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Visual and instrumental coverage error of two dental shade guides: an in vivo study

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Abstract

Objectives This study aims to evaluate in vivo the color agreement between natural teeth and dental shade guides by means of visual and instrumental coverage error (CE) index.

Materials and methods The color of the middle third of 735 incisors was visually determined by two evaluators using the Vita Classical (VC) and Vita 3D Master (V3DM) shade guides. The color match between the natural tooth and the shade tab was rated as poor (P), good (G), or optimum (O) by each observer. CIE color coordinates of the target teeth and shade tabs of VC and V3DM were instrumentally measured using a clinical spectrophotometer. Visual (CE_V) and instrumental (CE_I) coverage error indexes were computed using CIELAB and CIEDE2000 metrics for both shade guides. For CE_V calculation, only the concordant inter-observer determination on tooth shade rated as O–O or O–G was used. The results were evaluated using perceptibility (PT, $\Delta E_{ab}^* = 1.2$, $\Delta E_{00} = 0.8$) and acceptability (AT, $\Delta E_{ab}^* = 2.7$, $\Delta E_{00} = 1.8$) color thresholds for dentistry.

Results VC and V3DM exhibited CE_I (2.5, 3.2, and 3.2, 2.7 CIELAB units; 1.9, 2.3, and 2.8, 2.4 CIEDE2000 units, respectively, for O–O and O–G match) and CE_V (4.7, 4.8, and 4.1, 4.6 CIELAB units; 3.3, 3.4, and 3.4, 3.6 CIEDE2000 units, respectively, for O–O and O–G match) values greater than 50:50% AT for both color difference formulas. CE_I contributes more than 50% (53.2–82.4% range) to the CE_V value. This contribution depends on the shade guide used and the quality of the visual rating.

Conclusions The evaluated shade guides exhibited visual coverage errors above acceptability thresholds, largely due to the contribution of the instrumental coverage error to the visual coverage error.

Clinical relevance It necessary to further improve commercially available dental shade guides to facilitate achievement of satisfactory esthetics results in clinical practice.

Keywords Coverage error · Shade guides · Color differences · Shade matching

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Introduction

Tooth color determination is a key point for the success of highly esthetic restorations and clinically is done by visual determination as well as instrumental measurements. Visual color determination is performed comparing the target tooth with the tabs of commercial shade guides. Nevertheless, this procedure is unreliable, mostly due to its high subjectivity and the ability of multiple factors to influence its performance, such as the experience of the clinician, illumination, or angle of observation [1–3]. In spite of its numerous limitations, visual shade matching still remains the most widely used in clinical practice.

On the other hand, clinical color measurement devices (such as colorimeters, spectrometers, spectrophotometers, and digital image analysis instruments) are free of observer subjectivity, thus improving the reproducibility of the shade matching procedure [4–7]. Dental color-measuring instruments provide colorimetric tooth data or report the "color" as the nominal reference shade of one or several commercial clinical shade guides [8]. However, several studies have pointed out some important limitations and inconsistencies of these tooth color-measuring devices [9–11].

Previous studies have shown that available clinical shade guides do not cover the whole color range of natural teeth [12–14]. This limitation, coupled with the remarkable capacity of the human eye to detect small color differences [15, 16], allows for easy recognition of color adjustment errors in clinical restorations, which leads to esthetic failures [2] and consequent loss of clinical productivity and efficiency.

In dentistry, the coverage error index (CE) describes the mean of minimal color differences (ΔE_{min}) between the specimens of one set (e.g., a shade guide) and each specimen of another set (e.g., natural teeth) [17]. The smaller the coverage error value of a shade guide, the higher the chances of a successful shade matching with that specific guide. Despite its great practicality as a tool for assessing the clinical usefulness of a shade guide, there are only a limited number of research studies available that have quantified the coverage error of commercially available shade guides in large population samples [18, 19].

The coverage error of dental shade guides can be calculated from visual (CE_V) or instrumental (CE_I) color determinations, having both methods some shortcomings. In CE_V determinations, it is difficult to isolate the inherent error of the instrument (color guide CE) from the error attributable to the observer's subjectivity [20]. On the other hand, when CE is calculated instrumentally, the shade matching device selects the best shade tab for the target tooth according to its internally stored data set

of dental shade guides [20]. The present study has calculated the CE of two of the most widely used clinical shade guides, combining visual and instrumental color determination methods, in an attempt to determine the contribution of inherent guide error (CE_I) to the overall visual error.

Currently, the CIEL*a*b* color space and its associated total color differences formulas CIELAB (ΔE_{ab}^*) and CIEDE2000 (ΔE_{00}) [21] are widely implemented in dental research [22]. Since the coverage error index is defined as the mean of color differences, to provide a clinical perspective on the interpretation of its values, this index can be compared with 50:50% color difference visual thresholds for dentistry, a well-established quality control tools [23] recommended within ISO/TR 28,642:2016 [17].

The aim of this study was to evaluate color agreement, expressed through instrumental and visual coverage error index, between color of in vivo human teeth and dental shade guides. The null hypotheses tested were that (i) instrumental and visual coverage error index of dental shade guides does not exceed 50:50% perceptibility color difference threshold (PT) for dentistry and (ii) instrumental and visual coverage error index of dental shade guides does not exceed 50:50% acceptability color difference threshold (AT) for dentistry.

Materials and methods

Participants

A total of 293 volunteers of Spanish Caucasian population were screened for a transversal observational study to determine visually and measure instrumentally the color of their four upper incisors (target teeth). Finally, 195 participants were selected and included in the study according to the following criteria:

> *Inclusion criteria:* adults aged between 18 and 70, whose target teeth were healthy, without restorations and correctly aligned
> *Exclusion criteria:* dental restorations, stains/pigmentations, or any alteration in size and position of target teeth

The age of participants ranged from 18 to 66 (mean age of 29.1 years), with 73.8% of the sample in the range of 18–30 years. The gender distribution was 59.5% female and 40.5% male. The final sample of this study was formed by a total of 735 incisors (380 central incisors and 355 lateral incisors).

All participants received printed information sheets regarding the aims and scope of the study and signed an informed consent form before being included in the study. The experimental protocol followed the guidelines of the

Declaration of Helsinki and was approved by the University Ethics Committee (REF: 829/CEIH/2019). In addition, all participants brushed their teeth before the color evaluation, using the same toothbrush model and toothpaste.

Color evaluation

Visual determination

Two dental clinicians, with more than 5 years of experience, performed visual color determinations. Initially, evaluators were screened for color deficiency using the Ishihara test (Kanehara & Co, Ltd, Tokyo, Japan). Both evaluators were qualified with superior color discrimination level according to the test for color discrimination competency in dentistry described within ISO/TR 28,642:2016 [17].

Visual determinations were performed inside a laboratory, with neutral gray walls and in absence of natural light. Visual shade matching was carried out separate and consecutively by each evaluator, being each examiner blinded to the shade color selected by the other. Shade matching was performed using a standardized simulated D65 light source (Smile Lite, No. 6500, Smile Line, Switzerland) and two commercially available shade guides: VITA classical (VC) and VITA 3D-MASTER BG (V3DM) (VITA Zahnfabrik, BadSackingen, Germany). Both evaluators selected from each shade guide the tab whose central area best matched to the color of central area of the middle third of the vestibular surface of each target tooth. The sequence of the shade tab pickup was based on manufacturer's instructions of each shade guide. In addition, the degree of the shade match between the target tooth and each shade tab was categorized by each evaluator independently according to three levels: poor (P), when the color of the selected shade tab was not considered as an acceptable match to the target tooth; good (G), when the color of the shade tab was not identical to that of the target tooth but the matching was considered acceptable; optimum (O), when the color of the shade tab was considered identical to that of the target tooth. Visual judgements were performed according to the 2-min rule, to avoid the impact of dental dehydration on in vivo dental color [24]. In addition, participants were required to avoid any contact of the tongue with the target teeth, in order to prevent color changes due to dental rehydration [25].

Color measurement

Three short-term color measurements were performed individually by each evaluator using the VITA Easyshade® Advance 4.0 spectrophotometer (EAS) (VITA Zahnfabrik, BadSackingen, Germany). The instrument was calibrated before each measurement, and sterile infection control shields were used. Spectrophotometric measurements were made by placing the tip of the device in contact and perpendicularly to the middle third of the vestibular surface of each target tooth. For each measurement, the EAS reported its best match for VC and V3DM guides as well as the chromatic coordinates CIE L*a*b* of the measured target tooth.

The color of the shade tabs from both shade guides was instrumentally measured using the EAS under the same experimental conditions as described for the target teeth.

Coverage error index

Color differences between each target tooth and each shade tab from both shade guides were computed using the CIELAB (ΔE_{ab}^*) and CIEDE2000 (ΔE_{00}) [21] total color difference formulas:

$$\Delta E_{ab}^* = \sqrt{\left(L_1^* - L_2^*\right)^2 + \left(a_1^* - a_2^*\right)^2 + \left(b_1^* - b_2^*\right)^2}$$

$$\Delta E_{00} = \left[\left(\frac{\Delta L'}{k_L S_L}\right)^2 + \left(\frac{\Delta C'}{k_C S_C}\right)^2 + \left(\frac{\Delta H'}{k_H S_H}\right)^2 + R_T \left(\frac{\Delta C'}{k_C S_C}\right)\left(\frac{\Delta H'}{k_H S_H}\right)\right]^{\frac{1}{2}}$$

The visual coverage error index (CE_V) was calculated using CE index formula [17] as the average of the ΔE between each target tooth and its corresponding best matching shade tab as selected by the evaluators:

$$CE = \sum \frac{\Delta E_{min}}{n}$$

where ΔE was ΔE_{ab}^* or ΔE_{00} according to the color difference formula used; $CE_V^{'}$ and $CE_V^{'}$ then correspond to use of ΔE_{ab}^* and ΔE_{00}, respectively (Fig. 1).

The CE_V was computed only for concordant inter-observer visual determinations that were rated either as optimum match by both evaluators (O–O), or one evaluator rated it as optimum, while the other rated it as a good match (O–G). This criterion naturally infers on the value of n used for CE_V determination: pairs classified by the two evaluators as optimum match (O–O) ($n = 12$ for VC and $n = 25$ for V3DM) and pairs classified as optimum match by one evaluator and good match by the other (O–G) ($n = 83$ for VC and $n = 58$ for V3DM).

In addition, the associated instrumental coverage error (CE_I) was calculated for each shade guide. However, in this case, for CE_I computation, it was considered the average of the minimum ΔE obtained after comparing each selected target tooth with all the shade tabs (the best instrumental matching shade tab).

The 50:50% perceptibility (PT) and acceptability (AT) thresholds for color difference in dentistry described on literature (PT, $\Delta E_{ab}^* = 1.2$ (CI, 0.5–1.9), $\Delta E_{00} = 0.8$ (CI, 0.3–1.3); AT, $\Delta E_{ab}^* = 2.7$ (CI, 2.0–3.4), $\Delta E_{00} = 1.8$ (CI, 1.2–2.4)) [26] were used to interpret the results [17].
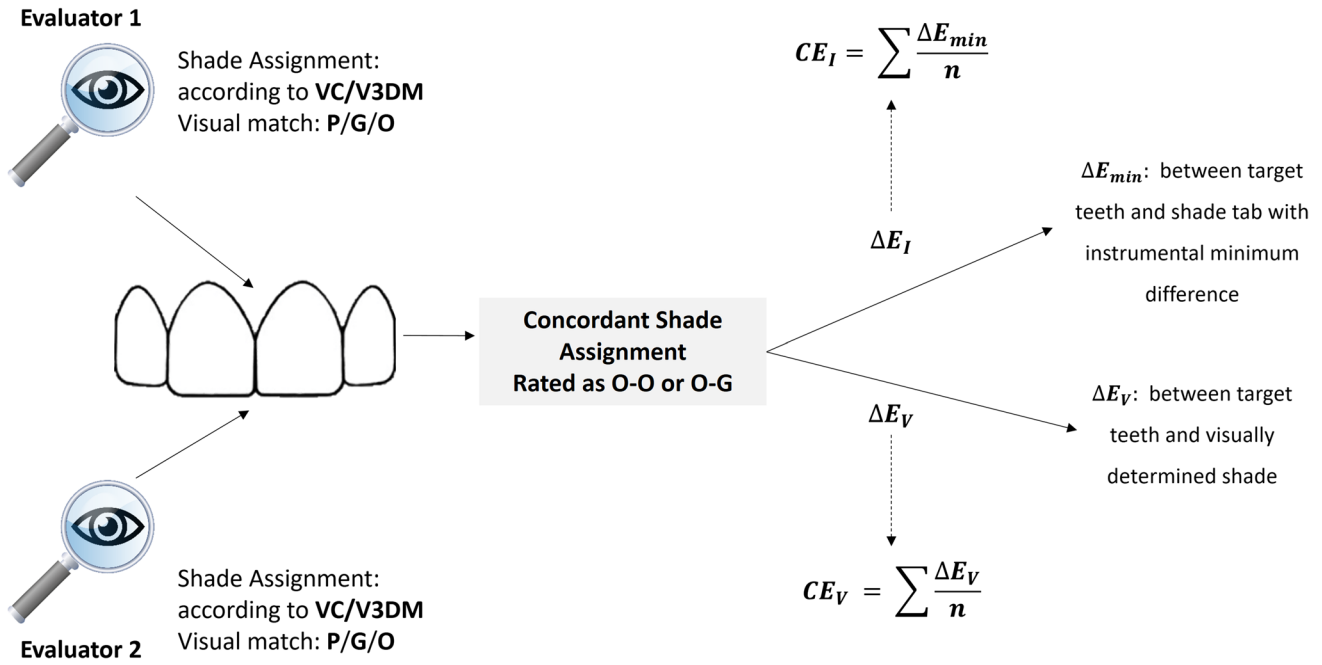
Evaluator 1

Shade Assignment:
according to **VC/V3DM**
Visual match: **P/G/O**

$$CE_I = \sum \frac{\Delta E_{min}}{n}$$

ΔE_{min}: between target
teeth and shade tab with
instrumental minimum
difference

ΔE_I

**Concordant Shade
Assignment
Rated as O-O or O-G**

ΔE_V

ΔE_V: between target
teeth and visually
determined shade

Shade Assignment:
according to **VC/V3DM**
Visual match: **P/G/O**

Evaluator 2

$$CE_V = \sum \frac{\Delta E_V}{n}$$

Fig. 1 Flowchart for CE$_V$ and CE$_I$ computing

Results

Figure 2 shows the frequency of shades determined by the evaluators and determined by the EAS, for both VC and V3DM.

For both shade guides, lighter shades were the most selected, with similar trend for visual determinations and EAS measurements. Thus, A1 (26.8%), A2 (21.6%), B1 (15.0%), and B2 (11.7%) were the most frequent shade tabs matched for VC, which correspond to the 75.1% of the total sample. For V3DM, shade tabs 2M2 (22.4%) and 2M1 (18.1%), followed by 1M1 (12.5%) and 1M2 (12.0%) and 2L1.5 (9.7%), were the most selected, which represent the 74.6% of the total sample.
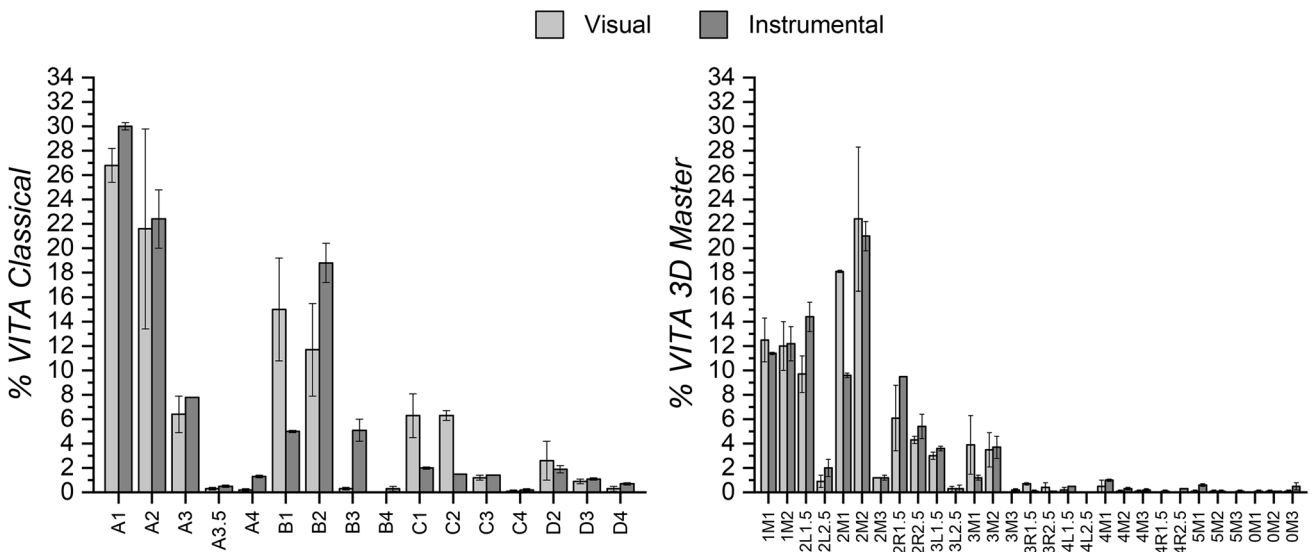

Fig. 2 Mean frequency and SD of color shade tab distribution visually determined and instrumentally measured according to VITA Classical guide (left) and VITA 3D Master guide (right)

Shade tabs B4 and C4 were the least frequent for VC (0.2%), while 4L2.5 shade tab was not chosen in any case.

Regarding the distribution of the total shade matches according to the match degree (P, G, and O), a similar trend was found for VC and V3DM. The 69.4% and 71.0% of visual determinations were rated as G, 18.1% and 18.9% as O, and P was the least frequent, corresponding to only 12.5% and 10.1% of the matched pairs, respectively.

For each shade guide, the mean frequencies of O–O and O–G pairs of teeth shades with shade agreement between both evaluators were calculated (Table 1). From the total visual determinations performed by the evaluators, only 4.4% for VC and a 10.4% for V3DM were classified as optimum by both evaluators. A 34.6% of the visual determinations (Table 1) were classified either as O–O or O–G, for both guides.

CE_V^*, CE_I^*, CE_V', and CE_I' values of the shade-teeth pairs rated by both evaluators as O–O and/or O–G are included in Table 1. CE values were similar for both shade guides but slightly higher when using ΔE_{ab}^*. The values obtained for the CE_V for the pairs with O–O rating were similar to the values obtained for those matching pairs rated as O–G, with a maximum difference of 0.5 units registered for V3DM when using ΔE_{ab}^*. In general, all the CE values obtained were higher for pairs with O–G matches, which highlights that the O–O match was better objectively. The two shade guides included in this study exhibited visual and instrumental coverage error index values greater than 50:50% AT for both color difference formulas used, and only VC exhibited CE_I^* smaller than 50:50% AT when using ΔE_{ab}^* and only when O–O rated matching pairs were considered. For both clinical shade guides and color difference formulas, the CE_V was higher than CE_I. The CE_I/CE_V percentages are also shown in Table 1.

Discussion

It has been shown that the color range and distribution of human teeth are not adequately reflected by nowadays commercially available shade guides [27]. Coverage error index, which represents the mean value for the minimal color

differences between specimens of different sets [17], is a useful parameter to compare color ranges and distribution of shade guides and human teeth [12]. The present study explores visual (CE_V) and instrumental (CE_I) coverage error of two of the most frequently shade guides used in dentistry (VC and V3DM) on a sample of 735 incisors belonging to 195 volunteers.

A successful dental esthetic restoration involves a total integration of the restorative material with the adjacent natural dental structures. The analysis of color difference findings using visual thresholds greatly supplements the interpretation of research and clinical outcomes. To perceive a color difference and whether that color difference is acceptable is of great importance. Thus, color differences should be evaluated through comparisons with 50:50% perceptibility (PT) and 50:50% acceptability (AT) thresholds [23].

A study [28] evaluated the color of the middle third of 1064 teeth in 133 human subjects and reported that the ΔE_{ab}^* CE for VC shade guide was 4.1 units. Other authors reported even higher ΔE_{ab}^* CEs for VC (7.2 units) and for V3DM (8.4 units), in an Indian population using digital photography [29]. Both studies utilized different color-measuring devices in different populations, but the results revealed that the CEs of commercially available shade guide exceeded by far the corresponding acceptability threshold.

A recent study [20] assessed the coverage error and the coverage error percentage for VC and V3DM calculated as the color difference between each tooth region of the participant and matched shade of the shade guide. For VC shade guide, the reported coverage error was 3.0 ± 1.2 ΔE_{00} units and 3.3 ± 1.3 ΔE_{ab}^* units, while for the V3DM guide, the coverage error was 2.5 ± 1.3 ΔE_{00} units and 2.9 ± 1.5 ΔE_{ab}^* units. The authors concluded that the use of these shade guides may cause inaccurate shade determination due to their high coverage error values.

In our study, visual determinations and instrumental color measurements were made under standardized conditions of illumination and observation/measurement geometry, thus avoiding the possible implications that these factors can have, as pointed out by other authors [30]. In addition, all visual determinations were done using the exact same shade guides, and only one spectrophotometer was used, in order

Table 1 CE_V and CE_I mean values and standard deviations (SD) of pairs with shade agreement between both evaluators and O–O and O–G match. The *n* column shows the number of pairs and the percentage (%) of the whole sample they represent

	Visual determinations	*n*	CE_V^*	CE_V'	CE_I^*	CE_I'	CE_I^*/CE_V^*	CE_I'/CE_V'
VC	O–O	12 (4.4%)	4.7 (2.6)	3.3 (1.7)	2.5 (1.0) *	1.9 (0.7)	53.2%	57.6%
	O–G	83 (30.2%)	4.8 (2.2)	3.4 (1.5)	3.2 (1.7)	2.3 (1.2)	66.7%	67.6%
V3DM	O–O	25 (10.4%)	4.1 (1.8)	3.4 (1.1)	3.2 (1.1)	2.8 (0.7)	78.0%	82.4%
	O–G	58 (24.2%)	4.6 (2.1)	3.6 (1.3)	2.7 (0.9)	2.4 (0.8)	58.7%	66.7%

*Values under AT

to avoid biases due to manufacturing errors [31]. Evaluators received a specific training before starting the study even though they had broad clinical experience in visual color judgment. In addition, to our knowledge, this study has been the first to categorize the quality of the visual shade match by two independent evaluators according to three different levels (O, G, and P).

The accuracy and reproducibility of the EAS for colorimetric data measurement have been reported to be higher than 90% [10, 32]. However, when performing instrumental measurements on in vivo teeth, one of the disadvantages of this type of clinical spectrophotometers lies in the difficulty of correctly positioning the measuring tip (flat) on the tooth surface (convex) [3].

The EAS software has the ability to provide a best match shade tab (from VC and V3DM) for the measured dental structure, based on reference colorimetric data stored internally on the device. However, this reference colorimetric data may differ from the colorimetric values of the shade guides used for clinical visual determinations. In this sense, all the shade tabs corresponding to both shade guides used in this study were measured, in order to obtain their color coordinates.

The sample of the dental color space analyzed in our study was representative for a young population due to the higher percentage of participants between 18 and 30 years old (73.8%). Similar results to this work were reported in another study [33], where A1 was the most popular shade (followed by A2 shade) in a young population (18–20 years) with a fair skin color. Nevertheless, other study [34] reported that for a young population (25–35 years old), whose etiology is not specified, A3 was the most prevalent shade, followed by B2 and A2.

Regarding V3DM, our results were close to the results obtained in a recent study on a young (16–30 years) Korean population [35]. However, there are available studies that reported 2R1.5 shade as the most representative (followed by 1M2 and 1M1) [34] or 1M1.5 and 1M2 for a Spanish population between 16 and 30 years old [36].

Chromatic distribution for both guides (Fig. 2) corresponds mainly to light and low-saturated teeth, which agrees with results of previous studies [34–36], as most of the teeth (> 75.0%) match into the lightness groups 2 and 1 of V3DM guide, being consistent with the average age (29.1 years) of the participants in the study.

In our study, CE was calculated using both ΔE_{ab}^* and ΔE_{00} total color difference formulas. ΔE_{ab}^* has been the most used in dentistry for years; however, ΔE_{00} incorporates specific corrections for the heterogeneity of the CIELAB color space [19, 37] and is currently CIE recommended formula for total color difference computation [21].

According to the results of our study, independently of the color difference formulas used or the dental shade guide

evaluated, CE_V and CE_I values were always higher than their corresponding PT and, in most cases, even than the AT [26]. Therefore, the first null hypothesis of this study must be rejected, but the second null hypothesis was partially rejected.

Despite the values of CE_I were in general higher than their respective AT [26], our results (Table 1) are considerably lower than the ones reported in other study [19], where a CE_I^* for the V3DM guide of 6.2 CIELAB units was obtained for a sample of 933 teeth belonging to an American population with an age range between 21 and 30 years old. Similarly, other study [18] evaluated the CE of V3DM for a total of 2,067 teeth belonging to a German population, finding a CE_I^* of 6.2 CIELAB units for the whole sample and a CE_I^* value of 5.2 CIELAB units for an age range of 21 to 40 years old. Thus, they reported that the CE increases according to the age, attributing this result to a greater difficulty in determining color in more chromatic (older) teeth. They also performed the calculations of CE of the V3DM for the whole sample when intermediate shades are also considered, and the CE_I^* obtained was similar (6.0 CIELAB units), concluding that a shade guide with intermediate values does not improve the CE_I^*, neither the shade matching. The lower values found in our study for CE_I may originate from the fact that, for CE_I calculation, solely concordant shade assignments with high visual ratings (O–O and O–G) were considered. It is likely that a poor visual rating is to be caused by a higher shade tab-target teeth chromatic difference, so including these pairs in the analysis would increase the CE_I value.

Since the human teeth color range is not adequately reflected in current shade guide color distribution [27], any shade guide has an inherent CE_I, which has to be considered as the minimum CE_V possible in the best conditions. According to our results, CE_I contributes more than 50% (53.2–82.4% range) to the CE_V value (Table 1). This contribution depends on the shade guide and the color difference formula used for the calculation of CE. Thus, CIEDE2000 showed higher percentages of the contribution than CIELAB color difference formula, and V3DM shade guide showed higher contribution than VC considering together O–O and O–G determination ratings. A higher contribution of the instrumental CE to the visual CE translates into a better ability of the user to approach the intrinsic instrumental error of the shade guide (to be as good as possible with the shade guide used). Additionally, the contribution of the CE_I of the shade guides to the CE_V could also justify that the visual coverage error is greater than the visual color threshold (PT and AT) for dentistry [23].

Finally, in terms of limitations, the use of a contact spectrophotometer involves inaccuracies due to the "edge loss" phenomenon. Tooth curvature also generates color measurement inaccuracies when using non-contact

devices, which can be minimized by repeated measurements but cannot be completely eliminated [20]. In addition, the results of this study can be extended in future research to other shade guides, populations, and age groups."

In this study, both visual and instrumental coverage errors of two of the most widely used dental color shade guides were calculated. The visual coverage error of each shade guide is derived from its inherent instrumental error and the contribution of the subjective visual error attributed to the observer. In the future, these findings can be applied to the development of improved shade guides, with a visual coverage error at or lower than the acceptability color difference threshold for dentistry. Consequently, along the visual and instrumental determination of color, shade diagram, written comments, and clinical dental photographs should be used during laboratory communication, in order to consistently achieve adequate esthetic results.

Conclusions

Within the limitations of this study, the shade guides exhibited visual coverage errors above acceptability thresholds. Since a large contribution of the instrumental coverage error of the shade guides to the visual coverage error index was observed, it is necessary to further improve the shade guides to guarantee a successful esthetic result of dental restorations.

Declarations

Ethics approval University of Granada Ethics Committee (REF: 829/CEIH/2019).

Consent to participate All participants received printed information sheets regarding the aims and scope of the study and signed a written informed consent form before being included in the study.

Conflict of interest The authors declare no competing interests.

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