# ON THE TOP DEGREE OF COINVARIANTS

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ABSTRACT. For a finite group G acting faithfully on a finite dimensional F-vector space V, we show that in the modular case, the top degree of the vector coinvariants grows unboundedly:  $\lim_{m\to\infty} \text{topdeg } F[V^m]_G = \infty$ . In contrast, in the non-modular case we identify a situation where the top degree of the vector coinvariants remains constant. Furthermore, we present a more elementary proof of Steinberg's theorem which says that the group order is a lower bound for the dimension of the coinvariants which is sharp if and only if the invariant ring is polynomial.

#### 1. INTRODUCTION

A central problem in invariant theory is to compute the generators of the invariants of a group action. One crucial element in this task is determining the degrees of the generators as the knowledge of these degrees reduces this problem to a problem in a finite dimensional vector space. This gives obtaining efficient degree bounds a big computational significance and research in this direction has always been fashionable since the days of Noether to our days, with some recent spectacular break-throughs, e.g. [25]. Before we go into more details, we fix our setup. For a shorthand notion, we will call a finite dimensional representation Vof a finite group G over a field F a G-module. The action of G on V induces an action on the symmetric algebra  $F[V] = S(V^*)$  that is given by  $\sigma(f) = f \circ \sigma^{-1}$ for  $\sigma \in G$  and  $f \in F[V]$ . Let  $F[V]^G$  denote the corresponding ring of invariants. By a classical theorem of Noether, it is a finitely generated algebra, and  $\beta(F[V]^G)$ , the Noether number of the representation, denotes the maximal possible degree of an indecomposable element, that is, the smallest number b such that invariants of degree  $\leq b$  generate the invariant ring. We also define  $\beta(G) = \sup_V \beta(F[V]^G)$ . Another central object is the Hilbert ideal  $I := F[V]^G_+ F[V]$ , the ideal in F[V]generated by invariants of positive degree. In this paper, we study the algebra of coinvariants, which is the quotient ring  $F[V]_G := F[V]/I$ . This finite dimensional, graded algebra encodes several interesting properties of the invariant ring and there has been a fair amount of research on it, see [2,3,8,9,14,15,20-24] and the references there. The top degree of the coinvariants, denoted topdeg  $F[V]_G$ , is defined to be the largest degree in which  $F[V]_G$  is non-zero. This number shares a similar interest for coinvariants as the Noether number does for invariants.

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Equivalently, the top degree can be defined as the smallest number d such that every monomial  $m \in F[V]$  of degree > d is contained in the Hilbert ideal. Note that this also implies that the Hilbert ideal is generated by elements of degree at most d + 1, a fact which played an important role in the proof of the Noether bound in the non-modular case. However, it is conjectured [5, Conjecture 3.8.6] that even in the modular case the group order is an upper bound for the degrees of the generators of the Hilbert ideal, which as we will see, may be much smaller than the top degree.

Another natural interpretation comes from regarding F[V] as a (finite)  $F[V]^{G}$ module. Take a minimal set of homogeneous module generators  $g_i$  of F[V] over  $F[V]^G$ , so  $F[V] = \sum_{i=1}^{t} F[V]^G g_i$ . From the graded Nakayama lemma, it follows that the top degree d equals the maximum of the degrees of the generators, and the number of generators equals the dimension of the coinvariants as a vector space.

Recall that the transfer of  $f \in F[V]$  is defined by  $\operatorname{Tr}(f) = \sum_{\sigma \in G} \sigma(f)$ . Another important application of the top degree is that in the modular case, it yields an upper bound for the maximal degree of an indecomposable transfer: Take  $f \in F[V]$ homogeneous. Then we can write  $f = \sum_{i=1}^{t} h_i g_i$  with homogeneous invariants  $h_i$ and module generators  $g_i$  as above. Therefore,  $\operatorname{Tr}(f) = \sum_{i=1}^{t} h_i \operatorname{Tr}(g_i)$ . Assume  $\operatorname{deg}(f)$  is bigger than the top degree of  $F[V]_G$ . Then all  $h_i$ 's are zero or of positive degree. We are done if also all  $Tr(q_i)$ 's are zero or of positive degree. Note that one of the module generators, say  $g_1$ , is a constant. Since we are in the modular case we have  $Tr(1) = |G| \cdot 1 = 0$ , so we are done. Knowing the maximal degree of an indecomposable transfer has been very critical so far, since in almost all modular cases where the Noether number is known, there is an indecomposable transfer of degree equal to the Noether number, see [9]. In the non-modular case (i.e. the characteristic of F does not divide the group order |G|), the invariant ring is generated by transfers and so a bound for the degree of an indecomposable transfer is a bound for the Noether number. Since  $Tr(1) \neq 0$ , the argument above does not carry over to this characteristic. Nevertheless, in the non-modular case, the top degree plus one is an upper bound for the Noether number and this bound is sharp: The Noether number corresponding to the natural action of  $S_2$  on  $F[x_1, x_2]$ is two while the top degree of the coinvariants is one.

We now give an outline of the paper. Section 2 is mainly concerned with the non-modular case, where we collect some consequences of previous work on the top degree of coinvariants. Most notably, a quite recent result of Cziszter and Domokos implies that for a given non-modular group G, the maximal possible top degree equals the maximal possible Noether number minus one. In particular, |G| - 1 gives an upper bound for the top degree.

In contrast, we show in section 3 that for a given faithful modular representation V, the top degree of the vector coinvariants  $F[V^m]_G$  grows unboundedly with m. This also fits nicely with a result of Richman [18] which asserts the similar behavior for the Noether number of the vector invariants  $F[V^m]^G$ .

In the following section 4 we consider a non-modular situation where the lead term ideal of  $F[V]^G_+F[V]$  is generated by pure powers of the variables. In this case we show that the top degree of the vector coinvariants  $F[V^m]_G$  is constant. This way, for the natural action of the symmetric group  $S_n$  on a polynomial ring with n variables we get a new proof that the top degree of any of the vector coinvariants of this action is  $\binom{n}{2}$ .

In section 5 we will give a new elementary proof of Steinberg's celebrated theorem which states that the group order is a lower bound for the dimension of the coinvariants with equality holding if and only if the invariant ring is polynomial.

# 2. Top degree in the non-modular case

In this section we note several facts about the top degree of coinvariants in the non-modular case, which are a bit spread out in the literature. Although these statements follow rather quickly from previous results, it seems that the statements themselves have not been formulated in terms of coinvariants before. Using a very recent result of Cziszter and Domokos [4] we obtain in Theorem 1 that the supremum of the top degrees of coinvariants is one less than the Noether number of the group. Since the Noether number is bounded by the group order, we establish |G| - 1 as an upper bound for the top degree of coinvariants of any non-modular representation. This upper bound also follows directly from Fogarty's proof of the Noether bound. We take the crucial part of this proof here as Lemma 2. Using this lemma we also obtain a relative bound for the top degree of coinvariants, see Proposition 3. We end this section with a brief discussion of the relation between the Davenport constant and the top degree in the abelian group case.

**Theorem 1.** Assume that the characteristic of F does not divide the group order |G|. Then for any G-module V, we have

$$\beta(F[V]^G) \leq \operatorname{topdeg} F[V]_G + 1 \leq \beta(G) \leq |G|.$$

In particular, we have that

$$\operatorname{topdeg}(G) + 1 := \sup_{V} \operatorname{topdeg} F[V]_G + 1 = \beta(G).$$

Proof. Let I denote the Hilbert ideal of  $F[V]^G$  and d denote the top degree of  $F[V]_G$ . As mentioned in the introduction I is generated by elements of degree at most d + 1. As we are in the non-modular case, this implies that  $F[V]^G$  is generated by invariants of degree at most d + 1, which proves the first inequality. By [4, Lemma 3.1], for any G-module V there exists an irreducible G-module U such that topdeg  $F[V]_G + 1 \leq \beta(F[V \oplus U]^G)$ , which proves the second inequality. Finally, the Noether number is at most the group order in the non-modular case, see [10, 12]. Now the last statement follows from choosing a G-module V with  $\beta(F[V]^G) = \beta(G)$ .

There are many bounds on  $\beta(G)$  in invariant theory literature. By this theorem, they translate into bounds for  $\operatorname{topdeg}(G) + 1$ . For example, if H is a normal subgroup of G, in the non-modular case we have  $\beta(G) \leq \beta(H)\beta(G/H)$  [11, (3.1)]. So we get  $\operatorname{topdeg}(G) + 1 \leq (\operatorname{topdeg}(G/H) + 1)(\operatorname{topdeg}(H) + 1)$ .

However, for a given module V, its Noether number can be much smaller than the top degree. For example, for the natural action of the symmetric group on n variables, the invariants have Noether number n, while the top degree of the coinvariants is  $\binom{n}{2}$ .

A key step in Fogarty's proof of  $\beta(G) \leq |G|$  in the non-modular case is the following lemma [12].

**Lemma 2** (See [5, Lemma 3.8.1]). Let A be a commutative ring with identity, G a finite group of automorphisms of A, and  $J \subseteq A$  a G-stable ideal. If the order of G is invertible in A, then  $J^{|G|} \subseteq J^G A$ .

This lemma also yields a relative bound for the top degree of coinvariants.

**Proposition 3.** Assume H is a normal subgroup of G and the characteristic of F does not divide the index (G : H). Then we have the inequality

 $\operatorname{topdeg}(F[V]_G) + 1 \le (G:H)(\operatorname{topdeg}(F[V]_H) + 1).$ 

*Proof.* Let m denote the top degree of  $F[V]_H$ , and d denote the index (G : H). Then all monomials of degree m+1 of F[V] lie in  $I := F[V]_+^H \cdot F[V]$ . Therefore all monomials of degree d(m+1) lie in

$$I^{d} = (F[V]^{H}_{+} \cdot F[V])^{d} = (F[V]^{H}_{+})^{d} \cdot F[V].$$

By the previous lemma, applied to the group (G/H) acting on  $A = F[V]^H$  and the G/H-stable ideal  $J = F[V]^H_+$ , we have

$$(F[V]^{H}_{+})^{d} \subseteq (F[V]^{H}_{+})^{G/H} F[V]^{H} = F[V]^{G}_{+} F[V]^{H} \subseteq F[V]^{G}_{+} F[V].$$

Therefore, all monomials of degree d(m+1) lie in  $F[V]^G_+F[V]$ .

For abelian groups, the top degree of the coinvariants has another interpretation in terms of the *Davenport constant* of the group. We conclude with a discussion on this relation. For the rest of this section assume that G is an abelian group with  $|G| \in F^*$ . Since extending the ground field does not change the top degree of coinvariants we assume that F is algebraically closed. In this case the action is diagonalizable so we may as well assume that  $F[V] = F[x_1, \ldots, x_n]$ , where  $x_1, \ldots, x_n$ is a basis of  $V^*$  on which G acts diagonally. For each  $1 \leq i \leq n$ , let  $\kappa_i$  denote the character corresponding to the action on  $x_i$ . Then a monomial  $x_1^{a_1} \cdots x_n^{a_n}$  is in  $F[V]^G$  if  $\sum_{1 \le i \le n} a_i \kappa_i = 0$ . Moreover, a monomial  $x_1^{a_1} \cdots x_n^{a_n}$  is in the Hilbert ideal I if it is divisible by an invariant monomial, that is there exist integers  $0 \le b_i \le a_i$ such that  $\sum_{1 \le i \le n} b_i \kappa_i = 0$ . For an abelian group G, let S(G) denote the minimal integer such that every set of elements, with repetitions allowed, of size S(G)in G has a subsequence that sums up to zero. It also equals the length of the longest non-shortenable zero sum of elements (with repetitions) of G. This number is called the Davenport constant of G. Since the character group of G is isomorphic to G it follows that every monomial in F[V] of degree S(G) lies in I. This gives  $topdeg(G) + 1 \leq S(G)$ . On the other hand by constructing an action using the characters in the longest sequence of elements with no subsequence summing up to zero we get a G module V with topdeg  $F[V]_G + 1 = S(G)$ . Similarly one can show that  $\beta(G) = S(G)$ , see also [19, Proposition 2.2]. So it follows that

$$S(G) = \operatorname{topdeg}(G) + 1 = \beta(G).$$

Results on the Davenport constant therefore apply to the top degree of the coinvariants, and vice versa. See [13] for a survey on the Davenport constant. Here we just quote two famous results due to Olson [16, 17]: If  $Z_n$  denotes the cyclic group of order n, then if a|b, we have  $S(Z_a \times Z_b) = a + b - 1$ . If p is a prime, then  $S(Z_{p^{d_1}} \times \cdots \times Z_{p^{d_r}}) = 1 + \sum_{i=1}^r (p^{d_i} - 1)$ .

### 3. The unboundedness of the top degree for modular coinvariants

In this section we specialize to the modular case and show that, in contrast to the non-modular case, the top degree of the coinvariants of a given group can become arbitrarily large. We start with a collection of observations which despite their simplicity give useful upper and lower bounds. **Lemma 4.** Let H be a subgroup of G and V be a G-module. Then

topdeg 
$$F[V]_H \leq \operatorname{topdeg} F[V]_G$$
 and  $\dim F[V]_H \leq \dim F[V]_G$ 

*Proof.* The inclusion  $F[V]^G_+ \subseteq F[V]^H_+$  induces a degree preserving surjection

$$F[V]_G = F[V]/F[V]^G_+ F[V] \to F[V]/F[V]^H_+ F[V] = F[V]_H,$$

which immediately establishes the claim.

**Lemma 5.** Let U be a G-submodule of V. Then

topdeg 
$$F[U]_G \leq \operatorname{topdeg} F[V]_G$$
 and  $\dim F[U]_G \leq \dim F[V]_G$ .

*Proof.* The inclusion  $U \subseteq V$  induces the epimorphism

$$\varphi: F[V] \twoheadrightarrow F[U], \qquad f \mapsto f|_U,$$

which restricts to a (generally non-surjective) morphism  $F[V]^G \to F[U]^G$ . We therefore get a degree preserving epimorphism

$$\overline{\varphi}: F[V]_G = F[V]/F[V]^G_+ F[V] \twoheadrightarrow F[U]/F[U]^G_+ F[U] = F[U]_G,$$

which yields both inequalities.

For a G-module V, let  $V^m$  denote the m-fold direct sum of V.

**Lemma 6.** For any two G-modules V and W we have,

topdeg  $F[V \oplus W]_G \leq \text{topdeg } F[V]_G + \text{topdeg } F[W]_G$ .

In particular, we have topdeg  $F[V^m]_G \leq m$  topdeg  $F[V]_G$  for all  $m \in \mathbb{N}$ .

*Proof.* Assume that  $M \in F[V \oplus W]$  is a monomial of degree at least topdeg  $F[V]_G +$ topdeg  $F[W]_G + 1$ . Write M = M'M'' with  $M' \in F[V]$  and  $M'' \in F[W]$ . Then we have either deg M' > topdeg  $F[V]_G$  or deg M'' > topdeg  $F[W]_G$ . Without loss of generality we assume the former inequality. Then  $M' \in F[V]_+^G F[V]$ , which implies  $M \in F[V]_+^G F[V \oplus W] \subseteq F[V \oplus W]_+^G F[V \oplus W]$ .

Let  $V_{\text{reg}} := FG$  denote the regular representation of G. For any G-module V, we have an embedding  $V \hookrightarrow V_{\text{reg}}^{\dim_F(V)}$ . Thus we get the following as a corollary to the preceding lemmas.

**Corollary 7.** For any G-module V, we have

topdeg  $F[V]_G \leq \dim_F(V)$  topdeg  $F[V_{\text{reg}}]_G$ .

In view of Theorem 1, the main result of this section nicely separates the modular coinvariants from the non-modular ones.

**Theorem 8.** Let V be a faithful G-module and assume that the characteristic p > 0 of F divides the group order |G|. Then

$$\lim_{m\to\infty}\operatorname{topdeg} F[V^m]_G=\infty.$$

*Proof.* Pick a subgroup H of G of size p. It is well known that the indecomposable H-modules consist of modules  $V_k$  for  $1 \le k \le p$ , where  $V_k$  is a k-dimensional vector space on which a generator of H acts via a single Jordan block with ones on the diagonal. Therefore, as an H-module, V decomposes in a direct sum  $V = \bigoplus_{i=1}^{q} V_{k_i}$ . Note that V is also faithful as an H-module, so without loss of generality we assume

 $k_1 \geq 2$ . Notice that we have an *H*-module inclusion  $V_k \subseteq V_l$  for any pair of integers  $1 \leq k \leq l \leq p$ . In particular, we have the *H*-module inclusions

$$V_2 \subseteq V_{k_1} \subseteq \bigoplus_{i=1}^q V_{k_i} = V.$$

Therefore for any  $m \in \mathbb{N}$  we have  $V_2^m \subseteq V^m$  as *H*-modules. We now get

$$\operatorname{topdeg} F[V^m]_G \ge \operatorname{topdeg} F[V^m]_H$$

by Lemma 4, and furthermore

$$\operatorname{topdeg} F[V^m]_H \ge \operatorname{topdeg} F[V_2^m]_H$$

by Lemma 5. On the other hand from [21, Theorem 2.1] we get topdeg  $F[V_2^m]_H = m(p-1)$ . So it follows that

topdeg 
$$F[V^m]_G \ge m(p-1)$$
 for all  $m \in \mathbb{N}$ .

We will show next that the dimensions of the vector coinvariants always grow unboundedly as well, even in the non-modular case. We start again with a simple but useful observation:

**Lemma 9.** For any G-module V, we have

$$\dim F[V]_G \ge \operatorname{topdeg} F[V]_G + 1.$$

*Proof.* If d is the top degree of  $F[V]_G$ , then there exists a monomial m of degree d which is not in the Hilbert ideal I. Then every divisor of m is also not contained in I, which means that  $F[V]_G$  contains a non-zero class in each degree  $\leq d$ . As elements of different degrees are linearly independent, this finishes the proof.  $\Box$ 

**Proposition 10.** For any non-trivial G-module V, we have

$$\lim_{m \to \infty} \dim F[V^m]_G = \infty.$$

*Proof.* We can assume the action of G is faithful. In the modular case, the result follows from Lemma 9 and Theorem 8. In the non-modular case, choose a subgroup  $H = \langle \sigma \rangle$  of G of prime order q, which is coprime to the characteristic of F. Choose a basis  $x_1, \ldots, x_n$  of  $V^*$  on which  $\sigma$  acts diagonally. Since V is a faithful H-module as well, we may assume that the action of  $\sigma$  on  $x_1$  is given by multiplication with a primitive qth root of unity. Let  $x_{1,1}, \ldots, x_{1,m}$  denote the copies of  $x_1$  in  $F[V^m]$ . Then none of the linear combinations of these variables lie in the Hilbert ideal  $F[V^m]^H_+ F[V^m]$ , so they form an independent set of classes in  $F[V^m]_H$ . Therefore by Lemma 4 we have

$$\dim F[V^m]_G \ge \dim F[V^m]_H \ge m,$$

which clearly establishes the claim.

#### 4. Top degree of vector coinvariants in the non-modular case

In this section we study vector copies of an action of a group in the non-modular case. Obtaining generating invariants for these actions is generally a difficult problem nevertheless the degrees of polynomials in minimal generating sets do not change in many cases, see [7, Example 3.10] for a rare example. Our computer aided search of examples indicate that many classes of coinvariants enjoy a similar type of saturation. We note this as a problem for future study.

**Problem 11.** Assume that V is a non-modular G-module. Prove or disprove that

$$\operatorname{topdeg} F[V^m]_G = \operatorname{topdeg} F[V]_G$$

for any positive integer m. Find classes of groups and modules for which the equality is true.

We prove the equality above for a certain special case. First, we review the concept of polarization as we use polarized polynomials in our computations. Let V be a non-modular G-module and set  $A := F[V] = F[x_1, \ldots, x_n]$  and  $B := F[V^m] = F[x_{1,1}, \ldots, x_{n,1}, \ldots, x_{1,m}, \ldots, x_{n,m}]$ . We use the lexicographic order on B such that

$$x_{1,1} > x_{1,2} > \ldots > x_{1,m} > \ldots > x_{n,1} > \ldots > x_{n,m}$$

and the order on A is obtained by setting m = 1. For an ideal I in A or B we denote the lead term ideal of I with L(I). Also L(f) denotes the lead term of a polynomial f in these rings. We introduce additional variables  $t_1, \ldots, t_m$  and define an algebra homomorphism

$$\phi: A \to B[t_1, \dots, t_m], \quad x_i \mapsto x_{i,1}t_1 + \dots + x_{i,m}t_m.$$

Then for any  $f \in A$ , write

$$\phi(f) = \sum_{i_1, \dots, i_m} f_{i_1, \dots, i_m} t_1^{i_1} \dots t_m^{i_m},$$

where  $f_{i_1,\ldots,i_m} \in B$ . This process is called polarization and we let  $\operatorname{Pol}(f)$  denote the set of coefficients  $\phi_{i_1,\ldots,i_m}(f) := f_{i_1,\ldots,i_m}$  of  $\phi(f)$ . Restricting to invariants, it is well known that we get a map  $\operatorname{Pol} : A^G \to \mathfrak{P}(B^G)$ , where  $\mathfrak{P}(B^G)$  denotes the power set of  $B^G$ . Let  $I_A := A_+^G A$  denote the Hilbert ideal of A, and similarly  $I_B$ denote the Hilbert ideal of B. We show that polarization of a polynomial in  $I_A$ gives polynomials in  $I_B$ .

**Lemma 12.** Let  $f \in I_A$ . Then  $\operatorname{Pol}(f) \in \mathfrak{P}(I_B)$ .

*Proof.* Since each  $\phi_{i_1,...,i_m}$  is a linear map, we may take f = hg with  $h \in A^G_+$  and  $g \in A$ . Write  $\phi(h) = \sum_{j_1,...,j_m} h_{j_1,...,j_m} t_1^{j_1} \dots t_m^{j_m}$  and  $\phi(g) = \sum_{q_1,...,q_m} g_{q_1,...,q_m} t_1^{q_1} \dots t_m^{q_m}$ . Note that we have  $h_{j_1,...,j_m} \in B^G_+$  since polarization preserves degrees. It follows that

$$f_{i_1,...,i_m} = \sum_{j_k + q_k = i_k, \ 1 \le k \le m} h_{j_1,...,j_m} g_{q_1,...,q_m} \in B^G_+ B,$$

which proves the lemma.

We now identify a situation where the equality in Problem 11 holds.

**Theorem 13.** Let F be a field of characteristic p and V a G-module. Assume that there exist integers  $a_1, \ldots, a_n$ , strictly smaller than p in case of positive characteristic, such that  $L(I_A) = (x_1^{a_1}, \ldots, x_n^{a_n})$ . Then we have

topdeg 
$$F[V^m]_G$$
 = topdeg  $F[V]_G = \sum_{i=1}^n (a_i - 1)$  for all  $m \in \mathbb{N}$ .

*Proof.* Since the monomials in A that do not lie in  $L(I_A)$  form a vector space basis for  $F[V]_G$ , we have topdeg  $F[V]_G = \sum_{i=1}^n (a_i - 1)$ . From Lemma 5 we also have topdeg  $F[V]_G \leq \text{topdeg } F[V^m]_G$ . Therefore, to prove the theorem it suffices to show topdeg  $F[V^m]_G \leq \sum_{i=1}^n (a_i - 1)$ . To this end we demonstrate that the lead term ideal  $L(I_B)$  contains the set

$$S := \{ x_{i,1}^{a_{i,1}} x_{i,2}^{a_{i,2}} \cdot \ldots \cdot x_{i,m}^{a_{i,m}} | \quad i = 1, \ldots, n, \quad a_{i,1} + \ldots + a_{i,m} = a_i \}.$$

Take a homogeneous element  $f \in I_A$  with  $L(f) = x_i^{a_i}$ . So  $f = x_i^{a_i} + h$  where each term in h is strictly lex-smaller than  $x_i^{a_i}$ . Then each term of h is of the form  $x_i^{b_i} x_{i+1}^{b_{i+1}} \dots x_n^{b_n}$  with  $b_i < a_i$ . Considering

$$\phi(x_i^{a_i}) = (t_1 x_{i,1} + \ldots + t_m x_{i,m})^{a_i}$$

and

$$\phi(x_i^{b_i}x_{i+1}^{b_{i+1}}\dots x_n^{b_n}) = (t_1x_{i,1} + \dots + t_mx_{i,m})^{b_i}\dots (t_1x_{n,1} + \dots + t_mx_{n,m})^{b_n},$$

we get by the choice of our order that, for any sequence  $a_{i,1}, \ldots, a_{i,m} \in \mathbb{N}_0$  satisfying  $a_{i,1} + \ldots + a_{i,m} = a_i$  we have

$$L(\phi_{a_{i,1},\dots,a_{i,m}}(f)) = L(\phi_{a_{i,1},\dots,a_{i,m}}(x_i^{a_i})) = \frac{a_i!}{a_{i,1}!\dots a_{i,m}!} x_{i,1}^{a_{i,1}} x_{i,2}^{a_{i,2}} \dots x_{i,m}^{a_{i,m}}.$$

As for positive characteristic p,  $a_i$  is strictly smaller than p by hypothesis, so the coefficient is nonzero. Moreover,  $\phi_{a_{i,1},\ldots,a_{i,m}}(f) \in I_B$  by the previous lemma. This finishes the proof.

Consider the natural action of the symmetric group  $S_n$  on F[V]. It is well known that  $L(I_A) = (x_1, x_2^2, \ldots, x_n^n)$ , see for example [26, Proposition 1.1]. So the theorem applies and we get the following corollary, which also appears as the special case q = 1 in [6, Lemma 3.1].

**Corollary 14.** Let F be a field of characteristic p and V be the natural  $S_n$ -module. If p = 0 or p > n, then for any positive integer m we have

topdeg 
$$F[V^m]_{S_n} = \binom{n}{2}.$$

We want to emphasize here again the sharp contrast to the case 0 , $where by Theorem 8 we have <math>\lim_{m\to\infty} \operatorname{topdeg} F[V^m]_{S_n} = \infty$ .

# 5. A NEW PROOF FOR STEINBERG'S THEOREM

The following might be one of the most celebrated results on coinvariants.

Theorem 15 (Steinberg). For any faithful G-module V, we have

 $|G| \le \dim F[V]_G$ 

with equality if and only if  $F[V]^G$  is polynomial.

Note that by the famous Chevalley-Shephard-Todd-Serre-Theorem,  $F[V]^G$  being polynomial always implies G is a reflection group, and in the non-modular case the converse is also true. Steinberg [24] proves the theorem above for the complex numbers using analysis. More recently, Smith [22] generalized the theorem to arbitrary fields, using some heavy machinery from homological algebra. We now give an almost elementary proof.

*Proof.* The group G acts naturally on the quotient field F(V), hence by Galois theory we have  $\dim_{F(V)^G} F(V) = |G|$ . Let S be a minimal generating set for F[V] as a module over  $F[V]^G$ . Then by the graded Nakayama lemma, S projects injectively onto a vector space basis for  $F[V]_G$ . Moreover, from Proposition 16 we get that S also generates F(V) as an  $F(V)^G$ -vector space. So we have

$$\dim F[V]_G = |S| \ge \dim_{F(G)^G} F(V) = |G|.$$

If equality holds, then S is a basis for F(V) over  $F(V)^G$ , so it is  $F(V)^G$ - and hence  $F[V]^G$ -linearly independent. This implies that F[V] is a free  $F[V]^G$ -module. Now by [1, Corollary 6.2.3], we get that  $F[V]^G$  is polynomial. The reverse implication is straightforward: If  $F[V]^G$  is polynomially generated by invariants of degree  $d_1, \ldots, d_n$ , the Cohen-Macaulayness of F[V] implies that F[V] is freely generated over  $F[V]^G$  by  $d_1 \cdots d_n$  many generators, and it is well known that this product equals |G|, see Smith's proof [22] for the details.

Above we used the following well-known proposition. We give a proof here due to lack of reference. Let Quot(D) denote the quotient field of an integral domain D.

**Proposition 16.** Assume  $A \subseteq R$  is an integral extension of integral domains. Then

$$\operatorname{Quot}(R) = \left\{ \frac{r}{a} | \quad r \in R, \ a \in A \setminus \{0\} \right\} = (A \setminus \{0\})^{-1} R.$$

In particular, if  $S \subseteq R$  generates R as an A-module, then S generates Quot(R) as a Quot(A)-vector space.

*Proof.* Assume  $\frac{f}{g} \in \text{Quot}(R)$  with  $f, g \in R$  and  $g \neq 0$ . Let

$$g^{t} + a_{t-1}g_{t-1} + \ldots + a_{1}g + a_{0} = 0$$

be a monic equation of minimal degree satisfied by g. Then  $a_0 \neq 0$  and dividing this equation by g shows  $\frac{a_0}{g} \in R$ . Therefore  $\frac{f}{g} = \frac{f}{a_0} \cdot \frac{a_0}{g} \in (A \setminus \{0\})^{-1}R$ .  $\Box$ 

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