Evaluating robustness for 'IPCRESS': Surrey's text alignment for plagiarism detection

Notebook for PAN at CLEF 2014

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Abstract. This paper briefly describes the approach taken to the subtask of Text Alignment in the Plagiarism Detection track at PAN 14. We have now reimplemented our PAN12 approach in a consistent programmatic manner, courtesy of secured research funding. PAN 14 offers us the first opportunity to evaluate the performance/consistency of this re-implementation. We present results from this re-implementation with respect to various PAN collections.

1 Introduction

As reported in our PAN 13 notebook paper, having secured funding from the UK government-backed Technology Strategy Board for 18 months, the University of Surrey have been working on the Intellectual Property Protecting Cloud Services in Supply Chains (IPCRESS) project, a collaboration with Jaguar Land Rover and GeoLang Ltd. The IPCRESS project is focused on the difficulty of entrusting valuable Intellectual Property (IP) to third parties, through the Cloud, as is necessary to allow for the construction of components in the supply chain. The key innovation is the ability to track high-value IP without having to reveal that IP – so approaches need to avoid being reversible to text in clear. Such tracking is then suited to the tasks of (i) preventing IP leakage; (ii) detecting IP leakage or theft; and (iii) identifying retention beyond allowed review periods. The project builds from the proposed formulation of such a system in Cooke and Gillam 2011.

In this paper, we briefly discuss the simplification of the code-base from our original submissions to the present and much more self-contained setup, and demonstrate the consistency of results obtained. We also hint at improvements in our treatment of obfuscation that are likely to become a focal point for future work also.

Section 2 provides a brief summary of results found with re-used software applied to PAN 2011, PAN 2012 and PAN 2013 datasets. Section 3 carries discussion of the IPCRESS re-implementation. Section 4 presents results of applying IPCRESS to the datsets for PAN 2012 and PAN 2013, and preliminary results found using initial obfuscation handling approaches. Section 5 comments on the PAN 2014 results and future work.

2 Previous PAN results

We have discussed in previous PAN efforts (e.g. Cooke, 2011) how our intention is to be able to find matching text without revealing the textual content. In PAN 11, the approach brought us 4th place, with PlagDet=0.2467329, Recall=0.1500480, Precision=0.7106536, Granularity=1.0058894. In 2012, we showed good granularity, with high recall and precision for non-obfuscated text, but not such great recall in the face of obfuscation (see Table below).

Test	Plagdet	Recall	Precision	Granularity
	Score			
02_no_obfuscation	0.92530	0.90449	0.94709	1.0
03_artificial_low	0.09837	0.05374	0.93852	1.04688
04_artificial_high	0.01508	0.00867	0.96822	1.20313
06_simulated_paraphrase	0.11229	0.05956	0.97960	1.0

In 2013, precision and granularity figures remained high, though recall had dropped. For different kinds of obfuscation from 2012, recall remains low – though perhaps surprisingly is better for random obfuscation than for translation or summary.

Test	Plagdet	Recall	Precision	Granularity
	Score			
02_no_obfuscation	0.85884	0.83788	0.88088	1.0
03_random_obfuscation	0.04191	0.02142	0.95968	1.0
04_translation_obfuscation	0.01224	0.00616	0.97273	1.0
05_summary_obfuscation	0.00218	0.00109	0.99591	1.0

3 The IPCRESS implementation

For the IPCRESS project, the previous codebase needed to be homogenized and developed in such a manner as to be scalable to very large datasets. The previous version/s were memory-based and thus not suitable for use at real scale (hundreds of gigabytes or more). The IPCRESS code has been fully re-designed as a disk-based approach, as an object oriented implemention in C++. A new stitching algorithm has also been developed.

The IPCRESS approach generates what we refer to as *secure stamps* from whole documents. From stamp generation, we derive hash-like codes from sets of words. These codes are considered irreversible. The codes are generated using a sliding window of length, W_{len} , over the stamp, and the index is populated with codes associated to document ID's and code positions.

A query is similarly generated using the sliding window of length, W_{len} , over a suspicious document to generate a set of queries, $q_i \in Q$. The document ID and code position pairs are retrieved from the index for each $q_i \in Q$, and sorted by document

ID to give a set of results D. Each element, $d_i \in D$, relates to a source document and is itself a set of results, T^{d_i} . This set is composed of information related to text segments, $t_j \in T^{d_i}$, each of length W_{len} ; each element, t_j , is a pair composed of {suspicious position, source position}.

Each set, T^{d_i} , is then reduced via a first stage stitching algorithm to produce a set of runs, R^{d_i} , where each run, $r_k \in R^{d_i}$, relates to a subset, $T^{r_k} \subset T^{d_i}$, and each element of T^{r_k} is either consecutive to or overlapping the previous element. Any runs, r_k , that are less than a defined minimum run length (MRL) are discarded. Each element, r_k , is a 4-tuple consisting of {suspicious start position, suspicious length, source start position, and source length}.

A second stage stitching algorithm produces a set of text *segments*, S^{d_i} , from the set of runs R^{d_i} . The algorithm finds subsets, $R \subset R^{d_i}$, such that each element of R are all within a defined *stitch distance* (SD) of at least one other element of R in terms of both suspicious <u>and</u> source position. The size of each subset is maximized so that S^{d_i} is of minimal length. From each subset, R, a new 4-tuple is formed, $s_m \in S^{d_i}$, that gives {suspicious start position, suspicious length, source start position source length}; the start positions are given by the first element of R and the lengths are determined from the last element of R. Any segments found that are shorter than a defined minimum segment length (MSL) are discarded.

4. 1 Obfuscation Handling

We consider two initial obfuscation handling approaches based on transformations of a single query into closely related queries. The hash-like codes mentioned above are formulated such that code similarity can be indicative of data similarity, and as such the 'closeness' of any two queries can be based on binary distance approaches such as Hamming and Levenshtein.

The first approach, based on Hamming distances, generates transformed queries with a given maximum Hamming distance with relation to the original enquiry. For an original query $q_i \in Q$, of length W_{len} , this approach will generate an extra W_{len} transformations. For a Hamming distance of 1, say, this method involves an extra W_{len} look-ups for each initial query.

The second approach, based on the Levenshtein distance, similarly generates transformed queries from each original query, q_i . For a query length of W_{len} , this approach generates 2 sets of transformations T_i^0 and T_i^1 where i refers to a word position within query, q_i , and lies in the range $0 \le i < W_{len}$. This approach requires $2*W_{len}$ extra look-ups for each query. For each insertion the transformed query, T_i^n , is masked to length W_{len} for index compatibility.

4 IPCRESS vs previous PAN collections

Prior results offer up a standard to be achieved in re-implementation. The new codebase has been tested against data from PAN12 and PAN13, with modifications to the algorithm largely demonstrating slightly improved performance, as shown in the tables below:

IPCRESS raw – PAN12 data						
Test	Plagdet Score	Recall	Precision	Granularity		
02_no_obfuscation	0.9437	0.9045	0.9877	1.0008		
03_artificial_low	0.0956	0.0525	0.9942	1.0608		
04_artificial_high	0.0200	0.0118	0.9852	1.2459		
06_simulated_paraphrase	0.0992	0.0522	0.9922	1.0000		
Obfuscation handler #1 (He	Obfuscation handler #1 (Hamming)					
02_no_obfuscation	0.9358	0.9048	0.9703	1.0008		
03_artificial_low	0.1970	0.1110	0.9853	1.0178		
04_artificial_high	0.0373	0.0201	0.9577	1.0759		
06_simulated_paraphrase	0.1512	0.0825	0.9038	1.0000		
Obfuscation handler #2 (Levenshtein)						
02_no_obfuscation	0.9236	0.9057	0.9423	1.0000		
03_artificial_low	0.1888	0.1066	0.9820	1.0266		
04_artificial_high	0.0682	0.0368	0.9489	1.0535		
06_simulated_paraphrase	0.1345	0.0723	0.9572	1.0000		

IPCRESS raw – PAN13 data					
Test	Plagdet Score	Recall	Precision	Granularity	
02_no_obfuscation	0.9253	0.9273	0.9233	1.0000	
03_random_obfuscation	0.1356	0.0729	0.9675	1.0000	
04_translation_obfuscation	0.0243	0.0123	0.9865	1.0000	
05_summary_obfuscation	0.0022	0.0011	0.9959	1.0000	
Obfuscation handler #1 (Ha	ımming)				
02_no_obfuscation	0.9029	0.9289	0.8783	1.0000	
03_random_obfuscation	0.1297	0.1297	0.9120	1.0000	
04_translation_obfuscation	0.0244	0.0244	0.8953	1.0000	
05_summary_obfuscation	0.0035	0.0017	0.9807	1.0000	
Obfuscation handler #2 (Levenshtein)					
02_no_obfuscation	0.9058	0.9274	0.8853	1.0000	
03_random_obfuscation	0.2151	0.1224	0.8936	1.0000	
04_translation_obfuscation	0.0743	0.0386	0.9533	1.0000	
05_summary_obfuscation	0.0035	0.0017	0.9920	1.0000	

5 IPCRESS vs PAN 2014 and Future Work

PAN 2014 test results showed expected granularity and precision, but a surprising difference between values for recall. Investigations led to the discovery of a bug in detecting UTF-8 codes; when applied to PAN 2012 and 2013 collections, a similar lowering of values was also observed.

Test data	Plagdet	Precision	Recall	Granularity	Runtime
Corpus 2	0.28302	0.88630	0.16840	1.00000	00:00:55
Corpus 3	0.44076	0.85744	0.29661	1.00000	00:00:56

We have demonstrated that the IPCRESS code produces results comparable to, and even slightly better than, the previous implementation, and effort has been put into ensuring the implementation is suited to scaling to very large datasets. Initial attempts at handling obfuscation show some promise, but much more rigorous evaluation will be required to determine the fullest extent of impact achievable by these approaches on the hash-like codes.

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