

**DATA-DRIVEN NEUROENDOCRINE-PID
CONTROLLER FOR MIMO PLANTS BASED ON
ADAPTIVE SAFE EXPERIMENTATION DYNAMICS
ALGORITHM**

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BASED ON ADAPTIVE SAFE EXPERIMENTATION DYNAMICS ALGORITHM**

MOHD RIDUWAN BIN GHAZALI

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ABSTRAK

Kajian ini memfokuskan pada mekanisma yang berasaskan data dan struktur pengawal dalam skema kawalan berdasarkan data. Mekanisma berasaskan data adalah kaedah pengoptimuman untuk mencari parameter pengawal yang optimum menggunakan data input dan output sistem dan struktur pengawal merujuk kepada reka bentuk pengawal yang bergantung pada sistem input dan output. “Neuroendocrine-PID”(NEPID) sedia ada menggunakan algoritma “simultaneous perturbation stochastic approximation”(SPSA) sebagai mekanisma yang berasaskan oleh data. Walau bagaimanapun, kaedah ini tidak dapat mencari nilai optimum dari parameter reka bentuk kerana penumpuan tidak stabil yang diperoleh dan menurunkan prestasi pengawal dalam sistem MIMO. Oleh itu, algoritma “safe experimentation dynamics”(SED) dipilih untuk menyelesaikan penumpuan yang tidak stabil ini tetapi masih tidak cukup untuk mencapai ketepatan yang tinggi kerana parameter yang dikemas kini hanya bergantung pada kenaikan langkah tetap. Untuk struktur pengawal, parameter kadar rembesan hormon NEPID yang ada adalah tetap sepanjang masa eksperimen yang mana ketepatan kawalan tidak mencukupi kerana kadar rembesan dan ralat boleh ubah kawalan tidak dapat berinteraksi secara langsung dan membatasi kemampuan pengawal. Selain itu, struktur pengawal NEPID yang ada pada sistem SISO, hanya satu nod peraturan hormon digunakan kerana satu boleh ubah kawalan. Sementara itu, bagi sistem MIMO, terdapat banyak boleh ubah kawalan yang saling berinteraksi, dan peraturan hormon tunggal NEPID masih tidak mencukupi bagi menghasilkan ketepatan kawalan sistem MIMO yang lebih baik. Oleh itu, kajian ini mencadangkan algoritma “adaptive safe experimentation dynamics”(ASED) untuk meningkatkan ketepatan prestasi algoritma SED dengan meminimumkan fungsi objektifnya dari segi analisis min, terbaik, terburuk, dan sisihan piawai. Untuk meningkatkan ketepatan kawalan pengawal NEPID yang ada, kajian ini juga menetapkan “sigmoid-based secretion rate-neuroendocrine-PID”(SbSR-NEPID) dengan mengubah kadar rembesan hormon mengikut perubahan ralat. Akhirnya, kajian ini juga memfokuskan pada struktur pengawal “multiple-node hormone regulation-neuroendocrine-PID”(MnHR-NEPID) untuk meningkatkan ketepatan kawalan NEPID sedia ada dengan mengutamakan peraturan kawalan setiap nod dari tahap kesalahan mereka. Prestasi pengawal PID dan NEPID dibandingkan dengan prestasi SbSR-NEPID dan MnHR-NEPID berdasarkan ralat dan penjejak input. Hasil kajian menunjukkan bahawa kaedah berasaskan ASED dan SED menghasilkan penumpuan yang stabil. Kaedah ASED memberikan prestasi penjejak yang lebih baik daripada kaedah SED dengan mendapatkan nilai fungsi yang lebih rendah. Selain itu, dari hasil kerja simulasi, reka bentuk SbSR-NEPID dan MnHR-NEPID memberikan ketepatan kawalan yang lebih baik dari segi fungsi objektif yang lebih rendah, norma ralat total, dan jumlah norma input berbanding dengan pengawal PID dan NEPID. Lebih-lebih lagi, pengawal SbSR-NEPID mencapai peningkatan ketepatan kawalan sebanyak 4.95% dan 5.89% untuk sistem kren dan sistem TRMS. Selain itu, pengawal MnHR-NEPID mencapai peningkatan ketepatan kawalan sebanyak 5.7% dan 5.1% untuk sistem kren dan sistem TRMS. Kaedah ASED meningkatkan ketepatan SED dengan menggunakan konsep adaptif berdasarkan perubahan fungsi objektif dalam prosedurnya. Selain itu, SbSR-NEPID berkesan dalam mengurangkan ralat dalam keadaan sementara, dan MnHR-NEPID memberikan interaksi yang berkesan antara nod yang terdapat dalam sistem MIMO yang menyumbang kepada peningkatan ketepatan.

ABSTRACT

This study focused on data-driven tools and controller structure in the data-driven control scheme. Data-driven tools are an optimization method to find the optimal controller parameters using the system's input and output data. Meanwhile, the controller structure refers to the controller design that is highly dependent on the input and output system. The existing data-driven neuroendocrine-PID (NEPID) utilizes the simultaneous perturbation stochastic approximation (SPSA) algorithm as the data-driven tool. However, this SPSA-based method is unable to find the optimal value of the design parameter due to unstable convergence obtained that degrades the controller performance in MIMO systems. Thus, a safe experimentation dynamics (SED) algorithm is selected to solve this unstable convergence but still not enough to achieve high accuracy because the update designed parameter only depends on the fixed step size gain. For the controller structure, the hormone secretion rate parameter of the existing NEPID is constant during the experimental time. However, control accuracy is insufficient because the secretion rate and control variable error are not able to interact directly and limits the controller capability. Besides, in the existing NEPID controller structure of the SISO system, only a single node of hormone regulation is used due to a single control variable. Meanwhile, in the MIMO systems, many control variables available that interact with each other, and the single node hormone regulation of NEPID is still inadequate in producing better control accuracy of nonlinear MIMO systems. Therefore, this study proposed the adaptive safe experimentation dynamics (ASED) algorithm to improve the SED algorithm performance accuracy by minimizing its objective function in terms of mean, best, worst, and standard deviation analysis. In order to increase the control accuracy of the existing NEPID controller, this study also established the sigmoid-based secretion rate neuroendocrine-PID (SbSR-NEPID) controller structure by varying the hormone secretion rate according to the change of error. Finally, this study also focused on developing a multiple node hormone regulation neuroendocrine-PID (MnHR-NEPID) controller structure to improve the control accuracy of existing NEPID by prioritizing the control regulation of each node from their level of error. The performance of PID and NEPID controllers was compared with those of SbSR-NEPID and MnHR-NEPID performances based on error and input tracking. The results show that the ASED- and SED-based methods produced stable convergence. The ASED-based method provided better tracking performance than the SED method by obtaining the objective function's lower values. Besides, from the simulation work, the SbSR-NEPID and MnHR-NEPID designs provided better control accuracy in terms of lower objective function, total norm of error, and total norm of input compared to those of the PID and NEPID controllers. Moreover, the SbSR-NEPID controller achieved control accuracy improvement of 4.95% and 5.89% for the container gantry crane and TRMS systems, respectively. Besides, the MnHR-NEPID controller achieved control accuracy improvement of 5.7% and 5.1% for the container gantry crane and TRMS systems, respectively. The ASED-based method significantly improved the SED method's accuracy by using adaptive terms based on changing the objective function in the updated procedure. Besides, the SbSR-NEPID was effective in reducing the error in a transient state, and MnHR-NEPID provided effective interaction between nodes available in MIMO systems which contributed to accuracy improvement.

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LIST OF SYMBOLS

$\alpha_{ij\max}$	upper bound of element $\tilde{\alpha}_{ij}$
$\alpha_{ij\min}$	lower bound of element $\tilde{\alpha}_{ij}$
α_{ij}	secretion rate
α_h	horizontal plane TRMS
α_v	vertical plane TRMS
\bar{f}	present best value of objective function
$\bar{\tau}_i$	stored value for best present design parameter
\bar{e}_j	performance index of error
\bar{u}_i	performance index of control input
β_{ij}	positive real number
$\boldsymbol{\alpha}$	secretion rate vector
$\boldsymbol{\alpha}_{\max}$	vector of upper bound
$\boldsymbol{\alpha}_{\min}$	vector of lower bound
$\boldsymbol{\varepsilon}_{ij}$	vector of shift the centre coefficient
$\boldsymbol{\gamma}_{ij}$	vector of sharpness curve coefficient
$\boldsymbol{\psi}$	logarithm scale of design parameter
$\boldsymbol{\psi}_{opt}$	optimal logarithm scale of design parameter
$\boldsymbol{\tau}(0)$	initial value of design parameter
$\boldsymbol{\tau}_{opt}$	upper bound of design parameter
$\boldsymbol{\lambda}$	constant number vector
$\boldsymbol{\zeta}$	constant number vector
\boldsymbol{K}_D	derivative time vector
\boldsymbol{K}_I	integral time vector
\boldsymbol{K}_P	proportional gain vector
\boldsymbol{N}	filter coefficient vector
$\boldsymbol{r}(t)$	reference vector
$\boldsymbol{r}(t)$	vector of reference
$\boldsymbol{u}(t)$	control input vector
$\boldsymbol{u}(t)$	output of NEPID
$\boldsymbol{u}_{C_U}(t)$	output of $C_U(s)$
$\boldsymbol{u}_{N_E}(t)$	output of $N_E(\boldsymbol{e}(t), \Delta \boldsymbol{h}(t))$
$\boldsymbol{y}(t)$	output vector

$\boldsymbol{\tau}(k)$	design parameter vector
$\Delta\alpha_{ij}$	change of $\alpha_{ij\max}$ and $\alpha_{ij\min}$
$\Delta e_j(t)$	change of error
$\Delta h_{ij}(t)$	variance of $h_{ij}(t)$
$\Delta(k)$	random perturbation
ε_{ij}	shift the centre coefficient
η_j	multiple-node switching mechanism
γ_{ij}	sharpness curve coefficient
λ_{ij}	positive real number
\mathbb{R}_+	positive real number sets
\mathbb{R}	real number sets
μ_{ij}	centre of vector ij node
$\omega(t)$	bidirectional angular velocity
σ_{ij}^2	variance of ij node
τ_{\max}	upper bound of design parameter
τ_{\min}	lower bound of design parameter
τ_i	each element design parameter
$\theta(t)$	sway angle
$\tilde{\alpha}_{ij}$	variable secretion rate of each element
$\tilde{\boldsymbol{\alpha}}$	vector variable secretion rate
ζ_{ij}	positive real number
$a(k)$	gain sequence
$c(k)$	gain sequence
$C_U(s)$	PID controller unit
D	number of sample
E	scalar defines probability
$e_j(t)$	error of element output
$f(\boldsymbol{\tau})$	objective function
t_s	sampling time
u_h	main propeller input voltage
u_v	tail propeller input voltage
$v(t)$	converter voltage
V_{ij}	neuroendocrine controller
w_{ij}	weight coefficient for element ij
$x(t)$	trolley displacement
$Y_{V_{ij}}$	multiple-node hormone intensity

$F_l(t)$	hoist force
$F_x(t)$	trolley force
$g(\boldsymbol{\tau}(k))$	gradient approximation
$G(e_j)$	Gaussian function
H	MIMO plants
$h_{ij}(t)$	each element of \mathbf{u}_{C_U}
$h_1(t)$	level of tank 1
$h_2(t)$	level of tank 2
i	element input
j	element output
\mathbf{J}_{SbSR}	SbSR–NEPID objective function $(\mathbf{J}(\mathbf{K}_P, \mathbf{K}_I, \mathbf{K}_D, N, \zeta, \lambda, \alpha_{\min}, \Delta\alpha, \gamma, \epsilon))$
\mathbf{J}_{MnHR}	MnHR–NEPID objective function $(\mathbf{J}(\mathbf{K}_P, \mathbf{K}_I, \mathbf{K}_D, N, \zeta, \lambda, \alpha, \sigma^2))$
\mathbf{J}	objective function for NEPID $(\mathbf{J}(\mathbf{K}_P, \mathbf{K}_I, \mathbf{K}_D, N, \alpha, \zeta, \lambda))$
\mathbf{J}_ψ	control accuracy improvement
k	iteration
K_{Dij}	derivative time
K_{g1}	ASED interval size
K_{Iij}	integral time
k_{max}	maximum number of iteration
K_{Pij}	proportional gain
K_g	interval size
$l(t)$	length of rope
L_1, L_2	direction factor
n	number of design parameter
N_{ij}	filter coefficient
p	number of input
q	number of output
rv_1	random number 0 to 1
rv_2	new random number
t	time
t_0	initial time equal to zero
T_1	threshold error
t_f	final time

LIST OF ABBREVIATIONS

ACO	Ant Colony Optimization
ACTH	Adrenocorticotropic Hormone
ASED	Adaptive Safe Experimentation Dynamics
BEL	Brain Emotional Learning
BF	Bacteria Foraging
CbT	Correlation-Based Tuning
CCU	Conventional Control Unit
CRH	Corticotrophin Release Hormone
FRIT	Fictitious Reference Iterative Tuning
GA	Genetic Algorithm
GT	Game-Theoretic
I/O	Input–Output
IFT	Iterative Feedback Tuning
LTI	Linear-Time-Invariant
MIMO	Multi-Input-Multi-Output
MnHR	Multipl–Node Hormone Regulation
NEPID	Neuroendocrine-Proportional-Integration-Differentiate
PCU	Primary Control Unit
PID	Proportional-Integration-Differentiate
PSO	Particle Swam Optimization
SA	Simulated Annealing
SbSR	Sigmoid-based Secretion Rate
SCU	Secondary Control Unit
SED	Safe Experimentation Dynamics
SISO	Single-Input-Single-Output
SPSA	Simultaneous Perturbation Stochastic Approximation
TRMS	Twin Rotor MIMO System
UC	Un-Falsified Control
UFU	Ultra-Short Feedback Unit
VRFT	Virtual Reference Feedback Tuning

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