

Development and evaluation of a 3D printing protocol to produce zolpidem-containing printlets, as compounding preparation, by the pressurized-assisted microsyringes technique

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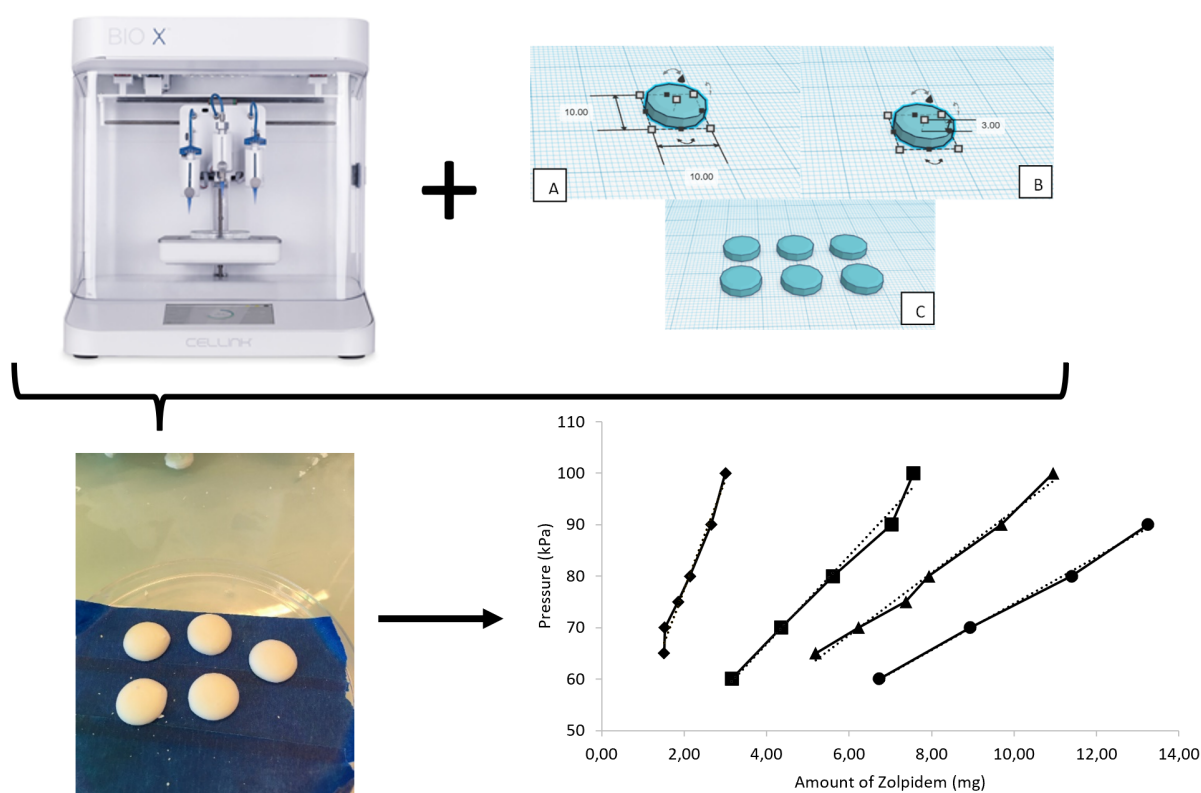
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17 Introduction

18 Insomnia is a chronic disorder with a mean prevalence ranged from 6% to 15% worldwide, with strong
19 variability between men (14%) and women (24%) [Khachatryan, 2021]. It is recognized as a global
20 health problem since an early cross-sectional survey has outlined that almost 25% of adults reported
21 poor sleep around the world, with 23.2% in the United States, 30% in France and 45.4% in China
22 [Touitou, 2007; Xiang et al., 2021]. Methods of treating insomnia disorder include nonpharmacologic
23 options such as stimulus control therapy, relaxation training, sleep restriction therapy, sleep hygiene,
24 paradoxical intention therapy [Siebern et al., 2012]. The usual pharmacologic treatment for insomnia
25 has been benzodiazepines and barbiturates. More recently, z-drugs (e.g., Zaleplon, Zolpidem and
26 Eszopiclone) were introduced in the therapeutic arsenal to maximize benefits and minimize treatment
27 damage [Poyares et al., 2005].

28 Zolpidem tartrate (N,N,6-trimethyl-2[4-methyl-phenyl]imidazole[1,2-a]pyridine-3-acetamide
29 hemitartrate) is a short-acting ($T^{1/2}$ of 2.6h) non-benzodiazepine sedative-hypnotic drug whose primary
30 indication is for sleep initiation problems [Monti et al., 2017]. The recommended initial dose is 5.0 mg
31 for women as well as elderly patients (< 65 years-old) and 10.0 mg for non-elderly men [Orimo et al.,
32 2006; Perlis et al., 2021].

33 A recent meta-analysis of randomized trial conducted by Xiang and co-workers has shown that
34 there was no significant difference in the occurrence of adverse events (e.g., headaches, tolerance,
35 rebound insomnia) after one month of Zolpidem and placebo treatment, regardless of a non-elderly
36 or elderly subgroup [Xiang et al., 2021]. However, it was clearly described that their use must be limited
37 to maximum four weeks. Indeed, it was demonstrated that long-term treatment (more than 12 weeks)
38 with Zolpidem is strongly discouraged for patients suffering from underlying mental disorders,
39 neurological diseases, medical conditions, or who have a history of substance or medication abuse
40 [Monti et al., 2017]. Moreover, psychologic issues such as rebound anxiety and daytime agitation were
41 also reported to occur with extended-duration administration of z-drugs [Scharf et al., 1994]. Such
42 concern is essential as sedative medications have potential for misuse and abuse by patients [Weaver
43 M.F., 2015]. For instance, in the United-States, prescription for Zolpidem increased five times more
44 than the incidence of diagnosed cases of insomnia [Norman et al., 2017]. Such overuse is particularly
45 hazardous for elderly as they are more susceptible to the negative consequences of Zolpidem use due
46 to their lower clearance rates and higher maximum serum concentration [Glass et al., 2005]. It is
47 obvious that prescription of these drugs in older patients is delicate, as ageing and comorbidity are
48 usually associated with polypharmacy, where elderly take multiple medicines for long periods of their
49 lives [Estrela M. et al., 2020]. This concern is all the truer as zolpidem seems to influence the evolution
50 of the state and / or pathology of polymedicated patients. For instance, a nationwide population-based
51 study performed in Taiwan on 101 719 patients, concluded that long-term treatment based on
52 Zolpidem significantly increased the risk of Parkinson's disease as well as the unfavorable evolution of

53 it [Yang et al., 2014]. Studies have demonstrated that sleep disorders are common on chronic dialysis
54 patients [Unruh et al., 2003]. Winkelmayr and co-workers has concluded that, regardless of
55 benzodiazepine or Zolpidem use, dialysis patients were at an increased risk of hip fractures
56 [Winkelmayr et al., 2007]. Zolpidem also seemed to be contraindicated in patients suffering from
57 gastroesophageal reflux as it increased the duration of esophageal acid reflux event [Gagliardi et al.,
58 2009]. Moreover, Zolpidem was found to increase the risk of mortal infection due to higher risk of
59 apnea episode and greater oxygen desaturation compared to placebo [Kripke et al., 2012].

60 Therefore, the dose of Zolpidem should be adjusted according to the gender, age, condition of
61 the patient and the presence of polypharmacy. A first attempt was to develop 10.0 mg cutting tablets
62 to be able to easily halve the dose for women and older patients [Monti et al., 2017]. However, it has
63 been demonstrated that such tablets cannot be cut with sufficient accuracy of dose, even when a
64 commercially tablets cutter is used. Indeed, research suggest that the variability may range from 50%
65 to 150% of the targeted dose [Mohiuddin, 2019]. Faced with the therapeutic limitations inherent to
66 marketed products, magistral preparations offer medical and legal alternatives to mass treatment.
67 Indeed, patient-centered precision medicines may help to curb the inconveniences and drawbacks
68 associated with mass treatments, the main one being the initiation of additional therapies, secondary
69 to the appearance of adverse effects to primary treatments (e.g., use of antiparkinsonian drugs,
70 antacids, antibiotics, anxiolytics). Therefore, compounding medicine is defined as the preparation of a
71 therapeutic product for individual patient in response to an identified need [Pharmacy Board of
72 Australia, 2015]. At the request of a prescriber, it offers the opportunity to customized dosage strength
73 on small scale, wherever is in community and hospital pharmacies. However, pharmacies are exempt
74 from GMP regulations. As a result, there is less assurance of consistent quality for compounded
75 preparation than there is for FDA-approved drugs [Gudeman et al., 2013]. For instance, between 1990
76 and 2006, several studies conducted by the FDA has shown that 33% of compounding preparations
77 failed to quality testing. Most of the failure were related to poor content uniformity, ranging from 59
78 % to 89 % [Gudeman et al., 2013; Mohiuddin, 2019]. Such issue is all the greater in the case of complex
79 compounding (up to 268%), involving micro-doses (<25 mg) of active ingredients (e.g., Zolpidem)
80 [Falconer and Steadman, 2017].

81 The main sources of dosage error in compound preparations having been identified as being
82 associated with errors of dose calculations or measurement, the use of incorrect formulae, physical
83 stability or wrong method of compounding [Minghetti et al., 2014], the use of a semi-automatic
84 technique, with standardized protocol, should be valuable. In this way, 3D printing should be
85 advantageously implemented as an alternative to standard compounding procedures.

86 3D printing techniques are based on digitally controlled deposit materials layer-by-layer to
87 create freeform geometry. Among the different technologies available to create personalized dosage
88 forms, it seems that most of them are not suitable to be used for compounding preparations [Goole

89 and Amighi, 2016]. Indeed, the drop-on-powder deposition technique is more designed to produce
90 high drug-loaded dosage forms without the flexibility to easily modulate the dose [Zhou et al., 2014].
91 Fused-deposition modeling (FDM) is based on the use of a drug-loaded filaments which is a semi-
92 finished product that does not correspond to the definition of raw materials used in compounding
93 preparations [Henry et al., 2021]. Moreover, except through intense development, it is restricted to
94 the use of thermostable drugs [Korpela et al., 2012]. Stereolithography is based on the use of
95 polymerizable resin, the modulation of which in terms of formulation requires skills that go well
96 beyond the know-how of a compounding pharmacist [Melchels et al., 2010]. Therefore, the pressure-
97 assisted microsyringes (PAM) method was selected as it allows the tridimensional printing, and so the
98 customization of the dose, by easily extruding a viscous semi-liquid material, called “slurry”, through a
99 syringe at room temperature [Chia and Wu, 2015]. The slurry being made from raw powders, the aim
100 of this study will be to provide standardized protocol to the pharmacists about the mixing, the
101 preparation, and the printing of the slurry. Zolpidem will be used as a model drug due to its evident
102 advantage in personalized medicine.

103

104 **Material & methods**

105 Materials

106

107 All the excipients used in this work were of pharmaceutical grade. Lactose 450 mesh (DMV
108 International®, Netherlands) was used as diluent; Microcrystalline cellulose (Avicel® PH101, FMC
109 International, USA) was used as binder; Croscarmellose sodium (Ac-Di-Sol®, FMC, USA) was used as
110 superdisintegrant; Hypromellose (Methocel® E15, Colorcon, France) was used as hydrophilic agent.
111 Zolpidem (Fagron, USA) was used as a model drug due to its potential of use in personalized medicine.

112

113 Particles size distribution

114 The particle size distributions were obtained by laser diffraction using the Aero S dry powder dispersion
115 system (Mastersizer® 3000, Malvern Instruments, Malvern, UK). Approximately 50 mg of powder were
116 deposited on the tray of the Aero S dry dispersion plate and the vibration rate was fixed at 100%. The
117 powder was dispersed at a shear pressure of 4 bars and the refractive index was fixed at 1.47, 1.53,
118 1.60 for cellulose derivatives, lactose, and Zolpidem, respectively. Size distributions were reported and
119 characterized using the median volume diameter “Dv50”.

120

121 Preparation of the slurry

122

123 The composition of the slurry allowed its extrusion through the syringes that were used during the 3D
124 printing process (**Table 1**). 20 g of powders were manually mixed for 5 minutes using a mortar and a
125 pestle. Additional experiments were performed on a Turbula® mixer Type T2C (WAB, Switzerland) and
126 a planetary mixer KM80 (Kenwood, UK) to evaluate the efficacy and the feasibility of these methods in

127 a compounding pharmacy. In those cases, 100 g of powder mixture were used due to the volume
128 constraint required by both methods. Then, the adequate amount of water was poured into the
129 mixture to get the slurry. After homogenization, it was transferred into a 3 mL syringe which was
130 especially designed for the 3D printer.

131 Table 1: Quantitative composition of Zolpidem-loaded printlets

Material	Composition (w/w %)
Zolpidem	2.60
Lactose 450 mesh	34.60
Avicel® PH101	13.60
Methocel® E15	2.20
Croscarmellose Na	1.00
Water	46.00
TOTAL	100.00

132
133 Quantification was done after each step of mixing to evaluate the homogeneity of the drug in the
134 mixtures.

135 Design of printlets

136
137 Tinkercad™ was used as the computer aided design (CAD) program (**Figure 1**). It is a free, online 3D
138 modeling program that runs in a web browser known for its simplicity and ease of use. Since it became
139 available in 2011, it has become a popular platform for creating models for 3D printing as well as an
140 entry-level introduction to constructive solid geometry in schools.

141 Tinkercad™ uses a simplified constructive solid geometry method of constructing models. A design is
142 made up of primitive shapes that are either "solid" or "hole". Combining solids and holes together,
143 new shapes can be created, which in turn can be assigned the property of solid or hole. In addition to
144 the standard library of primitive shapes, the user can create custom shape generators using a built-
145 in JavaScript editor.

146 Tinkercad™ exports models in STL or OBJ formats, ready for 3D printing.

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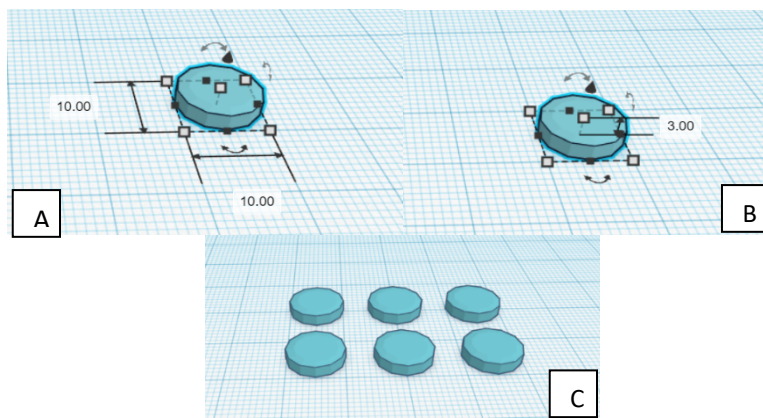


Figure 1: Printlet designed with Tinkercad™. A and B described the diameter and the height of the printlet, respectively. C represents a sample of 6 printlets

171 The standard cylindrical shape was used to develop the printlets because only this shape made it
172 possible to modulate only 2 parameters (e.g., height and diameter) to assess the influence of the
173 design on the adaptation of the final dose of Zolpidem in the printlets.

174 3D printing

175
176 Pressure-assisted microsyringes 3D printing was performed by the BIO X bioprinter from Cellink (USA)
177 **(Figure 2).**



Figure 2: BIO X bioprinter from Cellink (USA)

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The temperature of the print bed may be controlled from 4°C to 60°C. The mixtures were extruded to be 3D printed on standard petri dishes. The software was Heart OSTM integrated system which may support .STL as well as Gcode file types.

Standard Pneumatic Printhead was used with standard 3 mL syringes which could be heated from 30°C to 60°C ± 0.5 C. The free flow plastic dispense tips were characterized by a diameter from 18G to 25G and a height of 32mm Cellink (USA) **(Table 2).**

190 **Table 2:** Characteristics of the free flow plastic dispense tips provided by Cellink as they are referred
191 in the default parameters of the 3D printer

Color	Gauge	Inner diameter (mm)
Green	18	0.84
Pink	20	0.58
Purple	21	0.51
Blue	22	0.41
Orange	23	0.33
Red	25	0.25

192
193 The pneumatic pressure and the printing speed were ranged from 30 to 190 kPa and from 8 to 24
194 mm/s, respectively. The layer height was set from 0.1 mm to 0.6 mm. Six printlets were printed at
195 once at each cycle of printing.

196
197 Post processing drying protocol

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199 The printlets were immediately dried after printing in a Venti-Line convection drying oven (VWR, USA)
200 at 40°C for 6 hours.

201
202 Thermogravimetric analysis

203
204 The residual water present in the printlets was assessed by thermogravimetric analysis (TGA) on a TGA
205 Q500 (TA Instrument, UK). Samples of 5-10 mg were loaded into a platinum pan and were heated from
206 30°C to 150°C with a heating rate of 5°C/min under nitrogen gas (flow rate: 60ml/min). The percentage
207 weight loss was recorded as a function of the temperature in triplicate (n=3).

208 Quantification

209
210 To extract Zolpidem from printlets, they were dispersed in HCl 0,1N under vortex until complete
211 solubilization. Then, the solution was sonicated for 10min to be finally filtered with PES 0,45µm filter.
212 Quantification was carried out spectrophotometrically with a Nanophotometer® NP80 (Implen®,
213 Germany) at a wavelength of 295 nm.

214
215 Hardness, friability & disintegration

216 The hardness of the printlets was evaluated on a Kraemer EL Elektronik Tablet Hardness Tester
217 (Darmstadt, Germany) (n=10). Friability evaluation was performed on an Erweka abrasion tester for 5
218 minutes at 100 rpm (Erweka, Langen, Germany) (n=10). Disintegration essays were done on a Sotax
219 DT3 (Basel, Switzerland) (n=6).

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223 Dissolution tests

224 A Distek 2100C USP 29 dissolution apparatus (Distek Inc., North Brunswick, NJ, USA), according to type
225 I (basket) method, was used for the dissolution test ($37.0 \pm 0.2^\circ\text{C}$; 100 rpm). Release testing was carried
226 out in 500 mL of HCl 0.01 M (pH 2.0). After 45 minutes, 10 mL of sample were withdrawn. The amount
227 of Zolpidem released was detected spectrophotometrically with a Nanophotometer[®] NP80 (Implen[®],
228 Germany) at a wavelength of 295 nm. The percentages of drug release were measured at preselected
229 time intervals and averaged (n=3).

230 Stability studies

231 Thanks to the USP guidelines for magistral preparations, compounding preparations must be stable for
232 at least one month at ambient temperature [USP, Edition 42]. The stability of Zolpidem in the printlets
233 was assessed for four weeks at $20 \pm 4^\circ\text{C}$. The printlets were in a traditional pillbox, containing silica and
234 stored away from sunlight (n=6).

235

236 **Results and discussion**

237

238 **Preparation of the slurry**

239 Compounding preparations are made from raw products, directly by the pharmacist, in a pharmacy
240 open to the public or in a hospital. The 3D printing method using the PAM technique was selected in
241 this work because it meets this criterion. To achieve it by 3D printing, the pharmacist must first make
242 his slurry so that it can be easily extruded by the 3D printer. The entire preparation process should be
243 reproducible, robust, and relatively simple. The importance of producing stable mixtures to ensure the
244 uniformity of dosage units with respect to the active ingredient, particularly low-dose potent active
245 ingredients, cannot be overstated.

246 Indeed, Zolpidem was present in a low dose in the mixture and the median diameter of the particles
247 was $34.4 \mu\text{m}$. Several solutions can be envisaged to include a small amount of micronized powder in a
248 mixture. The homogeneity has been shown to be more dependent on the size distribution of the other
249 components than on the shape of the particles. Consequently, the use of excipients having an average
250 diameter greater than $50 \mu\text{m}$ is usually preferred to avoid the presence and persistence of potential
251 agglomerates [Swaminathan and Kildsig, 2002]. However, the production of an extrudable slurry calls
252 for the same requirements as a granulation process to bond the particles together and to avoid
253 demixing during the process. Therefore, 450 mesh lactose was selected ($d_{0.5} = 27 \mu\text{m}$). In order to
254 allow the printlets disintegrating quickly and releasing 80% of Zolpidem within 45 minutes, Methocel[®]
255 E15 ($d_{0.5} = 83 \mu\text{m}$) and croscarmellose sodium ($d_{0.5} = 40 \mu\text{m}$) were added to increase hydrophilicity
256 and the disintegration capacity of the printlets, respectively. Avicel[®] PH101 ($d_{0.5} = 48 \mu\text{m}$) was used as
257 the binder. Then, 3 mixing techniques, easily implantable in a compounding pharmacy, were
258 evaluated: manual mixing with mortar and pestle; Turbula[®] and the use of a planetary mixer. It has

259 previously been shown that in mixtures of micronized drug and carrier, the pattern of change in the
260 coefficient variation with mixing time was attributed to the following sequence — distribution of
261 agglomerates of micronized drug during convective mixing; breakdown of agglomerates during shear
262 mixing; and the distribution of the primary particles during the diffusive mixing stage. Consequently,
263 when the shear forces are sufficiently high, it is possible to homogenize small quantities of powder
264 (e.g., Zolpidem) in a mixture of excipients characterized by an average particle diameter lower than 50
265 μm [Swaminathan and Kildsig, 2002].

266 The quantification of Zolpidem was carried out on the mixture of dry powders and in the slurry, at 5
267 different places (n=5). An average of the percentage deviation from the theoretical value was
268 calculated (Table 3).

269

270 **Table 3:** Mean percentages of deviation between the theoretical and experimental amount of
271 Zolpidem in the mixture of dry powders and in the slurry (n=5)

	Turbula® (%)	Planetary Mixer (%)	Mortar & pestle (%)
Powders	1.10 \pm 0.22	1.80 \pm 0.41	1.12 \pm 0.28
Slurry	1.30 \pm 1.00	1.16 \pm 0.07	1.04 \pm 0.03

272

273 As it can be observed in **Table 3**, the three evaluated mixing methods provided deviations lower than
274 2% (w/w). This demonstrated that Zolpidem was homogeneous, both in the powder and in the slurry,
275 before the start of printing. It can also be observed that the pharmacist can, as in any standard
276 compounding preparation, carry out his own mixing manually. Therefore, for the rest of this work, all
277 the mixtures were carried out using a mortar and pestle prior to printing.

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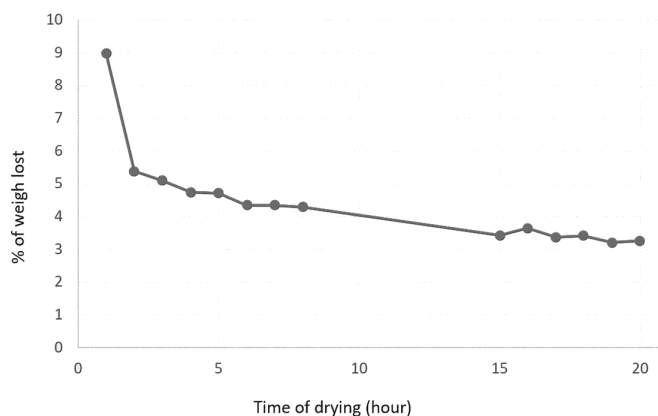
279 **Post-processing drying protocol**

280 TGA were performed on the mixture of the raw excipients to evaluate their residual moisture
281 percentage. Before the experiment, the excipients were stored at room temperature as they should
282 be in conventional compounding pharmacy. It was shown that the dried excipients themselves
283 presented a percentage of residual moisture of 4.30 \pm 0.02% (n=9) before the addition of water to
284 make the slurry.

285 The PAM technique being a 3D printing process based on the use of solvent (e.g., water), a post-
286 treatment is necessary to eliminate the residues. Indeed, in the case of water, excessive humidity could
287 increase the risk of microbial contamination. Therefore, before any development involving this 3D
288 printing technique, it is necessary to set the temperature and the drying time to remove the residual
289 solvents from the printlets.

290 Theoretically, the higher the drying temperature, the shorter the time required to remove the solvents.

291 It was observed that beyond 40°C (e.g., 50 & 60°C), the mass of the raw mixture of the excipients
292 decreased by more than 2% w/w after 4 hours of drying. Consequently, it was decided to set the drying
293 temperature at 40°C to properly manage the speed of drying and the stability of the material. In
294 compounding pharmacies, lab-scale ventilated ovens can be easily acquired at low cost.
295 The residual moisture of the printlets was evaluated by TGA (**Figure 3**).



296
297 **Figure 3:** Profile of weigh loss of the printlets when placed in an oven at 37°C
298

299 As it can be seen, the percentage of weight loss reached 4.30 % (w/w) after 6 hours. Then, a plateau
300 was observed. In order to not increase the time of drying, which allow the deliverance of the
301 compounding preparation within a day, it was decided to fix the time of drying at 6 hours after printing.
302

303 **3D printing**

304 *Selection of the printer*

305 The BIO X bioprinter was initially designed to be used in tissue engineering, drug discovery, toxicity
306 research, and enabling researchers to do more in 3D cell culture faster. This type of printer was
307 selected because its extrusion system is based on pneumatic dispensing system.

308 Compared to a pneumatic dispensing system where slurry extrusion is delayed due to the compressed
309 volume of the air, a mechanical dispensing system offers better control of the deposition through
310 direct extrusion of the material. In addition, systems based on the use of an endless screw allow the
311 printing of slurry of higher viscosity and therefore offer a wider range of potentially extrudable material
312 [Murphy, 2014]. Despite the apparent disadvantages of using a printer based on a pneumatic
313 dispensing system, it was decided to use the Bio-X because its simpler drive-mechanic component
314 allows easier use and maintenance (e.g., cleaning). Indeed, whatever the pharmaceutical
315 manufacturing process envisaged, it is essential to consider both the precision, robustness, and
316 reproducibility of the method but also the ease of maintenance. It seemed obvious that this last
317 criterion was all the truer for a compounding pharmacy application. Therefore, the use of a printer
318 with a pneumatic dispensing system has been preferred to other types of printers. In addition, as it
319 will be shown, the technology used did not reduce the expected performance from the printing.

320

321 *Design of the printlets*

322 It was previously demonstrated that it was possible to modulate the dose of a drug within a dosage
323 form, from the same formulation, by only modulating its volume by 3D printing [Pietrzak et al., 2015].
324 Therefore, one of the first choices that should be made was to select the appropriate shape of the
325 printlets. The easiest would have been to print spheres because only one parameter could have been
326 modified (e.g., diameter) to modulate the amount of Zolpidem inside the printlets. However, the PAM
327 technique does not allow producing this type of shape because a sufficiently wide base onto the build
328 platform is essential to avoid the spreading of the slurry during printing. The cylindrical shape was
329 preferred to an oblong shape because only its diameter and its height determine its final volume. In
330 order not to reduce the patient's compliance due to too large printlets, a diameter of 1 cm was
331 arbitrarily fixed to only must assess the influence of their height on the final dose of drug.

332

333 However, each technique and each printer have their own characteristics which may influence the
334 production methodology. For instance, the model of 3D printer used in this work can only change the
335 height of the printed layers depending on the internal diameter of the tips used during the printing.
336 Consequently, when designing the dosage form in Tinkercad™, it was essential to fix the height of the
337 model in such a way that it was possible to achieve an integer number of layers. Otherwise, it would
338 have been impossible to properly control the volume and, therefore, the dose of Zolpidem inside the
339 printlets. Indeed, a 20 gauges tip has an internal diameter of 0.058 cm (**Table 2**). If 3 or 4 layers must
340 be printed to assess their influence on the final dose of drug inside the printlets, it was necessary to
341 set the height of the dosage form at 0.174 cm (3x0.058 cm) and 0.232 cm (4x0.058 cm), respectively.
342 Indeed, if the height of the model had been set at 0.200 cm, then the number of layers would have
343 been 3.45 (0.200 x 0.058 cm). As the printer could not print a layer subunit, the printlets would have
344 only counted 3 layers which leads to an error of 15% only due to an inadequate design. Moreover,
345 while the height of the printlets would have been increased from 0.174 cm (e.g., 3 layers) to 0.200 mm
346 (e.g., 3.45 layers), no notable difference would have been observed on both batches of printlets.

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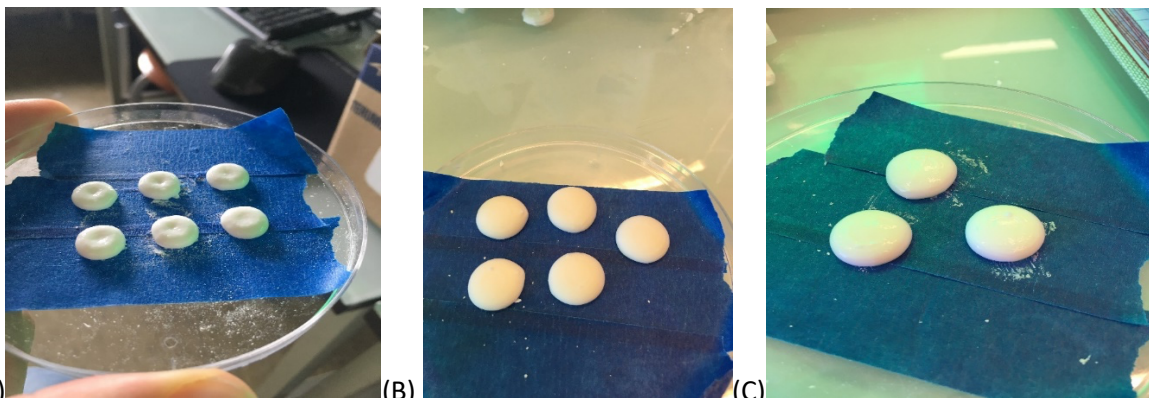
348 *Printability*

349 As already explained, one of the fundamental parameters of printing was to determine the type of tips
350 to use according to their internal diameter and the ability of printing.

351 By using tips with gauges lower than 20, the printlets produced presented imperfections due to a
352 collapse of the form during printing (**Figure 4**). It was clearly visualized that this phenomenon was due
353 to too much dispensing of slurry during printing. Indeed, with this printer model, even by reducing the
354 pressure, it was not possible to reduce the extrusion speed and therefore the extruded volume.

355

356



357 (A) (B) (C)
358 **Figure 4:** Printlets obtained from (A) 18G; (B) 20G and (C) 22G (Pressure=80 kPa; Speed = 15mm/s;
359 Grid= Rectilinear; Infill density= 100%)

360

361 In contrast, a diameter lower than 0.041 cm (e.g., 22G) did not allow the extrusion of the slurry within
362 the pressure limits proposed by the printer. Therefore, preliminary tests were carried out with 20 and
363 22G tips. As can be seen in **Figure 4**, the resolution of the printlets (lower spreading of the slurry) was
364 visually higher from the 20G tips which were used for the rest of the work.

365

366 Once the design and the choice of tips to use were fixed, only a few parameters could still be
367 modulated in the 3D printer. These were technical parameters which could be considered as difficult
368 to assimilate and control by a compounding pharmacist. Therefore, it was not found judicious to
369 evaluate their potential influence on the modulation of the desired dose of Zolpidem in the printlets.
370 Because after several unsuccessful trials, no objective relationship between the viscosity of the slurry
371 and the ability of its impression was demonstrated, a trial-and-error-based methodology was applied
372 to determine the pressure, the speed and the microarchitecture of printing which was needed to allow
373 the printability of our slurry using 20G tips.

374 The aim was to propose a model where a minimum of parameters should be modulated to obtain the
375 desired dose of drug. By proposing this goal, the following printing parameters were initially applied
376 to evaluate the influence of the volume (e.g., number of layers), of the mass of dry printlets and of the
377 infill density on the modulation of the amount of Zolpidem inside the printlets. The pressure and the
378 speed of printing were set at 80 kPa and 15mm/s, respectively. The microarchitecture of the printlets
379 was based on rectilinear grid.

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386 *Influence of the volume and dried mass of the printlets*

387 As the diameter of the cylinder was fixed, its volume only depended on the number of printed layers
388 **(Equation 1)**. Depending on the number of layers, the volume of the cylinder was automatically
389 calculated in Tinkercad™.

390 Equation 1 : $V = \pi r^2 h$

391 *V: volume of the cylinder*

392 *r = radius of the cylinder*

393 *h= height of the cylinder*

394

395 Therefore, five different models were evaluated to assess whether it was possible to modulate the
396 amount of Zolpidem in the printlets according to their volume or their dried mass **(Table 4)**.

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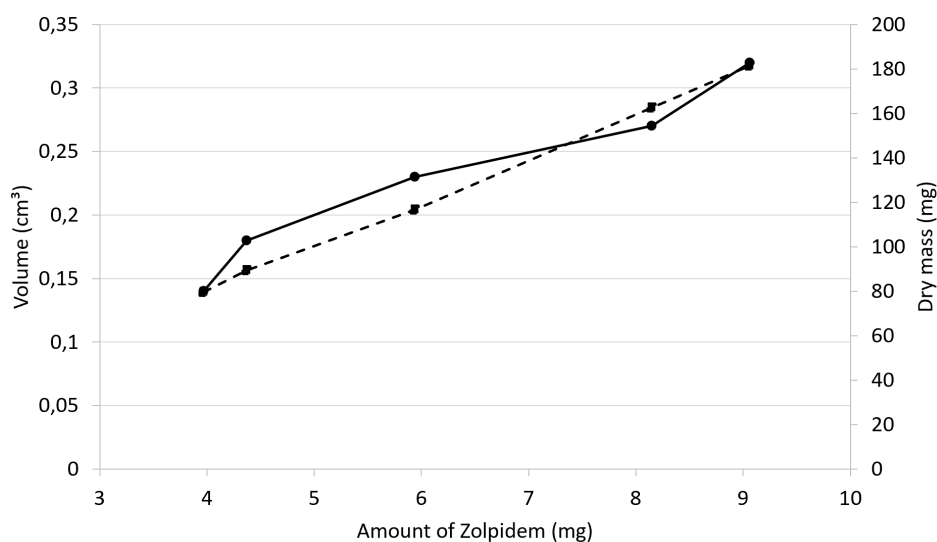
398 **Table 4:** Amount of Zolpidem according to the number of layers, the volume, and the dry mass of the
399 printlets

Number of layers	Volume (cm ³)	Dry mass (mg)	Amount of Zolpidem (mg)
3	0.14	79.66	3.97
4	0.18	89.40	4.37
5	0.23	116.55	5.94
6	0.27	162.53	8.15
7	0.32	181.30	9.06

400

401 As it can be observed in **Figure 5**, the relationship between the amount of Zolpidem in the printlets
402 and their theoretical volume was not linear ($R^2 = 0.9587$). In contrast, the amount of Zolpidem in the
403 printlets linearly evolved with their dry mass ($R^2 = 0.999$).

404



405

406 **Figure 5:** Amount of Zolpidem according to the volume (cm³) (●) and dry mass (mg) (■) of printlets
407 when modulating the number of layers

408

409 These observations meant that the printer did not extrude an amount of slurry linearly proportional
410 to the volume calculated by the drawing program. This was certainly due to the well-known effect of
411 the die angle on the extrusion. Indeed, the final volume of an extruded form is usually greater than
412 that initially evaluated, especially when the material is characterized by elastic properties such as
413 cellulose derivatives (e.g. Avicel[®], Methocel[®] and Croscarmellose) [Liang, 1995].

414 However, it was also shown that the amount of drug was homogeneously dispersed in the slurry and
415 did not undergo any untangling during printing as it was proportional to the dry mass of the printlets.

416

417 Up to now, it was possible to conclude that the volume alone, which was associated with the number
418 of layers, did not allow controlling the amount of Zolpidem in the printlets. It was necessary to deeper
419 investigate the influence of the diameter and the infill on the capacity of our method to modulate the
420 dose of drug in a controlled and anticipated manner. It was also interesting to assess whether the
421 amount of Zolpidem also changed with the mass of wet printlets. In this case, this parameter, which
422 could be evaluated immediately after printing, could be used as quality control parameter by the
423 pharmacists.

424

425 *Influence of the diameter*

426 In order to evaluate the influence of the diameter on the ability of the method to properly modulate
427 the amount of Zolpidem in the printlets, new models were designed. They were characterized by
428 different diameters with a height fixed at 0.174 cm, which corresponded to 3 layers. According to
429 **Equation 1**, Thinkercad[™] automatically calculated the theoretical volume of the printlets (**Table 5 &**
430 **Figure 6**).

431

432 **Table 5:** Amount of Zolpidem according to the diameters, the volume, and the dry mass of the printlets

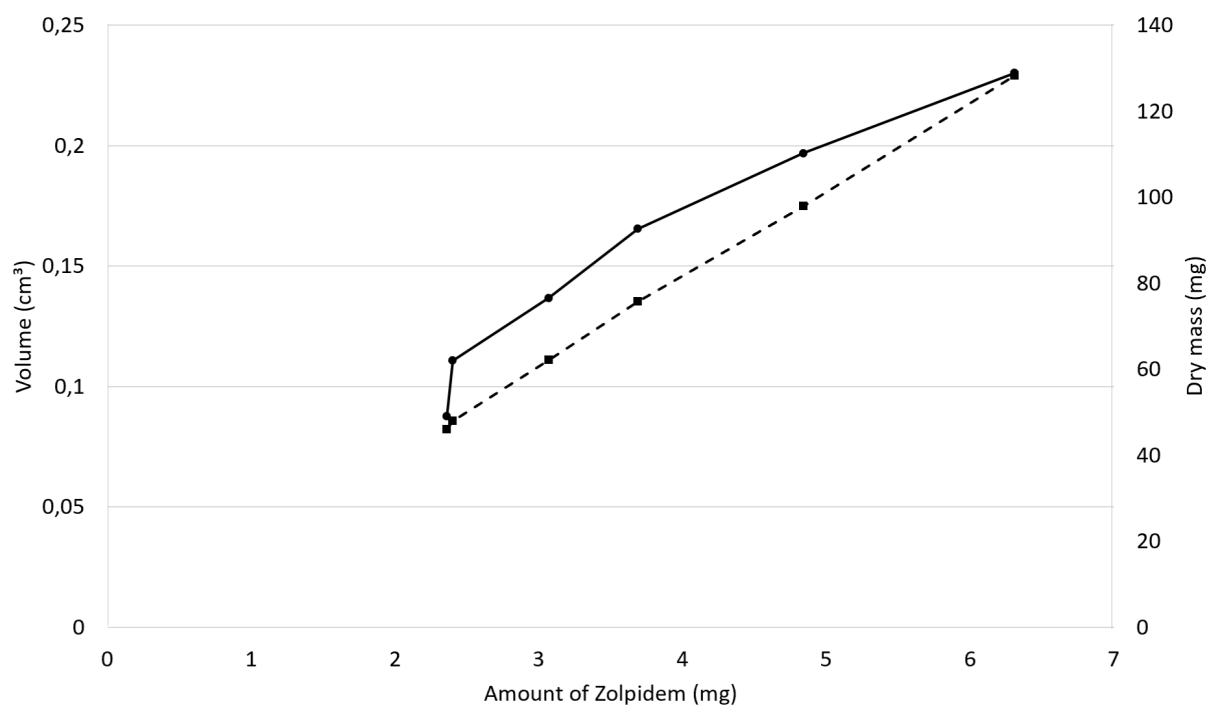
Diameter (cm)	Volume (cm ³)	Dry mass (mg)	Amount of Zolpidem (mg)
0.8	0.09	45.95	2.36
0.9	0.11	48.00	2.40
1.0	0.14	62.19	3.07
1.1	0.16	75.67	3.69
1.2	0.20	97.96	4.84
1.3	0.23	128.24	6.31

433

434 The main difference with the previous study was that the diameter could be finely modulated during
435 the design of the printlets which allowed a hypothetic better control of the amount of Zolpidem.
436 Indeed, the number of printable layers was limited to an unfractionated number which drastically
437 reduced to flexibility of modulating the dose of the drug in the printlets.

438 However, as it could be observed in **Figure 6**, the relationship between the amount of drug in the
439 printlets and their theoretical volume was still not linear ($R^2 = 0.9554$). In contrast, the amount of

440 Zolpidem in the printlets linearly evolved with their dry mass ($R^2 = 0.994$). Other functions, such as
441 logarithmic or exponential, were also evaluated to find a better relationship but none of them has
442 shown a better correlation.
443



444
445 **Figure 6:** Amount of Zolpidem according to the volume (cm³) (●) and dry mass (mg) (■) of printlets when
446 modulating their diameter

447
448 Nevertheless, it seemed that it was still due to a physical phenomenon inherent to the extrusion as,
449 even by carrying out very small variations of diameter (max. 1 mm), the technique demonstrated its
450 robustness since the amount of Zolpidem in the printlets has evolved linearly with their dry mass.

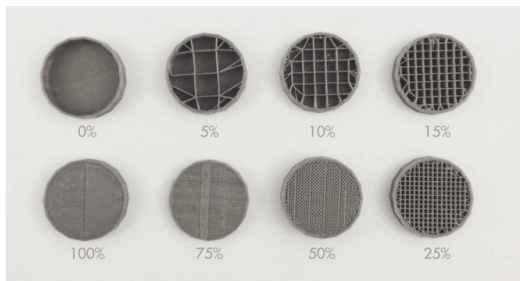
451
452 Therefore, it seemed that a single parameter directly impacting the theoretical volume of the printlets
453 (e.g., height & diameter) did not allow obtaining a significant relationship with the amount of Zolpidem
454 in the dosage forms. However, it was desirable because it would allow the pharmacist modulating the
455 doses of the drug very easily according to the need of their patients.

456 It was decided to fix the diameter at 1 cm and the height of the printlets to evaluate the influence of
457 the infill on the modulation of the amount of Zolpidem in the printlets.

458
459 *Influence of the infill percentage*

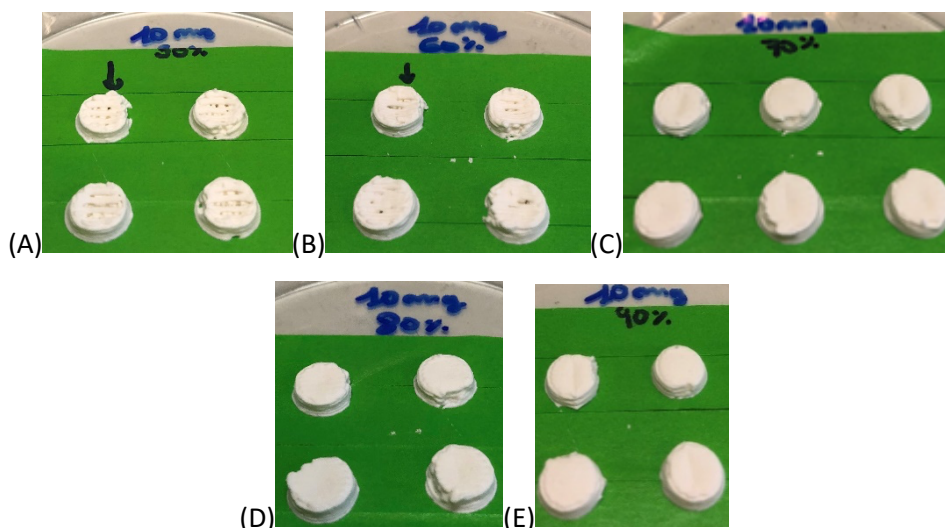
460 As stated by Mohanty et al., the infill density is the parameter that defines the amount of material
461 filled into the object and subsequently relates to the porosity of the 3D printed structure [Mohanty et
462 al., 2015]. The infill density can range from 0% to 100%, where 0% results in a completely hollow object

463 and 100% infill results in a completely solid object (**Figure 7**). The infill pattern has also shown to be an
464 important parameter to consider as it greatly influenced the strength of the 3D printed object [Abbas
465 et al., 2017]. However, this importance has mainly been demonstrated in FDM 3D printing process and
466 seems less critical in PAM. Therefore, a rectilinear pattern was used because it responded to the low
467 resolution inherent this 3D printing technique [Chia and Wu, 2015].



468
469 **Figure 7:** Examples of infill percentage from 0% to 100%

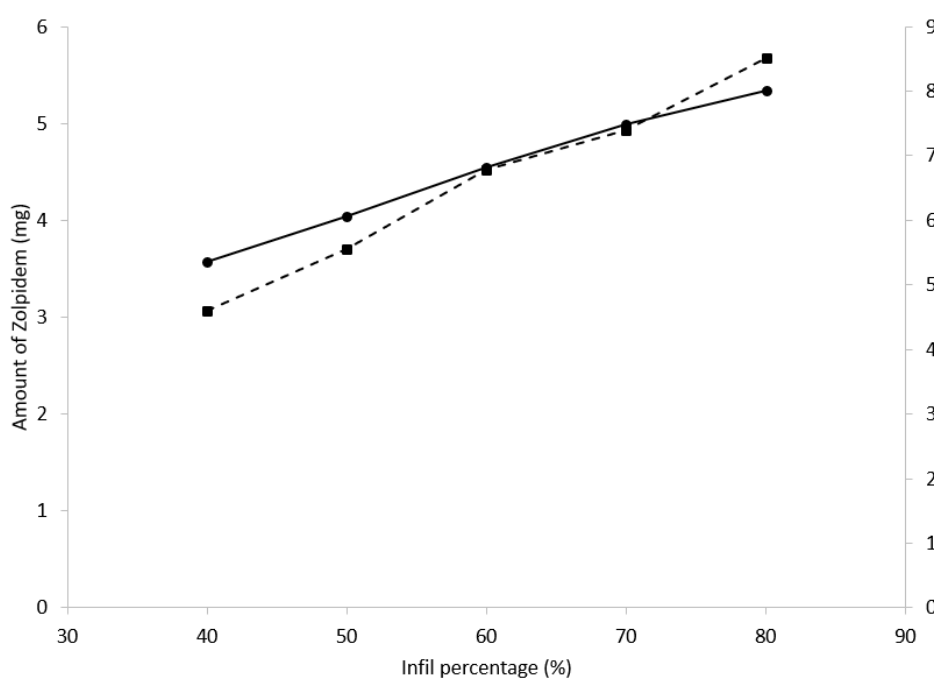
470
471 The influence of the infill percentage was evaluated from 2 heights of printlets, corresponding to 4 and
472 5 printing layers to better assess the relevance of the observed results. Under 40% of infill, it was
473 visually observed that the resolution of the printlets was erratic during the printing. Indeed, the slurry
474 was discontinuously extruded during the filling of the dosage forms.
475 Above 80% of infill, no more noticeable difference could be observed. The amount of Zolpidem in the
476 printlets peaked from this percentage, demonstrating the limits of the PAM technique when fine
477 resolutions are requested (**Figure 8**).



478
479
480
481
482 **Figure 8:** Zolpidem-loaded printlets with (A) 50%, (B) 60%, (C) 70%, (D) 80% and (E) 90% of infill (4
483 layers, 1 cm diameter)

484

485 At both cylinder heights studied, the amount of Zolpidem in the printlets linearly evolved with the
486 percentage of infill, reaching correlation coefficients equal to 0.9957 and 0.9921, from 4 and 5 layers,
487 respectively (**Figure 9**).



488
489 **Figure 9:** Amount of Zolpidem according to the infill percentage from 4 layers (●) and 5 layers (■)
490 printlets

491
492 However, due to the relative low resolution at percentages of infill outside the 40-80% range, it seemed
493 difficult to finely modulate the amount of Zolpidem only by varying the percentage of infill. In addition,
494 this linearity was obtained by varying the percentage every 10%. Modulating it individually would also
495 present resolution concerns.

496 As previously observed, it seemed that the PAM 3D printing technique offered enough precision to be
497 able to produce personalized medicine. However, modulating a single printlet design parameter (e.g.,
498 diameter, height, infill) did not seem to allow targeting a precise dose of Zolpidem inside the printlets.
499 Indeed, due to the multi-factorial aspect of the technique, adapting the dose of drug required the
500 simultaneous adaptation of several parameters.

501 Therefore, it was proposed to develop standard protocols for each desired dose of Zolpidem. They will
502 be designated and encoded in the print file. Then, the pharmacist will simply have to select the right
503 protocol. Such procedure corresponds to a magistral therapeutic form in which the pharmacist follows
504 a previously validated procedure.

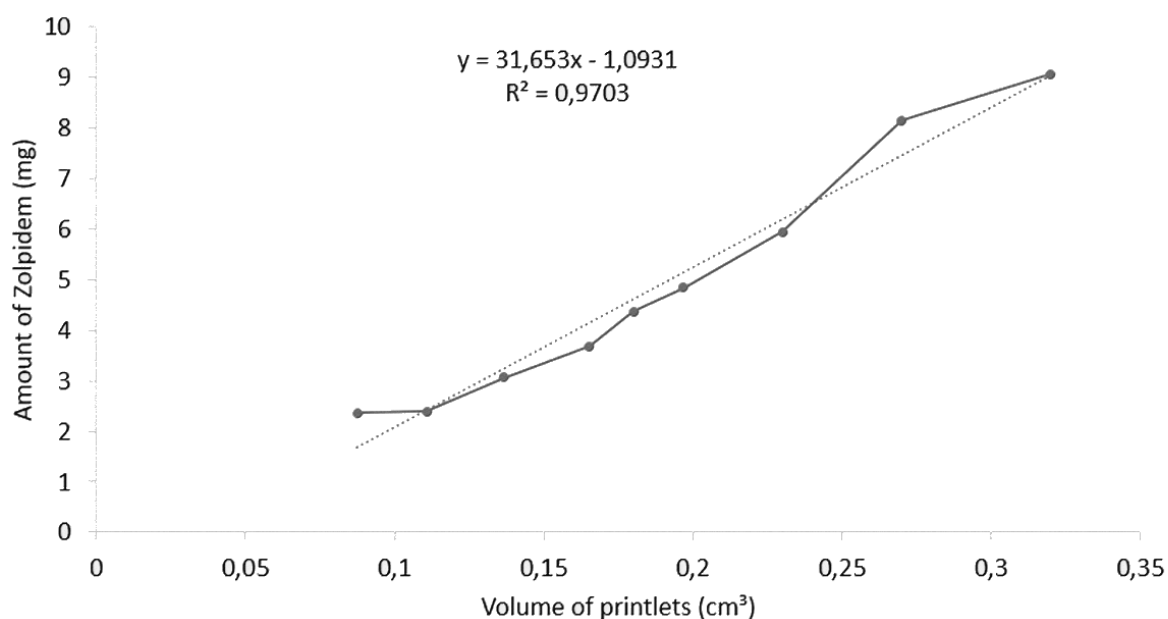
505

506 **Development of the protocols**

507 *Determination of the dimension of the printlets*

508 Zolpidem-containing immediate-release tablets are mainly dosed at 10 mg in the market.

509 Usually, to progressively reduce the dose of drug, prescribers recommend the tablets to be cut in half
 510 to obtain 5.0 mg of drug. However, it has previously been demonstrated that such a practice may
 511 generates a variability ranged from 50% to 150% of the targeted dose [Mohiuddin, 2019].
 512 In addition, if the breakability of the tablets into 2 parts assumed to be identical in dose of drug may
 513 easily be envisaged, a finer adaptation, and more specifically, a more gradual reduction in the doses of
 514 Zolpidem is impossible to obtain without compounding preparation.
 515 Therefore, it was decided to develop and validate printing protocols for printlets containing 10.0, 7.5,
 516 5.0 and 2.5 mg of Zolpidem to offer the possibility for the patient to get a very progressive decrease of
 517 his daily intake of sedative.
 518 By combining all the data previously obtained from the evaluation of the influence of the printlet's
 519 diameter and their number of layers to their volume, and therefore to the loaded amount of Zolpidem
 520 (Tables 6 & Figure 10), it was possible to get a correlation allowing to theoretically fix the height and
 521 diameter to get the adequate volume of printlets, and therefore to reach the targeted doses of drug
 522 (Figure 10). It was decided to set the percentage of infill at 70% because it generated the smallest
 523 deviation dose of Zolpidem according to the number of layers (Figure 9) and 60% did not offer visually
 524 homogeneous printlets (Figure 8B).



525
 526 **Figure 10:** Relationship between the volume of printlets and the amount of Zolpidem using different
 527 diameters and numbers of layers

528 As modulating the height of the printlets could only be done as a function of the number of layers
 529 which must be a whole number, Tinkercad™ made it possible to finely modulate the diameter to obtain
 530 the desired volumes, while setting the number of layers to print (Table 6).

531

532 **Table 6:** Fixed dimensions of printlets to get 10.0, 7.5, 5.0 and 2.5 mg of Zolpidem

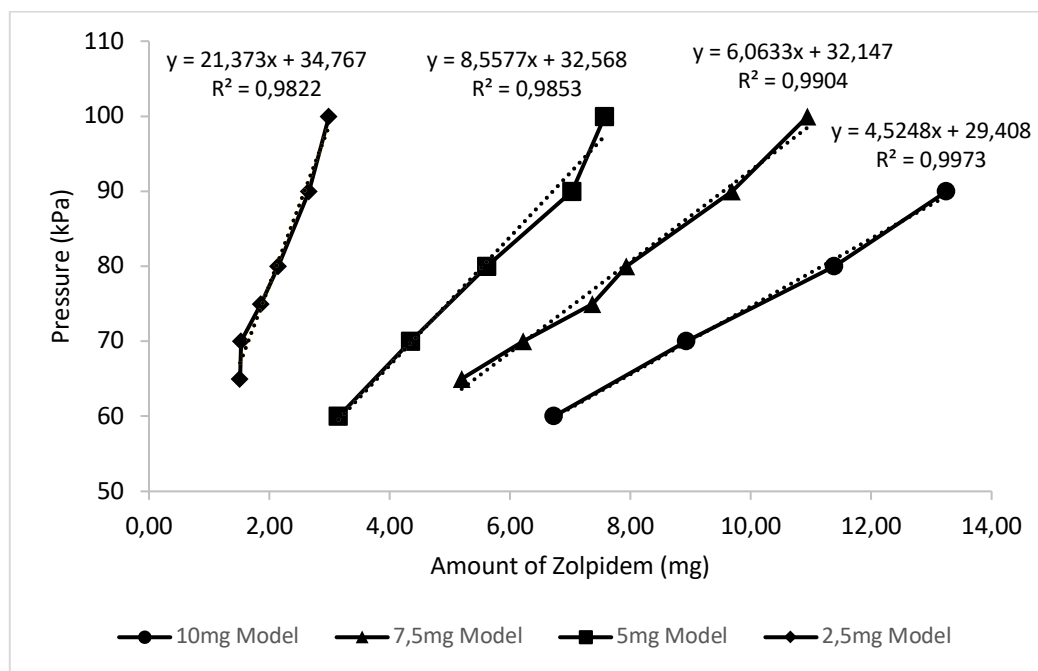
Amount of Zolpidem (mg)	Volume of printlets (cm ³)	Number of layers	Diameter (cm)	Height (cm)
10.0	0.350	6	1.13	0.348
7.5	0.271	6	0.99	0.348
5.0	0.192	4	0.84	0.232
2.5	0.113	3	0.64	0.174

533
 534 In order to develop an efficient printing procedure for the pharmacist, it was necessary to set the
 535 volume of the printlets to obtain the desired dose of Zolpidem. The second step was to evaluate the
 536 printing parameters that would make it possible. Several optimized print settings have already been
 537 set such as the gauge of the tips, the speed of printing and the microarchitecture of the printlets which
 538 were fixed at 20G, 15 mm/s and based on rectilinear grid, respectively.

539 As previously observed, the printing pressure allowing the slurry to be extruded through the tips
 540 strongly influenced the amount of material that was deposited on the building platform, which greatly
 541 influenced the final dose of Zolpidem in the printlets. Therefore, this parameter has been specifically
 542 evaluated.

543 *Determination of the pressure of printing*

544 Several trials were performed at 60kPa; 70kPa; 80kPa; 90kPa and 100kPa from the previously fixed
 545 models (**Figure 11**).



546
 547 **Figure 11:** Relationships between the amount of Zolpidem (mg) in the printlets and the pressure of
 548 printing (kPa)

549 As it may be observed in **Figure 11**, the amount of Zolpidem linearly increased with an increase of the
550 pressure of printing. Moreover, the slopes of the regression curves showed that small variations of it
551 more strongly influenced printlets characterized by larger volumes. It meant that, compared to smaller
552 models, a similar variation of pressure lead to a higher variation of Zolpidem's content in larger models.
553 At similar printing speed, the printer took more time to print dosage forms with higher volume which
554 generated more variation in the amount of extruded slurry for low pressure variations.

555 To perform quality control evaluations, the printing pressures were fixed at 88 kPa, 75 kPa, 77 kPa, 75
556 kPa from the 2.5 mg, 5.0 mg, 7.5 mg and 10.0 mg models, respectively.

557

558 **Quality control**

559 The dimensions of the printlets and the printing protocols having been fixed for each model, it was
560 interesting to propose a simple and rapid method to extemporaneously evaluate whether the
561 adequate dose of Zolpidem has been obtained, using a non-destructive method.

562 *Correlation between dry mass and wet mass*

563 Up to now, the amount of Zolpidem in the printlets has always been evaluated by grinding and
564 dissolving the dried dosage forms. If this procedure could be easily performed during the development
565 phase, the pharmacist must be able to directly assess the quality of the printlets in their wet form.
566 Moreover, as spectrophotometric quantification is almost impracticable in a compounding pharmacy,
567 a method of evaluation based on the uniformity of weight has been proposed.

568 It has already been observed that the mass of the wet printlets linearly evolved with that of the dry
569 printlets ($R^2 = 0.9975$). As it was also characterized by a linear relationship ($R^2 = 0.9974$), it was also
570 possible to assess the amount of Zolpidem by evaluating the mass of wet printlets ($y = 0,0264x +$
571 $0,2047$), which allow the pharmacist proceeding a quality control of his magistral preparation
572 immediately after printing (**Table 7**).

573 **Table 7:** Amount of Zolpidem (mg) in the dry printlets corresponding to the weight of wet printlets
574 (mg) and percentage of deviation from the targeted doses (mg) (n=1)

Targeted dose of Zolpidem (mg)	Amount of Zolpidem in the dry printlets (mg)	Deviation (%)	Weight of wet printlets (mg)
2.5	2.66	+6.4%	92.25
5.0	5.35	+7.0%	200.47
7.5	7.37	-1.7%	264.75
10.0	9.00	-10%	336.25

575 Moreover, all printlets responded to the Zolpidem-containing tablets monograph of the US
576 pharmacopoeia Edition 42 which mentioned that such tablets should contain at least 90.0% (w/w) and
577 a maximum of 110.0% (w/w) of drug [USP, Edition 42]. However, as deviations from the targeted doses
578 were observed, it seemed mandatory to evaluate the effect of inter-day variation on the accuracy of
579 the method.

580 *Accuracy of the method*

581 According to ISO 5725-1, the general term accuracy is used to describe the closeness of a measurement
582 (e.g., effective dose of Zolpidem in the printlets) to the true value (e.g., theoretical targeted value of
583 Zolpidem in the printlets) [ISO 5725-1, 1994]. Accuracy may be evaluated to assess the ability of the
584 printing method to provide the desired dose of Zolpidem from different slurry preparations and
585 printing sequences at different days, as it will be done in compounding pharmacy.

586 As the relationship between the amount of Zolpidem in the printlets and their wet mass was shown to
587 be linear, 18 printlets of each model were printed to determine the tolerance range of their wet mass
588 to obtain a dose Zolpidem that met to USP42 criteria (90-110%) (**Table 8**).

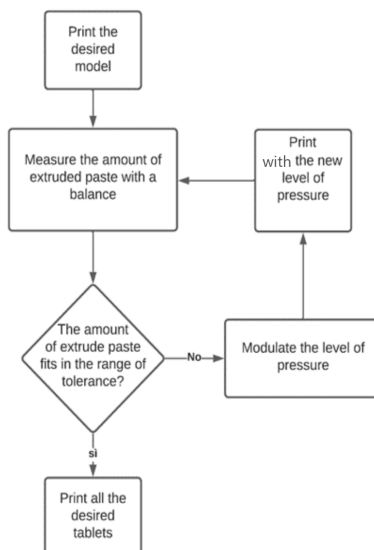
589 **Table 8:** Weight of wet printlets (mg) to be achieved to meet the tolerance range of Zolpidem (mg)
590 that met to USP42 criteria (90-110%) for each model

Model (mg)	Range of acceptability of wet printlets (mg)	Tolerance range of Zolpidem that met to USP42 criteria (90-110%)
2.5	77.72 – 96.27	2.25 -2.75
5.0	161.19 – 198.28	4.50 – 5.5
7.5	244.65 – 300.29	6.75 – 8.25
10.0	328.11 – 402.30	9.00 – 11.00

591
592 If the wet mass of printlets was out of these ranges for each model, it was found that the amount of
593 drug in the printlets did not response to the USP42 criteria of acceptability of Zolpidem-containing
594 tablets. It was previously observed that deviations from the targeted doses may appear, probably due
595 to the inherent variability of the rheological properties of the slurry as well as of the printer itself.

596 Therefore, depending on the experimenter or the temperature and humidity conditions when
597 preparing the slurry, its extrusion may lead to the printing of wet printlets whose mass is outside the
598 acceptance range. Thanks to this printing method, the pharmacist can directly ensure the quality of his
599 printlets. To do this, a decision tree was designed (**Figure 12**).

600



601

602 **Figure 12:** Decisional tree to ensure a quality control of Zolpidem-containing printlets

603 The pharmacist can print a single unit form according to the desired dose of Zolpidem in the printlets
 604 and, therefore, the selected model (2.5; 5.0, 7.5 or 10 mg). If the wet mass of this single printlet is
 605 within its tolerance range (**Table 8**), the pharmacist can launch a complete printing protocol of 6
 606 printlets. If its wet mass is outside the tolerance range, the printing pressure may easily be increased
 607 or decreased to increase or decrease the wet mass of the printlet, respectively.

608 For instance, at Day 1, single printlet was printed using the 2.5 mg model at 88 kPa. Its weight after
 609 printing did not fit with the tolerance range (e.g., 65 mg instead of minimum 77.72 mg). The printing
 610 pressure was increased to 105kPa to get a wet printlet characterized by a weight of 85 mg which was
 611 within the range of tolerance. Then, a batch of 6 printlets at 105kPa was produced as the weight of the
 612 wet printlets was in the tolerance range (e.g., 87.83 mg) as well as the amount of Zolpidem in the
 613 printlets (**Table 9**). In contrast, at Day 2, single printlet was printed using the 7.5 mg model at 75kPa.
 614 Its weight after printing did not fit with the tolerance range (e.g., 321mg instead of maximum 300.29
 615 mg). The printing pressure was decreased to 70kPa to get a wet printlet characterized by a weight of
 616 273mg which was within the range of tolerance. Therefore, a batch of 6 printlets at 70kPa was
 617 produced as the weight of the wet printlets was in the tolerance range (e.g., 288.66 mg) as well as the
 618 amount of Zolpidem in the printlets (**Table 9**). By following this decision tree, 6 printlets were printed,
 619 for each model, at 2 different days (Day 1 & Day 2), with different slurries (**Table 9**).

620

621

622

623 **Table 9:** Weight of wet printlets and amount of Zolpidem in the printlets at 2 different days and slurries
 624 (n=6)

Day 1	2.5 mg model	5.0 mg model	7.5 mg model	10.0 mg model
Weight of wet printlets (mg)	87.83 ± 0.02	175.00 ± 1.02	279.50 ± 0.54	365.83 ± 0.73
Amount of Zolpidem (mg)	2.49 ± 0.06	5.17 ± 0.12	7.92 ± 0.47	9.45 ± 0.86
Day 2				
Weight of wet printlets (mg)	85.83 ± 0.05	175.66 ± 0.86	288.66 ± 1.32	373.33 ± 0.99
Amount of Zolpidem (mg)	2.49 ± 0.07	4.90 ± 0.13	7.88 ± 0.28	10.38 ± 0.42

625
 626 The developed protocol allows printing 24 printlets (6 printlets x 4 models) with the same slurry.
 627 Moreover, using 2 different slurries, the decisional tree was shown to succeed in immediately
 628 providing reliable information on the quality control of the printlets. The pharmacist can
 629 extemporaneously modulate the printing pressure, on the printer itself, to get the targeted dose of
 630 Zolpidem.

631 Indeed, the accuracy of the method was found to be acceptable as all printlets responded to the
 632 Zolpidem-containing tablets monograph of the US pharmacopoeia Edition 42 which mentioned that
 633 such tablets should contain at least 90.0% (w/w) and a maximum of 110.0% (w/w) of drug [USP, Edition
 634 42], regardless of the day of production.

635 *Hardness, friability & disintegration*

636 After the friability test, the average weight lost was found to be lower than 1.00% (w/w) as it only
 637 reached 0.67 ± 0.02% (w/w). Their hardness was 10.79 ± 0.13 N which meant that the variability was
 638 only 1.20%. Their disintegration time was lower than 15 minutes in HCl 0.1N.

639 *Dissolution test*

640 For slowly dissolving or poorly water-soluble drugs (e.g., Zolpidem), 85% (w/w) dissolution is
 641 recommended by the FDA after 30, 45 or 60 minutes to ensure the quality of the product [FDA, 1997].
 642 It was shown that the printlets responded to this recommendation as the entire amount of Zolpidem
 643 was released from them after 45 minutes in 500 mL of HCl 0.01 M, regardless of its dose in the printlets
 644 (pH 2.0; 37.0 ± 0.2°C; basket method; 100 rpm; n=3)

645 *Stability studies*

646 The stability study was carried out on printlets containing 10 mg of Zolpidem (n=6).
 647 The percentage of the drug in the printlets reached 100.2 ± 0.07, 99.93 ± 0.03, 99.95 ± 0.04 and 99.33
 648 ± 0.3 % (w/w) after one, 2, 3 and 4 weeks, respectively. Therefore, it could be concluded that the
 649 Zolpidem-loaded printlets responded to the USP guidelines for magistral preparations which

650 mentioned that compounding preparations must be stable for at least one month at ambient
651 temperature [USP, Edition 42].

652

653 **Conclusion**

654 A printing technique, based on the use of pressurized-assisted microsyringes method, has been
655 developed. The methodology, as well as the protocols, met the current definition of a compounding
656 preparation and can therefore be used, within the legal framework, by the compounding pharmacist.

657 In contrast to the other 3D printing methods, including that based on fused-deposition modeling, the
658 PAM technique avoids cross-contamination because each syringe only contains one formulation. It
659 can, unlike a printing nozzle, be disposable. Moreover, the developed protocols demonstrated the
660 accuracy of the method.

661 Using Zolpidem as a model of drug, a decision tree was developed for four models. It allowed the
662 compounding pharmacist carrying out a quality control on the printlets immediately after printing.

663 It has been demonstrated that this methodology made it possible to obtain printlets that responded
664 to the Zolpidem-containing tablets monograph of the US pharmacopoeia Edition 42. It is essential to
665 remember that these standards have been enacted for tablets industrially produced. The
666 compounding preparations proposed in this work therefore have the same criteria of requirements as
667 a commercial form.

668

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