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1	Automatic unmixing of MODIS multi-temporal data for inter-annual
2	monitoring of land use at regional scale (Tensift, Morocco)
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10	

11

12 Abstract. The objective of this study is to develop an approach for monitoring the 13 land use over the semi-arid Tensift-Marrakech plain, a 3000 km² intensively cropped 14 area in Morocco. In this objective, the linear unmixing method is adapted to process a 6-15 year archive of MODIS NDVI 16-day composite data at 250 m spatial resolution. The 16 result of the processing is a description of land use in term of fractions of three predominant classes: orchard, non-cultivated areas and annual crop. The typical 17 18 signatures of land classes - endmembers - are retrieved on a yearly basis using an 19 automated algorithm that detects the most pure pixels in the study area. The algorithm 20 first extracts typical NDVI profiles as potential endmembers, then selects the profiles 21 that have the best ability to reproduce the variability of MODIS NDVI time series

1	within all the study area. The endmembers appear stable over the 6 years of study and
2	coherent with the vegetation seasonality of the three targeted land classes. Validation
3	data allows to quantify the error on land class fractions to about 0.1 at 1 km resolution.
4	Land use fraction maps are consistent in space and time: the orchard class is stable, and
5	differences in water availability (irrigation and rainfall) partly explain a part of the inter-
6	annual variations observed for the annual crop class. The advantages and drawbacks of
7	the approach are discussed in the conclusion.

8

9 **Keywords**: NDVI; land use; semi-arid; linear unmixing; endmembers; MODIS.

10

11 **1. Introduction**

12

13 Changes in Land Use and Land Cover (LULC) is a major issue in Environmental 14 Science, interconnected with many question concerning climate change, carbon cycle 15 and biodiversity (Aspinall and Justice 2003; Lepers et al. 2005). The monitoring of 16 LULC is also vital for managers and policy makers to make informed decisions 17 regarding the sustainability of agriculture and provision of safe drinking water, 18 especially in semi-arid areas. Remote sensing is very well-suited to achieve this 19 monitoring since it allows observations regularly distributed in space and time (Rogan 20 and Chen 2004, Prenzel 2004).

21

Multi-temporal images are widely investigated for mapping and monitoring land-cover and land-use changes. At the present time, time series of images can be obtained at a high spatial resolution by programming a series of SPOT or FORMOSAT-2

1 acquisitions. These images with both high spatial resolution (~10 m) and high temporal 2 repetitivity (a few days) offer strong opportunities to monitor land surfaces over small 3 areas: 25x25 km² for FORMOSAT-2, 60x60 km² for SPOT. However, constraints 4 related to acquisition, cost and processing often prevent the use of high spatial 5 resolution data. Multi-temporal data acquired by low or moderate spatial resolution 6 sensors such as NOAA-AVHRR, SPOT- VEGETATION or TERRA-MODIS are thus 7 preferred for regional and continental studies (e.g. Lambin and Ehrlich 1997, Hansen et 8 al. 2000; Lunetta et al. 2006, Matsuoka et al. 2007; Stibig et al. 2007). Indeed, they 9 offer a costless global coverage of the Earth on a daily basis. However, the spatial 10 resolution of large field of views sensors - from 250m for MODIS to 1 km for 11 VEGETATION and AVHRR – is generally much higher than the size of homogeneous 12 areas (units) at the Earth surfaces. These sensors generally provide images with pixels 13 that include a mixture of different units (mixed pixels). Consequently, the use of low 14 spatial resolution data for a directly monitoring of LULC is not straightforward. 15 Furthermore, conventional classification approaches based on signature clustering (like 16 maximum likelihood, Richards 1999) are not suitable since they aim to identify an 17 unique class for each pixel.

18

For these reasons, the linear unmixing model has been developed (Adams *et al.* 1986, Smith *et al.* 1990, Elmore *et al.* 2000) based on the following assumption: the signature of a mixed pixel results from a linear combination of the distinctive signatures (endmembers) that are representative of the various land surfaces included in the study area. These typical signatures must describe as well as possible a pure component having meaningful features for an observer (Strahler *et al.* 1986). Knowing these signatures is a prerequisite for applying the linear unmixing model (Cross *et al.* 1991,
 Quarmby *et al.* 1992, Foody and Cox 1994, Milton and Emery 1995). Unmixing
 approaches can be divided into two categories depending on how the endmembers are
 estimated:

5 Supervised approaches use the spectral signatures of endmembers as a priori 6 information. These typical spectra can be collected at field or laboratory to define 7 predefined library endmembers (Adams et al. 1995, Roberts et al. 1998, Smith et al. 8 1990). They can also be derived from high spatial images using a training data set 9 (small region where the land use is known). The use of predefined libraries may be not 10 appropriate since differences in the acquisition conditions (e.g. sun-target-sensor 11 geometry, atmospheric effects) may occur between endmembers and the data to be 12 unmixed (Song and Woodcock 2003).

In unsupervised approaches (see Plaza *et al.* 2004 for a review), the identification of
endmembers is automated. The common point in unsupervised algorithms is that they
search endmembers directly from images (Atkinson *et al.* 1997, Elmore *et al.* 2000,
Ridd 1995, Wessman *et al.* 1997). In this case, the endmembers are retrieved at the
same scale and conditions than the data to be unmixed.

18

The temporal variability of the observations is generally not considered in the abovementioned studies, though it is also an important source of information. In particular, the time courses of vegetation indices such as the Normalized Difference Vegetation Index NDVI allow to monitor the phenology of vegetation (Gutman and Ignatov 1995, Justice *et al.* 1998, Duchemin *et al.* 1999). This may be very useful for discriminating

1 land classes. Differences in phenology depicted by vegetation indices can be used to 2 map land surfaces using low spatial resolution data (e.g. Kerdiles and Grondona 1995, 3 Cardot and Faivre 2003, Ballantine et al. 2005, Knight et al. 2006). These studies 4 showed that: 1) land use maps are more accurate when vegetation indices are used 5 instead of reflectances; 2) the use of NDVI with a linear approximation for its 6 combination results in minor inaccuracies; 3) linear unmixing provides satisfactory 7 results when the number of endmembers is limited. These considerations, which are of 8 prime importance in unmixing procedure, are accounted for in this study.

9

In this context, the primary objective of this study is to evaluate the potential of MODIS data for monitoring the land use on the semi-arid Tensift/Marrakech plain. A secondary objective is to analyse the space-time variability of land classes in relation with water availability. The methodology is based on the unmixing of MODIS multi-temporal NDVI images. Land use maps are evaluated using ground data and high spatial resolution images, and their space-time variability is analysed together with information on irrigation water.

17

18

19 2. Research Design

20

The methodology is an unsupervised unmixing approach based on a statistical analysis for identifying endmembers directly from MODIS multi-temporal images at 250 spatial resolution (MOD13Q1 product, i.e. 16-day NDVI composite images by CV-MVC algorithm, Huete *et al.* 2002). The algorithm first extracts typical NDVI profiles, then
selects the endmembers amongst these profiles based on their ability to reproduce the
space time variability of MODIS NDVI time series. The approach requires the two
following assumptions: (1) pure pixels can be identified at the 250m resolution and
(2) endmembers are stationary over the Tensift-Marrakech plain.

6

7 The approach is set up to retrieve the fractions (surface covered by homogeneous units 8 within each pixel) of three classes: orchard, non-cultivated areas and annual crop. These 9 classes are predominant in the study area, they display distinct phenological features 10 and they encompass the range of crop water needs: non-cultivated areas (no needs), 11 annual crops (water needs ~ 400 mm/y) and orchards (water needs ~ 1000 mm/y).

12

The algorithm is applied to a six-year archive of MODIS NDVI to obtain maps of land use fractions on a yearly basis, from agricultural season 2000-2001 to 2005-2006. The algorithm is applied on two different areas, the whole study area and a subpart of the study area where the landscape is rather regular and where more data are available for evaluation. The processing results in 12 land use maps (6 years x 2 training areas) in term of the fractions of the three predominant classes (orchard, non-cultivated areas and annual crop).

20

MODIS estimates are quantitatively evaluated against ground truth collected on a 9 km² area and a reference land use map derived from a time series of high spatial resolution images (SPOT and Landsat). These data were collected during the 2002-2003 agricultural season. The evaluation is based on classical statistical variables (correlation

1	R ² , efficiency EFF, RMSE and bias) computed between land use fractions estimated
2	with MODIS and derived from the validation data sets at 1 km resolution. In order to
3	test the robustness of the algorithm, the performance of the algorithm is also discussed
4	from the results obtained with the whole MODIS data set (2000-2006 period). Here we
5	analyse the inter-annual variability of both endmembers and land use maps using
6	rainfall and irrigation data as an indicator of water availability and vegetation growth.
7	
8	3. Materials and Methods
9	
10	In this section, we present the study area, the ground and satellite data, and the linear
11	unmixing algorithm.
12	
13	3.1. Study area and ground data
14	
15	The study area is the eastern part of the semi-arid Tensift plain, a 3000 km ² region
16	located in center of Morocco (figure 1). The climate of this region is arid, with annual
17	rainfall around 250 mm/year and a very high evaporative demand around 1500mm/year
18	(Duchemin et al. 2006, Chehbouni et al. 2007).
19	
20	According to the regional public agency in charge of agricultural water management
21	(ORMVAH), there are three dominant land classes that represent more than 80% of land
22	surfaces: (1) orchards, most of it perennial (olive and citrus trees); (2) cereal crops,

23 mainly wheat, to less extent barley; (3) non-cultivated areas. Additional land classes

include forages (mainly alfalfa, colza and oat), vineyards, broad-leave orchards (apple,
 apricot and peach trees), and small vegetable crops.

3

4 [Insert Figure 1 about here]

5

6 The High-Atlas mountain range experiences much higher precipitations and provides 7 irrigation water to the plain (Chaponniere *et al.* 2005, Chehbouni *et al.* 2007). There are 8 three types of irrigation systems: the modern network connected with dams, the 9 traditional network, and pumping stations (Duchemin *et al.* 2007). The main irrigated 10 areas are supplied by dam water and managed by ORMVAH. They cover about 1200 11 km² with three distinct sub-regions (figure 1):

The western NFIS sub-region, mainly cropped with orchards on fields of irregular
 size (~ 100 m² to ~ 10 ha);

The central Haouz sub-region, mostly cropped with cereals, where the landscape
appears rather uniform with relatively larger fields (3-4 ha);

The eastern Tessaout sub-region, very patchy with a mixture of various annual crops
 and orchards cultivated on very small fields (100 to 1000 m²).

18

In order to evaluate land use maps, we use two sets of ground data collected during the 2002-2003 agricultural season. The first one is composed of 151 individual fields spread over the study area divided as following: 11 plots of orchard on bare soil, 80 plots of orchard on annual crop, 28 plots of non-cultivated areas and 32 plots of annual crop (see Simonneaux *et al.* 2007). The second one exhaustively covers a 3 x 3 km² area within the Haouz sub-region during the 2002-2003 agricultural season (see Duchemin et *al.*

2006). It is composed of 313 plots divided as following: 5 plots of orchard, 67 plots of
 non-cultivated areas and 241 plots of cereal crops (wheat and barley).

3

4 In order to study the space-time variability of land classes, we analyse data on dam 5 irrigation water and precipitations. ORMVAH collects the annual amount of dam 6 irrigation water supplied to the three sub-regions. As it is difficult to exactly know when 7 and where irrigation occurs, we assume a uniform distribution: the amount of dam 8 irrigation water is divided by the total area of each sub-region to provide average values 9 in mm. Precipitations are collected from a network made of about 20 raingauges 10 stations spread over the plain. There is a large seasonal variability of rainfall, both in 11 terms of annual quantity and of seasonal distribution: accumulated values of 140 mm 12 for the driest years (2000-2001 and 2004-2005) against 300 mm for the most humid 13 years (2003-2004 and 2005-2006); early rainfall in 2003-2004 or delayed rainfall in 14 2001-2002.

15

16 3.2 Satellite data

17

High spatial resolution data are used to produce a reference land use map in order to evaluate classification maps obtained with MODIS data. We use a SPOT5 panchromatic image at 2.5m resolution acquired the 23/07/2002 and 10 cloud-free Landsat/ETM7+ and SPOT4/5 images acquired during the 2002-2003 agricultural season. The Landsat/SPOT images were collected between 07/11/2002 and 20/06/2003 with a revisit time of approximately three weeks. These images were geometrically corrected using GPS ground control points and resampled to 30m. The radiometric processing (calibration and atmospheric correction) was performed using reflectance
 values recorded at field (Duchemin *et al.* 2006, Simonneaux *et al.* 2007).

3

4 **Terra-MODIS** freely available from NASA website data are the 5 (http://delenn.gsfc.nasa.gov/). We have downloaded 16-day composite images 6 (MOD13Q1 product) from the 2000-2001 to the 2005-2006 agricultural seasons. These 7 images contain atmospherically corrected reflectances and NDVI at 250m spatial 8 resolution based on the Constrained View Maximum Value Composite algorithm 9 (Huete et al. 2002). They were resampled at 270m (9x30m) spatial resolution using the 10 cubic convolution technique, then subset to the Tensift-Marrakech plain. They were 11 stacked into 6 multi-temporal NDVI images (from September 2000 to August 2001, 12 September 2001 to August 2002 etc). A total of 141 images were processed and visually 13 examinated in order to detect eventual anomalies. Most of images are of good quality 14 excepted three images (18/02/2001, 23/04/2001 and 01/01/2003) that were eliminated 15 because they display geometric problems. All images are free of clouds. This is 16 expected since the time step of compositing is rather long (16 days) and the cloudiness 17 is low in the study area, around 30% (Hadria et al. 2006).

18

19 3.3. Reference land use map (2002-2003 season)

20

The reference land use map is derived from high spatial resolution data on the common area between the Landsat images, the SPOT ones and the study area (about 1500 km², see figure 2). The classification identifies the three predominant land classes using a two-step procedure: The orchards are depicted on the 2.5m panchromatic SPOT image using the
 "Olicount" software (Simon *et al.* 1998). The software operates with a set of input
 parameters that essentially define the morphology of trees (shape) and their
 radiometry (gray level). This first class groups all the areas where trees are detected,
 including case of intercropping (trees + wheat or trees + alfalfa) and the natural
 vegetation that may also grow between the trees or in the understory.

7 2) To discriminate the two remaining classes, NDVI maximum values are calculated
8 from NDVI profiles derived from time series of SPOT and Landsat images. Pixels
9 with a maximum NDVI below 0.4, which contain sparse vegetation, are assigned to
10 the class bare soil. The remaining pixels are supposed to include irrigated areas and
11 are assigned to the class annual crop. The threshold value (0.4) was calibrated to
12 obtain a maximal global accuracy of the classification.

13

14 [Insert Figure 2 about here]

15

This processing leads to the partition of the area into three classes with about 20% of orchard, 50% of bare soil and 30% of annual crop. The land use map (figure 2) shows that: the bare soil class is predominant outside irrigated areas in western and southern parts of the region; the annual crop class is mainly depicted at the eastern part of the study area within Haouz and Tessaout irrigated sub-regions as well as downstream High-Atlas wadis; orchards are spread over the plain, with the maximal density in the western NFIS irrigated sub-region.

1 The reference land use map is evaluated against the ground truth collected on individual 2 fields (see §3.1). According to the confusion matrix (table 1), the overall accuracy, i.e. 3 the number of well-classified pixels divided by the total number of pixels, is around 4 78%, with very low omission errors for the class orchard on bare soil (about 10%) and 5 for the class annual crop (about 3%). Two types of confusion are detected: 1) between 6 annual crop and orchard on annual understory, and 2) between bare soil and annual 7 crop. The causes of these confusions were discussed in Simonneaux et al. (2007) and 8 Benhadj et al. (2007). They are related to the disparities that exist for a same land class, 9 which causes overlapping of signatures between the three land classes. For cereals, there 10 is a large heterogeneity in cereal crop calendar as well as irrigation and fertilisation 11 schedules. Non irrigated areas may include a wide range of vegetation type (colza, oat, 12 grass). Finally, there are large variations of density and age in tree plantations, which 13 may include an understory of vegetation cultivated as forage (wheat, grass, alfalfa...).

14

15 [Insert Table1 about here]

16

17 The reference land use map is used for evaluating MODIS estimates for the 2002-2003 18 agricultural season at 1 km² scale. For this purpose, a co-registration between MODIS 19 data and the reference land use map is done using an automatic correlation algorithm 20 (Benhadj *et al.* 2006). Then the reference map is up-scaled at 1 km resolution by spatial 21 averaging to obtain the fractions covered by orchards, bare soils and annual crops.

22

23 3.4 Linear unmixing of MODIS data

To predict the land use fractions of the three dominant land classes, the linear unmixing model is applied to MODIS multi-temporal NDVI images. The model calculates the NDVI of a mixed pixel as the sum of the NDVI values of the different land classes weighted by their corresponding fraction within the pixel (equation 1). We retrieve the typical NDVI time course of each land class (endmember) using the three-step procedure which is detailed below.

8
$$NDVI_{i}(t) = \sum_{j=1}^{3} \pi_{ij} \times NDVI_{j}(t) + \varepsilon_{i}(t)$$
(1)

9 where $NDVI_i$ is the NDVI of MODIS mixed pixel *i* at the date *t*, π_{ij} is the fraction of 10 class *j* in pixel *i*, $NDVI_j$ is the endmember of class *j* (*j* = 1 to 3) and ε_i is an error term 11 of the pixel *i*.

12

13 Step 1. An unsupervised classification "k-means" (Tou and Gonzalez 1974) is applied 14 to MODIS multi-temporal images in order to group the pixels which have similar NDVI seasonal courses. The result is N mean NDVI profiles corresponding to N groups¹ of 15 pixels. We set N to 20, which appears as a good compromise allowing a reasonable 16 17 computing time cost while keeping a sufficient level of details to describe the NDVI 18 space-time variability within the study area. Furthermore, the grouping of pixels with 19 the same vegetation seasonality allows the reduction of local noise due to: (1) imperfect 20 superimposition of MODIS data before temporal compositing, (2) inaccuracy in

¹ The term 'groups' is used to refer the classes identified by the K-means method in order to avoid confusion with those derived from MODIS data after unmixing.

atmospheric correction and, (3) the variation in sun-target-sensor geometry between
 successive acquisitions.

3

Step 2. An iterative test is applied for all possible triplets of endmembers (three land classes) among the series of N mean NDVI profiles. The total number of iteration nb is C_N^3 . For each triplet, the land use fractions are retrieved for the remaining 17 (i.e. N-3) groups by minimizing the Root Mean Square Error (RMSE, equation 2) between the NDVI profiles observed by MODIS and those reconstructed from the endmembers.

9
$$RMSE_{i} = \sqrt{\frac{1}{T} \times \sum_{t=1}^{T} [\varepsilon_{i}(t)]^{2}}$$
(2)

10 With
$$\pi_{ij} \ge 0$$
 and $\sum_{j=1}^{3} \pi_{ij} = 1$

11 Where *T* represents the number of MODIS data

12

13 Step 3. We calculate an error term (M_k , equation 3), which represents the ability of the 14 triplet number k to explain the NDVI response for the 17 groups. Finally, the triplets are 15 sorted according to this error term: the triplet for which M_k is minimal is called triplet 16 rank 1, the following is called triplet rank 2, etc.

17

18
$$M_{k} = \sqrt{\frac{1}{[(N-3)\times T]}} \times \sum_{i=1}^{N-3} \sum_{t=1}^{T} [\varepsilon_{i}(t)]^{2}$$
(3)

19 With
$$k \in [1, nb]$$
 and $nb = C_N^3 = \frac{N!}{3! \times (N-3)!}$

1 Once the endmembers are identified, they are assigned to the appropriate land use class 2 and the surface covered by a class within a pixel (land use fraction) is retrieved by 3 minimization (equation 2). This is applied pixel by pixel using land use fractions 4 ranging from 0 to 1 and under the constraint that the sum of fractions is equal to 1.

5

6 We apply the algorithm using two different areas for the identification of endmembers. 7 The first one is the whole study area (figure 1). The second one is the reference area 8 (figure 2) on which the reference land use map is available (§3.3). In both case, the land 9 use fractions maps are analysed at the scale of the whole area. MODIS estimates are 10 evaluated against the reference land use map (see §3.3) and against the ground truth 11 collected on the 3 x 3 km² area (see $\S3.1$). In order to explain the difference between 12 annual crop endmembers between the two investigated areas, we carry out a purity 13 analysis. The pixels of each group resulting from the k-means classification are located 14 in the reference land use map (figure 2) and their compositions are averaged.

15

16 **4. Results and discussion**

17

In this section, we successively present: a quantitative evaluation of the results obtained during the 2002-2003 agricultural season; a generalised analysis of inter-annual coherence and variability of the results through the 2000-2006 period; an error analysis with typical cases for which the results are not satisfactory.

22

23 4.1 Typical NDVI time series and endmembers (2002-2003 agricultural season)

The NDVI profiles of the 20 groups identified with K-means classification over the two areas of interest (whole and reference areas) can be discriminated through the combination of NDVI seasonal amplitude and average value (figure 3). It appears that the K-means method groups pixels according to the density of perennial vegetation (hierarchy of rather stable NDVI profiles with average values from 0.15 to 0.55) and according to the vegetation seasonality (contrast between high NDVI values during the agricultural season and low values in summer).

8

9 When looking at the endmembers (figure 3), it is noticeable that the algorithm tends to 10 select the profiles that display extreme values and rejects intermediates ones. 11 Furthermore, the endmembers appear descriptive of the three dominant classes: the first 12 one, with maximum NDVI values below 0.2, corresponds to the bare soil class; the 13 second one, with NDVI always high (between 0.45 and 0.65), appears representative of 14 a dense perennial vegetation (orchard class); the third one, with a large NDVI 15 amplitude, can be associated to the class annual crop. The latter displays minimum 16 values in November (at the sowing period), then a rapid increase to maximum values 17 mid-March when cereal reaches full development, and a final decrease until June after 18 total senescence of plants. This analysis makes easy to label each endmember.

19

20 [Insert Figure 3 about here]

21

The case of annual crop is of particular interest since the endmembers are not the same for the two investigated areas (figure 3). In particular, there is a difference in the NDVI value at the beginning (September to November 2002, before day 90) and ending of the

season (June to August 2003, after day 280). The level is around 0.25 for the endmember extracted on the whole area, while it is only 0.18 for the endmember extracted on the reference area. This last endmember appears to be more characteristics of annual crop, for which minimal NDVI values are close to those of bare soil (~0.15) out of the agricultural season.

6

7 In order to explain the difference between annual crop endmembers, their purity are 8 analysed (table2). The endmembers display a high proportion of either bare soil or 9 annual crop or orchard for the two areas comparing to the remaining 17 NDVI profiles 10 non selected as endmembers. One exception is detected for the class annual crop when 11 the whole area is considered (72% of annual crop in group 3 that is selected as 12 endmember against 88% in group 20, see the main left column of table 2). The 13 difference of endmembers purity between the two areas is small for the bare soil and 14 orchards classes, but large for annual crop (purity of 88% for the reference area against 15 72% for the whole area, compare group 3 in the two main columns of table 2). This 16 difference is due to significant presence of orchard in the annual crop endmember 17 derived over the whole area (~27%, against ~9% for the reference area). When the 18 whole area is used, the automatic extraction algorithm selects groups that include pixels 19 of the Tessaout sub-region, where there is a mixture of olive orchards and annual crops 20 cultivated on very small fields. In contrast, when the identification of endmembers is 21 restricted to the reference area, the algorithm selects pixels in the irrigated Haouz sub-22 region where fields are mainly cropped with cereals and of larger size. Therefore, this 23 analysis demonstrates that: (1) our working hypothesis, i.e. pure pixels may exist at the 24 spatial resolution of 250m, is valid; (2) the automatic extraction algorithm is able to

identify the most pure areas; (3) there is an advantage to derive the endmembers on the
reference area compared to the whole area.

3

4 [Insert table 2 about here]

5

6 4.2 Quantitative evaluation of land use map (2002-2003 agricultural season)

7

8 The comparison of land use fractions estimated with MODIS and the reference land use 9 map (figure 4) shows the consistency of areas with low and high fractions between the 10 two maps. This is true for the three land classes: high proportion of bare soil at South-11 West; high proportion of annual crop near High-Atlas foothills and on the Haouz and 12 Tessaout irrigated areas in the eastern part; high proportion of orchard near the Tensift 13 river at North and within the NFIS irrigated area at West. Average land use fractions 14 derived from reference and estimated maps display an overall agreement (table 3), 15 which denotes the global ability of the algorithm to describe the study area using three 16 dominant land classes. However, the algorithm slightly underestimates the orchard and 17 the annual crop fractions at the benefit of bare soil fractions when the whole area is 18 considered. This underestimation is attenuated when the reference area is used to derive 19 the endmembers.

20

21 [Insert Figure 4 about here]

22

23 [Insert Table 3 about here]

1 The quantitative comparison of MODIS and the reference land use map (table 4 and 2 figure 5) shows that the two land use fractions always well correlate (R² around 0.8 with 3 a minimal value of 0.68), and the efficiency is generally largely positive (>0.65). When 4 the reference area is used to derive the endmembers, the method gives more accurate 5 estimates of bare soil and orchard fractions (lower RMSE and bias, larger efficiency). 6 For both areas, the estimates of orchard fractions appear less accurate than for the two 7 other classes (efficiency of 0.65-0.7 instead of 0.80). This is likely due to the fact that 8 the orchard class is rather heterogeneous because trees are of different nature, age and 9 spacing, with possible case of inter-cropping. In contrast, the endmember associated to 10 this class is representative of dense perennial vegetation (mainly old olive and citrus 11 tree with low spacing between crown). Despite this limitation, we consider that land use 12 fractions are correctly estimated, though the study area is only described by three typical 13 NDVI profiles.

14

15 [Insert Table 4 about here]

16

17 [Insert Figure 5 about here]

18

Finally, the comparison of MODIS land use fractions and the ground truth available over the 9 km² area shows a global agreement of land use fractions for all classes (figure 6), with few orchards (less than 2% of the 9 km², see table 5). For the two others classes, we obtain accurate results, with R² larger than 0.85 and RMSE lower than 0.1. The accuracy of estimates is improved when the endmembers are derived on the reference area (RMSE of 0.07 against 0.09 in figure 6).

1	
2	[Insert Table 5 about here]
3	
4	[Insert Figure 6 about here]
5	
6	All the results presented in this section, obtained for the 2002-2003 agricultural season,
7	confirm the capacity of the linear unmixing model to describe the land use of the study
8	area on the basis of three NDVI profiles associated to the predominant classes (orchard,
9	annual crop, bare soil) and automatically extracted from MODIS multi-temporal
10	images.
11	
12	4.3 Generalised analysis of endmembers (2000-2006 period)
13	
14	The algorithm is applied to the 2000-2006 period using successively each MODIS
15	multi-temporal NDVI images. The endmembers expected for the orchard and the bare
16	soil classes are always selected (figure 7a and 7b, respectively), the first ones with
17	rather high NDVI values (>0.4) and low seasonal amplitudes (~ 0.2), the second ones
18	with the lowest values (six-year maximum of 0.22).
19	
20	[Insert Figure 7 about here]
21	
22	For the bare soil and orchard classes, there is a general stability of the endmembers from
23	one year to the other (figure 7). In contrast, the NDVI profiles with the highest
24	amplitudes (annual crop endmembers, figure 8) display a higher variability. When the

1 whole area is used to retrieve the endmembers, the NDVI profiles display rather high 2 value (>0.23) at the beginning and the end of the agricultural season for all years except 3 2005-2006 (figure 8-top). A detailed investigation of the groups of pixels resulting from 4 the k-mean classification shows that the annual crop endmember mainly include pixels 5 of the Tessaout region for the first 5 years (2000-2005), while it includes those of the 6 Haouz region for the last year (2005-2006). The selection of pixels in the Tessaout 7 region results in a significant proportion of trees included in the annual crop class, as 8 discussed in section §4.1 for the 2002-2003 season. This problem disappears when the 9 endmembers are extracted on the reference area. In this case (figure 8-bottom), the 10 seasonality of the annual crop endmember is generally consistent with the phenology of 11 cereal crops (growing season from December to April, and NDVI values below 0.2 12 outside), but two exceptions can be noticed:

13 For the 2001-2002 season, the increase of NDVI is delayed and largely reduced • 14 (peak of NDVI around 0.4 after April, figure 8-bottom-left). This year is characterised 15 by a shortage in irrigation water after the severe drought that occurs during the 1999-16 2001 period. In this case, the NDVI pattern matches the 2001-2002 seasonal distribution 17 of rainfall, with most of precipitations recorded in March and April. Therefore, the 18 2001-2002 annual crop endmember appears not suitable for the retrieval of annual crop 19 fractions. The analysis of other NDVI profiles for this year shows that no profile is 20 representative of the phenology of cereal crop. As an alternative, we replace the 2001-21 2002 annual crop endmember by the average NDVI profile of the endmember identified 22 on the four 'normal' years (2000-2001, 2002-2003, 2004-2005 and 2005-2006).

• For the 2003-2004 season, the NDVI display an early NDVI from 0.2 to 0.4 between November and December ("03-04 (rank 1)" profile in figure-bottom-right). This pattern also appears coherent with the seasonal distribution of rainfall. Heavy rainfall at the very beginning of the season resulted in an early sowing or growth of natural vegetation. Here the analysis of other NDVI profiles allows to identify a substitute to represent the phenology of cereal crops. This endmember ("03-04 (rank 2)" profile in figure 8-bottom-right) is similar to the ones observed for the 'normal' years, and results in a low unmixing error (second rank in the minimisation process).

7

8 [insert Figure 8 about here]

9

10 4.4 Spatio-temporal variability of land use maps (2000-2006 period)

11

A visual examination of land use fractions maps (figure 9) shows that the algorithm always detects the same region with low or high proportion of each class. Orchard fractions appear especially stable during the six years, in coherence with the duration of tree plantations. On the contrary, there are some compensations in the fractions of the two other classes (bare soil and annual crop). In particular, there is a high proportion of bare soil and a low proportion of annual crop for the 2001-2002 agricultural season compared to others. These compensations are analysed on what follows.

19

20 [Insert Figure 9 about here]

21

Land use statistics are calculated for the six years of study by averaging fractions over each of the three irrigated sub-regions (table 6). One can see that the proportion of orchard is quite stable, around 37% for NFIS, 18% for Haouz and 32% for Tessaout.

1 These values appear coherent with the qualitative knowledge of the study area (§3.1). 2 Except for the 2001-2002 season, bare soil fractions are rather stable, between 50 and 3 56% for the NFIS sub-region, 35 and 46% for the Haouz and between 16 and 21% for 4 the Tessaout. The variation of annual crop fractions around its average value is of the 5 same order. The 2001-2002 season is very particular with an important reduction of 6 annual crop fractions, by a factor 2.5 within NFIS (4% in 2001-2002 against 10% the 7 other years) and Tessaout (20% against 45-50%) and a factor 5 within Haouz (8% 8 against 40%).

9

10 [Insert Table 6 about here]

11

12 The anomaly detected in annual crop fractions for the 2001-2002 agricultural season 13 appears as an indicator of the water shortage experienced this year. We illustrate this for 14 the Haouz sub-region, where the anomaly is of maximal amplitude (figure 10). The 15 limitation of irrigation water during the driest year (annual average of 30 mm in 2001-16 2002 instead of 130 mm for the other years) results in a large decrease of annual crop 17 fractions (by about 30%) and a large increase of non-cultivated areas (by about 30%). 18 The orchard fractions appear stable despite the shortage of irrigation water, consistent 19 with the fact that orchards are irrigated in priority.

20

21 [Insert Figure 10 about here]

22

23 4.5 Error and limitation analysis

In order to identify the limitations of the approach, we calculate the relative error (RRMSE, equation 4) between MODIS observations $(NDVI(t)_{obs})$ and the NDVI reconstructed from the linear combination of the endmembers associated to their land use fractions $(NDVI(t)_{sim})$. This criteria allows us to quantify the ability of the three endmembers to reproduce MODIS NDVI space-time patterns over the study area. Maps of RRMSE are computed for each season and averaged over the six seasons (figure 11).

8
$$RRMSE = \frac{\sqrt{\frac{1}{T}\sum_{t=1}^{T} (NDVI(t)_{obs} - NDVI(t)_{sim})^2}}{mean(NDVI(t)_{obs})} \times 100$$
(4)

10 [Insert figure11 about here]

11

12 It can be seen that the MODIS NDVI time courses are generally well reproduced (figure 13 11). The histogram associated to the spatial variation of RRMSE displays a peak 14 centred around a value of 10%, with 90% of pixels have a value of RRMSE lower to 15 20%. This confirms the efficiency of the algorithm to recover NDVI space time 16 variations, but some anomalous pixels display high errors (RRMSE>40%). These pixels 17 are mainly located in the NFIS irrigated sub-region at the western part of the study area. 18 There are two main cases where the capacity of the algorithm to fit MODIS 19 observations is low:

• In case 1, the NDVI time course displays two peaks at the middle (January) and at the end (April) of the agricultural season; this indicates successive cropping of vegetables with a short growing period; In case 2, the NDVI time course displays an inverse pattern than the one of annual
crop, with a large growing period between April and January; such pattern is consistent
with the phenology of deciduous tree crops (apricot, apple, peach trees) and vineyards.

The two previous confusions concern a small part of the study area (0.2% with RRMSE>40%). Further investigations would be necessary to analyse the performance of the algorithm using more endmembers and more NDVI profiles as an input of the minimisation procedure (N>20 in equation 3). However, this may result in larger computation time and additional compensations/overlaps between land use classes.

9

10 **5. Conclusion**

11

12 In this study, we investigate the potential of time series of MODIS data (MOD13Q1 13 product, i.e. 16-day NDVI composite images by CVMVC algorithm, Huete et al. 2002) 14 to monitor the land-use of the Tensift plain, a semi-arid region located in the 15 surrounding of the Marrakech city. MODIS data offers a costless coverage of the Earth 16 with a high temporal resolution, but its spatial resolution (250m) is large compared to 17 the average field size in the study site. Thus, we develop an approach based on the 18 linear unmixing of multi-temporal MODIS data. In this approach, the identification of 19 endmembers - key point in linear unmixing - is performed on an annual basis following 20 a two-step procedure: 1) pixels are grouped according to the vegetation seasonality; 21 (2) the set of groups that displays the best ability to explain all NDVI time courses are 22 automatically extracted using a statistical analysis. Some advantages can be mentioned 23 here. Firstly, there is no need of extra information such as a training set where the land 24 use is known. Secondly, there are no substantial differences in the acquisition conditions

between endmembers and the data that are unmixed. Thirdly, the regional conditions on
 which the vegetation growth (e.g. dry or humid year) are integrated to the endmembers.

3

4 This procedure provides a continuous description of the land use in term of fractions of 5 three classes (orchard, annual crop, non-cultivated areas) and on an annual basis 6 (September to August, i.e. the agricultural season). These three classes are the most 7 important for agricultural water management because they are predominant and they 8 corresponds to very different water needs. The use of these three broad categories also 9 facilitate the analysis of the inter-annual variability of MODIS estimates of land use 10 fractions as well as its evaluation against additional data sets (ground truth and high 11 spatial resolution images).

12

13 The analysis of typical NDVI profiles firstly demonstrates that our working assumption, 14 i.e. quite pure pixels exist at the spatial resolution of 250m, is valid. Secondly, the 15 algorithm is able to identify the most pure areas associated to each of the three classes 16 of interest. The NDVI profiles retained as endmembers match with phenological 17 features of non-cultivated areas (flat profiles with low values on the bare soil class), 18 dense perennial vegetation (flat profiles with rather high values on the orchard class) 19 and cereals (largest NDVI seasonality on the annual crop class). Thirdly, the algorithm 20 is robust since the endmembers generally slightly differ between years. The inter-annual 21 stability of endmembers is particularly true for orchards and bare soils, while the 22 endmembers associated to the annual crop class display a larger inter-annual variability, 23 in relation with changes in water availability (dam irrigation water, seasonal amount and 24 distribution of rainfall).

1 Maps of land use fractions are in coherence with the qualitative knowledge of the study 2 area, in particular for the three main irrigated sub-regions (NFIS, Haouz and Tessaout). 3 Using both high spatial resolution data and ground truth, we quantify the error in land 4 use fractions to around 0.1 at 1km spatial resolution (2002-2003 season). The analysis 5 of land use maps derived for the six successive agricultural seasons (2000-2001 to 6 2005-2006) also confirms the performance of the approach. The orchard class is 7 logically the most stable, with fractions around 37%, 18% and 32% for the NFIS, Haouz 8 and Tessaout sub-regions, respectively. The compensations observed between the 9 fractions of bare soil and annual crop show a high degree of space-time coherence with 10 irrigation statistics. In particular, the algorithm retrieves a large reduction of annual 11 crops after the severe drought that occurs at the beginning of the period of study. These 12 results are promising in the perspective of the regional monitoring of water resources in 13 the semi-arid Tensift/Marrakech plain.

14

15 Finally, the examination of some anomalous NDVI profiles, i.e. which are not well 16 reproduced by the linear unmixing model, denotes the incapacity of the algorithm to 17 describe the phenology of particular crop types (e.g. vineyards, vegetable crops). 18 Inclusion of other land use components would provide additional information and 19 possibly more accurate results. Further tests should be performed to identify the optimal 20 number of both the endmembers and the groups of pixels used as endmembers potential 21 candidates. In this perspective, the availability of time series of images with both high 22 spatial resolution and high temporal repetitivity (e.g. FORMOSAT-2, GMES-Sentinel, 23 RapidEye or Venus) would offer additional opportunities.

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2

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15	

1 FIGURE CAPTIONS

2

Figure 1. Delimitation of the whole study area (in red) and its three main irrigated subregions – NFIS (in yellow), Haouz (in black) and Tessaout (in cyan) – on a Landsat7
image. The white square represents the coverage of Landsat and SPOT4/5 images.

6

Figure 2. Land use map derived from high spatial resolution data on the reference area(2002-2003 season, 30m spatial resolution).

9

Figure 3. 2002-2003 NDVI profiles averaged over the 20 groups of pixels resulting from the k-means classification (gray lines) on the whole area (a) and on the reference area (b). Bold lines with symbols highlight the NDVI endmembers associated to orchard (\blacksquare), bare soil (\bullet), and annual crop (\checkmark). The first day is September the 1st, 2002.

14

Figure 4. 2002-2003 land use fraction maps derived on each class from the reference land use map (left) and from linear unmixing of MODIS data with the endmembers extracted on the whole area (middle) and on the reference area (right).

18

Figure 5. Estimated versus reference land use fractions (2002-2003 season, 1km spatial resolution): orchard (a), bare soil (b), annual crop (c). Estimates are provided by the linear unmixing model with the endmembers extracted on the whole area (1, at top) and on the reference area (2, at bottom). Black lines are X=Y lines; gray lines are regression lines.

23

Figure 6. Estimated versus observed land use fractions (3 km x 3 km R3 irrigated area, 26 2002-2003 season, 1km spatial resolution). Estimates are provided by the linear 27 unmixing model with the endmembers extracted on the whole area (a) and on the 28 reference area (b). Black lines are X=Y lines.

29

Figure 7. Estimated endmembers through the six-year period of study (2000-2001 to 2005-2006 agricultural seasons) on orchard (a) and bare soil (b) classes. The endmembers are extracted on the whole area (top figures) and on the reference area (bottom figures). On all X-axis, the first day is 1st September.

34

Figure 8. Same as Figure 7 for the annual crop class: (a) 2000-2001 to 2002-2003 seasons, (b) 2003-2004 to 2005-2006 seasons. The endmembers are extracted on the whole area (top figures) and on the reference area (bottom figures). In figure a (bottom), the "4 year average" represents the average of the 2000-2001, 2002-2003, 2004-2005 and 2005-2006 annual crop endmembers. In figure b (bottom), "03-04 (rank1)" and "03-04 (rank2)" correspond to the endmembers linked to the 1st and the 2nd ranks in the minimisation procedure, respectively.

42

Figure 9. Maps of land use fractions derived from linear unmixing of MODIS data for
the six years of study (2000-2001 to 2005-2006 agricultural seasons): orchard (left),
bare soil (middle) and annual crop (right).

Figure 10. Estimated land use fractions averaged over Haouz irrigated sub-region for
the six years of study (2000-2001 to 2005-2006 agricultural seasons), together with the
annual average of irrigation.

4

5 Figure 11. Left: map of the relative root mean square error (RRMSE) maps, averaged

6 for the six years of study. Right: histogram associated to the spatial variation of 7 RRMSE.

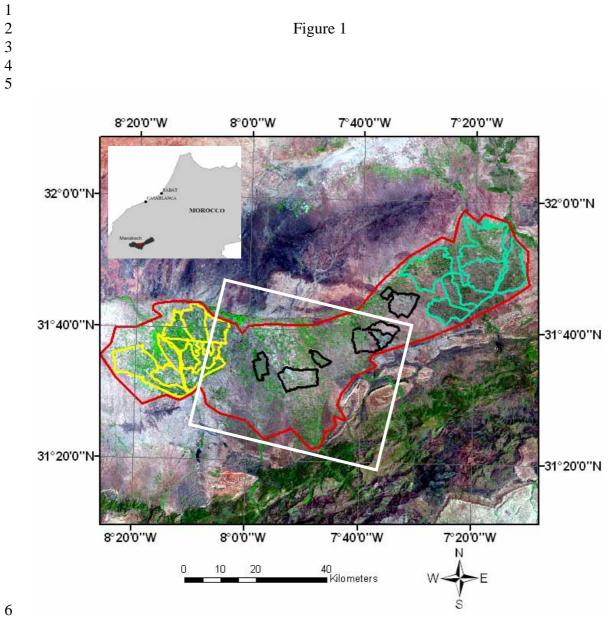
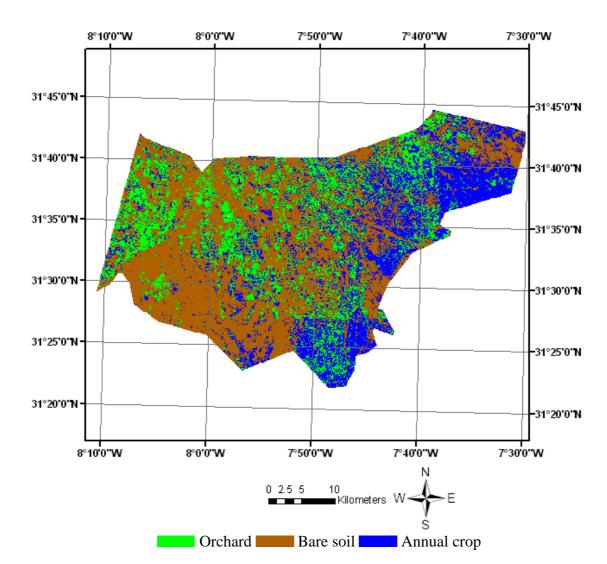
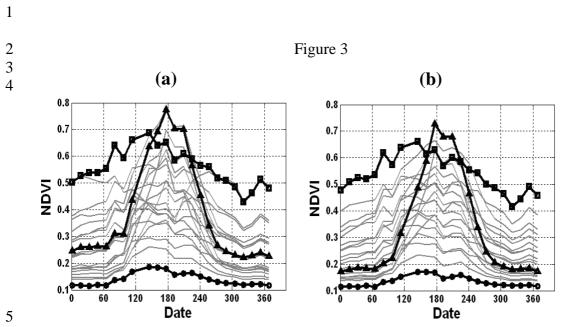


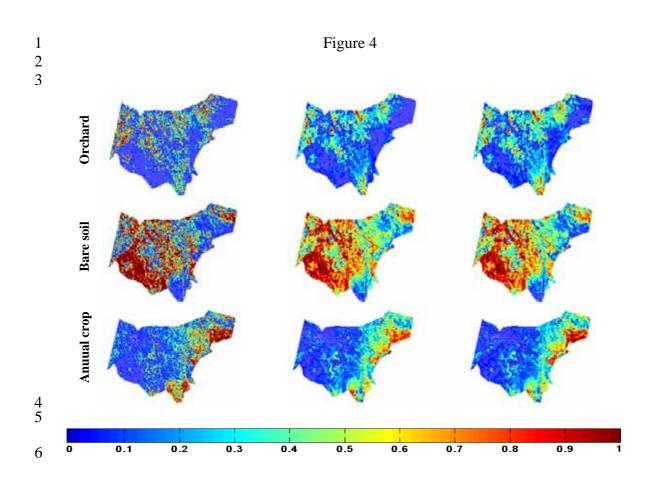


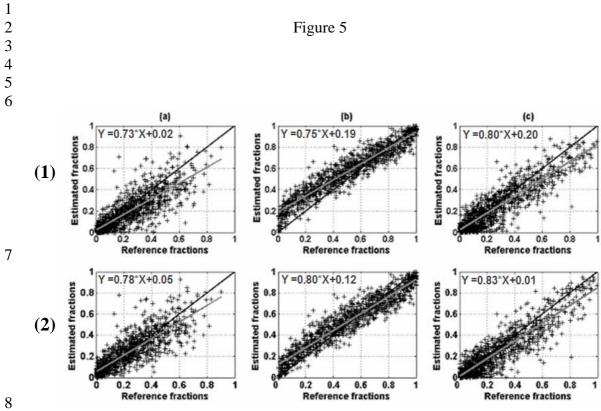
Figure 2



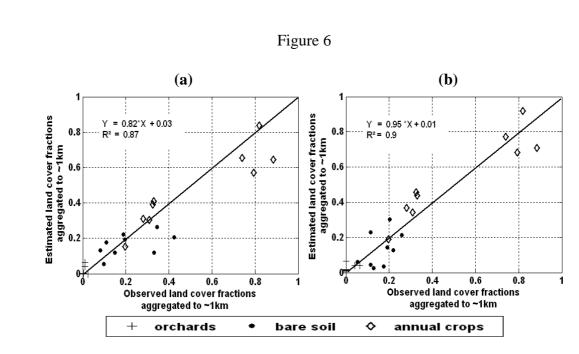


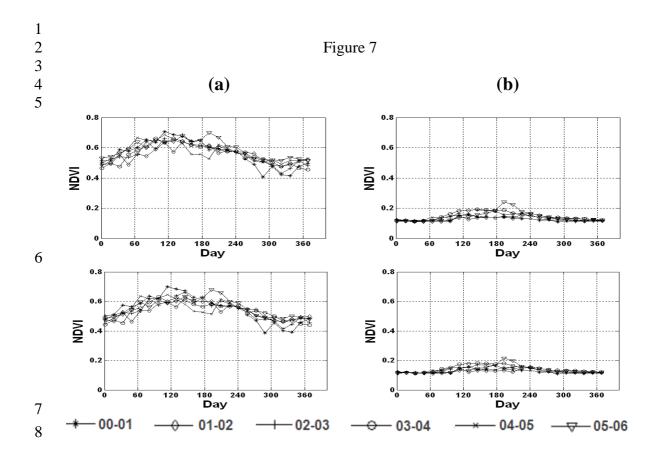












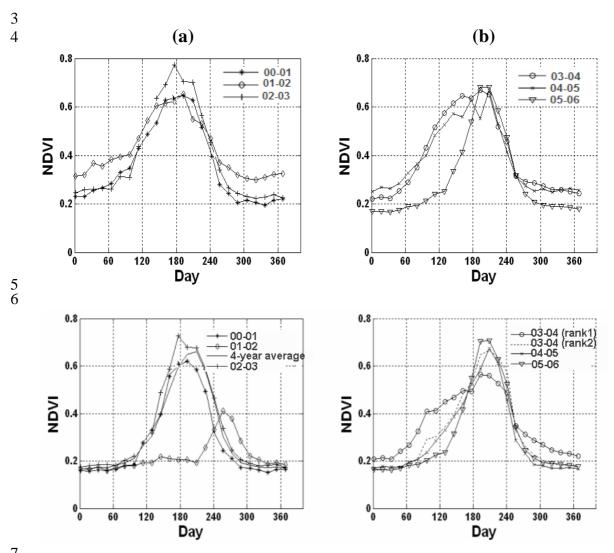
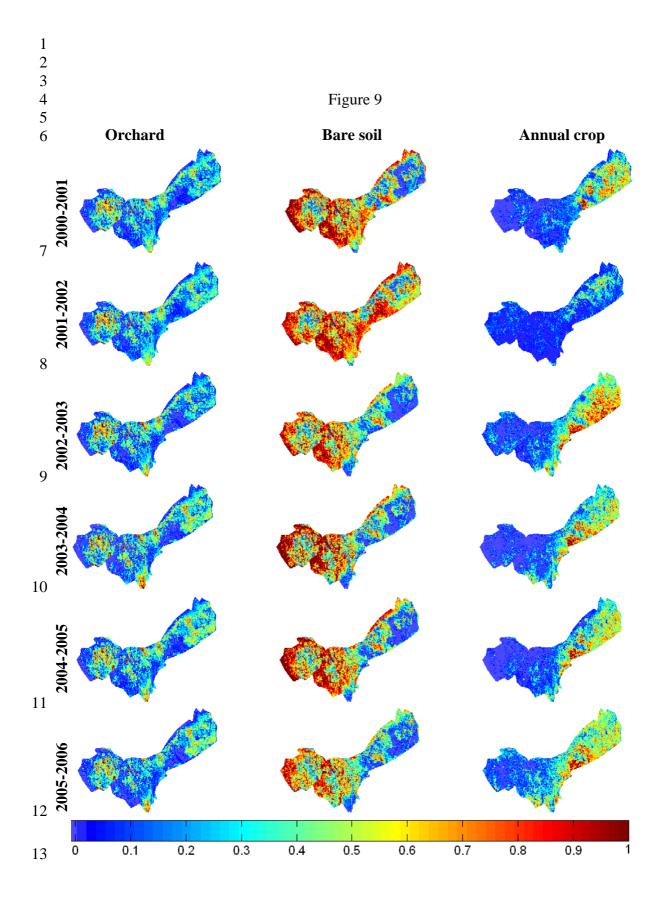


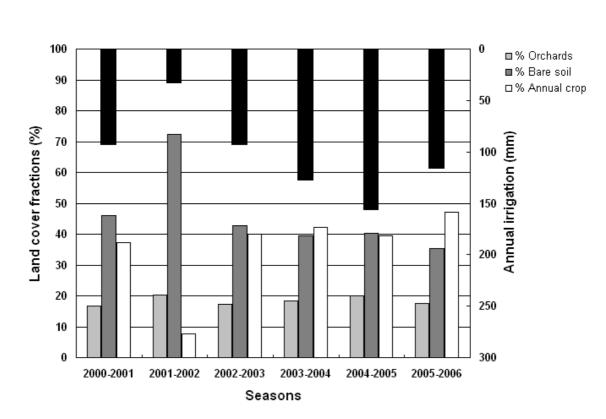
Figure 8











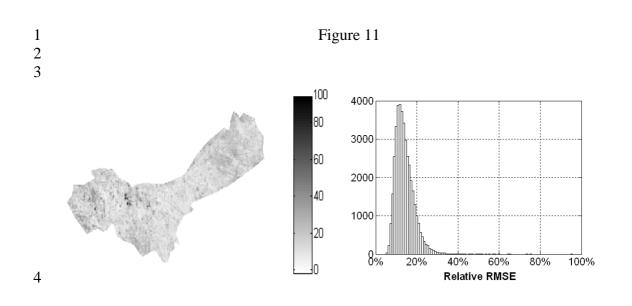


Table 1. Confusion matrix of the 2002-2003 reference land use map (in pixels)

		Field observations						
		Orchard on annual understory	Orchard on bare soil	Bare soil	Annual crop	total	Commission error (%)	
	Orchard	369	237	0	17	623	2.7	
Output classification	Bare soil	0	3	279	0	282	1.1	
	Annual crop	162	24	165	499	850	41.3	
	total	531	264	444	516	1755		
	Omission error (%)	30.5	10.2	37.2	3.3			

Overall Accuracy =77.6%

1 Table 2. Reference land use fractions (%) averaged over the 20 groups of pixels resulting

from the k-means classification of 2002-2003 MODIS data; gray colors highlight the 2 3 4

composition of the groups selected as endmembers; numbers in bold indicates the

highest purity for each of the three classes of interest.

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		Whole area	F	Reference area			
Group Orchard		Bare soil	Annual crop	Orchard	Orchard Bare soil		
1	70	3.4	26.6	71.1	3.7	25.2	
2	1.8	97.4	0.8	1.4	98.2	0.4	
3	26.6	1.3	72.1	8.8	2.9	88.3	
4	3.9	91.9	4.3	19.4	16.2	64.4	
5	57.2	12.5	30.3	54.0	9.2	36.8	
6	27.3	6.4	66.3	3.1	88.6	8.3	
7	3.8	73.5	22.7	29.4	57.6	13.0	
8	27.4	59.0	13.7	40.2	20.9	38.9	
9	50.1	19.8	30.1	4.3	93.1	2.5	
10	26.6	24.1	49.3	55.3	25.5	19.3	
11	55.4	26.5	18.1	3.5	10.7	85.8	
12	16.9	51.4	31.7	53.5	3.4	43.1	
13	41.4	41.2	17.4	65.5	12.3	22.2	
14	43.8	5.4	50.7	2.9	67.0	30.1	
15	64.0	9.1	26.9	24.8	41.5	33.7	
16	4.0	31.8	64.2	16.9	76.1	7.0	
17	15.5	77.2	7.3	33.7	4.4	62.0	
18	7.3	22.4	70.2	5.6	39.8	54.6	
19	52.9	1.8	45.4	15.4	65.0	19.5	
20	6.1	5.7	88.2	41.9	41.9	16.1	

- Table 3. Reference and estimated land use fractions (%) averaged over the reference area (2002-2003 season).
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	Orchard	Bare soil	Annual crop
land use fractions derived from high spatial resolution data	22.3	50.9	26.8
land use fractions derived from MODIS with the endmembers extracted on the whole area	18.7	57.4	23.9
land use fractions derived from MODIS with the endmembers extracted on the reference area	23.1	53.1	23.7

2	Table 4. Statistical variables calculated between the estimated and the reference land
3	use fractions (2002-2003 season, 1km spatial resolution); estimates are provided by the
4	linear unmixing model applied with the endmembers extracted on the whole area (left
5	part) and on the reference area (right part).
6	

		Whol	e area		Reference area			
Land class	R ²	RMSE	EFF	Bias	R ²	RMSE	EFF	Bias
Orchard	0.69	0.11	0.65	0.04	0.71	0.10	0.70	-0.01
Bare soil	0.90	0.12	0.82	-0.07	0.90	0.10	0.88	-0.02
Annual crop	0.81	0.11	0.79	0.03	0.82	0.10	0.80	0.03

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2 3 4 Table 5. Observed and estimated land use fractions (%) averaged over the 3 km x 3 km R3 area (2002-2003 season).

	Orchard	Bare soil	Annual crop
land use fractions observed at ground	1.7	23.5	74.8
land use fractions derived from MODIS with the endmembers extracted on the whole area	0.8	30.9	68.3
land use fractions derived from MODIS with the endmembers extracted on the reference area	3.3	18.7	78.0

1 Table 6. Estimated land use fractions averaged over the three main irrigated sub-regions

2	for the six years	of study (2000-2001	to 2005-2006	agricultural seasons).

Irrigated sub-regions	Statistics	2000- 2001	2001- 2002	2002- 2003	2003- 2004	2004- 2005	2005- 2006	Mean
	Orchard (%)	36.7	33.9	35.2	35.4	39.2	39.9	36.7
NFIS	Bare soil (%)	55.9	62.2	52.0	54.4	52.1	50.5	54.5
	Annual crop (%)	7.3	3.7	12.7	10.0	8.5	9.4	8.6
	Orchard (%)	16.6	20.2	17.3	18.3	20.0	17.6	18.3
Haouz	Bare soil (%)	46.1	72.2	42.8	39.5	40.4	35.3	46.1
	Annual crop (%)	37.3	7.6	39.9	42.2	39.5	47.1	35.6
	Orchard (%)	29.3	31.7	27.1	37.4	37.3	35.5	33.1
Tessaout	Bare soil (%)	21.7	47.5	16.4	20.0	18.4	17.8	23.6
	Annual crop (%)	49.0	20.8	56.5	42.6	44.3	46.7	43.3