



# Gene Therapy for Drug-Resistant Glioblastoma via Lipid-Polymer Hybrid Nanoparticles Combined with Focused Ultrasound

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# **Article Information**

Authors Yang Q D, Zhou Y, Chen J, Huang N, Wang Z, Cheng Y Received 14 October 2020 Accepted for publication 19 November 2020 Published 8 January 2021 Volume 2021:16 Pages 185—199 DOI https://doi.org/10.2147/IJN.S286221 Checked for plagiarism Yes Review by Single anonymous peer review Peer reviewer comments 2 Editor who approved publication: Professor Israel (Rudi) Rubinstein Altmetric

# **Journal Information**









#### International Journal of Nanomedicine





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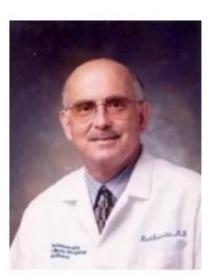
ISSN: 1178-2013

An international, peer-reviewed journal focusing on the application of nanotechnology in diagnostics, therapeutics, and drug delivery systems throughout the biomedical field. Reflecting the growing activity in this emerging specialty, the aim of this journal is to highlight research and development leading to potential clinical applications in the prevention and treatment of disease.

Journal Impact Factor: 5.115 (5 year impact 5.166)



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Editor-in-Chief: Dr Israel Rubinstein

Indexed in:

Indexed in:

Editor-in-Chief: Dr Israel Rubinstein

- PubMed and PubMed Central (Int J Nanomedicine)
- MedLine
- o American Chemical Society's 'Chemical Abstracts Service' (CAS)
- Science Citation Index Expanded (also known as SciSearch®)
- o Accepted into Science Citation Index (SCI) beginning with the 2012 volume
- Current Contents<sup>®</sup>/Clinical Medicine
- o Journal Citation Reports/Science Edition
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# Abstract

FUS-assisted MBs-

LPHNspCas9/MGMT-cRGD

+ TMZ

for drug(TMZ)-resistant glioblastoma treatment

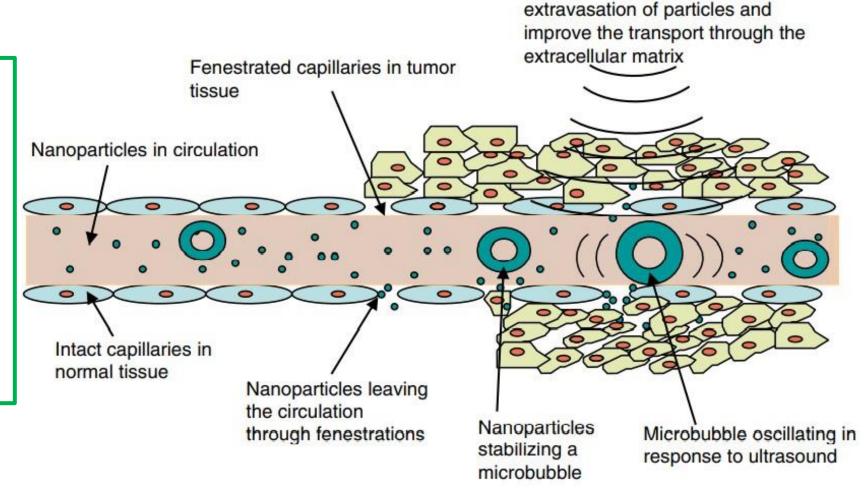


Image source: <a href="https://doi.org/10.1016/j.jconrel.2014.05.020">https://doi.org/10.1016/j.jconrel.2014.05.020</a>

Ultrasound can enhance the

## introduction

- Glioblastoma (GBM) is the most common and lethal primary brain tumor in adults.
- The mean survival of patients is only 12–14 months (even after treatment with comprehensive therapy ).
- Temozolomide (TMZ), is the main drug therapy for glioblastoma.
- 1. easily cross the blood-brain barrier (BBB) and inhibit GBM cell proliferation
- 2. low levels of systematic toxicity

• the therapeutic efficacy of TMZ is often limited by O6-methylguanine-DNA

methyltransferase (MGMT)

- Downregulating the expression of MGMT can reduce chemoresistance
- CRISPR/Cas9 has been considered one of the most significant gene editing technologies.
- This system shows tremendous potential for various cancer treatments.

- the Cas9 endonuclease, under the direction of guide RNA (gRNA), can recognize and cleave specific DNA sequences.
- generating double stranded breaks (DSBs)

• leading to insertions, deletions or mutations at target genomic locations

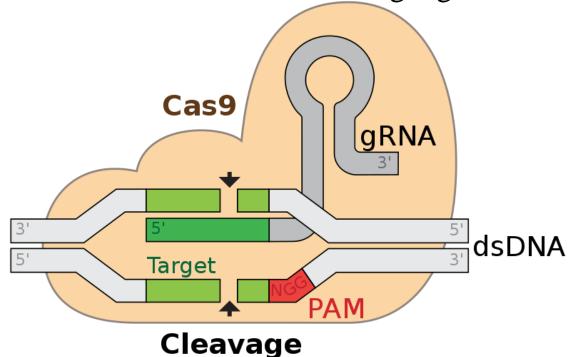
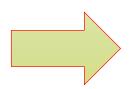


Image source: https://en.wikipedia.org/

• current delivery systems for CRISPR/Cas9 are mostly viral vectors.

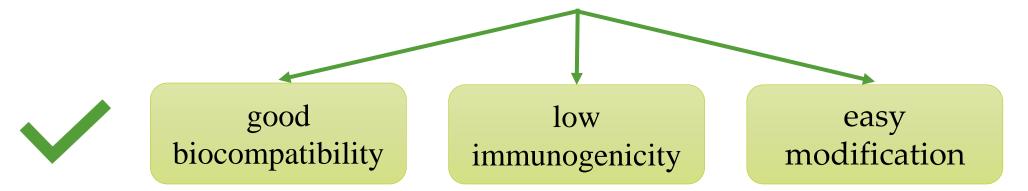
• lentiviruses (LVs)

adenoviruses (AVs)



immunogenic and carcinogenic, especially in vivo

• non-viral vectors have emerged as important alternatives for gene delivery



- Nanoparticles, such as lipid- and polymer-based systems, have made significant progress in targeting drug delivery over the past decades.
- lipid-polymer hybrid nanoparticles (LPHNs) were constructed for efficient and targeting delivery.
- combine the complementary advantages of lipids and polymer nanoparticles.

high biological compatibility low toxicity ease of surface modification

polymers high stability controlled-release profile high drug-loading efficiency

- an inner lipid layer surrounding the polymer core, which can confer biocompatibility and retain therapeutic agents inside the polymer core
- an outer lipid-PEG layer coating the lipid layer extend the blood circulation time and enhance steric stabilization
- PEG chains can be further modified with various bioactive molecules to meet different needs

#### a polymer core encapsulating therapeutic agents Structure of a lipid-polymer hybrid nanoparticle (LPHNP)

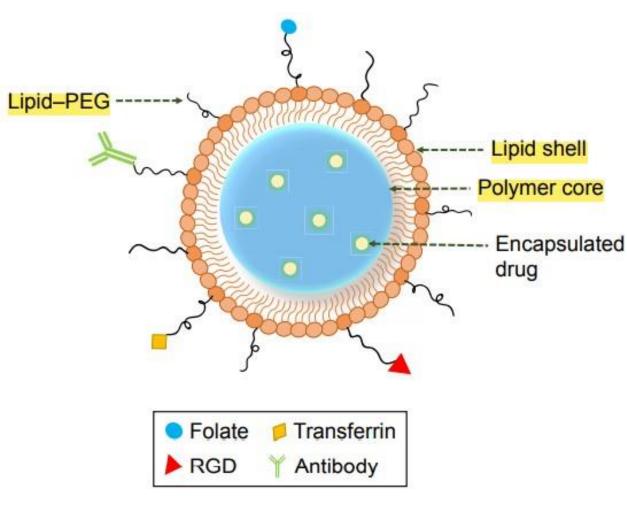


Image source: <a href="https://doi.org/10.2147/IJN.S198353">https://doi.org/10.2147/IJN.S198353</a>

- > The BBB blocks more than 98% of material
- prevent toxic substances from entering the central nervous system (CNS)
- maintain brain homeostasis.
- important alternative strategy to permeabilize the BBB:
- \*Focused ultrasound (FUS) combined with microbubbles (MBs)
- Noninvasive, reversible, local and site-specific
- through the cavitation effect
- without causing damage to the surrounding brain tissue.

- (LPHNspCas9/MGMT-cRGD) developed and evaluated the gene editing efficiency in vitro.
- \* (cRGD peptide targets the overexpressed integrin  $\alpha v \beta 3$  receptors in tumor cells)
- Subsequently, (MBsLPHNspCas9/MGMT-cRGD) complexes constructed for BBB-opening and targeting gene delivery in vivo under FUS irradiation.

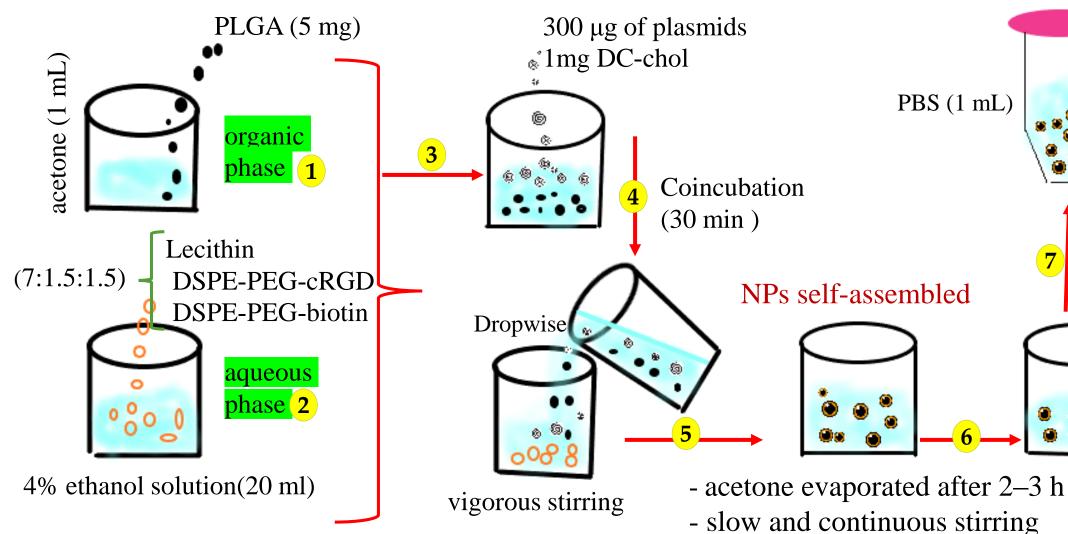
#### > Resulting in:

- a safe, efficient and multi-functional complex (with a high level of biosafety and biocompatibility)
- effective gene delivery (targeted delivery of CRISPR/Cas9)
- restore the sensitivity of GBM cells to TMZ,(treatment of TMZ-resistant glioblastoma)



Preparation and Characterization of Lipid-Polymer-Hybrid PEG Nanoparticles (LPHNs-cRGD)

#### nanoprecipitation method



stored at 4°C Amicon tubes PBS Washed 3 times

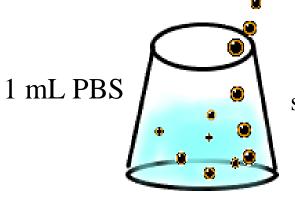
- room temperature

- The particle size, zeta potential and polydispersity index (PDI): Zetasizer Nano ZS instrument
- The morphology and structure of the LPHNs-cRGD were observed via transmission electron microscopy(TEM)
- Stability of nanoparticles was examined in MEM with 10% FBS (v/v) at 37°C
- Fluorescence microscope was used to observe the DiI-labeled LPHNs-cRGD
- The encapsulation efficiency of the pCas9/MGMT in LPHNs-cRGD was determined from the ratio of the amount of encapsulated pCas9/MGMT to the amount of pCas9/MGMT initially added using PicoGreen dsDNA Quantitation Kit
- The structure of the plasmid in nanoparticles was observed through agarose electrophoresis after extracted from nanoparticles

### Release of pCas9/MGMT in vitro

#### carried out in PBS at 37°C

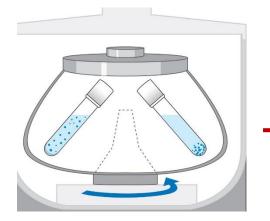




shaken slowly at 37°C.

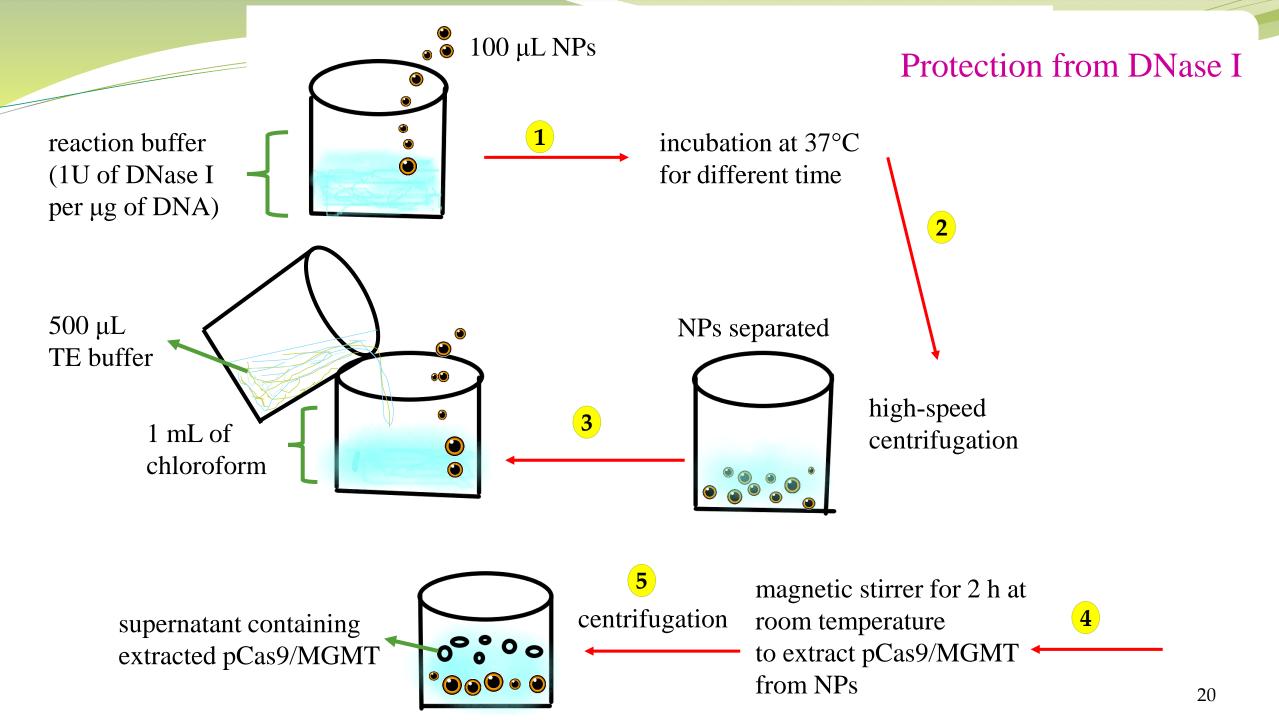


the solution was centrifuged



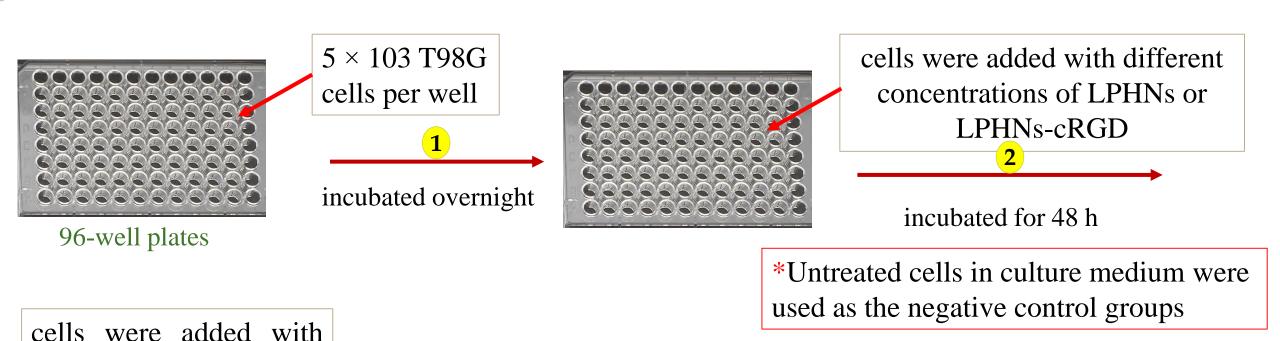
supernatants were collected to measure the released pCas9/MGMT using the Picogreen assay

Nanoparticles were then immediately resuspended in fresh PBS and shaken in the incubator at 37°C.



- samples + DNA loading buffer, applied to a 0.8% agarose gel in 0.5 x TAE buffer containing gold-view for 40 min at 70 mV.
- images were observed using Bio-Rad imaging system
- As a control ,50 μL naked pCas9/MGMT + DNase I incubation(3 min at 37°C)

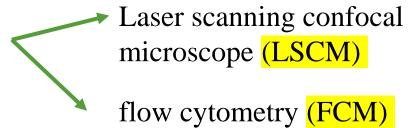
#### Cytotoxicity and Targeting Ability of LPHNs-cRGD in vitro

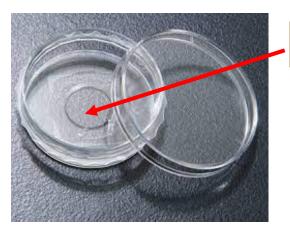


Then the absorbance of solution in each well was measured at 450 nm using a microplate reader, The cell viability (%) was calculated according to the instructions of the CCK-8 assay

10μL of CCK-8 solution

targeting ability of the LPHNs-cRGD toward T98G cells





T98G cells

incubated overnight

control group / LPHNs group / LPHNs-cRGD group

cells mixed with different nanoparticles

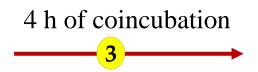
confocal culture dishes (density of  $1.0 \times 105$  cells per dish)

24 h of incubation

2

LPHNs group + 10 μL DiI-labeled LPHNs emulsions

LPHNs-cRGD group +10 μL DiI-labeled LPHNs-cRGD emulsions.

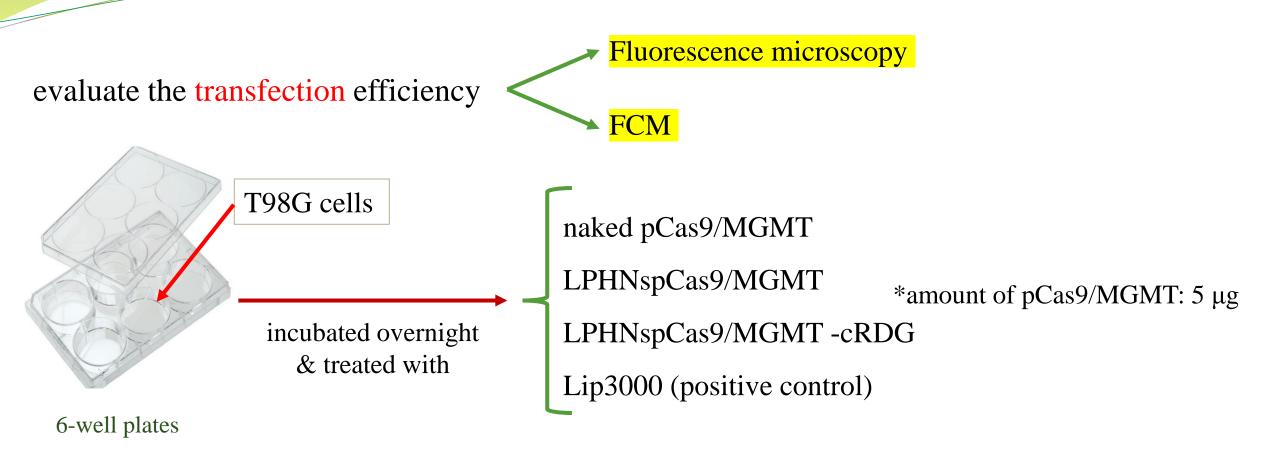


- cells in the dishes were rinsed 3 times with PBS
- fixed with 4% paraformaldehyde for 15 min at room temperature
- then stained with DAPI for 5 min & rinsing again
- the fixed cells were imaged using LSCM

# For FCM T98G cells The previous steps repeated incubated overnight 4 h of co-incubation 6-well plates (density of $2.0 \times 105$ cells per dish)

- ➤ the cells were washed with PBS + digestion with trypsin
- Centrifugation
- > suspension in 500 μL PBS
- Fluorescence intensity of the cellular uptake was detected via FCM

#### Transfection Efficiency and Gene Editing with LPHNs-cRGD in vitro



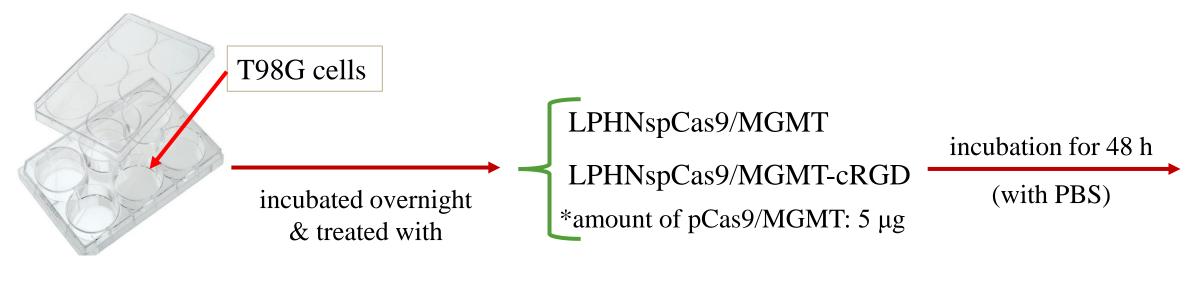
incubation for 48 h microscope, a

EGFP expression was observed with a fluorescence microscope, and then cells were collected for FCM

#### Genome Editing

to test the expression of MGMT protein after transfection with different nanoparticle





6-well plates

Untreated cells

PBS negative negative

negative controls

Whole-cell protein was isolated using RIPA lysis buffer with phenylmethylsulfonyl fluoride and quantified by a BCA assay

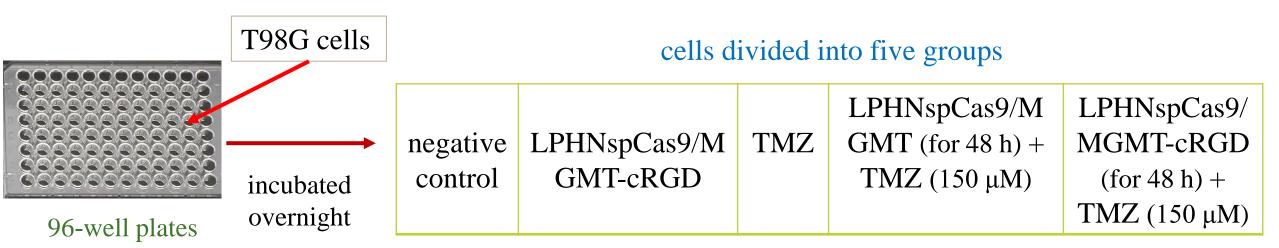
- Isolated protein was separated on SDS-PAGE gel
- transferred to polyvinylidene fluoride membranes

- The membranes were incubated with the appropriate antibodies against MGMT
- subsequently incubated with secondary anti-rabbit IgG-HRP antibodies

• Images were obtained using the BioRad imaging system

#### CCK-8 and Apoptosis Assays for Chemosensitivity Testing

Evaluate the sensitivity of the GBM cells to TMZ after treatment with LPHNspCas9/MGMT in vitro: CCK8 and apoptosis assays



\*amount of pCas9/MGMT: 0.2 µg

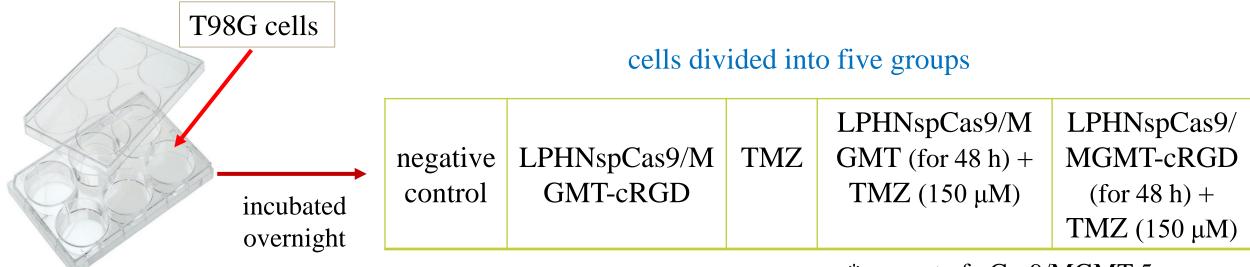
incubation for 36 h

cell viability was evaluated using the CCK-8 assay

#### apoptosis assay

6-well plates

incubation



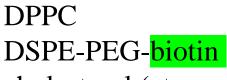
\*amount of pCas9/MGMT:5 µg

the cells were washed three times with PBS, digested, centrifuged, and resuspended in 500  $\mu L$  of PBS. Finally, cells were subjected to

flow cytometry analysis

#### Preparation of Microbubbles (MBs) and MBs-LPHNs-cRGD

lipid film hydration method.



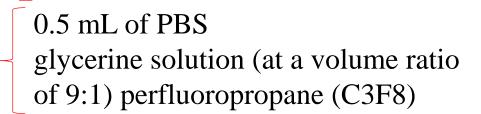
cholesterol (at a mass ratio of 5:2:1)

Chloroform (5 mL)

round-bottomed flask

processed in a rotatory evaporator in a

water bath at 50°C to form lipid film



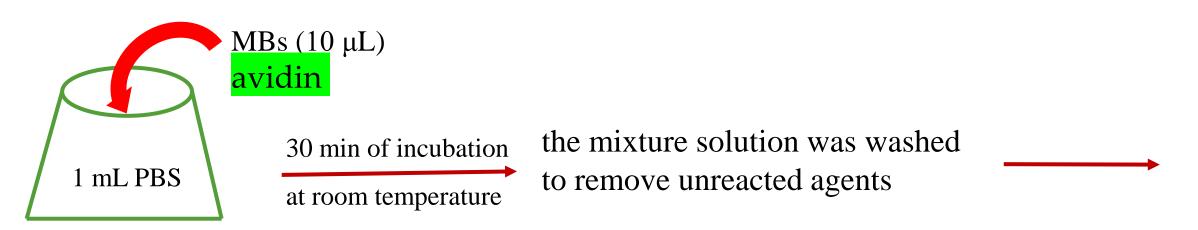


The mixture was rapidly mechanically vibrated for 50 s. the biotinylated MBs were purified by centrifugation and stored at 4°C for further use. 30

dried lipid film

was rehydrated

The MBs and LPHNs-cRGD were bound together via the biotin-avidin linkage.



biotin-avidin MBs + LPHNs-cRGD emulsions

incubated for 1 h

MBs-LPHNs-cRGD obtained

to confirm the connection of the two nanoparticles:

DiO-labeled LPHNscRGD
DiI-labeled MBs

observed by fluorescence microscope

## Opening the Blood Brain Barrier

- ✓ Mice (NOD-SCID mice (4–6 weeks)) were anesthetized with pentobarbital and immobilized on a stereotaxic device.
- ✓ The hair on top of the heads was shaved
- ✓ The focused ultrasound probe was placed above the heads of mice and vertically fixed on the stereotactic frame
- ✓The mice were randomly divided into different groups according to the irradiation times and amounts of MBs-LPHNs-cRGD
- injected with MBs-LPHNs-cRGD through the tail vein, exposed to FUS irradiation immediately +

injected with 2% Evans blue dye (EB)

1 h later



- the mice were sacrificed and perfused transcardially with saline
- ✓ the brain tissue was removed
- ✓ and coronally incised for digital photography and H&E staining

#### Orthotopic Xenograft Model

- NOD-SCID mice were anesthetized with pentobarbital
- and immobilized on a stereotaxic device
- The T98G cells ( $2 \times 105$ ) were intracranially injected into the striatum of the mice
- Tumor growth was monitored by a 7.0T MRI scanner seven days after implantation.

#### Targeting Ability, Distribution and Biosafety in vivo

#### mice were divided into 4 groups

DiR-labeled LPHNs-cRGD

DiR-labeled MBs-LPHNs-cRGD.

DiR-labeled MBs-LPHNs +FUS irradiation.

DiR-labeled MBs-LPHNscRGD +FUS irradiation.

2 h and 24 h later brains and major organs were collected for ex vivo imaging

Using The fluorescence intensity was calculated

#### mice were divided into 2 groups

- ➤ Control (intravenously injected Saline)
- ➤ MBs-LPHNs-cRGD
- The mice were sacrificed seven days after injection.
- The major organs (liver, spleen, kidneys, heart, and lungs) were harvested
- and fixed via polyoxymethylene for H&E staining

#### Therapeutic Effect in vivo

• Mice with orthotopic T98G glioblastoma were divided into five groups

control (was treated with saline)

TMZ alone

MBs-LPHNspCas9/MGMT-cRGD + TMZ

MBs-LPHNspCas9/MGMT + FUS + TMZ

MBs-LPHNspCas9/MGMT-cRGD +FUS +TMZ

Nanocomplexes injected intravenously then the mice were exposed to FUS irradiation immediately or not. Two days later, TMZ (50 mg/kg) was administered via daily intraperitoneal injection for 5 consecutive days.

- Three mice in each group were scanned with a 7.0T MRI to monitor tumor volume every seven days
- then all mice were administered with the next cycle of treatment
- The mice were sacrificed when they demonstrated severe neurological symptoms.

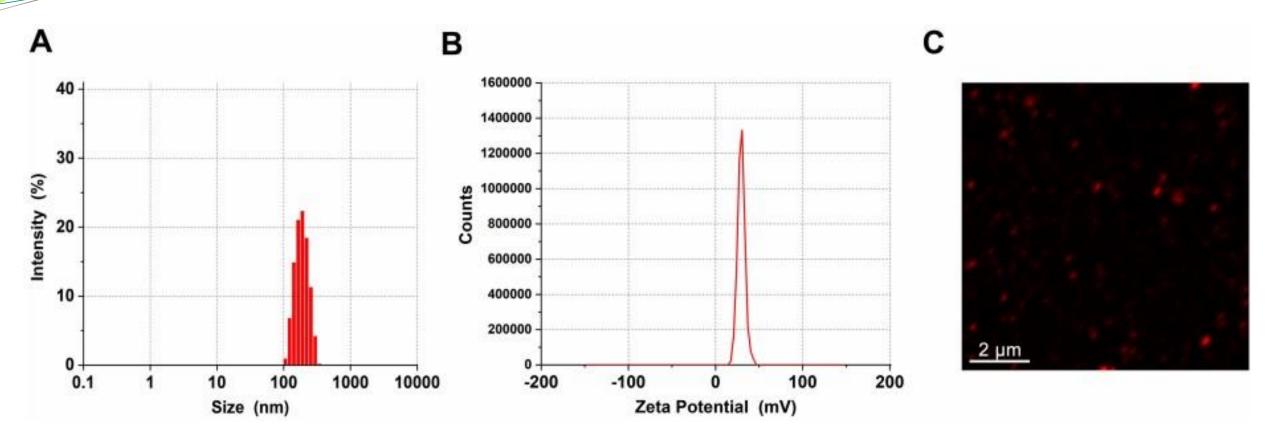
- Tumor volume was calculated as follows:
- volume = [length (max layer)  $\times$  width (max layer)]  $\times$  layers  $\times$  0.8/2 (0.8 mean the slices interval)
- The survival of each mouse was recorded and the median survival for each group was calculated

#### Statistical Analysis

- All experiments were performed in triplicate
- analysis was performed using SPSS software (SPSS version 23.0) and GraphPad software (GraphPad Prism 8.0).
- Data are presented as the mean  $\pm$  standard deviation (SD). p < 0.05 were considered to be statistically significant



#### Formulation and Characterization of the LPHNs-cRGD

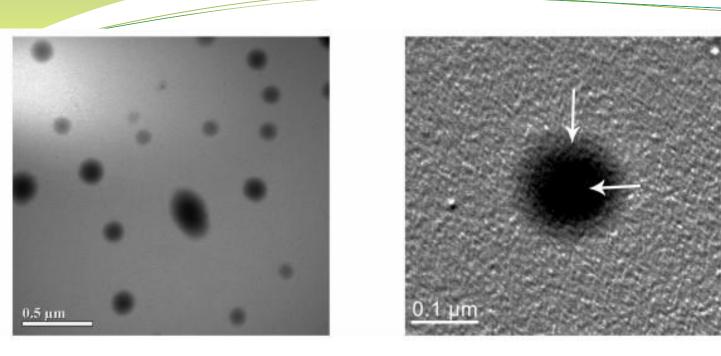


diameters and zeta potentials of the LPHNs-cRGD:

 $179.6 \pm 44.82$  nm and  $29.6 \pm 4.33$  mv, respectively,

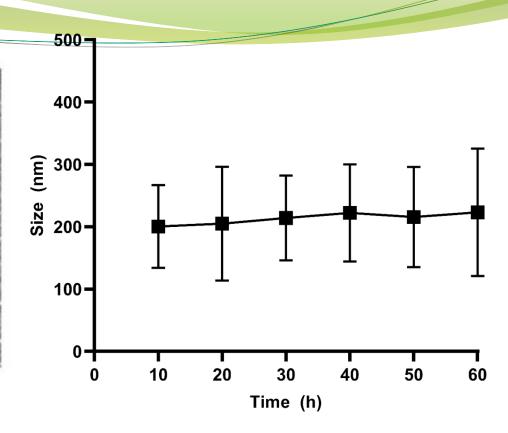
with a small PDI (0.048)

staining by DiI: the LPHNs-cRGD exhibited uniform red fluorescence signal



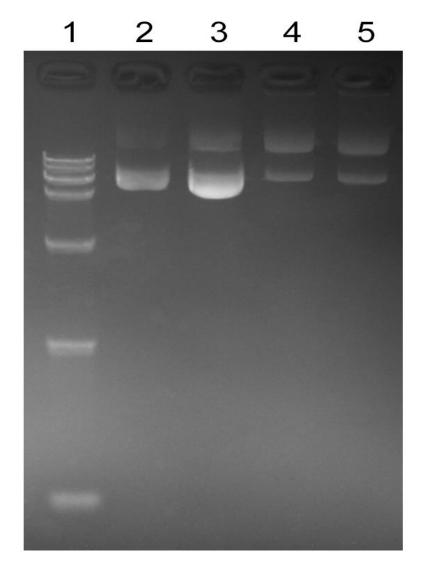
TEM showed that the LPHNs-cRGD had uniform spherical shape and typical core-shell structures

\*the encapsulation efficiency was  $76.5 \pm 7.2\%$ 



Size stability of LPHNs-cRGD under physiological conditions in MEM containing 10% FBS.

Gel electrophoresis for testing the structural integrity of pCas9/MGMT in nanoparticles

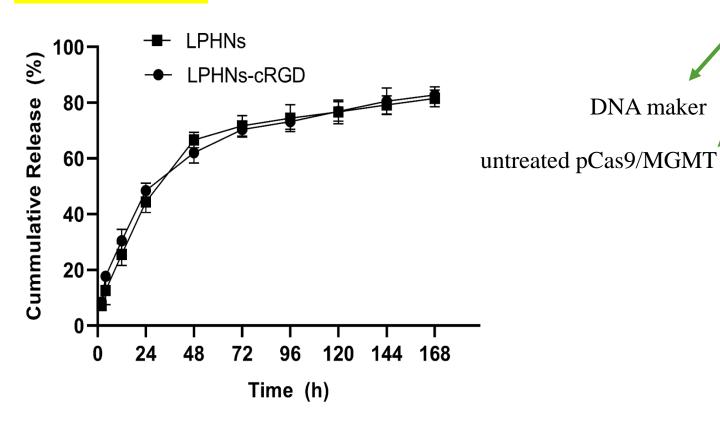


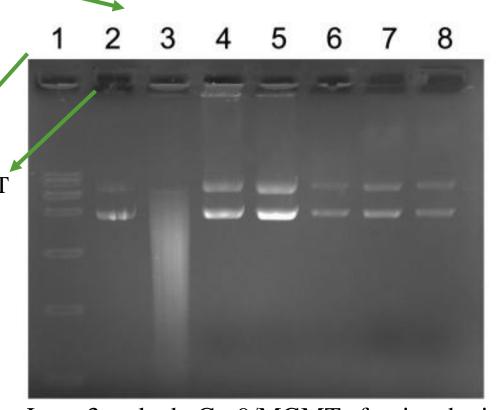
During the preparation process, the structure of the pCas9/ MGMT was unaffected and remained intact

Lane 1, DNA marke
Lane 2 and 3, untreated pCas9
Lane 4 and 5, extracted pCas9/MGMT from
LPHNs and LPHNs-cRGD, respectively

#### Release of Plasmids in vitro and Protection from DNase I







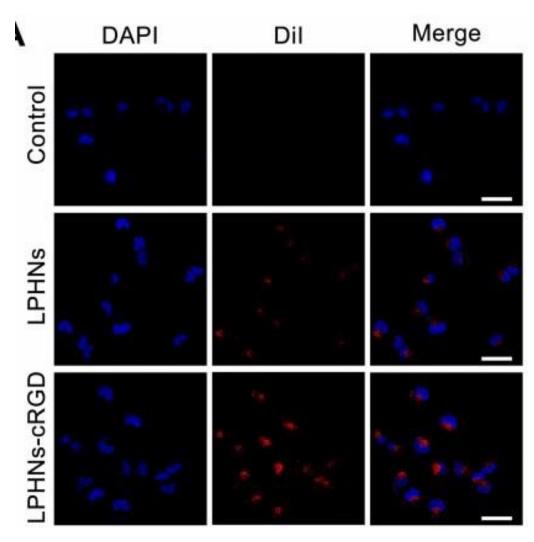
Lane 3, naked pCas9/MGMT after incubation with DNase I for 3min

Lanes 4–8,the extracted pCas9/MGMT from

LPHNs-cRGD after incubation with DNase I for 3 min, 15 min, 30 min, 1 h and 2 h, respectively.

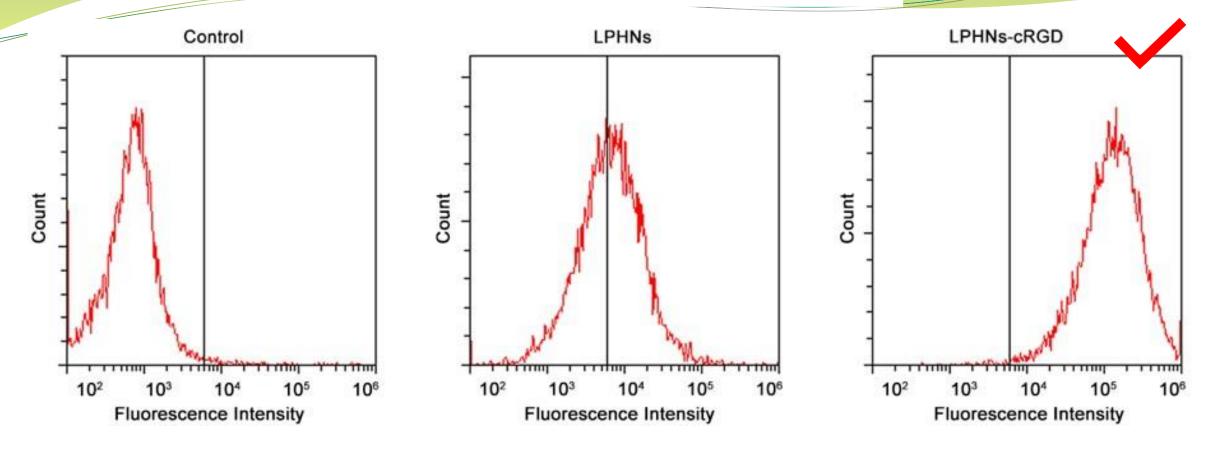
## Targeting Ability and Biosafety Study in vitro

#### LSCM & FCM



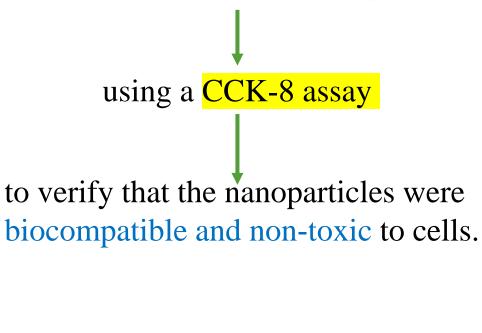
strong red fluorescence in the T98G cells treated with the LPHNs-cRGD, which was much stronger than that in the LPHNs group

The LSCM images of T98G cells with different treatment



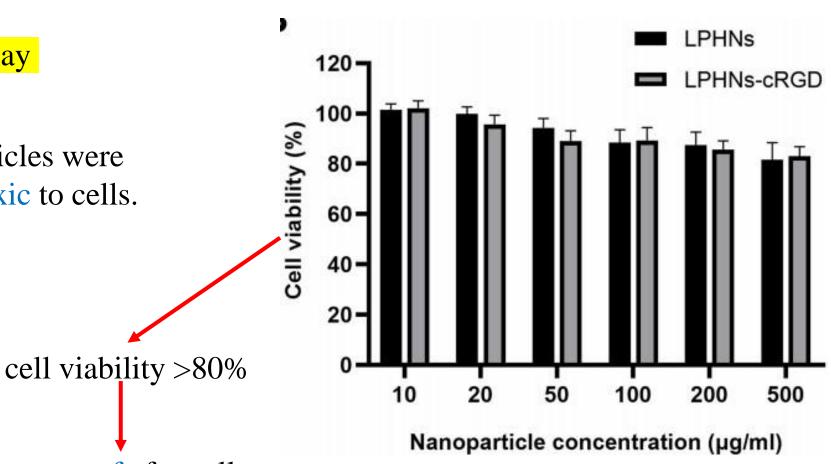
nanoparticles with cRGD peptides were more readily taken up than the nanoparticles without cRGD

in vitro biosafety of the nanoparticles



nanoparticles were safe for cells

Cell viability at various concentrations of LPHNs and LPHNs-cRGD in T98G cells after 48 h (n=3).

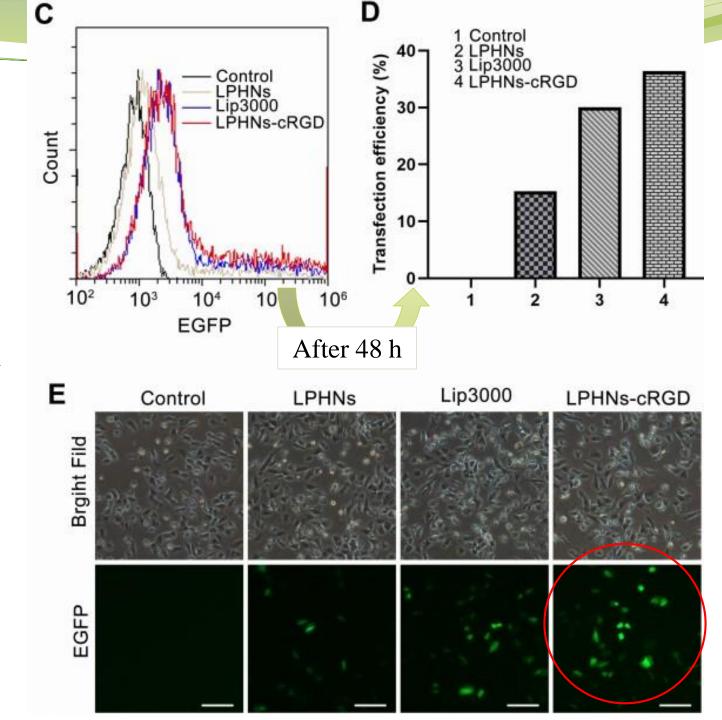


## Transfection Efficiency

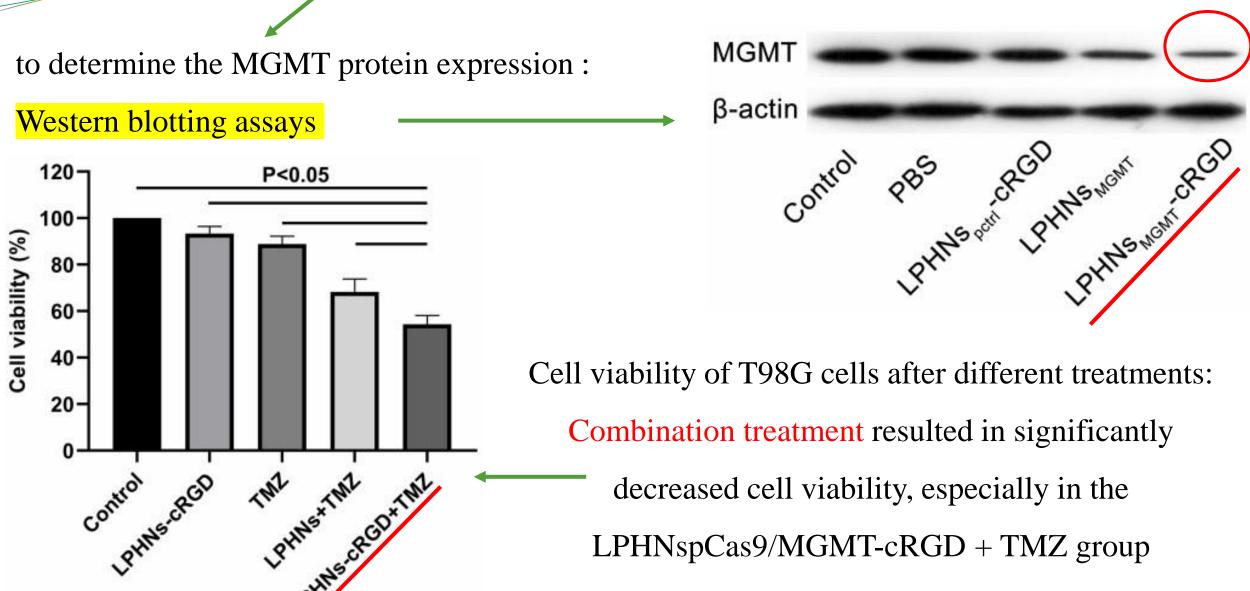
FCM and fluorescence microscopy

- (C) and (D) FCM and statistical analysis of EGFP-positive T98G cells
- (E) Microscopy images of EGFP expression in T98G cells at 48 h after transfection

pCas9/MGMT encapsulated in the LPHNs-cRGD were successfully introduced into the T98G cells.

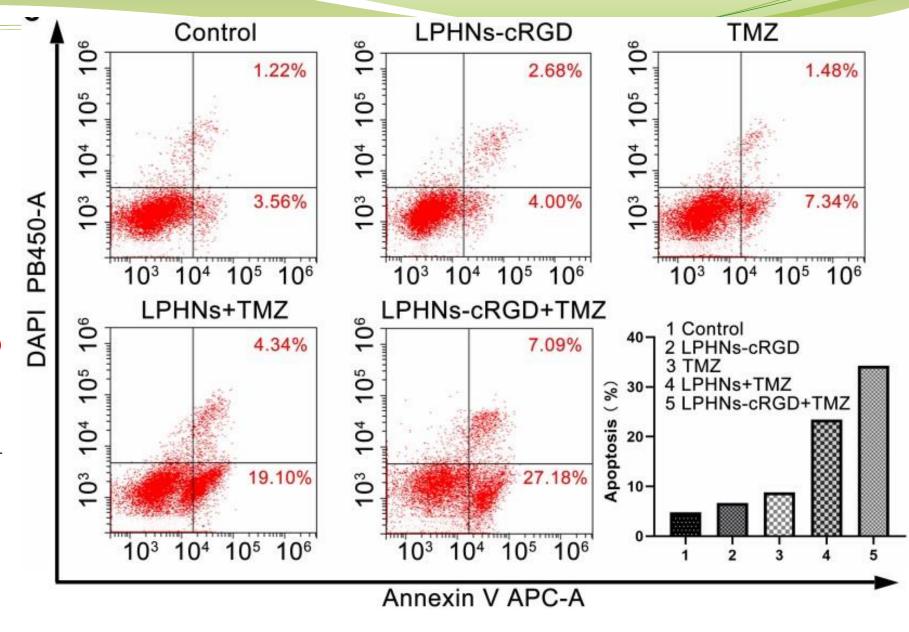


### Genome Editing and Chemosensitivity Testing in vitro



The percent of apoptotic cells was significantly higher in the combination treatment group

LPHNspCas9/MGMT-cRGD + TMZ treatment induced more apoptosis compared with LPHNspCas9/MGMT + TMZ due to the targeting ability of the cRGD (23.44% vs 34.27%)



FCM analysis of apoptosis after different treatments.

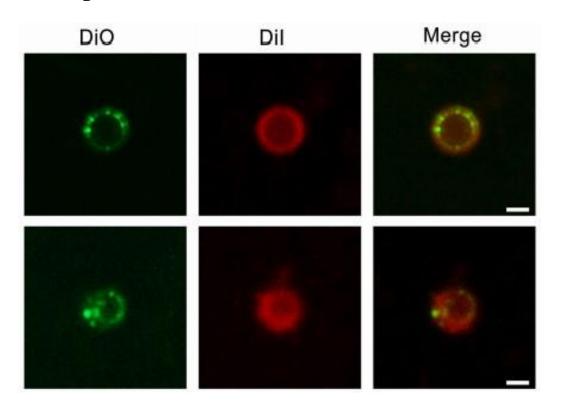
## Local BBB Disruption

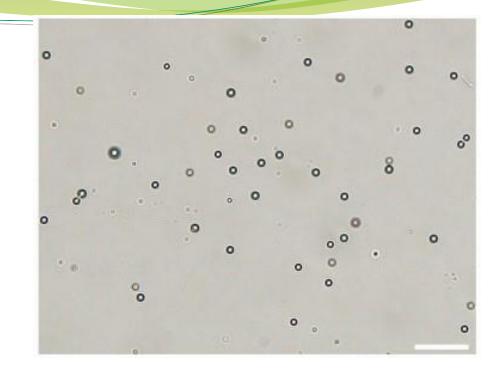
microscopy image of the MBs:

Density:  $3.95 \pm 0.89 \times 10^9 / \text{mL}$ ,

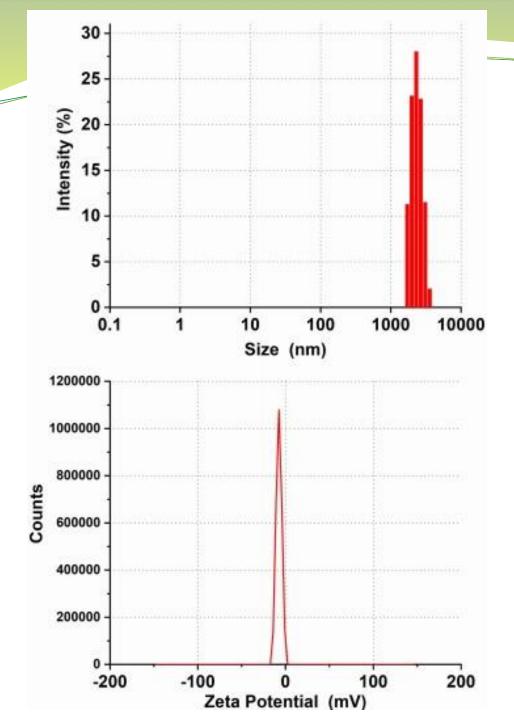
Diameter:  $1845.2 \pm 366.7 \text{ nm}$ 

zeta potential:  $-16.2 \pm 6.82$  mV





Fluorescence image of MBs-LPHNs-cRGD complexes: illustrating that the LPHNs-cRGD adhered to the MBs' surface.



Particle size and zeta potential of

MBs-LPHNs-cRGD complexes:

Diameter:  $2197.35 \pm 448.7 \text{ nm}$ 

zeta potential: -7.49± 3.14 mV

BBB-opening experiment in vivo: focused ultrasound intensity of 1.84 W

EB dye staining: to investigate the permeability of the BBB

H&E staining: to observe whether there were any damages to brain tissue

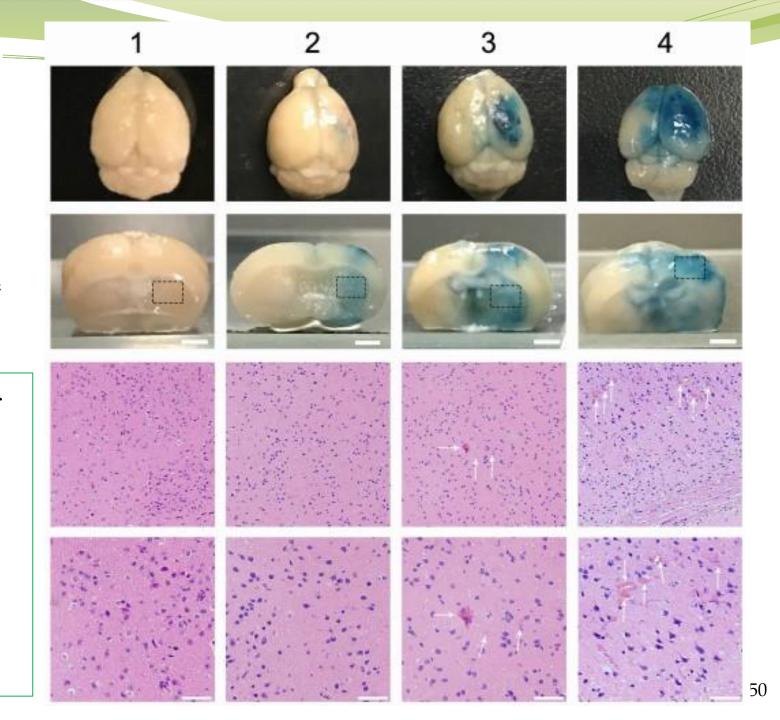
The FUS radiation time and amounts of MBs-LPHNs-cRGD:

 $3 \text{ min and } 2 \times 10^6$ 

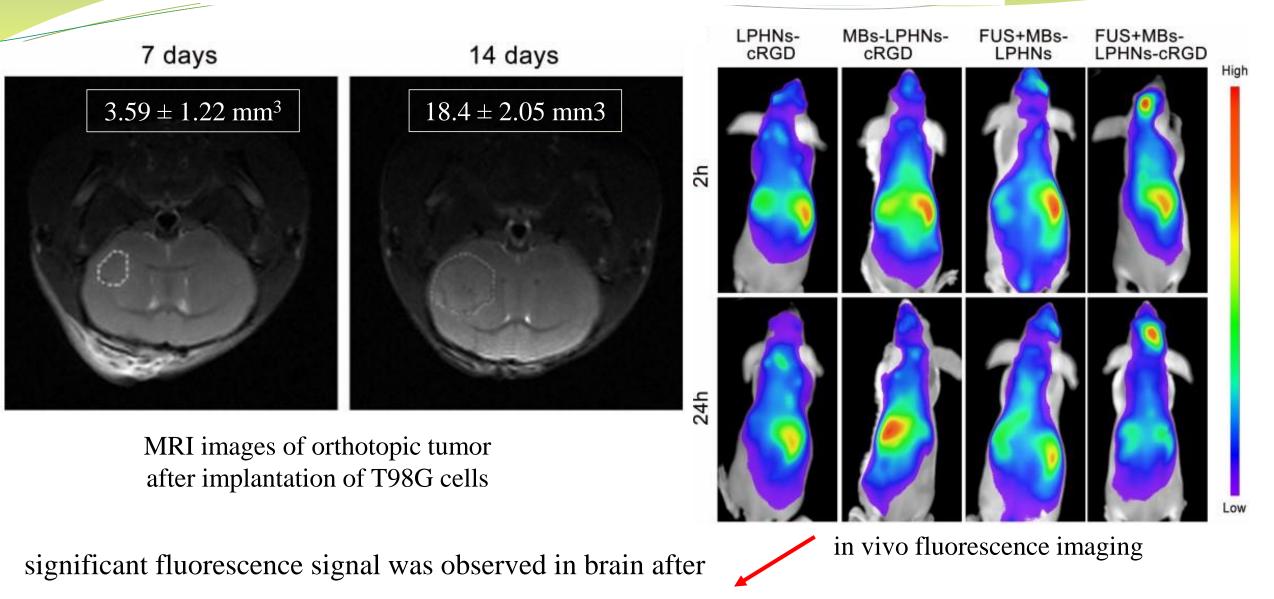
 $3 \text{ min and } 4 \times 10^6$ 

5 min and  $4 \times 10^6$ 

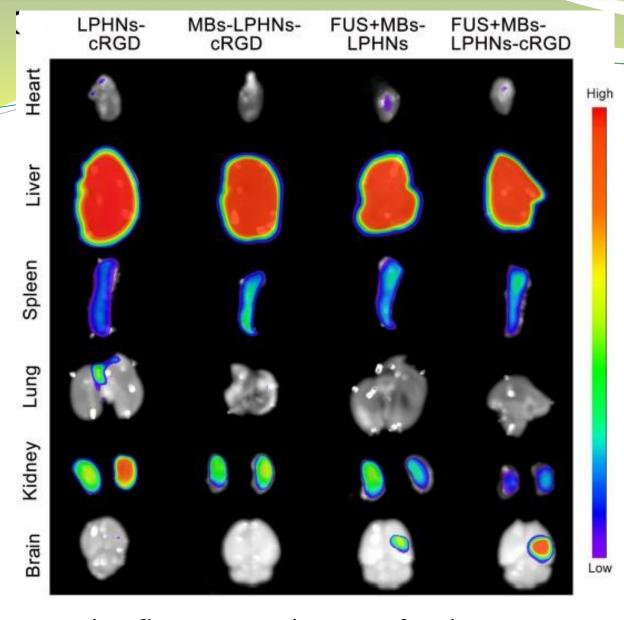
 $3 \text{ min and } 8 \times 10^6$ 



## Targeting Ability, Biodistribution, and Biosafety in vivo

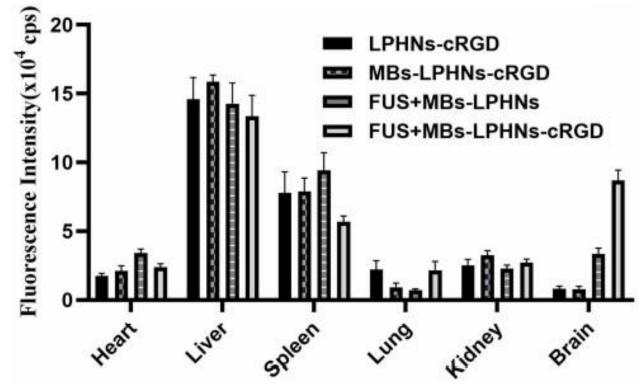


treatment with the FUS-assisted MBs-LPHNs-cRGD complexes



ex vivo fluorescence images of major organs and brain of mice after 24 h of treatments

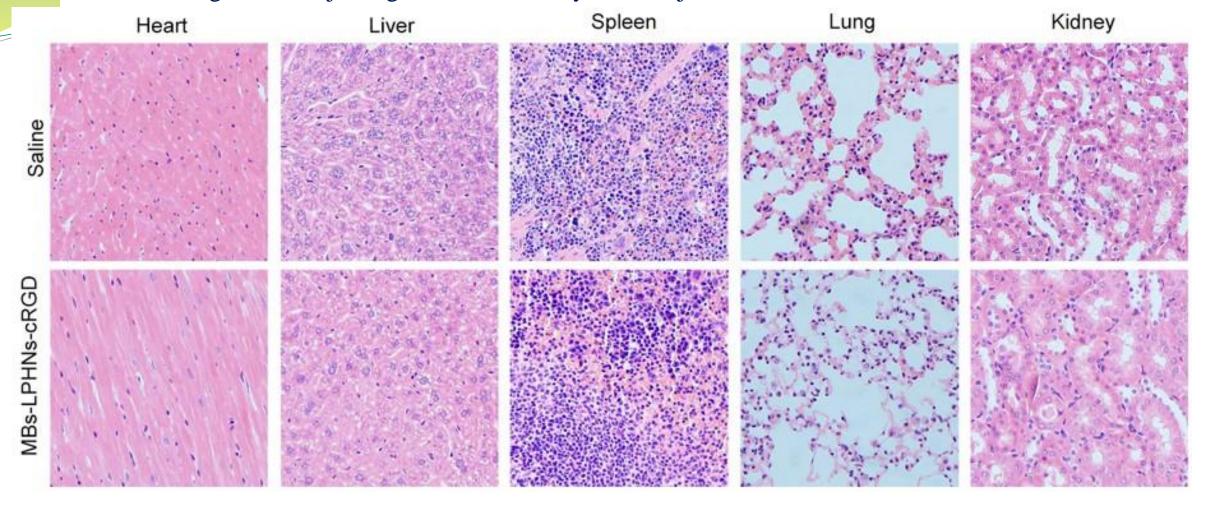
Corresponding quantitative analysis of fluorescence intensity in major organs (n=3)



FUS in combination with MBs can significantly promote nanoparticle delivery to the brain

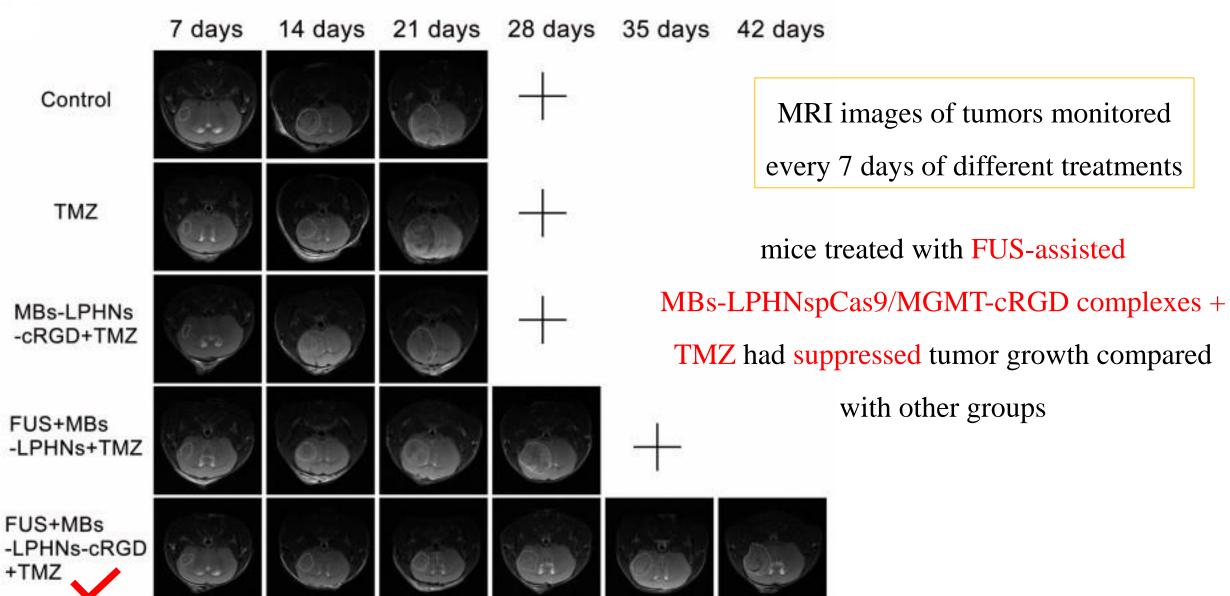
LPHNscRGD can exert an active targeting effect to further improve nanoparticle delivery in the tumor region in vivo

H&E staining of the major organs of mice 7 days after injection of MBs-LPHNs-cRGD and saline



no significant histological abnormalities between the two groups, indicating the high histocompatibility and biosafety of the nanoparticles in vivo

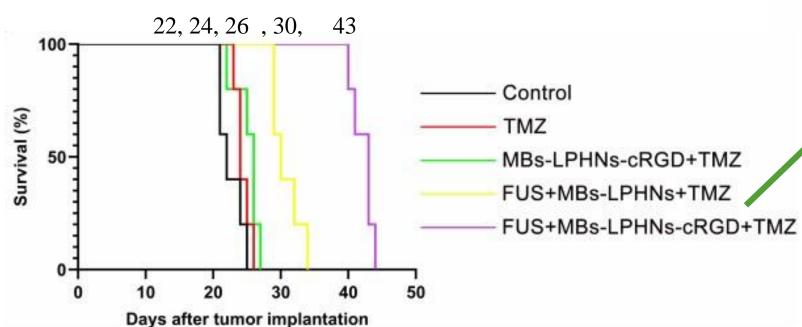
### Therapeutic Effects of FUS-Assisted MBs-LPHNs-cRGD Complexes in vivo

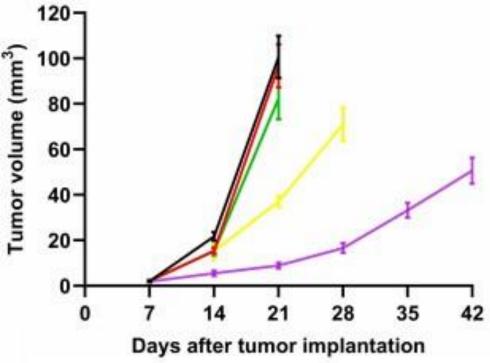


#### FUS-assisted MBs-LPHNspCas9/MGMT-cRGD + TMZ:

tumor volume was smaller

At the time of death, the final tumor volume was also smaller



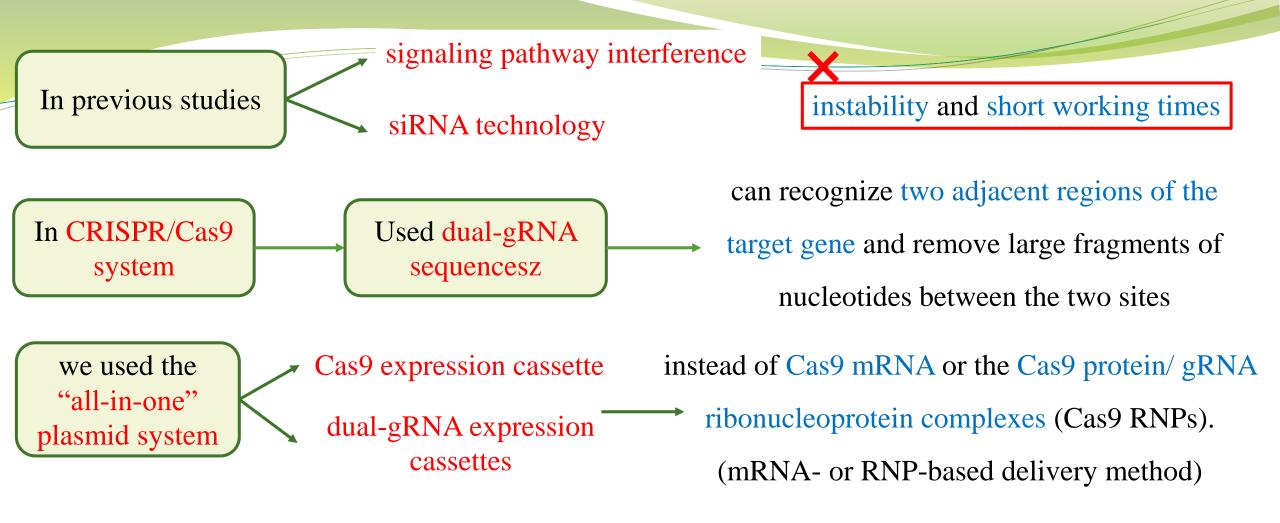


TMZ in glioblastoma, inhibited the growth of glioblastoma, and prolonged survival in tumor bearing mice compared with other treatments

# **Discussion**

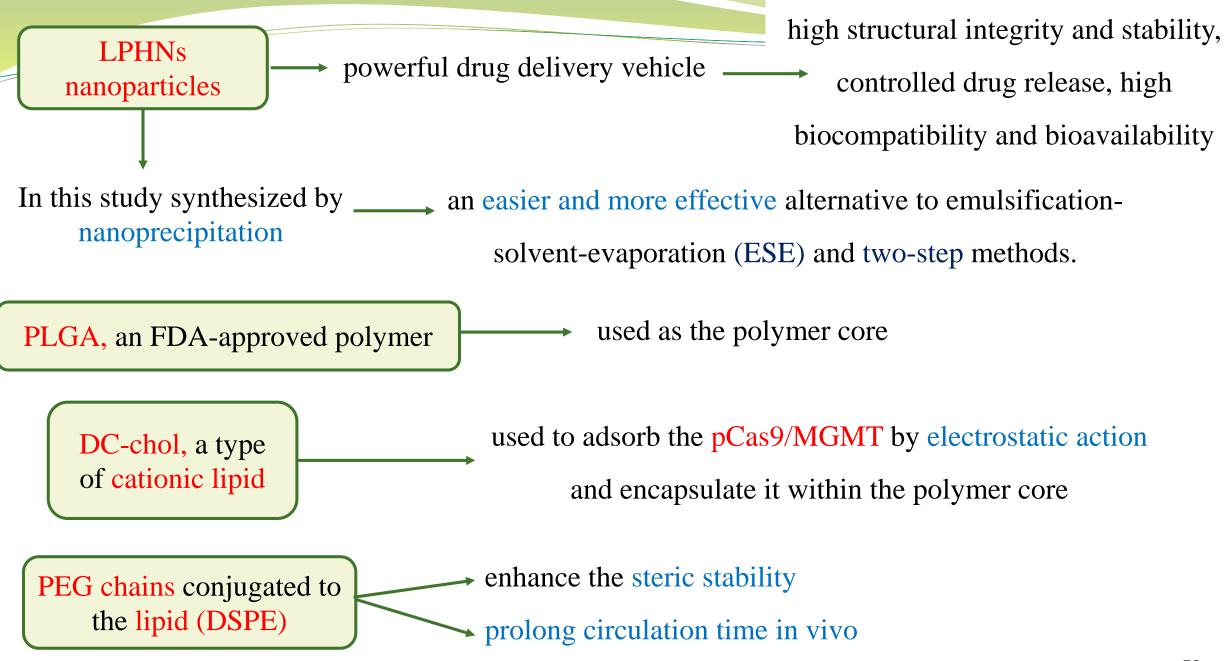


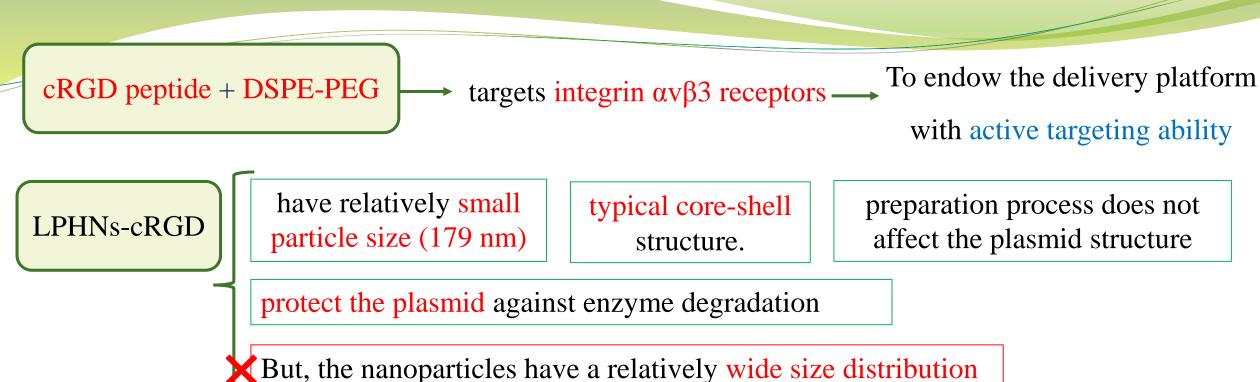
- MGMT protein is an important factor in the resistance of tumor cells to alkylating agents (such as TMZ)
- TMZ induces the O6 -methylguanine (O6 -MeG) lesion in DNA,
- resulting in cell cycle arrest and, eventually, apoptosis.
- MGMT can remove the methyl adducts from DNA and transfer it to the cysteine residue of the MGMT molecule
- ——→leading to the repair of DNA damage and irreversible inactivation of MGMT.
- Many studies have shown that downregulation of MGMT expression can re-sensitize GBM cells to TMZ.



plasmid DNA: more stable and cost-effective, and has a more sustained gene expression.

large size of the plasmid system: transfection efficiency and editing efficiency may be relatively low





some strategies have been used to improve
the size homogeneity of the LPHNs

microfluidic nanoprecipitation process

FUS + MBs plays an important role in drug delivery in the CNS.

LPHNs-cRGD + MBs

to obtain effective nanoparticle delivery

FUS irradiation

triggers MBs' vibrations — (BBB-opening) — —

separation of LPHNs-cRGD from MBs

enter the brain and target tumor cells via  $cRGD-\alpha v\beta 3$  reaction

FUS-MBs

the duration, burst length, pulse frequency of
 → FUS, and the composition, size,
 concentration, dose of MBs and so on

should be carefully adjusted to obtain safe and effective BBB-opening

Tumors in the treatment group were not completely eliminated during the course of treatment.

possibly because the CRISPR/Cas9
system cannot effectively edit
genes in all GBM cells

due to the relatively low transfection & editing efficiency

the presence of glioma stem cells (GSCs) and genotype heterogeneity

could protect part of the GBM population from treatment

These residual tumor cells can continue to proliferate, leading to tumor recurrence

TMZ-resistance in glioblastoma complicated process — whose mechanism has not been fully elucidated

MGMT: one of the many important factors

excision repair (BER)

DNA mismatch repair (MMR) system

In the future study

CRISPR/Cas9 system can be optimized to achieve an enhanced gene editing efficiency

several gRNAs that target key proteins in the TMZ-resistance pathway can be co-encapsulated in LPHNs-cRGD

We will also explore the potential

one of the main concerns in the

off-target effects of CRISPR/Cas9

clinical application of this system

Recently, many efforts have been made to improve the specificity and reduce the off-target effects of the CRISPR/Cas9 system

dCas9-FokI, SpCas9-HF1 and truncated guide RNAs

With these modified strategies, we hope that the FUS-assisted MBs-LPHNs-cRGD delivery system can significantly improve the specificity and reduce the off-target effects of CRISPR/Cas9 in glioblastoma treatment.



- developed a multi-functional (MBsLPHNs-cRGD complexes) CRISPR/Cas9 delivery system
- demonstrated the inhibition effect on the tumor growth in an orthotopic model of glioblastoma with FUS-assisted BBB-opening.
- LPHNs-cRGD could efficiently deliver CRISPR/Cas9 plasmids into GBM cells

  and downregulate the expression of MGMT

  resulting in an increased sensitivity of the cells to TMZ

- FUS-MBs parameters were evaluated for effective BBB-opening to ensure biosafety
- FUS-assisted MBs-LPHNspCas9/MGMT-cRGD + TMZ treatment: efficiently inhibited tumor growth and prolonged survival in tumor-bearing mice.

- biotoxicity study delivery platform had high biocompatibility and biosafety which indicates its potential use in further clinical applications
- the synergistic targeting ability of the physically site-specific characteristics of FUS and the biologically active targeting ability of cRGD peptide significantly improve nanoparticle delivery into the CNS
- This study provides a promising strategy for drug-resistant glioblastoma treatment via a safe, effective and targeted CRISPR/Cas9 delivery system
- further illustrates the therapeutic applications of FUS-assisted BBB-opening in CNS diseases

