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Prognostic and therapeutic factors influencing the clinical outcome of metastatic Ewing sarcoma family of tumors: A retrospective report from the Japan Ewing Sarcoma Study Group

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## Prognostic and therapeutic factors influencing the clinical outcome of metastatic Ewing's sarcoma family of tumors: a retrospective report from the Japan Ewing Sarcoma Study Group

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1 **RESEARCH ARTICLE**2 **Prognostic and therapeutic factors influencing the clinical outcome of metastatic**  
3 **Ewing's sarcoma family of tumors: a retrospective report from the Japan Ewing**  
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36

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43

#### 44 ABBREVIATIONS

ACT	Actinomycin D
BU	Busulfan
CI	Confidence interval
CPA	Cyclophosphamide
CR	Complete response
DXR	Doxorubicin
ESFT	Ewing's sarcoma family of tumors
ETP	Etoposide
EWSR	Ewing's sarcoma breakpoint region
FISH	Fluorescent in situ hybridization
IE	Ifosfamide+etoposide
IFM	Ifosfamide
OS	Overall survival
MEL	Melphalan
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
SCT	Stem cell transplantation

TPT	Topotecan
VACA	Vincristine+actinomycin D+cyclophosphamide+doxorubicin
VAIA	Vincristine+actinomycin D+ifosfamide+doxorubicin
VCR	Vincristine
VDC	Vincristine+doxorubicin+cyclophosphamide
VIDE	Vincristine+ifosfamide+doxorubicin+etoposide

45

46 **ABSTRACT**

47 **Background.** The prognosis of patients with metastatic Ewing's sarcoma family of  
 48 tumors (ESFT) remains poor. **Procedure.** We retrospectively analyzed 57 patients  
 49 diagnosed with metastatic ESFT between 2000 and 2018 to identify prognostic and  
 50 therapeutic factors affecting the clinical outcome. **Results.** The 3-year overall survival  
 51 (OS) rate of the entire cohort was 46.8% [95% confidence interval (CI), 33.0–59.4%].  
 52 Treatment-related death was not observed. Multivariate analysis identified stem cell  
 53 transplantation (SCT), response to first-line chemotherapy, and bone metastasis as  
 54 independent risk factors for OS. Objective response rate to first-line chemotherapy was  
 55 65.1% in the 43 evaluable patients. There was no significant difference in the response  
 56 to different types of first-line chemotherapy. Among patients with lung metastasis  
 57 alone, the 3-year OS rate was higher in 13 patients who received local treatment than in  
 58 four who did not, although the difference was not significant. **Conclusions.** One  
 59 possible reason for the high OS rates was the absence of treatment-related mortality  
 60 even in patients receiving SCT, which could be attributed to advances in the  
 61 management of post-SCT complications. Novel first-line chemotherapy strategies need

62 to be established to improve the disease status prior to SCT in a higher proportion of  
63 patients.

64

65 Keywords: Ewing's sarcoma family of tumors; metastatic; chemotherapy; stem cell  
66 transplantation.

67

68

For Peer Review

## 69 1 | INTRODUCTION

70 Ewing's sarcoma family of tumors (ESFT), the second most frequent bone tumor in  
 71 children and young adults, is driven by an Ewing's sarcoma breakpoint region  
 72 (EWSR1) fusion oncogene.<sup>1</sup> Metastasis, which most commonly affects the bone, lung,  
 73 and bone marrow, is detected in approximately 20–30% of patients with ESFT at initial  
 74 diagnosis.<sup>1,2</sup> The long-term survival rate of patients with metastatic ESFT is <30%,<sup>1–6</sup>  
 75 which is lower than that of localized ESFT.<sup>1,2,7–9</sup> The main prognostic factors in patients  
 76 with ESFT are age at diagnosis, tumor volume, modality of metastasis (i.e., bone  
 77 marrow involvement, number of bone metastasis, and additional lung metastasis), and  
 78 histological or radiological response of the primary tumor to first-line  
 79 chemotherapy.<sup>6,10–12</sup>

80 One of the main causes of a poor outcome in patients with metastatic ESFT is  
 81 a poor response to chemotherapy. Multidrug chemotherapy regimens established as  
 82 first-line chemotherapy for localized ESFT, such as vincristine (VCR)+doxorubicin  
 83 (DXR)+cyclophosphamide (CPA; VDC) alternating with ifosfamide (IFM)+etoposide  
 84 (ETP; IE), VCR+actinomycin D (ACT)+CPA+DXR (VACA), VCR+ACT+IFM+DXR  
 85 (VAIA), and VCR+IFM+DXR+VP16 (VIDE), are often ineffective for metastatic  
 86 ESFT.<sup>3,6,13</sup> In Western countries, the efficacy of intensified chemotherapy has been  
 87 investigated by adding another anticancer drug to these combination chemotherapies, or  
 88 by increasing the dose of each anticancer drug. However, these therapeutic approaches  
 89 have increased the incidence of acute and late adverse effects without improving the  
 90 curative rate.<sup>7,13–15</sup> Furthermore, evidence supporting the clinical benefit of stem cell

91 transplantation (SCT)<sup>5,10,11,16–22</sup> or local treatment (surgery and radiotherapy) for  
 92 primary site or metastatic disease in ESFT remains limited.<sup>23–25</sup>

93 Here, we retrospectively analyzed the clinical outcomes of patients with  
 94 metastatic ESFT to evaluate the prognostic and therapeutic factors affecting patient  
 95 outcome in the recent era.

96

## 97 2 | MATERIALS AND METHODS

### 98 Study design and data collection

99 This study was approved by the Clinical Research Review Committee of the Japan  
 100 Children's Cancer Group, and the institutional ethics committee of Kyoto University  
 101 Hospital. A questionnaire was distributed to 51 institutions (see Appendix for detail) to  
 102 gather information about patient characteristics, treatment, and clinical outcome of  
 103 patients who were diagnosed with metastatic ESFT between 2000 and 2018 from  
 104 medical records. Data from 67 patients were obtained from the 29 institutions. Of the 67  
 105 patients, eight were excluded due to a lack of data on survival status (n = 2) or EWS-  
 106 ETS fusion gene (n = 6). One patient with central nervous system ESFT and another  
 107 with Ewing-like sarcoma harboring the BCOR-CCNB fusion gene were also excluded.  
 108 EWS-ETS fusion genes, including *EWS-FLII* (n = 39) and *EWS-ERG* (n = 2), were  
 109 detected in 41 patients by reverse transcription polymerase chain reaction. In the  
 110 remaining 16 patients, the *EWSR1* translocation was detected by fluorescent in situ  
 111 hybridization (FISH). In total, 57 patients with metastatic ESFT were analyzed.



112 The radiological response to chemotherapy was evaluated according to

113 RECIST guidelines (version 1.1).<sup>26</sup>

114

### 115 **Statistical analysis**

116 The characteristics of patients in the two groups were compared using Fisher's exact

117 test for categorical variables. The probability of overall survival (OS), defined as the

118 duration of survival between the diagnosis and either death or the last follow-up, and

119 progression-free survival (PFS), defined as the duration of survival between the

120 diagnosis and either disease progression, death, or the last follow-up, were estimated

121 using the Kaplan-Meier method; the log-rank test and Cox proportional hazard model

122 were used for univariate and multivariate analyses, respectively. The factors included in

123 the analyses were patient age group (0–12 years vs.  $\geq 13$ ), gender (male vs. female),

124 fusion gene (*EWS-FLII* vs. *EWS-ERG* vs. *EWS-FEV* vs. EWSR1-FISH), primary tumor

125 origin (bone vs. soft tissue), primary tumor site (extremity vs. axial vs. other), primary

126 tumor size (<200 ml vs.  $\geq 200$  ml), lung metastasis (isolated vs. combined vs. no), bone

127 marrow metastasis (no vs. yes), bone metastasis (no vs. 1–4 vs.  $\geq 5$ ), response to first-

128 line salvage chemotherapy [complete response (CR)/partial response (PR) vs. stable

129 disease (SD)/progressive disease (PD)], SCT (no vs. yes), and type of SCT (single

130 autologous SCT vs. other types of SCT, including tandem autologous SCT, single

131 allogeneic SCT, and tandem autologous-allogeneic SCT). Factors with  $P < 0.1$  in the

132 univariate analysis were included in the multivariate analysis. The response to first-line

133 chemotherapy was evaluated by univariate analysis using Pearson's chi-square test. All

134 statistical analyses were performed using EZR (version 1.32, Saitama Medical Center,  
135 Jichi Medical University), which is a graphical user interface for R (the R Foundation  
136 for Statistical Computing).<sup>27</sup>

137

### 138 3 | RESULTS

#### 139 Patient characteristics

140 Of 51 surveyed institutions, 29 (56.9%) responded. The characteristics of the 57 patients  
141 included in the study are shown in Table 1. Of the 57 patients, 35 received SCT [SCT  
142 (+) group], whereas 22 patients did not [SCT (-) group]. Patients in the SCT (+) group  
143 were more likely to be younger at diagnosis and to have a primary tumor in the bone.  
144 Fifty patients were initially treated with chemotherapy before local treatment, including  
145 VDC/IE at 2-week (n = 11) or 3-week (n = 24) intervals, VIDE (n = 7), and VAIA (n =  
146 3). Five of the remaining seven patients received chemotherapy, including  
147 VCR+ACT+IFM (n = 2), VDC/IE at 3-week intervals (n = 1), VIDE (n = 1), and VAIA  
148 (n = 1), after local treatment for primary site tumors or metastasis. Nine patients  
149 underwent surgery, 29 received radiotherapy, and 14 received both as local treatment  
150 for primary site tumors. One patient underwent surgery, 32 received radiotherapy, and  
151 four received both as local treatment for metastasis.

152

#### 153 Factors affecting overall and progression-free survival

154 The 3-year OS rate of the entire cohort was 46.8 % [95% confidence interval (CI),  
155 33.0–59.4%]. Treatment-related death was not observed. One female patient developed

156 a secondary follicular thyroid carcinoma outside the irradiated field 5 years and 10  
 157 months after the treatment. In the multivariate analysis, in addition to bone metastasis  
 158 and response to first-line chemotherapy, SCT was identified as the independent risk  
 159 factor for OS (adjusted hazard ratio, 0.14; 95% CI, 0.05–0.46,  $P = 0.001$ ; Table 2). The  
 160 3-year PFS rate of the entire cohort was 41.4% (95% CI, 28.0–54.2%). Multivariate  
 161 analysis of factors affecting PFS showed that in addition to lung metastasis and  
 162 response to first-line chemotherapy, SCT was identified as the independent risk factor  
 163 (adjusted hazard ratio, 0.23; 95% CI, 0.08–0.65,  $P = 0.005$ ; Supplementary Table 1).  
 164 The 3-year OS and PFS rates grouped by SCT and adjusted for other potential  
 165 confounding factors were 74.8% (95% CI, 59.0–94.7%) and 60.4% (95% CI, 43.4–  
 166 84.0%), respectively, in patients who underwent SCT, and 22.5% (95% CI, 7.8–64.5%)  
 167 and 15.2% (95% CI, 9.9–74.7%), respectively, in those who did not (Fig. 1a and b).

168 Among the 43 patients evaluable for radiological response to first-line  
 169 chemotherapy before local treatment, there were 4 CR, 24 PR, 10 SD, and 5 PD, with an  
 170 objective response rate (CR+PR) of 65.1%. There was no significant difference in the  
 171 response to different types of first-line chemotherapy ( $P = 0.960$ , Fig. 2).

172

### 173 **Clinical significance of SCT**

174 The clinical information of 35 patients undergoing SCT is shown in Supplementary  
 175 Table 2. The 35 patients received median 6 (range, 2–16) cycles of first-line  
 176 chemotherapy. The attending physicians at each hospital chose the conditioning  
 177 regimen or modality of SCT. Twenty-three patients received single autologous SCT,

178 eight received tandem autologous SCT, one received single allogeneic SCT, and three  
 179 received tandem autologous-allogeneic SCT. The most common conditioning regimens  
 180 were busulfan (BU)+melphalan (MEL) (n = 18), ETP+MEL (n = 7),  
 181 CBDCA+ETP+MEL (n = 6), and topotecan (TPT)+CPM+MEL (n = 4).

182           The effect of other confounding factors on the benefits of SCT was analyzed.  
 183 Univariate analysis of factors affecting OS in patients receiving SCT identified primary  
 184 tumor site, response to first-line chemotherapy, type of SCT, and disease status before  
 185 SCT as significant factors (Table 3). Univariate analysis of factors affecting PFS  
 186 demonstrated similar tendencies (Supplementary Table 3). Multivariate analysis of  
 187 factors affecting OS and PFS was not performed because of the low number of patients  
 188 included in the study. The 3-year OS and PFS rates in patients receiving single  
 189 autologous SCT were significantly lower than those in patients receiving other types of  
 190 SCT ( $P = 0.018$  and  $0.035$ , Supplementary Fig. 1a and b). Among patients who  
 191 underwent single autologous SCT, the 3-year OS rate was significantly higher in  
 192 patients receiving BU+MEL than in those receiving other conditioning regimens  
 193 (53.8%; 95% CI, 24.8–76.0% vs. 0%;  $P = 0.035$ ), as previously reported.<sup>20</sup>

194

### 195 **Impact of local treatment of lung metastasis on clinical outcome**

196 The 3-year OS rate in 17 patients with lung metastasis alone was 68.8% (95% CI, 40.0–  
 197 85.9%). After grouping patients by local treatment for lung metastases, the 3-year OS  
 198 rate was higher in 13 patients who received local treatment than in four patients who did

199 not, although the difference was not statistically significant [100% vs. 59.3% (95% CI  
200 27.5–81.0%),  $P = 0.176$ ].

201

## 202 4 | DISCUSSION

203 In the present study, OS and PFS rates in patients with metastatic ESFT were higher  
204 than those reported previously.<sup>1,3–6</sup> One possible reason for the encouraging outcome is  
205 the absence of treatment-related mortality even in patients receiving SCT, which could  
206 be attributed to advances in the management of post-SCT complications. Another  
207 possible explanation is that the present study included a higher proportion of younger  
208 patients with a better outcome, although OS and PFS rates did not differ significantly  
209 between younger and older age groups.

210 The present study identified response to first-line chemotherapy and SCT as  
211 independent risk factors for both OS and PFS. Previous reports demonstrating the  
212 clinical benefit of SCT excluded patients who did not achieve CR or PR, which  
213 introduces selection bias favoring patients with a better clinical course.<sup>10,17,20</sup> By  
214 contrast, the present study, which included such chemotherapy-resistant patients,  
215 demonstrated the contribution of SCT to increasing OS after adjusting for other  
216 potential confounding factors, including lung metastasis, bone metastasis, and response  
217 to first-line chemotherapy.

218 Allogeneic SCT for metastatic ESFT is not regarded favorably because it is  
219 associated with a higher rate of complications, and because there is a lack of evidence  
220 supporting the immune-mediated graft-versus-Ewing tumor effect.<sup>11,16,18</sup> The clinical

221 benefit of tandem SCT also remains controversial.<sup>18,19,21,22</sup> The present study  
222 demonstrated that OS and PFS are **somewhat** better in patients treated with other types  
223 of SCT (tandem and/or allogeneic SCT) than in those receiving single autologous SCT,  
224 although the clinical significance of tandem or allogeneic SCT was not evaluated  
225 individually because of the low number of patients included in the study. There was no  
226 treatment-related mortality among patients receiving other types of SCT, which can be  
227 attributed to advances in the management of post-SCT complications. However, the  
228 data should be interpreted with caution because treatment bias (i.e., contraindication of  
229 other types of SCT in patients with worse disease status or general conditions) may  
230 affect the clinical outcome.

231           Histological or radiological response to first-line chemotherapy is a strong  
232 prognostic factor in patients with metastatic ESFT.<sup>12</sup> The radiological objective  
233 response rate in the present study (65.1%) was almost equivalent to that reported  
234 previously,<sup>12</sup> although there is still room for improvement. Intensification of  
235 chemotherapy with established activity against localized ESFT has reached maximal  
236 efficacy and toxicity; therefore, novel first-line therapies need to be established to  
237 improve disease status prior to SCT in a higher proportion of patients with metastatic  
238 ESFT. Among novel therapies, interval-compressed chemotherapy, which has increased  
239 efficacy without increasing toxicity,<sup>8</sup> should lead to favorable results. Alternatively,  
240 recently established salvage chemotherapy regimens for recurrent or refractory ESFT,  
241 such as TPT+CPA and irinotecan+temozolomide,<sup>27,28</sup> are good candidates for first-line  
242 therapy.

243 Consistent with previous analyses,<sup>3,6,14</sup> the present study demonstrated that the  
 244 prognosis of patients with lung metastasis alone is better than that of patients with bone  
 245 and/or bone marrow metastasis. Furthermore, surgery or whole lung irradiation have a  
 246 potentially significant therapeutic effect in patients with lung metastasis alone.<sup>23–25</sup>  
 247 However, these results may be associated with treatment selection bias because local  
 248 treatment was performed according to the response to first-line chemotherapy or disease  
 249 status. The clinical significance of local treatments for metastatic disease needs to be  
 250 evaluated in prospective analyses of larger populations. The ongoing Euro-Ewing-  
 251 Intergroup EE99 trial, which compares whole lung irradiation with high-dose  
 252 chemotherapy plus SCT following standard chemotherapy in patients with lung  
 253 metastasis alone will clarify this point.

254 The present study had several limitations. First, it is a retrospective analysis of  
 255 data from a heterogeneous group of patients. Second, the association between surgical  
 256 margin or histological response to first-line chemotherapy and clinical outcome was not  
 257 examined because these data were lacking in most patients, **which hampered more**  
 258 **extensive evaluation of their clinical significance**. Lastly, the follow-up period was too  
 259 short to evaluate late adverse effects, particularly secondary malignancies. Nonetheless,  
 260 the present study demonstrated that SCT contributes to a significantly better clinical  
 261 outcome in patients with metastatic ESFT, especially in those with a better disease  
 262 status prior to SCT.

263

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266

#### 267 **AUTHOR CONTRIBUTIONS**

268 KU, TM, KY, MC, and TO designed the research and organized the project. KU, TM,  
269 and HS performed statistical analyses and analyzed the data. KU wrote the manuscript.

270 TK, NM, HF, RJ, AW, YS, DH, ST, and SN collected data. HS, AH, MS, HO, MC, and

271 TO assisted with the interpretation of data and provided insightful comments. All

272 authors interpreted the data and reviewed and approved the manuscript.

273

#### 274 **CONFLICTS OF INTEREST**

275 The authors have no conflicts of interest to declare.

276

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372

373 **FIGURE LEGENDS**

374 **Fig. 1** OS (a) and PFS rates (b) grouped by SCT. The survival curves were adjusted for  
375 other potential confounding factors. OS, overall survival; PFS, progression-free  
376 survival.

377

378 **Fig. 2** Radiological response to first-line chemotherapy before local treatment grouped  
379 by type of chemotherapy. CR, complete response; PR, partial response; SD, stable  
380 disease; PD, progressive disease; VDC, vincristine+doxorubicin+cyclophosphamide;  
381 IE, ifosfamide+etoposide; VIDE, vincristine+ifosfamide+doxorubicin+etoposide;  
382 VAIA, vincristine+actinomycin D+ifosfamide+doxorubicin.

383

384 **Supplementary Fig. 1** OS (a) and PFS rates (b) grouped by type of SCT. OS, overall  
385 survival; PFS, progression-free survival; auto-SCT, autologous stem cell  
386 transplantation.

Figure 1

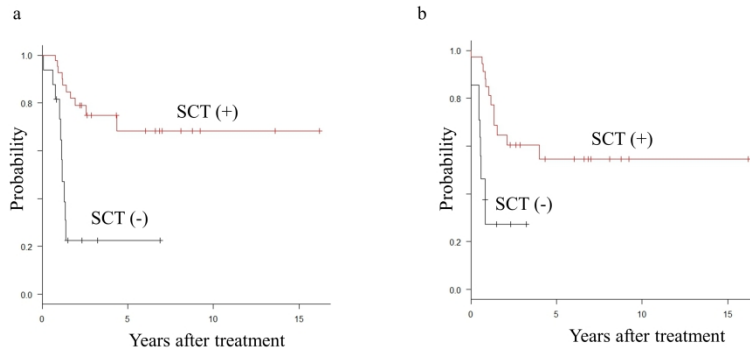


Fig. 1

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Figure 2

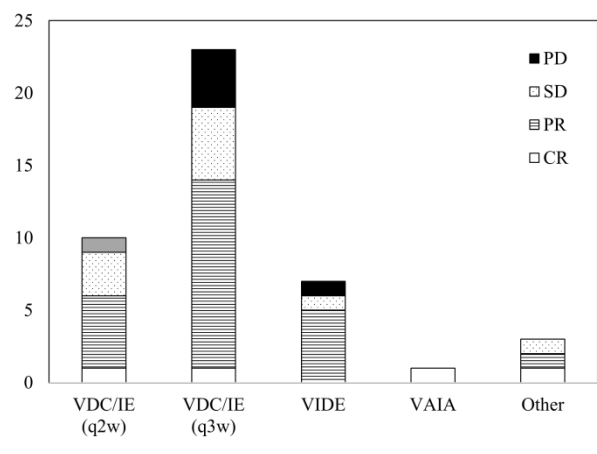


Fig. 2

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**Table 1. Patient characteristics at initial diagnosis and treatment**

Characteristics	All patients (n = 57)		SCT (-) (n = 22)		SCT (+) (n = 35)		P-value
	No.	%	No.	%	No.	%	
Gender							
Male	29	50.9	11	50.0	18	51.4	1.000
Female	28	49.1	11	50.0	17	48.6	
Age at diagnosis, years							
Median (range)	14 (3–33)		15 (3–33)		12 (4–26)		
0–12	22	38.6	4	18.2	18	51.4	0.014
≥13	35	61.4	18	81.8	17	48.6	
Primary tumor site							0.291
Axial	29	50.9	9	40.9	20	57.1	
Extremity	16	28.0	6	27.3	10	28.6	
Other	9	15.8	6	27.3	3	8.6	
Missing	3	5.3	1	4.6	2	5.7	
Primary tumor origin							0.023
Bone	37	64.9	10	45.5	27	77.1	
Soft tissue	20	35.1	12	54.5	8	22.9	
Primary tumor volume, ml							
Median (range)	314 (19–1,953)		408 (19–1,953)		314 (19–1,383)		
<200 ml	13	22.8	5	22.7	8	22.9	0.940
≥200 ml	27	47.4	11	50.0	16	45.7	



Missing	17	29.8	6	27.3	11	31.4	
Fusion gene							0.786
EWS-FLI1	39	68.5	16	72.7	23	65.7	
EWS-ERG	2	3.5	1	4.6	1	2.9	
EWS-FISH	16	28	5	22.7	11	31.4	
Sites of metastasis							0.105
Lung alone	18	31.6	6	27.3	12	34.3	
Bone (plus lung)	29 (15)	50.9	9 (3)	40.9	20 (12)	57.1	
BM and bone (plus lung)	4 (2)	7.0	2 (2)	9.1	2 (0)	5.7	
Other	6	10.5	5	22.7	1	2.9	
Initial chemotherapy before local treatment							0.237
VDC/IE q2w	11	19.4	7	31.8	4	11.4	
VDC/IE q3w	25	43.9	10	45.5	14	40.0	
VIDE	7	12.3	1	4.6	6	17.1	
VAIA	3	5.3	0	0	3	8.6	
Other	5	8.8	1	4.6	4	11.4	
No	7	12.3	3	13.6	4	11.4	
Local treatment for primary site							0.386
Surgery	9	15.8	2	9.1	7	20.0	
Radiotherapy	29	50.9	10	45.5	19	54.3	
Surgery and radiotherapy	14	24.5	8	36.4	6	17.1	
No	5	8.8	2	9.1	3	8.6	

Local treatment for metastasis							0.316
Surgery	1	5.8	1	4.6	0	0	
Radiotherapy	32	56.1	10	45.5	22	62.9	
Surgery and radiotherapy	4	7.0	1	4.6	3	8.6	
No	20	35.1	10	45.5	10	28.6	
Follow-up period, months							
Median (range)	27 (0–177)		15 (0–162)		31 (0–177)		

SCT, stem cell transplantation; EWSR, Ewing's Sarcoma Region; FISH, fluorescent in situ hybridization; VDC, vincristine-doxorubicin-cyclophosphamide, IE, ifosfamide-etoposide; q2w, every 2 weeks; q3w, every 3 weeks; VAIA, vincristine-actinomycin-ifosfamide-doxorubicin; VIDE, vincristine--ifosfamide-doxorubicin-etoposide.

**Table 2. Univariate and multivariate analyses of factors affecting OS**

Variables	Factors (n)	3yr OS, % (95% CI)	Univariate analysis	Multivariate analysis	
			<i>P</i> -value	HR (95% CI)	<i>P</i> -value
Age group	0–12 (22)	56.8 (33.0–75.0)	0.178	N.E.	N.E.
	≥13 (35)	39.9 (23.3–55.9)			
Gender	Male (29)	48.2 (28.2–65.6)	0.995	N.E.	N.E.
	Female (28)	45.4 (26.4–62.6)			
Fusion gene	EWS-FLI1 (39)	48.5 (31.5–63.6)	0.989	N.E.	N.E.
	EWS-ERG (2)	50.0 (0.6–91.0)			
	EWS-FISH (16)	41.7 (17.4–64.5)			
Primary tumor origin	Bone (37)	52.4 (34.9–67.2)	0.307	N.E.	N.E.
	Soft tissue (20)	37.0 (15.9–58.5)			
Primary tumor site	Axial (29)	57.8 (37.8–73.5)	0.274	N.E.	N.E.
	Extremity (16)	40.4 (16.7–63.1)			
	Other (9)	27.8 (4.4–59.1)			
Primary tumor size	<200 ml (13)	40.3 (13.7–66.0)	0.965	N.E.	N.E.
	≥200 ml (27)	40.3 (20.9–59.0)			
Lung metastasis	Isolated (18)	70.0 (41.5–86.5)	0.009	Reference	
	Combined (17)	46.3 (22.1–67.6)		0.77 (0.12–5.18)	0.790
	No (22)	29.0 (11.9–48.7)		2.89 (0.58–14.4)	0.194
Bone marrow metastasis	No (53)	46.4 (32.0–59.5)	0.942	N.E.	N.E.
	Yes (4)	50.0 (5.8–84.5)			
Bone metastasis	No (24)	60.9 (37.9–77.6)	0.065	Reference	

	1–4 (19)	49.7 (25.4–70.0)		2.77 (0.55–13.9)	0.216
	≥5 (12)	25.0 (6.0–50.5)		7.23 (1.09–47.8)	0.040
Response to initial chemotherapy	CR/PR (28)	61.7 (40.3–77.4)	0.017	Reference	
	SD/PD (15)	26.7 (8.3–49.6)		9.17 (2.64–31.9)	<0.001
SCT	No (22)	31.5 (12.9–52.1)	0.039	Reference	
	Yes (35)	51.5 (33.0–67.3)		0.14 (0.05–0.46)	0.001

OS, overall survival; CI, confidence interval; HR, hazard ratio; N.E., not evaluated; EWSR, Ewing's Sarcoma Region; FISH, fluorescent in situ hybridization; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; SCT, stem cell transplantation.

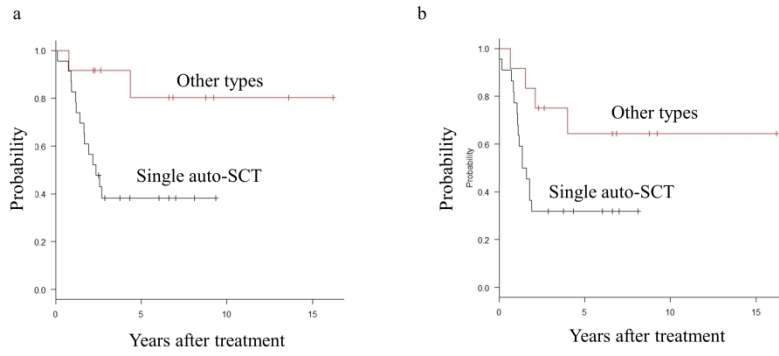
**Table 3. Univariate analysis of factors affecting OS in patients receiving SCT**

Variables	Factors (n)	3-yr OS, % (95% CI)	Univariate analysis <i>P</i> -value
Age group	0–12 (18)	57.8 (30.6–77.6)	0.657
	≥13 (17)	52.9 (27.6–73.0)	
Gender	Male (18)	52.5 (26.5–73.2)	0.512
	Female (17)	58.8 (32.5–77.8)	
Fusion gene	EWS-FLI1 (23)	55.5 (33.0–73.2)	0.700
	EWS-ERG (1)	0	
	EWSR1-FISH (11)	50.9 (18.2–76.6)	
Primary tumor origin	Bone (27)	55.3 (34.9–71.7)	0.633
	Soft tissue (8)	56.2 (14.7–84.2)	
Primary tumor site	Extremity (10)	40.0 (12.3–67.0)	0.021
	Axial (20)	74.0 (48.2–88.3)	
	Other (3)	0	
Primary tumor size	<200 ml (8)	46.9 (12.0–76.3)	0.851
	≥200 ml (16)	46.9 (20.8–69.4)	
Lung metastasis	No (11)	36.4 (11.2–62.7)	0.071
	1–4 (11)	71.6 (35.0–89.9)	
	≥ 5 (11)	53.0 (20.9–77.3)	
Bone marrow metastasis	No (33)	56.1 (37.1–71.3)	0.720
	Yes (2)	50.0 (0.6–91.0)	

Bone metastasis	No (13)	75.2 (40.7–91.4)	0.081
	1–4 (12)	58.3 (27.0–80.1)	
	≥5 (9)	33.3 (7.8–62.3)	
Response to initial chemotherapy	CR/PR (17)	75.6 (47.3–90.1)	0.042
	SD/PD (10)	40.0 (12.3–67.0)	
Local treatment for primary site	No (3)	0	0.477
	Radiotherapy (19)	50.7 (26.3–70.8)	
	Surgery (7)	57.1 (17.2–83.7)	
	Surgery and radiotherapy (6)	83.3 (27.3–97.5)	
Local treatment for metastasis	No (10)	60.0 (25.3–82.7)	0.985
	Radiotherapy (22)	53.4 (30.6–71.7)	
	Surgery and radiotherapy (3)	66.7 (5.4–94.5)	
Type of SCT	Single auto SCT (23)	38.3 (18.9–57.4)	0.018
	Other types (12)	91.7 (53.9–98.8)	
Disease status before SCT	CR/PR (23)	68.7 (45.3–83.8)	0.042
	SD/PD (9)	33.3 (7.8–62.3)	

OS, overall survival; CI, confidence interval; HR, hazard ratio; N.E., not evaluated; EWSR, Ewing's Sarcoma Region; FISH, fluorescent in situ hybridization; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; SCT, stem cell transplantation; auto, autologous.

Supplementary Figure 1



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**Supplementary Table 1. Univariate and multivariate analyses of factors affecting PFS**

Variables	Factors (n)	3yr PFS, % (95% CI)	Univariate analysis	Multivariate analysis	
			<i>P</i> -value	HR (95% CI)	<i>P</i> -value
Age group	0–12 (22)	45.5 (24.4–64.3)	0.674	N.E.	N.E.
	≥13 (32)	38.5 (21.6–55.2)			
Gender	Male (27)	35.2 (17.9–53.1)	0.227	N.E.	N.E.
	Female (27)	47.6 (28.1–64.9)			
Fusion gene	EWS-FLI1 (37)	44.5 (28.0–59.8)	0.976	N.E.	N.E.
	EWS-ERG (2)	50.0 (0.6–91.0)			
	EWSR1-FISH (15)	33.3 (12.2–56.4)			
Primary tumor origin	Bone (35)	50.2 (32.7–65.5)	0.051	Reference	
	Soft tissue (19)	23.7 (7.6–44.7)		2.27 (0.85–6.06)	0.102
Primary tumor site	Axial (28)	50.0 (30.6–66.6)	0.189	N.E.	N.E.
	Extremity (15)	36.7 (13.6–60.4)			
	Other (8)	16.7 (0.9–50.8)			
Primary tumor size	<200 ml (13)	35.2 (11.2–60.7)	0.962	N.E.	N.E.
	≥200 ml (25)	34.7 (16.9–53.2)			
Lung metastasis	Isolated (18)	53.8 (28.4–73.7)	0.055	Reference	
	Combined (16)	50.0 (24.5–71.0)		1.74 (0.56–5.40)	0.336
	No (20)	21.7 (6.8–41.9)		3.41 (1.09–10.6)	0.035
Bone marrow metastasis	No (50)	40.6 (26.8–54.0)	0.771	N.E.	N.E.
	Yes (4)	50.0 (5.8–84.5)			
Bone metastasis	No (24)	44.6 (24.3–63.2)	0.456	N.E.	N.E.



	1–4 (19)	45.1 (22.1–65.7)			
	≥5 (10)	30.0 (7.1–57.8)			
Response to initial chemotherapy	CR/PR (27)	58.2 (37.3–74.4)	0.045	Reference	
	SD/PD (13)	23.1 (5.6–47.5)		4.30 (1.62–11.4)	0.003
SCT	No (20)	33.3 (14.1–54.0)	0.036	Reference	
	Yes (34)	47.1 (29.8–62.5)		0.23 (0.08–0.65)	0.005

PFS, progression-free survival; CI, confidence interval; HR, hazard ratio; N.E., not evaluated; EWSR, Ewing's Sarcoma Region; FISH, fluorescent in situ hybridization; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; SCT, stem cell transplantation.

**Supplementary Table 2. Clinical information of patients undergoing SCT**

No.	Age at diagnosis (yr)	Sex	Lung metastasis	Bone metastasis	BM metastasis	Local treatment for primary site (timing)	Local treatment for metastatic site (timing)	Cycle number of first-line chemotherapy	Disease status before SCT	First SCT source (regimen)	Second SCT source (regimen)	Outcome (mo)
1	15	M	1 to 4	Yes (NA)	No	R (post-SCT)	R (post-SCT)	6	PR	Auto-PB (CBDCA, ETP, MEL)	–	28 (DOD)
2	11	F	No	No	No	R (pre-SCT)	R (pre-SCT)	7	PR	Auto-PB (BU, MEL)	–	80 (AWD)
3	11	F	5	No	No	R (pre-SCT)	No	5	NA	Auto-PB (ETP, MEL)	–	32 (DOD)
4	15	M	No	1 to 4	No	R (post-SCT)	R (post-SCT)	8	PD	Auto-PB (BU, MEL)	–	20 (DOD)
5	8	M	Yes (NA)	No	No	S (pre-SCT)/R (post-SCT)	R (post-SCT)	4	CR	Auto-PB (MEL, TEPA)	–	30 (NED)
6	10	F	No	1 to 4	No	S (pre-SCT)	R (pre-SCT)	5	NA	Auto-PB (BU, MEL)	–	45 (NED)
7	14	F	1 to 4	1 to 4	No	R (pre-SCT)	R (pre-SCT)	16	PR	Auto-PB (BU, MEL)	–	34 (NED)

8	16	M	1 to 4	1 to 4	No	S (pre-SCT)	S (pre-SCT)/R (pre-SCT)	8	CR	Auto-PB (BU, MEL)	–	73 (NED)
9	7	F	No	1 to 4	No	S (pre-SCT)	R (post-SCT)	2	PD	Auto-PB (MEL, TEPA)	–	10 (DOD)
10	20	M	5	No	No	S (pre-SCT)/R (pre-SCT)	No	4	CR	Auto-PB (CBDCA, ETP, MEL)	–	113 (NED)
11	10	F	5	No	No	R (pre-SCT)	No	5	PR	Auto-PB (BU, MEL)	–	98 (NED)
12	12	F	No	5	Yes	S (pre-SCT)	R (pre-SCT)	6	NA	Auto-PB (TPT, CPM, MEL)	–	8 (DOD)
13	13	M	1 to 4	1 to 4	No	S (pre-SCT)	R (post-SCT)	6	PR	Auto-PB (TPT, CPM, MEL)	–	20 (DOD)
14	12	M	No	5	No	R (post-SCT)	R (post-SCT)	8	PR	Auto-PB (BU, MEL)	–	31 (DOD)
15	14	M	No	5	No	No	R (pre-SCT)	11	PR	Auto-PB (BU, MEL)	–	14 (DOD)
16	8	M	5	1 to 4	No	S (pre-SCT)/R (post-SCT)	R (post-SCT)	4	PR	Auto-PB (BU, MEL)	–	52 (NED)
17	17	F	5	1 to 4	No	R (pre-SCT)	R (post-SCT)	6	SD	Auto-PB (BU, MEL)	–	26 (DOD)

18	11	F	5	5	No	R (pre-SCT)	R (pre-SCT)	6	PR	Auto-PB (ETP, MEL)	–	14 (DOD)
19	12	F	1 to 4	No	No	R (pre-SCT)	No	3	PR	Auto-PB (ETP, TEPA)	–	17 (DOD)
20	12	M	5	No	No	R (post-SCT)	No	5	SD	Auto-PB (BU, MEL)	–	10 (DOD)
21	12	F	1 to 4	No	No	S (pre-SCT)	No	5	CR	Auto-PB (BU, MEL)	–	85 (NED)
22	26	M	No	5	No	S (pre-SCT)/R (pre-SCT)	S (pre-SCT)/R (pre-SCT)	7	CR	Auto-PB (BU, MEL)	–	1 (DOD)
23	16	F	5	5	No	No	No	4	SD	Auto-PB (MEL, TEPA)	–	23 (DOD)
24	15	M	No	1 to 4	No	R (post-SCT)	R (post-SCT)	3	SD	Auto-PB (CBDCA, ETP, MEL)	Auto-PB (BU, MEL)	9 (DOD)
25	4	M	5	No	No	S (pre-SCT)	No	4	CR	Auto-PB (ETP, TEPA)	Auto-PB (CBDCA, ETP, MEL)	165 (NED)
26	13	M	1 to 4	No	No	R (post-SCT)	R (post-SCT)	5	CR	Auto-PB (ETP, MEL)	Auto-PB (ETP, MEL)	80 (NED)
27	13	F	No	5	Yes	R (post-SCT)	R (post-SCT)	2	PR	Auto-PB (TPT, CBDCA, TEPA)	Auto-PB (BU, MEL)	197 (NED)

28	4	M	5	No	No	R (post-SCT)	S (post-SCT)/R (post-SCT)	6	PD	Auto-PB (TPT, CPM, MEL)	Auto-PB (BU, MEL)	26 (AWD)
29	14	M	1 to 4	5	No	R (post-SCT)	R (post-SCT)	6	SD	Auto-PB (TPT, CPM, MEL)	Auto-PB (BU, MEL)	31 (NED)
30	15	M	No	1 to 4	No	R (pre-SCT)	No	6	CR	Auto-PB (ETP, TEPA)	Auto-PB (ETP, MEL)	53 (DOD)
31	10	F	1 to 4	No	No	R (post-SCT)	No	6	SD	Auto-PB (ETP, MEL)	Auto-PB (ETP, MEL)	83 (NED)
32	10	M	1 to 4	1 to 4	No	No	R (post-SCT)	6	CR	MMR-PB (FLU, MEL, ATG)	-	27 (NED)
33	14	F	5	No	No	S (post-SCT)/R (pre-SCT)	R (post-SCT)	6	PR	Auto-PB (CBDCA, ETP, CPM)	MR-BM (CPM, MEL)	112 (NED)
34	11	F	1 to 4	5	No	S (post-SCT)/R (pre-SCT)	R (post-SCT)	6	PR	Auto-PB (CBDCA, ETP, CPM)	MMR-BM (CPM, MEL)	112 (NED)
35	13	F	Yes (NA)	1 to 4	No	R (post-SCT)	R (post-SCT)	2	PR	Auto-PB (BU, MEL)	MMR-PB (FLU, MEL)	106 (NED)

SCT, stem cell transplantation; yr, years; mo, months; F, female; M, male; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; Auto-PB, autologous peripheral blood stem cells; MMR-PB, HLA-mismatched related peripheral blood stem cells; MR-PB, HLA-matched related peripheral blood stem cells; UR-CB, unrelated cord blood; ETP, etoposide; BU, busulfan; MEL,

melphalan; FLU, fludarabine; ATG, anti-thymocyte globulin; IFO, ifosfamide; CBDCA, carboplatin; TEPA, thiotepa; TBI, total body irradiation; DOD, died of disease; DOC, died of complications; AWD, alive with disease; NED, no evidence of disease.

For Peer Review

**Supplementary Table 3. Univariate analysis of factors affecting PFS in patients receiving SCT**

Variables	Factors (n)	3-yr PFS, % (95% CI)	Univariate analysis <i>P</i> -value
Age group	0–12 (18)	50.0 (25.9–70.1)	0.930
	≥13 (16)	43.8 (19.8–65.6)	
Gender	Male (18)	33.3 (13.7–54.5)	0.072
	Female (16)	62.5 (34.9–81.1)	
Fusion gene	EWS-FLI1 (22)	50.0 (28.2–68.4)	0.630
	EWS-ERG (1)	0	
	EWSR1-FISH (11)	36.4 (11.2–62.7)	
Primary tumor origin	Bone (26)	50.0 (29.9–67.2)	0.980
	Soft tissue (8)	37.5 (8.7–67.4)	
Primary tumor site	Extremity (10)	30.0 (7.1–57.8)	0.014
	Axial (19)	63.2 (37.9–80.4)	
	Other (3)	0	
Primary tumor size	<200 ml (8)	37.5 (8.7–67.4)	0.714
	≥200 ml (15)	33.3 (12.2–56.4)	
Lung metastasis	No (11)	27.3 (6.5–53.9)	0.051
	1–4 (11)	72.7 (37.1–90.3)	
	≥5 (10)	40.0 (12.3–67.0)	
Bone marrow metastasis	No (32)	46.9 (29.1–62.8)	0.896
	Yes (2)	50.0 (0.6–91.0)	

Bone metastasis	No (13)	53.8 (24.8–76.0)	0.525
	1–4 (12)	50.0 (20.8–73.6)	
	≥5 (8)	37.5 (8.7–67.4)	
Response to initial chemotherapy	CR/PR (17)	70.6 (43.1–86.6)	0.082
	SD/PD (9)	33.3 (7.8–62.3)	
Local treatment for primary site	No (2)	0	0.961
	Radiotherapy (19)	42.1 (20.4–62.5)	
	Surgery (7)	57.1 (17.2–83.7)	
	Surgery and radiotherapy (6)	50.0 (11.1–80.4)	
Local treatment for metastasis	No (9)	44.4 (13.6–71.9)	0.922
	Radiotherapy (22)	50.0 (28.2–68.4)	
	Surgery and radiotherapy (3)	33.3 (0.9–77.4)	
Type of SCT	Single auto-SCT (22)	31.8 (14.2–51.1)	0.035
	Other types (12)	75.0 (40.8–91.2)	
Disease status before SCT	CR/PR (23)	56.5 (34.3–73.8)	0.136
	SD/PD (8)	25.0 (3.7–55.8)	

PFS, progression-free survival; CI, confidence interval; HR, hazard ratio; N.E., not evaluated; EWSR, Ewing's Sarcoma Region; FISH, fluorescent in situ hybridization; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; SCT, stem cell transplantation; auto, autologous.



## 1 SUPPLEMENTARY APPENDIX

### 2 List of participating hospitals

- 3 The following institutions participated in the study: Department of Pediatrics, Hirosaki
- 4 University Hospital, Hirosaki, Japan; Department of Pediatrics, National Hospital
- 5 Organization Nagoya Medical Center, Nagoya, Japan; Department of Pediatric
- 6 Hematology/Oncology, Osaka City General Hospital, Osaka, Japan; Department of
- 7 Orthopedic Surgery, Okayama University Graduate School of Medicine, Dentistry and
- 8 Pharmaceutical Sciences, Okayama, Japan; Division of Pediatric Oncology,
- 9 Comprehensive Cancer Center, International Medical Center, Saitama Medical
- 10 University, Saitama, Japan; Department of Pediatrics, St. Luke's International Hospital,
- 11 Tokyo, Japan; Department of Hematology and Oncology, Children's Cancer Center,
- 12 Kobe Children's Hospital, Kobe, Japan; Department of Orthopedic Surgery, Osaka
- 13 University Graduate School of Medicine, Suita, Japan; Department of Pediatrics,
- 14 Graduate School of Medical and Dental Sciences, Kagoshima University, Kagoshima,
- 15 Japan; Department of Pediatrics, Mie University Graduate School of Medicine, Tsu,
- 16 Japan; Department of Pediatrics, Faculty of Medicine, University of Toyama, Toyama,

- 17 Japan; Department of Pediatric Oncology, National Cancer Center Hospital, Tokyo,
- 18 Japan; Department of Pediatrics, National Hospital Organization, Kyusyu Cancer
- 19 Center, Fukuoka, Japan; Department of Pediatrics, University of Tsukuba, Tsukuba,
- 20 Japan; Department of Pediatrics, Niigata University Graduate School of Medicine and
- 21 Dental Sciences, Niigata, Japan; Department of Orthopedic Surgery, Aichi Cancer
- 22 Canter Hospital, Nagoya, Japan; Department of Pediatrics, Yokohama City University
- 23 School of Medicine, Yokohama, Japan; Department of Pediatrics, Hiroshima University
- 24 Graduate School of Biomedical and Health Sciences, Hiroshima, Japan; Department of
- 25 Pediatrics, Ehime University Graduate School of Medicine, Toon, Japan; Department of
- 26 Pediatrics, Hamamatsu University School of Medicine, Hamamatsu, Japan; Center of
- 27 Bone Marrow Transplantation, Ryukyu University Hospital, Okinawa, Japan;
- 28 Department of Pediatrics, Wakayama Red Cross Hospital, Wakayama, Japan;
- 29 Department of Pediatrics, Osaka Medical College, Takatsuki, Japan; Department of
- 30 Pediatrics, Nagoya University Graduate School of Medicine, Nagoya, Japan;
- 31 Department of Pediatrics, Kobe University Graduate School of Medicine, Kobe, Japan;
- 32 Division of Hematology and Oncology, Children's Medical Center, Japanese Red Cross

- 33 Nagoya First Hospital, Nagoya, Japan; Department of Hematology/Oncology, Saitama
- 34 Children's Medical Center, Saitama, Japan; Division of Pediatrics, Faculty of Medicine,
- 35 University of Miyazaki; Department of Pediatrics, Graduate School of Medicine, Kyoto
- 36 University, Kyoto, Japan.

For Peer Review