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# Physics-based characterization of soft marine sediments using vector sensors

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## 22 Abstract

23 In a 2007 experiment conducted in the northern North Sea, observations of a low-frequency 24 seismo-acoustic wave field with a linear horizontal array of vector sensors located on the 25 seafloor revealed a strong, narrow peak around 38 Hz in the power spectra and presence of 26 multi-mode horizontally and vertically polarized interface waves with phase speeds between 45 27 and 350 m/s. Dispersion curves of the interface waves exhibit piece-wise linear dependences 28 between the logarithm of phase speed and logarithm of frequency with distinct slopes at large 29 and small phase speeds, which suggests a seabed with a power-law shear speed dependence in 30 two distinct sediment layers. The power spectrum peak is interpreted as a manifestation of a 31 seismo-acoustic resonance. A simple geoacoustic model with a few free parameters is derived 32 that quantitatively reproduces the key features of the observations. Our approach to the inverse 33 problem is guided by a theoretical analysis of interface wave dispersion-and resonance reflection 34 of compressional waves in soft marine sediments containing two or more layers of different 35 composition. Combining data from various channels of the vector sensors is critical for 36 separating waves of different polarizations and helps to identify various arrivals, check 37 consistency of inversions, and evaluate sediment density.

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- 40

41 PACS numbers: 43.30.Ma, 43.30.Dr, 43.30.Pc, 43.35.Pt

#### 43 I. INTRODUCTION

Theoretical considerations,<sup>1,2</sup> laboratory measurements,<sup>3</sup> and results of numerous field 44 experiments<sup>4-16</sup> indicate that shear wave speed in granular materials and, in particular, in 45 46 unconsolidated marine sediments increases with depth z below the seafloor and is approximately 47 proportional to a certain power  $z^{\nu}$  of the depth as long as the composition of the materials 48 remains unchanged. The power-law exponent v is probably controlled by the shape and 49 roughness of the grains. The gradient of the shear wave speed (or shear speed, for brevity) is 50 very large at small z, and the shear speed experiences large relative changes over several meters 51 or tens of meters below the seafloor. Relative changes in density and compressional wave speed 52 are much smaller, and these geoacoustic parameters can be modeled as depth-independent in a 53 surficial layer of constant composition. Then, power-law depth-dependence of shear speed 54 corresponds to the same power-law dependence on overburden pressure. Surficial 55 unconsolidated sediments are "soft" in the sense that their shear rigidity and shear speed are 56 small compared to the bulk modulus and compressional speed, respectively. For a more detailed 57 discussion of the power-law depth-dependence of shear rigidity and additional references, see 58 Refs. 2, 3, 17, and 18.

Soft sediments with power-law shear velocity profiles support horizontally, or *SH*, and vertically polarized, or *P-SV*, interface waves, which propagate along the seafloor with phase and group speeds of the order of the shear speed.<sup>10, 17</sup> These interface waves are slow in the sense that their phase and group speeds are small compared to the sound speed in water and compressional speed in the bottom. The vertically polarized seismo-acoustic interface waves are usually referred to as Scholte waves.<sup>19–22</sup> The dispersion and polarization properties of slow Scholte waves supported by soft sediments, shape functions of these waves, and wave energy distribution

66 between water and the seabed are all quite different from those of the Scholte waves that are 67 supported by the interface of homogeneous fluid and solid half-spaces.<sup>23</sup> Moreover, dispersion 68 properties of the vertically and horizontally polarized slow interface waves prove to be very similar,<sup>10, 17</sup> making vector sensors indispensable for identifying the wave types. The distinctive 69 70 feature of the slow interface waves, which is readily recognized in their measured dispersion 71 curves, is a power-law dependence of their phase and group speeds on frequency. There is a one-72 to-one correspondence between the exponents of the power laws for the frequency dependence of phase or group speeds and the depth-dependence of the shear speed.<sup>10, 17</sup> Observations of the 73 74 interface waves are of considerable interest because their dispersion allows one to characterize 75 geotechnical and geoacoustic parameters of surficial sediments that are difficult to measure by other means.<sup>7, 18–20, 24, 25</sup> 76

77 Vector sensors are increasingly employed in underwater acoustics to characterize seabed properties.<sup>26-28</sup> A rich dataset on wave propagation in the seabed<sup>29, 30</sup> was obtained in 2007 in the 78 79 course of shear wave surveying of the Gjøa oil/gas condensate field in the North Sea off Norway, 80 where a seabed-coupled mechanical vibrator generated probing signals in the frequency band 81 from a few to 60 Hz. A long, densely populated linear array of three-component vector sensors 82 was employed, which helped to separate vertically and horizontally polarized waves, identify a 83 number of interface waves, and measure their phase speeds (Fig. 1). Measured dispersion curves 84 of the interface waves have been inverted to retrieve the shear speed profile in the upper 4550 meters of the seabed.<sup>22, 30</sup> 85

There are two striking features of the vector sensor data, which have not been previously explored. First, the vertical and radial components of the measured particle velocity have sharp peaks around 38 Hz (Fig. 1a), which suggest some kind of a seismo-acoustic resonance.<sup>9, 31, 32</sup>

89 Second, when plotted on the log-log scale, the dispersion curves of the interface waves exhibit 90 two distinct slopes at large and small phase speeds (Fig. 1b), which suggests that the seabed contains layers with two different power-law profiles of the shear wave speed.<sup>7, 10, 17</sup> In this 91 paper, we re-examine the experimental results reported by Dong et al.<sup>22</sup> with the goal of 92 93 developing a simple, parsimonius geoacoustic model that qualitatively explains and 94 quantitatively reproduces the key features of the observations. Our approach to the inverse 95 problem is guided by a theoretical analysis of seismo-acoustic resonances and interface wave 96 dispersion in soft sediments containing two or more layers of different composition.





- polarized waves, respectively. Superimposed straight lines represent the power-law frequencydependencies with two different exponents (two black lines each).
- 107

108 The remainder of the paper is organized as follows. The experimental data underlying 109 this work is described in Sec. II. Approximate analytic dispersion relations of interface waves 110 supported by the seabed, which consists of two continuously stratified soft sediment layers 111 overlaying a solid, homogeneous sub-bottom, are derived in Secs. 3A and 3B. The Wentzel-112 Kramers–Brillouin (WKB) approximation is employed in the derivation. The analytic dispersion 113 relations are used in Sec. 3C to find a simple geoacoustic model consistent with the interface 114 wave observations. A physical mechanism of resonant reflection of compressional waves by the 115 seabed and geoacoustic implications of the observed resonant reflection are investigated in Sec. 116 IV. The resulting geoacoustic model is compared to alternative models in Sec. V. Section VI 117 summarizes our findings.

118

## 119 II. EXPERIMENTAL DATA

The data analyzed in this paper were acquired in a 2007 shear-wave survey <sup>29, 30</sup> of the Gjøa field 120 121 located in the Norwegian Channel in the northern North Sea off the southern coast of Norway. 122 The water depth at the experiment site was 364 m, and the main geological interfaces at the site 123 are flat. Surficial sediment layers are composed of soft Holocene clays deposited on glacial and glacio-marine sediments.<sup>29, 30</sup> A massive seabed-coupled vibrator generated the seismo-acoustic 124 125 wave field. The wave source was developed by the Norwegian Geotechnical Institute to 126 efficiently generate low-frequency shear waves of different polarizations; limited compressional waves were also radiated by the source.<sup>29, 30</sup> The frequency content of the probing signals 127

generated by the source was approximately from 2 to 60 Hz with a broad maximum around 37
Hz and width of about 20 Hz at half-power level, see Fig. 5 in Ref. 29.

130 The signals were received on a one-kilometer-long ocean-bottom cable (OBC), which 131 was deployed partially in water and partially on the seafloor in a radial direction from the source. 132 The OBC contained 42 three-component accelerometers with 25 m spacing. To improve the 133 resolution of short waves, a 600 m-long synthetic aperture with a much shorter 2.5 m receiver spacing was created by dragging the cable in 2.5 m steps.<sup>29</sup> Orientations of the three orthogonal 134 135 receiver components were determined using airgun signals and used to represent the data in 136 terms of the vertical and in-line (radial) and cross-range (tangential) horizontal components. This 137 proved critical for proper discrimination and identification of various arrivals within the complex full field data.<sup>22, 29, 30</sup> Assuming a horizontally stratified seabed, the cross-range particle velocity 138 139 is due to horizontally polarized (SH) shear waves, while radial and vertical components of the 140 particle velocity are due to vertically polarized (SV) shear waves and compressional (P) waves. 141 Detected arrivals included head waves, multiply reflected shear waves, and at least ten modes of horizontally and vertically polarized interface, or surface, waves.<sup>22, 29, 30</sup> 142

143 Interface waves were observed at frequencies from about 2 to 20 Hz. Dispersion curves 144 of the horizontally polarized interface waves have been extracted from the cross-range 145 components of particle acceleration measured on the synthesized aperture horizontal array, while 146 dispersion curves of the vertically polarized interface waves have been measured using the vertical and radial components of the acceleration.<sup>22, 30</sup> The dispersion curves are illustrated in 147 148 Fig. 1b. The interface wave dispersion curves have been previously inverted by Socco et al.<sup>30</sup> and Dong et al.<sup>22</sup> to retrieve the depth dependence of the shear wave speed in the top 40–50 m of the 149 150 seabed. The seabed was modeled as a stack of homogeneous layers in these inversions.

151 Because of limitations on access to proprietary raw data, this paper focuses on re-analysis of the previously published<sup>22, 29, 30</sup> information on interface wave dispersion and power spectra of 152 153 signals recorded by the three-component vector sensors. Available data consists of the frequency dependence of the phase speed of various interface waves (Fig. 1b), as retrieved by Dong et al.,<sup>22</sup> 154 155 and power spectra of the vertical, radial, and cross-range components of the full field. The power spectra<sup>22</sup> averaged over multiple receivers and repeatedly emitted probing signals are shown in 156 157 Fig. 1a. For each of the vertical, radial, and cross-range components of particle velocity, the 158 average power spectra are normalized by their respective maxima.

159 The main maxima of the power spectrum of the cross-range component of the field are at 160 frequencies below 20 Hz (Fig. 1a). In addition to broad low-frequency peaks below 10 Hz, which 161 are associated with vertically polarized interface waves, the power spectra of the vertical and in-162 line components have significantly larger, narrow peaks around 38 Hz. (A much smaller peak at 163 a similar frequency in the spectrum of the cross-range component is probably due to imperfect 164 separation of the measured acceleration into the vertical, radial, and cross-range components 165 resulting from uncertainties in the measurements of spatial orientation of individual vector 166 sensors.) These sharp peaks are suggestive of a resonance phenomenon occurring in either the 167 experimental equipment or the environment. In particular, as already mentioned, the source 168 spectrum is maximum at about 37 Hz. However, the bandwidth of the source spectrum at half-169 power is at least 20 times larger than the sub-1 Hz width of the spectral peaks of the wave field 170 (Fig. 1a). We interpret the sharp spectral peaks around 38 Hz as a seismo-acoustic resonance 171 originating from wave propagation conditions at the experimental site. It is shown in Secs. IV 172 and V that such an interpretation is consistent with available geological information and results 173 of inversion of the interface wave data.

174

## 175 III. INTERFACE WAVES

#### 176 A. Asymptotic dispersion relations of horizontally polarized interface waves

177 Consider a model of soft marine sediments (Fig. 2), which consists of two layers with power-law178 shear velocity profiles:

179 
$$c_s(z) = a_1 z^{\nu_1}, \quad 0 < z < h,$$
 (1)

180 
$$c_s(z) = a_2(z+z_0)^{\nu_2}, \quad h < z < H.$$
 (2)

181 The layers are located between the water column at z < 0 and a homogeneous solid half-space 182 (subbottom) at z > H. Here h is the thickness of the upper sediment layer, and H is the vertical 183 extent of the soft sediments. Physical considerations and available observations indicate that  $0 \le v_{1,2} < 1.^{9,10,17}$  Shear and compressional wave speeds and density in the subbottom are  $c_{sb}$ ,  $c_{lb}$ , 184 185 and  $\rho_b$ , respectively. Sound speed and density of water near the seafloor are  $c_w$  and  $\rho_w$ ; 186 compressional wave speeds and densities in respective sediment layers are  $c_{l1}$ ,  $\rho_1$  and  $c_{l2}$ ,  $\rho_2$ . For 187 simplicity, we assume that variations of the sediment density and compressional wave speed are 188 negligible within each soft sediment layer. We will also assume that shear speed increases steadily with depth, which implies  $a_1 h^{\nu_1} \le a_2 (h+z_0)^{\nu_2}$  and  $a_2 (H+z_0)^{\nu_2} \le c_{sb}$ . 189





Figure 2. (Color online) Depth dependence of the shear wave speed  $c_s$  in the seabed. Two soft sediment layers 0 < z < h and h < z < H with power-law depth dependencies overlie a homogeneous solid subbottom.

195

196 The increase of the shear speed  $c_s$  with depth below the seafloor creates a waveguide for 197 shear waves. Horizontally polarized (*SH*) interface waves are normal modes of this waveguide. 198 Despite the simplicity of the geoacoustic model, the wave equation cannot be solved analytically 199 in terms of known mathematical functions for arbitrary values of exponents  $v_1$  and  $v_2$ . <sup>9, 17</sup> We 200 will use a WKB-based asymptotic approach to derive the dispersion relation of the interface 201 waves. Disregarding reflection at the interface z = h, the normal mode dispersion equation can be 202 written as follows in the WKB approximation:<sup>23</sup>

203 
$$V_1 V_2 \exp(2i\omega\varphi(z_{lb})) = 1, \quad \varphi(z_{lb}) = \int_0^{z_{lb}} \sqrt{c_s^{-2}(z) - u^{-2}} dz.$$
(3)

Here  $\omega$  stands for wave frequency,  $V_1$  and  $V_2$  are plane-wave reflection coefficients at the upper, z = 0, and lower,  $z = z_{lb}$ , boundaries of the waveguide. The lower boundary is either the turning point  $z = z_l$ , where shear speed equals the phase speed u of the normal mode:  $c_s(z_l) = u$ , or the lower boundary z = H of the soft sediment, if there are no turning points. Note that the phase integral steadily increases with u.

209 Introducing a new integration variable,  $w = u^2/c_s^2 - 1$ , reduces the phase integral  $\varphi(z)$  in 210 any layer with a power-law dependence of  $c_s$  to

211 
$$\varphi(z_{lb}) = \frac{-1}{\nu u} \left(\frac{u^2}{a}\right)^{1/\nu} \int_{w(0)}^{w(z_{lb})} w^{1/2} (w+1)^{-1-1/\nu} dw.$$

This is a standard integral [see, e.g., Eq. (1.2.4.3) in Ref. 33], which can be expressed in terms of
a hypergeometric function<sup>34</sup> for arbitrary integration limits but simplifies when one of the limits
is either w = 0 or infinity. Note that w = 0 at the turning point z = z<sub>t</sub> and w → +∞ when z → 0.
All normal modes are evanescent waves in the subbottom and have phase speeds u < c<sub>sb</sub>.
When 0 < u < a<sub>1</sub>h<sup>v<sub>1</sub></sup>, the turning point z = z<sub>t</sub> of the wave is located in the upper sediment layer at
z<sub>t</sub> = (u/a<sub>1</sub>)<sup>||v<sub>1</sub></sup>. Then, integration is over the semi-infinite interval 0 < w < +∞ in the phase</li>
integral, and we obtain

219 
$$\varphi(z_t) = \frac{u^{-1+l/\nu_1}}{a_1^{-1/\nu_1}} \frac{\sqrt{\pi}\Gamma((1-\nu_1)/2\nu_1)}{2\Gamma(1/2\nu_1)}$$
(4)

in agreement with Ref. 17. Here  $\Gamma(\cdot)$  is Gamma function, see Chap. 6 in Ref. 34.

221 When  $a_1 h^{\nu_1} \le u \le a_2 (h+z_0)^{\nu_2}$ , integration in the phase integral is from z = 0 to z = h. The

latter corresponds to a finite value of w. Using Eq. (1.2.4.3) in Ref. 33, we find

223 
$$\varphi(h) = \frac{u^{-1+1/\nu_1}}{a_1^{1/\nu_1}} \left[ \frac{\sqrt{\pi}\Gamma((1-\nu_1)/2\nu_1)}{2\Gamma(1/2\nu_1)} - \frac{1}{3\nu_1} \left( \frac{u^2}{a_1^2 h^{2\nu_1}} - 1 \right)^{3/2} F\left(\frac{3}{2}, 1 + \frac{1}{2\nu_1}; \frac{5}{2}; 1 - \frac{u^2}{a_1^2 h^{2\nu_1}} \right) \right].$$
(5)

Here F(A, B; C; D) is the hypergeometric function, also known as the Gauss hypergeometric series or  $_2F_1(A, B; C; D)$  hypergeometric function, see Chap. 15 in Ref. 34.

226 When 
$$a_2(h+z_0)^{\nu_2} < u < a_2(H+z_0)^{\nu_2}$$
, the wave has a turning point at  $z_t = (u/a_2)^{1/\nu_2} - z_0$ 

227 within the lower sediment layer. Then, the phase integral is a sum of the integral in the upper

sediment layer, which is given by Eq. (5), and an integral over  $h < z < z_t$  in the lower sediment

229 layer. Similar to derivation of Eq. (5), we obtain

230 
$$\varphi(z_{t}) = \varphi(h) + \frac{u^{-1+1/\nu_{2}}}{3\nu_{2}a_{2}^{-1/\nu_{2}}} \left(\frac{u^{2}}{a_{2}^{2}(h+z_{0})^{2\nu_{2}}} - 1\right)^{3/2} F\left(\frac{3}{2}, 1 + \frac{1}{2\nu_{2}}; \frac{5}{2}; 1 - \frac{u^{2}}{a_{2}^{2}(h+z_{0})^{2\nu_{2}}}\right).$$
(6)

231 Finally, when  $a_2(H+z_0)^{v_2} \le u < c_{bs}$ , there are no turning points, and the phase integral is given

$$\varphi(H) = \varphi(h) + \frac{u^{-1+1/\nu_2}}{3\nu_2 a_2^{-1/\nu_2}} \left[ \left( \frac{u^2}{a_2^2 (H+z_0)^{2\nu_2}} - 1 \right)^{3/2} F\left( \frac{3}{2}, 1 + \frac{1}{2\nu_2}; \frac{5}{2}; 1 - \frac{u^2}{a_2^2 (H+z_0)^{2\nu_2}} \right) - \left( \frac{u^2}{a_2^2 (h+z_0)^{2\nu_2}} - 1 \right)^{3/2} F\left( \frac{3}{2}, 1 + \frac{1}{2\nu_2}; \frac{5}{2}; 1 - \frac{u^2}{a_2^2 (h+z_0)^{2\nu_2}} \right) \right].$$

$$(7)$$

In the WKB approximation, the reflection coefficient from the turning point equals

235  $V_2 = \exp(-i\pi/2)$ .<sup>23</sup> The reflection coefficient from the boundary z = 0, where  $c_s$  vanishes and the

shear speed gradient becomes infinite, has been found in Refs. 9 and 17 and equals

237 
$$V_1 = \exp\left(\frac{-i\pi v_1}{2(1-v_1)}\right)$$
(8)

for *SH* waves. Using these reflection coefficients  $V_1$  and  $V_2$ , from the dispersion equation (3) we find the frequency of the *SH* interface wave with a turning point in one of the sediment layers:

240 
$$f_n = \left[\frac{n}{2} + \frac{4\nu_1 - 3}{8(1 - \nu_1)}\right] / \varphi(z_t).$$
(9)

Here n = 1, 2, ... is the order of the interface wave. Higher-order interface waves (normal modes) have higher frequencies at the same value of the phase velocity u. Dependence of the interface wave frequency on the phase speed enters Eq. (9) via  $\varphi(z_t)$ . Higher-order modes have higher frequencies at the same value of the phase velocity u and higher phase speeds at the same value of frequency. Explicit expressions for the phase integral in Eq. (9) are given by Eqs. (4) and (6) when the turning point is located in the upper or lower sediment layer, respectively.

247 When there are no turning points and 
$$a_2(H+z_0)^{\nu_2} \le u < c_{bs}$$
, the wave is reflected from

248 the boundary z = H. The plane wave reflection coefficient of SH waves<sup>23</sup> at this boundary is

249 
$$V_2 = \exp(-2i\Phi_{SH}), \quad \Phi_{SH} = \arctan\left[\frac{\rho_b c_{sb}^2}{\rho_2 a_2^2 (H+z_0)^{2\nu_2}} \sqrt{\frac{1-c_{sb}^{-2} u^2}{a_2^{-2} (H+z_0)^{-2\nu_2} u^2 - 1}}\right]. \quad (10)$$

#### 250 From the dispersion equation (3) we find

251 
$$f_n = \left[\frac{n}{2} + \frac{5\nu_1 - 4}{8(1 - \nu_1)} + \frac{\Phi_{SH}}{2\pi}\right] / \varphi(H), \qquad (11)$$

where the phase integral is given by Eq. (7). Finally, when  $a_1h^{\nu_1} \le u \le a_2(h+z_0)^{\nu_2}$ , reflection occurs at z = h. The result is similar to Eq. (11) and differs by replacement of  $\varphi(H)$  with  $\varphi(h)$ , Eq. (5). In addition, in the expression for the phase of the reflection coefficient in Eq. (10), one should use elastic parameters in the vicinity of the boundary z = h and replace  $\rho_2$  with  $\rho_1$ ,  $\rho_b$  with  $\rho_2$ ,  $c_{sb}$  with  $a_2(h+z_0)^{\nu_2}$ , and  $a_2(H+z_0)^{\nu_2}$  with  $a_1h^{\nu_1}$  (see Fig. 2). 257 In the special case, where  $a_1 = a_2$ ,  $v_1 = v_2$ ,  $z_0 = 0$ , and  $v_1 \rightarrow 0$  in Eqs. (1) and (2), we have a 258 homogeneous solid layer with the shear speed  $c_s = a_1$  that is located between homogeneous fluid 259 (z < 0) and solid (z > H) half-spaces. In this limit, our problem reduces to the textbook setting for Love interface waves.<sup>35</sup> The resulting waveguide for SH waves is also equivalent to the acoustic 260 261 waveguide in a homogeneous fluid layer between a rigid boundary at z = 0 and a homogeneous fluid half-space  $z > H^{23}$ . In the limit  $v_1 \rightarrow 0$ , Eq. (8) gives the correct result  $V_1 = 1$  for the 262 reflection coefficient of SH waves at the solid-fluid interface,  $^{23}$  and Eq. (3) gives 263  $\varphi(H) = H \sqrt{a_1^2 - u^2}$  for the phase integral. An inspection shows that the interface wave 264 frequencies  $f_n$ , that are predicted by Eq. (11) with  $v_1 = 0$ , agree with the textbook result<sup>35</sup> for the 265 266 Love wave dispersion in this special case. 267 Equations (4) and (9) show that frequency  $f_n$  of *n*-th interface wave is proportional to  $u^{1-1/\nu_1}$  when the turning point is located in the upper sediment layer. On the logarithmic scale, the 268 slope of the dispersion curve,  $d(\ln f_n)/d(\ln u) = 1 - v_1^{-1}$ , depends only on the shear-speed power-269 270 law exponent in Eq. (1). 271 When the phase speed u is much larger than the shear speed around z = h, the turning

when the phase speed *u* is much larger than the shear speed around z = n, the turning point is located deep in the lower sediment layer, and the vicinity of the turning point gives the main contribution into the phase integral in Eq. (3). Indeed, it follows from Eqs. (5), (6), and the equation<sup>34</sup>

275 
$$\lim_{w \to \infty} \left[ \left( w^2 - 1 \right)^{3/2} F \left( \frac{3}{2}, 1 + \frac{1}{2\nu}; \frac{5}{2}; 1 - w^2 \right) \right] = \frac{3\sqrt{\pi} \kappa \Gamma \left( (1 - \nu)/2\nu \right)}{2\Gamma (1/2\nu)}$$
(12)

that under these conditions  $\varphi(z_t)$  is given approximately by Eq. (4) with  $a_1$  and  $v_1$  replaced with a<sub>2</sub> and  $v_2$ , respectively. Then, the slope of the dispersion curves  $d(\ln f_n)/d(\ln u) = 1 - v_2^{-1}$  is controlled by the shear-speed power-law exponent in Eq. (2). The dispersion equations, which are derived for *SH* interface waves in this section and for P-SV waves in Sec. 2B, describe a gradual transition between the limiting cases of the constant slope of the dispersion curves.

282

## **B.** Dispersion relations of vertically polarized interface waves

284 Unlike horizontally polarized (SH) shear waves, vertically polarized (SV) shear waves are 285 coupled to compressional (P) waves by the shear-speed gradients. In the case of the power-law shear velocity profile, the coupling is particularly strong near the seafloor z = 0.17 P–SV coupling 286 leads to appearance of two types of slow interface waves that are supported by soft marine 287 sediments, the fundamental mode and the main sequence modes.<sup>10, 17</sup> The main sequence modes 288 289 are uncoupled from the water column, just like SH interface waves. In the WKB approximation, 290 dispersion equation (3) of the main sequence modes differs from that for SH waves by having a different reflection coefficient<sup>17</sup>  $V_1$  from the boundary z = 0 [cf. Eq. (8)]: 291

292 
$$V_1 = \exp\left(\frac{i\pi(2-3\nu_1)}{2(1-\nu_1)}\right).$$
 (13)

SV reflection coefficient at interfaces, where parameters of the solid are discontinuous, is also different from the reflection coefficient Eq. (10) of SH waves. In particular, the SV reflection coefficient from the boundary z = H can be written as  $V_2 = \exp(-2i\Phi_{SV})$ , where

296 
$$\Phi_{SV} = \arctan\left[\frac{\sqrt{1 - \frac{u^2}{C^2}}\left[\frac{Mu^4}{4C^4} + \left(N^{-2} - M - \frac{u^2}{2C^2}\right)^2\right] - \left[N^{-2} - M + \left(M - 1\right)\frac{u^2}{2C^2}\right]^2}{\sqrt{\frac{N^2u^2}{C^2} - 1}\left[\frac{Mv^4}{4C^4} + \left(N^{-2} - M + M\frac{u^2}{2C^2}\right)^2 - \left(N^{-2} - M\right)^2\sqrt{1 - \frac{u^2}{C^2}}\right]}\right],(14)$$

297  $C = c_{sb}, M = \rho_b/\rho_2$ , and  $N = a_2^{-1} (H + z_0)^{-\nu_2} c_{sb}$ . *C*, *N*, and *M* have the meaning of the shear speed 298 below the boundary, the ratio of the shear speeds just above and just below the boundary, and the 299 ratio of densities above and below the boundary, respectively. Equation (14) has been obtained 300 from the general equation for the plane wave reflection coefficient of *SV* waves at solid-solid 301 interface [see, e.g., Eq. (4.2.9) in Ref. 23] in the limit when  $c_s/c_l \rightarrow 0$  in both solids.

302 Solving the dispersion equation (3) for the main sequence modes with appropriate 303 reflection coefficients  $V_1$  and  $V_2$ , we obtain

304 
$$f_n = \left[\frac{n}{2} + \frac{2\nu_1 - 1}{8(1 - \nu_1)}\right] / \varphi(z_t)$$
(15)

for the waves with a turning point in one of the sediment layers. Here, as in Eq. (9) for *SH* modes, the phase integral is given by Eq. (4), when  $0 < u < a_1 h^{\nu_1}$ , and by Eq. (6), when

307 
$$a_2(h+z_0)^{\nu_2} < u < a_2(H+z_0)^{\nu_2}$$
. When  $a_2(H+z_0)^{\nu_2} \le u < c_{bs}$ , waves are reflected from the

308 boundary z = H, and we find

309 
$$f_n = \left[\frac{n}{2} + \frac{3\nu_1 - 2}{8(1 - \nu_1)} + \frac{\Phi_{SV}}{2\pi}\right] / \varphi(H)$$
(16)

from Eqs. (3), (13), and (14). The phase integral in Eq. (16) is given by Eq. (7). Finally, when

311  $a_1 h^{v_1} \le u \le a_2 (h+z_0)^{v_2}$ , waves are reflected at z = h. The result in this case differs from Eq. (16)

- 312 by substitution of  $\varphi(h)$ , Eq. (5), for  $\varphi(H)$ . In addition,  $C = a_2 (h + z_0)^{\nu_2}$ ,  $M = \rho_2 / \rho_1$ , and
- 313  $N = a_1^{-1} h^{-\nu_1} a_2 (h + z_0)^{\nu_2}$  in Eq. (14) for this boundary.

The accuracy of the WKB-based asymptotic dispersion equations increases with increasing mode order,<sup>17</sup> and the results may not be reliable at n = 1. In addition, the WKB approximation gives discontinuous results and is not accurate when turning points approach and 317 cross interfaces, where elastic parameters are discontinuous, i.e., in the vicinity of  $u = a_1 h^{v_1}$ ,

318 
$$u = a_2 (h + z_0)^{\nu_2}$$
, and  $u = a_2 (H + z_0)^{\nu_2}$ .

An alternative approach to approximating the dispersion equation, which is particularly useful for low-order modes, was developed in Ref. 17. The approach takes advantage of the availability of an exact solution, when the power-law exponent  $v_1 = 0.5$ , and builds a perturbation theory with respect to the parameter  $|v_1| 0.5|$  that is assumed to be small compared to unity. In marine sediments,  $|v_1| 0.5| < 0.5$  and is often rather small. When the shear speed in soft sediments follows the power law, by neglecting terms of second and higher order in  $|v_1| 0.5|$ , the dispersion equation of the main sequence of *P*–*SV* interface waves can be written as<sup>17</sup>

326 
$$f_{n} = \frac{\Gamma(1/2\nu_{1})a_{1}^{1/\nu_{1}}u^{1-1/\nu_{1}}}{2\sqrt{\pi}\Gamma((1-\nu_{1})/2\nu_{1})} \left[2n + (2\nu_{1}-1)\left(\frac{3-2\nu_{1}}{2-2\nu_{1}} + \frac{1}{n} + 2n\psi(n) - 2n\ln n\right)\right], \quad (17)$$

for arbitrary n = 1, 2, ... Under the same assumptions, the dispersion equation of the fundamental mode is<sup>17</sup>

329 
$$f_0 = \frac{(2a_1)^{1/\nu_1} u^{1-1/\nu_1}}{4\pi (1+\rho_1/\rho_w)^{1/2\nu_1}} \exp\left[\frac{2\nu_1 - 1}{2\nu_1} (1-\gamma)\right].$$
(18)

Here  $\gamma = 0.57721...$  is the Euler's constant, and  $\psi$  stands for Digamma function.<sup>34</sup> The

331 counterpart of Eq. (17) for SH waves is<sup>17</sup>

332 
$$f_n = \frac{\Gamma(1/2\nu_1)a_1^{1/\nu_1}u^{1-1/\nu_1}}{2\sqrt{\pi}\Gamma((1-\nu_1)/2\nu_1)} \left\{ 2n-1+(2\nu_1-1)(2n-1)\left[\psi(n)-\ln\left(n-\frac{1}{2}\right)\right] + \frac{2\nu_1-1}{2-2\nu_1} \right\}.$$
(19)

As discussed in Ref. 17, Eqs. (17) (19) can be used for interface waves in the case of a multi-layered seabed provided the turning point is located in the upper soft sediment layer with a power-law shear speed profile. Equations (17) (19) complement the asymptotic dispersion equations (9) and (15) for the low-order, low-speed modes, for which the WKB-based results areeither unavailable or not reliable.

338

## 339 C. Inversion of the interface wave dispersion data

340 We employ the analytical dispersion relations obtained in Secs. 3A and 3B as the forward model 341 to match the measured values (Sec. II) of phase speeds of horizontally and vertically polarized 342 interface waves. A nonlinear least-squares method is used to fit all the data for both wave types 343 simultaneously. Data from the fundamental P-SV mode and the lowest order (n = 1) SH mode 344 are fit to the dispersion curve for the one-layer model, i.e., Eqs. (18) and (19), respectively. Data 345 for the higher-order modes are fit to the asymptotic dispersion relations, Eqs. (9) and (15), for the 346 two-layer model. Simultaneously fitting the data for all interface waves to multiple theoretical 347 dispersion curves reduces the goodness of fit for any one dispersion curve, but it ensures 348 consistency between sediment parameters estimated across all the curves. 349 It is assumed in the inversion that  $z_0 = 0$  in Eq. (2) and that all modes have turning points 350 above the bottom z = H of the second sediment layer. Then, the geoacoustic model contains six 351 unknown parameters: depth h of the boundary between sediment layers, the density ratio  $\rho_w/\rho_1$ ,

and the power-law parameters  $a_1$ ,  $v_1$ ,  $a_2$ ,  $v_2$  in Eqs. (1) and (2). Results of the inversion, including

353 95% confidence bounds of the estimated parameters, are shown in Table 1. The estimated value

of the density ratio  $\rho_w / \rho_1 = 0.537$  in Table 1 corresponds to the density  $\rho_1 = 1910 \text{ kg/m}^3$  in the top

- 355 5.6 m of the seabed.
- 356
- 357
- 358

Parameter	Unit	Estimated Value	95% Confidence Bounds
$ ho_w/ ho_1$	_	0.537	(0.479, 0.596)
h	m	5.57	(5.03, 6.11)
$a_1 \left(1\mathrm{m}\right)^{\nu_1}$	m/s	46.3	(46.0, 46.7)
$v_1$	_	0.288	(0.277, 0.300)
$a_2 (1m)^{\nu_2}$	m/s	24.4	(22.5, 26.3)
<i>v</i> <sub>2</sub>	_	0.710	(0.677, 0.742)

Table 1. Geoacoustic inversion parameters and results

360

361 These parameters are used to generate a dispersion curve for each *P*–*SV* and *SH* mode, 362 which are drawn as solid lines in Figs. 3a and 3b for comparison with the experimental data. A dotted line marks the maximum phase speed with turning points in the first layer,  $u = a_1 h^{v_1}$ , and 363 a dashed line marks the minimum phase speed with turning points in the second layer,  $u = a_2 h^{\nu_2}$ . 364 365 All but one of the data points for the fundamental (n = 0) P - SV and the first SH modes lie below 366 these lines, justifying the use of the single-layer model for them. The dispersion curve for the 367 mode n = 1 in the main sequence of *P*–*SV* modes is matched with larger errors than the other 368 modes ostensibly because the WKB approximation becomes more accurate as mode number n369 increases.



372 Figure 3. (Color online) Results of an inversion of measured dispersion curves of the interface 373 waves for depth dependence of the shear speed. (a) Comparison of the theoretical frequency 374 dependence of the interface wave phase speed in an optimum two-layer model (solid lines) with measured phase speeds of P-SV interface waves. Error bars of measurements<sup>22</sup> are shown. (b) 375 376 Same for measured phase speeds of SH interface waves. Mode orders  $n_H$  and  $n_V$  of, respectively, 377 horizontally and vertically polarized interface waves are shown in the figure. Dashed and dotted 378 lines show inverted values of the shear speed below and above the interface z = h between the 379 soft sediment layers. (c) Comparison of the results of the parsimonious two-layer inversion (1) with an inversion in terms of a large number of homogeneous layers<sup>22</sup> (2). The shaded region is 380 381 the overlap of 95% confidence intervals of the shear speed profile as obtained in Ref. 22 from the 382 separate Bayesian inversions of the dispersion curves of the horizontally and vertically polarized 383 interface waves.

384

371

Line 1 in Fig. 3c shows the shear speed profile as a function of depth using the

parameters from Table 1 and Eqs. (1) and (2). Line 2 is the multi-layer model from Dong et al.<sup>22</sup>

As noted in that paper, a single power-law profile is not a good fit for the data. Our two-layer model is a better fit for the data and is in reasonable agreement with the multi-layer inversion result, as discussed in more detail in Sec. V. The maximum phase speed in the data set, 350 m/s, produces the greatest turning depth, 42.5 m. These data cannot be used to estimate shear speeds at depths greater than this.

392

## 393 IV. RESONANT REFLECTION OF COMPRESSIONAL WAVES

394 In this section we investigate the hypothesis that the strong, narrow peaks in the observed power 395 spectra of vertical and radial components of particle velocity (Fig. 1a) result from the

396 propagation conditions of *P*–*SV* waves at the site of the experiment. We offer a physical

interpretation of these observations as resulting from resonantly enhanced reflection from the

398 layered seabed, relate the resonance to the shear speed inversion results, and discuss the

399 geoacoustic information contained in the peak frequency  $f_p = 38$  Hz.

Seismo-acoustic resonances are often observed, when surficial marine sediments have
low shear speeds, but at much lower frequencies between about 0.3–7.5 Hz, see, e.g., Refs. 9, 31,
32. Those resonances arise due to reflection of shear waves and, unlike the results illustrated in
Fig. 1a, are characterized by a large ratio of horizontal-to-vertical particle velocity amplitudes
and do not exhibit a large difference between amplitudes of two orthogonal horizontal
components of the particle acceleration.<sup>9</sup> In the North Sea experiment discussed in this paper, the

406 peak occurs at the frequency that is considerably larger than the frequencies of observed surface

- 407 waves and is, therefore, likely to be caused by compressional waves. The travel time 1/f
- 408 corresponding to the peak frequency is smaller than acoustic travel time from the source on the

seafloor to the ocean surface. Thus, any interference phenomena or resonances responsible for 410 the observed peak should be explained in terms of the ocean bottom properties.

411 Geoacoustic inversion of the measured dispersion curves of interface waves (Sec. 3C) 412 reveals a boundary between sediment layers at  $h \approx 5.6$  m below the seafloor. Shear speeds just 413 above and just below the boundary are approximately 76 and 83 m/s, which are much smaller 414 than the compressional wave speeds  $c_l$  in the sediments. Surficial sediments at the experimental site are described as soft Holocene clays.<sup>29, 30</sup> For such sediments,  $c_l$  is expected to be somewhat 415 416 less than the sound speed in water near the bottom,  $c_w$ , and increase with the depth below seafloor.7, 18, 36 417

418 We will show that the power spectrum peak can be explained by the interference of 419 compressional waves reflected from the seafloor and the boundary z = h within sediments. 420 Consider first a simplified geoacoustic model, where shear rigidity is neglected at z < h, i.e., the 421 top layer of the bottom is approximated by a homogeneous fluid with sound speed  $c_{l1}$  (Fig. 4a). 422 The ocean bottom at z > h is modeled as a homogeneous solid half-space with compressional 423 wave speed  $c_{l2}$  and shear wave speed  $c_{s2}$ . The reflection coefficient of a plane acoustic wave incident from water on the seafloor will be infinite when the following condition<sup>23</sup> is met: 424

425 
$$V_1 V_2 \exp\left(2i\omega h \sqrt{c_{l_1}^{-2} - u^{-2}}\right) = 1.$$
 (20)

426 Equation (20) is similar to Eq. (3) but refers to compressional waves, and reflection coefficients 427  $V_1$  and  $V_2$  have a different meaning. Here  $V_1$  and  $V_2$  are plane-wave reflection coefficients at z =0 and z = h for sound waves in the layer  $0 \le z \le h$ . As in Eq. (3),  $V_1$  and  $V_2$  are the reflection 428 429 coefficients for incidence from below and from above, respectively. In Eq. (20) u has the 430 meaning of the phase speed of the trace of sound waves on a horizontal plane; in terms of u and wave frequency  $\omega$ , the horizontal component of the wave vector  $\xi = \omega/u$ . Equation (20) coincides 431

432 with the dispersion equation of acoustic normal modes with phase speed u in the waveguide









Figure 4. (Color online) Compressional wave resonance in a stratified seabed. (a) Geometry of resonance reflection of compressional waves. Arrows illustrate incident, reflected, and transmitted compressional waves. Constant compressional wave speeds in different layers are indicated in the figure. A sketch of the depth dependence of the shear speed is shown for orientation. (b) Relation between the compressional wave speeds in the upper (0 < z < h) and lower (h < z < H) clay layers as derived from the observed resonance frequency for three values of the ratio  $\rho_w/\rho_1$  of densities of the water and of the upper clay layer: 0.537 (1), 0.75 (2), and 0.9

(3). (c) Absolute value of the reflection coefficient  $V_2$  of plane compressional waves from interface z = h of two solids with compressional speeds  $c_{l1} < c_{l2}$  and shear speeds  $c_{s1} < c_{s2}$ . The wave is incident from the solid with the smaller wave speed. In the figure,  $c_{l1}/c_{l2} = 0.95$ ,  $c_{l1}/c_{s1} =$ 20, and the ratio of densities of the two solids  $\rho_2/\rho_1 = 1.2$ . The angle of incidence  $\theta_l$  is related to the trace velocity u of the wave by the equation  $\sin \theta_l = c_{l1}/u$ . (d) An expanded view of the part of figure (c) at  $|V_2| > 0.85$  and  $0.9 < c_{l1}/u < 1$ .

449

For propagating (as opposed to evanescent) plane waves in the layer, the absolute values of reflection coefficients  $V_1$  and  $V_2$  do not exceed unity. For the condition (20) to be met,  $|V_1|$  and  $|V_2|$  should be equal to 1 simultaneously. The reflection coefficient of a plane sound wave in fluid from a solid half-space is<sup>23</sup>

454 
$$V_2 = \frac{Z_l \cos^2 2\theta_s + Z_s \sin^2 2\theta_s - Z}{Z_l \cos^2 2\theta_s + Z_s \sin^2 2\theta_s + Z}.$$
 (21)

Here  $Z_s$  and  $Z_l$  are impedances of shear and compressional waves at z > h; Z is the impedance of compressional waves at 0 < z < h; and  $\theta_s$  is the angle that wave vector of the shear wave, below the interface, makes with the normal to the interface z = h:

458 
$$\theta_s = \arcsin\frac{c_{s2}}{u}, \ Z_s = \frac{\rho_2 c_{s2}}{\cos \theta_s}, \ Z_l = \frac{\rho_2 c_{l2}}{\sqrt{1 - c_{l2}^2/u^2}}, \ Z = \frac{\rho_1 c_{l1}}{\sqrt{1 - c_{l1}^2/u^2}}.$$
 (22)

For a propagating compressional wave incident on a solid half-space with a shear speed smaller than compressional speed  $c_{l1}$ ,  $\theta_s$  and impedances Z and  $Z_s$  are real and positive according to Eq. (22). Then, it follows from Eq. (21) that  $|V_2| < 1$  unless  $u = c_{l2}$ . When  $u = c_{l2}$ , impedance  $Z_l$  is infinite, and  $V_2 = 1$ . This property of the reflection coefficient has a simple physical meaning. Acoustic waves cannot be totally reflected from the solid half-space because a part of the incident energy is carried away from the boundary by shear waves in the solid. The only 465 exception occurs when the impedance of the refracted compressional wave in the solid becomes 466 infinite at  $u = c_{12}$ , and the amplitude of the shear wave vanishes.<sup>23</sup>

467 The condition 
$$|V_1| = 1$$
 will be satisfied at  $u = c_{l2}$  provided

468 
$$c_{l1} < c_{l2} < c_w.$$
 (23)

469 This inequality ensures that the plane wave is totally reflected at the fluid-fluid interface z = 0. 470 The reflection coefficient from the top boundary of the layer, for incidence from below, is

471 
$$V_{1} = \exp\left[-2i \arctan\left(\frac{\rho_{1}}{\rho_{w}}\sqrt{\frac{1-u^{2}c_{w}^{-2}}{u^{2}c_{l1}^{-2}-1}}\right)\right]$$
(24)

472 at total internal reflection.<sup>23</sup> Hence, the resonance condition (20) will be met at frequencies  $f_{l,j}$ 473 that satisfy the following equation:

474 
$$\frac{2f_{l,j}h}{c_{l2}}\sqrt{\frac{c_{l2}^2}{c_{l1}^2}-1}-\frac{1}{\pi}\arctan\left(\frac{\rho_1}{\rho_w}\sqrt{\frac{1-c_{l2}^2c_w^{-2}}{c_{l2}^2c_{l1}^{-2}}-1}\right)=j, \quad j=0,1,2,\dots$$
 (25)

475 The above derivation of the resonance conditions (23) and (25) extends an earlier discussion by Duncan et al.<sup>37</sup> of frequencies with sharply reduced transmission losses in an 476 477 underwater waveguide with a homogeneous solid bottom, when the sound speed in water is 478 larger than the shear wave speed and smaller than the compressional wave speed in the bottom. 479 The fluid-fluid boundary at z = 0 in our problem reduces to a pressure release boundary in the limit  $\rho_w \rightarrow 0$ . In this limiting case, the arctangent in Eq. (25) is replaced with  $\pi/2$ , and our result 480 reduces to that of Ref. <u>37</u>. When  $\rho_w \rightarrow 0$ ,  $|V_1| = 1$  at all incidence angles and for any  $c_w$ , and the 481 482 requirement  $c_{l2} < c_w$  in Eq. (23) does not apply. The lowest-frequency compressional wave resonance corresponds to j = 0 in Eq. (25) and 483

484 occurs at the frequency

485 
$$f_{l,0} = \frac{c_{l2}}{2\pi h \sqrt{c_{l2}^2 c_{l1}^{-2} - 1}} \arctan\left(\frac{\rho_1}{\rho_w} \sqrt{\frac{1 - c_{l2}^2 c_w^{-2}}{c_{l2}^2 c_{l1}^{-2} - 1}}\right).$$
(26)

486 Subsequent resonances are equally spaced in frequency with the spacing

487 
$$f_{l,j+1} - f_{l,j} = \frac{c_{l2}}{2h\sqrt{c_{l2}^2 c_{l1}^{-2} - 1}}.$$
 (27)

Note that the frequency difference  $f_{l, j+1} - f_{l, j} > c_{l1}/2h$ . Under the conditions of the North Sea experiment, where  $h \approx 5.6$  m, the frequency spacing exceeds 85 Hz for all reasonable values of  $c_{l1} > 1000$  m/s, and – in agreement with the observations<sup>22</sup> – only one resonance,  $f_{l,,0}$ , is observed within the 2–60 Hz frequency band of the source.

492 With the resonance frequency  $f_{l,0}$ , layer thickness h, and sound speed in water known, Eq. 493 (26) relates three geoacoustic parameters: compressional wave speeds  $c_{l1}$  and  $c_{l2}$  in two sediment 494 layers and the ratio  $\rho_w/\rho_1$  of water and sediment layer densities (Fig. 4b). The value  $\rho_w/\rho_1 =$ 495 0.537 has been obtained from the interface wave data (Table 1). If  $c_{l2}$  were retrieved from, say, measured travel times of compressional head wave data,<sup>38, 39</sup>  $c_{l1}$  could be unambiguously 496 497 determined from Eq. (26), and vice versa. In the North Sea experiment, the nondimensional 498 parameter  $f_{l,0} h/c_w \approx 0.14$  is small. Then, Eq. (26) provides a strong constraint on deviations of 499 the ratios  $c_{11}/c_w$  and especially  $c_{12}/c_w$  from unity (Fig. 4b). The findings that  $c_{11}$  and  $c_{12}$  are 500 smaller than but close to the sound speed in water are consistent with the available geologic information about surficial sediments<sup>30</sup> and expectations for compressional wave speeds in soft 501 502 clays.<sup>7, 18, 36</sup>

In the above discussion we modeled the top sediment layer 0 < z < h as a fluid. To justify the application of the fluid-solid model to the interface z = h between sediment layers, it should be noted first that the layer thickness h = 5.57 m is small compared to the compressional wave wavelength  $c_{l1}/f_p \sim 40$  m. For compressional waves, the upper layer will act as a homogeneous

507 layer with some effective (averaged) parameters. Given the very fast relative variations of the 508 shear rigidity with depth and that shear rigidity is extremely small in the upper part of the layer, 509 the effective shear speed will be much smaller than the 73 m/s shear speed just above the 510 boundary z = h. Similarly, the shear modulus increases by the factor of ~20 over the first 40 m 511 below the boundary (see Table 1). In a homogeneous half-space model of the sediments at z > h, 512 the effective shear speed should be considerably larger than the 85 m/s value just below the 513 interface as given by the geoacoustic inversion of the interface wave data. Hence, reflection of 514 compressional waves from the boundary z = h should be treated as reflection at a solid-solid 515 interface with a large contrast in shear speeds. 516 Figure 4c illustrates the angular dependence of the reflection coefficient  $V_2$  of a plane 517 compressional wave from the interface of two homogeneous solids with a large contrast between 518 shear speeds ( $c_{s2} \gg c_{s1}$ ). The wave is incident from the solid with a smaller shear and 519 compressional speeds ( $c_{l2} > c_{l1}$ ). Incidence angle  $\theta_l$  of the wave is related to the trace velocity u 520 by the equation  $\sin\theta_l = c_{l1}/u$ . The reflection coefficient is calculated using Eqs. (4.2.8), (4.2.13)– 521 (4.2.13) in Ref. 23. The equations are exact but cumbersome and will not be reproduced here.  $V_2$ 522 is real-valued at  $0 \le u \le c_{l2}$  and positive at  $u = c_{l2}$ . Note that  $|V_2|$  is relatively small at steep and 523 moderate incidence angles and, just like reflection coefficient Eq. (21) from a fluid-solid interface, has a sharp maximum at  $u = c_{l2}$  (Figs. 4c, d). The value of  $|V_2(u = c_{l2})|$  is close to unity, 524 525 and the sharp local maximum of  $|V_2|$  leads to resonance reflection of compressional waves from 526 the layer 0 < z < h at the frequencies satisfying Eq. (25) as in the case of reflection from a fluid 527 layer between fluid and solid half-spaces. In this model, the sharpness of the observed resonance 528 peaks (see Fig. 1a) is related to the sharpness of the angular dependence of the reflection 529 coefficient around its local maximum at  $u = c_{12}$  in Fig. 4d.

530 When the layer 0 < z < h has small but finite shear rigidity, the reflection coefficient  $V_1$ 531 from the upper boundary z = 0 of the layer deviates from the reflection coefficient Eq. (24) at a 532 fluid-fluid interface. The reflection coefficient of compressional waves in a solid at the solid-533 fluid interface is

534 
$$V_1 = \frac{Z - Z_l \cos^2 2\theta_s + Z_s \sin^2 2\theta_s}{Z + Z_l \cos^2 2\theta_s + Z_s \sin^2 2\theta_s},$$
 (28)

535 see, e.g., Eq. (4.2.37) in Ref. 23. The reflection coefficient is similar to that of the plane wave 536 incident on the interface from the fluid side, Eq. (21). At boundary z = 0,

537 
$$\theta_{s} = \arcsin\frac{c_{s1}}{u}, \ Z_{s} = \frac{\rho_{l}c_{s1}}{\cos\theta_{s}}, \ Z_{l} = \frac{\rho_{l}c_{l1}}{\sqrt{1 - c_{l1}^{2}/u^{2}}}, \ Z = \frac{\rho_{w}c_{w}}{\sqrt{1 - c_{w}^{2}/u^{2}}}$$
(29)

in Eq. (28). When shear speed  $c_{s1}$  is small,  $\theta_s$  and  $Z_s$  are proportional to the small parameter  $c_{s1}/u$ (31)  $\ll 1$ . When  $c_{l1} \le u \le c_w$ , Z is purely imaginary, and it follows from Eq. (28) that  $|V_1| = 1$  up to terms of the third order in  $c_{s1}/u$ ; phase of the reflection coefficient differs from its value in Eq. (24) [i.e., at  $c_{s1} = 0$ ] by terms  $O((c_{s1}/u)^2)$ . Thus, deviations of  $V_1$  from Eq. (24) are negligible. Together with the above analysis of  $V_2$  (Figs. 4c, d), these findings justify application of the resonance conditions Eqs. (23) and (25) in our problem.

544

#### 545 V. DISCUSSION

546 Identification of the fundamental mode of *P*–*SV* interface waves as the only mode that is

547 sensitive to sediment density has allowed us to retrieve an estimate  $\rho_w / \rho_1 = 0.537$  of the density

548 contrast between water and the sediment layer 0 < z < h. In previous geoacoustic inversions<sup>22, 30</sup>

of the same data set, density was not retrieved. In the two density models postulated in Ref. 30

on the basis of the available geologic information at the experimental site, the density ratio  $\rho_w / \rho_1$ 

551 = 0.574–0.583, if the average of density in the upper 6 m of the sediments is taken for  $\rho_1$ . These

values are close to the value retrieved in Sec. 2C and are within the uncertainty interval of thatestimate, see Table 1.

554 Similarly, depth-independent compressional wave speed  $c_l = c_w$  in the seabed was postulated in Ref. 30. In Ref. 22, interface wave dispersion curves were found to be insensitive to 555 556 the compressional speed, which was also assumed to be depth-independent. The relatively small 557 deviations of  $c_{l1}$  and  $c_{l2}$  from  $c_w$  that are derived in Sec. IV from the measured frequency of the compressional wave resonance, are consistent with the rough depth-independent models.<sup>22, 30</sup> 558 559 Furthermore, the power spectrum data provides strong constraints on variations of the 560 compressional wave speed across the seafloor and within top sediment layers (Fig. 4b). 561 Inversion of the interface wave dispersion data is accomplished in Sec. 2C by 562 representing the upper 40–50 m of the seabed by two layers with power-law profiles of the shear 563 speed. The model is motivated by the observation of two distinct slopes in log-log representation 564 of the dispersion curves (Fig. 1b). To assess this shear-speed model, it is compared here to 565 several alternative geoacoustic models of soft marine sediments. We have considered three 566 additional models of the shear speed depth dependence: single power-law layer, three power-law 567 layers, and two power-law layers on top of a homogeneous half-space. In the last two models,  $c_s(z) = a_2 z^{v_2}$  at h < z < H. Below the bottom of the second layer, at z > H,  $c_s(z) = a_3 z^{v_3}$  in the 568 569 three-layer model; in the two-layer plus half-space model, the shear speed and density in the half-space are  $c_{sb} = Na_2H^{\nu_2}$  and  $\rho_b = M\rho_2$ . Parameters M and N have the same meaning as in Eq. 570 571 (14).

572 In the single-layer model, we have used the more accurate theoretical dispersion 573 equations (17)–(19) for all modes. In conjunction with the other models, Eqs. (18) and (19) have 574 been used for the fundamental (n = 0) *P*–*SV* mode and *SH* mode 1, implying that those modes

interact only with the uppermost layer; the WKB approximation has been used for all other modes. The P-SV mode 1 data is not well-described by the WKB approximation and therefore does not have a good fit for any model. It might have been useful to exclude that data from the fit, but that has not been attempted.

579 Results of the interface wave data inversion in the alternative geoacoustic models are 580 summarized in Table 2 and illustrated in Fig. 5. Ninety-five per cent confidence bounds are 581 given in Table 2 for parameters of the retrieved power-law dependencies. The two-layer model 582 (Figs. 3a, b) shows major improvement over the one-layer model (Figs. 5a, b) in fitting the data. This is reflected in the  $R^2$  values for the inversions, which increase from 0.966 for the one-layer 583 model to 0.980 for the two-layer model. The difference in the R<sup>2</sup> values represents a decrease of 584 585 the model-data misfit variance by the factor of 1.7 in the two-layer model. Comparison of Figs. 586 5a, b and 3a, b demonstrates that the one-layer model fails to fit the data at phase speeds below 75–80 m/s. The data-model mismatch is so big (Figs. 5a, b) that R<sup>2</sup> values calculated for the 587 588 fundamental P-SV mode, -1.10, and the first SH mode, -3.07, prove to be negative. In contrast, 589 the two-layer model adequately approximates the low-order mode data, with R<sup>2</sup> of 0.966 and 590 0.926 for the fundamental *P-SV* mode and the first *SH* mode, respectively.

The physics behind the difficulties that the one-layer model has with low-order modes can be traced back to the fact that dispersion of slow interface wave is most sensitive to the shear speeds at depths around the turning point (Sec. 3A). Parameters of the optimum one-layer model are primarily controlled by properties of the second layer (z > h), where turning points are located for most modes in the dataset. At phase speeds below 76 m/s, the turning points are located in the top layer, 0 < z < h, and the mismatch between the data and one-layer model reflects the difference between the parameters of the two sediment layers.

The two-layer plus half-space model had the same  $R^2$  and produced identical estimated 598 599 values of parameters of the layers and extremely close confidence intervals of theses parameters 600 (Table 2) as the two-layer model (Table 1), suggesting that the data does not contain the wave 601 frequencies and mode orders that interacted with seabed below the bottom of the second power-602 law layer. Despite an increase in the number of degrees of freedom, the three-layer model does not noticeably improve the dispersion data fit ( $R^2 = 0.981$ ) and shows very low sensitivity to 603 604 parameters of the deepest layer, as reflected in the confidence intervals for H,  $v_3$ , and especially 605  $a_3$ . We conclude that the two-layer model is in the best agreement with available dispersion data. 606 We have also considered a more general two-layer model, where non-zero values of the 607 parameter  $z_0$  in Eq. (2) are allowed, and  $z_0$  is considered as an additional unknown geoacoustic 608 parameter. Despite an increase in the number of degrees of freedom, no noticeable improvement 609 in the model-data fit was found compared to the original two-layer geoacoustic model in Table 1. 610 A Bayesian multi-layer shear-speed inversion of the interface wave dispersion data was developed by Dong et al.<sup>22</sup> and considered as an approximation to the linear shear speed profile 611 in a layer overlying a homogeneous half-space. The multi-layer model<sup>22</sup> ensures an excellent fit 612 613 to the measured dispersion curves but its interpretation as an approximation to a linear profile is questionable. Sediments with linear ( $v_1 = 1$ ) profile, unlike power-law profiles with  $0 < v_1 < 1$ , 614 support neither SH nor slow P-SV interface waves.<sup>9, 17</sup> This can be traced back to the fact that, 615 616 when  $v_1 \ge 1$ , shear speed decreases so fast near z = 0 that shear wave travel time to the seafloor 617 becomes infinite, the waves experience extraordinary attenuation and never reach the seafloor. 618

Parameter	Unit	Single p	ower-law layer	Two power-law half-	layers overlying space	Three powe	r-law layers
		Estimated value	95% Confidence Bounds	Estimated value	95% Confidence Bounds	Estimated value	95% Confidence Bounds
pw/p1	I	0.624	(0.446, 0.795)	0.537	(0.478, 0.596)	0.535	(0.475, 0.593)
Ч	Ш	·		5.57	(5.02, 6.11)	5.68	(5.25, 6.11)
$a_1 \left(1 \mathrm{m}\right)^{\nu_1}$	m/s	39.0	(38.46, 39.58)	46.3	(46.0, 46.7)	46.3	(46.0, 46.7)
$\boldsymbol{\nu}_1$	Ι	0.556	(0.5475, 0.564)	0.288	(0.276, 0.301)	0.288	(0.277, 0.300)
$a_2 \left(1 \mathrm{m}\right)^{\nu_2}$	m/s	ı		24.4	(22.5, 26.3)	28.9	(22.5, 26.3)
$v_2$	I	ı		0.710	(0.677, 0.743)	0.634	(0.580, 0.688)
Н	Ш	·		44.68	•	19.6	(15.75, 23.4)
M	·	I	·	2.56		ı	
N		ı		1.185		ı	
$a_3 \left(1 \mathrm{m}\right)^{\nu_2}$	m/s	I	ı	ı	ı	24.9	(-16.0, 65.8)
$v_3$	I	1		-	•	0.710	(0.677, 0.742)

Table 2. Alternative geoacoustic models



621

622 Figure 5. (Color online) Inversion of measured dispersion curves of the interface waves for the 623 shear-speed profile in alternative geoacoustic models. (a) Comparison of the theoretical 624 frequency dependence of the phase speeds of interface waves in the optimum single-layer model 625 (solid lines) with measured phase speeds of P-SV interface waves. Error bars of measurements<sup>22</sup> 626 are shown. (b) Same for measured phase speeds of SH interface waves. Mode orders  $n_H$  and  $n_V$ 627 of, respectively, horizontally and vertically polarized interface waves are shown in the figure. 628 Note much poorer data-model agreement than in the two-layer inversion illustrated in Figs. 3a, b. 629 (c) Comparison of the results of alternative single-layer (1), two-layer (2), three-layer (3), and 630 two-layer plus half-space (4) power-law inversions with an inversion in terms of a large number of homogeneous layers<sup>22</sup> (5). The shaded region is the overlap of 95% confidence intervals of the 631 632 shear speed profile as obtained in Ref. 22 from the separate Bayesian inversions of the dispersion 633 curves of the horizontally and vertically polarized interface waves.

634

The results of the multi-layer shear-speed inversion<sup>22</sup> are compared to results of various
simple, power-law based inversions in Figs. 3c and 5c. (Inversion results are extended to the 60

637 m depth below the seafloor, as in Ref. 22, although these may be only supported by data up to 638 about 45 m depth.) The results of power-law inversions, except the single-layer inversion, do not 639 deviate far from the multi-layer geoacoustic model in the top 50 m of the seabed. The two-layer, 640 two-layer plus half-space, and three-layer models are all well within the 95% confidence 641 intervals<sup>22</sup> of the Bayesian multi-layer inversions for SH and P-SV waves. Thus, the three simple 642 models and particularly the physics-guided, parsimonious two-layer inversion provide a shear-643 speed depth dependence, which is arguably as consistent with the data as the much more 644 sophisticated and computationally intensive multi-parameter, multi-layer Bayesian inversion. 645

646 VI. CONCLUSION

647 Soft surficial sediments support a rich set of slow interface waves, which can account for the 648 bulk of seismo-acoustic energy near the seafloor at low frequencies (between about 1 Hz and a 649 few tens of Hertz) and are sensitive to the magnitude and depth-dependence of shear rigidity. 650 Hydrophone measurements miss most of the interface waves. Vector sensors, such as tri-axial, 651 bottom coupled accelerometers, are necessary to capture, separate different polarizations, and 652 identify various interface wave modes and other components of the full wave field.

The linear dependence between logarithms of the phase (or group) speeds of the interface waves and their frequency was proposed by Chapman and Godin<sup>10, 17</sup> as means to identify a seabed with a power-law shear-speed profile and determine its parameters. In this paper, that simple, physics-based approach to geoacoustic inversions is extended to seabeds containing several layers of soft sediments of different composition. In application to interface wave dispersion data obtained in the North Sea off Norway, the approach leads to a low-parameter model of the shear speed profile as power-law dependences in two layers. The model provides a

660 good fit to the data and agrees with the results of a much more elaborate Bayesian inversion.<sup>22</sup> In 661 addition, a boundary between soft sediment layers is detected and sediment density is evaluated, 662 with the result being consistent with available geologic information. 663 We identified a physical mechanism, which can lead to compressional wave resonances 664 in stratified soft sediments, and demonstrated that the proposed mechanism can explain sharp 665 peaks of the observed power spectra of the vertical and radial components of the particle 666 velocity. The compressional wave resonance with a high quality factor is made possible by the 667 fact that amplitudes of converted shear waves, which would otherwise take energy from and 668 attenuate the compressional wave at reflection from a fluid-solid or solid-solid interface, are 669 strongly suppressed at a particular incidence angle.

A simple, physics-guided approach presented in this paper results in a geoacoustic model
that offers a consistent interpretation and a quantitative description of various salient features of
the available data of the 2007 shear-wave experiment in the North Sea.

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