

# The invariants of modular indecomposable representations of $\mathbb{Z}_{p^2}$

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Received: 15 August 2007 / Revised: 23 November 2007 / Published online: 9 January 2008  
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**Abstract** We consider the invariant ring for an indecomposable representation of a cyclic group of order  $p^2$  over a field  $\mathbb{F}$  of characteristic  $p$ . We describe a set of  $\mathbb{F}$ -algebra generators of this ring of invariants, and thus derive an upper bound for the largest degree of an element in a minimal generating set for the ring of invariants. This bound, as a polynomial in  $p$ , is of degree two.

## 0 Introduction

Let  $\rho : G \hookrightarrow \mathrm{GL}(n, \mathbb{F})$  be a faithful representation of a finite group  $G$ . Denote by  $V = \mathbb{F}^n$  the  $n$ -dimensional vector space over  $\mathbb{F}$ . Then  $G$  acts via  $\rho$  on  $V$ , which in turn induces an action on the dual space  $V^*$ . This extends to the symmetric algebra  $S(V^*) = \mathbb{F}[V]$ . The algebra of invariant polynomials

$$\mathbb{F}[V]^G = \{f \in \mathbb{F}[V] \mid g(f) = f, \forall g \in G\} \subseteq \mathbb{F}[V]$$

is a graded connected commutative Noetherian subalgebra of  $\mathbb{F}[V]$ , see [11] for a general treatment of the subject. Let

$$\beta(\mathbb{F}[V]^G)$$

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denote the smallest integer  $d$  such that  $\mathbb{F}[V]^G$  is generated as an  $\mathbb{F}$ -algebra by homogeneous polynomials of degree at most  $d$ . In the nonmodular case, i.e.,  $|G| \in \mathbb{F}^\times$ , we have that

$$\beta(\mathbb{F}[V]^G) \leq |G|,$$

see [11, Theorem 2.3.3] and the references there. This bound does not remain valid in the modular case, i.e., when  $|G| \equiv 0 \in \mathbb{F}$ . Indeed, Richmann constructed modular representations  $V$  with arbitrarily large  $\beta(\mathbb{F}[V]^G)$ , see [12]. In other words, there cannot be a degree bound for  $\beta(\mathbb{F}[V]^G)$  that depends only on the group, see [10] for an overview in these matters.

In this paper we want to study rings of invariants of cyclic  $p$ -groups  $\mathbb{Z}_{p^r}$  of order  $p^r$  over a field  $\mathbb{F}$  of finite characteristic  $p$ . There are exactly  $p^r$  indecomposable  $\mathbb{Z}_{p^r}$ -modules, which we denote by  $V_1, V_2, \dots, V_{p^r}$ , see [1, Chap. II], where  $V_n$  has dimension  $n$  as a vector space over  $\mathbb{F}$ .

We note that Göbel’s bound gives, of course, a bound on the degrees of a generating set of  $\mathbb{F}[V_{p^r}]^{\mathbb{Z}_{p^r}}$  for any  $p$  and  $r$ , see [11, Corollary 3.4.4]. In this case we have

$$\beta(\mathbb{F}[V_{p^r}]^{\mathbb{Z}_{p^r}}) \leq \max \left\{ p^r, \binom{p^r}{2} \right\}.$$

This bound depends on the dimension of the representation which coincides in this case with the order of the group.

If  $r = 1$  and  $G = \mathbb{Z}_p$  is the cyclic group of prime order, then a general degree bound for a minimal generating set of the ring of invariants for any  $\mathbb{Z}_p$ -module  $V$  was given in [5]. This bound is sharp, as the case of the regular representation of  $\mathbb{Z}_3$  shows.

For the case  $r = 2$  much less is known: In [9] we find an explicit description of the ring of invariants  $\mathbb{F}[V_3]^{\mathbb{Z}_4}$ . This was generalized to  $\mathbb{F}[V_{p+1}]^{\mathbb{Z}_{p^2}}$  in [13]. Furthermore, in [8] we find an explicit description of the ring of invariants of the regular representation of  $\mathbb{Z}_4$ .

We want to extend this study and find an upper bound for  $\beta(\mathbb{F}[V_n]^{\mathbb{Z}_{p^2}})$  for any indecomposable  $\mathbb{Z}_{p^2}$ -module  $V_n$ . In Sect. 1 we derive an upper bound for the top degree of the coinvariant ring. In Sect. 2 we describe a set of  $\mathbb{F}$ -algebra generators for  $\mathbb{F}[V_n]^{\mathbb{Z}_{p^2}}$ . This description yields an upper bound for  $\beta(\mathbb{F}[V_n]^{\mathbb{Z}_{p^2}})$ . This bound transpires to be quadratic in  $p$ . We postpone some technical calculations to Sect. 3.

For the remainder of the paper, we assume that  $G \cong \mathbb{Z}_{p^2}$  and that  $H \cong \mathbb{Z}_p$  is the non-trivial subgroup.

We choose a basis  $x_1, \dots, x_n$  for the dual space  $V_n^*$  and write

$$\mathbb{F}[V_n] \cong \mathbb{F}[x_1, \dots, x_n].$$

Next, we choose a generator  $\sigma$  for the group  $G$ . Then

$$\sigma x_i = \begin{cases} x_1 & \text{for } i = 1, \text{ and} \\ x_i + x_{i-1} & \text{for } 2 \leq i \leq n. \end{cases}$$

Set  $\Delta = \sigma - 1$ . Then we have

$$\Delta(x_i) = \begin{cases} 0 & \text{for } i = 1, \text{ and} \\ x_{i-1} & \text{for } 2 \leq i \leq n. \end{cases}$$

The various transfer maps involved are given by the following formulae

$$\text{Tr}^G = \sum_{i=0}^{p^2-1} \sigma^i, \quad \text{Tr}^H = \sum_{i=0}^{p-1} \sigma^{ip}, \quad \text{and} \quad \text{Tr}_H^G = \sum_{i=0}^{p-1} \sigma^i.$$

We use the graded reverse lexicographic order with  $x_i > x_{i-1}$  for  $i = 2, \dots, n$ .

### 1 An upper bound for $\beta(\mathbb{F}[V]_G)$

Since  $G$  is a finite group, the extension  $\mathbb{F}[V]^G \hookrightarrow \mathbb{F}[V]$  is finite. Denote by  $\overline{(\mathbb{F}[V]^G)} \subseteq \mathbb{F}[V]$  the Hilbert ideal, i.e., the ideal generated by the invariants of positive degree. Then the coinvariants

$$\mathbb{F}[V]_G = \mathbb{F}[V]/\overline{(\mathbb{F}[V]^G)}$$

form a finite-dimensional vector space over  $\mathbb{F}$ . Thus its Hilbert series is a polynomial. In this section we want to derive an upper bound on its degree.

Note that the Hilbert series of the Hilbert ideal  $\overline{(\mathbb{F}[V]^G)} \subseteq \mathbb{F}[V]$  coincides with the Hilbert series of the ideal  $I$  of leading terms of  $\overline{(\mathbb{F}[V]^G)}$ , see [2, Theorem 15.26]. Thus it suffices to find an upper degree bound for  $\mathbb{F}[V]/I$ .

If  $n \leq p$  then  $V_n$  is an indecomposable  $G/H \cong \mathbb{Z}_p$ -module and thus  $\mathbb{F}[V_n]^G \cong \mathbb{F}[V_n]^{G/H}$ . Therefore we restrict our attention to the case  $n > p$  in what follows.

We need two somewhat technical constructions:

Let  $r$  be a positive integer with  $\max\{n - 2p, 1\} \leq r \leq n - p$ . Set  $d = \max\{1, r - p + 1\}$ . Then choose a monomial  $\mathbf{m} \in \mathbb{F}[d_d, \dots, x_r]$  of degree  $2p - 2$ . We write

$$\mathbf{m} = u_1 u_2 \cdots u_{2p-2}$$

for suitable  $u_i \in \{x_d, \dots, x_r\}$ . Without loss of generality we assume that the  $u_i$ 's are numbered such that

$$u_1 \leq u_2 \leq \cdots \leq u_{2p-2}.$$

Thus  $x_d \leq u_1 \leq \cdots \leq u_{2p-2} \leq x_r \leq x_{n-p}$ . Therefore, for  $u_i = x_j$ , there exist  $x_{j+1}$  and  $x_{j+p}$  which satisfy

$$\Delta(x_{j+1}) = x_j = u_i \quad \text{and} \quad \Delta^p(x_{j+p}) = x_j = u_i.$$

Hence we can define  $w_{i,0} \in \{x_{d+1}, \dots, x_n\}$  by

$$u_i = \begin{cases} \Delta(w_{i,0}) & \text{if } 1 \leq i \leq p - 1, \text{ and} \\ \Delta^p(w_{i,0}) & \text{if } p \leq i \leq 2p - 2, \end{cases}$$

and set

$$w_{i,j} = \Delta^j(w_{i,0}) \quad 1 \leq i \leq 2p - 2, \quad j \in \mathbb{N}_0.$$

For a  $2p - 2$ -tuple  $\alpha = [\alpha(1), \alpha(2), \dots, \alpha(2p - 2)] \in \mathbb{N}^{2p-2}$  of natural numbers we define

$$w_\alpha = \prod_{i=1}^{2p-2} w_{i,\alpha(i)}.$$

Thus we can write

$$\mathbf{m} = u_1 u_2 \cdots u_{2p-2} = \prod_{i=1}^{p-1} w_{i,1} \prod_{i=p}^{2p-2} w_{i,p} = w_{\alpha'},$$

where  $\alpha'(i) = 1$  if  $1 \leq i \leq p - 1$  and  $\alpha'(i) = p$  if  $p \leq i \leq 2p - 2$ .

Let  $S \subseteq \{1, 2, \dots, 2p - 2\}$  be a subset and set

$$X_S = \prod_{i \in S} w_{i,0}.$$

We consider the following polynomial

$$T_1(\mathbf{m}) = \sum_{S \subseteq \{1, \dots, 2p-2\}} (-1)^{|S|} X_{S'} \text{Tr}^G(X_S),$$

where  $S'$  denotes the complement of  $S$  in  $\{1, 2, \dots, 2p - 2\}$ .

**Proposition 1** *The leading term of  $T_1(\mathbf{m})$  is  $\mathbf{m}$ .*

*Proof* The proof of this result is postponed to Sect. 3. □

The polynomials  $T_1(\mathbf{m})$  are by construction in the Hilbert ideal  $(\overline{\mathbb{F}[V]^G}) \subseteq \mathbb{F}[V]$ . Thus the preceding result tells us that any monomial divisible by some  $\mathbf{m}$  is in the ideal  $I$  of leading terms of the Hilbert ideal.

We need another, similar, construction. Since  $n > p$ , the  $G$ -module  $V_n^*$  decomposes into a direct sum of  $p$  indecomposable  $H$ -modules:

$$V_n^* = V_{n,1}^* \oplus \cdots \oplus V_{n,p}^*.$$

Moreover,  $V_{n,i}^*$  is generated as a  $H$ -module by  $x_i$  for  $i = n - p + 1, \dots, n$ .

For each  $i = n - p + 1, \dots, n$ , we define the  $H$ -norms

$$N_i^H = \prod_{\sigma \in H} \sigma x_i.$$

Note that every  $N_i^H$  has degree  $p$  and coincides with the respective top orbit Chern classes if  $i \geq p$ .

Choose a monomial

$$\mathbf{M} = \prod_{1 \leq j \leq p-1} N_{i_j}^H \in \mathbb{F}[N_d^H, \dots, N_{n-1}^H]$$

of degree  $p - 1$  as a polynomial in these norms. For  $1 \leq j \leq p - 1$  define  $W_j = N_{i_j+1}^H$ . Let  $S \subseteq \{1, \dots, p - 1\}$  be a subset and  $S'$  its complement. Then similarly to the construction of  $T_1(\mathbf{m})$  we set  $X_S = \prod_{j \in S} W_j$ , and obtain a polynomial  $T_2(\mathbf{M})$  as follows

$$T_2(\mathbf{M}) = \sum_{S \subseteq \{1, \dots, p-1\}} (-1)^{|S|} X_{S'} \text{Tr}_H^G(X_S).$$

**Proposition 2** *The leading monomial of  $T_2(\mathbf{M})$  is the leading monomial of  $\mathbf{M}$ .*

*Proof* The proof of this result is postponed to Sect. 3. □

As for  $T_1(\mathbf{m})$  the polynomials  $T_2(\mathbf{M})$  lie in the Hilbert ideal associated to  $\mathbb{F}[V]^G$ . Thus the preceding result shows that any monomial divisible by the leading term of some  $\mathbf{M}$  is contained in the ideal  $I$  of leading terms of the Hilbert ideal.

This enables us to prove the desired result:

**Theorem 3** *Let  $n = tp + r > p$ , where  $1 \leq t \leq p$  and  $0 \leq r < p$  are integers. Then the top degree of  $\mathbb{F}[V_n]_G$  is bounded above by  $3p^2 + (2t - 4)p - 3t$ .*

*Proof* The Hilbert series of the Hilbert ideal  $(\overline{\mathbb{F}[V]^G}) \subseteq \mathbb{F}[V]$  coincides with the Hilbert series of the ideal,  $I$ , of leading terms of  $(\overline{\mathbb{F}[V]^G})$ . Thus in order to find a bound on the degrees of the coinvariants it suffices to find a degree bound for  $\mathbb{F}[V]/I$ .

To that end, let  $m_1 m_2 x_n^l$  be a monomial that is not in the lead term ideal of the Hilbert ideal. Without loss of generality we assume that  $m_1 \in \mathbb{F}[x_1, \dots, x_{n-p}]$  and  $m_2 \in \mathbb{F}[x_{n-p+1}, \dots, x_{n-1}]$ .

Let  $\max\{n - 2p, 1\} \leq r \leq n - p$  and  $\mathbf{m}$  a monomial of degree  $2p - 2$  in  $\mathbb{F}[x_d, \dots, x_r]$ , where  $d = \max\{1, r - p + 1\}$ . Then Proposition 1 shows that  $\mathbf{m}$  appears as leading term of some  $T_1(\mathbf{m})$ . Since  $T_1(\mathbf{m})$  is contained in the Hilbert ideal it follows that the degree of  $m_1$  is at most  $t(2p - 3)$ .

Similarly, the polynomials  $T_2(\mathbf{M})$  are in the Hilbert ideal and thus by Proposition 2,  $m_2$  is not divisible by the lead term of a product of  $p - 1$  norms  $N_i^H$ , where  $d \leq i \leq n - 1$ . Therefore the degree of  $m_2$  is at most  $(p - 2)p + (p - 1)^2$ .

Finally  $x_n^{p^2}$  is the leading term of the norm  $N_n^G = \prod_{\sigma \in G} \sigma x_n$ . Therefore  $l \leq p^2 - 1$ . Hence

$$\deg(m_1 m_2 x_n^l) \leq t(2p - 3) + (p - 2)p + (p - 1)^2 + p^2 - 1 = 3p^2 + (2t - 4)p - 3t$$

as claimed. □

**Corollary 4** *Let  $n > p$ . Then the image of the transfer  $\text{Im}(\text{Tr}^G) \subseteq \mathbb{F}[V]^G$  is generated by forms of degree at most  $3p^2 + (2t - 4)p - 3t$ .*

*Proof* We write the ring of polynomials as a module over the ring of invariants as follows

$$\mathbb{F}[V] = \sum_{\text{finite}} \mathbb{F}[V]^G h_i.$$

We note that by construction the  $h_i$ 's form a basis of  $\mathbb{F}[V]_G$ . Since  $|G| = p^2 \equiv 0 \pmod p$ , we have that  $\text{Tr}^G(\mathbb{F}[V]^G) = 0$ . Thus the image of the transfer is generated by the  $\text{Tr}^G(h_i)$ 's, and the result follows from Theorem 3. □

## 2 Generators for rings of invariants

We apply the results found in the previous section to rings of invariants. We start with an explicit calculation for the regular representation.

*Example 5* Consider the regular representation of  $\mathbb{Z}_{p^2}$ . Its ring of invariants is generated by forms of degree at most  $5p^2 - 7p$ . This can be seen as follows:

By Theorem 3.3 in [4],  $\mathbb{F}[V_{p^2}]^G / \text{ImTr}^G \simeq \mathbb{F}[V_p]^H$ , where the isomorphism scales the degrees by  $\frac{1}{p}$ . It is shown in [5] that  $\mathbb{F}[V_p]^H$  is generated by invariants of degree  $2p - 3$ . Hence  $\mathbb{F}[V_{p^2}]^G / \text{ImTr}^G$  is generated by classes of degree at most  $(2p - 3)p$ . On the other hand, Corollary 4 tells us that  $\text{Im}(\text{Tr}^G)$  is generated by invariants of degree at most  $5p^2 - 7p$ . Hence

$$\beta(\mathbb{F}[V_{p^2}]^G) \leq \max\{(2p - 3)p, 5p^2 - 7p\} = 5p^2 - 7p$$

as claimed.

We proceed to the general case. As in Sect. 1, let  $n > p$  and

$$V_n^* = V_{n-p+1}^* \oplus \cdots \oplus V_n^*$$

be an  $H$ -module decomposition. For  $i \in \{n - p + 1, \dots, n\}$  we have that  $x_i$  generates  $V_{n_i}^*$  as  $H$ -module.

**Lemma 6** *The image of the relative transfer,  $\text{ImTr}_H^G$ , is generated by  $\text{ImTr}^G$  and  $G$ -invariants of degree at most  $3p^2 - 3p$ .*

*Proof* Let  $f \in \mathbb{F}[V_n]^H$ . By Lemma 2.12 in [7] the ring  $\mathbb{F}[V_n]^H$  is generated as a module over  $\mathbb{F}[\mathbf{N}_d^H, \dots, \mathbf{N}_n^H]$  by invariants of degree at most  $p^2 - n$  and the image of the transfer  $\text{Tr}^H$ . Thus  $f$  can be written as

$$f = \sum p_i (\mathbf{N}_d^H, \dots, \mathbf{N}_n^H) b_i + \sum q_j (\mathbf{N}_d^H, \dots, \mathbf{N}_n^H) \text{Tr}^H(g_j)$$

for some polynomials  $p_i, q_j \in \mathbb{F}[\mathbf{N}_d^H, \dots, \mathbf{N}_n^H]$ ,  $H$ -invariants  $b_i$  of degree at most  $p^2 - n$  and suitable  $g_j \in \mathbb{F}[V_n]$ . Since

$$\sum q_j (\mathbf{N}_d^H, \dots, \mathbf{N}_n^H) \text{Tr}^H(g_j) = \text{Tr}^H \left( \sum q_j (\mathbf{N}_d^H, \dots, \mathbf{N}_n^H) g_j \right)$$

we find that

$$\text{Tr}_H^G \left( \sum q_j (\mathbf{N}_d^H, \dots, \mathbf{N}_n^H) \text{Tr}^H(g_j) \right) = \text{Tr}^G \left( \sum q_j (\mathbf{N}_d^H, \dots, \mathbf{N}_n^H) g_j \right)$$

is in the image of the transfer  $\text{Tr}^G$ . Thus we need to take care of the first summand and assume without loss of generality that

$$f = \sum p_i (\mathbf{N}_d^H, \dots, \mathbf{N}_n^H) b_i. \tag{\circ}$$

We sort  $(\circ)$  by monomials in the norms and obtain

$$f = \sum_J b_J \mathbf{N}_J^H,$$

where  $b_J$  is a sum of suitable  $b_i$ 's and thus is still an  $H$ -invariant of degree at most  $p^2 - n$ .

We claim that the degree of  $\mathbf{N}_J^H$  as a polynomial in  $\mathbf{N}_n^H$  is at most  $p - 1$ . Otherwise set  $U = (\mathbf{N}_n^H)^p$ . Then

$$\text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} \mathbf{N}_n^G \right) = \mathbf{N}_n^G \text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} \right)$$

can be written in terms of  $G$ -invariants of strictly smaller degree. On the other hand  $\text{LM} (b_J \mathbf{N}_J^H - \frac{b_J \mathbf{N}_J^H}{U} \mathbf{N}_n^G) < \text{LM} (b_J \mathbf{N}_J^H)$ . Therefore

$$\text{Tr}_H^G (b_J \mathbf{N}_J^H) = \text{Tr}_H^G \left( b_J \mathbf{N}_J^H - \frac{b_J \mathbf{N}_J^H}{U} \mathbf{N}_n^G \right) + \text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} \mathbf{N}_n^G \right)$$

yields that  $\text{Tr}_H^G (b_J \mathbf{N}_J^H)$  can be eliminated from a generating set for  $\text{ImTr}_H^G$ .

Similarly, we claim that the degree of the  $b_J \mathbf{N}_J^H$ 's as a monomial in  $\{\mathbf{N}_i^H \mid i = d, \dots, n - 1\}$  is strictly less than  $p - 1$ . Assume the contrary and let  $U_j \in \{\mathbf{N}_i^H \mid i = d, \dots, n - 1\}$  for  $1 \leq j \leq p - 1$ . Set  $U = \prod_{1 \leq j \leq p-1} U_j$ . Then we have

$$\begin{aligned} \text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} \mathsf{T}_2(U_1 \cdots U_{p-1}) \right) &= \text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} \sum_{S \subseteq \{1, \dots, p-1\}} (-1)^{|S|} X_{S'} \text{Tr}_H^G(X_S) \right) \\ &= \sum_{S \subseteq \{1, \dots, p-1\}} \text{Tr}_H^G(X_S) \text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} (-1)^{|S|} X_{S'} \right). \end{aligned}$$

Hence,  $\text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} \mathsf{T}_2(U_1 \cdots U_{p-1}) \right)$  can be written in terms of  $G$ -invariants of smaller degree. By Proposition 2 we have that  $\text{LM} \left( b_J \mathbf{N}_J^H - \frac{b_J \mathbf{N}_J^H}{U} \mathsf{T}_2(U_1 \cdots U_{p-1}) \right) < \text{LM} \left( b_J \mathbf{N}_J^H \right)$ . Therefore the equation

$$\begin{aligned} \text{Tr}_H^G(b_J \mathbf{N}_J^H) &= \text{Tr}_H^G \left( b_J \mathbf{N}_J^H - \frac{b_J \mathbf{N}_J^H}{U} \mathsf{T}_2(U_1 \cdots U_{p-1}) \right) \\ &\quad + \text{Tr}_H^G \left( \frac{b_J \mathbf{N}_J^H}{U} \mathsf{T}_2(U_1 \cdots U_{p-1}) \right) \end{aligned}$$

yields that  $\text{Tr}_H^G(b_J \mathbf{N}_J^H)$  can be eliminated from a generating set for  $\text{ImTr}_H^G$ .

Thus, for any multi-index  $J$ , the degree (in the  $x$ 's) of  $b_J \mathbf{N}_J^H$  is bounded above by

$$p^2 - n + (p - 2)p + p(p - 1) = 3p^2 - 3p - n < 3p^2 - 3p$$

as claimed. □

**Theorem 7** *Let  $V_n$  be an indecomposable  $G$ -module. Let  $n = tp + r > p$ , where  $1 \leq t \leq p$  and  $0 \leq r < p$  are integers. Then*

$$\beta(V_n) \leq \max\{3p^2 + (2t - 4)p - 3t, 3p^2 - 3p\}.$$

*Proof* By the periodicity result of Theorem 1.2 in [14],  $\mathbb{F}[V_n]$  is modulo the  $\mathbb{F}H$ -projective submodules generated by  $\mathbf{N}_n^G = \prod_{\sigma \in G} \sigma x_n$  and invariants of degree less than  $p^2$ . Thus  $\mathbb{F}[V_n]^G$  is generated by the  $G$ -norm  $\mathbf{N}_n^G$ , invariants of degree less than  $p^2$  and image  $\text{ImTr}_H^G$  of the relative transfer, since the fixed points of projective modules are in the image of the relative transfer.

By the previous lemma  $\text{ImTr}_H^G$  is generated by invariants of degree at most  $3p^2 - 3p$  together with  $\text{ImTr}^G$ . Therefore it follows from Corollary 4 that

$$\beta(V_n) \leq \max\{3p^2 + (2t - 4)p - 3t, 3p^2 - 3p\},$$

as desired. □



*Remark 8* We note that for  $n \leq p$  the representation

$$\rho : G \longrightarrow \text{GL}(n, \mathbb{F})$$

has kernel  $\mathbb{Z}_p$ . Thus  $\mathbb{F}[V]^G \cong \mathbb{F}[V]^H$ . Hence this ring of invariants is generated by forms of degree at most  $2p - 3$  by [5].

*Remark 9* Furthermore, if  $n = p + 1$  we find in [13] an explicit generating set of the ring of invariants and we read off

$$\beta(\mathbb{F}[V_{p+1}]^G) \leq 2p^2 - 2p - 1.$$

For  $p = 3$  the authors of [13] refer to a Magma calculation and for  $\beta(\mathbb{F}[V_4]^G) = 9$ . For  $p = 2$  we find  $\beta(\mathbb{F}[V_3]^G) = 4$  by [9]. We note that

$$p^2 \leq 2p^2 - 2p - 1 \leq 3p^2 - 3p \leq \max\{3p^2 + (2t - 4)p - 3t, 3p^2 - 3p\}.$$

Note carefully that the degree bound given above is polynomial in  $p$  of degree 2. We thus state the following problem.

*Conjecture 10* Let  $V$  be an indecomposable  $\mathbb{Z}_{p^r}$ -module. Then  $\beta(\mathbb{F}[V]^{\mathbb{Z}_{p^r}})$  is bounded above by a polynomial in  $p$  of degree  $r$ .

### 3 The leading terms of $T_1(\mathbf{m})$ and $T_2(\mathbf{M})$

In this section we want to identify the leading terms of the polynomials  $T_1(\mathbf{m})$  and  $T_2(\mathbf{M})$  as described in Propositions 1 and 2. We start by identifying the coefficients of monomials that appear in  $T_1(\mathbf{m})$ .

**Lemma 11** *The coefficients of  $T_1(\mathbf{m}) = \sum_{\alpha \in \mathbb{N}^{2p-2}} c_\alpha w_\alpha$  are given by*

$$c_\alpha = \sum_{0 \leq l \leq p^2-1} \prod_{i=1}^{2p-2} \binom{l}{\alpha(i)}.$$

*Proof* Since  $\sigma^l$  is an algebra automorphism we have that

$$\prod_{i=1}^{2p-2} (w_{i,0} - \sigma^l(w_{i,0})) = \sum_{S \subseteq \{1, \dots, 2p-2\}} (-1)^{|S|} X_S \sigma^l(X_S). \tag{X}$$

Thus summing over  $0 \leq l \leq p^2 - 1$  yields

$$T_1(\mathbf{m}) = \sum_{0 \leq l \leq p^2-1} \prod_{i=1}^{2p-2} (w_{i,0} - \sigma^l(w_{i,0})).$$

Since we have<sup>1</sup>

$$(w_{i,0} - \sigma^l(w_{i,0})) = -lw_{i,1} - \binom{l}{2}w_{i,2} - \binom{l}{3}w_{i,3} - \dots - \binom{l}{l}w_{i,l},$$

the desired equality follows. □

**Lemma 12** *Let  $\alpha \in \mathbb{N}^{2p-2}$ . If  $\alpha(i) > 1$  for some  $1 \leq i \leq p-1$ , then  $w_\alpha < w_{\alpha'} = \mathbf{m}$ .*

*Proof* Since  $u_1 \leq u_2 \leq \dots \leq u_{2p-2}$ , it suffices to show that

$$\prod_{i=1}^k w_{i,\alpha(i)} < u_1 u_2 \dots u_k$$

for some  $1 \leq k \leq 2p-2$ . Let  $j$  denote the smallest integer such that  $\alpha(j) > 1$ . Since  $j \leq p-1$ , it follows that  $u_i = w_{i,1}$  for  $i < j$  and  $w_{j,\alpha(j)} < u_j$ . Therefore  $\prod_{i=1}^j w_{i,\alpha(i)} < u_1 u_2 \dots u_j$  and the result follows. □

**Lemma 13** *Let  $\alpha \in \mathbb{N}^{2p-2}$ . If  $\alpha(i) \geq 2p$  for some  $1 \leq i \leq 2p-2$ , then  $w_\alpha < w_{\alpha'} = \mathbf{m}$ .*

*Proof* By Lemma 12 it is enough to show the result for  $i \geq p$ . Since  $u_i = w_{i,p} \in \mathbb{F}[x_{r-p+1}, \dots, x_r]$ , it follows that  $w_{i,\alpha(i)} \in \mathbb{F}[x_1, \dots, x_{r-p}]$ . Therefore  $w_\alpha$  contains a variable that is smaller than all variables that appear in  $\mathbf{m}$ . □

**Lemma 14** *Let  $\alpha, \beta$  be two elements in  $\mathbb{N}^{2p-2}$  such that  $\alpha(i) \geq \beta(i)$  for  $1 \leq i \leq 2p-2$ . Then*

- (1)  $w_\alpha \leq w_\beta$ , and
- (2)  $w_\alpha = w_\beta$  if and only if  $\alpha = \beta$ .

*Proof* Since  $w_{i,\alpha(i)} \leq w_{i,\beta(i)}$  for  $1 \leq i \leq 2p-2$ , we have

$$w_\alpha = \prod_{i=1}^{2p-2} w_{i,\alpha(i)} \leq \prod_{i=1}^{2p-2} w_{i,\beta(i)} = w_\beta.$$

---

<sup>1</sup> This equation can be easily verified by induction on  $l \geq 0$ . If  $l = 0$  the equation is trivial. For  $l = 1$  we have

$$w_{i,0} - \sigma w_{i,0} = \Delta w_{i,0} = w_{i,1}.$$

Assume that  $l > 1$ . Then by induction we obtain

$$\begin{aligned} w_{i,0} - \sigma^l w_{i,0} &= (w_{i,0} - \sigma^{l-1} w_{i,0}) - \sigma^{l-1} \Delta w_{i,0} \\ &= -(l-1)w_{i,1} - \binom{l-1}{2}w_{i,2} - \dots - \binom{l-1}{l-1}w_{i,l-1} - \sigma^{l-1} w_{i,1} \\ &= -(l-1)w_{i,1} - \binom{l-1}{2}w_{i,2} - \dots - \binom{l-1}{l-1}w_{i,l-1} - w_{i,1} - \binom{l-1}{1}w_{i,2} - \dots - \binom{l-1}{l-1}w_{i,l} \\ &= -lw_{i,1} - \binom{l}{2}w_{i,2} - \binom{l}{3}w_{i,3} - \dots - \binom{l}{l}w_{i,l} \end{aligned}$$

as desired.

For the second assertion observe that if  $\alpha(i) < \beta(i)$  for some  $1 \leq i \leq 2p - 2$ , then  $w_{i,\alpha(i)} < w_{i,\beta(i)}$ . Hence

$$w_\alpha = \prod_{i=1}^{2p-2} w_{i,\alpha(i)} < \prod_{i=1}^{2p-2} w_{i,\beta(i)} = w_\beta$$

as desired. □

**Lemma 15** *The coefficient of  $c_{\alpha'}$  of the monomial  $w_{\alpha'}$  in  $\mathbb{T}_1(\mathbf{m})$  is 1.*

*Proof* By Lemma 11, we have  $c_{\alpha'} = \sum_{0 \leq l \leq p^2-1} l^{p-1} \binom{l}{p}^{p-1}$ . For  $0 \leq l \leq p^2 - 1$ , write  $l = l_1 p + l_2$ , where  $0 \leq l_1, l_2 < p$ . Then we find

$$\begin{aligned} \sum_{0 \leq l \leq p^2-1} l^{p-1} \binom{l}{p}^{p-1} &= \sum_{0 \leq l_1, l_2 \leq p-1} (l_1 p + l_2)^{p-1} \binom{l_1 p + l_2}{p}^{p-1} \\ &\stackrel{(1)}{\equiv} \sum_{0 \leq l_1, l_2 \leq p-1} l_2^{p-1} l_1^{p-1} \pmod{p} \\ &\stackrel{(2)}{\equiv} 1 \pmod{p}, \end{aligned}$$

where (1) follows from

$$\binom{s}{t} \equiv \binom{a_1}{a_2} \binom{b_1}{b_2} \pmod{p} \tag{*}$$

(for any two integers  $0 \leq s, t < p^2$  with  $s = a_1 p + b_1$  and  $t = a_2 p + b_2$ , where  $0 \leq a_i, b_i < p$ ), see [3], and (2) from

$$\sum_{0 \leq l \leq p-1} l^c \equiv \begin{cases} -1 \pmod{p} & \text{if } p-1 \mid c; \\ 0 \pmod{p} & \text{otherwise,} \end{cases} \tag{\bullet}$$

(for any natural number  $c$ ), see [6, Theorem 119]. □

We are now able to prove Proposition 1:

**Proposition 16** *The leading term of  $\mathbb{T}_1(\mathbf{m})$  is  $w_{\alpha'}$ , and thus  $\text{LM}(\mathbb{T}_1(\mathbf{m})) = \mathbf{m} = w_{\alpha'}$ .*

*Proof* The second statement follows from the first because  $c_{\alpha'} = 1$  by Lemma 15. We proceed by showing that  $w_{\alpha'} \leq w_\alpha$  and  $c_\alpha \neq 0$  implies  $\alpha = \alpha'$ .

By Lemmas 12 and 13 we may assume  $\alpha(i) = 1$  for  $1 \leq i \leq p - 1$  and  $\alpha(i) < 2p$  for  $p \leq i \leq 2p - 2$ . From Lemma 11 we have

$$c_\alpha = \sum_{0 \leq l \leq p^2-1} \prod_{i=1}^{2p-2} \binom{l}{\alpha(i)} = \sum_{0 \leq l \leq p^2-1} l^{p-1} \prod_{i=p}^{2p-2} \binom{l}{\alpha(i)}.$$

For  $p \leq i \leq 2p - 2$  write  $\alpha(i) = a_i p + b_i$  with  $0 \leq b_i < p$  and  $0 \leq a_i \leq 1$ . Set  $l = l_1 p + l_2$  with  $0 \leq l_1, l_2 < p$ .

$$\begin{aligned}
 c_\alpha &= \sum_{0 \leq l_1, l_2 \leq p-1} (l_1 p + l_2)^{p-1} \prod_{i=p}^{2p-2} \binom{l_1 p + l_2}{a_i p + b_i} \\
 &\equiv \sum_{0 \leq l_1, l_2 \leq p-1} l_2^{p-1} \prod_{i=p}^{2p-2} \binom{l_1}{a_i} \binom{l_2}{b_i} \\
 &\equiv \begin{cases} \sum_{0 \leq l_1, l_2 \leq p-1} l_2^{p-1} l_1^{p-2} \prod_{i=p}^{2p-2} \binom{l_2}{b_i} & \text{if } a_i = 1 \text{ for all } i, \\ \sum_{0 \leq l_2 \leq p-1} \left( l_2^{p-1} \prod_{i=p}^{2p-2} \binom{l_2}{b_i} \left( \sum_{0 \leq l_1 \leq p-1} l_1^k \right) \right) & \text{otherwise,} \end{cases} \equiv 0
 \end{aligned}$$

where the last equation follows since  $k$  an integer not divisible by  $p - 1$ . Thus we may assume that  $a_i = 1$  for  $p \leq i \leq 2p - 2$ . It follows that  $\alpha(i) = p + b_i \geq p = \alpha'(i)$  for  $p \leq i \leq 2p - 2$ . Moreover  $\alpha(i) = \alpha'(i) = 1$  for  $1 \leq i \leq p - 1$ . Now  $\alpha = \alpha'$  follows from Lemma 14. □

From this Proposition 2 can be easily derived, cf. [5, Lemmas 3.2, 3.3].

**Proposition 17** *The leading monomial of  $T_2(\mathbf{M})$  is the leading monomial of  $\mathbf{M}$ .*

*Proof* Let  $\mathbf{M} = U_1 \cdots U_{p-1}$  for  $U_j \in \{\mathbf{N}_d^H, \dots, \mathbf{N}_{n-1}^H\}$ . Recall from Eq. (✕) that

$$\prod_{j=1}^{p-1} (W_j - \sigma^l(W_j)) = \sum_{S \subseteq \{1, \dots, p-1\}} (-1)^{|S|} X_S \sigma^l(X_S).$$

Summing over  $0 \leq l \leq p - 1$  yields

$$\sum_{0 \leq l \leq p-1} \prod_{j=1}^{p-1} (W_j - \sigma^l(W_j)) = \sum_{S \subseteq \{1, \dots, p-1\}} (-1)^{|S|} X_S \text{Tr}_H^G(X_S).$$

The leading term of  $(W_j - \sigma^l(W_j))$  is  $-l \cdot \text{LM}(U_j)$ . Thus the leading term of  $\prod_{j=1}^{p-1} (W_j - \sigma^l(W_j))$  is  $(-l)^{p-1} \cdot \text{LM}(U_1 \cdots U_{p-1})$ . Hence the result follows from Eq. (•). □

**Acknowledgment** Müfit Sezer wishes to thank Jim Shank for bringing [4, Theorem 3.3] to his attention.

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