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# Climate change impacts and adaptation strategies for crops in West Africa: A systematic review

Tony W. Carr<sup>1\*</sup>, Siyabusa Mkuhlani<sup>1,2,3</sup>, Alcade C. Segnon<sup>4,5</sup>, Zakari Ali<sup>6</sup>, Robert Zougmore<sup>4</sup>, Alan D. Dangour<sup>1,2</sup>, Rosemary Green<sup>1,2</sup>, Pauline Scheelbeek<sup>1,2</sup>

<sup>1</sup>Department of Population Health, London School of Hygiene & Tropical Medicine, London, UK

<sup>2</sup>Centre on Climate Change and Planetary Health, London School of Hygiene & Tropical Medicine, London, UK

<sup>3</sup>International Institute of Tropical Agriculture, c/o ICIPE, Kasarani, P.O. Box 30772-00100, Nairobi, Kenya

<sup>4</sup>CGIAR Research Program on Climate Change, Agriculture and Food Security (CCAFS), International Crops Research Institute for the Semi-Arid Tropics (ICRISAT), Bamako, Mali

<sup>5</sup>Faculty of Agronomic Sciences, University of Abomey-Calavi, Cotonou, Benin

<sup>6</sup>Nutrition Theme, MRC Unit The Gambia at the London School of Hygiene and Tropical Medicine, Banjul, The Gambia

\* Correspondence:  
Corresponding Author  
tony.carr@lshtm.ac.uk

## Abstract

Agriculture in West Africa faces the challenge of meeting the rising demand for food as national incomes and populations increase while production becomes more uncertain due to climate change. Crop production models can provide helpful information on agricultural yields under a range of climate change scenarios and on the impact of adaptation strategies. Here, we report a systematic review of the impact of climate change on the yield of major staple crops in West Africa. Unlike earlier reviews we pay particular attention to the potential of common agricultural adaptation strategies (such as optimised planting dates, use of fertilisers and climate-resilient crop varieties) to mitigate the effects of climate change on crop yields. We systematically searched two databases for literature published between 2005 and 2020 and identified 35 relevant studies. We analysed yield changes of major staple crops (maize, sorghum, rice, millet, yam, cassava and groundnuts) caused by different climate change and field management scenarios. Yields declined by a median of 6% (-8% to +2% depending on the crop) due to climate change in all scenarios analysed. We show that the common adaptation strategies could increase crop yields affected by climate change by 13% (-4% to +19% depending on the strategy) as compared to business-as-usual field management practices, and that optimised planting dates and cultivars with longer crop cycle duration could in fact offset the negative effects of climate change on crop yields. Increased fertiliser use has not mitigated the impact of climate change on crops but could substantially increase yields now and in the future. Our results suggest that a combination of increased fertiliser use and adopting cropping practices that take advantage of favourable climate conditions have great potential to protect and enhance future crop production in West Africa.

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5 41 Keywords: crop productivity, West Africa, climate change impacts, climate change adaptation  
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## 9 43 **1 Introduction**

11 44 Climate change has already affected West African agriculture through changes in rainfall patterns,  
12 45 characterised by strong inter-annual rainfall fluctuations, increased frequency of rainfall extremes and  
13 46 prolonged droughts (Salack *et al* 2016, Sultan *et al* 2019). Agriculture in West Africa is predominantly  
14 47 rain-fed and thus highly vulnerable to climate change and variability, making crop production  
15 48 uncertain (Zougmore *et al* 2016, Sultan and Gaetani 2016). Uncertainty about future crop production  
16 49 creates uncertainty for the food system, with consequences for economic, health and socio-cultural  
17 50 systems (van Mil *et al* 2014). To prepare the food system for future challenges, it is important to  
18 51 project potential crop production changes under different climate and field management scenarios to  
19 52 inform adaptation planning.

23 53 Crop models can be used to estimate changes in future crop production based on the simulated  
24 54 response of crops to field management, weather and soil processes. However, projected crop yields  
25 55 vary considerably between crops and locations and are strongly influenced by a wide range of  
26 56 potential climate and field management scenarios. In addition, crop yield projections are influenced  
27 57 by uncertainties from model parameters and representation of biophysical processes in different crop  
28 58 models (Asseng *et al* 2013).

31 59 Systematic reviews and meta-analyses that summarise and compare results from existing studies are  
32 60 useful tools to illustrate the range of projections and draw conclusions from a review of existing  
33 61 knowledge. Previous reviews concerning the impacts of climate change on crops in West Africa and  
34 62 sub-Saharan Africa found mainly negative climate change impacts on important staple crops (Roudier  
35 63 *et al* 2011, Knox *et al* 2012). These reviews focused on the raw impact of climate on crops, with little  
36 64 attention to how farming practices could reduce these impacts. However, in a global review of climate  
37 65 change impact studies, Challinor *et al.* (2014) demonstrated the importance of considering adaptation  
38 66 strategies, which significantly increased projected crop yields. Similarly, Müller (2013) noted that  
39 67 many projections for Africa see the possibility of increased agricultural production under climate  
40 68 change, especially if appropriate adaptation measures are taken. In addition, many case studies in  
41 69 West Africa have concluded that common farming practices, which respond to environmental change,  
42 70 can significantly reduce the negative impacts of climate change (Sultan and Gaetani 2016, Adam *et al*  
43 71 2020).

47 72 The effects of farming practices are highly location and context-specific. Practices that reduce negative  
48 73 climate change impacts on rainfed agriculture respond to shifts in precipitation and temperature,  
49 74 which can vary greatly in West Africa (Turco *et al* 2015). Soil fertility, which is generally low in West  
50 75 Africa, can also be an important factor for many farmers when choosing suitable farming practices  
51 76 (Stewart *et al* 2020). Moreover, farmers' adoption of field practices is driven by access to markets,  
52 77 information and inputs (Ouedraogo *et al* 2017). It is therefore important to synthesise evidence on  
53 78 agricultural adaptations at the regional level in order to capture some of these contextual factors.

56 79 In this study, we systematically searched and reviewed peer-reviewed literature on climate change  
57 80 impacts on the yields of major crops in West Africa with and without considering adaptation strategies.  
58 81 We drew on data from the reviewed studies to illustrate the range of climate change-induced yield  
59 82 changes of major crops in West Africa simulated under different climate change and field management

83 scenarios. We then quantified the impact of common adaptation strategies on crop yields. Finally, the  
 84 data was used to discuss climate change impacts on crops in West Africa and the potential of  
 85 adaptation strategies to reduce climate stress and increase future crop production.

## 86 2 Methods

### 87 2.1 Literature search strategy

88 This review follows the Preferred Reporting Items for Systematic Reviews and Meta-Analyses  
 89 (PRISMA) guidelines (Moher *et al* 2009). We considered peer reviewed articles, books and book  
 90 chapters published between January 1, 2005, and December 31, 2020, that examined the response of  
 91 crops to climate change in West Africa with and without considering adaptation strategies. The studies  
 92 were sourced from the databases Scopus and Web of Science Core Collection using specific key words,  
 93 synonyms, search phrases and strategies which were unique to each data-base or portal to select  
 94 studies (Text S1). As search engines and portals are sensitive to the order of search key words and  
 95 bullion symbols, we used a range of different key words and bullion symbols.

### 96 2.2 Selection Criteria and Data Extraction

97 We focused primarily on evidence from studies that use process-based crop simulation models as  
 98 these studies comprise most of the quantitative literature exploring climate adaptation (Lobell 2014).  
 99 An overview of all Inclusion and exclusion criteria for each study can be seen in Table 1. The data  
 100 extracted from the studies included crop type, crop yield, crop yield change due to climate change or  
 101 farming practices, publication year, country or region, location or agro-ecological zone, climate change  
 102 scenario, type of climate model, field management scenario, crop model and simulation period of the  
 103 baseline and the projection scenario.

104 *Table 1: Inclusion and exclusion criteria for the systematic literature review process.*

Search checkpoints	Acceptance criteria	Rejection criteria
Initial search	Studies published in English and French	Studies published in other languages
	Projected climate change and climate scenarios	No change in climate and short-term seasonal climate data
	Crop yield change	Studies that report indicators or parameters others than crop yield
	Studies focused on the West African region	Studies focused on other regions
	Local, country and sub regional studies	Global and continental
Title and abstract screening	Focused on the selected crops	non-crop agricultural systems
	Modelling studies with quantitative outputs	Studies with qualitative outputs and studies reporting greenhouse experiments. Studies reporting non-quantitative outputs
Full paper review	Original studies	Qualitative literature review and discourse analysis
	Numerical crop yield or proportion of crop yield changes	Qualitative description of crop yield patterns

	Crop yield and changes under climate change	Yield and changes under farming practices only
	Yield change under different climate scenarios	Yield changes over different years
	Adaptation options under different climate scenarios	Adaptation options without different climate scenarios
	Detailed methodology	Insufficient details are provided on the methodology to carry out data analysis

## 2.3 Data analysis

Crops that had been investigated in at least three studies, including maize, sorghum, millet, rice, groundnut, yam, and cassava, were selected for data analysis. Relative changes in crop yields due to climate change were analysed under all scenarios examined in the studies. In addition, the impact of climate change on crop yields with and without adaptation strategies, as well as the impact of adaptation strategies on crop yields within the climate scenarios, were analysed.

### 2.3.1 Grouping of field management scenario into adaptation and business-as-usual scenarios

Because a variety of adaptation scenarios were used in the studies, we have pooled some scenarios to facilitate comparison across studies. The aggregated adaptation scenarios include increased fertiliser applications, optimised planting dates, and the use of climate-resilient cultivars with short or extended crop cycle lengths, high-yielding, and drought and heat tolerant traits. All simulated adaptation techniques are listed and described in Table 3.

Business-as-usual (BAU) scenarios were selected according to the following criteria: If the studies explicitly provided a BAU scenario, this was adopted. If no BAU scenario was defined, the BAU scenario was determined on the basis of the adaptation practice:

- Conventional or traditional crop varieties were selected as the BAU scenario when climate-resilient crop varieties were used as the adaptation practice.
- Non-optimal planting dates (i.e., too late, or too early planting) were selected as the BAU scenario when optimised planting dates were used as the adaptation practice.
- Low, or no fertiliser use was selected as the BAU scenario when increased fertiliser use was used as the adaptation practice.

### 2.3.2 Calculating the impact of climate change on crop yields

In most studies, the impact of climate change on crop yields was calculated using the relative change between crop yields simulated with historical climate data and crop yields simulated with different climate change scenarios. Alternatively, weather parameters from historical climate data were artificially changed to analyse the response of crops to gradually changing temperature, precipitation and CO<sub>2</sub>. An important limitation of this method is that interactions between climate parameters are not considered. If no relative changes in crop yields due to climate change were given in the studies, these were calculated using the absolute yields given.

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3 137  $CY = (YF - YB) / YB$  (1)  
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5 138 where  $CY$  is the relative change in yields due to climate change,  $YB$  is the yield for the baseline climate  
6 139 scenario, and  $YF$  is the yield for the future climate change scenario. We compared crop yield changes  
7 140 due to climate change based on the four representative concentration pathway scenarios (RCPs): RCP  
8 141 2.6, RCP 4.5, RCP 6.0, RCP 8.5. When studies used temperature and emission scenarios to project crop  
9 142 yields, we allocated them to the best aligning RCP scenario using the mapping in Table S1. The climate  
10 143 scenarios used for each study are listed in Table S2.

### 13 144 2.3.3 Calculating the impact of climate change on crop yields with and without 14 145 adaptation practices

15 146 We extracted or calculated the relative changes in crop yields due to climate change simulated under  
16 147 adaptation practices and under BAU practices.

17 148  $CY_m = (YF_m - YB_m) / YB_m$  (2)  
18  
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20 149 where  $CY$  is the relative change in yields due to climate change for farming practice  $m$ ,  $YB$  is the yield  
21 150 for the baseline climate scenario, and  $YF$  is the yield for the future climate change scenario. As the  
22 151 projected crop yields are compared to the baseline yields within the same field management  
23 152 scenarios, the impact of improved or more intensive farming practices on crop yields is excluded. The  
24 153 distributions of the relative crop yield changes are then compared between adaptation practices and  
25 154 corresponding BAU practices.

### 26 155 2.3.4 Calculating the impact of adaptation practices on crop yields within climate 27 156 scenarios

28 157 We extracted or calculated the relative change between paired values of crop yields simulated with  
29 158 and without adaptation practices.

30 159  $MY_c = (YA_c - YBAU_c) / YBAU_c$  (3)  
31  
32

33 160 where  $MY$  is relative change in yields due to adaptation practices for the climate scenario  $c$ ,  $YA$  is the  
34 161 yield for the adaptation practice,  $YBAU$  is the yield for the corresponding business-as-usual scenario.  
35 162 As changes in crop yields are compared within the same climate scenario, the impact of climate change  
36 163 on crop yields is excluded. The distributions of the relative changes in crop yields are then compared  
37 164 between baseline and future climate scenarios to analyse whether the effectiveness of adaptation  
38 165 practices changes in future climates.

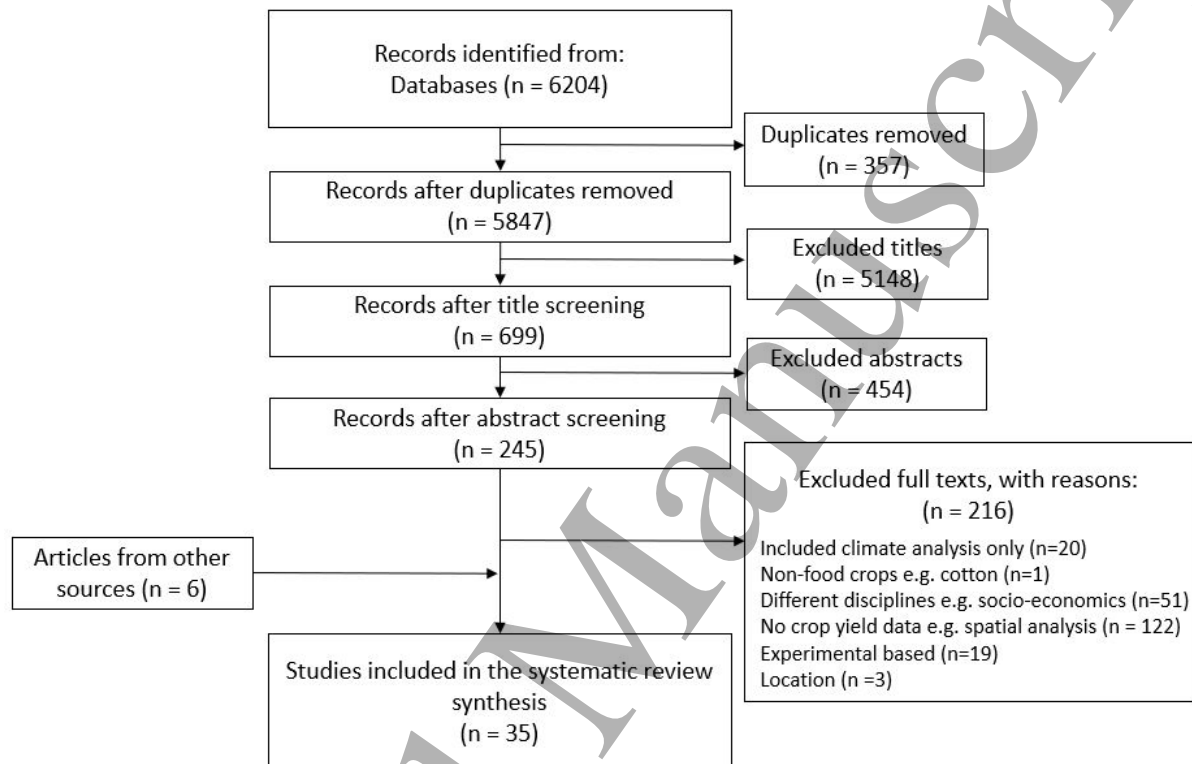
### 39 166 2.3.5 Consideration of the variability of the results due to study-specific factors

40 167 In addition to the modelled field management practices, simulated crop yields are also influenced by  
41 168 other study-specific modelling factors. To indicate the sensitivity of calculated crop yield changes to  
42 169 study-specific factors, we compared the degree of variation in median crop yield changes due to  
43 170 climate change between different simulation periods, climate scenarios, field management scenarios,  
44 171 crop models, countries, agro-ecological zones and type of climate models. The degree of variation of  
45 172 the median crop yield changes is expressed by the Interquartile range (IQR) of all median values per  
46 173 factor (Figure S1 to S7).  
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## 174 3 Results

### 175 3.1 Screening

176 The initial database search resulted in 6204 articles from Scopus and Web of Science. After removing  
 177 duplicates and screening for eligibility for the study based on the title and abstract, 245 articles  
 178 remained. From a previously conducted theoretical literature search, four studies were added. Two  
 179 studies were added after comments from two anonymous reviewers. After full article screening, a  
 180 total of 35 articles remained from which the data presented in this study were extracted (Figure 1).



181

182 *Figure 1: PRISMA diagram showing the number of articles at each stage of the screening process.*

183

184 Table 2: Articles used in the systematic review on food system modelling in West Africa, 2005-2020

<i>Article</i>	<i>Author (Year)</i>	<i>Title</i>
1	Traore <i>et al</i> (2017)	<i>Modelling cereal crops to assess future climate risk for family food self-sufficiency in southern Mali</i>
2	Sultan <i>et al</i> (2014)	<i>Robust features of future climate change impacts on sorghum yields in West Africa</i>
3	Sultan <i>et al</i> (2013)	<i>Assessing climate change impacts on sorghum and millet yields in the Sudanian and Sahelian savannas of West Africa</i>
4	Singh <i>et al</i> (2017)	<i>An assessment of yield gains under climate change due to genetic modification of pearl millet</i>
5	Amouzou <i>et al</i> (2019)	<i>Climate change impact on water- and nitrogen-use efficiencies and yields of maize and sorghum in the northern Benin dry savanna, West Africa</i>
6	Singh <i>et al</i> (2014)	<i>Quantifying potential benefits of drought and heat tolerance in rainy season sorghum for adapting to climate change</i>
7	Akumaga <i>et al</i> (2018)	<i>Utilizing Process-Based Modeling to Assess the Impact of Climate Change on Crop Yields and Adaptation Options in the Niger River Basin, West Africa</i>
8	Parkes <i>et al</i> (2018)	<i>Projected changes in crop yield mean and variability over West Africa in a world 1.5K warmer than the pre-industrial era</i>
9	MacCarthy <i>et al</i> (2017)	<i>Using CERES-Maize and ENSO as Decision Support Tools to Evaluate Climate-Sensitive Farm Management Practices for Maize Production in the Northern Regions of Ghana</i>
10	Yamoah (2018)	<i>Who Benefits, Who Loses and What can be done? - An Assessment of the Economic Impacts of Climate Change with and without Adaptation on Smallholder Farmers in Ghana</i>
11	Sarr and Camara (2018)	<i>Simulation of the impact of climate change on peanut yield in Senegal</i>
12	Regh <i>et al</i> (2014)	<i>Scenario-based simulations of the impacts of rainfall variability and management options on maize production in Benin</i>
13	van Oort and Zwart (2017)	<i>Impacts of climate change on rice production in Africa and causes of simulated yield changes</i>
14	Adam <i>et al</i> (2020)	<i>Which is more important to sorghum production systems in the Sudano-Sahelian zone of West Africa: Climate change or improved management practices?</i>
15	Adejuwon (2005)	<i>Assessing the suitability of the EPIC crop model for use in the study of impacts of climate variability and climate change in West Africa</i>
16	Adejuwon (2006)	<i>Food crop production in Nigeria. II. Potential effects of climate change</i>
17	Bosello <i>et al</i> (2017)	<i>Climate Change and Adaptation: The Case of Nigerian Agriculture</i>
18	Falconnet <i>et al</i> (2020)	<i>Modelling climate change impacts on maize yields under low nitrogen input conditions in sub-Saharan Africa</i>
19	Faye <i>et al</i> (2018)	<i>Potential impact of climate change on peanut yield in Senegal, West Africa</i>
20	Faye <i>et al</i> (2018b)	<i>Impacts of 1.5 versus 2.0 degrees C on cereal yields in the West African Sudan Savanna</i>
21	Freduah <i>et al</i> (2019)	<i>Sensitivity of maize yield in smallholder systems to climate scenarios in semi-arid regions of West Africa: Accounting for variability in farm management practices</i>
22	Guan <i>et al</i> (2015)	<i>What aspects of future rainfall changes matter for crop yields in West Africa?</i>
23	Mishra <i>et al</i> (2008)	<i>Sorghum yield prediction from seasonal rainfall forecasts in Burkina Faso</i>
24	Paeth <i>et al</i> (2008)	<i>Climate change and food security in tropical West Africa - A dynamic-statistical modelling approach</i>
25	Salack <i>et al</i> (2015)	<i>Crop-climate ensemble scenarios to improve risk assessment and resilience in the semi-arid regions of West Africa</i>
26	Srivastava <i>et al</i> (2015)	<i>Climate change impact and potential adaptation strategies under alternate climate scenarios for yam production in the sub-humid savannah zone of West Africa</i>
27	Tan <i>et al</i> (2010)	<i>Modeling to evaluate the response of savanna-derived cropland to warming-drying stress and nitrogen fertilizers</i>
28	Tingem <i>et al</i> (2009)	<i>Adaptation assessments for crop production in response to climate change in Cameroon</i>



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29	Schleussner <i>et al</i> (2016)	<i>Differential climate impacts for policy-relevant limits to global warming: the case of 1.5 °C and 2 °C</i>
30	Tan <i>et al</i> (2009)	<i>Historical and simulated ecosystem carbon dynamics in Ghana: land use, management, and climate</i>
31	Hounnou <i>et al</i> (2019)	<i>Economy-Wide Effects of Climate Change in Benin: An Applied General Equilibrium Analysis</i>
32	Ahmed <i>et al</i> (2015)	<i>Potential Impact of Climate Change on Cereal Crop Yield in West Africa</i>
33	Srivastava <i>et al</i> (2012)	<i>The impact of climate change on Yam (<i>Dioscorea alata</i>) yield in the savanna zone of West Africa</i>
34	Tachie-Obeng <i>et al</i> (2013)	<i>Considering effective adaptation option to impacts of climate change for maize production in Ghana</i>
35	Raes <i>et al</i> (2021)	<i>Improved management may alleviate some but not all of the adverse effects of climate change on crop yields in smallholder farms in West Africa</i>

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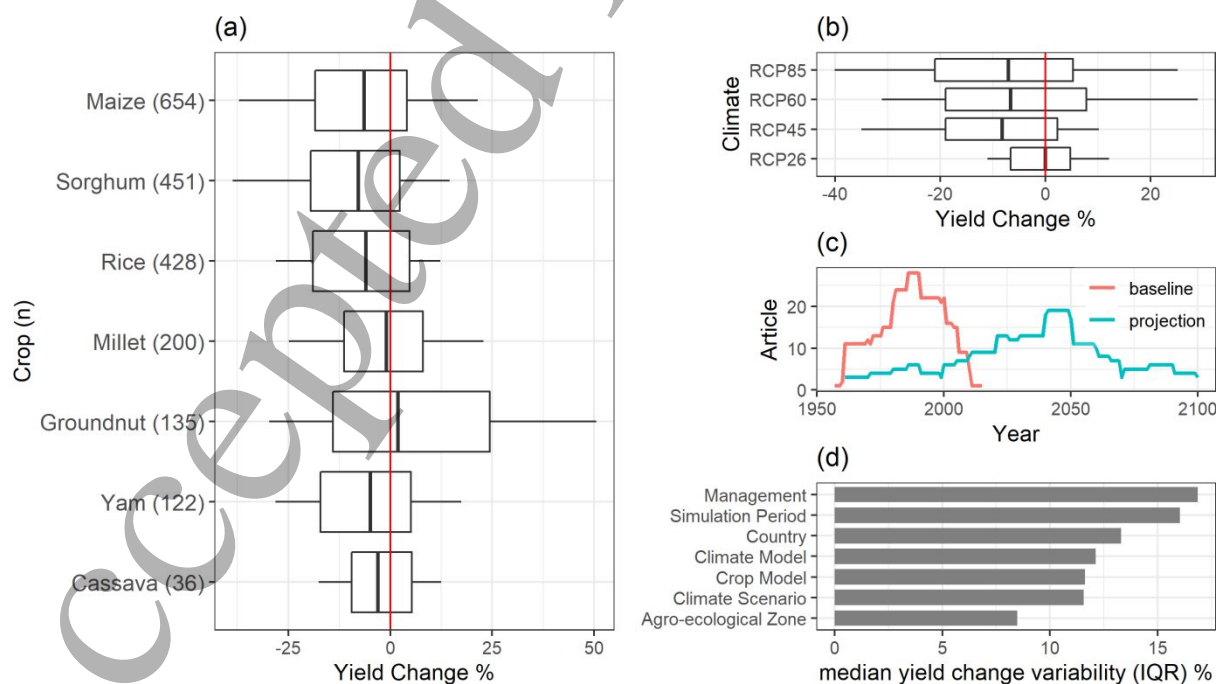
### 3.2 The impact of climate change on crop yields

Most articles in Table 2 have analysed the impact of climate change on crop yields in Benin (10 articles) and Ghana (10), followed by Mali (9), Nigeria (7), Niger (6), Senegal (6), Burkina Faso (5), Côte d'Ivoire (4), Cameroon (3), The Gambia (3), Mauritania (2), Togo (2), Chad (1), Guinea (1), Guinea-Bissau (1), and Sierra Leone (1). The crops analysed in most articles were maize (21 articles), sorghum (18), and millet (10). Other crops analysed in at least three articles were rice (8), groundnut (6), yam (5), and cassava (3). The most frequently used climate change scenario was the RCP8.5 scenario (19 articles), followed by the RCP4.5 scenario (16), the RCP6.0 scenario (11), and the RCP2.6 scenario (10).

Crop yields declined due to climate change by a median of 6% (25<sup>th</sup> to 75<sup>th</sup> percentile: -18% to +5%) in all scenarios analysed, with differences between individual crops. Median changes in crop yields were negative for maize (-6%; -18% to +4%), sorghum (-8%; -20% to +2%), rice (-6%; -19% to +5%), yam (-5%; -17% to +5%), cassava (-3%; -10% to +5%), and millet (-1%; -11% to +8%). Climate change impacts on groundnut yields were positive (+2%; -14% to +24%) (Figure 2a). The RCP 2.6 scenario led to the lowest change in yields of most crops. With higher radiative forcing, crop yield reductions became larger, and the variability of the changes increased (Figure 2b). Most crop yield projections covered the years between the 2020s and 2050s, while projections for the second half of the 21st century were limited in number (Figure 2c). A trend in the magnitude of crop yield changes over time could not be identified.

Overall, projected crop yield changes varied considerably, ranging from -97% to +268% as compared to the baseline. The projected crop yield responses to climate change are partly dependent on study-specific modelling factors (management scenario, simulation period, country, agro-ecological zone, climate model, climate scenario, crop model) (Figure 2d). The IQR of the median crop yield changes resulting from the different field management scenarios is highest, followed by the simulation period and the country of the study. The climate model, the climate scenario, the crop model, and the agro-ecological zone of the study side lead to lower IQRs of the median crop yield changes.

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212

213 *Figure 2: (a) Climate change impact on crops represented in at least three studies. Midline in each box represents median*  
 214 *values of crop yield changes. Boxes include values from the 25th to the 75th percentiles and whiskers bracket values between*  
 215 *the 10th and the 90th percentiles. (b) climate change impact on most common crops simulated with different climate*  
 216 *scenarios. (c) Years covered per article for the baseline simulation period and the projection simulation period. (d) Degree of*  
 217 *variation of median crop yield changes due to climate change impacts driven by study-specific factors for crop yield*  
 218 *projections.*

219

### 220 3.3 The impact of climate change on crop yields with and without adaptation 221 practices

222 Among the most analysed strategies to mitigate the impact of climate change on crop yields was the  
 223 increased use of fertilisers (10 articles), followed by the use of cultivars with extended crop cycle  
 224 length (8), optimised planting dates (8), short-cycle cultivars (6), high-yielding cultivars (3), and  
 225 drought- and heat-tolerant cultivars (2) (Table 3). Crop yields were projected to increase by 1% (25<sup>th</sup>  
 226 to 75<sup>th</sup> percentile: -14% to +12%) due to climate change with adaptation strategies and decrease by  
 227 12% (-23% to 0%) without adaptation. Statistically significant positive effects of adaptation strategies  
 228 on crop yield changes were found mainly in data from studies examining the impact of modified  
 229 planting dates and extended crop cycle lengths on maize, rice and sorghum (Figure S8).

230 The impact of climate change on crop yields with and without individual adaptation strategies is  
 231 illustrated in Figure 3. An extended crop cycle length was projected to increase crop yields by a median  
 232 of 6% (25<sup>th</sup> to 75<sup>th</sup> percentile: 0% to +17%) under climate change, whereas yields of non-modified  
 233 cultivars were projected to decrease by a median of 13% (-24% to -3%). Changing the planting dates  
 234 led to a projected increase of crop yields by a median of 5% (0% to +20%) under climate change  
 235 compared to a decrease of a median of 3% (-16% to +5%) under the business-as-usual scenario. The  
 236 impact of other adaptation strategies on climate stress for crops were less significant in the studies  
 237 analysed. Yields of short-cycle cultivars decreased by a median of 13% (-25% to -6%) and by 15% (-  
 238 30% to -12%) when business-as-usual varieties are used. In studies where drought and heat tolerant  
 239 cultivars were analysed, crop yields decreased by a median of 19% under climate change (-26% to -  
 240 10%) compared to a 22% (-30% to -13%) reduction of yields from common cultivars. Yield declines due  
 241 to climate change from high-yielding cultivars were slightly larger (-21%; -28% to -12%) than from the  
 242 common cultivar (-17%; -29% to -13%). In fields with high fertiliser use, median crop yields decreased  
 243 by 3% (-21% to +17%) and in fields with lower fertiliser use by 4% (-17% to +1%).

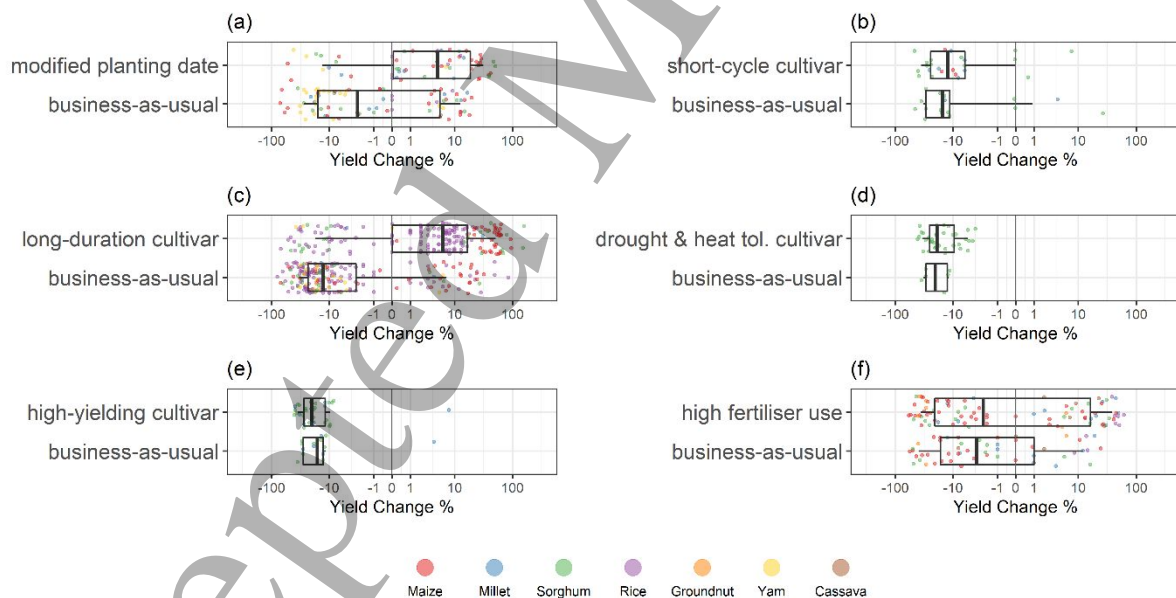
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245 *Table 3: Most common adaptation practices simulated in the reviewed studies*

Adaptation practice	Description	Studies
Modified planting date	Shift of planting dates (earlier or later) for crops to coincide with altered seasonal rainfall distribution and thermal conditions.	Traore et al. 2017, Akumaga et al. 2018, MacCarthy et al. 2017, Regh et al. 2014, Adejuwon et al. 2006, Srivastava et al. 2015, Tingem et al. 2009, Tachie-Obeng 2013
Cultivar with short crop cycle	Short crop life cycles can reduce the risk of crops being exposed to the negative effects of intra-seasonal rainfall and temperature fluctuations.	Sultan et al. 2014, Singh et al. 2017, Singh et al. 2014, Guan et al. 2015, Mishra et al. 2008,

		Salack et al. 2015
Cultivar with extended crop cycle	Lengthening the life cycles of crops by increasing their thermal requirements helps to compensate for the shortening of the crop cycle duration as the temperature rises. This leaves more time for vegetative growth and grain formation.	Singh et al. 2017, Singh et al. 2014, Srivastava et al. 2015, Tingem et al. 2009, Mishra et al. 2008, Van Oort et al. 2017, Akumaga et al. 2018, Tachie-Obeng 2013
High-yielding cultivar	Hypothetical cultivar with increased yield potential traits such as radiation use efficiency, relative leaf size and partitioning of assimilates to the panicle.	Sultan et al. 2013, Singh et al. 2014, Singh et al. 2017
Drought and heat-tolerant cultivar	Hypothetical cultivar with higher rooting density and increased resistance against water and temperature stress during the most susceptible phenological phases.	Singh et al. 2017 Singh et al. 2014
Increasing fertiliser	Increased mineral and organic fertilisation reduces crop nutrient stress, which can influence the sensitivity of yields to climate change.	Akumaga et al. 2018, Srivastava et al. 2015, Adam et al. 2020, MacCarthy et al. 2017, Traore et al. 2017, Tan et al. 2009, Tan et al. 2010, Amouzou et al. 2019, Falconnier et al. 2020, Faye et al. 2018

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247

248 *Figure 3: The impact of climate change on crop yields simulated under a business-as-usual field management scenario and*  
 249 *simulated under an adaptation strategy scenario. All values have been log-transformed for better visual comparison. Plots*  
 250 *for each crop are available in Figure S8.*

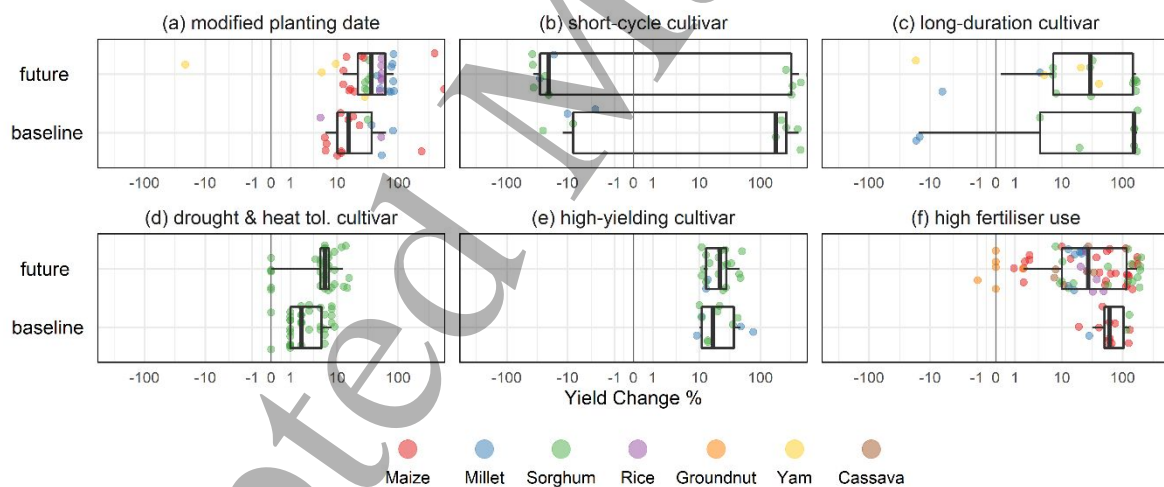
### 251 3.4 The impact of adaptation practices on crop yields within climate scenarios

252 The amount of data available to analyse crop yield changes due to adaptation practices was lower  
 253 than the amount of data available to analyse crop yield changes due to climate change. Many studies

254 reported only relative changes in crop yields due to climate change, but not absolute crop yields for  
 255 different adaptation practices or relative crop yield changes due to different adaptation practices. Due  
 256 to the data limitations, statistically significant differences between the effect of adaptation practices  
 257 in the future climate compared to the baseline climate were limited and could only be found for  
 258 modified planting dates and drought- and heat-tolerant cultivars for sorghum and maize (Figure S9).

259 In most cases, the impacts of the adaptation practices were positive under both baseline and future  
 260 climate scenarios (Figure 4). The positive effect of optimising planting dates on crop yields is greater  
 261 under future climate (+37%; 25<sup>th</sup> to 75<sup>th</sup> percentile: +23% to +63%) than under baseline climate  
 262 scenarios (+16%; +10% to +38%). Median values of positive crop yield changes for drought- and heat-  
 263 tolerant cultivars are also larger under future climate scenarios (+6%; +5% to +7%) than under baseline  
 264 climate scenario (+2%; +1% to +5%). This also applies to high-yielding cultivars, whose positive impact  
 265 on yields vary between a median of +22% (+13% to +28%) and +17% (+11% to +38%) for future and  
 266 baseline climate scenarios, respectively. Higher fertiliser use increased crop yields by a median of 62%  
 267 (+50% to +105%) under baseline climates, which was substantially lower under future climates (+28%;  
 268 +10% to +116%). The largest difference in the impact of adaptation measures between the baseline  
 269 and future climate scenarios was found for cultivars with different crop cycle lengths. Long-duration  
 270 cultivars increased crop yields by a median of 152% (+4% to +155%) under the baseline climate and  
 271 by 30% (+7% to +147%) under the future climate. Short-cycle cultivars increased yields by 177% (-8%  
 272 to +259%) under the baseline climate, but reduced yields by 21% (-29% to +310%) under future  
 273 climates. The effect of different crop cycle durations on yields was very variable and based on a  
 274 substantially smaller sample sizes compared to the other practices.

275



276

277 *Figure 4: The impact of adaptation practices on crop yields within baseline and future climate scenarios, expressed by relative*  
 278 *changes in crop yields between paired crop yield values simulated under an adaptation practice scenario and a business-as-*  
 279 *usual scenario. All values have been log-transformed for better visual comparison. Plots for each crop are available in Figure*  
 280 *S9.*

281

## 282 4 Discussion

### 283 4.1 Climate change impacts on crops are predominantly negative in West 284 Africa

285 The central tendencies of crop yield changes due to climate change were negative for maize, sorghum,  
286 millet, rice, yam and cassava. The negative impacts of climate change on crops in West Africa can be  
287 explained in part by the adverse role of higher temperatures, which shorten the duration of the crop  
288 cycle and increase evapotranspiration requirements (Sultan and Gaetani 2016). Previous studies  
289 confirm that climate change is having a predominantly negative impact on crops in West Africa (Jalloh  
290 *et al* 2013). Roudier *et al.* (2011) found a median yield loss of – 11% of important staple crops in West  
291 Africa. In a larger regional analysis, Knox *et al.* (2012) found mean yield changes of –17% (wheat), –5%  
292 (maize), –15% (sorghum) and –10% (millet) across Africa. As such, previous reviews quantifying  
293 changes in crop yields as a result of climate change indicate a greater decline in yields of most crops  
294 than found in this study. Although it is difficult to pinpoint the reason for this difference, our focus on  
295 agricultural adaptation strategies to mitigate the impact of climate change on crop yields may have  
296 contributed.

297 Groundnut was the least negatively affected by climate change. The positive changes in groundnut  
298 yields were mostly associated with CO<sub>2</sub> fertilisation in the studies reviewed (Tingem *et al* 2009, Faye  
299 *et al* 2018a). The benefits of CO<sub>2</sub> for crops are greatest for C3 crops such as groundnut and cassava.  
300 However, some of the most important staple crops in West Africa are C4 crops (e.g. maize, millet,  
301 sorghum), for which this positive effect is less significant (Roudier *et al* 2011).

302 Tuber and root crops are often considered less susceptible to climate change than other important  
303 staple crops in sub-Saharan Africa (Jarvis *et al* 2012). Many tuber and root crops have a high optimal  
304 temperature range that favours plant growth and are therefore less susceptible to the negative effects  
305 of warming (Srivastava *et al* 2015). Nevertheless, cassava and yam, were largely negatively affected  
306 by climate change in the studies reviewed. The yield changes for cassava and yam are based on the  
307 smallest sample size and are therefore more influenced by study-specific factors than the other crops.  
308 For example, the yam yield reductions were linked to a decrease in precipitation at the study site  
309 (Srivastava *et al* 2012, 2015).

310 However, future changes in precipitation patterns in West Africa are highly uncertain (Pendergrass *et al*  
311 *et al* 2017), and thus crop yield changes due to droughts and water availability are uncertain. Since West  
312 Africa is heavily influenced by summer monsoon rainfall, resulting in high variability in seasonal  
313 rainfall, uncertain wet or dry conditions are an important constraint to projecting crop yields in this  
314 region, especially since agriculture is mainly rain-fed (Ramirez-Villegas *et al* 2013, Guan *et al* 2015,  
315 Salack *et al* 2016). Studies analysing inter-annual yield variability and probability of yield failure can  
316 help to assess the resilience of crops in those environments (Guan *et al* 2017).

### 317 4.2 Adaptation strategies to offset negative climate change impacts

318 Despite the uncertain impacts of climate change on crops, previous studies concluded that the impacts  
319 of climate change on crops in West Africa will be largely negative without agricultural practices that  
320 respond to changing environmental conditions (Roudier *et al* 2011, Paeth *et al* 2008). This study  
321 showed that adaptation strategies can significantly reduce negative climate change impacts. A similar  
322 effect was found by a review of studies by Challinor *et al.* (2014), who found that adaptation increases  
323 simulated yields (wheat, rice, maize) in different temperate and tropical global regions by an average

324 of 7-15%. This was confirmed by various ground studies that demonstrate the positive effect of  
325 climate-smart technologies and practices on crop yields in West Africa (Zougmore *et al* 2014, 2018).

326 In most studies reviewed, climate resilient crop varieties and optimised planting dates led to higher  
327 yields compared to the business-as-usual scenario and could often offset the negative impacts of  
328 climate change for crops. However, the impact of these adaptation techniques on the response of  
329 crops to changing climate differs widely and can be negative.

330 The impact of climate-resilient crop varieties depends on how well they are matched to changing  
331 climate patterns. Whilst longer varieties with larger thermal requirements can produce higher yields  
332 in a warming climate (Singh *et al* 2014, Tingem *et al* 2009), varieties with a shorter crop cycle can  
333 protect against yield loss due to late season drought stress (Siebert and Ewert 2012). Similarly, location  
334 and context-specific circumstances are crucial for the selection of cultivars. At locations where water  
335 resources are scarce, cultivars with increased resistance to heat shocks and drought can be used  
336 (Debaeke *et al* 2017). Although these cultivars were less common in the studies reviewed and the few  
337 cases analysed had a small impact on reducing climate stress, drought- and heat-tolerant cultivars  
338 have been reported as an effective adaptation technique in arid and semi-arid tropical climate (Singh  
339 *et al* 2017, Segnon *et al* 2021).

340 Despite the benefits of modern climate-resilient varieties, certain traits of traditional varieties are also  
341 beneficial for crop resilience to future climate conditions (Sultan *et al* 2013). For example, traditional  
342 sorghum cultivars with a longer growth cycle could better take advantage of increased rainy season  
343 length and increased total rainfall amount than modern cultivars with a short growth cycle (Guan *et al*  
344 2015). Photoperiod sensitivity, which is a common characteristic of traditional crop varieties, can  
345 shorten the plants reproductive phase through early flowering, thereby reducing climate stress from  
346 a shortening growing season due to warming (Daba *et al* 2016). In addition, with traditional  
347 photoperiod-sensitive varieties, farmers can more flexibly adjust their planting dates to the rainfall  
348 variability common in the arid regions of West Africa, thus taking advantage of early rains (Mishra *et al*  
349 2008).

350 The impact of changing planting dates on crop yields is closely related to seasonal weather patterns.  
351 By changing the sowing dates, the developmental stages of the plants are adapted to the seasonal  
352 weather patterns that determine plant development, such as the beginning and end of the rainy  
353 season, the distribution of precipitation within the season or thermal conditions, which influences the  
354 duration of the vegetation and reproductive phase, as well as the timing of possible heat and drought  
355 stress (Regh *et al* 2014, Mishra *et al* 2008, Tingem *et al* 2009, Freduah *et al* 2019). In addition, the time  
356 of sowing influences crop yields by determining the timing of other management practices such as  
357 tillage, fertilisation and irrigation (Regh *et al* 2014).

358 Although optimised planting dates are in most cases an effective strategy to reduce and offset  
359 negative impacts of climate change on crop yields, this strategy did not offset the negative impacts of  
360 climate change on crop yields in all studies reviewed (Tingem *et al* 2009, Akumaga *et al* 2018,  
361 Srivastava *et al* 2015). Planting too early may lead to crop failure due to failed establishment, and  
362 delayed planting will shorten the overlap between plant growing season and rainfall season and thus  
363 yields (Mishra *et al* 2008, MacCarthy *et al* 2017). Moreover, shifting planting dates can cause logistical  
364 problems for farmers. Farmers might struggle to plant on time because of lack of machinery (Traore  
365 *et al* 2017), or shifting the sowing date of certain crops may lead to an overlap with the growing season  
366 of the next crop (van Oort *et al* 2016).

### 4.3 A combination of strategies is needed to increase crop yields in a changing climate

In several cases, the greatest potential of climate-resilient crop varieties and modified planting dates to offset climate change impacts was only achieved in combination with optimised fertiliser and irrigation management (Sultan *et al* 2013, Srivastava *et al* 2015, MacCarthy *et al* 2017). Despite the positive impact of fertiliser in combination with other adaptation practices on reducing climate impacts on crops, increasing fertiliser alone did not reduce climate stress for crops in most cases. Some studies even reported increased adverse climate impacts on crop yields in relative terms with higher fertiliser use (Faye *et al* 2018a, Sultan *et al* 2014). This is probably because with lower nutrient deficiencies, plants are more able to take advantage of good weather conditions and are therefore more sensitive to climate (Schlenker and Lobell 2010).

Whilst greater fertiliser use did not significantly reduce climate stress in most cases, it greatly increased crop yields under constant climate. Although this positive effect diminished in future climates, it was still substantial in many cases, showing the great potential of fertilisers to boost crop yields now and in the future. In several cases, where low fertiliser rates or soil fertility were the most severe constraint for production, the response of crop yields to fertiliser was stronger than to climate change (Tan *et al* 2009, 2010, Srivastava *et al* 2012).

Low soil nutrient levels due to low soil organic carbon content and poor availability of inorganic fertilisers are a common problem limiting crop yields in West Africa (Stewart *et al* 2020, Pradhan *et al* 2015, Zougmore *et al* 2010). Thus, increasing availability and access to agricultural inputs should be part of the strategy to maintain or increase future crop production. In addition to nutrient deficiencies, constraints due to low farm inputs can also lead to water deficiencies and encroachment by weeds, pests and diseases, resulting in yield potential not being achieved (van Ittersum *et al.*, 2016). As yield gaps are usually caused by multiple constraints, a combination of techniques is required to achieve potentially attainable yields at a site (Pradhan *et al* 2015).

### 4.4 Implications for policy and practice

Farmers in West Africa have experience in taking advantage of more favourable growing conditions by adopting a range of measures, such as shifting planting dates; changing species, varieties, and crop rotations; altering soil management and fertilisation; and introducing or expanding irrigation (Sultan and Gaetani 2016, Debaeke *et al* 2017, Segnon *et al* 2021). Although not all of these measures were addressed in the studies reviewed, it became clear that successful implementation of climate change adaptation strategies can be challenging and is highly dependent on site- and context-specific circumstances.

Shifting planting dates is often referred to as the simplest climate change adaptation strategy, and may be more accessible to many farmers than other strategies, such as improved varieties (Debaeke *et al* 2017, Singh *et al* 2017). As the timing of farm operations is often determined by a narrow rainfall band, optimal sowing dates require robust weather information (Tingem *et al* 2009, MacCarthy *et al* 2017). This is especially important in West Africa due to its high weather variability and the possibility of increasing variability due to climate change (Tarchiani *et al* 2018). Modified cultivars have been suggested as a valuable long-term climate change adaptation strategy (Tingem *et al* 2009). Breeding new varieties can take more than ten years (Asseng and Pannell 2013); thus, understanding future climatic conditions is important for developing varieties that are expected to be resilient under these conditions.



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2  
3 410 A challenge in the formulation of effective adaptation strategies is that the success of agricultural  
4 411 techniques to offset climate stress under the current climate does not necessarily mean that these  
5 412 strategies will work equally well for future climates (Lobell, 2014). Whilst the data analysed shows that  
6 413 the positive effect of optimised planting dates and drought- and heat-tolerant cultivars on crop yields  
7 414 can increase in future climates, this could not be confirmed for other adaptation practices. This was  
8 415 partly difficult to assess due to the small amount of data available, suggesting that further studies are  
9 416 needed to examine the effectiveness of adaptation strategies for future climates. Improving data  
10 417 quality for formulating long-term climate change adaptation strategies must be accompanied by  
11 418 improving regional climate models to better understand the future climate conditions to which  
12 419 farmers will have to adapt (Guan *et al* 2017). Crop diversification can spread risk against the current  
13 420 uncertainty of climate change impacts and provide a buffer for crop production against the impacts of  
14 421 greater climate variability and extreme events (Lin 2011, Segnon *et al* 2021).

18 422 The large yield gap in West Africa suggests enormous potential to increase agricultural productivity by  
19 423 shifting farming practices from traditional low-input farming to modern high-input farming. Much of  
20 424 the low productivity can be attributed to limited market access, resulting in reduced availability of  
21 425 fertilisers, pesticides, and machinery (Neumann *et al* 2010). Examples from Sub-Saharan Africa show  
22 426 that government subsidy programs for agricultural inputs can successfully improve land productivity  
23 427 (Wichelns 2003). In addition, farmers can significantly increase crop yields by investing in water  
24 428 harvesting methods and small-scale irrigation projects where water resources are available. While the  
25 429 potential for scaling up irrigation in West Africa is unclear, examples from low-income countries in  
26 430 Asia have shown that it is an essential component for increasing agricultural productivity and self-  
27 431 sufficiency (Headey and Jayne 2014). While increasing agricultural inputs and climate change  
28 432 adaptation measures hold great potential to maintain or increase future crop production in many  
29 433 regions, it will be important to avoid negative environmental impacts of intensification, particularly  
30 434 from overuse of nutrients and pesticides (van Ittersum *et al* 2016).

#### 35 435 4.5 Limitations and strengths of this study

36 436 By reviewing studies examining the impact of climate change and adaptation strategies on crops we  
37 437 have shown the wide range of potential negative and positive changes in crop yields in West Africa.  
38 438 While much of the variation can be explained by differences between the studies reviewed, e.g., in  
39 439 field management assumptions and climate change scenarios, uncertainties in the simulation of  
40 440 climate change impacts on crops have also contributed.

43 441 Several authors have provided an overview of the limitations and necessary improvements of crop  
44 442 models and their application in climate change impact assessments (e.g., Boote *et al.*, 2013; Ewert *et*  
45 443 *al.*, 2015). Important uncertainties remain about crop responses to key climate parameters such as  
46 444 temperature (Asseng *et al* 2013), precipitation (Lobell and Burke 2008) and CO<sub>2</sub> (Ainsworth *et al* 2008,  
47 445 Long *et al* 2006). This leads to different physiological assumptions between crop models. In addition,  
48 446 crop models lack representation of the impacts of extreme weather events and of non-weather-  
49 447 related processes such as pests, diseases, and weeds, which may lead to an overestimation of the  
50 448 positive impacts of climate change on crops (Balkovič *et al* 2018, White *et al* 2011). Nevertheless, plant  
51 449 susceptibility to warming can be identified from known optimal temperature ranges that can control  
52 450 plant growth (Hatfield *et al* 2011). Due to similar basic assumptions about crop-temperature  
53 451 relationships in crop models, there is high agreement on negative impacts of climate change on most  
54 452 major staple crops at low latitudes, despite existing uncertainties (Rosenzweig *et al* 2014).

58 453 Although crop models are often used in assessing climate change impacts, they were originally  
59 454 developed to support field management decisions (Hertel and Lobell 2014). By focusing on this

function of crop models, we illustrated that different field management assumptions lead to large differences in simulated climate change impacts on crops. Conversely, the varying effects of farming methods on crops are determined by climate scenarios and site-specific circumstances. A systematic review such as the one presented here can illustrate this variability and the potential of different farming practices to increase crop yields under a variety of scenarios and situations. Specific strategies to increase agricultural productivity and resilience in individual fields need to be explored based on detailed site information, in which locally parameterised and calibrated crop models can aid the decision-making process (Webber *et al* 2014).

An important limitation of this review is the small number of crops and adaptation techniques analysed (Figure S10). Since the impact of climate change varies greatly by crop and farming method, projections of production changes in West Africa become more robust as the number of crops and field management strategies considered increases. The lack of these data is partly because most climate adaptation studies are based on crop models, which are not yet suitable for all crops and have limited ability to simulate complex land management practices. Therefore, farming techniques that are widely used in West Africa, such as agroforestry or water harvesting through planting pits (*zai* or *half-moon*), were not considered in this study, although they are promising strategies to mitigate the negative impacts of climate change (Partey *et al* 2018, Zougmore *et al* 2018). Furthermore, data on the impacts of climate change and adaptation strategies for fruits and vegetables are lacking. Given their importance to the agricultural sector in West Africa and their nutritional significance, this is an important concern that should be addressed in future climate adaptation studies.

## 5 Conclusion

In this systematic review we analysed the impact of climate change and adaptation practices on crop yields in West Africa and the potential of adaptation practices to offset negative climate change impacts. While recent studies suggest that climate change impacts are mostly negative, adaptation strategies that are already used by farmers can substantially mitigate these effects. Optimised planting dates and cultivars with an extended crop cycle length could offset negative climate change impacts in most cases. As the response of crops to different adaptation strategies varies widely, cultivation techniques must be carefully adapted to changing climate patterns and different conditions on individual farms. In addition to climate change impacts, the low productivity of West African agriculture deploys a huge potential to increase crop yields by transforming traditional low-input to modern high-input management systems. Although increased fertilisation has not reduced climate stress for crops in most studies, it can significantly increase crop yields in West Africa due to low soil productivity. As crop yields in West Africa are limited by many factors, a combination of methods is needed to increase crop production.

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## Conflict of interest

The authors do not have any competing interests to declare.

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