

Location and Curvature Estimation of Spherical Targets Using Multiple Sonar Time-of-Flight Measurements

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Abstract— A novel, flexible, three-dimensional multisensor sonar system is described to localize the center of a generalized spherical target and estimate its radius of curvature. Point, line, and planar targets are included as limiting cases which are important for the characterization of a mobile robot's environment. Sensitivity analysis of the curvature estimate with respect to measurement errors and some of the system parameters is provided. The analysis is verified experimentally for specularly reflecting cylindrical and planar targets. Typical accuracies in range and azimuth are 0.17 mm and 0.1°, respectively. Accuracy of the curvature estimate depends on the target type and system parameters such as transducer separation and operating range.

Index Terms— Cylinders, data acquisition, distance measurement, intelligent sensors, radius of curvature estimation, robot sensing systems, sonar measurements, sonar position measurement, spheres, time-of-flight measurement.

I. INTRODUCTION

IN this paper, we present a sonar system capable of estimating the radius of curvature and position of generalized spherical targets. Such systems have applications for intelligent systems, in particular for mobile robots. Although most of today's mobile robots operate in two-dimensional (2-D) environments, three-dimensional (3-D) target recognition and discrimination has potential significance for robots operating in 3-D environments such as airborne or underwater robots. Several researchers have investigated the limitations of sonar for 3-D target recognition and tracking. In [8], an analytical approach to surface curvature extraction is described which employs differential geometry. An acoustic imaging system which combines holography with neural networks for the recognition of 3-D objects is described in [21]. Peremans *et al.* [17] and Sabatini [19] have both investigated curved reflectors using linear sensor configurations. In [11], the minimum amount of information and actuation needed to track a ball in 3-D has been determined and implemented using qualitative methods only. Kleeman and Akbarally have classified and discriminated the target primitives commonly occurring in 3-D space [10]. Hong and Kleeman treat the classification and localization of 3-D room features using maximum likelihood

Manuscript received June 10, 1996; revised September 16, 1999. This work was supported by TÜBİTAK under Project EEEAG-92 and the British Council Academic Link Program.

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Publisher Item Identifier S 0018-9456(99)09592-3.

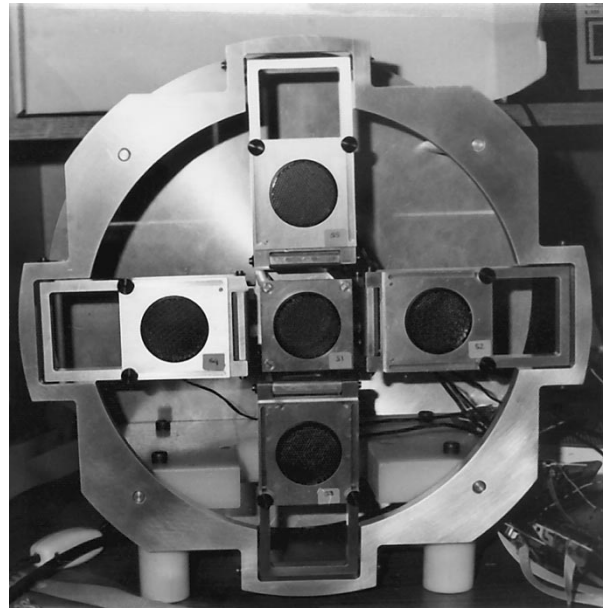


Fig. 1. Sensing system.

estimation and a low-sample rate equilateral triangular sonar configuration [9]. Kuc reports differentiation of O-rings and coins in 3-D using an adaptive sonar configuration [13], [14].

The aim of this paper is to estimate the unknown parameters of spherical targets in 3-D. In the next section, the sensing system is described. In Section III, the geometry of reflection from spherical targets is considered and analyzed. The important limiting cases of point and planar targets are highlighted. Sensitivity analysis of curvature estimation with respect to measurement errors and some system parameters is provided in Section IV. Experimental results which verify the analysis are presented in Section V.

II. SENSING DEVICE

The sensing device used in this investigation was precision constructed for 3-D sonar applications. The unit, illustrated in Fig. 1, consists of five Polaroid 6500 series acoustic transducers, each operating at a resonance frequency of $f_o = 49.4$ kHz [18]. A central transducer is flanked by four transducers symmetrically. The position of the central transducer is fixed but the separation d of each surrounding transducer from the center can be manually adjusted between 7.5 to 12.0 cm.

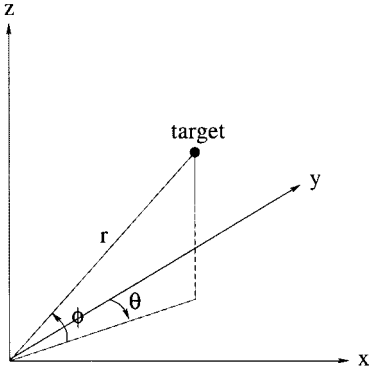


Fig. 2. Geometry of the coordinate system used, illustrating the target range r , azimuth θ , and elevation ϕ . The five transducers are on the x and z axes, one being at the origin and the others symmetrically located around it.

When operated in the pulse-echo mode [18], these devices offer complete flexibility in the firing sequence and timing at which the individual transducers can be fired. In the firing pattern used here, each transducer registers only the echo of the signal transmitted by itself. Assuming the target is stationary, the firing is done sequentially to avoid crosstalk between the transducers. This is geometrically simpler to analyze as compared to firing patterns where transducers simultaneously detect signals transmitted by each other. After each transmission, the detected waveform is recorded and a round-trip time-of-flight (TOF) reading is obtained by thresholding the echo signal as described in [2].

III. TARGET REFLECTION GEOMETRY

In the following analysis, a spherical target of radius R is assumed to be stationary at spherical coordinates (r, θ, ϕ) . The coordinate system used is illustrated in Fig. 2. Although this is a nonstandard spherical coordinate system, it is more convenient for explicitly representing the azimuth and elevation angles of the target, as conventionally done in sonar/radar applications.

A. Spherical Target With Radius R

According to the firing pattern described in Section II, the geometry of Figs. 2 and 3 indicates that the distance measurements at the transducers are

$$\begin{aligned} h_o &= \frac{ct_o}{2} = r - R \\ h_r &= \frac{ct_r}{2} = \sqrt{r^2 + d_r^2 - 2d_r r \cos \phi \sin \theta} - R \\ h_l &= \frac{ct_l}{2} = \sqrt{r^2 + d_l^2 + 2d_l r \cos \phi \sin \theta} - R \\ h_u &= \frac{ct_u}{2} = \sqrt{r^2 + d_u^2 - 2d_u r \sin \phi} - R \\ h_d &= \frac{ct_d}{2} = \sqrt{r^2 + d_d^2 + 2d_d r \sin \phi} - R \end{aligned} \quad (1)$$

where t_o , t_r , t_l , t_u , t_d are the TOF values obtained at the middle, right, left, up and down transducers respectively, and c is the speed of sound in air.¹ We will generally concentrate

¹ $c = 331.4 \sqrt{\frac{T}{273}}$ m/s, where T is the absolute temperature in Kelvin. At room temperature, $c = 343.3$ m/s.

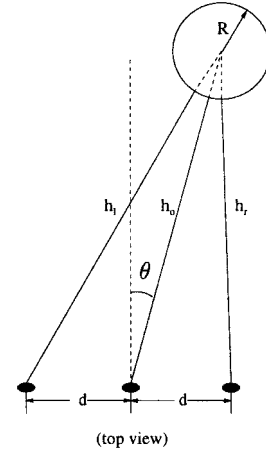


Fig. 3. Geometry of the target position for $d_r = d_l = d$.

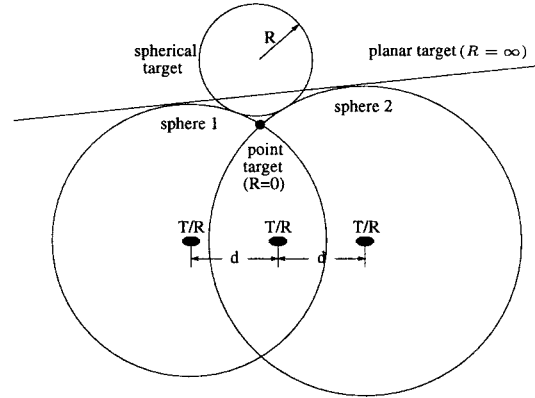


Fig. 4. Indeterminacy of curvature with only two measurements. The unknown target can have any curvature from zero to infinity. Here, $d_r = d_l = d$.

on the case $d_r = d_l = d_u = d_d = d$. However, distinguishing the four transducer separations will be important in the sensitivity analysis. Each measurement confines the possible target locations to a sphere centered at the corresponding transducer. At least three measurements are necessary to identify the curvature of the target both in 2-D and 3-D. This is illustrated in Figs. 4 and 5. Assuming $d_r = d_l = d_u = d_d = d$ is exactly known, from the previous equations, R can be solved and expressed in different forms

$$R = \frac{(h_r^2 + h_l^2) - 2(h_o^2 + d^2)}{4h_o - 2(h_r + h_l)} \quad (2)$$

$$= \frac{(h_u^2 + h_d^2) - 2(h_o^2 + d^2)}{4h_o - 2(h_u + h_d)} \quad (3)$$

$$= \frac{(h_u^2 + h_d^2) - (h_r^2 + h_l^2)}{2[(h_r + h_l) - (h_u + h_d)]}. \quad (4)$$

Note that (2) and (3) both require three measurements and the knowledge of d , whereas (4) involves four measurements, and not the value of d .

Although the above geometry involves five measurements to determine the four unknowns (r, θ, ϕ, R) of the 3-D curvature estimation and localization problem, it should be clear that, in principle, four measurements should be sufficient to determine

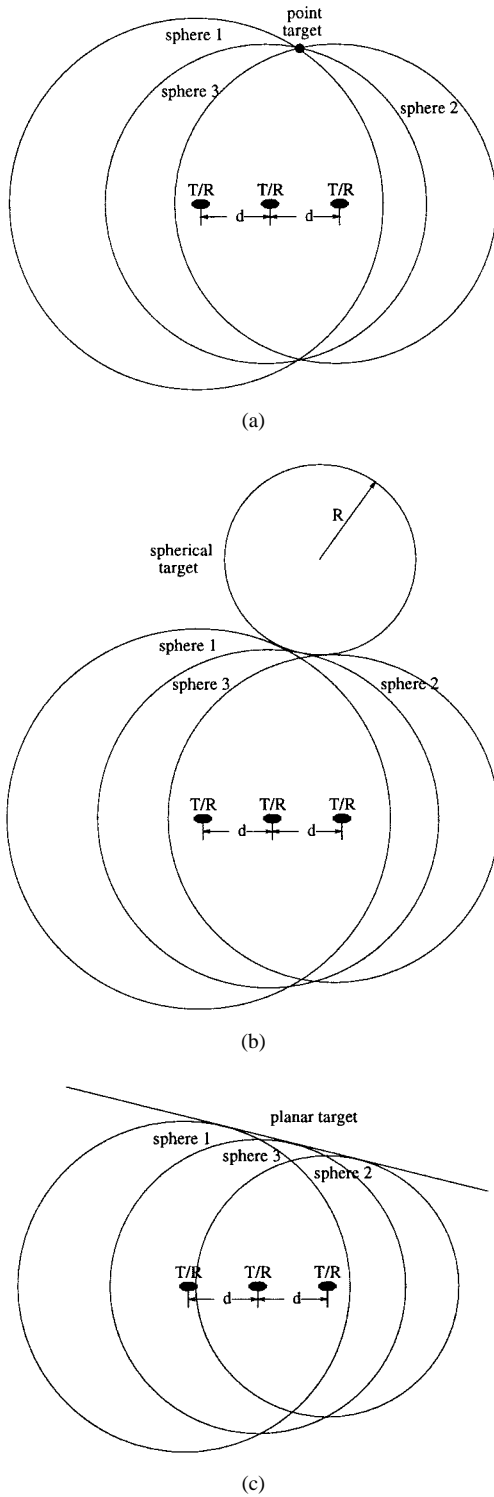


Fig. 5. Measurement geometry for (a) point, (b) spherical, and (c) planar targets for $d_r = d_l = d$. Three measurements uniquely identify the curvature of the unknown target both in 2-D and 3-D.

these four unknowns. (In 2-D, three measurements are sufficient to determine the three unknowns.) Since by equating any two of (2)–(4), one of the TOF measurements can be expressed in terms of the other four, one of the five TOF measurements is redundant. It is possible to find an algebraic solution in terms of only four measurements when the transducers are co-planar and symmetrically located at the corners of a square (see the

Appendix) [20]; however, this solution is quite complicated, computationally expensive to evaluate, and prone to rounding error. Addition of the (nominally redundant) fifth measurement leads to the following simpler solution which also exhibits a certain amount of robustness

$$r = h_o + R$$

$$\theta = \sin^{-1} \left[\frac{(h_l - h_r)(h_l + h_r + 2R)}{\sqrt{16d^2(h_o + R)^2 - (h_d - h_u)^2(h_d + h_u + 2R)^2}} \right]$$

$$\phi = \sin^{-1} \left[\frac{(h_d - h_u)(h_d + h_u + 2R)}{4d(h_o + R)} \right]. \quad (5)$$

B. Point Target: The Limit $R \rightarrow 0$

In the limit $R \rightarrow 0$, a point target is obtained. Point-target localization in 2-D has been considered in [3] and two methods of estimating the location have been presented using a linear array of transducers. The equations in 3-D derived above for finite R become simpler in the limit $R \rightarrow 0$:

$$r = h_o = \sqrt{\frac{h_r^2 + h_l^2}{2} - d^2} = \sqrt{\frac{h_u^2 + h_d^2}{2} - d^2}$$

$$\theta = \sin^{-1} \left[\frac{(h_l^2 - h_r^2)}{\sqrt{16d^2 h_o^2 - (h_d^2 - h_u^2)^2}} \right]$$

$$\phi = \sin^{-1} \left[\frac{(h_d^2 - h_u^2)}{4dh_o} \right]. \quad (6)$$

In this case, since the measured signal amplitude decreases as the target gets smaller (that is, as R decreases), the region in which the target can be detected is smaller. Characterizing the point-target response of a sensor is important not only for its application to point or edge-like targets, but also to assess its performance on extended targets. There are different approaches to model extended targets [1], [15], [17]. If the approach is one of hypothesis testing or one of parameterizing the extended target, then sensor performance may not be easily related to its point-target response. On the other hand, for extended targets of unknown shape with possible roughness, point-target analysis can be extremely useful [7].

C. Planar Target: The Limit $R \rightarrow \infty$

For the limiting case $R \rightarrow \infty$, the target becomes a plane. Both the distance to the center of the target and its radius of curvature become infinity. In this case, either the limits of the above equations can be taken, or more simply, the perpendicular distances of the transducers to the plane can be directly derived from the geometry as

$$\begin{aligned} h_r &= h_o - d \cos \phi \sin \theta \\ h_l &= h_o + d \cos \phi \sin \theta \\ h_u &= h_o - d \sin \phi \\ h_d &= h_o + d \sin \phi \end{aligned} \quad (7)$$

The solution is

$$\begin{aligned} r &= h_o + R = \infty \\ \theta &= \sin^{-1} \left[\frac{h_l - h_r}{\sqrt{4d^2 - (h_d - h_u)^2}} \right] \\ \phi &= \sin^{-1} \left[\frac{h_d - h_u}{2d} \right]. \end{aligned} \quad (8)$$

The distance to the surface of the plane is simply h_o and the shape of the planar patch that effectively contributes to the signal is approximately a disk of radius $r \tan \theta_o$.

IV. SENSITIVITY ANALYSIS

A. Sensitivity to TOF Measurement Errors

A sensitivity analysis has been performed to determine how much variation would result in the radius of curvature estimate R as a result of measurement errors and variation in certain system parameters. Since $h_r + h_l$ and $h_u + h_d$ are both close to $2h_o$, the denominators in (2)–(4) are very small, and the value of R computed from these expressions is extremely sensitive to errors in the TOF measurements. This is an intrinsic feature of triangulation with relatively closely-spaced detectors.

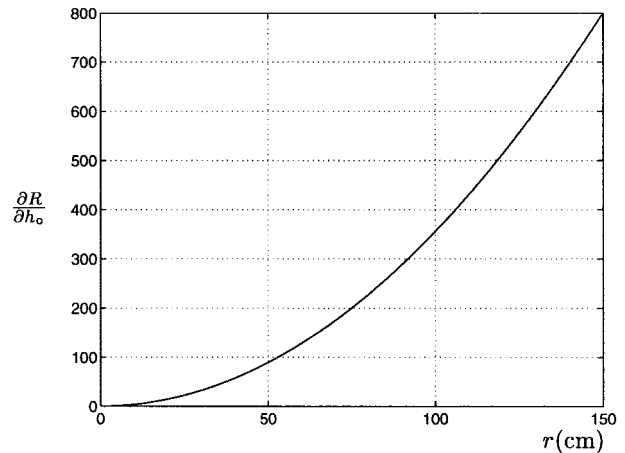
By evaluating the partial derivatives of (2) with respect to h_o , h_r and h_l , the total error due to TOF measurement errors can be found as

$$\Delta R = \frac{\partial R}{\partial h_o} \Delta h_o + \frac{\partial R}{\partial h_r} \Delta h_r + \frac{\partial R}{\partial h_l} \Delta h_l. \quad (9)$$

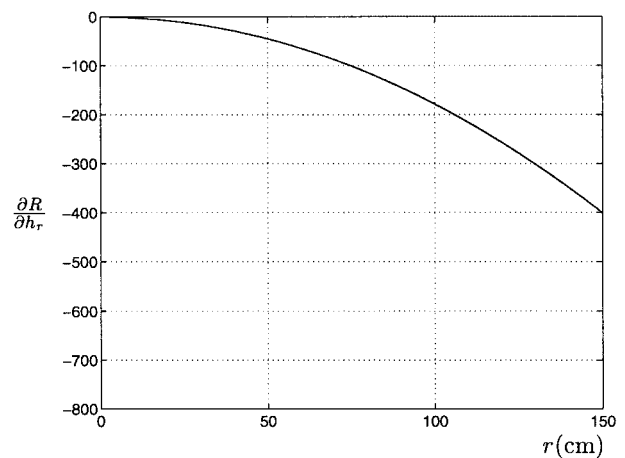
In Fig. 6(a), $\frac{\partial R}{\partial h_o}$ has been plotted for r between 0–1.5 m. A spherical target with radius 75 mm is assumed to be stationary at $\theta = \phi = 0^\circ$. Error sensitivity is greatest for smaller transducer separations as discussed below. Thus, to consider the worst case, we set the transducer separation to $d = 7.5$ cm which is the smallest possible separation in our system. For fixed transducer separation, error in radius of curvature increases nonlinearly with increasing r . The typical range-measurement-error standard deviation in the current system, limited by our A/D converter resolution, is approximately 0.17 mm. At a target range of $r = 50$ cm and radius $R = 75$ mm, an error of $\Delta h_o = 0.17$ mm on h_o corresponds to an error of $\Delta R = 15.4$ mm on R , which represents about a 20% error. At $r = 1.0$ m, the same error on h_o corresponds to $\Delta R = 61.2$ mm, representing 81% error. If d is increased to 15 cm, the error for $r = 1.0$ m is reduced to 20%, and for a further increase of d to 20 cm, the error would be reduced to 11%.

The fact that $\frac{\partial R}{\partial h_o}$ always takes positive values means that a measurement error Δh_o on h_o will cause an error ΔR on R , having the same sign as the measurement error. This can be explained as follows. Assuming that the target has convex curvature, increasing h_o while keeping h_r and h_l constant corresponds to an increase in the radius of curvature R (or a decrease in curvature $1/R$). Similarly, decreasing h_o while keeping h_r and h_l constant corresponds to a decrease in R . Since the value of $\frac{\partial R}{\partial h_o}$ increases with range, for a fixed Δh_o , ΔR will be larger further away from the measurement system.

Since the curvature equation is symmetric with respect to h_r and h_l , the sensitivity of curvature with respect to these two



(a)



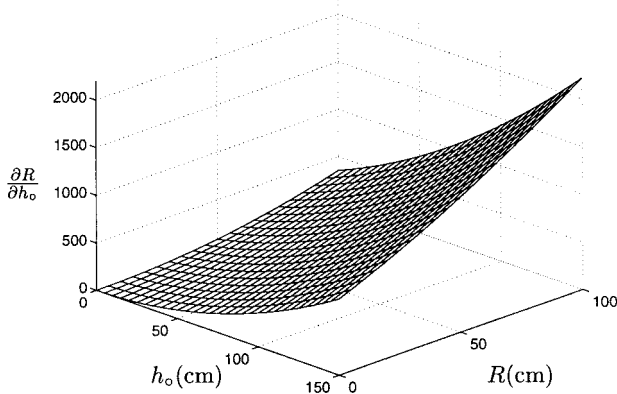
(b)

Fig. 6. Partial derivative of R with respect to distance measurements (a) h_o (b) h_r or h_l , as a function of r . For both parts, $R = 75$ mm, $d = 7.5$ cm, $\theta = \phi = 0^\circ$.

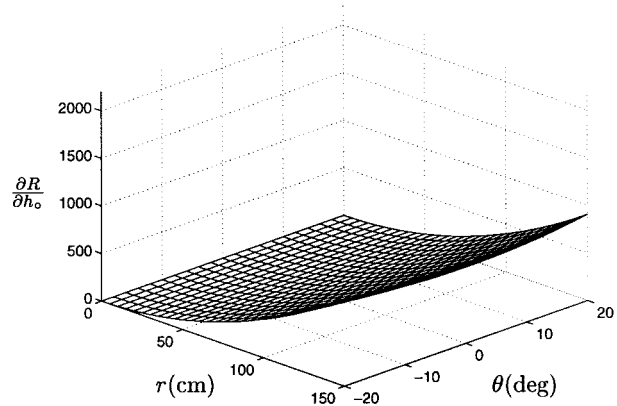
measurements will be the same. In other words, the second and third terms in (9) are identical. In Fig. 6(b), $\frac{\partial R}{\partial h_r}$ has been plotted for the same parameters used in generating Fig. 6(a). Note that, in this case, $\frac{\partial R}{\partial h_r}$ always takes negative values and that a measurement error Δh_r will cause an error ΔR , having the opposite sign as the measurement error. This again can be explained by the geometry of Fig. 3. A positive (negative) error on the right and left measurements, with h_o constant, causes a reduction (increase) in the radius of curvature.

Next, the sensitivity of curvature to measurement errors has been investigated for different curvature and azimuth values. In the first case, it is observed that for a fixed h_o , $\frac{\partial R}{\partial h_o}$ increases with the radius of curvature of the target, as illustrated in Fig. 7(a). In Fig. 7(b), θ is varied from -20° to 20° while $d = 7.5$ cm. It can be observed that the dependence of the sensitivity on $|\theta|$ is weak. Corresponding plots for $\frac{\partial R}{\partial h_r}$ are presented in Fig. 8(a) and (b). We observe that apart from a sign change, the general dependence of $\frac{\partial R}{\partial h_o}$ and $\frac{\partial R}{\partial h_r}$ on the various parameters are similar.

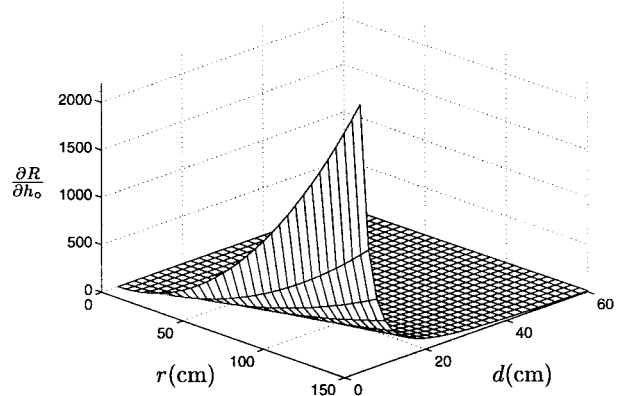
Fig. 7(c) illustrates the effect of varying d on the sensitivity of the radius of curvature estimate to measurement errors. For r between 0–1.5 m, $\frac{\partial R}{\partial h_o}$ has been plotted for transducer



(a)



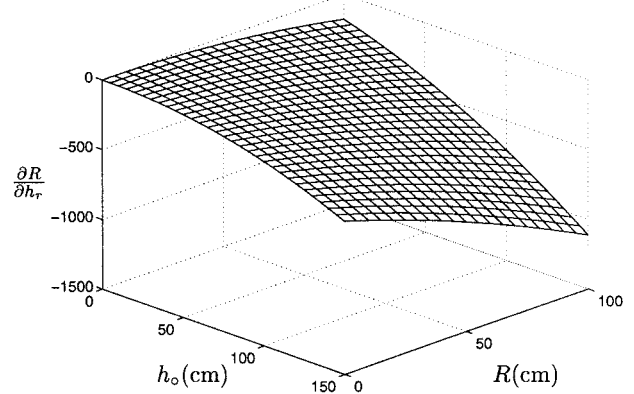
(b)



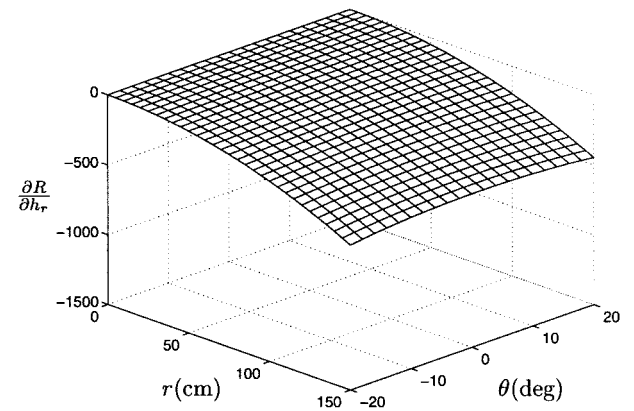
(c)

Fig. 7. Partial derivative of R with respect to h_o versus (a) R and h_o when $d = 7.5$ cm and $\theta = \phi = 0^\circ$, (b) θ and r when $d = 7.5$ cm, $R = 75$ mm, and $\phi = 0^\circ$, (c) d and r when $R = 75$ mm, $\theta = \phi = 0^\circ$.

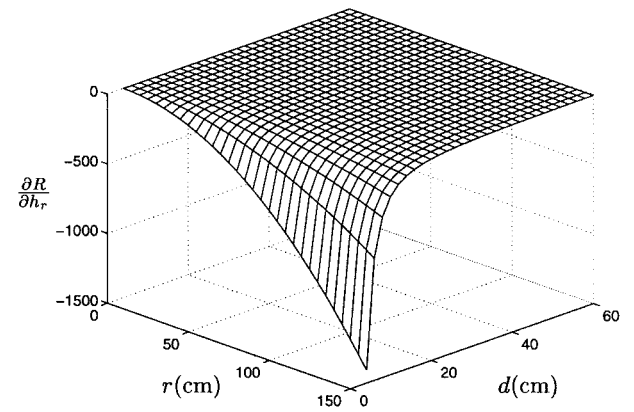
separations between 4.0–60 cm. Corresponding plot for $\frac{\partial R}{\partial h_r}$ (or equivalently $\frac{\partial R}{\partial h_l}$) is presented in Fig. 8(c). In both figures, it is observed that the ratio d/r is a significant parameter in the curvature estimation process. This ratio should be set as large as possible for better resolution. If d/r is not sufficiently large, the resolution provided by the differential TOF information between the central and flanking transducers will not be large enough to estimate the curvature reliably. This is verified by the experimental results in the next section. Hence, as the operating range increases, the transducer separation must



(a)



(b)



(c)

Fig. 8. Partial derivative of R with respect to h_r versus (a) R and h_o when $d = 7.5$ cm and $\theta = \phi = 0^\circ$, (b) θ and r when $d = 7.5$ cm, $R = 75$ mm, and $\phi = 0^\circ$, (c) d and r when $R = 75$ mm, $\theta = \phi = 0^\circ$.

be increased accordingly to maintain the same accuracy in curvature estimation. On the other hand, there is a practical limit to how large the ratio d/r can be set: The directional sensitivity patterns of the transducers are limited to a cone with half angle θ_o (in the current system, $\theta_o \cong 12^\circ$ [18]). If d/r is too large, the sensitivity patterns of the transducers will not overlap at the location of the target so that targets nearer than $h_{\min} = \frac{d-a}{\tan \theta_o} + \frac{a^2}{\lambda}$ will not be detected by the system [4] as illustrated in Fig. 9. (Here, a is the transducer aperture radius. For the current system with $a = 20$ mm and $\lambda = 6.95$ mm,

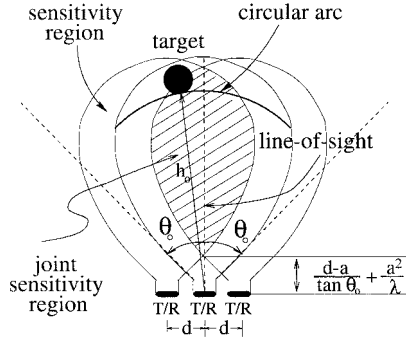


Fig. 9. Minimum distance at which a target is detectable by all three transducers is approximately $h_{\min} = \frac{d-a}{\tan \theta_0} + \frac{a^2}{\lambda}$ where $\theta_0 \simeq 12^\circ$. This corresponds to the distance between the central transducer and the start of the joint sensitivity region. The system cannot detect targets outside the hatched area.

$h_{\min} = 4.61d - 34.7$ in mm.) Thus, we conclude that a sensor system which is to operate over widely varying target ranges must have the capability of adapting the transducer separation. The information provided by Figs. 7(c), 8(c), and the formula for h_{\min} can be combined to formulate a rule for choosing a suitable transducer separation d for a given range r . Thus, we can envisage a two-step curvature estimation process: The range estimate obtained in the first step is used to adjust the transducer separation d , allowing a more accurate curvature estimate in the second step. For example, an initial setting of $d = 7.5$ cm for a target of radius $R = 75$ mm at $r = 1.0$ m would give 81% error when $\Delta h_0 = 0.17$ mm. Based on the above considerations, and applying a suitable tolerance, the initial estimate of r and R suggest that $d = 20$ cm would be a more suitable separation. With this value of d , the target surface would still be detectable by all transducers and the error would reduce to 11%. However, d cannot be increased much further since in this case the target would be too near to be detected by all transducers, as explained above.

Under certain circumstances, the above calculations may give a pessimistic account of the actual errors. Let us consider the hypothetical situation where all measurement errors are equal: $\Delta h_0 = \Delta h_r = \Delta h_l = \Delta h$. Then, (9) becomes

$$\Delta R = \left[\frac{\partial R}{\partial h_0} + \frac{\partial R}{\partial h_r} + \frac{\partial R}{\partial h_l} \right] \Delta h. \quad (10)$$

Evaluating the partial derivatives from (2) and summing them, we obtain the remarkably simple result:

$$\Delta R = -\Delta h. \quad (11)$$

Notice that whereas the partial derivatives themselves may have very large values, they add up to -1 . The same result is also obtained from (3) or (4) when partial derivatives of these equations with respect to the corresponding measurements are evaluated and summed up. (This result is geometrically evident upon noting that an equal deviation in all measurements to the surface corresponds to an exactly opposite change in the estimate of R .) Therefore, any systematic source of error affecting all measurements in the same way will have negligible contribution to ΔR , which will be dominated by the sources of error which affect the measurements independently. An

example of a source of systematic errors is the approximately constant bias error often encountered in the detection circuitry of TOF measurement systems [2]. In certain cases, partial rather than complete cancellation may still reduce the error considerably, as with errors caused by a change in the speed of sound c due to variations in the ambient conditions.

B. Sensitivity to Transducer Separation

It is also important to consider the effect of errors in setting (or measuring) the transducer separation d , on the radius of curvature estimation. The radius of curvature R can be found from (2), (3), or (4). Suppose the transducers are positioned along the x and z axes of the coordinate system as before, but their locations have been set incorrectly around some nominal value of d . Let $d_r = d + \Delta d_r$ and $d_l = d + \Delta d_l$ be the actual, erroneously set separations of the right and left transducers. Substituting these in the first three members of (1), solving for the radius of curvature, and comparing the result with the true value of R , we obtain

$$\Delta R = \frac{d(\Delta d_r + \Delta d_l) + x(\Delta d_l - \Delta d_r) + \frac{\Delta d_r^2 + \Delta d_l^2}{2}}{\frac{h_r + h_l}{2} - h_0} \quad (12)$$

where x is the x -coordinate of the target center. It will be more instructive to examine the deviation in R with respect to symmetric and antisymmetric components of Δd_r and Δd_l , given by

$$\begin{aligned} \Delta d_{rl}^{\text{sy}} &\triangleq \frac{\Delta d_r + \Delta d_l}{2} \\ \Delta d_{rl}^{\text{as}} &\triangleq \frac{\Delta d_r - \Delta d_l}{2} \end{aligned} \quad (13)$$

with

$$\begin{aligned} \Delta d_r &= \Delta d_{rl}^{\text{sy}} - \Delta d_{rl}^{\text{as}} \\ \Delta d_l &= \Delta d_{rl}^{\text{sy}} + \Delta d_{rl}^{\text{as}}. \end{aligned} \quad (14)$$

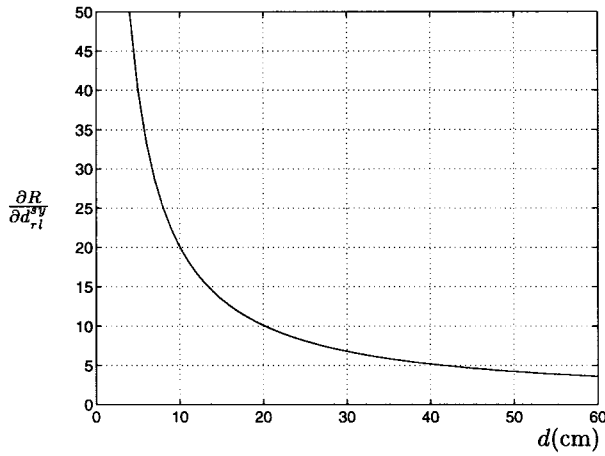
The symmetric error component $\Delta d_{rl}^{\text{sy}}$ corresponds to an error in the scaling of the array. On the other hand, the antisymmetric component $\Delta d_{rl}^{\text{as}}$ extends one arm and shortens the other by the same amount.

With these definitions, and neglecting second-order error terms, ΔR can be written in terms of $\Delta d_{rl}^{\text{sy}}$ and $\Delta d_{rl}^{\text{as}}$ as follows:

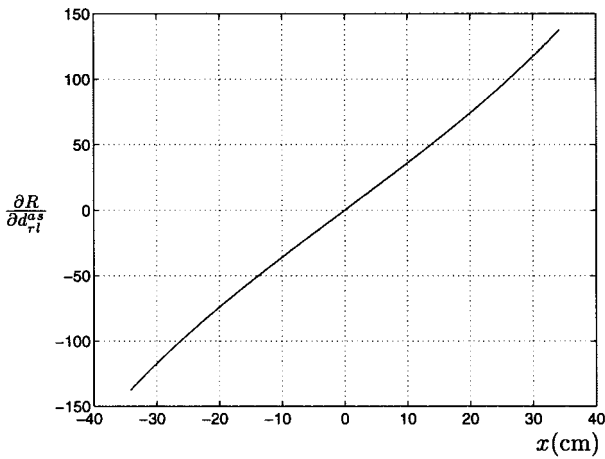
$$\Delta R \simeq \frac{d}{\frac{h_r + h_l}{2} - h_0} \Delta d_{rl}^{\text{sy}} + \frac{x}{\frac{h_r + h_l}{2} - h_0} \Delta d_{rl}^{\text{as}}. \quad (15)$$

The sensitivity of curvature to symmetric and antisymmetric error components in d has been investigated in Fig. 10 for $R = 75$ mm and $r = 1.0$ m. For the case of symmetrical errors, a plot of $\frac{d}{\frac{h_r + h_l}{2} - h_0} = \frac{\partial R}{\partial d_{rl}^{\text{sy}}}$ as a function of d is provided in Fig. 10(a). Similarly, for antisymmetric errors, $\frac{x}{\frac{h_r + h_l}{2} - h_0} = \frac{\partial R}{\partial d_{rl}^{\text{as}}}$ is plotted in Fig. 10(b) as a function of the x -coordinate of the target. The x -coordinate of the target is varied by keeping $r = 1.0$ m and varying θ between -20° and 20° . Notice that $\frac{\partial R}{\partial d_{rl}^{\text{sy}}}$ is a sharply decreasing function of d , whereas $\frac{\partial R}{\partial d_{rl}^{\text{as}}}$ increases roughly linearly with x .

The results in Fig. 10 have been extended to other parameters of interest in Figs. 11 and 12. Different curvature, azimuth,



(a)



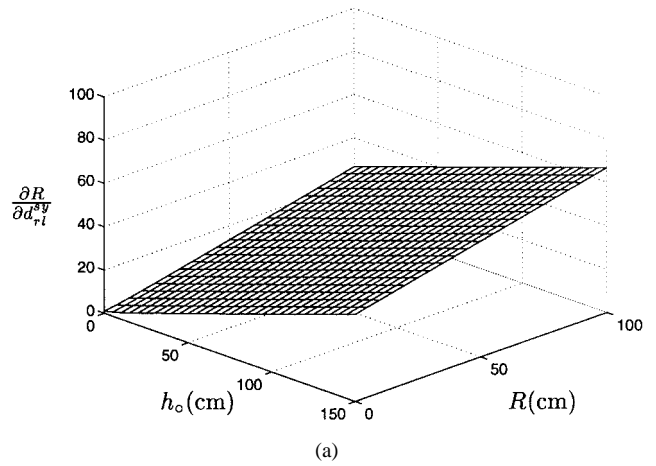
(b)

Fig. 10. Partial derivative of R with respect to d when the error in the separation of the right and left transducers is (a) symmetric, (b) antisymmetric. In part (a), $R = 75$ mm, $r = 1.0$ m, $\theta = \phi = 0^\circ$, and in (b) $R = 75$ mm, $r = 1.0$ m, $d = 7.5$ cm, and θ varies between -20° and 20° .

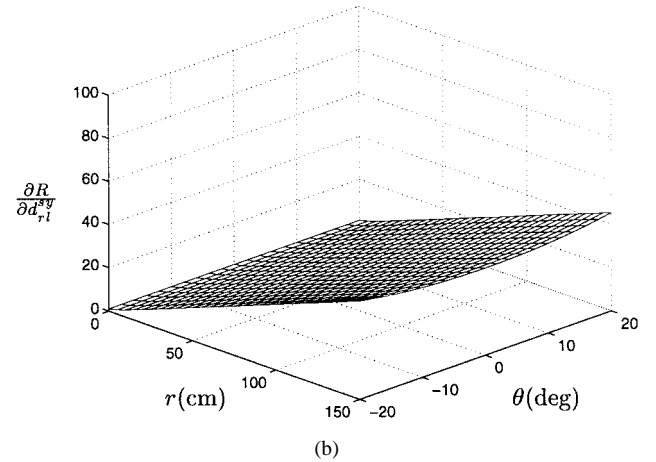
and range values have been considered. In Fig. 11(a), $\frac{\partial R}{\partial d^sym}$ has been plotted as a function of h_o and R . In part (b) of the same figure, r has been varied between 0–1.5 m while θ has been varied from -20° to 20° , with $d = 7.5$ cm. In Fig. 11(c), $\frac{\partial R}{\partial d^sym}$ has been plotted for d varying between 4–60 cm, and r between 0–1.5 m. Once again, we observe that the dependence on $|\theta|$ is weak and the sensitivity increases rapidly for smaller values of d/r , so that the strategy of choosing larger values of d for larger r remains viable and advantageous.

The corresponding plots for the sensitivity of R to the antisymmetric component of the errors on d , as indicated by $\frac{\partial R}{\partial d^as}$, are given in Fig. 12. Whereas (a) and (c) of Figs. 11 and 12 are similar in form, the greatest difference is observed in (b) due to the appearance of the x -coordinate in the antisymmetric case.

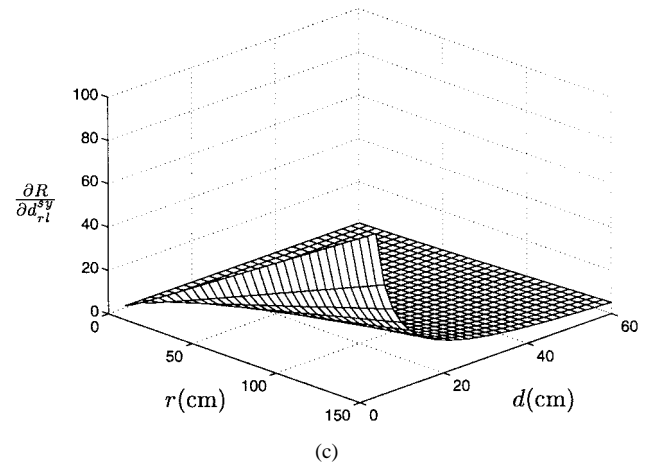
Now, we turn our attention to (4) and note that an estimate of R based on this equation does not depend on d being accurately set or measured. It is worth examining this point more closely: Recall that (4) was derived assuming that $d_r = d_l = d_u = d_d$. Thus, if the error affecting all four transducer separations is of the same amount, (4) will give



(a)



(b)



(c)

Fig. 11. Sensitivity of R to symmetric error components: (a) h_o and R when $d = 7.5$ cm, $\theta = \phi = 0^\circ$, (b) r and θ when $R = 75$ mm, $d = 7.5$ cm, $\phi = 0^\circ$, (c) r and d when $R = 75$ mm, $\theta = \phi = 0^\circ$.

an estimate of R independent of this error. To see what happens when errors are different for different branches, let $d_r = d + \Delta d_r$, $d_l = d + \Delta d_l$, $d_u = d + \Delta d_u$, and $d_d = d + \Delta d_d$ be the actual, erroneously set separations of the flanking transducers. Substituting these in the last four members of (1), solving for the radius of curvature and comparing the result with the true value of R , we obtain (16), shown at the bottom of the next page, where z is the z -coordinate of the target

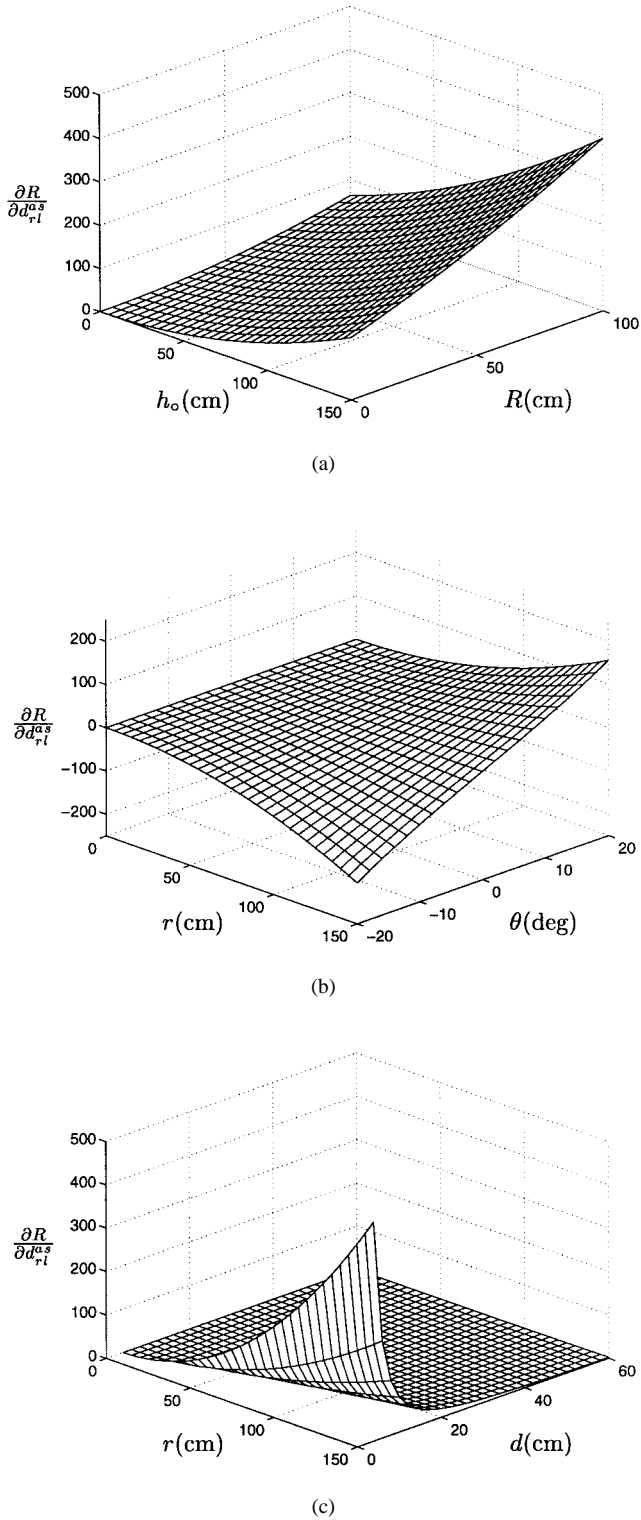


Fig. 12. Sensitivity of R to antisymmetric error components: (a) h_o and R when $d = 7.5$ cm, $\theta = 10^\circ$, $\phi = 0^\circ$, (b) r and θ when $R = 75$ mm, $d = 7.5$ cm, $\phi = 0^\circ$, (c) r and d when $R = 75$ mm, $\theta = 10^\circ$, $\phi = 0^\circ$.

center. Using similar conventions as in (14), and neglecting second-order error terms

$$\Delta R \cong \frac{-d(\Delta d_{rl}^{sy} - \Delta d_{ud}^{sy}) - x\Delta d_{rl}^{as} + z\Delta d_{ud}^{as}}{\frac{(h_u + h_d)}{2} - \frac{(h_r + h_l)}{2}}. \quad (17)$$

As expected, the contribution to ΔR from errors affecting all branches equally, completely cancels out. Thus, in situations where the major source of error is of this type, as for instance would be the case if the error was caused by the thermal expansion of the metal chassis carrying the transducers, our estimate of R will be robust to these errors. Note that this conclusion is not valid if we use (2) and (3) [see (15)]. Overall, because of the general tendency of at least some components of the errors to cancel out, we conclude that (4) is superior to (2) or (3).

As will also be confirmed experimentally in the next section, accuracy is much less of a problem with the localization parameters so that we do not present a sensitivity analysis for these parameters.

V. EXPERIMENTAL VERIFICATION

The analysis of the previous sections has been verified by real sonar data from cylindrical and planar targets using a 12-bit 1 MHz PC A/D card. Echo signals were processed on an IBM-PC 486. The experiments were conducted in 2-D to allow accurate calibration. Transducer separation was kept constant at $d = 7.5$ cm except in the results presented in Table III where $d = 12.0$ cm. Real distances were ascertained accurately by carrying out the whole set of experiments on large sheets of millimetric paper.

Each transducer was made to transmit and receive in sequence to avoid crosstalk and to benefit maximally from the high sampling rate of the A/D card. Starting at the transmit time, 10000 samples of each echo signal have been collected and thresholded. Since the amplitude noise standard deviation on the system is approximately 3.61 mV, the threshold level was set to 36 mV which corresponds to ten times the noise standard deviation and 1.5% of the maximum amplitude range of the A/D card. The targets employed in this study are: cylinders with radii 25 mm, 50 mm, 75 mm and a planar target. The cylindrical target with radius 25 mm is considered a good approximation to an edge type of target formed by the intersection of two planes [12]. (Like edges, thin cylinders are highly diffractive.)

Each target's surface distance h_o to the central transducer was varied between 30 to 150 cm at 10 cm intervals. At each distance, data was collected while the target was stationary at $\theta = 0^\circ$. For the same target position, 1000 sets of measurements were taken. Each set of measurements provides a single estimate of target radius of curvature, range and azimuth. The typical differential TOF between the central

$$\Delta R = \frac{-d[(\Delta d_r + \Delta d_l) - (\Delta d_u + \Delta d_d)] - x(\Delta d_l - \Delta d_r) + z(\Delta d_d + \Delta d_u) - \frac{(\Delta d_r^2 + \Delta d_l^2)}{2} - \frac{(\Delta d_u^2 + \Delta d_d^2)}{2}}{(h_u + h_d) - (h_r + h_l)} \quad (16)$$

TABLE I
EXPERIMENTAL RESULTS FOR A CYLINDER OF $R = 75$ mm When $d = 7.5$ cm

h_o (mm)	$E\{\hat{h}_o\}$ (mm)	$\sigma_{\hat{h}_o}$ (mm)	$E\{\hat{\theta}\}$ (deg)	$\sigma_{\hat{\theta}}$ (deg)	$E\{\hat{R}\}$ (mm)	$\sigma_{\hat{R}}$ (mm)
300	299.93	0.14	0.01	0.08	73.4	7.8
400	400.00	0.14	0.02	0.07	78.8	11.8
500	500.15	0.14	-0.04	0.07	75.1	17.1
600	600.02	0.14	-0.04	0.07	75.7	24.4
700	700.10	0.18	0.01	0.07	81.7	32.0
800	800.15	0.14	0.02	0.07	80.3	38.8
900	900.42	0.14	0.07	0.07	71.1	50.3
1000	1000.24	0.16	-0.02	0.07	76.3	60.2
1100	1100.23	0.14	-0.03	0.07	75.6	70.9
1200	1200.07	0.14	0.02	0.07	80.5	84.5
1300	1300.19	0.14	0.00	0.07	78.7	95.5
1400	1400.37	0.14	0.01	0.07	80.1	124.4
1500	1500.22	0.15	0.01	0.07	69.2	118.2

TABLE II
EXPERIMENTAL RESULTS FOR A CYLINDER OF $R = 50$ mm When $d = 7.5$ cm

h_o (mm)	$E\{\hat{h}_o\}$ (mm)	$\sigma_{\hat{h}_o}$ (mm)	$E\{\hat{\theta}\}$ (deg)	$\sigma_{\hat{\theta}}$ (deg)	$E\{\hat{R}\}$ (mm)	$\sigma_{\hat{R}}$ (mm)
300	300.00	0.14	-0.03	0.09	48.3	6.8
400	399.79	0.14	-0.02	0.07	51.1	10.1
500	500.05	0.16	-0.04	0.07	49.2	15.2
600	600.59	0.17	0.04	0.07	52.3	22.4
700	699.98	0.15	0.01	0.07	45.0	27.6
800	799.87	0.14	-0.03	0.07	44.6	35.0
900	900.40	0.15	0.05	0.07	50.6	45.4
1000	1000.39	0.14	0.03	0.07	59.9	57.4
1100	1100.17	0.15	-0.10	0.07	53.8	64.7
1200	1200.14	0.14	0.01	0.07	48.1	83.7
1300	1300.15	0.14	-0.05	0.07	63.7	91.4
1400	1400.63	0.15	-0.08	0.07	40.9	122.5
1500	1500.18	0.15	0.01	0.07	46.6	125.5

TABLE III
EXPERIMENTAL RESULTS FOR A CYLINDER OF $R = 50$ mm with $d = 12.0$ cm

h_o (mm)	$E\{\hat{h}_o\}$ (mm)	$\sigma_{\hat{h}_o}$ (mm)	$E\{\hat{\theta}\}$ (deg)	$\sigma_{\hat{\theta}}$ (deg)	$E\{\hat{R}\}$ (mm)	$\sigma_{\hat{R}}$ (mm)
300	-	-	-	-	-	-
400	-	-	-	-	-	-
500	499.75	0.14	-0.07	0.05	48.2	6.2
600	599.97	0.17	-0.08	0.05	49.5	9.0
700	700.10	0.15	0.00	0.05	47.2	11.4
800	799.93	0.15	0.02	0.05	48.6	15.2
900	900.20	0.16	0.00	0.05	52.2	19.1
1000	999.77	0.15	-0.02	0.05	46.8	22.5
1100	1100.43	0.16	-0.03	0.05	55.4	27.5
1200	1200.11	0.16	-0.03	0.05	45.1	31.7
1300	1300.34	0.15	-0.02	0.04	48.5	38.6
1400	1400.26	0.17	-0.02	0.05	47.5	43.5
1500	1500.37	0.14	-0.07	0.04	49.4	46.8

transducer and the right/left transducers varies between 0–10 mm depending on the target curvature and distance, for $d = 7.5$ cm. As the range of the target increases, the differential signal becomes less reliable to extract the curvature information.

The means and standard deviations of h_o , θ and R (or R^{-1}) of each type of target considered are computed and tabulated in Tables I–V. In all of the tables, results for h_o and R are tabulated individually instead of r itself, which is the sum of these two components. In Table III, results for $h_o = 300$ mm and $h_o = 400$ mm have not been presented since a very thin cylinder at $\theta = 0^\circ$ is not detectable by the right and left transducers at the transducer separation of $d = 12.0$ cm. For the same reason, results for $h_o = 300$ mm in Table IV are excluded when d was set equal to 7.5 cm. From the results, it can be observed that the h_o and θ estimates are quite accurate: For a stationary target, the typical standard deviation of the h_o measurement is 0.17 mm. The typical standard deviation of azimuth estimate is 0.08° . Error on h_o and θ are relatively constant as the distance of the target is varied between 30–150 cm. However, for the curvature, typical error is around 7–9 mm at 30 cm, but keeps increasing with range for a fixed transducer separation. This is due to the reduction in the d/r ratio which provides poorer resolution in estimating curvature. To estimate curvature of a cylindrical target reliably, it is necessary to increase the transducer separation as the range is increased as seen in Fig. 6(a). To illustrate the effect of transducer separation, results for the maximum allowed separation in our system ($d = 12.0$ cm) are included in Table III. Compared

TABLE IV
EXPERIMENTAL RESULTS FOR A CYLINDER OF $R = 25$ mm WHEN $d = 7.5$ cm

h_o (mm)	$E\{\hat{h}_o\}$ (mm)	$\sigma_{\hat{h}_o}$ (mm)	$E\{\hat{\theta}\}$ (deg)	$\sigma_{\hat{\theta}}$ (deg)	$E\{\hat{R}\}$ (mm)	$\sigma_{\hat{R}}$ (mm)
300	-	-	-	-	-	-
400	400.06	0.16	0.02	0.08	21.1	9.1
500	500.11	0.14	-0.03	0.07	23.6	14.0
600	599.99	0.15	0.04	0.07	28.6	20.1
700	699.99	0.15	-0.05	0.07	26.2	26.0
800	799.77	0.16	0.02	0.07	26.5	34.2
900	899.91	0.20	0.02	0.07	30.4	43.0
1000	999.44	0.24	0.03	0.08	29.9	57.5
1100	1100.18	0.17	0.02	0.07	28.5	64.3
1200	1200.44	0.16	-0.04	0.07	23.5	78.0
1300	1300.31	0.18	-0.04	0.07	23.7	91.5
1400	1400.01	0.15	0.00	0.07	23.5	98.6
1500	1499.39	0.25	0.03	0.08	23.0	139.2

to Table II where d was 7.5 cm, we observe that errors in the radius of curvature estimate are approximately reduced by 60%.

In the lower right corner of Table II, $E\{R\}$ is within 10% of the true value of R , but $\sigma_R \cong 2.5E\{R\}$. Since both $E\{R\}$ and σ_R were estimated over 1000 realizations, the error in the calculated value of $E\{R\}$ is expected to be $\frac{\sigma_r}{\sqrt{1000}}$. Therefore, we obtain $\frac{\sigma_r}{\sqrt{1000}} = \frac{2.5E\{R\}}{\sqrt{1000}} = 0.08E\{R\}$. This is indeed in agreement with the fact that $E\{R\}$ is obtained within 10% of its correct value. This case represents one of the worst considered in the experiments.

In Table V, results for a planar target ($R = \infty$) are illustrated. When a set of measurements indicates that R is indistinguishable from infinity (i.e., the denominator in (2) is experimentally indistinguishable from zero) the planar target formulas derived in Section III-C are used instead of (2) and (5). Since in this case the denominator of (2) is very small, it is preferable to deal with curvature R^{-1} rather than the radius of curvature R .

In Table VI, results for the cylinder with $R = 25$ mm are provided for $\theta = 0^\circ, 3^\circ, 5^\circ, 8^\circ$. It is observed that the accuracy of range and azimuth estimates do not change significantly as compared to the case when the target is along the line-of-sight ($\theta = 0^\circ$). For larger values of θ than considered in the table, it is not possible to estimate the curvature since the target will be outside the sensitivity pattern of either the right or the left transducer and there will not be a sufficient number of measurements.

TABLE V
EXPERIMENTAL RESULTS FOR A PLANAR TARGET OF $R = \infty$ WHEN $d = 7.5$ cm

h_o (mm)	$E\{\hat{h}_o\}$ (mm)	$\sigma_{\hat{h}_o}$ (mm)	$E\{\hat{\theta}\}$ (deg)	$\sigma_{\hat{\theta}}$ (deg)	$E\{\hat{R}^{-1}\}$ (mm ⁻¹)	$\sigma_{\hat{R}^{-1}}$ (mm ⁻¹)
300	299.88	0.14	-0.02	0.08	-9.1×10^{-5}	5.6×10^{-5}
400	399.94	0.14	0.01	0.08	2.3×10^{-5}	6.1×10^{-5}
500	500.09	0.15	-0.04	0.08	5.6×10^{-5}	6.4×10^{-5}
600	600.11	0.16	-0.01	0.07	1.5×10^{-5}	6.2×10^{-5}
700	699.98	0.16	-0.02	0.08	3.9×10^{-5}	6.5×10^{-5}
800	800.17	0.16	0.10	0.08	5.1×10^{-5}	6.5×10^{-5}
900	899.88	0.16	0.10	0.08	4.7×10^{-5}	6.5×10^{-5}
1000	1000.07	0.15	0.03	0.08	7.7×10^{-5}	7.3×10^{-5}
1100	1100.18	0.16	0.04	0.08	7.7×10^{-5}	7.2×10^{-5}
1200	1199.92	0.17	-0.04	0.08	9.2×10^{-5}	7.9×10^{-5}
1300	1300.00	0.18	-0.05	0.08	7.0×10^{-5}	7.5×10^{-5}
1400	1399.56	0.16	0.00	0.07	4.1×10^{-5}	6.9×10^{-5}
1500	1500.34	0.20	0.01	0.08	8.9×10^{-5}	7.7×10^{-5}

TABLE VI
EXPERIMENTAL RESULTS FOR A CYLINDER WITH
 $R = 25$ mm FOR VARYING θ WHEN $d = 7.5$ cm

θ (deg)	$E\{\hat{h}_o\}$ (mm)	$\sigma_{\hat{h}_o}$ (mm)	$E\{\hat{\theta}\}$ (deg)	$\sigma_{\hat{\theta}}$ (deg)	$E\{\hat{R}\}$ (mm)	$\sigma_{\hat{R}}$ (mm)
0	1000.26	0.16	-0.04	0.07	22.7	56.3
3	999.46	0.15	2.73	0.08	24.6	55.2
5	1000.67	0.15	4.98	0.07	25.6	53.5
8	1000.05	0.16	7.53	0.07	27.3	43.8

The returned signal intensity from a cylindrical target is $I_{\text{ret, cy}} \cong \frac{\sigma_{\text{cy}}}{2\pi r} I$, and from a sphere is $I_{\text{ret, sp}} \cong \frac{\sigma_{\text{sp}}}{4\pi r^2} I$, where $I = f(\theta, \phi)/r^2$ is the intensity of the incident wave, and σ_{cy} is the scattering cross section of the cylinder per unit length, whereas σ_{sp} is the scattering cross section of the sphere. For a rigid cylinder of radius R , geometrical (or ray) acoustics (i.e., the limit $kR \gg 1$) provides an approximate cross section of $4R$ per unit length of the cylinder, where $k = \frac{2\pi}{\lambda}$ is the acoustic wavenumber [16]. For the cylinders used in the experiments, kR ranges between 22 and 68 so that $kR \gg 1$ is valid. For a rigid sphere of radius R , the approximate scattering cross section is $2\pi R^2$ for $kR \gg 1$ [16]. Substituting in for the scattering cross sections, we obtain: $I_{\text{ret, cy}} = \frac{2R}{\pi r} I$ and $I_{\text{ret, sp}} = \frac{R^2}{2r^2} I$. For example, considering a sphere of radius

7.5 cm located at $r = 50$ cm, the measured signal amplitude ($\propto \sqrt{I_{\text{ret}}}$) from the sphere would be approximately a third of that from the cylinder. However, such a reduction in the signal amplitude does not result in a proportionate decrease in TOF measurement accuracy, as long as the target is within the sensitivity region and the amplitude remains above the threshold [5]. Thus, the main difference between a cylinder and a sphere of comparable radius is not accuracy but the size of the region in which they can be detected, which is smaller for the sphere.

VI. CONCLUSION

A sensing device capable of estimating the location and radius of curvature of spherical and cylindrical targets has been described. Two limiting cases are of special interest: the point (in 3-D) or line (in 2-D) target and the planar target. Sensitivity analysis of the curvature estimate with respect to various types of measurement errors and some system parameters is provided. Analytical results are verified by real sonar data from cylindrical and planar targets. Typical accuracies in range and azimuth are 0.17 mm and 0.1° respectively. Accuracy of the curvature estimate depends on the target type and system parameters such as transducer separation and operating range. For reliable curvature estimation, it is necessary to increase the transducer separation as the range is increased. The transducer separation in our system is relatively limited and not capable of real-time dynamic adaptation.

Current and future work will focus on improving the robustness of the radius of curvature estimation by using recursive digital filtering techniques. This will reduce the variance of the estimates and thus improve their reliability. More efficient firing techniques involving cross firing patterns will be considered to reduce the data acquisition time. In addition to TOF information, incorporation of amplitude information or the shape of the complete echo waveform in the current system will provide additional information about the location and curvature of the sonified target. Since the time this paper was submitted, an alternative approach based on morphological processing, that can handle surfaces with spatially varying curvature which may become both concave and convex, has also been developed [6].

APPENDIX

A solution using only four measurements (i.e., the last four equations in equation set 1) has been obtained by Mathematica

[20] in Cartesian coordinates as follows:

$$y = \frac{1}{2\sqrt{2}} \left(\left(-\frac{1}{d^2(h_u + h_d - h_r - h_l)^2} (2h_l^4 h_r^2 - 4h_l^3 h_r^3 + 2h_l^2 h_r^4 - 2h_l^4 h_r h_u + 2h_l^3 h_r^2 h_u + 2h_l^2 h_r^3 h_u - 2h_l h_r^4 h_u + h_l^4 h_u^2 + 2h_l^3 h_r h_u^2 - 4h_l^2 h_r^2 h_u^2 + 2h_l h_r^3 h_u^2 + h_r^4 h_u^2 - 2h_l^3 h_u^3 - 2h_r^3 h_u^3 + h_l^2 h_u^4 + h_r^2 h_u^4 + 8d^4 (h_d - h_l - h_r + h_u)^2 + h_d^4 (h_l^2 + h_r^2 - 2h_l h_u - 2h_r h_u + 2h_u^2) - 2h_d^3 (h_l^3 + h_r^3 - h_l^2 h_u - h_r^2 h_u - h_l h_u^2 - h_r h_u^2 + 2h_u^3) + h_d^2 (h_l^4 + 2h_l^3 h_r - 4h_l^2 h_r^2 + 2h_l h_r^3 + h_r^4) + 2h_d^2 h_r h_u + 2h_l h_r^2 h_u - 4h_l^2 h_u^2 - 4h_l h_r h_u^2 - 4h_r^2 h_u^2 + 2h_l h_u^3 + 2h_r h_u^3 + 2h_u^4) - 2h_d (h_l^4 h_r - h_l^3 h_r^2 - h_l^2 h_r^3 + h_l h_r^4 + 2h_l^2 h_r^2 h_u - h_l^2 h_r h_u^2 - h_l h_r^2 h_u^2 - h_l^2 h_u^3 - h_r^2 h_u^3 + h_l h_u^4 + h_r h_u^4) - 2d^2 (h_d^4 + h_l^4 + 2h_l^2 h_r^2 + h_r^4 - 2h_d^3 (h_l + h_r) - 2h_l^3 h_u - 2h_l^2 h_r h_u - 2h_l h_r^2 h_u - 2h_r^3 h_u + 2h_l^2 h_u^2 + 4h_l h_r h_u^2 + 2h_r^2 h_u^2 - 2h_l h_u^3 - 2h_r h_u^3 + h_u^4) + 2h_d^2 (h_l^2 + 2h_l h_r + h_r^2 - h_l h_u - h_r h_u + h_u^2) - 2h_d (h_l^3 + h_l^2 h_r + h_l h_r^2 + h_r^3 - 2h_l^2 h_u - 2h_r^2 h_u + h_l h_u^2 + h_r h_u^2) \right)^{1/2} \quad (18)$$

and in (19)–(21), shown at the bottom of the page.

ACKNOWLEDGMENT

The author would like to thank Dr. M. Siegel, Carnegie Mellon University, and Dr. V. Grinberg of Synopsys Corporation, Mountain View, CA, for their input and many useful discussions, and the anonymous reviewers for their comments and suggestions.

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$$x = \frac{(h_l - h_r)(h_d^2 + 2h_l h_r - h_d(h_l + h_r) - h_l h_u - h_r h_u + h_u^2)}{4d(h_r + h_l - h_u - h_d)} \quad (19)$$

$$z = \frac{h_d^2(h_l + h_r - 2h_u) - h_d(h_l^2 + h_r^2 - 2h_u^2) - h_u[-h_l^2 + h_l h_u + h_r(h_u - h_r)]}{4d(h_r + h_l - h_u - h_d)} \quad (20)$$

$$R = \frac{(h_u^2 + h_d^2) - (h_r^2 + h_l^2)}{2(h_r + h_l - h_u - h_d)}. \quad (21)$$

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