}$  expressed in percentage. N=13.

156           Once participants demonstrated correct and consistent respiratory maneuver  
157 technique as confirmed by qualitative visual assessment of the abdominal and rib cage  
158 excursions tracings by a single experimented investigator, they each performed a series of  
159 transitions from quiet spontaneous breathing to RC, AB, and DB for sets of 3, 6 and 12  
160 breaths (i.e. 15 s, 30 s and 1 min at 12 breaths·min<sup>-1</sup>) while remaining in the left lateral  
161 decubitus position for optimal echocardiographic imaging. Abdominal and thoracic excursion  
162 was recorded continuously throughout the entire protocol and on-line traces were displayed  
163 to the participants as a form of visual feedback to optimize maneuver technique. Each  
164 respiratory maneuver was followed by a 2-min recovery period during which participants  
165 resumed quiet breathing to allow for restoration of cardiorespiratory parameters to baseline  
166 values. Participants executed the transitions in a randomized maneuver type order, but  
167 systematically in an ascending order as to the duration (from 3 to 12 breaths).

168           Pulsed-wave Doppler of blood flow in the RV outflow tract (RVOT) and LV outflow  
169 tract (LVOT) was performed both immediately prior to the initiation of each maneuver and  
170 immediately following the final expiration with a simultaneous breath hold at functional  
171 residual capacity. Each breathing maneuver was performed twice at a given duration (i.e.  
172 AB6) in order to record pulsed-wave Doppler of both RVOT and LVOT (i.e. once for the  
173 RVOT and once for LVOT). RVOT Doppler samples were acquired in the RV outflow view in  
174 the parasternal short-axis window with pulsed-wave Doppler sample volume just proximal to  
175 the level of the pulmonary valve. LVOT Doppler samples were acquired in the apical 5 or 3-  
176 chamber views with the sample volume approximately 0.5 cm proximal to the aortic annulus.  
177 RVOT and LVOT diameters were measured using zoomed-in images in the parasternal short  
178 axis and long axis, respectively. Heart rate (HR) was monitored throughout the protocol with  
179 3-lead ECG integrated into the echocardiography machine.

180

181 *Protocol 2*

182           Eight participants completed *Protocol 2*. Exercise was performed on an upright cycle  
183 ergometer (Excalibur Sport, Lode B.V., Groningen, The Netherlands) with gas exchange  
184 measured on a breath-by-breath basis using a face mask (Hans Rudolph V2, Hans Rudolph,  
185 Inc., Shawnee, Kansas, USA) and a commercially available metabolic cart and gas  
186 exchange analyzer (Ultima Cardio2; Medgraphics Diagnostics, St. Paul, Minnesota, USA).  
187 Participants were fitted with respiratory belts as detailed in *Protocol 1*.

188           After 5 minutes of quiet breathing ('baseline' state), subjects performed a DB  
189 maneuver for twelve breaths (DB12). Participant respiratory rate was again guided by the  
190 metronome (12 breaths·min<sup>-1</sup>), and real-time traces of abdominal and thoracic excursions  
191 were displayed as a visual feedback. The sequence was repeated twice to record pulsed-  
192 wave Doppler samples in both the RVOT and LVOT, in a randomized order, with at least 2  
193 minutes in between to allow restoration of cardiorespiratory variables to baseline level. A 12-  
194 lead ECG tethered to the gas analyzer (Mortara Instrument X12+ wireless ECG transmitter,  
195 Milwaukee, Wisconsin, USA) monitored HR on a continuous basis.

196           Participants then performed transitions from baseline to constant-load moderate  
197 intensity exercise (100 W) for three minutes after having performed a DB12 maneuver  
198 immediately prior to exercise initiation; an identical protocol without the DB12 served as the  
199 control arm (CTRL). Each condition (i.e. initiation of exercise with DB12 and initiation of  
200 exercise without DB12) was performed in triplicate in randomized order. Each exercise  
201 sequence was followed by a 10-min recovery period. During the DB12 sequences,  
202 participants were asked to initiate exercise at the end of the last expiration. Throughout the  
203 procedure, breath-by-breath tidal volume ( $V_t$ ), respiratory rate (RR), ventilation ( $\dot{V}_E$ ), end-  
204 tidal CO<sub>2</sub> (PetCO<sub>2</sub>) and O<sub>2</sub> (PetO<sub>2</sub>) pressure, and  $\dot{V}O_2$  were obtained at the mouth from the  
205 metabolic cart.

206

207 **Data analysis**

208 Baseline echocardiographic measurements were performed according to American  
209 Society of Echocardiography / European Association of Cardiovascular Imaging guidelines  
210 (26). LV and left atrial volumes and the LV ejection fraction were calculated using the biplane  
211 method of discs (26). RVOT and LVOT diameters were measured in mid-systole using a  
212 zoomed-in view for maximal resolution.

213 To describe the mechanics of breathing during the respiratory maneuvers, the intra-  
214 breath peaks and nadirs of the two force signals derived from the abdominal and thoracic  
215 belts were identified manually for each transition sequence (**Figure 2B**). Peak and nadir  
216 values were averaged over 6 breaths during the quiet breathing period immediately  
217 preceding the maneuver and over the total number of breaths performed during each  
218 maneuver (3, 6 or 12). The difference between the average maximum and minimum  
219 excursion yielded abdominal and thoracic amplitudes. Percent changes in amplitudes  
220 between quiet breathing and each maneuver were then calculated.

221 RVOT and LVOT velocity time integrals (VTIs) from pre- and post-maneuver pulsed-  
222 wave Doppler recordings were measured on the aforementioned commercially-available  
223 echocardiographic analysis software. VTIs were manually traced and averaged over three  
224 successive beats for each maneuver. The analysis was performed by a single investigator  
225 blinded to the experimental conditions. Stroke volume (SV) was then calculated as:

$$SV = \pi r^2 \cdot VTI$$

226 where  $r$  is the measured radius of the RVOT and LVOT, respectively. The product of SV and  
227 HR yielded cardiac output (CO).

228 To assess changes in cardiorespiratory parameters during DB12 in *Protocol 2*,  
229 breath-by-breath signals of  $V_t$ , RR,  $\dot{V}E$ ,  $P_{et}CO_2$ ,  $P_{et}O_2$ ,  $\dot{V}O_2$  and HR were resampled to 1  
230 Hz. The signals from all three repetitions were then aligned in time and averaged second-by-  
231 second to obtain a single signal per participant for each condition. The mean of each  
232 parameter computed during the last 10 s of quiet breathing and of DB12 were then

233 calculated to describe cardiopulmonary changes during DB12. To obtain parameters of  $\dot{V}O_2$ -  
234 on kinetics, the averaged  $\dot{V}O_2$  signals for CTRL and DB12 were used to model the primary  
235 phase of  $\dot{V}O_2$ -on kinetics by fitting a single exponential function to the averaged  $\dot{V}O_2$  data,  
236 starting at time (t) = 20 s:

$$\dot{V}O_2(t) = \dot{V}O_{2,QB} + A \cdot \left( e^{\frac{-(t-TD)}{\tau}} \right)$$

237 where  $\dot{V}O_{2,QB}$  is the average  $\dot{V}O_2$  at baseline during quiet breathing, A is the amplitude of the  
238  $\dot{V}O_2$  response (i.e. the difference between  $\dot{V}O_{2,QB}$  and  $\dot{V}O_2$  at the steady-state plateau), TD  
239 is the time delay (i.e. the time at which  $\dot{V}O_2$  rises above  $\dot{V}O_{2,QB}$ ) and  $\tau$  is the time constant  
240 (i.e. the time taken to reach 63% of A).

241 To estimate the total volume of  $O_2$  taken up at the alveolar level during the  
242 cardiodynamic phase ( $\Sigma V O_2$ ), the area under the  $\dot{V}O_2$  curve from t = 0 s to t = 20 s was  
243 calculated. This 20-s window was based on prior work which has shown that the rise in  $\dot{V}O_2$   
244 during the first 20 s of exercise is primarily driven by increases in  $\dot{Q}_p$  with negligible  
245 contributions from skeletal muscle metabolic activity (16, 18–20), although there may be  
246 some potential contributions from desaturated blood surging from the abdominal venous  
247 system into the right atrium (27).

248

## 249 **Statistical analysis**

250 Percent changes between baseline and post-maneuver measurements were  
251 calculated and compared for maneuver type using a one-way repeated measures ANOVA.  
252 Echocardiography measurements (CO, HR, SV) before and after each maneuver were  
253 compared using a two-way repeated measures ANOVA. Percent changes were calculated  
254 and compared for maneuver duration and measurement location (RVOT vs LVOT) using a  
255 two-way repeated measures ANOVA. All pairwise *post hoc* comparisons were performed  
256 with the Bonferroni correction.

257           Cardiopulmonary parameters collected in *Protocol 2* ( $V_t$ , RR,  $\dot{V}E$ ,  $P_{et}CO_2$ ,  $P_{et}O_2$ ,  
258  $\dot{V}O_2$ , HR, RVSV, LVSV, RVCO, LVCO) were compared between baseline and the post-DB12  
259 maneuver using *Student's* paired t-test.  $\dot{V}O_2$  kinetics parameters at exercise onset ( $\Sigma VO_2$ , A,  
260  $\tau$ , TD) were compared between the CTRL and DB12 runs using Student's paired t-test for  
261 each parameter. All data are reported as mean $\pm$ SD. The probability that mean differences  
262 were greater than chance alone was reported as  $p < 0.05$ . Statistical analyses were performed  
263 using SPSS Statistics 26 (IBM Corporation, Armonk, NY, 2011).

## 264 RESULTS

### 265 Participants

266 A total of 13 healthy males (mean age = 33.5 years, range 27-45) participated in  
267 *Protocol 1* (resting assessment), and 8 of these completed *Protocol 2* (exercise with gas  
268 exchange measurement). Participants' anthropometric measurements and cardiac structural  
269 and functional parameters are shown in **Table 1**. Left ventricular size, wall thickness, and  
270 ejection fraction were normal in all participants. Left atrial volume and right ventricular  
271 chamber size were normal or mildly increased in all individuals. No subjects had valvular  
272 stenosis, and none had valvular regurgitation greater than mild in severity.

273 **Table 1: Participant characteristics**

**Participants**

Age	33.5±5.2
Height (cm)	179.9±6.9
Weight (kg)	83.0±13.2
BSA (m <sup>2</sup> )	2.0±0.2

**Echocardiographic Parameters**

Resting heart rate (beats per minute)	62±8
Systolic blood pressure (mmHg)	118±11
Diastolic blood pressure (mmHg)	70±8
Interventricular septum (mm)	8.4±1.6
Posterior wall (mm)	9.3±1.0
LV end-diastolic dimension (mm)	49±5
LV end-systolic dimension (mm)	33±4
Left atrial volume (ml)	58±16
RV basal end-diastolic diameter (mm)	38±5
LV end-diastolic volume (ml)	113±24
LV end-systolic volume (ml)	47±11
LV ejection fraction (%)	58±3
LVOT diameter (mm)	22±1
RVOT diameter (mm)	25±2

274

275 BSA=body surface area; LVOT, RVOT=left and right ventricular outflow tract, respectively.

276 Values are mean (SD). N=13.

277



## 278 **Thoracic and abdominal excursions**

279 All respiratory maneuvers led to increased intra-breath swings in thoracic (by  
280  $159\pm 136$  [P<0.001],  $510\pm 303$  [P<0.001] and  $410\pm 255\%$  [P<0.001] on average for AB, RC  
281 and DB, respectively) and abdominal (by  $320\pm 119$  [P<0.001],  $41\pm 52$  [P=0.011] and  
282  $178\pm 101\%$  [P<0.001]) excursion. Among the three types of maneuvers, RC produced the  
283 greatest increase in thoracic swings (P<0.001 vs AB and DB) while abdominal swings were  
284 the greatest with AB (P<0.001 vs RC and DB, **Table 1**).

285

## 286 **Acute cardiac responses to respiratory maneuvers**

287 Pre-maneuver heart rates (after the 'recovery' period of 2 minutes of quiet breathing –  
288 **Figure 1**) did not differ across maneuver durations (**Supplemental Figure 1**  
289 (<https://doi.org/10.6084/m9.figshare.19091345>). Pulsed-wave Doppler data collected both  
290 immediately before and immediately after each respiratory maneuver are reported in **Table**  
291 **2**, and changes compared to baseline in all conditions are represented in **Figure 3**. All 6 and  
292 12-breaths maneuvers produced an increase in RVCO resulting from increases in HR and  
293 RVSV (P<0.05 compared to baseline values). Similarly, 6 and 12-breath maneuvers  
294 increased the LVCO, driven primarily by increases in HR. In contrast, 3-breath maneuvers  
295 did not impact RVCO and produced only modest changes in LVCO (only with deep  
296 breathing), again driven in this case by an increase in HR.

297 **Table 2: Acute Cardiac Response to Respiratory Maneuvers Under Resting Conditions (Protocol 1)**

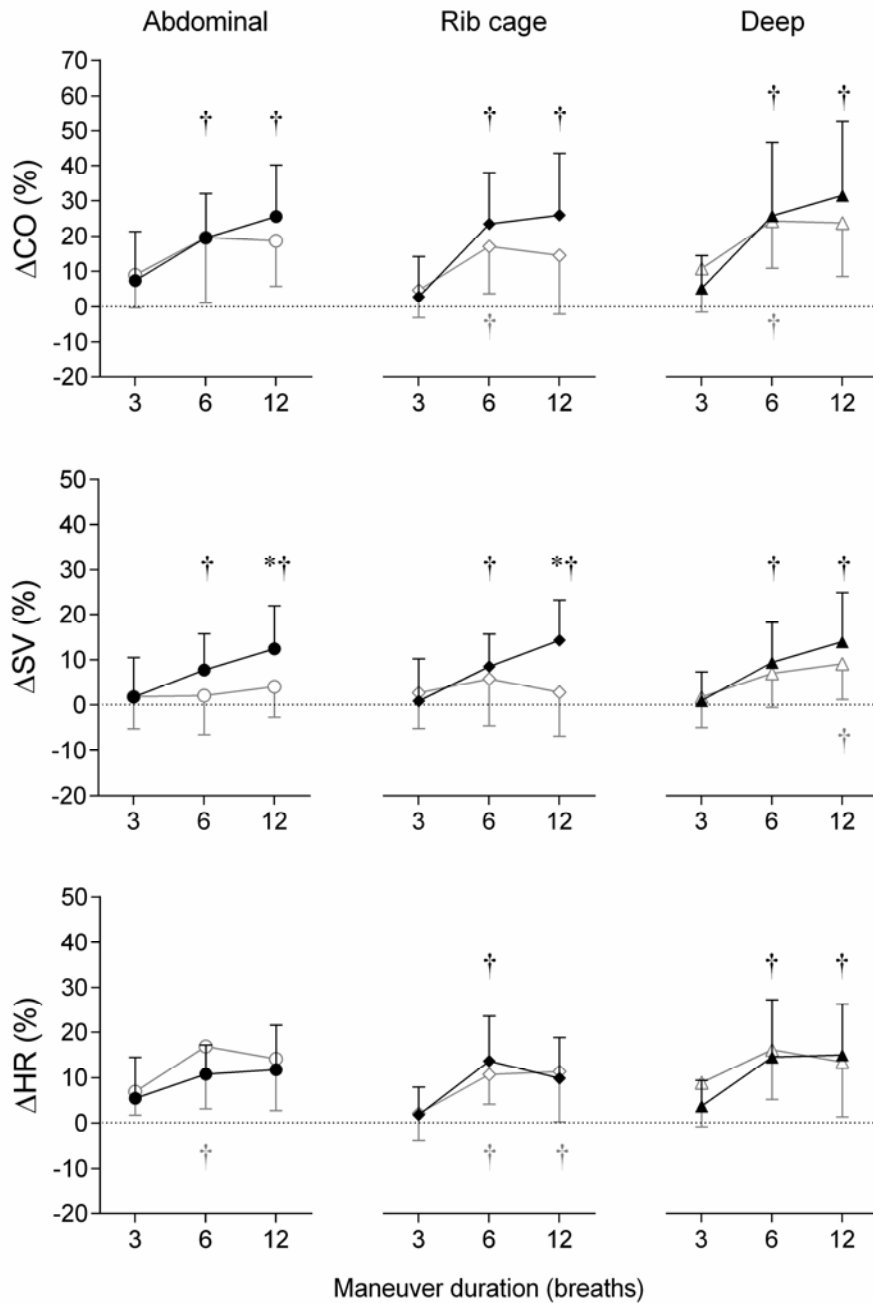
		Cardiac output			Stroke volume			Heart rate		
		[L·min <sup>-1</sup> ]			[ml]			[beat·min <sup>-1</sup> ]		
		PRE	POST	P	PRE	POST	P	PRE	POST	P
<b>AB3</b>	L	4.5 (0.7)	4.9 (0.8)	0.059	78.4 (15.4)	79.7 (15.1)	0.488	57.8 (5.2)	61.8 (6.7)	0.007
	R	4.4 (1.0)	4.8 (1.3)	0.068	74.2 (15.5)	76.7 (20.0)	0.183	59.9 (5.5)	62.8 (7.2)	0.053
<b>AB6</b>	L	4.6 (0.9)	5.4 (1.2)	<0.001	80.1 (16.0)	81.5 (23.1)	0.472	58.0 (4.4)	67.1 (8.7)	<0.001
	R	4.4 (1.1)	5.4 (1.7)	<0.001	74.7 (18.0)	81.5 (23.1)	<0.001	59.5 (5.3)	66.0 (5.9)	<0.001
<b>AB12</b>	L	4.7 (0.8)	5.6 (1.2)	<0.001	81.6 (17.6)	84.5 (17.7)	0.132	58.3 (5.9)	66.4 (7.6)	<0.001
	R	4.5 (0.9)	5.7 (1.5)	<0.001	75.8 (16.7)	85.5 (21.2)	<0.001	59.6 (4.4)	66.2 (6.7)	<0.001
<b>RC3</b>	L	4.7 (1.2)	4.9 (1.2)	0.470	79.3 (21.4)	80.1 (19.8)	0.678	57.8 (4.4)	62.8 (6.2)	0.473
	R	4.6 (0.8)	4.7 (1.0)	0.463	76.9 (16.1)	78.0 (18.6)	0.546	59.8 (6.3)	61.8 (7.4)	0.442
<b>RC6</b>	L	4.7 (1.2)	5.3 (1.5)	0.001	78.1 (19.7)	81.8 (21.3)	0.050	58.2 (5.4)	67.2 (7.0)	<0.001
	R	4.6 (1.0)	5.6 (1.4)	<0.001	78.7 (17.8)	84.4 (18.5)	0.003	59.4 (6.0)	67.1 (7.3)	<0.001
<b>RC12</b>	L	4.8 (1.2)	5.5 (1.7)	0.002	79.4 (20.3)	81.5 (22.8)	0.257	57.9 (4.9)	65.5 (6.9)	<0.001
	R	4.8 (1.1)	5.9 (1.2)	<0.001	78.7 (17.9)	89.0 (18.7)	<0.001	59.1 (4.8)	67.4 (5.9)	<0.001
<b>DB3</b>	L	4.7 (0.8)	5.2 (1.0)	0.009	82.1 (15.3)	84.0 (16.6)	0.334	60.0 (7.3)	61.1 (6.8)	0.001
	R	4.7 (1.1)	4.8 (1.5)	0.514	78.5 (19.2)	79.7 (21.6)	0.522	60.4 (5.7)	61.5 (7.6)	0.184
<b>DB6</b>	L	4.7 (0.8)	5.8 (1.1)	<0.001	81.1 (15.5)	86.5 (16.6)	0.005	60.0 (6.3)	65.5 (7.5)	<0.001
	R	4.5 (1.1)	5.7 (1.9)	<0.001	76.7 (18.9)	84.4 (24.8)	<0.001	58.9 (5.6)	66.5 (8.1)	<0.001
<b>DB12</b>	L	4.6 (0.8)	5.6 (1.0)	<0.001	80.1 (15.4)	86.5 (16.1)	0.001	61.4 (5.8)	67.6 (8.5)	<0.001
	R	4.5 (1.1)	5.9 (1.8)	<0.001	77.4 (18.5)	87.2 (24.9)	<0.001	61.2 (7.3)	66.7 (5.3)	<0.001

298

299 AB=abdominal breathing; RC=rib cage breathing; DB=deep breathing; associated numbers (e.g., AB3=AB for 3 breaths); L, R=Pulsed-wave

300 Doppler measurements collected in the left and right ventricular outflow tract, respectively. Values are mean (SD). N=13.

301 **Figure 3: Effects of Breathing Maneuvers on Resting Cardiac Function**



302

303 **Figure 3:** Cardiac output (CO), stroke volume (SV) and heart rate (HR) values derived from  
 304 pulsed-wave Doppler interrogation of the left (panel A; grey boxes) and right (panel B, white  
 305 boxes) ventricular outflow tracts. Values are percent changes after abdominal, rib cage or  
 306 deep breathing maneuvers sustained for 3, 6 or 12 breaths. † different from 3 breaths;  
 307 \*different from LVOT; P<0.05. N=13.

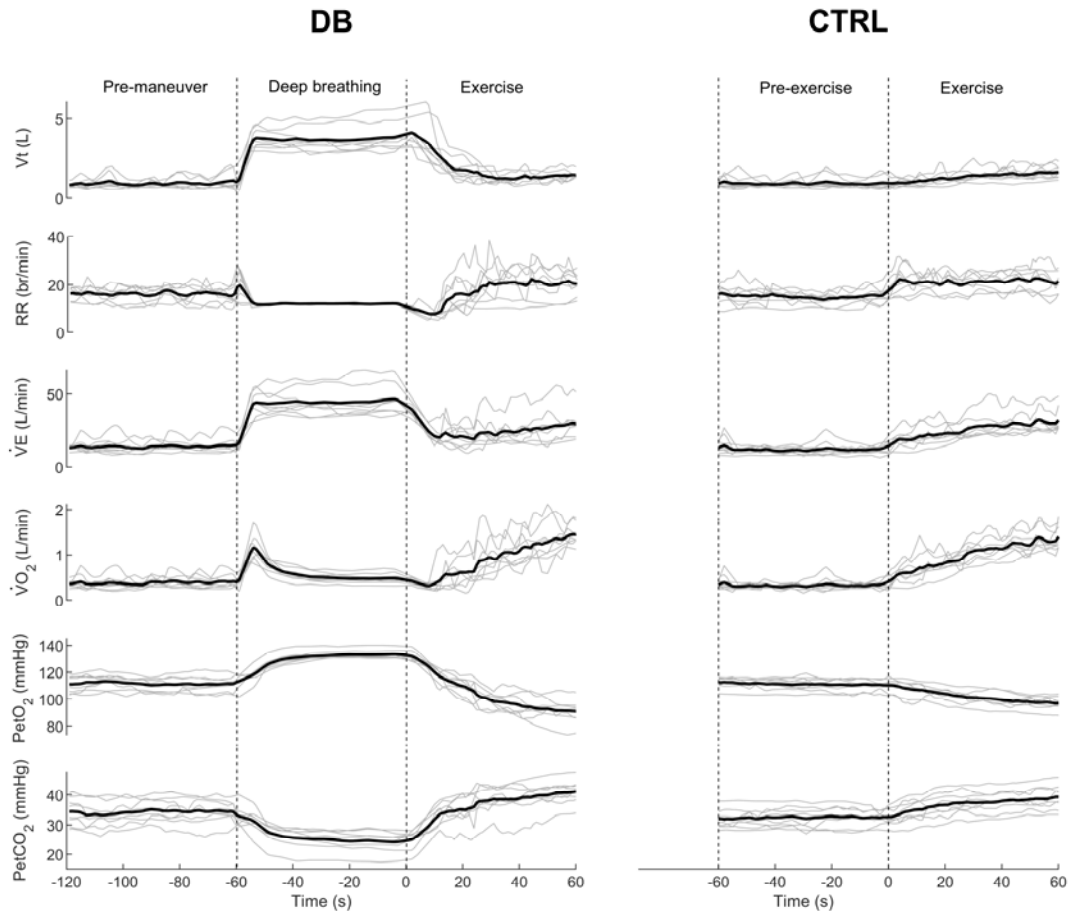
308           When comparing the three durations within each type of maneuver, RVCO increased  
309 more with 6 and 12 breaths as compared to 3 in AB ( $+8\pm 13$  vs  $20\pm 13$  vs  $25\pm 14$  %,  $P=0.019$   
310 and  $P=0.003$  respectively), RC ( $+3\pm 11$  vs  $22\pm 15$  vs  $25\pm 17$  %,  $P<0.001$ ) and DB ( $+2\pm 13$  vs  
311  $24\pm 21$  vs  $29\pm 22$  %,  $P<0.001$ ). This was not systematically the case for LVCO, as only RC6  
312 and DB6 produced greater changes than the corresponding 3-breath maneuvers [ $+5\pm 8$  vs  
313  $17\pm 13$  % ( $P=0.040$ ) and  $+11\pm 12$  vs  $24\pm 13$  % ( $P=0.024$ ), respectively]. Similarly, RVSV  
314 increased more with 6 and 12 breaths than with 3 in AB ( $+3\pm 9$  vs  $8\pm 8$  vs  $13\pm 9$  %,  $P=0.048$   
315 and  $P=0.003$ , respectively), RC ( $+1\pm 9$  vs  $8\pm 8$  vs  $14\pm 9$  %,  $P=0.016$  and  $P<0.001$ ) and DB  
316 ( $+1\pm 6$  vs  $9\pm 9$  vs  $12\pm 12$  %,  $P=0.002$  and  $P=0.001$ ), while LVSV only changed with DB12 as  
317 compared to DB3 ( $+8\pm 8$  vs  $2\pm 7$  %,  $P=0.048$ ). The changes observed in RVSV did not differ  
318 from those found in LVSV, except during RC12 ( $+14\pm 9$  vs  $+3\pm 9$  %  $P=0.002$ ) and AB12  
319 ( $+12\pm 9$  vs  $+4\pm 7$  %,  $P=0.017$ ), although these differences were not associated with a greater  
320 increase in RVCO compared to LVCO ( $+25\pm 17$  vs  $+13\pm 17$ ,  $P=0.069$  and  $+25\pm 14$  vs  $+18\pm 12$   
321 %,  $P=0.311$ , respectively).

322

### 323 **Cardiopulmonary changes with DB12**

324           Gas exchange analysis showed substantial cardiopulmonary changes with DB12  
325 (**Figure 4**). During DB12,  $\dot{V}E$  markedly increased compared to the baseline quiet breathing  
326 (by  $31.3\pm 8.1$  L $\cdot$ min $^{-1}$ ,  $P<0.001$ ) due to an increase in  $V_t$  (by  $3.0\pm 0.8$  L,  $P<0.001$ ) that largely  
327 compensated for a decrease in RR (by  $4.4\pm 2.4$  breaths $\cdot$ min $^{-1}$ ,  $P=0.013$ ).  $P_{et}CO_2$  gradually  
328 decreased (by  $9.7\pm 2.0$  mm Hg,  $P<0.001$ ) and  $P_{et}O_2$  increased (by  $21.5\pm 4.1$  mm Hg,  
329  $P<0.001$ ). Upon initiation of the maneuver,  $\dot{V}O_2$  peaked rapidly (within  $5.9\pm 0.6$  s, increasing  
330 by  $801\pm 254$  ml $\cdot$ min $^{-1}$  above the baseline level), then gradually decreased to remain at a  
331 slightly higher level compared to quiet breathing by the end of DB12 (by  $99\pm 43$  ml $\cdot$ min $^{-1}$ ,  
332  $P<0.001$ ). Pre-exercise gas exchange without DB12 (CTRL arm) is shown in **Figure 4**.

333 **Figure 4: Physiological Responses to Deep Breathing Maneuvers Performed for**  
334 **Twelve Breaths Prior to Exercise**  
335



336

337 **Figure 4:** Cardiopulmonary response assessed by continuous gas exchange measurement  
338 during the deep breathing maneuver performed prior to exercise onset (DB; *left panel*) and in  
339 control condition (CTRL; *right panel*). Black line represents the averaged signal; grey lines  
340 are individual tracings. Vt= tidal volume, RR= respiratory rate, VE= ventilation,  $\dot{V}O_2$ = oxygen  
341 uptake, PetO<sub>2</sub> = end-tidal O<sub>2</sub> pressure and PetCO<sub>2</sub> = end-tidal CO<sub>2</sub> pressure. N=8.

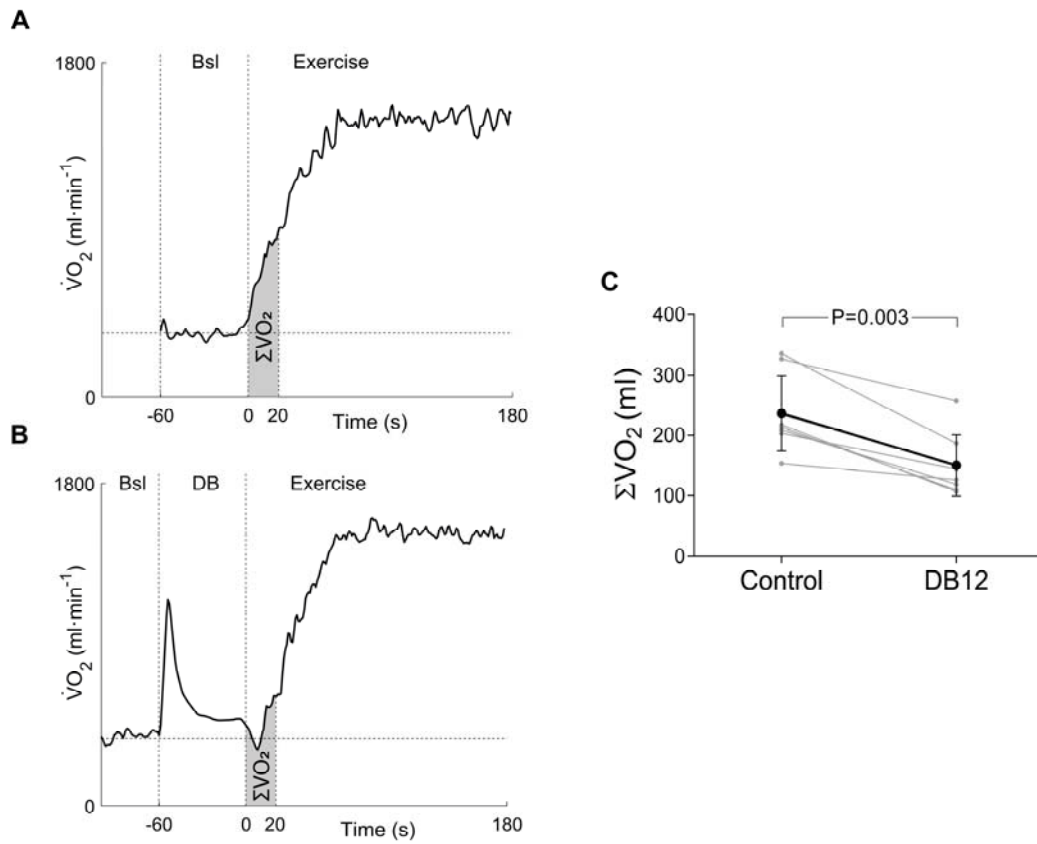
342 Pulsed-wave Doppler measurements obtained immediately before and after DB12  
343 showed an increase in RVSV (by  $12\pm 3\%$ ,  $P<0.001$ ) and LVSV (by  $9\pm 10\%$ ,  $P=0.005$ ) with  
344 concomitant increases in HR ( $76.8\pm 7.6$  vs  $89.1\pm 12.7$  bpm,  $P=0.013$ ) and thus increases in  
345 RVCO (by  $30\pm 14\%$ ,  $P<0.001$ ) and LVCO (by  $27\pm 17\%$ ,  $P=0.032$ ). Changes produced by  
346 DB12 did not differ in the seated (*Protocol 2*) compared to supine positioning (*Protocol 1*) in  
347 HR ( $+16\pm 11\%$  vs  $16\pm 12\%$ ,  $P=0.894$ ), RVSV ( $+14\pm 11$  vs  $12\pm 3\%$ ,  $P=0.609$ ), LVSV ( $+10\pm 9$  vs  
348  $9\pm 10\%$ ,  $P=0.821$ ), RVCO ( $+34\pm 20$  vs,  $30\pm 14\%$ ,  $P=0.645$ ) and LVCO ( $+25\pm 16$  vs  $27\pm 17\%$ ,  
349  $P=0.725$ ).

350

### 351 $\dot{V}O_2$ -on kinetics

352 The time constant  $\tau$  of the  $\dot{V}O_2$ -on from 20 s to 180 s ( $20.5\pm 9.1$  vs  $19.8\pm 10.1$  s,  
353  $P=0.946$ ) and  $\dot{V}O_2$  amplitude ( $1169.8\pm 89.1$  vs  $1179.1\pm 98.2$  ml $\cdot$ min $^{-1}$ ,  $P=0.307$ ) remained  
354 unchanged.  $\Sigma VO_2$  during the first 20 seconds of exercise was substantially decreased  
355 following DB12 compared to CTRL ( $149\pm 51$  vs  $233\pm 65$  ml,  $P=0.003$ ) (**Figure 5**).

356 **Figure 5: Impact of Deep Breathing (DB) Maneuver on Oxygen Exchange During the**  
357 **Cardiodynamic Phase of Early Exercise**



358

359 **Figure 5:** Total volume of oxygen exchanged at the mouth ( $\Sigma VO_2$ ) during the ‘cardiodynamic  
360 phase’. **A and B:** Illustration of the method. Black line represents the averaged oxygen  
361 uptake ( $\dot{V}O_2$ ) signal in the control (A) and deep breathing (B) conditions.  $\Sigma VO_2$  is defined as  
362 the area under the  $\dot{V}O_2$  curve within the first 20 s of exercise, shown in grey. Bsl=baseline;  
363 DB=deep breathing. **C:**  $\Sigma VO_2$  values in the control and DB conditions. Grey dots and lines  
364 are individual data, black symbols and line represent mean $\pm$ SD. N=8.

## 365 **DISCUSSION**

366 This study was designed to examine the influence of respiratory maneuvers on  
367 cardiac function and  $\dot{V}O_2$  uptake during the cardiodynamic phase of exercise. Key findings  
368 are summarized as follows. First, AB, RC and DB maneuvers performed under resting  
369 conditions for 6 and 12 breaths resulted in quantitatively similar increases in RVCO and  
370 LVCO. Second, dissociation between changes in RSV and LSV in response to the  
371 respiratory maneuvers were minimal. Finally, and of paramount importance, a 1-min bout of  
372 DB performed immediately prior to the onset of moderate intensity cycling reduced the  
373 increase in cardiodynamic  $\dot{V}O_2$  typically observed during the early phase of exercise onset  
374 (first 20 s) without influencing the subsequent  $\dot{V}O_2$ -on response driven by the increase in  
375 muscle  $O_2$  consumption. Collectively, these findings provide evidence that respiratory  
376 maneuvers can increase  $\dot{Q}_p$  and prime alveolar  $O_2$  transfer prior to exercise.

377

### 378 **Acute effects of respiratory maneuvers at rest**

#### 379 *Acute cardiac responses to respiratory maneuvers*

380 Previous experimental results suggested that voluntary amplification of the respiratory  
381 pump with AB and RC modulates femoral venous blood flow (21) and enhances blood  
382 displacements between the extremities and the trunk (22, 23, 25), indicating a potential for  
383 respiratory maneuvers to increase venous return. However, methodological constraints could  
384 not evaluate the impact of these interventions on cardiac function per se. Our findings  
385 confirm the hypothesis previously put forth and show for the first time that preload  
386 augmentation by respiratory maneuvers has a direct effect on RVCO and LVCO.

387 Elegant physiologic experiments dating back to the 1950s have shown that the fall in  
388 ITP during normal inspiration produces a decrease in right atrial pressure and widens the  
389 pressure gradient from the peripheral venous circulation to the right atrium, thus augmenting



390 venous return (28). With the RC breathing maneuver specifically, this seems to be the  
391 dominant mechanism, with more recent data confirming that deepening the inspiratory fall in  
392 ITP with RC breathing at rest augments femoral venous return (21) and results in greater  
393 intra-breath blood displacements between the trunk and the extremities during moderate  
394 exercise (23, 25). With AB in turn, in addition to this ITP-driven increase in the venous  
395 pressure gradient, the inspiratory descent of the diaphragm may also contribute to  
396 augmented venous return via the consequent increase in IAP (29–31). This effect is likely  
397 driven by compression of the hepatic and splanchnic vascular beds (30, 32), and  
398 experimental work has specifically demonstrated that greater IAP swings increase both  
399 splanchnic emptying (22, 24, 25) and IVC flow above the inlet of the hepatic vein (22, 30). In  
400 the present study, the increases in RVCO and LVCO produced by DB performed for 6 and  
401 12 breaths were not different than those generated by AB and RC, suggesting that the act of  
402 breathing deeply can produce an increase in venous return to a similar magnitude as the  
403 more specific RC and AB techniques. We speculate that DB produced both greater  
404 splanchnic and femoral venous return through both greater diaphragmatic descent and rib  
405 cage expansion, respectively. Indeed, although we did not monitor IAP and ITP in this study,  
406 changes in abdominal and thoracic excursions observed with DB corroborate the contention  
407 that DB combines the mechanics of both AB and RC, i.e. greater diaphragmatic movements  
408 and greater rib cage expansion compared to quiet breathing (**Figures 2C & 2D**).

409 In addition to the mechanical effects resulting from the enhanced pumping  
410 mechanism, the metabolic cost of performing the breathing maneuvers also likely plays a  
411 role in the observed increases in RVCO and LVCO. A greater level of  $\dot{V}E$  through greater  
412 contraction of the diaphragm and rib cage muscles would typically generate greater work of  
413 breathing and result in an increased metabolic load (33), and we speculate that this may be  
414 more functionally significant among diseased individuals whose functional 'reserve' is lower.  
415 In our study,  $\dot{V}O_2$  measured throughout the DB12 run in *Protocol 2* remained elevated by the  
416 end of the maneuver (**Figure 4**), potentially reflecting the increased metabolic cost of

417 ventilation. This increase in  $\dot{V}O_2$ , in turn, would be expected to come with an associated  
418 increase in CO, as was observed.

#### 419 *Left vs right ventricular responses*

420 We examined several maneuver durations (3, 6 and 12 breaths) to determine whether  
421 a dissociation between RV and LV responses to the respiratory maneuvers would be  
422 observed. Our initial hypothesis was that a maneuver-induced surge of blood from the  
423 peripheral circulation would affect RSVV ahead of LSVV, as the two ventricles are in series  
424 interposed by the pulmonary vasculature. We thus hypothesized that a temporal dissociation  
425 would be observed with the shortest maneuvers and progressively be reduced with time. Our  
426 analysis did not demonstrate a right-to-left difference in SV with either maneuver type when  
427 performed for 3 breaths (**Figure 3**), although neither technique at this duration increased  
428 RSVV or LSVV (**Table 2**). Comparison of the mean increases with 6 and 12 breaths  
429 suggests a slightly greater increase in RSVV compared to LSVV in all maneuver types  
430 (**Figure 3**), in line with prior work suggesting that the pulmonary vascular bed may act as a  
431 buffer for the blood volume acutely translocated from the periphery during transitions from  
432 rest to exercise (34). Although these differences were noted only with RC12 and AB12,  
433 potentially due to limited statistical power, we speculate that they could be the manifestation  
434 of a progressive pooling of blood in the low-resistance, high-capacitance pulmonary  
435 vasculature resulting from gradual capillary recruitment throughout the maneuver.

436

#### 437 **Cardiorespiratory adjustments to exercise onset**

438 In *Protocol 2* we tested the hypothesis that DB performed immediately before a  
439 transition to moderate intensity exercise would produce a cardiodynamic increase in  
440 pulmonary  $O_2$  uptake during the maneuver and thereby reduce the early increase in  
441  $\dot{V}O_2$  normally observed at the very beginning of exercise onset. Because the analysis of  
442  $\dot{V}O_2$ -on kinetics requires the averaging of several transitions in order to improve signal-to-

443 noise ratio (35), we selected only one type of maneuver / duration amongst those examined  
444 in *Protocol 1* and focused on DB due to its relative ease of performance and on 12 breaths  
445 (DB12) to allow sufficient time for the maneuvers to generate an effect.

446

#### 447 *Pulmonary O<sub>2</sub> transfer within the cardiodynamic phase*

448         The initiation of muscular exercise from a resting state is typically accompanied by  
449 near immediate cardiopulmonary adjustments to accelerate CO and optimize O<sub>2</sub> delivery to  
450 working muscles. The consequent increase in  $\dot{Q}_p$  leads to greater alveolar O<sub>2</sub> transfer  
451 through greater flow of reduced hemoglobin in the pulmonary capillaries, thus producing an  
452 early “non-metabolic” increase in pulmonary  $\dot{V}O_2$  (16). Our analysis of pulmonary O<sub>2</sub> transfer  
453 at exercise onset indicates that the increase in  $\dot{V}O_2$  within this cardiodynamic phase (i.e. first  
454 20 s of exercise) was partly abolished with DB12, as shown by the reduction in  $\Sigma VO_2$  in this  
455 condition compared to the control state (**Figure 5**). These results support the hypothesis that  
456 DB12 increased  $\dot{Q}_p$  ahead of exercise onset, thus partly reducing the acceleration of  $\dot{Q}_p$   
457 normally responsible for the rise in  $\dot{V}O_2$  in early exercise. This contention is further supported  
458 by the observed increase in RVSV and RVCO with DB12, directly documented with the  
459 echocardiographic measurements, as well as by the spike in  $\dot{V}O_2$  seen upon initiation of  
460 DB12 (**Figure 4**). In the latter case, in addition to the aforementioned increase in  $\dot{Q}_p$ , one  
461 potential contributor to this observed spike could be the sudden changes in lung gas stores  
462 due to changes in operational lung volume (36–39). However, while a contribution of this  
463 mechanism cannot be ruled out, its potential effect would likely occur only during the first  
464 breath of the maneuver, as changes in operational lung volume during subsequent breaths  
465 would be limited.

466         Further, in addition to the increased  $\dot{Q}_p$  prior to exercise onset, the hyperventilatory  
467 effect of DB12 may also contribute to the observed decrease in  $\Sigma VO_2$ . The voluntary  
468 increase in tidal volume with DB12, which resulted in a substantial increase in ventilation

469 despite a reduction in RR, produced a marked decrease in PetCO<sub>2</sub> throughout the maneuver  
470 (**Figure 4**). It is unlikely that this decrease *per se* would have affected  $\dot{Q}_p$ , since previous  
471 experiments examining the effect of hyperventilation have reported similar increases in CO  
472 irrespective of changes in PetCO<sub>2</sub> (40). However, assuming that the observed fall in PetCO<sub>2</sub>  
473 reflects the dynamics of arterial PCO<sub>2</sub> levels, this decline may decrease the respiratory drive  
474 at the end of the maneuver (41), thus minimizing the pumping mechanism normally seen at  
475 exercise onset. This possibility is supported by the noticeable transitory drop in RR at the  
476 onset of exercise and could explain the brief simultaneous drop in  $\dot{V}O_2$  that was observed  
477 (**Figure 4**).

478

#### 479 *Primary phase of $\dot{V}O_2$ -on kinetics*

480 The spike in  $\dot{V}O_2$  upon initiation of the maneuver followed by the marked reduction in  
481  $\Sigma V O_2$  with DB12 both support the hypothesis that respiratory maneuvers can accelerate  $\dot{Q}_p$   
482 ahead of exercise onset and thereby increase systemic O<sub>2</sub> delivery during exercise initiation.  
483 The influence of such mechanisms on muscle  $\dot{V}O_2$ -on kinetics is likely to be minimal in  
484 healthy individuals, as prior experimental data in this population point towards O<sub>2</sub> utilization  
485 at the muscle level rather than O<sub>2</sub> delivery as a limiting factor for the rate at which muscle O<sub>2</sub>  
486 consumption adjusts to moderate exercise (5–9, 42, 43). In line with these prior findings, the  
487 time constant of the primary phase did not differ between CTRL and DB12, suggesting  
488 similar overall O<sub>2</sub> utilization with and without DB. Similar observations of unchanged primary  
489 phase kinetics have been observed in a wide range of physiologic perturbations in healthy  
490 subjects, including interventions that increase O<sub>2</sub> transport such as hemodilution (44),  
491 administration of erythropoietin (45), inhalation of hyperoxic air (46), and priming exercise  
492 (47).

493 However, in disease states where convective O<sub>2</sub> transport is impaired, O<sub>2</sub> delivery to  
494 working muscles can limit  $\dot{V}O_2$ -on kinetics. Accordingly, individuals with conditions impairing

495 the cardiac response to exercise, such as in heart failure, demonstrate a slower increase in  
496 the  $\dot{V}O_2$ -on response during brief bouts of exercise (48–50). Importantly, the inability to  
497 adequately increase CO at the onset of exercise in these patients affects both the  
498 cardiodynamic phase, through a slower increase in  $\dot{Q}_p$ , and the primary phase, through an  
499 impairment of O<sub>2</sub> delivery to the exercising muscles (51). Although the kinetics of the primary  
500 phase were unchanged in our healthy population, we demonstrate a cardiac response to the  
501 respiratory maneuvers and a subsequent reduction in the cardiodynamic phase due to the  
502 preemptive  $\dot{Q}_p$  acceleration. These mechanisms may represent a possible pathway for  
503 improving  $\dot{V}O_2$ -on kinetics in a diseased population, thereby reducing the O<sub>2</sub> deficit incurred  
504 during early exercise. The metabolic cost of the respiratory maneuvers may also be of  
505 particular importance in diseased individuals, and the net impact of this type of intervention in  
506 individuals with impaired cardiac function remains uncertain. Given the plausible mechanism  
507 suggested herein and the low cost and low risk of this technique, additional study is indicated  
508 to evaluate whether these results can be replicated in a diseased population and how these  
509 maneuvers may impact  $\dot{V}O_2$ -on kinetics, the early exercise O<sub>2</sub> deficit, and ultimately exercise  
510 capacity.

511

## 512 **Limitations**

513 Our study has several limitations. First, recruitment for this initial study was limited to  
514 male subjects, and future studies replicating these results among female subjects will be  
515 required. Similarly, this study involved only healthy subjects without cardiopulmonary  
516 disease, and the generalizability to diseased individuals remains to be established. Second,  
517 we chose not to estimate intra-thoracic and intra-abdominal pressure, considering the  
518 associated methodological constraint and potential obstacle for recruitment. Third, we did not  
519 correct  $\dot{V}O_2$  for changes in lung O<sub>2</sub> stores, as doing so necessitates measuring the raw  
520 signals of O<sub>2</sub>, CO<sub>2</sub> and airflow at the mouth and utilizing advanced experimental techniques

521 including optoelectronic plethysmography to monitor breath-by-breath variations in absolute  
522 lung volume. Fourth, in our measurement of  $\Sigma\dot{V}O_2$ , we chose a fixed 20-s window to capture  
523 the typical duration for the cardiodynamic phase, based on previous reports (16, 20). Given  
524 the robust and consistent changes observed between DB12 and CTRL, however, it is  
525 unlikely that a slightly shorter or longer window would have produced fundamentally different  
526 results. Finally, RV and LV responses could not be assessed simultaneously with a single  
527 imaging probe, so we instead performed the RV and LV assessments sequentially in  
528 randomized order with an interceding 'rest' period.

529

## 530 **CONCLUSIONS**

531 Our findings suggest that in healthy male subjects at rest (1) respiratory maneuvers  
532 designed to amplify the respiratory pump mechanism can enhance RV and LV CO, (2) DB  
533 has similar effects as AB and RC on right and left ventricular CO; (3) dissociation between  
534 changes in RVSV and LVSV in response to the respiratory maneuvers are minimal. In  
535 addition, we demonstrate that a 1-min bout of DB performed prior to exercise initiation can  
536 increase  $\dot{Q}_p$  and alveolar O<sub>2</sub> uptake, thus attenuating the cardiodynamic increase in  
537  $\dot{V}O_2$  typically seen in early exercise without impacting the subsequent metabolic  $\dot{V}O_2$ -on  
538 response. Demonstration of these physiologic properties in healthy subjects sets the stage  
539 for future studies aimed at examining the clinical utility of pre-exercise DB in patients with  
540 cardiovascular diseases that limit  $\dot{Q}_p$  acceleration at the onset of exercise resulting in  
541 impaired exercise capacity.

542 **ADDITIONAL INFORMATION**

543 Data Availability: Data from this study will be made available upon reasonable request to  
544 corresponding author.

545

546 Competing Interests: No authors report any conflicts of interest.

547

548 Author Contributions:

549 Conception and design of research: F.S., T.C., B.K. and A.B. Acquisition, analysis, and  
550 interpretation of data: F.S., T.C., J.C. B.P., J.S.G., M.M.W., B.K., A.B. Drafting manuscript  
551 and critical revision: F.S., T.C., J.C. B.P., J.S.G., M.M.W., B.K., A.B. All authors approved the  
552 final version of the manuscript. All authors agree to be accountable for all aspects of the  
553 work. All persons designated as authors qualify for authorship, and all those who qualify for  
554 authorship are listed.

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729 **FIGURE CAPTIONS**

730 **Figure 1:** Overview of the experimental procedure. A schematic is shown outlining the  
731 experimental procedures performed in *Protocol 1* (resting assessment) and *Protocol 2*  
732 (transition to exercise with gas exchange measurement). QB = quiet breathing; RVOT=right  
733 ventricular outflow tract; LVOT=left ventricular outflow tract; DB = deep breathing maneuver;  
734 DB12 = deep breathing performed for 12 breaths. CTRL = control condition without pre-  
735 exercise breathing maneuver.

736 **Figure 2:** Overview of respiratory maneuvers. A: illustration of the techniques for abdominal  
737 and rib cage breathing. Abdominal breathing consists of emphasizing the contribution of the  
738 diaphragm, resulting in greater abdominal excursion; rib cage breathing consists of  
739 emphasizing the contribution of the intercostal and accessory respiratory muscles, resulting  
740 in greater rib cage excursion. B: graphical method used to quantify abdominal and thoracic  
741 excursions during the respiratory maneuvers. The respiratory belts measured changes in  
742 tension resulting from expansion and contraction of the abdomen and rib cage. The tracing  
743 shown is an example of a force signal yielded by an abdominal belt. Absl is the average  
744 amplitude over the six breaths preceding the maneuver, Amaneuver is the average  
745 amplitude over the number of breaths performed during the maneuver (3, 6 or 12). C and D:  
746 changes in thoracic (C) and abdominal (D) excursion during rib cage, deep or abdominal  
747 breathing.  $\Delta$ Amplitude is the difference between Absl and Amaneuver expressed in  
748 percentage. N=13.

749 **Figure 3:** Cardiac output (CO), stroke volume (SV) and heart rate (HR) values derived from  
750 pulsed-wave Doppler interrogation of the left (panel A; grey boxes) and right (panel B, white  
751 boxes) ventricular outflow tracts. Values are percent changes after abdominal, rib cage or  
752 deep breathing maneuvers sustained for 3, 6 or 12 breaths. † different from 3 breaths;  
753 \*different from LVOT;  $P < 0.05$ . N=13.

754 **Figure 4:** The cardiopulmonary response to the deep breathing maneuver performed prior to  
755 exercise onset and assessed by continuous gas exchange measurement is shown. Black  
756 line represents the averaged signal; grey lines are individual tracings.  $V_t$ =tidal volume, RR=  
757 respiratory rate,  $VE$ =ventilation,  $\dot{V}O_2$ =oxygen uptake,  $P_{et}O_2$ =end-tidal  $O_2$  pressure and  
758  $P_{et}CO_2$ =end-tidal  $CO_2$  pressure. N=8.

759 **Figure 5:** Total volume of oxygen exchanged at the mouth ( $\Sigma VO_2$ ) during the 'cardiodynamic  
760 phase'. A and B: Illustration of the method. Black line represents the averaged oxygen  
761 uptake ( $\dot{V}O_2$ ) signal in the control (A) and deep breathing (B) conditions.  $\Sigma VO_2$  is defined as  
762 the area under the  $\dot{V}O_2$  curve within the first 20 s of exercise, shown in grey. Bsl=baseline;  
763 DB=deep breathing. C:  $\Sigma VO_2$  values in the control and DB conditions. Grey dots and lines  
764 are individual data, black symbols and line represent mean $\pm$ SD. N=8.



# Priming Cardiac Function with Voluntary Respiratory Maneuvers and Effect on Early Exercise Oxygen Uptake

## METHODS

### Protocol 1

**AB**  
Abdominal breathing



**RC**  
Rib cage breathing



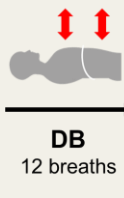
**DB**  
Deep breathing



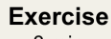
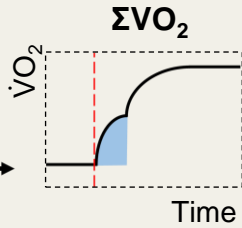
**RVOT & LVOT Pulsed-wave Echocardiography**

### Protocol 2

**DB**  
12 breaths

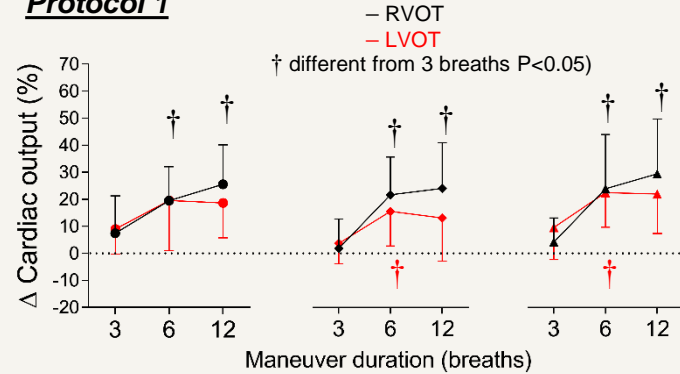


**Exercise**  
3 min

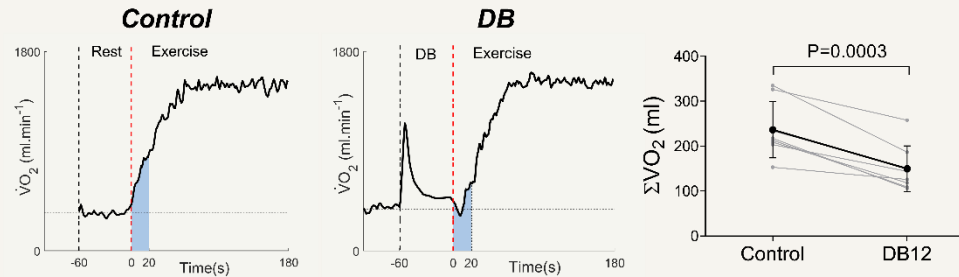



## OUTCOME

### Protocol 1



### Protocol 2



- All maneuvers increased cardiac output compared to resting conditions.
- Greater effect with 6 and 12 breaths

- DB produced a spike in  $\dot{V}O_2$  and subsequently reduced the cardiodynamic increase in  $\dot{V}O_2$  during early exercise

**CONCLUSION** Respiratory maneuvers can prime pulmonary blood flow and improve alveolar  $O_2$  transfer prior to exercise.

**Table 1: Participant characteristics****Participants**

Age	33.5±5.2
Height (cm)	179.9±6.9
Weight (kg)	83.0±13.2
BSA (m <sup>2</sup> )	2.0±0.2

**Echocardiographic Parameters**

Resting heart rate (beats per minute)	62±8
Systolic blood pressure (mmHg)	118±11
Diastolic blood pressure (mmHg)	70±8
Interventricular septum (mm)	8.4±1.6
Posterior wall (mm)	9.3±1.0
LV end-diastolic dimension (mm)	49±5
LV end-systolic dimension (mm)	33±4
Left atrial volume (ml)	58±16
RV basal end-diastolic diameter (mm)	38±5
LV end-diastolic volume (ml)	113±24
LV end-systolic volume (ml)	47±11
LV ejection fraction (%)	58±3
LVOT diameter (mm)	22±1
RVOT diameter (mm)	25±2

BSA=body surface area; LVOT, RVOT=left and right ventricular outflow tract, respectively.

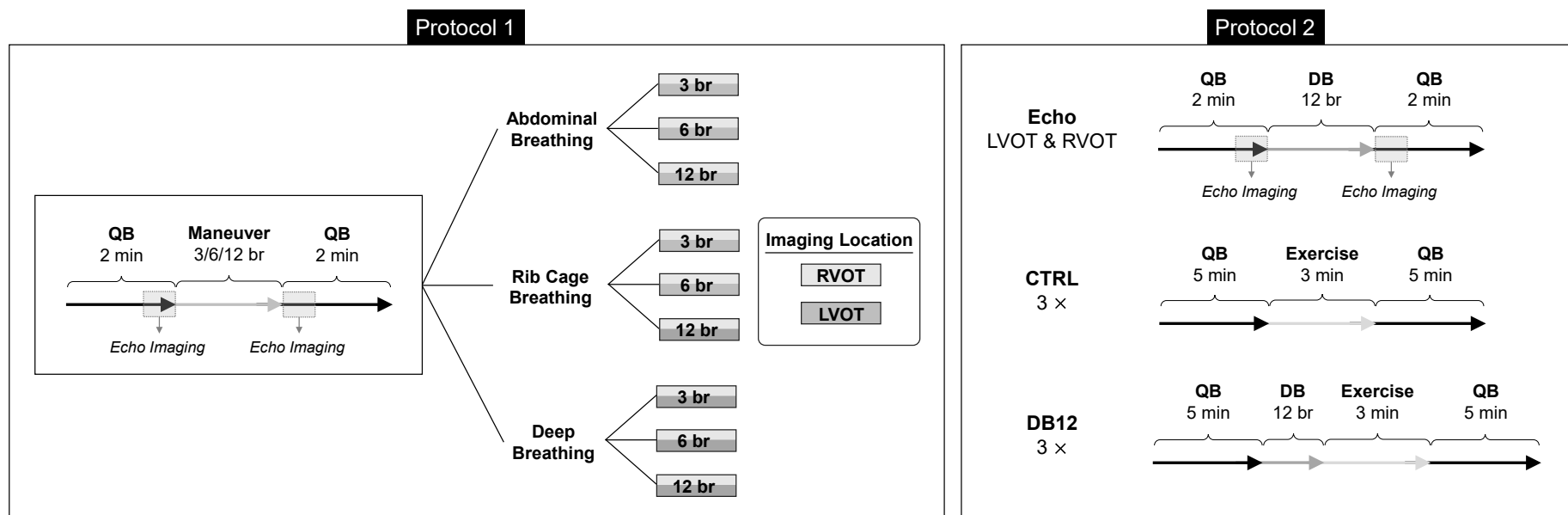
Values are mean (SD). N=13.

**Table 2: Acute Cardiac Response to Respiratory Maneuvers Under Resting Conditions (Protocol 1)**

		Cardiac output			Stroke volume			Heart rate		
		[L·min <sup>-1</sup> ]			[ml]			[beat·min <sup>-1</sup> ]		
		PRE	POST	P	PRE	POST	P	PRE	POST	P
AB3	L	4.5 (0.7)	4.9 (0.8)	0.059	78.4 (15.4)	79.7 (15.1)	0.488	57.8 (5.2)	61.8 (6.7)	0.007
	R	4.4 (1.0)	4.8 (1.3)	0.068	74.2 (15.5)	76.7 (20.0)	0.183	59.9 (5.5)	62.8 (7.2)	0.053
AB6	L	4.6 (0.9)	5.4 (1.2)	<0.001	80.1 (16.0)	81.5 (23.1)	0.472	58.0 (4.4)	67.1 (8.7)	<0.001
	R	4.4 (1.1)	5.4 (1.7)	<0.001	74.7 (18.0)	81.5 (23.1)	<0.001	59.5 (5.3)	66.0 (5.9)	<0.001
AB12	L	4.7 (0.8)	5.6 (1.2)	<0.001	81.6 (17.6)	84.5 (17.7)	0.132	58.3 (5.9)	66.4 (7.6)	<0.001
	R	4.5 (0.9)	5.7 (1.5)	<0.001	75.8 (16.7)	85.5 (21.2)	<0.001	59.6 (4.4)	66.2 (6.7)	<0.001
RC3	L	4.7 (1.2)	4.9 (1.2)	0.470	79.3 (21.4)	80.1 (19.8)	0.678	57.8 (4.4)	62.8 (6.2)	0.473
	R	4.6 (0.8)	4.7 (1.0)	0.463	76.9 (16.1)	78.0 (18.6)	0.546	59.8 (6.3)	61.8 (7.4)	0.442
RC6	L	4.7 (1.2)	5.3 (1.5)	0.001	78.1 (19.7)	81.8 (21.3)	0.050	58.2 (5.4)	67.2 (7.0)	<0.001
	R	4.6 (1.0)	5.6 (1.4)	<0.001	78.7 (17.8)	84.4 (18.5)	0.003	59.4 (6.0)	67.1 (7.3)	<0.001
RC12	L	4.8 (1.2)	5.5 (1.7)	0.002	79.4 (20.3)	81.5 (22.8)	0.257	57.9 (4.9)	65.5 (6.9)	<0.001
	R	4.8 (1.1)	5.9 (1.2)	<0.001	78.7 (17.9)	89.0 (18.7)	<0.001	59.1 (4.8)	67.4 (5.9)	<0.001
DB3	L	4.7 (0.8)	5.2 (1.0)	0.009	82.1 (15.3)	84.0 (16.6)	0.334	60.0 (7.3)	61.1 (6.8)	0.001
	R	4.7 (1.1)	4.8 (1.5)	0.514	78.5 (19.2)	79.7 (21.6)	0.522	60.4 (5.7)	61.5 (7.6)	0.184
DB6	L	4.7 (0.8)	5.8 (1.1)	<0.001	81.1 (15.5)	86.5 (16.6)	0.005	60.0 (6.3)	65.5 (7.5)	<0.001
	R	4.5 (1.1)	5.7 (1.9)	<0.001	76.7 (18.9)	84.4 (24.8)	<0.001	58.9 (5.6)	66.5 (8.1)	<0.001
DB12	L	4.6 (0.8)	5.6 (1.0)	<0.001	80.1 (15.4)	86.5 (16.1)	0.001	61.4 (5.8)	67.6 (8.5)	<0.001
	R	4.5 (1.1)	5.9 (1.8)	<0.001	77.4 (18.5)	87.2 (24.9)	<0.001	61.2 (7.3)	66.7 (5.3)	<0.001

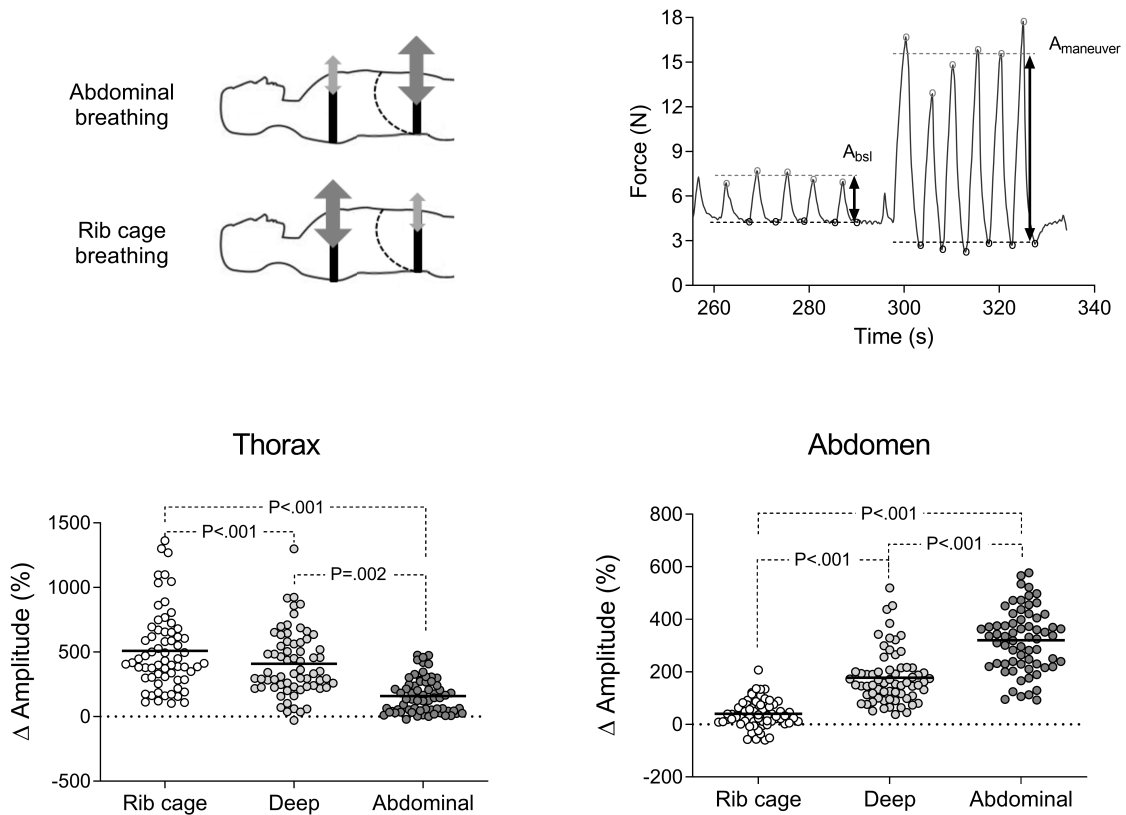
AB=abdominal breathing; RC=rib cage breathing; DB=deep breathing; associated numbers (e.g., AB3=AB for 3 breaths); L, R=Pulsed-wave Doppler measurements collected in the left and right ventricular outflow tract, respectively. Values are mean (SD). N=13.

**Figure 1: Experimental Procedures**



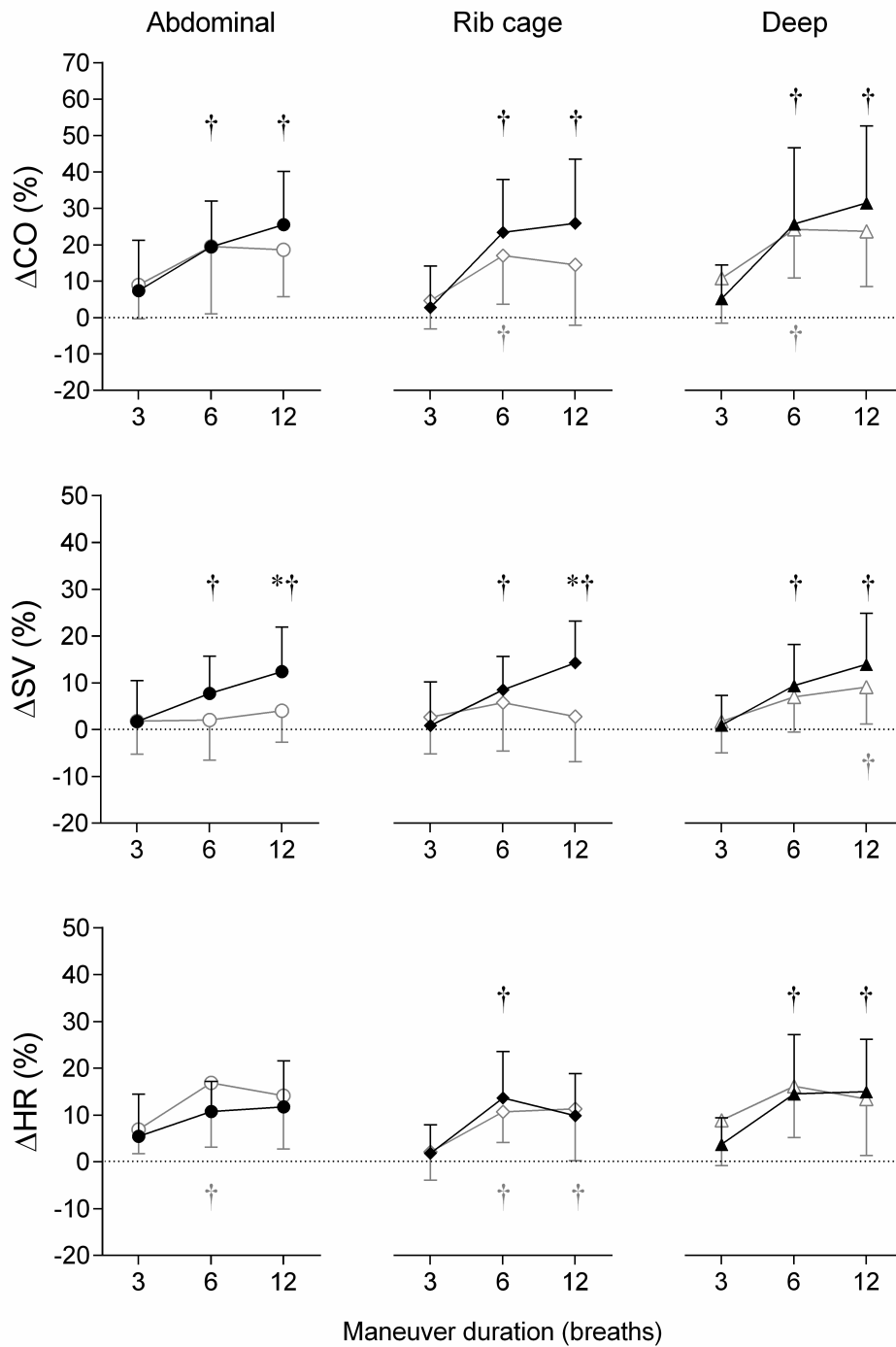
**Figure 1:** Overview of the experimental procedure. A schematic is shown outlining the experimental procedures performed in *Protocol 1* (resting assessment) and *Protocol 2* (transition to exercise with gas exchange measurement). QB = quiet breathing; RVOT=right ventricular outflow tract; LVOT=left ventricular outflow tract; DB = deep breathing maneuver; DB12 = deep breathing performed for 12 breaths. CTRL = control condition without pre-exercise breathing maneuver.

**Figure 2: Experimental Respiratory Maneuvers**



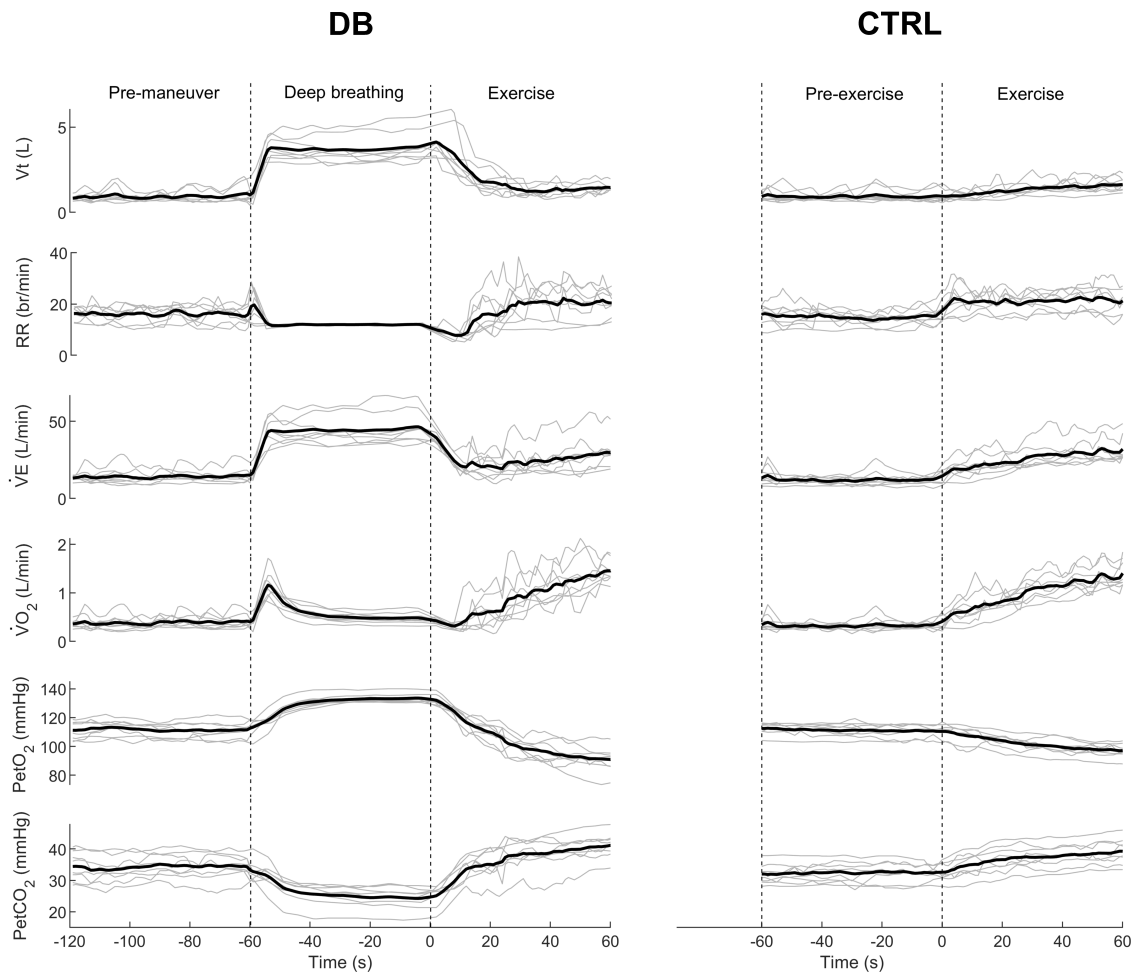
**Figure 2:** Overview of respiratory maneuvers. **A:** illustration of the techniques for abdominal and rib cage breathing. Abdominal breathing consists of emphasizing the contribution of the diaphragm, resulting in greater abdominal excursion; rib cage breathing consists of emphasizing the contribution of the intercostal and accessory respiratory muscles, resulting in greater rib cage excursion. **B:** graphical method used to quantify abdominal and thoracic excursions during the respiratory maneuvers. The respiratory belts measured changes in tension resulting from expansion and contraction of the abdomen and rib cage. The tracing shown is an example of a force signal yielded by an abdominal belt.  $A_{bsl}$  is the average amplitude over the six breaths preceding the maneuver,  $A_{maneuver}$  is the average amplitude over the number of breaths performed during the maneuver (3, 6 or 12). **C** and **D:** changes in thoracic (C) and abdominal (D) excursion during rib cage, deep or abdominal breathing.  $\Delta$ Amplitude is the difference between  $A_{bsl}$  and  $A_{maneuver}$  expressed in percentage.  $N=13$ .

**Figure 3: Effects of Breathing Maneuvers on Resting Cardiac Function**



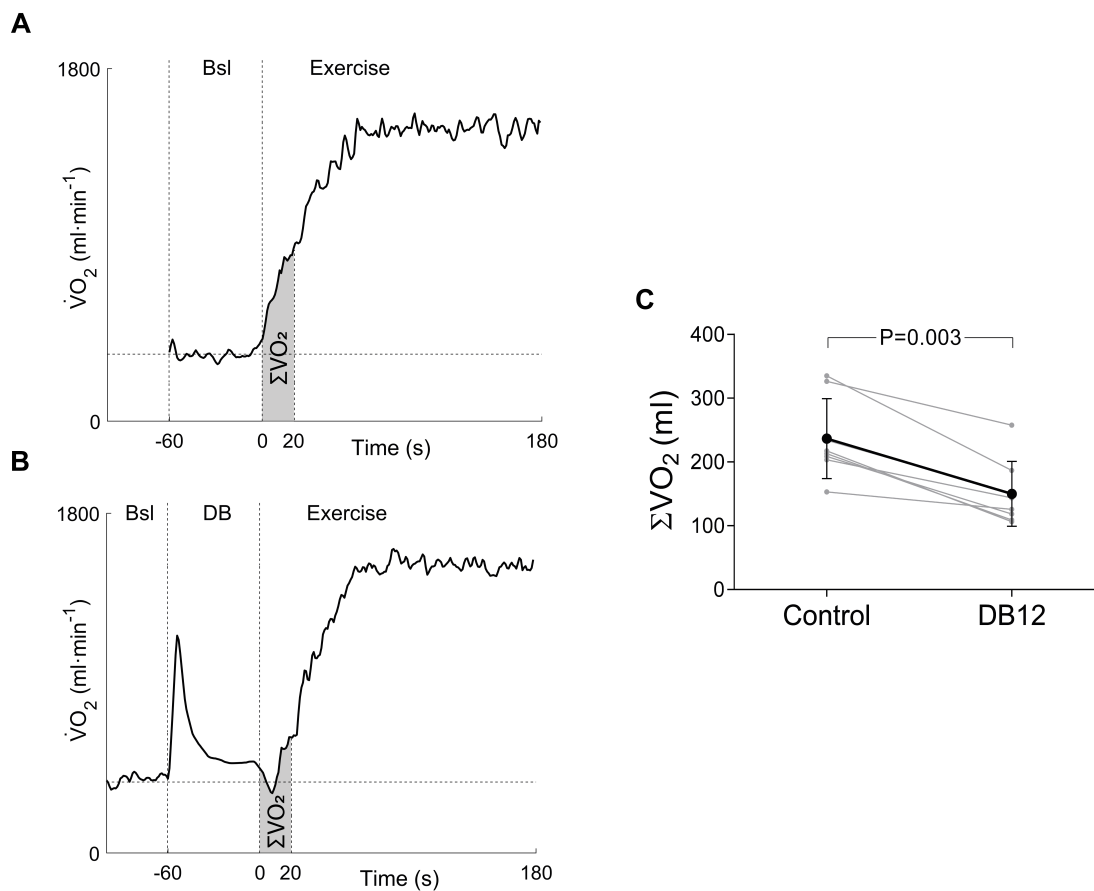
**Figure 3:** Cardiac output (CO), stroke volume (SV) and heart rate (HR) values derived from pulsed-wave Doppler interrogation of the left (panel A; grey boxes) and right (panel B, white boxes) ventricular outflow tracts. Values are percent changes after abdominal, rib cage or deep breathing maneuvers sustained for 3, 6 or 12 breaths. † different from 3 breaths; \*different from LVOT; P<0.05. N=13.

**Figure 4: Physiological Responses to Deep Breathing Maneuvers Performed for Twelve Breaths Prior to Exercise**



**Figure 4:** Cardiopulmonary response assessed by continuous gas exchange measurement during the deep breathing maneuver performed prior to exercise onset (DB; *left panel*) and in control condition (CTRL; *right panel*). Black line represents the averaged signal; grey lines are individual tracings. Vt = tidal volume, RR = respiratory rate, VE = ventilation,  $\dot{V}O_2$  = oxygen uptake, PetO<sub>2</sub> = end-tidal O<sub>2</sub> pressure and PetCO<sub>2</sub> = end-tidal CO<sub>2</sub> pressure. N = 8.

## Figure 5: Impact of Deep Breathing (DB) Maneuver on Oxygen Exchange During the Cardiodynamic Phase of Early Exercise



**Figure 5:** Total volume of oxygen exchanged at the mouth ( $\Sigma VO_2$ ) during the 'cardiodynamic phase'. **A and B:** Illustration of the method. Black line represents the averaged oxygen uptake ( $\dot{V}O_2$ ) signal in the control (A) and deep breathing (B) conditions.  $\Sigma VO_2$  is defined as the area under the  $\dot{V}O_2$  curve within the first 20 s of exercise, shown in grey. Bsl=baseline; DB=deep breathing. **C:**  $\Sigma VO_2$  values in the control and DB conditions. Grey dots and lines are individual data, black symbols and line represent mean $\pm$ SD. N=8.