

Refinery scheduling with varying crude: a deep belief network classification and multi-model approach

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Abstract In model-based refinery scheduling, the varying composition of the crude being refined is a major challenge, especially for those reaction processes. In this paper, a classification based, multi-model approach is proposed to handle the frequently varying crude. The idea is to build a scheduling model for each type of feed crude, and the type can be determined by using an online classifier. The recently emerged deep belief network is introduced to develop the classifier, which provides more accurate classification than the traditional neural network. The proposed method is demonstrated through modeling a fluidized catalytic cracking unit (the mostly affected by varying crude), and then the scheduling of a refinery that was carefully simulated to mimic the actual operation of a refinery in northern China. The results reveal that the multi-model approach is effective in handling varying crude.

Keywords Deep belief network, Refinery scheduling, Multi-model, Varying crude

Introduction

Refinery scheduling optimization has attracted increasing interests in both academic and industrial communities. One of the challenges faced by refineries is the varying composition of the crude oil being refined. This can happen because, first the crude can come from different sources (oil fields), and second the crude from the same source may also undergo slow change over time. The first situation is the primary cause of variability particularly in the context of short-term scheduling (time horizon of several days), during which the gradual change of the same crude is insignificant. From the practical perspective, it is not always possible to have a constant supply of crude from a single source; this is especially the case in a number of large scale refineries in northern China, where crude oil from up to 20 sources is routinely blended and processed. Therefore, in this study, we aim to address the impact of blended crude oil from different sources on refinery scheduling.

To further complicate the problem, variability in crude has different impact on different processing units in refinery. For distillation units involving no chemical reaction, the boiling point based swing cut model^{1,2} can adequately handle the variability. However, the secondary processing units (SPUs), which convert heavy fractions into lighter ones through various cracking reactions, are largely influenced by the chemical

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composition of the crude oil. For example, two feeds with similar boiling points may exhibit dramatic differences in the cracking performance and product yields³. One useful approach is to adjust the blending ratio of different crudes so as to minimize the variability in both supply and composition⁴⁻⁷. However, these optimization-based methods do not always reduce the variability to a level, at which the influence on processing units is sufficiently reduced. For the purpose of scheduling, accurate modeling of the impact of crude variability on SPUs is an open problem.

In the literature of scheduling and planning, a variety of studies have been reported to handle the model formulation problem. Pinto et al. stressed the need to address the influence of feed properties and operation conditions on the outlet properties, yield and operation cost of processing units⁸; however, how to model such influence is still under-explored. Li et al. proposed a fractions transfer ratios model for planning¹, but the model only considers the influence of operation conditions and cannot reflect the changeover of feed. Göthe-Lundgren et al. took a multi-model approach to handling the presence of multiple operating modes, one model representing the yield and product quality under each mode⁹. Similar method has been adopted in other studies^{10, 11}; but none of these considered the impact of varying crude. Shah et al. formulated the units' yield as a variable within a predefined range and took blend component properties as constant^{12, 13}, which is suitable for fixed, but not varying, crude oil. Li et al. considered crude oil fluctuation in refinery planning¹⁴; however, modeling the impact of varying crudes was not in the scope.

For the purpose of scheduling, the above reviewed methods do not handle well the large, frequent fluctuation of feed due to blending of different sources of crude. For SPUs, it is desired to develop the unit's scheduling model that depends on the crude oil being processed. The SPUs' scheduling model is generally different for different crudes. One modeling option is to rely on the composition hydrocarbon groups in the feed crude. It is known that for SPUs, different hydrocarbon groups exhibit different cracking performances, resulting in quite distinctive outflow yields and properties³. If the hydrocarbon composition of feed crude can be obtained, an empirical or even mechanistic model can be developed to reflect this relationship. Unfortunately, hydrocarbon analysis is not routinely performed in refineries. Moreover, even when these data are available, the nonlinear model, be it empirical or mechanistic, is too complex to be used in scheduling optimization. An alternative approach is to build a specific SPUs scheduling model for each "type" of crude oil without detailed hydrocarbon analysis data. The "type" is defined with respect to the yield and outlet properties of the SPUs, and it can be related to the blending ratios of different sources of crude. These data are readily available in refineries. The blended feed under each type is assumed to give the same yield and outlet properties, which is a reasonable approximation if the types are carefully designed. When used in scheduling, such simple, yet type-specific models result in mixed integer linear programming (MILP) problems, which are substantially easier to solve than using non-linear models. To our best knowledge, this approach has not been explored in refinery scheduling.

In more detail, a multi-model approach to SPU scheduling, integrating online feed crude oil classification, is proposed in this paper. For each type of feed oil, a set of specific models are developed to describe the unit yield, outlet materials properties and operating cost. A classifier is developed from historical operation data, and is used to determine the type, and thus the correct type-specific model, for a certain blending ratio of different crude sources. The type-specific model is then used to formulate the scheduling problem, which will subsequently be solved to determine the optimal schedule. It should be noted that the unit yield and outlet properties depend on not only the feed type, but also the operating mode of the refinery. Therefore, the model is in fact type/mode-specific. For brevity, we still use the term "type-specific model" unless it causes confusion. For the purpose of feed type classification, we will explore both traditional neural

networks, and the state-of-the-art deep belief network (DBN)^{18, 19}. DBN is a novel pattern classification method recently developed in the machine learning field, and it often provides more accurate classification results.

The rest of the paper is organized as follows. We first introduce a simulated refinery with varying feed crude as the platform for demonstrating the proposed method. The simulation is carefully designed to emulate the actual operation of a refinery in northern China. Subsequently, the overall multi-model approach is presented, followed by the development of crude type classifier using either neural network or deep belief network. The effectiveness of the proposed methodology is demonstrated through a case study of short-term scheduling. Finally, we conclude the paper with remarks.

A simulated refinery with varying feed crudes

A simulated refinery, mimicking an actual refinery in northern China, is used to demonstrate the method developed in this paper, and the flowsheet is depicted in Figure 1. The simulation is carried out in the Petro-SIM environment, a well-known platform for reactor simulation²⁰. The main processing units simulated with Petro-SIM include one crude (atmospheric) distillation unit, one vacuum distillation unit (VDU), one fluidized catalytic cracking unit (FCCU) and one main fractionator for FCCU. Besides these units simulated in Petro-SIM, some hydro-upgrading processing units (HUPUs) unit are considered in this case study, including a straight run gasoline catalytic reformer (SRG Catalytic Reformer in the figure), a diesel hydrotreater, an FCC light gasoline etherification unit and an FCC heavy gasoline desulfurization. Two product oil blenders, gasoline blender and diesel blender, produce five different grades of gasoline and two grades of diesel. To avoid confusion, the units simulated with Petro-SIM are highlighted in red color, as shown in Figure 1. FCCU is the unit for which multi-modeling is needed, since its reaction is strongly affected by the varying crude.

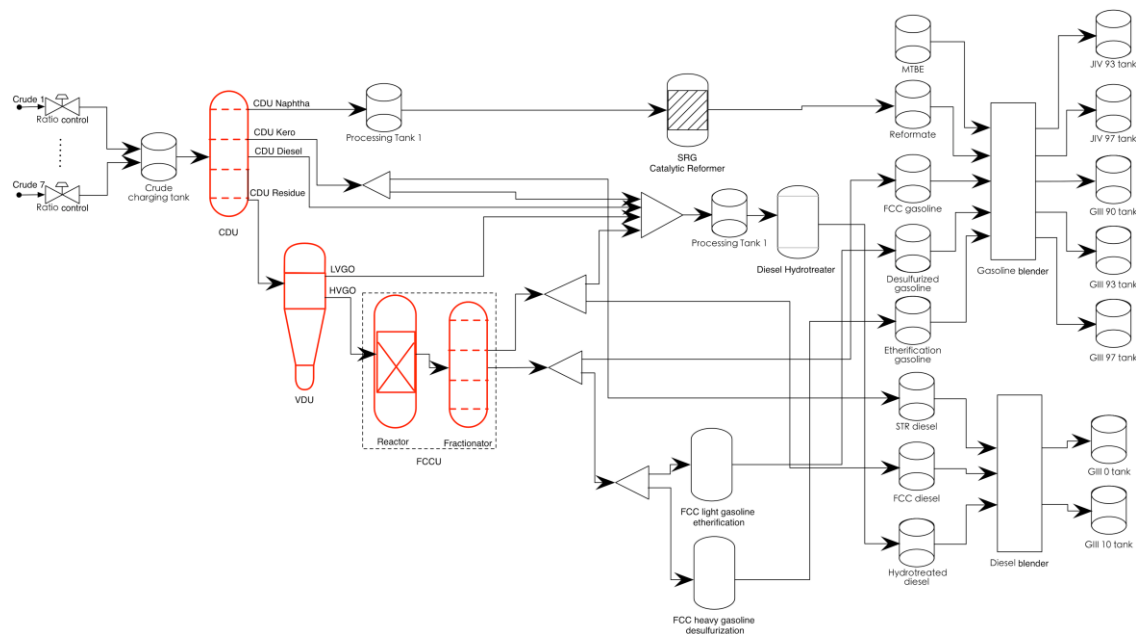


Figure 1. The flowsheet of the investigated refinery.

Seven crude oils with different composition of hydrocarbons, which are determined according to the actual operation of the refinery, are first defined in the “oil environment” of Petro-SIM. By changing the blending ratios of these crudes, different types of crude oil are obtained, and then passed through the various units to produce the fuels. In order to closely reflect the real operation of the plant, four operating modes are considered: gasoline-maximizing mode for the reactor and gasoline-maximizing mode for the fractionator (abbreviated as G&G), gasoline-maximizing mode for the reactor and diesel-maximizing mode for the fractionator (G&D), diesel-maximizing mode for the reactor and gasoline-maximizing mode for the fractionator (D&G), diesel-maximizing mode for the reactor and diesel-maximizing mode for the fractionator (D&D). A total of 6,364 blending ratios, using random sampling within the range seen in the refinery, are simulated to obtain the data, which will be used to develop and validate the crude type classifier and type-specific scheduling model. These data are also used to explore and define the distinctive feed types, in conjunction with the reaction mechanism of the considered SPUs (i.e. FCCU here). It is known that for FCCU, feed can be broadly classified, according to the hydrocarbon components, as paraffins, olefins, naphthenes, and aromatics³. Although in the scenarios considered in this study, no hydrocarbon analysis can be carried out, these four types of feed undergo quite different reaction pathways and result in distinctive outlet yields and material properties. Therefore, four crude types are considered, and they can be determined from assessing the FCCU’s outlet. If other SPUs are considered, the same principle could be applied to determine appropriate crude types.

The 6,364 samples are labeled (i.e. the type determined) by using a semi-supervised learning method¹⁵. Specifically, a small fraction of the samples (415, or 6.5% of the entire data set) are manually selected because they gave very distinctive yield and/or outlet material properties, which match one of the four types of hydrocarbon components³. These samples are then labeled as such. For each type, the yield, outlet properties and operating cost are calculated from the average of the samples in that type. These average values form type-specific models. The remaining 5,949 samples are not easily distinguished as one of the crude types by manual inspection, and they are labeled by comparing the Euclidean distance between the data and the type-specific models following an iterative procedure. First, one sample is randomly selected, and it is labeled to the type with the minimal Euclidean distance. This sample is then added to the labeled type, and the type-specific model is updated. This procedure is repeated until all data are labeled.

After this semi-supervised labeling process, the data will be subsequently used to develop and validate the crude type classifier and type-specific scheduling model.

Multi-model approach to scheduling

A multi-model approach to scheduling, enabled by a crude type classifier, is presented in this section for the purpose of handling varying feed crudes. The scheduling model needs to describe the outlet yield, material properties and unit operating cost, which are different for different operating modes (i.e. G&G, G&D, D&G, D&D). The models are therefore type/mode-specific. The overall approach is illustrated in Figure 2, where $YLD_{u,s',m,ct}$ is the yield of outlet material s' from unit u under the operating mode m and crude oil type ct , $PRO_{u,s',p,m,ct}$ the corresponding material property with index p , and $OPC_{u,m,ct}$ the operating cost. These type/mode-specific models are developed from historical data and stored in a

database. A crude type classifier will also need to be developed offline from past data. During the actual operation, the crude oil blending ratio is determined according to the refinery plan (outside the scope of this study), and it is then passed through the classifier to determine the type. Based on the crude type and the required operating mode, an appropriate scheduling model is selected from the database, and then used to formulate the scheduling problem to be solved.

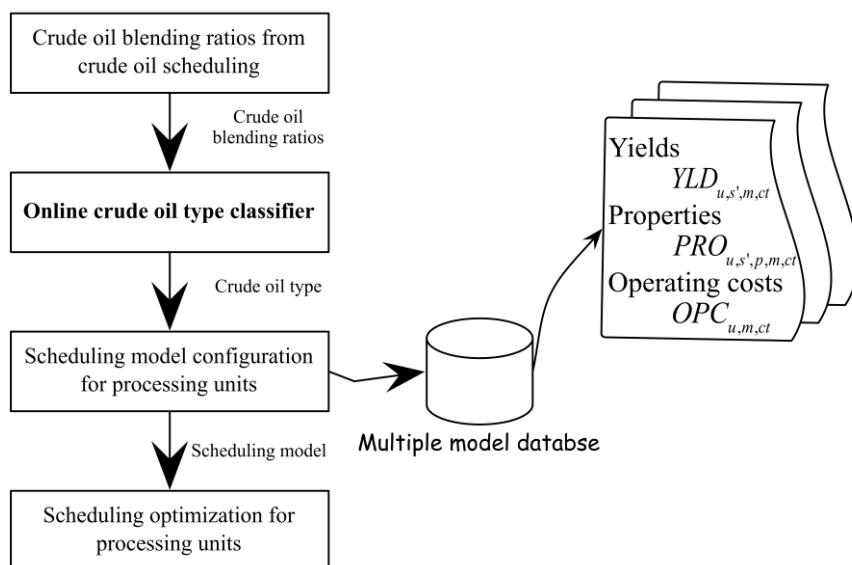


Figure 2. The overall multi-model approach to refinery scheduling with varying crude.

We first demonstrate the need of crude type classification. Here the entire data set is randomly divided into a training set of 336 “historical” samples, and the remaining 6,028 samples are used for validation. For illustration, only one operating mode (i.e. G&G) is considered, and the model outputs are the gasoline yield (YLD), research octane number (RON) and sulfur content (SUL) from the FCCU. The results, in terms of root mean square error (RMSE), are summarized in Table 1. In the table, “Models I to IV” are developed using the training data from the four crude types, and “Crudes 1 to 4” are the unseen validation data from the corresponding crude types.

The results in Table 1 reveal that the multi-model approach is promising. When the data are described in the correct model, the RMSE is small while if an incorrect model is used, the accuracy is substantially lower. This phenomenon suggests that the data within each type are sufficiently homogeneous, and that between-type variability is very large. Therefore, type-specific modeling is necessary and likely to give improved scheduling results, if the data can be correctly classified. Furthermore, this method maintains a relatively simple model structure and facilitates the subsequent optimization, which is important for practical application.

Motivated by the necessity of multi-modeling, we next explore the development of crude type classifier so that the correct type-specific model can be determined for a particular blending ratio.

Table 1. RMSE of type-specific models.

Crude type	Model I			Model II			Model III			Model IV		
	YLD	RON	SUL	YLD	RON	SUL	YLD	RON	SUL	YLD	RON	SUL
Crude 1	0.77	1.24	2.97	1.43	38.14	16.98	2.92	23.98	23.83	1.92	16.21	16.69
Crude 2	1.21	22.61	27.35	0.88	1.90	2.38	1.73	13.61	19.42	1.97	20.08	24.71
Crude 3	1.68	18.95	16.37	1.71	8.46	22.03	0.69	1.39	1.36	1.36	17.65	19.22
Crude 4	1.79	15.28	19.71	1.94	25.91	25.69	2.41	29.72	17.81	0.47	1.84	2.87

Deep belief network for crude oil type classification

In practical refinery operations, the sources of crude oils and their blending ratio are often known, providing the basis for classification. Considering the scenario in which the sources of crude oils do not change for a long time (which is often the case in reality), the blending ratio is used as input for the classifier to determine the type of the blended feed. Classification is a classical topic in the area of statistical pattern recognition and machine learning¹⁶. Among many classification methods, neural network is perhaps the most popular with wide applications¹⁷. In addition to the traditional neural network, we will also explore the use of *deep belief network* (DBN), a recently emerged machine learning method^{18, 19, 21}. In this section, the DBN method will be briefly presented, and then applied to crude type classification. The results of neural network will also be illustrated for comparison.

Deep belief network method for classification

An overview of DBN and its use in classification is presented here, following the work of Hinton and his co-workers^{18, 19, 23}. In a nutshell, a DBN consists of multiple layers, which are formed by stacking a series of *restricted Boltzmann machines* (RBMs) on top of each other. Each RBM is a bi-directional probabilistic model, consisting of an m -dimensional vector (\mathbf{v}) of a visible layer, and an n -dimensional vector (\mathbf{h}) of a hidden layer. Suppose that a DBN has L RBMs, each of which has the visible and hidden layers $\{\mathbf{v}^{(l)}, \mathbf{h}^{(l)}\}$, $l = 1, 2, \dots, L$. The input data of the DBN (e.g. the blending ratio of the crudes) is given to the visible layer of the first RBM $\mathbf{v}^{(1)}$. The second RBM then takes the hidden layer of the first RBM as its visible layer $\mathbf{v}^{(2)} = \mathbf{h}^{(1)}$, and so on for the remaining RBMs. The structure of a DBN is illustrated in Figure 3.

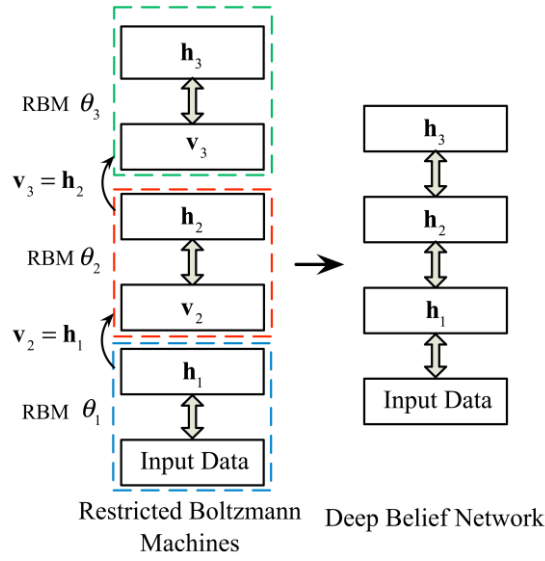


Figure 3. The structure of deep belief network.

For the purpose of classification, the training strategy for DBN involves two steps, namely an un-supervised pre-training step without using the class labels, followed by a supervised refining step that includes the class label as an extra output layer. For quite a long time, DBN has been difficult to train due to the presence of many local optima. The two-step has proved effective in addressing this problem: the pre-training can help find a desired region of the model parameters adjacent to a good optimum, and the supervised step further refines this optimal solution. These two steps are discussed in the following.

Unsupervised DBN pre-training

In the pre-training stage, the RBMs need to be specified and their parameters determined. To facilitate the discussion, let us consider the binary-binary RBM, in which both \mathbf{v} and \mathbf{h} are vectors of binary values. The binary-binary RBM can be readily extended to continuous-valued inputs, so that the model can be used for classifying the crude type using continuous-valued blending ratio. The detailed method for such extension can be found in Bengio²¹, and will not be discussed in this paper.

For binary-binary RBM, the model is defined by the following joint distribution of the visible and hidden layers:

$$P(\mathbf{v}, \mathbf{h}) = \frac{\exp\{-\mathbf{h}^T \mathbf{W} \mathbf{v} - \mathbf{b}^T \mathbf{h} - \mathbf{c}^T \mathbf{v}\}}{Z}, \quad (1)$$

where $\mathbf{W} \hat{=} R^{n \times m}$ is the parameter matrix; $\mathbf{b} \hat{=} R^{n \times 1}$ and $\mathbf{c} \hat{=} R^{m \times 1}$ are bias vectors for the hidden and visible layers, respectively. A partition function Z is introduced to ensure that Eq. (1) is a proper distribution. The conditional distributions associated with \mathbf{v} and \mathbf{h} are given by:

$$\begin{aligned} P(h_j = 1 | \mathbf{v}) &= \sigma(W_j \cdot \mathbf{v} + b_j), j = 1, 2, \dots, n \\ P(v_i = 1 | \mathbf{h}) &= \sigma(W_i^T \mathbf{h} + c_i), i = 1, 2, \dots, m \end{aligned} \quad (2)$$

where $\mathcal{S}(x)$ is the sigmoid function $\mathcal{S}(x) = \frac{1}{1 + e^{-x}}$, W_{j^*} and W_{i^*} are j th row and i th column of \mathbf{W} , respectively. Here $P(h_j = 1 | \mathbf{v})$ can be seen as the feed-forward pass to obtain the hidden layer from the visible one, and $P(v_i = 1 | \mathbf{h})$ the back-forward pass in which the visible layer \mathbf{v} are reconstructed from the hidden layer.

For each RBM, the parameters $\{\mathbf{W}, \mathbf{b}, \mathbf{c}\}$ can be trained by maximizing the marginal probability of the visible layer $P(\mathbf{v})$, using for example the gradient ascent optimization algorithm. Given a single input sample \mathbf{v} , the gradient of the log marginal probability, $\log P(\mathbf{v})$, with respect to \mathbf{W} , is given by²¹:

$$\frac{\partial \log P(\mathbf{v})}{\partial W_{ji}} = \langle v_i h_j \rangle_{\text{data}} - \langle \hat{v}_i h_j \rangle_{\text{recon}}, \quad (3)$$

where $\langle v_i h_j \rangle_{\text{data}} = \sum_{\mathbf{h}} v_i h_j P(\mathbf{h} | \mathbf{v}) = v_i P(h_j = 1 | \mathbf{v})$ is the expectation of the pairwise product of v_i and h_j conditional on \mathbf{v} , and $\langle \hat{v}_i h_j \rangle_{\text{recon}} = \sum_{\hat{\mathbf{v}}} \sum_{\mathbf{h}} \hat{v}_i h_j P(\hat{\mathbf{v}}, \mathbf{h})$ is the expected value of $\hat{v}_i h_j$ when $\{\hat{\mathbf{v}}, \mathbf{h}\}$ follow the distribution given in Eq. (1). The gradient of $\log P(\mathbf{v})$ with respect to \mathbf{b} and \mathbf{c} can be formulated in a similar manner:

$$\begin{aligned} \frac{\nabla \log P(\mathbf{v})}{\nabla b_j} &= \langle h_j \rangle_{\text{data}} - \langle h_j \rangle_{\text{recon}}, \\ \frac{\nabla \log P(\mathbf{v})}{\nabla c_i} &= \langle v_i \rangle_{\text{data}} - \langle \hat{v}_i \rangle_{\text{recon}}. \end{aligned} \quad (4)$$

In the actual implementation, the computation of Eq. (3) and (4) can be simplified by using the *contrastive divergence* algorithm²², a second-order approximation of the gradient of $\log P(\mathbf{v})$. After obtaining the model parameters, the hidden layer \mathbf{h} can be determined by using its conditional expectation $h_j = E(h_j | \mathbf{v}) = \mathcal{S}(W_j \mathbf{v} + \mathbf{b}_j)$, which will then be used as the visible layer for the next RBM.

The entire DBN is trained by a greedy layer-wise strategy, i.e. the individual RBMs are trained one by one, from bottom to top²⁰. The basic idea is to add a RBM on the top of an already trained DBN: after an l -layer DBN with l individual RBMs has been trained, the input data are passed through the DBN to collect the outputs at the l -th hidden layer (the top of the DBN). Then, the outputs are used as inputs to train the $(l+1)$ -th RBM, which will be added to the previous model to form a new $(l+1)$ -layer DBN. The whole procedure is un-supervised, since the class labels have not been used.

Supervised DBN refining

After the RBMs are successively pre-trained, the DBN needs to be further refined by including the class labels in order to minimize classification error. This is accomplished by adding an additional layer of weights on top of the pre-trained DBN, so that the unsupervised DBN can be linked to the class labels. Suppose that

the pre-trained DBN has $L-1$ layers, and its top hidden layer is $\mathbf{h}^{(L-1)}$. Using the same stacking method, the additional layer takes $\mathbf{h}^{(L-1)}$ as its visible layer, i.e. $\mathbf{v}^{(L)} = \mathbf{h}^{(L-1)}$; this $\mathbf{v}^{(L)}$ passes through the weight $\mathbf{W}^{(L)}$ and the bias $\mathbf{b}^{(L)}$, and is finally linked with the class probability p_i using the usual soft-max function¹⁸:

$$p_i = \frac{\exp\{W_i^{(L)}\mathbf{v}^{(L)} + b_i^{(L)}\}}{\sum_{i'} \exp\{W_{i'}^{(L)}\mathbf{v}^{(L)} + b_{i'}^{(L)}\}}, \quad (5)$$

where $W_i^{(L)}$ denotes the i th row of matrix $\mathbf{W}^{(L)}$, and $b_i^{(L)}$ the i th element of vector $\mathbf{b}^{(L)}$. Notice that p_i is normalized, i.e. $\sum_i p_i = 1$, since it represents the probability that the sample belongs to class i . Through Eq. (5), the likelihood function of the data can be formulated, and an error back-propagation method can be used to maximize the likelihood, similar to the traditional neural network. Note that $\mathbf{v}^{(L)}$ is a function of the weight and bias in the $(L-1)$ -th layer, and in turn a function of all the weight and bias terms throughout the DBN. As a result, by maximizing the likelihood, the model parameters at all layers can be refined.

Classification results

To demonstrate the classification results, the data samples are divided in the same way as before: 336 historical samples for developing the classifier, and the remaining 6,028 for validation. To develop a DBN, both the number of hidden layers, and the number of neurons in each hidden layer, must be determined. Since training a DBN is computationally slow, we follow the empirical guidelines in the literature^{23,24} to use two hidden layers, and selected the following candidates for the number of neurons in the two hidden layers according to experience²⁴: {14,7}, {14,9}, {14,11}, {18,7}, {18,9}, {18,11}, {18,17}, {20,9}, {20,17}, {22,15}, {24,7}, {24,9}, {24,17}, {26,9}, {26,15}, {26,17}. Then, a six-fold cross-validation is used to find the best option {18,9}. This 7-18-9-4 DBN (input layer: seven nodes for the blending ratio of seven sources of crude; two hidden layers: 18 and 9 neurons, respectively; output layer: four types of crude) is used in subsequent classification. Being an emerging technique, DBN is still under intense research in terms of optimizing the network configuration; nevertheless, it seems that by following reported guidelines, together with modeler's experience, DBN does provide practically excellent accuracy.

For the purpose of comparison, a traditional neural network (NN) is also developed using a single hidden layer with the sigmoidal activation function. The number of hidden neurons is also determined by using six-fold cross-validation. According to the results in Figure 4, 38 hidden neurons are used. For both DBN and traditional NN, an early stopping strategy is used to prevent over-fitting. Since the training algorithms for both models are not deterministic and give different results each time, we repeat the training 50 times and report the mean performance with standard deviation. The classification errors are summarized in Table 2.

Table 2. Classification errors on both training and validation dataset (mean \pm standard deviation %).

		Type 1	Type 2	Type 3	Type 4	All
Training	NN	0.31 \pm 0.17	0.29 \pm 0.32	1.29 \pm 1.21	0.53 \pm 0.15	0.47 \pm 0.34
	DBN	0.27 \pm 0.11	0.26 \pm 0.08	0.88 \pm 0.43	0.48 \pm 0.16	0.39 \pm 0.21
Validation	NN	3.27 \pm 0.36	2.03 \pm 0.21	4.19 \pm 1.25	3.31 \pm 0.68	2.83 \pm 0.42
	DBN	2.35 \pm 0.21	1.81 \pm 0.16	2.96 \pm 0.72	1.85 \pm 0.61	2.01 \pm 0.25

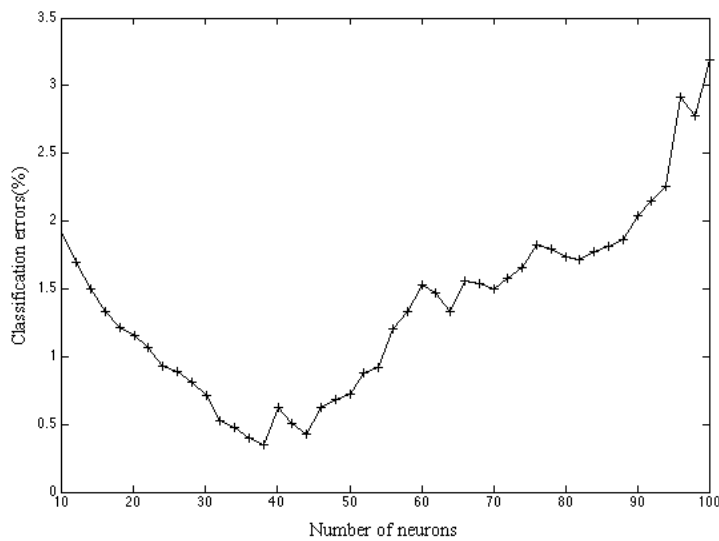


Figure 4. The cross-validation results of NN.

A comparison of the results shows that, first, validation error is significantly higher than that of the training set, which is a common phenomenon. Second, DBN achieves significantly more accurate classification than the traditional neural network. The difference in classification error on the validation set (2.83%-2.01%=0.82%) suggests that on average, the DBN correctly classifies 49.4 more samples than the traditional neural network out of a total sample size of 6,028 ($6028 \times 0.82\% = 49.4$), which can have significant impact on the ultimate schedule modeling and optimization.

Furthermore, recall that Table 1 reports the RMSE of type-specific models if all data samples are correctly classified. It would be interesting to examine the accuracy of type-specific models when data are classified using an imperfect classifier. Table 3 reports such results, averaged over 50 repeats of the training process, for the yield of the FCCU. (To save space, the results for other outlet properties are not reported.) It can be seen that, again, DBN classifier gives more accurate type-specific prediction, because its classification is more accurate. In addition, it may be surprising to observe that for crude type 1 and 2, DBN results in even lower RMSE than perfect classification. However, the results in Table 3 are only for the FCCU's yield, and when considering all other outlet properties, the RMSEs of DBN are actually all higher than perfect classification.

Table 3. RMSE of type-specific models when the choice of model is determined by classification. The results of “perfect classification” are re-produced from Table 1.

	Type 1	Type 2	Type 3	Type 4	All
Neural network	0.79	0.90	1.79	0.70	0.98
Deep belief network	0.67	0.79	1.10	0.69	0.87
Perfect classification	0.77	0.88	0.69	0.47	0.75

Scheduling results

The developed multi-modeling and crude type classification approach is further demonstrated through optimal scheduling of the entire refinery (c.f. Figure 1 for the flowsheet). We follow the state-task network based discrete time representation⁹ to formulate the scheduling problem. As discussed previously, the CDU, VDU and FCCU are simulated in Petro-SIM, highlighted in red color in Figure 1, to develop data-driven scheduling models. Swing cut model is used for CDU and VDU. The proposed DBN classifier-based multi-model is developed for FCCU, since DBN provides significantly better classification accuracy than the traditional neural network. The classifier and the type-specific models are the same as presented in the previous sections, developed using 336 simulated historical samples. Modeling the HUPUs is beyond the scope of this study. For simplicity, the HUPUs’ models are taken as the averaged value from operating data for each pre-defined operating mode.

An assumed five-day demand based on the real planning results of the investigated refinery is given in Figure 5, where JIV 93, JIV 97, GIII 90, GIII 93 and GIII 97 are different gasoline products available on the Chinese market, and GIII 0 and GIII 10 correspond to two diesel grades. Detailed specifications are provided in Appendix A.

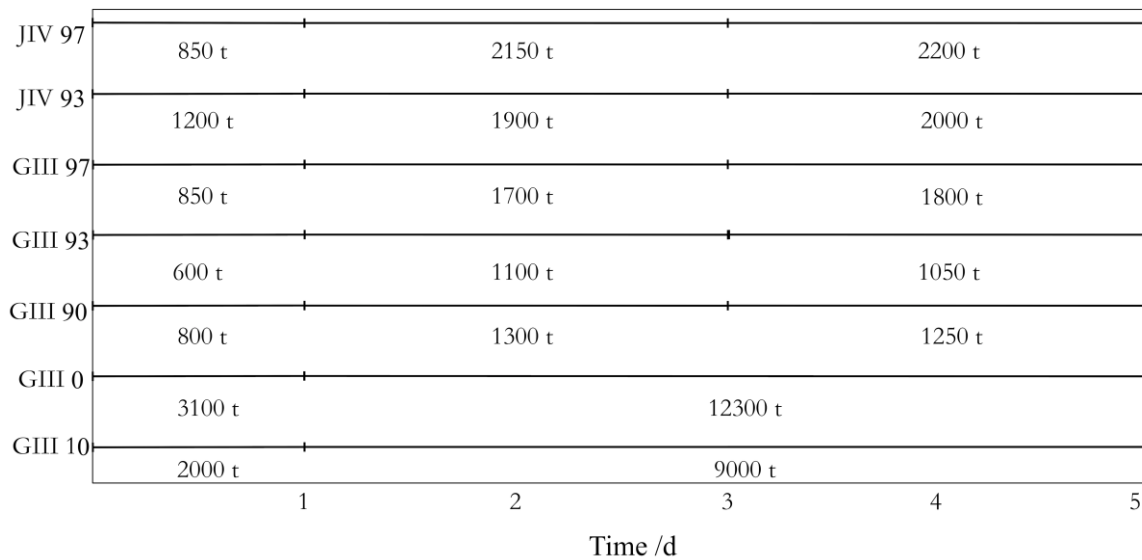


Figure 5. Gantt chart for the future five-day demand.

Based on the plant monthly planning and crude oil scheduling (neither of these is within the scope of the study and thus taken as is), the future five-day crude oil blending schedules are given in Figure 6. Crude 1 and crude 2 are the primary crude oils from a local oil field in northern China, and their supply to this refinery is relatively stable. The other five crude oils are supplied from overseas.

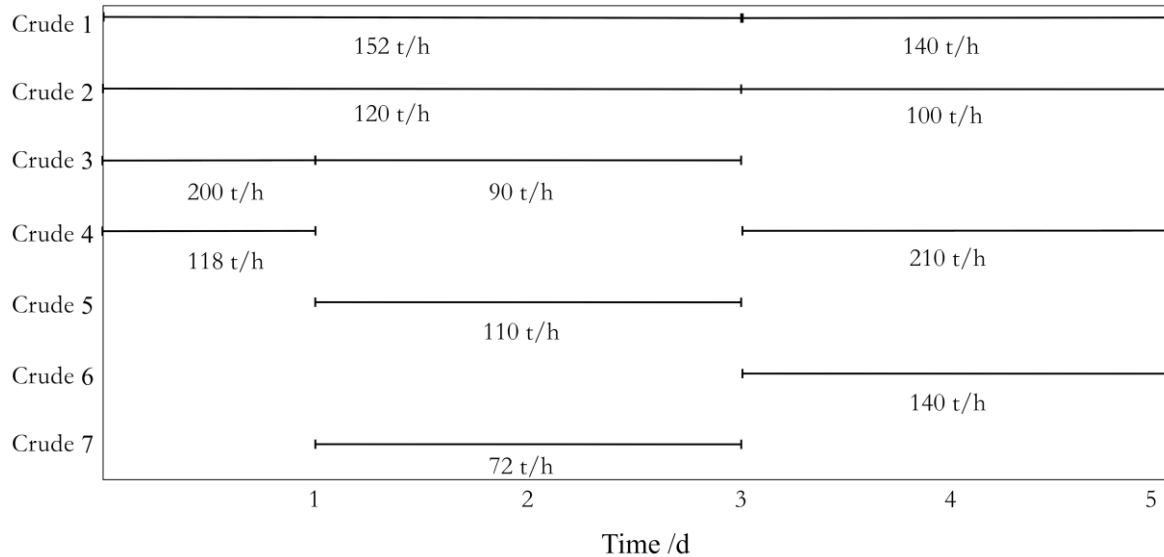


Figure 6. The future five-day crude oil blending schedule.

The proposed multi-model approach is applied for scheduling. For comparisons, the FCCU is also described by a single model, where the yield, outlet material properties and operating cost are taken as the average of the historical data. In both methods, the units other than FCCU are modeled in the same way, and the scheduling problems are solved using the same solver, LINGO 11²⁵. The profit for the two methods is compared in Table 4, where the accounted profit (of FCCU only) is obtained by implementing the optimized schedules on Petro-SIM and calculated with the accounting prices provided by the field engineers, such as the prices of cooling water, steam, electricity, inflow materials, outflow components and so on. The computed overall profit is essentially the value of the objective function of the scheduling problem, and it tends to over-estimate the actual profit because of model-reality mismatch. The over-estimate may be especially substantial for the one-model method for the FCCU, given its large model-reality mismatch when compared with the multi-model approach. In this sense, the accounted profit is a more accurate indicator for comparison.

Table 4. Optimized profit in Chinese Yuan.

	Without classification	DBN based classifier
Accounted profit of FCCU	1,237,060.1	1,460,226.8
Computed overall profit	4,027,552.3	4,572,077.4

Table 4 clearly indicates that the proposed multi-modeling approach results in significantly higher profit than using a single model. This result again confirms the need of performing crude oil classification for SPUs' scheduling model, from the profit point of view: even for computed overall profit, the multi-model method results in 13.5% more profit.

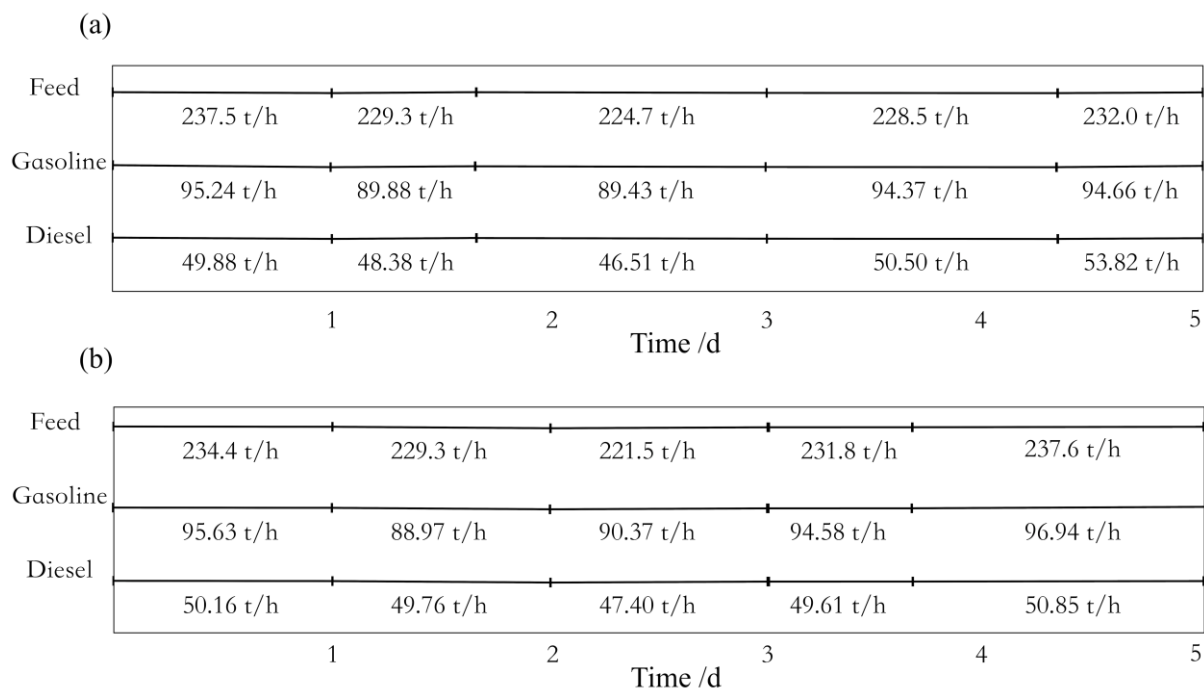


Figure 7. Gantt chart of FCCU by: (a) the proposed multi-model approach; (b) the one-model approach.

As for the accounted profit of the FCCU, the DBN-based multi-model method gives 18.0% more profit than using a single model. The detailed schedule is shown in Figure 7. For the one-model approach, although the calculated schedule is “optimal” in theory, the large model-reality mismatch results in higher energy and utility cost to realize such schedule. For example in the first day, due to the large modeling error, the one-model approach requests to produce more gasoline and diesel with less feed flow. To realize this request, the reflux rate has to be increased and this leads to a higher temperature set-point and thus higher energy cost. FCCU is among the most important SPUs in China²⁶ and its energy consumption counts for about 30 to 50 percent of that of the entire refinery²⁷; optimal scheduling, when properly formulated and implemented, is potentially a big contributor to reducing the energy usage and improving process efficiency.

Conclusions

A multi-model approach to refinery scheduling, which integrates with deep learning technique for crude oil type classification, is developed in this paper. The method is particularly useful for scheduling secondary processing units in the presence of varying feed crude. The basic idea is to build the scheduling models that are specific to each crude oil type and operating mode, and select the proper model by using classification for schedule optimization. Deep learning method used here improves the classifying accuracy for the non-linear refinery processes. Furthermore, this method provides a good approximation of the units' yield and outlet properties, and meanwhile maintains a simple model structure, which is necessary for optimization. The

usefulness of the proposed method is demonstrated on modeling and scheduling of a simulated refinery in terms of handling varying crude.

It should be noted that neural networks, and its deep learning versions, are certainly not the only options for classification. Other classification methods, ranging from simple linear regression to support vector machines, could also be used. Since the primary purpose of this work is not to compare various classification models, but to propose the use of the multi-modeling approach, we refer the readers to the literature for alternative classification models^{16,28,29}.

Appendix

A. Quality specification of the gasoline and diesel products

Table A.1. Detailed quality specification of different grade gasoline products.

Items		GIII90#	GIII93#	GIII97#	JIV93#	JIV97#
Antiknock Quality						
RON	Not less than	90	93	97	93	97
(RON+MON)/2	Not less than	85	88	Report	88	Report
Lead Content/(g/L)	Not more than		0.005			0.005
Iron Content/(g/L)	Not more than		0.01			0.01
Manganese Content/(g/L)	Not more than		0.016			0.006
Sulfur Content/mg/kg	Not more than		50			50
Benzene(by volume)/%	Not more than		1.0			1.0
Aromatics(by volume)/%	Not more than		40			
Aromatics + Olefins/%	Not more than					60
Olefin(by volume)/%	Not more than		28			25
Oxygen Content(by mass)/%	Not more than		2.7			2.7
Reid Vapor Pressure/kPa						
	1 st Nov.~30 th Apr.		42~85			≤88
	1 st May~31 th Oct.		40~68			≤65
Distillation Range						
	10% point/°C	Not more than	70			70
	50% point/°C	Not more than	120			120
	90% point/°C	Not more than	190			190
	End point/°C	Not more than	205			205
Residue(by volume)/%	Not more than		2			2

Table A.2. Detailed quality specification of different grade diesel products.

Items	GIII 0#	GIII -10#
Sulfur Content(by mass)/%	Not more than	0.035
Acidit Grade(mgKOH/100 mL)	Not more than	7
Carbon Residue (by mass)/%	Not more than	0.3
Freezing Point/°C	Not more than	0 -10
-10Viscosity(20 °C)/(mm ² /s)	3.0~8.0	2.5~8.0
Flash Point(Closed Cup)/°C	Not less than	55
Flammability		
Cetane Number	Not less than	45
Cetane Index	Not less than	43
Distillation Range:		
50% point/°C	Not more than	300
90% point/°C	Not more than	355
95% point/°C	Not more than	365

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