

28 **Abstract**

29 With the advancement of digital technology, the collection of pavement data has become
30 commonplace. The improvement of tools to extract useful information from pavement databases
31 has become a priority to justify expenditures. This paper presents a case study of PaveMD, a tool
32 that integrates multi-dimensional data structures with a data-driven fuzzy approach to identify high
33 performing pavement sections. Combining this tool with an innovative paradigm where the focus
34 is on repeating success can bring additional value to existing pavement data. The case study shows
35 that PaveMD can identify pavement sections that are performing well by comparing performance
36 measures for the New Zealand context.

37 In this paper, PaveMD's development is described, and its implementation is showcased using data
38 from the New Zealand Long-Term Pavement Performance (LTPP) database. It is recommended
39 that this approach be further developed and extended to other types of infrastructure and databases
40 internationally.

41 Highlights:

- 42 • Development of a new tool for pavement data analysis using a New Zealand case study.
- 43 • A novel approach to classify pavement sections using performance data.
- 44 • Use of a fuzzy multi-dimensional framework for pavement classification.

45 Keywords: Pavement data, Pavement selection, Repeating success, Multi-dimensional analysis,
46 New Zealand, Chip-seal, Pavement performance.

47

48 **1 Introduction**

49 Transportation agencies have recognized an opportunity to extract additional information from
50 their existing pavement databases. Like many agencies, Waka Kotahi, the New Zealand Transport
51 Agency (NZTA) has been particularly interested in extracting more information from their Long-
52 Term Pavement Performance (LTPP) program (NZTA, 2016). The classic approach for the
53 analysis of pavement data tends to be a forensic one, where the analyst focuses on what went
54 wrong to understand it and be able to find a potential solution to avoid future failures of the same
55 nature. An alternative approach would focus on success. This approach seeks to identify the
56 information necessary to replicate success rather than to try to avoid failure. It shifts the objective
57 of the analysis from a design-centric approach to a construction-centric approach. Here, pavement
58 success becomes a relative term, indicating the best performing pavement section in a specific
59 context. This approach was first used by Gransberg, Senadheera, & Karaca (1998) on a statewide
60 constructability review project for the Texas Department of Transportation (TxDOT). While the
61 project did not use analytical tools, it did maintain the focus on pavement success. TxDOT later
62 attributed its results to a savings of over \$6 million in the first two years of its implementation.
63 This study seeks to expand the fundamental approach of the TxDOT research to New Zealand
64 pavement data and classify pavement sections based on their performance for further investigation.

65 The objectives of the paper are:

- 66 1. Investigate current and emerging methods from literature to analyze pavement
67 performance data.
- 68 2. Develop a tool to classify pavement sections based on commonly available pavement
69 performance data.

70 3. Showcase the tool using the New Zealand Long-Term Pavement Performance (LTPP)
71 database as a case study and make further research recommendations.

72 The first part of this paper presents a review of pavement performance in a New Zealand context;
73 the paper then discusses the tool's development, called PaveMD, the techniques used, information
74 structure and implementation. PaveMD is then showcased using data from the New Zealand LTPP
75 database. Finally, this paper presents a discussion, the limitations and recommendations for
76 research moving forward.

77 **2 Background**

78 **2.1 The distinction between pavement success and performance in New Zealand**

79 In New Zealand, national roads are predominantly paved with chip-seal to remain cost-effective.
80 These pavements consist of an unbound granular base surfaced with a type of chip-seal. In this
81 context, the definition of pavement performance is relative, with many complex variables and
82 confounders based on the context. For example, a pavement towards the end of its life may not be
83 performing that well but may have carried twice the amount of heavy traffic in poor geotechnical
84 and climate conditions and thus could be classified as more successful. Instead, here the focus will
85 be on the performance of chip-seal pavements at the network level (Gransberg, Scheepbouwer &
86 Tighe, 2010). This is typically measured using pavement performance measures such as rutting,
87 roughness and texture with pavement load (ESALs) over time. These key performance measures
88 have also been selected as the basis for the New Zealand Long Term Performance database (NZ
89 LTPP). Other variables that impact performance, such as the amount of drainage, link significance,
90 gradients and elevation are also important but are context-specific. These factors are typically
91 assessed on a case-by-case basis, not at the network level. The new tool utilizes available pavement

92 performance measures for New Zealand pavements listed above, but it can be extended to include
93 other performance measures using a similar novel approach.

94 Following on, to gain an understanding of the existing research with relevance to PavEMD, this
95 section contains the following three sub-sections:

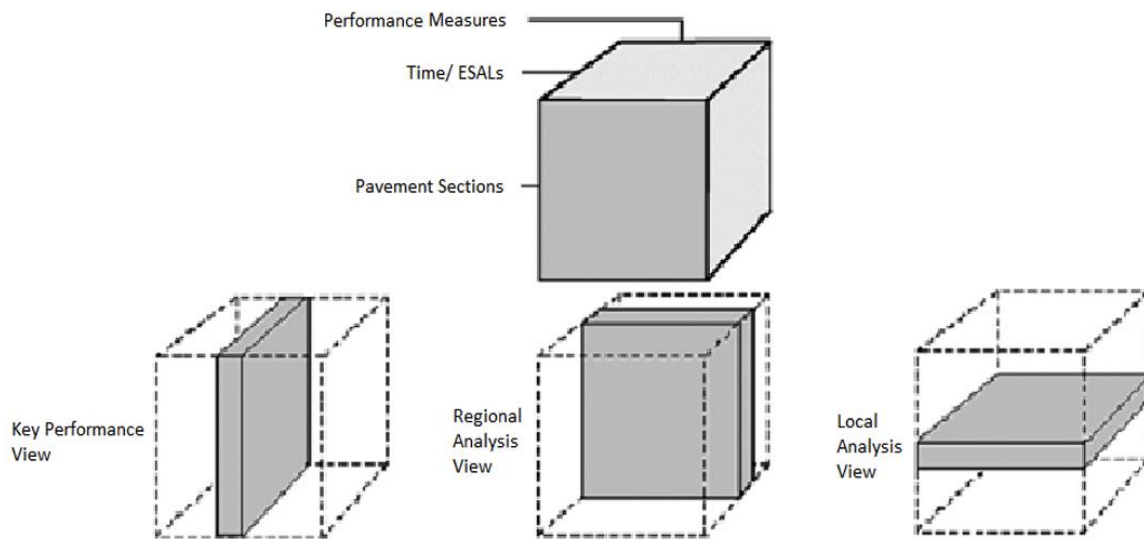
- 96 • Review of data structures commonly used in transportation and pavement research
- 97 • Review of pavement data classifiers
- 98 • Review of data consolidation methods (Composite indices)

99 **2.1 Review of data structures commonly used in transportation**

100 Relational database management systems (RDBMS) or 'flat tables' have been used for many years.
101 It is the basis upon which the most extensive pavement data sets are held. Recently it has been
102 shown that classical statistical techniques have seen limited success with current pavement
103 databases in New Zealand. Neaylon et al. (2017) proposed that New Zealand pavement data should
104 be restructured to be more compatible with smart computing to extract meaningful results more
105 affordably. Additionally, Colliat (1996) also pointed to the many limitations of this standard and
106 suggested a move to a multi-dimensional framework (MDF). At this point, it is essential to note
107 that other terminology and jargon exists with similar definitions. For example, the OLAP-Cube
108 (online analytical processing) (Salley & Codd, 1998) is synonymous with MDF and Multi-
109 dimensional analysis (MDA). This paper will use the more generalized terminology, MDF for the
110 data structure, and MDA for the analysis of multi-dimensional data and framework.

111 An MDF is structured to answer queries about trends and patterns in data (Larson et al. 2011).
112 Pavement data is well suited to MDF as data is typically collected in set intervals. In New Zealand,
113 the SCRIM truck (Sideway-force Coefficient Routine Investigation Machine) collects information

114 annually between October and March Each year (NZTA 2019). This allows “annual” dimensions
115 to be added to a MDF. Figure 1 depicts the benefits that an MDF and MDA approach could bring
116 to pavement data. MDF can provide a context-specific view when looking at data.



117
118 Figure 1: Visualization of multi-dimensional perspectives as it relates to different entities.

119 There are several benefits of moving to an MDF and MDA approach.

- 120 • The ability to provide a context-focused view when viewing the same structure.
- 121 • Transformation of a scheme into a more direct context-focused environment.
- 122 • Multi-dimensional data is implicitly joined, enabling fast queries.
- 123 • Relationships between different layers of information are easily identified.
- 124 • Matrix algebra can be applied for advanced query outputs. Sub-matrices can simply be
125 obtained through matrix manipulation.

126 (Colliat 1996; Laker 2006; Park & Cai 2017)

127 The MDF approach has seen limited use in the transportation industry, and only a small number
128 of researchers have used MDFs for pavement and transportation research. In traffic modelling,

129 researchers have used MDF and MDA for the analysis of traffic data. Kim et al. (2014) investigated
130 the use of MDF to analyze bus information systems and traffic card data to examine the passengers'
131 usage patterns. Researchers suggested that this methodology can be used to design or re-organize
132 bus service routes to save transit time. Dock et al. (2004) discussed the limitations of current
133 roadway standards and suggested a multi-dimensional framework for the context-based design of
134 thoroughfare.

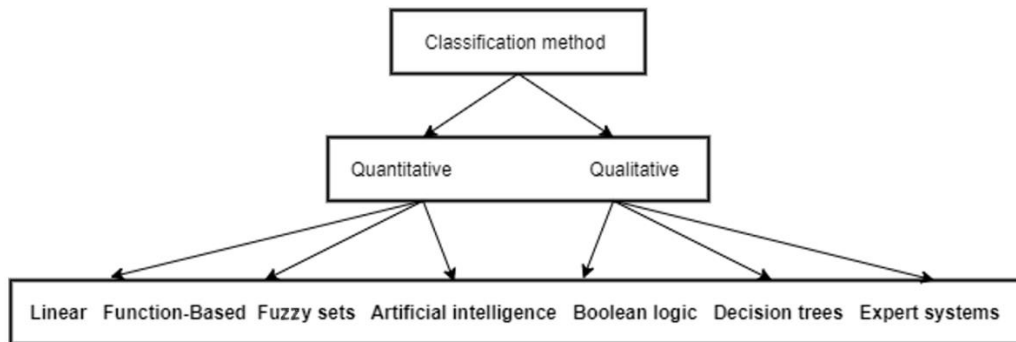
135 Limited research has been conducted with MDF when looking at pavements specifically. Kuhn
136 (2011) describes the limitations of using a discrete composite condition index and proposes that
137 approximate dynamic programming can be used on large networks of pavements considering
138 multi-dimensional condition data. Shrestha et al. (2017) investigated multi-dimensional highway
139 construction cost indices. They developed an automated system to calculate multi-dimensional
140 cost indices for enhanced work efficiency instead of spread-sheet-based systems still common
141 among state DOTs in the U.S.

142 Khurshid et al. (2014) used a multi-dimensional treatment methodology to evaluate five rigid
143 pavement rehabilitation treatments. They used American pavement performance data
144 complemented with various other data, including climate and loading. They found that the superior
145 effectiveness of the treatment does not necessarily translate to excellent cost-effectiveness.

146 The sparse existing research is supportive of the use of MDFs in the analysis of data in the
147 transportation field. This indicates that there could be merit in further exploration.

148 **2.2 Review of pavement data classifiers**

149 After the selection of the MDF data structure for PavEMD, a 'classification method' (the degree of
150 pavement performance within a wider sample) needed to be established. Several classification
151 methods for pavement data are common in research (Figure 2).



152

153 Figure 2: Classification methods reviewed are broken into quantitative and qualitative techniques.

154 To use existing pavement data, quantitative methods are the focus here. The advantages and
155 disadvantages of the four candidate quantitative methods are listed in Table 1.

156

157 Table 1: The advantages and disadvantages of four different classification techniques reviewed.

	<i>Advantages</i>	<i>Disadvantages</i>
<i>Linear</i>	<ul style="list-style-type: none"> • Easy to implement. • Simple to understand and explain to practitioners. 	<ul style="list-style-type: none"> • Typically not data-driven • The underlying relationship may not be linear
<i>Function-Based</i>	<ul style="list-style-type: none"> • Uses existing data to quantify performance • Typically based on correlation and regression analysis • Take more complex relationships into account. 	<ul style="list-style-type: none"> • Necessary deterioration functions have not yet been developed for all pavement performance measures. • Models may require input variables that are not available.
<i>Fuzzy sets</i>	<ul style="list-style-type: none"> • Allows for in-depth analysis • Can be used for detailed and holistic analyses • Can describe knowledge in a human-like manner • Can be data-driven and/or use expert opinions • Uses universal classifiers 	<ul style="list-style-type: none"> • Difficult to explain to practitioners • Variable weightings have no statistical meaning • Fuzzy rationale should not be mistaken for likelihood hypothesis • Fuzzy rationale is not exact, and outcomes may not be generally acknowledged • Fuzzy logic does not utilize machine learning
<i>Artificial neural nets</i>	<ul style="list-style-type: none"> • Ability for pattern recognition • Ability to handle large amounts of data. • Universal approximator applicable to a wide variety of pavement problems • Ability to implicitly detect complex non-linear relationships • Practitioners require less statistical training 	<ul style="list-style-type: none"> • Black-box approach, underlying relationships are poorly understood; correlation is not equal to causation. • Consistency in output can be an issue • Software development is slow • Uses iterative approach to train neural functions • A.I. models are resource-intensive • It can be difficult to interpret results • Generalized models are difficult to implement

158

159 Fuzzy set theory was chosen because it can combine data and expert opinion to make decisions in
 160 a human-like manner. Additionally, fuzzy set theory is a common way to classify pavement data
 161 due to its many advantages to pavement engineering (Gunaratne et al. 1984; Gunaratne et al. 1985;
 162 Kucukvar et al. 2014; Pan 2008; Wang et al. 2011). The critical component of fuzzy logic is the
 163 formation of a fuzzy membership function; this is typically based on the variations in expert

164 opinions (Tigdemir et al. 2002; Sun & Gu 2010). This research uses a modified pavement data-
165 driven approach to establish the fuzzy membership functions.

166 **2.3 Performance measure consolidation**

167 Once the performance measures of each section have been classified, it is common for these to be
168 combined with a composite index. This step is context-specific and requires a deep understanding
169 of the qualitative relationship between different pavement performance measures and pavement
170 performance at the network level with specific contextual factors. There are two fundamental
171 methods to derive a composite index, these can be defined as (Fawcett et al., 2001):

- 172 1) A numeric value assigned according to qualitative rating criteria and/or road user's
173 perception of the road or surface condition; and,
- 174 2) A statistically derived index based on quantifiable distress parameters and relative
175 weightings.

176 Both forms have benefits and downsides as outlined in Table 2.

177 Table 2: Differences between two fundamental forms of composite indices adopted from
178 Fawcett et al., 2001.

Qualitative composite index

Advantages

It is simple to derive since all parameter can be rated on the same scale and the outcome of the survey will determine the weighting factors (MCA).

Disadvantages

Highly dependent on the knowledge and expertise of participants. Subjective judgement does not always reflect good engineering judgement and economic principles that can be counter-intuitive. This method is subject to the frame of reference of the survey participants

Statistically derived composite index

Advantages

The index can be derived accurately using recognized statistical approaches and analyses. Indices are founded on measurable condition parameters. It is possible to review and scrutinize the underlying reasons.

Disadvantages

It is difficult to establish relative weighting factors. It is limited to the statistical variables in a specific context or study. This might require local calibration with specific variables that may not exist if to be applied in a different context.

180 Fawcett et al. suggest deriving a robust composite index largely depends on the performance
181 measures included in the index; the method used to determine the relative weighting of
182 performance measures; and the stability of the performance measures. For example, if the
183 repeatability in the measurement is more subjective (rutting vs cracking) it would be more difficult
184 to establish a robust composite index (Fawcett et al., 2001).

185 Depending on the country, state and province, different methods exist for developing a composite
186 index (Henning et al., 2013, Golroo & Tighe, 2009) based on objectives and context. Here a
187 qualitative rating criterion will be used for simplicity. A common qualitative approach to establish
188 a simplified composite index is using a similar approach to Multi-Criteria Analysis (MCA) where
189 performance measures are combined into a linearly formed index (Haas 1994; Shahin & Kohn
190 1979) as shown in Equation 1.

$$\text{Composite Index} = \sum W_i x_i \quad \text{Equation 1}$$

191 In Equation 1, W_i represents the expert weighting, and x_i is the classified performance measure.
192 The method establishes a unified basis to compare pavement performance measures and has been
193 used in pavement condition assessment. Sun & Gu (2010) used the Analytical Hierarchy Process
194 (AHP) and fuzzy logic theory to develop an approach for pavement condition assessment. They
195 demonstrated the new methodology by ranking eight road sections using fuzzy membership
196 functions developed by expert opinion.

197 Additional authors have used AHP (Moazami et al. 2011; Velasquez & Hester 2013), which is
198 based on mathematical decision theory (Ramadhan et al. 1999; Wind & Saaty 1980). A common
199 issue with AHP is that as the number of variables increases, the number of pair-wise comparisons

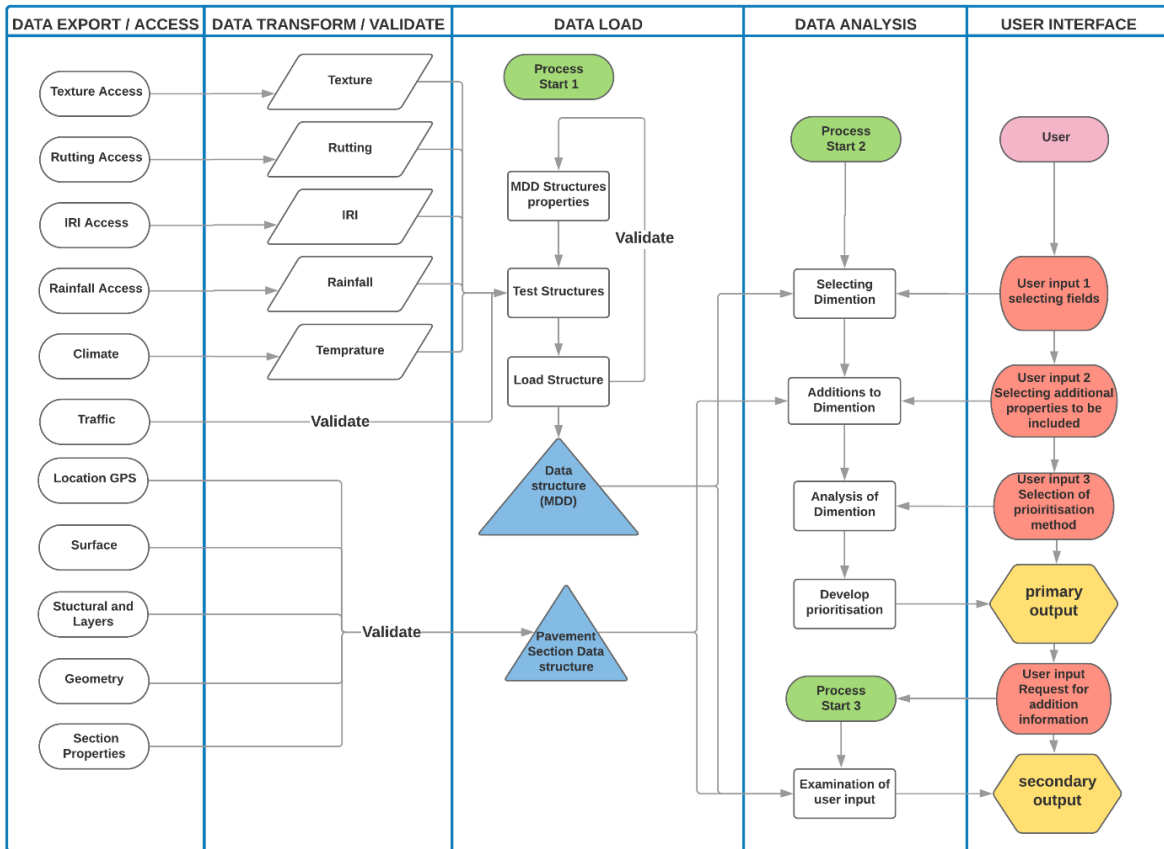
200 increases drastically. AHP also does not facilitate discussion among experts which could yield
201 different results (Wind & Saaty 1980).

202 The Delphi method presents an alternative method (Dalkey & Helmer 1963; Linstone & Turoff
203 1975; Ma et al. 2011; Velasquez & Hester 2013). This method is a communication technique where
204 a panel of experts answers questions in two or more rounds (Rowe & Wright 2001). After each
205 round, anonymous feedback is given concerning their choices and reasoning; the panel is then
206 asked to re-evaluate their choices (Dalkey & Helmer 1963; Linstone & Turoff 1975; Ma et al.
207 2011).

208 For this research, nine pavement experts from the New Zealand National Pavements Technical
209 Group were consulted in identifying the relative importance using three rounds of the Delphi
210 method. This group was established in 2008 to identify and facilitate best practices for road
211 pavement design, materials and construction in New Zealand and provide direction and advice to
212 NZTA on research and development. This composite index developed here is further discussed in
213 Section 5, specifically Equation 7.

214 **3 The Development of PavEMD**

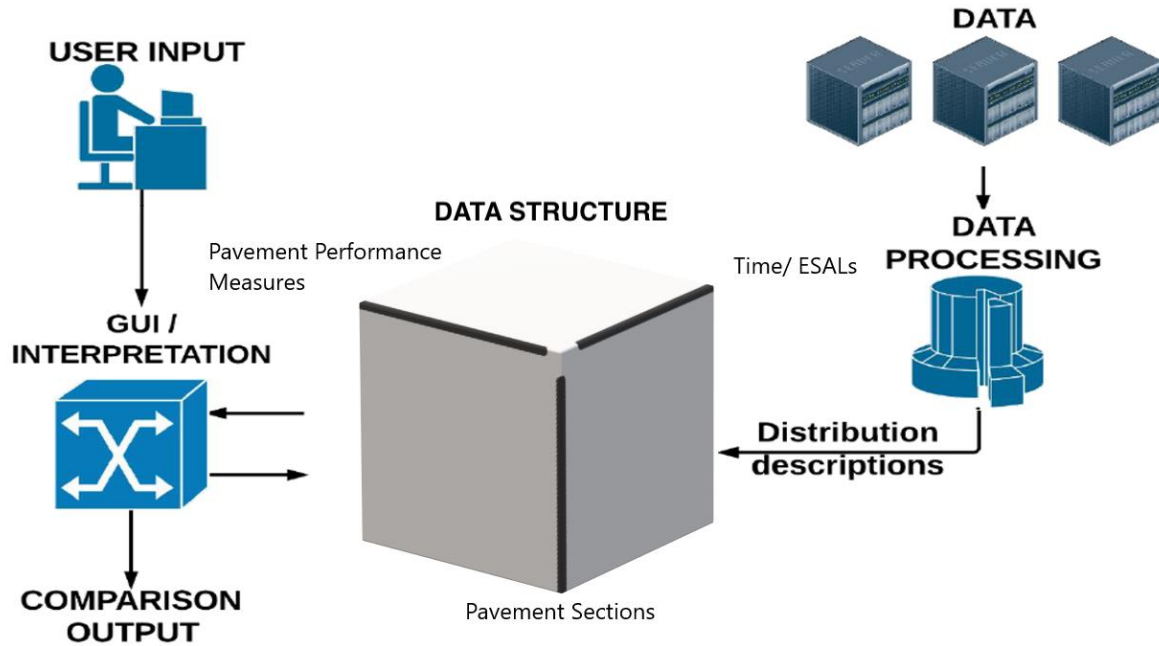
215 PavEMD has been developed with three primary programming languages (matlab, python and
216 SQL) and follows the Extract, Transform, Load (ETL) processes as shown in Figure 3. The data
217 access and export components retrieve data from multiple source systems. The data transform
218 component validates and converts data to information. The data load component checks whether
219 the information is within expected ranges, then pushes the information into the MDF. Once the
220 MDF has been populated, it can be evaluated with input received from the user interface.



221

222

Figure 3: Extract Transform Load (ETL) procedure for PavementMD.



223

224

Figure 4: The Information structure of PavMD.

225 The New Zealand Long Term Pavement Performance (LTPP) database was chosen to be the
 226 primary data source due to the rigour associated with its collection (Brown, 2010). The details of
 227 this database are further discussed in Section 4. Secondary data sources are from the National
 228 Institute of Water and Atmospheric Research (NIWA) and Road Assessment and Maintenance
 229 Management (RAMM) databases to add climate and maintenance information to PavMD.

230 The data structure (MDF) stores distribution descriptors (log Mean and log Standard deviation,
 231 Max, Min, Mode, quality of fit parameters) instead of the raw pavement performance data. This
 232 allows for in-depth analysis without the need to access the original (very large) databases for every
 233 quarry. This method significantly increases the speed of data access and the speed of computation
 234 which allowed PavMD to run on a single P.C. (i7-9700K, 16GB RAM). However, this does
 235 require a one-time upfront computation period to update the MDF, which depending on the data
 236 source may be time consuming.

237 PaveMD first gains access to different databases (authentication) and formulates performance
238 measure distributions for each pavement section. The distribution descriptors include the mean,
239 standard deviation, minimum, maximum, skew, and the quality of fit for each performance
240 measure, per pavement section as mentioned previously. Fundamental distribution descriptors are
241 chosen to enable easy recognition of the processed data. These distribution descriptors are then
242 pushed to the central MDF structure. While speed and minimal computational power is a significant
243 advantage for this method, it does raise some concerns with regards to the smoothing effect when
244 fitting distributions to performance data. This may miss representing the pavements 'local state'
245 or hide severe localized issues. For a network-level analysis this is less of a concern, but on a local
246 level using distribution descriptors may be a significant oversimplification. For this case, other
247 methods may need to be considered to store an aggregation of the original sample from the
248 database.

249 The central MDF data structure is called Stochastic Based Multi-Dimensional Matrix (SBMDM)
250 and is the physical data structure for PaveMD. The data structure has three primary data
251 dimensions; pavement sections, performance measures and time (ESALs), as shown in Figure 4.

252 The user can input various performance queries. The interpretation module translates the queries
253 and interrogates them against SBMDM. The results are then returned to the user. The interrogation
254 of SBMDM follows two steps. The first step is the classification of pavement performance data

255 using Fuzzy membership functions. These fuzzy membership functions are extracted from the
256 SBMDM.

257

258 Table 3: User assigned percentile values to the qualitative descriptors.

<i>Percentile Value(P)</i>	<i>1%(0.01)</i>	<i>25%(0.25)</i>	<i>50%(0.5)</i>	<i>75%(0.75)</i>	<i>99%(0.99)</i>
<i>A qualitative descriptor for performance</i>	Very Good	Good	Moderate	Poor	Very Poor

259

260 Each PaveMD fuzzy membership function has five membership sets, as shown in Table 3. Here
261 the degree of performance (for example, rutting) is broken down into five qualitative measures
262 ranging from Very Good to Very Poor. Each qualitative measure is associated with a percentile
263 value (P). Here the values were chosen such that there is even spacing (~25%) covering the search
264 space, however, it is recognized that this is subjective and other users or agencies may choose
265 differently. Here we will present an example to construct the 'Moderate' membership set for rutting,
266 one of five sets (see Table 3) to build the entire rutting membership function. This requires the
267 following to occur.

268 The rutting distribution descriptors are pulled from the SBMDM for each pavement section. These
269 descriptors are then processed per section using Equation 2, where the 50-percentile rut value is
270 calculated for each section (see Table 3). Doing this for all pavement sections gives an array (a
271 list) of 50-percentile values. This array is then fitted (see Figure 5) and normalized between 0 – 1
272 to form the 'moderate' component for the membership function (see Figure 6).

273

$$[VeryGood_{array\ 1-n}] = f(P, [\log \mu_{1-n}], [\log \sigma_{1-n}])$$

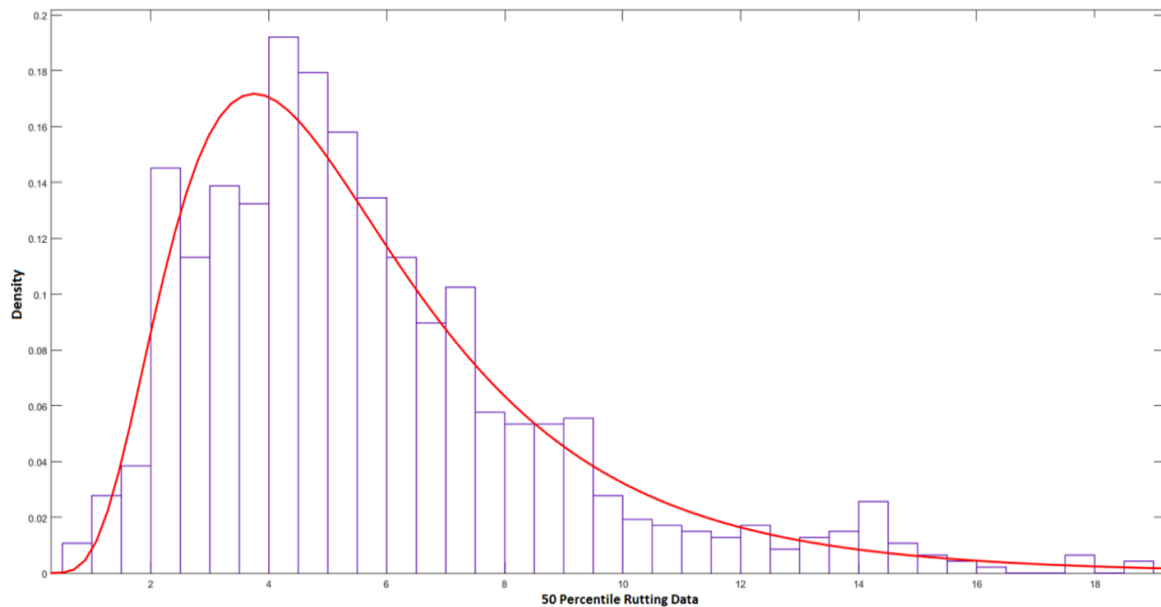
Equation 2

274 Where,

275 f = Percentile Function; returns percentiles of the elements in a data vector or array for the
 276 percentage P (MathWorks Inc. 2019).

277 P = the corresponding percentile value (See Table 3).

278 n = the considered number of sections.



279

280 Figure 5: Fitted lognormal probability density function for 50 percentile rutting values for all
 281 sterile NZ LTPP sections ($\mu=1.61$, $\sigma = 0.53$, mean = 5.8)

282 This process is repeated until each set required in Table 3 (Very Good, Good, Moderate, Poor, and
 283 Very Poor) is completed. With all five sets completed and combined; this forms the membership
 284 function for a single performance measure, rutting (see Figure 6).

285 The same process is then performed to construct membership functions for the other performance
286 measures (IRI and Texture), see Figures 7 and 8. It is important to note that some performance
287 measures are advantageous (show a higher degree of performance) in ascending order (for example
288 texture), where others, are advantageous in descending order (for example rutting). This changes
289 the orientation of the membership functions.

290 Once the membership functions have been formed, the Rational Set (R) and Normalized Rational
291 Set (NR) can be formed (Equation 3 and 4). In the Rational Set a single performance measure is
292 broken up into the five discrete indices, the qualitative descriptors for performance. These indices
293 are constructed from the membership functions as developed above. This is different from a
294 traditional approach where each pavement section would be allocated a single index. For a further
295 detailed explanation of fuzzy logic, please see research done by Sun et al. (Sun & Gu, 2010).

296 Note that once the distributions are normalized to form part of the fuzzy membership functions,
297 they lose statistical meaning. A value of 0.4 for a membership function does not imply a probability
298 of 0.4. The area under the curve does not sum to 1 as it should for a probability distribution. Instead,
299 the normalized distribution conveys the degree of membership to the distribution.

300

$$R = [VGood_{DOM}, Good_{DOM}, Moderate_{DOM}, Poor_{DOM}, VPoor_{DOM}] \quad \text{Equation 3}$$

301

$$NR = \left[\frac{VGood_{DOM}}{Sum(R)}, \frac{Good_{DOM}}{Sum(R)}, \frac{Moderate_{DOM}}{Sum(R)}, \frac{Poor_{DOM}}{Sum(R)}, \frac{VPoor_{DOM}}{Sum(R)} \right] \quad \text{Equation 4}$$

302 Where R is the array of values that show the degree of membership (DoM) of each of the qualitative
303 descriptors, and NR is the normalized rational set.

304 The next step is the consolidation of the performance measures. This is done using a normalizing
305 weighting vector that indicates the importance of different performance measures to pavement
306 success. Qualitative composite index W , is context-specific and contains the three values of the
307 respective weightings of Rutting, IRI and Texture. The Delphi approach was used here to develop
308 W , as outlined in Section 2.3.

309 To consolidating fuzzy relational sets, the weighting vector is combined with the fuzzy normalized
310 Relational Sets, as shown in Equation 5.

$$EvaluationSet = W \otimes NR \quad \text{Equation 5}$$

311 If the Evaluation Set (Equation 5) is analyzed to find the largest index value, a winner takes all
312 approach (WTA) can be used to classify all sections into one of five groups: *VeryGood* – *VeryPoor*
313 (corresponding WTA index, 1-5). This is a simplistic method of identifying pavement sections that
314 are performing well.

315 A more rigorous approach; multiplying the Evaluation Set with a quantifying vector, for example,
316 the normalized vector [5; 4; 3; 2; 1] will produce a finite rank index called the defuzzified weighted
317 cumulative index (DWCI) as suggested by (Sun & Gu, 2010), this is shown in Equation 6.

$$DWCI = EvaluationSet * \begin{bmatrix} 0.333 \\ 0.267 \\ 0.200 \\ 0.133 \\ 0.067 \end{bmatrix} \quad \text{Equation 6}$$

318 When combining this method above with the SBMDM, it becomes a powerful tool that allows
319 decision-makers to analyze pavement data from multiple views (dimensions). This will be
320 demonstrated in the case study below.

321 **4 Case study –Classifying NZ LTPP sections**

322 The data from the NZ LTPP program is the focus of the case study. The LTPP includes roughly
323 130+ pavement calibration sections from both local authority and state highway roads. These
324 calibration sections are typically 300m in length and measurements (rutting, roughness and
325 texture) are reported at 10m intervals. Consultants collect data to a rigorous methodology (for more
326 information see Brown, 2001) in both directions, in both wheel paths, in the same location yearly
327 since the program's inception in 2001. In addition, the transverse profile, including rutting, is
328 collected with a specially designed transverse profile beam (TPB). Roughness information is
329 collected by experienced surveyors with the ARRB Walking Profiler. Texture measurements are
330 collected with Transit Stationary Laser profiler, a New Zealand reference device. This device
331 collects data in the 0.5mm to 500mm wavelength; the data is post-processed to Mean Profile Depth
332 (MPD) following ISO13473-1. To ensure repeatability, measurements are taken multiple times
333 and checked for consistency (Brown, 2010). As-built constructed information for many sites did
334 not exist due to the age of the roadways, therefore detailed test pit information has been collected
335 for the calibration sections.

336 The original purpose of the LTPP program was to calibrate the New Zealand pavement
337 deterioration models (Henning, 2006; Henning, 2008; Henning et al., 2009). The LTPP program
338 in NZ consists of ‘sterile’ and ‘non-sterile pavement’ sections for modelling purposes. Sterile
339 sections have only received maintenance if this was necessary for safety reasons whereas non-
340 sterile sites are under normal maintenance schedule. For this case study, these 75 sterile LTPP

341 sections have been analysed. Showcasing all 75 sections in this paper would be impractical and
 342 add no theoretical knowledge. Instead, ten sections with a diverse range of associated properties
 343 are showcased here (see Table 4); similar to Sun & Gu, 2010 who only showed eight sections. The
 344 three key performance measures considered from the NZ LTPP are rutting (in mm), roughness
 345 (IRI) and texture (MPD) with respect to time (ESALs).

346 Table 4: Ten LTPP sections with associated properties

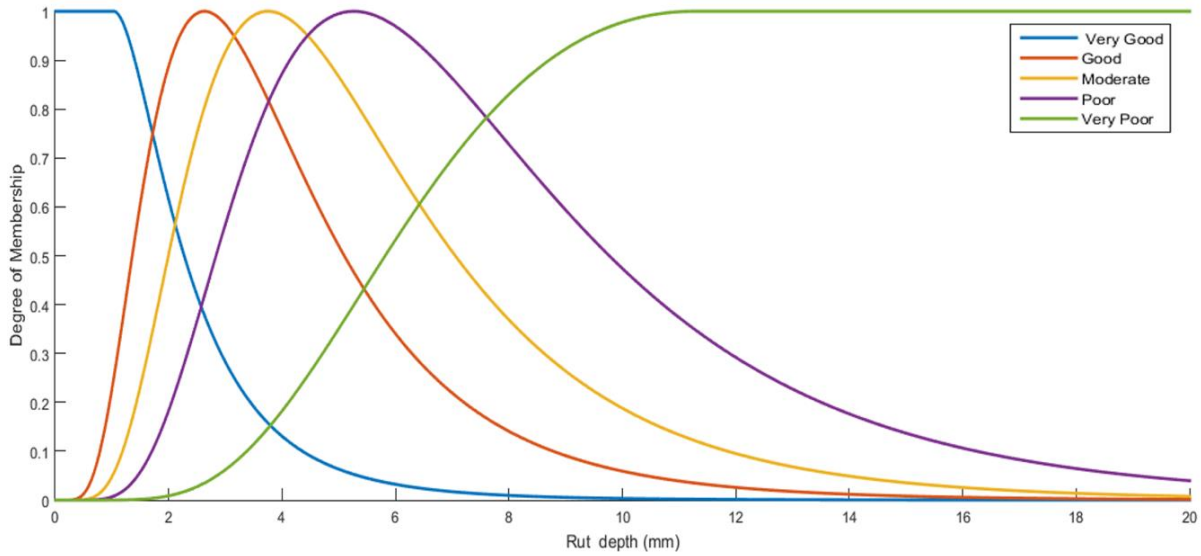
<i>Pavement section</i>	<i>Surface</i>	<i>Base thickness</i>	<i>Base type</i>	<i>Sub-base thickness</i>	<i>Sub-base type</i>	<i>AADT</i>	<i>% Heavy vehicles</i>
'CS_22'	Chip-seal	90	AP40	190	AP40	1012	18
'CS_24'	Chip-seal	90	AP40	80	AP65	1448	18
'CS_29'	Chip-seal	95	AP40	480	AP40	1723	12
'CS_60'	Chip-seal	-	-	-	-	2239	10
'CS_20'	Chip-seal	270	AP65	150	SILT	2403	15
'CS_26'	Chip-seal	100	RR	-	-	2825	17
'CS_14'	Chip-seal	140	AP40	260	AP65	4214	10
'CS_33'	Chip-seal	100	M4	-	-	8096	5
'CS_11'	Chip-seal	130	AP40	130	SAND	8644	4
'CS_7a'	OGPA	125	AC	380	AP40	82530	8

347

348 **4.1 Case study results**

349 PaveMD was used with all sterile NZ LTPP data to develop pavement performance membership
 350 functions shown in Figures 6-8. In Figure 6, the membership functions of rutting are shown. There
 351 are five membership functions to classify a single rut value varying from *Very Poor* to *Very Good*.
 352 For each rutting depth on the x-axis, the corresponding degrees of membership to the five
 353 classifications are depicted on the y-axis. Similarly, in Figures 7 and 8, the membership functions
 354 for IRI and texture are depicted.

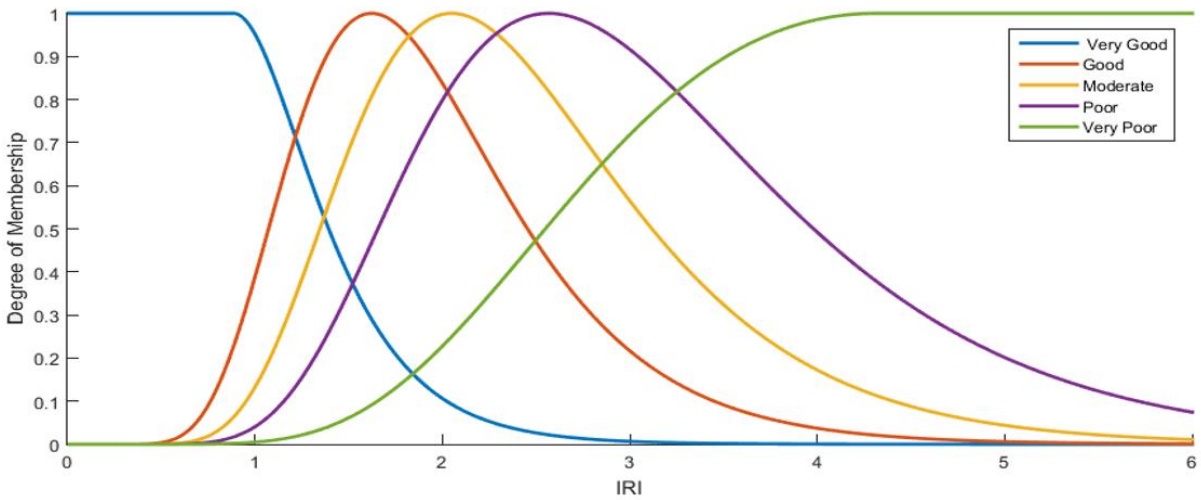
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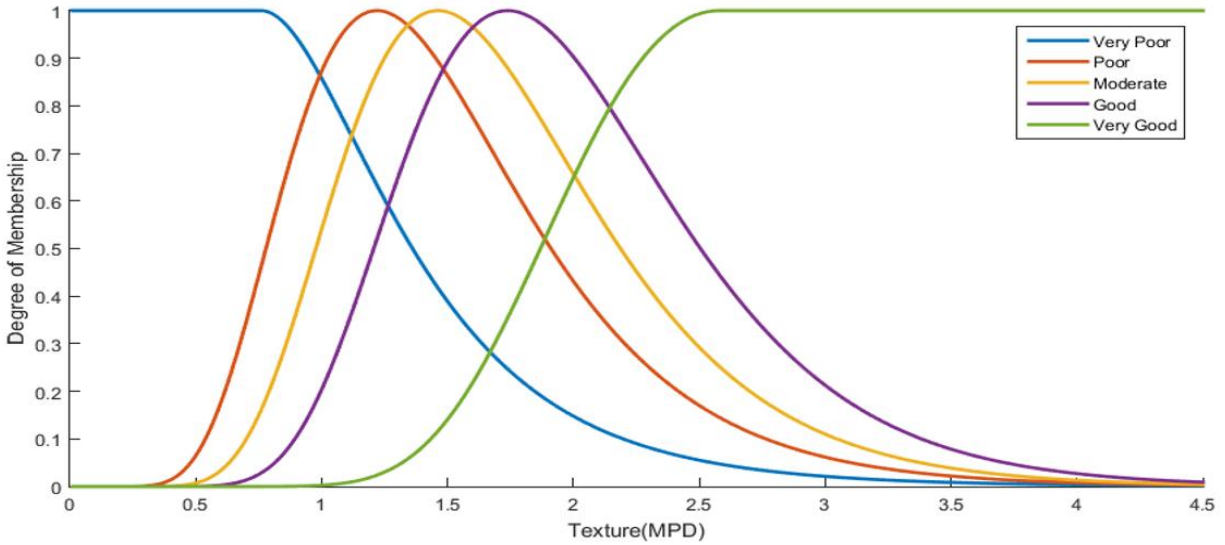
Figure 6: Rutting membership functions constructed from all sterile (no maintenance) New Zealand LTPP sections.



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360
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Figure 7: IRI membership functions constructed from all sterile (no maintenance) New Zealand LTPP sections.



362

363 Figure 8: Texture membership functions constructed from all sterile (no maintenance) New
 364 Zealand LTPP sections.

365 A composite index (W) has been established to combine rutting, IRI and texture. The normalized
 366 W (Equation 7) for a network-level was established by the New Zealand National Pavements
 367 Technical Group's experts following the Delphi method (Linstone & Turoff 1975).

$$W = [Rutting(W_1), IRI(W_2), Texture(W_3)] = [0.45, 0.35, 0.2] \quad \text{Equation 7}$$

368 From Equation 8, it follows that rutting is the most influential performance measure when
 369 determining the pavement performance, followed by IRI and then texture. With this, the relative
 370 pavement performance in the New Zealand context can be calculated.

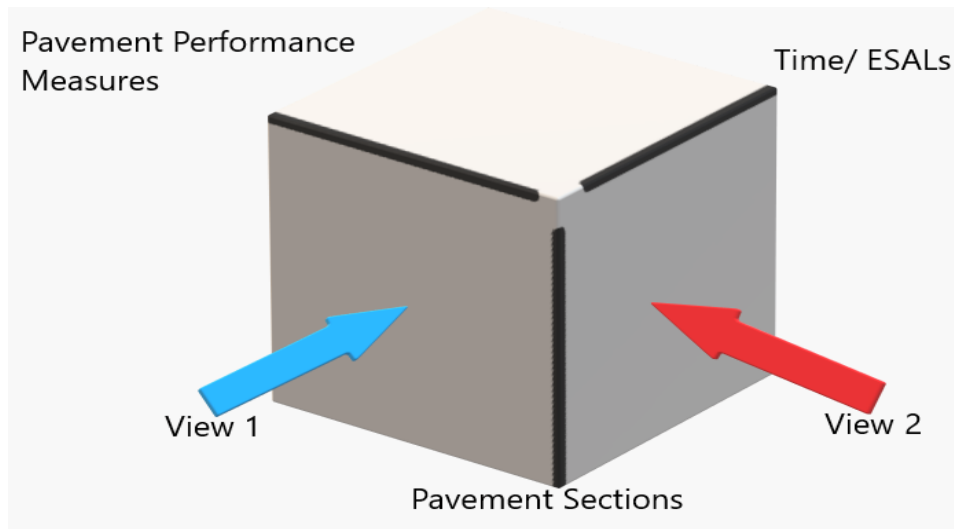
371 Table 5 shows the ten showcased LTPP pavement sections ranked to DWCI. Here section CS_7a
 372 is performing the best, whereas section CS_22 is performing the worst. From this table, we can
 373 also see that the simplified method, the WTA index corresponds well with the DWCI. Section
 374 CS_7a has a degree of membership of 0.364 for the *Very Good* descriptor, the highest degree of
 375 membership which gives it a WTA of 1. This corresponds well with CS_7a's high DWCI value of

376 0.223, indicating high performance. It is interesting to note that although section CS_7a did not
 377 have a texture value recorded in the database, it was still ranked first in DWCI. This is because
 378 there was very little weight put on texture. It performed exceptionally well in the other two
 379 performance indicators. Having missing data for a performance measure is an advantage with
 380 regards to the WTA score, however, would be a disadvantage to the DWCI score.

381 Table 5: LTPP sections ranked to the defuzzified weighted cumulative index (DWCI) as
 382 suggested by (Sun & Gu 2010).

<i>Section</i>	<i>DWCI</i>	<i>WTA</i>	<i>Very Good</i>	<i>Good</i>	<i>Moderate</i>	<i>Poor</i>	<i>Very Poor</i>	<i>Rutting</i>	<i>IRI</i>	<i>Texture</i>
'CS_7a'	0.223	1	(0.364	0.261	0.127	0.044	0.003)	1.985	0.960	-
'CS_26'	0.200	3	(0.065	0.287	0.312	0.261	0.076)	4.505	1.592	1.500
'CS_33'	0.191	4	(0.136	0.172	0.253	0.296	0.143)	6.064	2.279	2.794
'CS_20'	0.179	4	(0.029	0.207	0.307	0.327	0.129)	4.954	2.446	1.628
'CS_24'	0.167	4	(0.043	0.182	0.248	0.297	0.230)	8.057	2.138	1.864
'CS_11'	0.156	5	(0.077	0.133	0.187	0.256	0.347)	10.810	2.454	2.237
'CS_14'	0.154	5	(0.081	0.108	0.180	0.307	0.323)	7.801	3.399	2.298
'CS_29'	0.151	4	(0.011	0.129	0.244	0.345	0.271)	5.201	3.637	1.259
'CS_60'	0.141	5	(0.012	0.108	0.206	0.332	0.342)	8.584	3.114	1.519
'CS_22'	0.124	5	(0.005	0.091	0.169	0.228	0.506)	16.022	2.329	1.027

383



384

385

Figure 9: Abstracted diagram of the SBMDM.

386

A significant advantage of the multi-dimensional approach is the ability to select data from

387

different perspectives (dimensions). Thus far, 'View 1' has been examined as denoted in Figure 9

388

with 'Pavement Performance measures' and 'Pavement sections' as the edge parameters. Next,

389

'View 2' in Figure 9 will be queried. View 2 has the edge parameters' Time/ESAL's and 'Pavement

390

sections'. The ranking of the pavement sections on a single performance measure against time

391

(ESALs) is shown in Table 6.

392

Table 6: LTPP sections that have been identified by DWCI, considering rutting only over time (ESALs)

393

<i>Pavement section</i>	<i>DWCI</i>	<i>WTA</i>	<i>Very Good</i>	<i>Good</i>	<i>Moderate</i>	<i>Poor</i>	<i>Very Poor</i>
<i>CS_7a'</i>	0.102	2	0.121	0.124	0.101	0.061	0.007
<i>'CS_26'</i>	0.073	4	0.008	0.069	0.124	0.162	0.085
<i>'CS_29'</i>	0.071	4	0.006	0.062	0.120	0.167	0.093
<i>'CS_33'</i>	0.071	4	0.006	0.061	0.118	0.165	0.098
<i>'CS_20'</i>	0.063	4	0.004	0.045	0.097	0.158	0.144
<i>'CS_24'</i>	0.062	5	0.005	0.044	0.088	0.145	0.166
<i>'CS_14'</i>	0.061	4	0.003	0.040	0.091	0.157	0.157
<i>'CS_11'</i>	0.049	5	0.002	0.020	0.052	0.112	0.262
<i>'CS_22'</i>	0.038	5	0.000	0.005	0.019	0.063	0.361
<i>'CS_60'</i>	0.035	5	0.001	0.018	0.047	0.094	0.116

394

395 The order of sections in Table 6 is not significantly different from that in Table 5. This shows that
 396 rutting governed the selection as it received the largest weighting (W_1) in the results from Table
 397 5.

398 This view allows for a detailed comparison of the performance of all sections for a single
 399 performance measure. It is also possible to give different weightings to various years of service;
 400 for example, the 10th year of service could be valued more than the first or the second year by the
 401 user. Thus classifying sections across the entire recorded pavement life with respect to one
 402 performance measure. In addition, we rank the sections against time, according to DWCI and
 403 WTA, using all performance measures and W . This presents a holistic view of all data available
 404 in SBMDM (all dimensions; Pavement sections, performance measures, time/ESALs). These
 405 results are shown in Table 7. Here section CS_7a is the highest performing while CS_60 is the
 406 worst.

407 Table 7: The ranking of sections over time, according to DWCI and WTA, using all performance
 408 measures.

	<i>Overall DWCI</i>	<i>Rutting DWCI</i>	<i>Rutting WTA</i>	<i>IRI DWCI</i>	<i>IRI WTA</i>	<i>Texture DWCI</i>	<i>Texture WTA</i>
CS_7a'	0.117	0.102	2	0.202	1	0.000	NAN
'CS_33'	0.116	0.071	4	0.130	3	0.190	1
'CS_26'	0.109	0.073	4	0.153	2	0.114	4
'CS_24'	0.105	0.062	5	0.134	3	0.151	2
'CS_20'	0.097	0.063	4	0.124	4	0.128	3
'CS_14'	0.089	0.061	4	0.092	5	0.149	2
'CS_11'	0.088	0.049	5	0.115	4	0.129	3
'CS_22'	0.085	0.038	5	0.127	4	0.115	4
'CS_29'	0.080	0.071	4	0.083	5	0.095	4
'CS_60'	0.055	0.035	5	0.062	4	0.086	3

409
 410 This process has identified pavements that are performing well, considering the three performance
 411 measures. This identification could then be followed up with a more detailed investigation to find

412 the underlying reasoning. This priority can also be used to identify pavement sections that require
413 maintenance at a network level.

414 **5 Discussion, limitations and recommendations**

415 **5.1 Application of PaveMD**

416 This study proposed a tool to assess pavement conditions, prioritize maintenance, and identify
417 good performing pavement based on multi-attribute performance measures. It provides a
418 systematic way of characterizing previous subjective ratings using performance data. By creating
419 an evaluation set with five categories Very good, Good, Fair, Poor, and Very poor, the agency or
420 user can assess their evaluation of performance. For example, here 50 percentile has been assigned
421 as “moderate”, PaveMD will assess the SBMDM and return the membership function based on
422 this 50 percentile assignment.

423 The procedure presented in this paper can be generally applicable to the condition assessment of
424 other infrastructure where multi-attribute are presented with an adequate amount of data. When
425 applied, specific membership functions and composite indices need to be re-established as
426 appropriate following the specific type of infrastructure and context.

427 **5.2 The use of PaveMD beyond this paper**

428 The development of PaveMD has spanned years and a selection of research studies have
429 successfully used the capability of PaveMD during its development. PaveMD was used in the
430 Canterbury region of New Zealand to identify and investigate high performing chip-seal pavement
431 sections, which led to a relationship between pavements performance and road camber being
432 identified (van der Walt et al. 2018). PaveMD was also used at the network level with the entire
433 New Zealand LTPP dataset (van der Walt et al. 2017). This showed that network data discrepancies

434 were consistent with the condition assessment models developed by Henning et al. (Henning et al.
435 2009). This research also suggested that further development could lead to extended maintenance
436 periods by stiffening the outside wheel path.

437 **5.3 Constraints**

438 Researchers and users must recognize that both the classification step and composite index used
439 with PaveMD are context-specific. In the LTPP case study, a holistic view was taken that included
440 all sterile LTPP sections. Hennings (2008) showed the LTPP sections are representative of the
441 New Zealand network. This meant that the position and variability included in the membership
442 functions (Figure 6-8) is a good representation for the New Zealand pavement network at the time
443 of writing; predominantly for a chip-seal pavement network. For the investigation of a specific
444 area or region, both the classification step and composite index used must be re-assessed using a
445 subset of the section data.

446 A key limitation to this approach is the quantity and quality of the available data. Data-driven
447 membership functions can only be constructed if a statistical distribution can be fitted accurately.
448 As the specificity is increased, the amount of data will reduce to a point where distributions can
449 no longer be reliably established. At this point, the membership functions lose significance and
450 therefore, rankings are no longer dependable. This could be of particular concern for pavement
451 databases that have missing and or incorrect data, for example, local roads as compared to state
452 highways. However, as big data collection becomes commonplace with technologies such as the
453 Internet of Things, and high-speed data collection, the approach presented here will become more
454 robust as membership functions become more accurate.

455

456 A constraint of this approach is the development of the composite index (W) using an expert
457 method like the Delphi method. While this approach is firmly based in literature, statistical
458 methods to derive W should be investigated.

459 **5.4 Further research**

460 The development of PaveMD is ongoing to allow for additional expandability and capability.

- 461 - Include statistically derived pavement composite indices, both for surface and structural
462 parameters for example Structural Indices(SI) as suggested by Stevens et al, 2009.
- 463 - Increase the number of performance measures, including cracking and Falling Weight
464 Deflectometer measures.

465 A promising research area in data analytics is columnar data structures or Column-Oriented
466 Database Management Systems, for example, ClickHouse (ClickHouse, 2021) and Apache
467 Casandra (Cassandra, 2021) which could bring additional advantages to pavement data analytics.
468 Advantages include, for example, lower overheads when importing data to the database, improved
469 compression of data, improved parallel processing on multiple CPU cores and additional reading
470 efficiency. Aggregation across a data field (for example, finding the average rut depth for a
471 pavement network) can become much more efficient, taking seconds instead of days to compute
472 across terabytes of data. These advantages must be balanced with disadvantages and therefore,
473 further research is needed.

474 **6 Conclusions and Recommendations**

475 Advancing technologies enable the creation of tools that utilize pavement data more efficiently.
476 The fundamental approach 'to repeat success' proposed by Gransberg et al. (1998) has been used
477 in conjunction with software to develop a new tool. This tool, called PaveMD, uses a multi-

478 dimensional, fuzzy logic approach to identify pavements that are performing well at the network
479 level. Instead of using an expert system, membership functions have instead been established using
480 existing pavement performance data. This research demonstrates the tool using a case study from
481 the New Zealand Long Term Pavement Performance program where PavMD successfully
482 classified pavement sections at the network level.

483 This paper has the following recommendations for further research.

- 484 • Further research should expand the number of performance measures from different
485 databases, including other climate and geo-hazard databases. As a priority it is
486 recommended to extend PavMD to Falling Weight Deflectometer (FWD), cracking and
487 drainage information.
- 488 • Individual pavement composite indices, both for surface and structural parameters for
489 example Structural Indices should be investigated.
- 490 • Investigate other data frameworks such as Column-Oriented Database Management
491 Systems to analyze pavement performance data.

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494

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