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Jérémie Pourchez, Valérie Forest, Najih Boumahdi, Delphine Boudard, Maura Tomatis, et al.. In vitro cellular responses to silicon carbide nanoparticles: impact of physico-chemical features on pro-inflammatory and pro-oxidative effects. *Journal of Nanoparticle Research*, Springer Verlag, 2012, 14 (10), pp.1143. <10.1007/s11051-012-1143-7>. <hal-00734434>

HAL Id: hal-00734434

<https://hal.archives-ouvertes.fr/hal-00734434>

Submitted on 21 Sep 2012

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***In vitro* cellular responses to silicon carbide nanoparticles: impact of physico-chemical features on pro-inflammatory and pro-oxidative effects**

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Abstract Silicon carbide is an extremely hard, wear resistant and thermally stable material with particular photoluminescence and interesting biocompatibility properties. For this reason it is largely employed for industrial applications such as ceramics. More recently nano-sized SiC particles were expected to enlarge their use in several fields such as composite supports, power electronics, biomaterials etc. However, their large-scaled development is restricted by the potential toxicity of nanoparticles related to their manipulation and inhalation. This study aimed at synthesizing (by laser pyrolysis or sol-gel methods), characterizing physico-chemical properties of 6 samples of SiC nanopowders, then determining their *in vitro* biological impact(s). Using a macrophage cell line, toxicity was assessed in terms of cell membrane damage (LDH release), inflammatory effect (TNF- α production) and oxidative stress (ROS (Reactive Oxygen Species) generation). None of the six samples showed cytotoxicity while remarkable pro-oxidative reactions and inflammatory response were recorded, whose intensity appears related to the physico-chemical features of nano-sized SiC particles. *In vitro* data clearly showed an impact of the extent of nanoparticle surface area and the nature of crystalline phases (α -SiC vs. β -SiC) on the TNF- α production, a role of surface iron on free radical release, and of the oxidation state of the surface on cellular H₂O₂ production.

Keywords silicon carbide nanoparticles, laser pyrolysis, sol-gel, biological activity, toxicity, macrophage cell line.

Introduction

Silicon carbide (SiC) is a well-known ceramic material with remarkable properties such as high chemical inertness, elevated thermal stability and excellent mechanical properties. As a result, mainly as micro-sized particles, SiC is widely used for many different industrial purposes in the ceramic or composite material field. Moreover, nano-sized SiC particles are also considered as promising materials for refractory carbide nanostructured ceramics, power electronics or catalysis supports (Melinon *et al.* 2007; Leconte *et al.* 2007). More recently, attention was paid to photoluminescence properties which strongly depend on the

size of SiC nanoparticles (Fan *et al.* 2008; Kassiba *et al.* 2002). Thus, several applications using nanoscaled SiC emerged in life sciences such as the development of biomedical fluorescent probes or delivery systems of chemotherapeutic agents for cancer treatment (Duncan *et al.* 2006; Botsoa *et al.* 2008; Tong *et al.* 2009). All things considered, due to the applications proposed in diverse areas of technology, workers, consumers and patients exposure to SiC nanoparticles should increase in the next decades.

Toxicological data available in the literature are mainly obtained from experiments conducted on micro-scaled SiC particles or fibers. It has been shown that SiC dust does not induce harmful effects on tissues, allowing to consider SiC material as quite biologically inert (Bruch *et al.* 1993a, 1993b). Conversely, other studies suggest potential adverse effects induced by SiC. On one hand, *in vivo* exposure to SiC microparticles triggers lung inflammation (Cullen *et al.* 1997), granulomas (Vaughan *et al.* 1993), bronchoalveolar hyperplasia and severe lung fibrotic changes (Akiyama *et al.* 2007). On the other hand, *in vitro* responses to SiC microparticles were characterized by significant cytotoxic and genotoxic effects (Vaughan *et al.* 1993), reactive oxygen species (ROS) generation (Svensson *et al.* 1993) and stimulation of pro-inflammatory cytokines production like TNF- α (Cullen *et al.* 1997). Recent *in vitro* studies, specifically devoted to SiC nanoparticles, highlighted an accumulation in A549 lung epithelial cells, a major cell redox status disturbance and DNA damage (Barillet *et al.* 2010a; 2010b). Thus, the authors proposed to reconsider SiC nanoparticles biocompatibility and to handle SiC nanoparticles with caution until more toxicological information is available.

In this context, to support the growing market of SiC nanopowders and nanocomposite materials, it seems imperative to study and understand the

interactions between SiC nanostructures and living cells. Consequently, we conducted a multidisciplinary study in order to examine the impact of physico-chemical parameters of SiC nanoparticles on *in vitro* cellular responses. As a matter of fact, a main challenge is the lack of comprehensive data to assess the potential toxicity of SiC nanoparticles regarding different sizes, surfaces, structures or chemical composition. As these gaps need to be filled, we aimed at identifying physico-chemical properties of SiC nanoparticles that could affect biological activity and that could be directly related to the toxicity of the nanoparticles. To reach this objective we synthesized at the laboratory scale by the laser pyrolysis and sol-gel processes 6 different SiC nanoparticles showing different sizes (from 15 to 60nm), crystallographic structures (*i.e.* β -SiC corresponding to SiC-3C polytype with or without the presence of α -SiC corresponding to SiC-6H polytype), variable C/Si atomic ratio (*i.e.* silicon or carbon excess), surface oxidation (*i.e.* the formation of a silica or an oxycarbide layer around the SiC grain) or chemical surface impurities (*i.e.* the quantification of the amount of iron per unit surface). Selectively, we also used during this study a sample of pure α -SiC synthesized by mechanical wet-milling technique. *In vitro* toxicological assessment was carried out using a macrophage cell line (RAW 264.7, a cultured murine macrophage widely used in nanotoxicology studies due to their phagocytosis capacity), in order to determine the cytotoxicity (LDH release), the pro-inflammatory response (TNF- α secretion), and the oxidative stress (H₂O₂ specific production). The potential to generate free radicals HO•, COO•⁻ was also investigated in cell free conditions.

Experimental

SiC nanoparticle synthesis

In this study, various SiC nanopowders were synthesized using two methods: Laser pyrolysis or sol-gel process. SiC nanoparticles synthesis by continuous CO₂ laser pyrolysis has already been reported (Herlin-Boime *et al.* 2004). This technique is based on the interaction between a powerful laser beam and a mixture of gaseous or liquid precursors (Leconte *et al.* 2007). Five SiC nanopowders (named LP1 to LP5) have been synthesized by laser pyrolysis of silane (SiH₄) and acetylene (C₂H₂) gaseous precursors (Leconte *et al.* 2007; Cauchetier *et al.* 1988). The laser radiation is absorbed by silane causing the increase of the reaction temperature. The thermal energy is then transferred to acetylene by collisions leading to molecular dissociation. This decomposition is followed by nucleation and growth of hot nanoparticles emitting in an incandescent flame in the temperature range 1200°C-2000°C. A rapid cooling at the exit of the reaction zone limits the particle size, which are collected downstream the reactor. The nanoparticles obtained by laser pyrolysis are usually of spherical shape. The control of the synthesis parameters (C/Si atomic ratio of the gaseous precursors, reactant flow rates, laser power) leads to the synthesis of SiC nanoparticles with well-defined chemical composition and degree of crystallization. Moreover, the grain size is controlled by the time of residence in the reaction zone. LP1 nanopowder corresponds to typical nanoparticles of β-SiC synthesized by laser pyrolysis. Compared to SiC LP1, SiC LP2 and LP3 samples correspond to SiC nanoparticles enriched in carbon and silicon respectively. SiC LP4 and LP5 samples correspond to nanopowders with coarse grains of larger size voluntarily obtained during laser synthesis respectively by increasing particles time residence in the reactor (Cauchetier *et al.* 1988; Tougne *et al.* 1993) and with a higher laser

power (Fantoni *et al.* 1990; Herlin-Boime *et al.* 2004) allowing the modification of some properties such as grain size and crystallinity.

The synthesis of SiC powder by a sol-gel process has been extensively studied for the last twenty years and used for the production of fine spherical particles of SiC (Hatakaeyama *et al.* 1990; Seog *et al.* 1993). This process is a wet-chemical technique starting from a colloidal solution (sol) which contains the precursors of an integrated network (or gel). The sol-gel process generally involves the use of metal alkoxides which undergo hydrolysis and condensation polymerization reactions to form gels. In our case, precursors are PTES (phenyl-triethoxy silane) and TEOS (triethoxy silane) which after hydrolysis and polycondensation form a colloid. After 24h at 20°C, the gel collected is dried (60°C/48h) and a thermal treatment is carried out (1500°C during 4h) in argon atmosphere leading to the carbothermal reduction of SiO₂. The nanopowder synthesized by this chemical route (named SiC SG) corresponds to spherical nanoparticles of β-SiC.

SiC nanopowders characterization

The particles morphology is assessed by electron microscopy in the SEM mode in a field-emission scanning electron microscope (JEOL JSM-6500F). The specific surface area (SSA, m²/g) was determined by N₂ adsorption at 77K after out-gassing 2h at 200°C (Micromeritics ASAP 2000), using the Brunauer-Emmet-Teller (B.E.T.) method. The density (ρ , g/cm³) was determined using a gas pycnometer (Micromeritics AccuPyc 1330) working under helium atmosphere. Results are expressed as means of 10 successive measurements on the same sample. Particle diameter (BET size, nm) was calculated as $\text{BET size} = 6000 / (\rho \times \text{SSA})$. X-ray diffraction (XRD) experiments were performed at room temperature to identify the different crystalline phases (Siemens D5000) with a

semi-quantitative analysis by means of Rietveld method (Siroquant V2.5 software). The crystallite size was calculated from diffractograms using the Scherrer relation (Topaz-4P software). Concentration of constitutive elements (Si, C) and impurities (O, Fe) was deduced from elemental chemical analysis by inductively coupled plasma spectrometry (ICP, Jobin-Yvon Activa) and LECO (N/O)/(C). The oxidation of SiC nanopowders was characterized by surface analysis by photoelectron spectroscopy (XPS, Thermo VG Thetaprobe).

Free radical release

The spin trap technique (Fubini *et al.* 1995), with electron paramagnetic resonance (EPR), has been used to evaluate the potential of SiC nanoparticles to generate free radicals ($\text{HO}\cdot$, $\text{COO}\cdot^-$) in aqueous suspensions. 45 mg of each powder was suspended in a buffered solution (0.5M potassium phosphate buffer, pH 7.4) containing 0.075M of DMPO (5-5'-dimethyl-1-pyrroline-Noxide) as spin trapping agent. The reaction was started by adding the target molecule: hydrogen peroxide (0.05M), in order to mimic the contact with H_2O_2 -rich lysosomal fluids following phagocytosis of the particles by alveolar macrophages, or sodium formate (1.0M) used as a “model” target molecule for homolytic cleavage of a carbon-hydrogen bond. The radical yield was progressively measured in a 50 μl aliquot of the suspension up to one hour by EPR spectroscopy (Miniscope 100 EPR spectrometer, Magnettech, Germany) using the following parameters: field center 3345 G, scan range 120 G, microwave power 10 mW, modulation amplitude 1 G, scan time 80 s, number of scans 2. The use of internal standard (Mn) enables radical activity quantification. Each experiment was repeated three times.

Cell line and culture conditions

The RAW 264.7 cell line derived from murine peritoneal macrophages (MA) transformed by the AML Virus (Abelson Murine Leukemia Virus) was provided by the ATCC Cell Biology Collection (Promochem LGC, Molsheim). Cells were cultured in Dulbecco's Modified Eagle's Medium (DMEM, Invitrogen), complemented with 10% of fetal calf serum (FCS, Invitrogen), 1% penicillin-streptomycin (penicillin 10 000 units/mL, streptomycin 10mg/mL; Sigma-Aldrich, Saint-Quentin Fallavier, France) and incubated at 37°C under a 5% carbon dioxide humidified atmosphere.

Cell viability was determined by trypan blue dye exclusion (FDA, Sigma). For each experiment, as described by Leclerc *et al.* (2010, 2012), cells were prepared in 96-well plates (100 000 cells/well for TNF- α and LDH assays, and 300 000 cells/well for H₂O₂ parameter) in 25 μ L of complete DMEM (DMEMc). Suspensions of SiC powders were prepared in a 75 μ L volume of DMEMc which were added to the culture and incubated for 90 min or 24h at 37°C in a 5% CO₂ atmosphere. The granulometric stability of the suspensions was checked (dynamic light scattering, nanozetasizer, Malvern instrument) before adding to the cell suspension. Different doses were tested: 15, 30, 60 and 120 μ g nanoparticles per mL of DMEMc. Negative and positive controls of toxicity, corresponding respectively to the cells incubated alone or incubated with DQ12 quartz (Bruch *et al.* 2004) were included. Three independent experiments were performed for each condition.

Cytotoxicity assays

The activity of the lactate dehydrogenase (LDH) released from cells with damaged membranes in the culture supernatant was assessed after a 24H

incubation of cells with nanoparticles. The CytoTox-ONE™ Homogeneous Membrane Integrity Assay (Promega, Charbonnières les bains, France) was used according to the manufacturer's instructions. Detection was performed using a fluorometer (Fluoroskan Ascent, Thermolabsystems), with excitation/emission wavelengths set at 530/590nm. The activity of the released LDH was reported to that of total cellular LDH (measured after the lysis of control cells) and was expressed as a percentage of the control.

Pro-inflammatory response

Tumor Necrosis Factor alpha (TNF- α) production was assessed in the culture supernatant after a 24H incubation of cells with nanoparticles. A commercial ELISA Kit (Quantikine® Mouse TNF- α Immunoassay, R&D Systems, Lille, France) was used according to the manufacturer's instructions. The optical density of each well was determined using a microplate reader (Multiskan RC, Thermolabsystems, Helsinki, Finland) set to 450nm. A standard curve was established and results were expressed in pg/mL of TNF- α .

Oxidative stress and H₂O₂ secretion

Acute oxidative stress was assessed by the production of H₂O₂ due to cellular stress (ROS parameter) in the condition of a 90 min short term interaction with nanoparticles. Macrophages ($3 \cdot 10^5$ MA/well in a 96 well plate) were incubated in their culture medium at 37°C under a 5% CO₂ atmosphere with the different SiC particle solutions. After this cell time contact, hydrogen peroxide H₂O₂ production was measured according to the protocol of De la Harpe and Nathan (De la Harpe *et al.* 1985). Briefly, KRPG buffer, containing a mixture of scopoletin (30 μ M), NaN₃ (1mM) and horseradish peroxidase (1 unit pupurogallin/ml HPO) was added

to cells. Over a 90 min period, fluorimetric determination (355/460 nm excitation/emission wavelengths) of scopoletin oxidation, catalyzed by horseradish peroxidase was measured (Fluoroscan Multiskan). Results were expressed as the quantity of H₂O₂ released by cells (nmol H₂O₂/10⁶ MA).

Statistical analysis

Results are expressed as means of three independent experiments. Statistical significance was declared when $p < 0.05$ as determined using a Student test.

Results and discussion

Physico-chemical characterization of SiC nanoparticles

The 6 nanopowders of β -SiC (with sometimes the presence of minor α -SiC crystalline phase) were produced at the laboratory scale by sol-gel or laser pyrolysis techniques, while we selectively used a sample of pure α -SiC synthesized by mechanical wet-milling. All characterization data are summarized in Table 1. The density was quite the same for the different nanopowders ranging from 3 to 3.2g/cm³. All batches of β -SiC and α -SiC nanoparticles exhibited spherical morphology as illustrated in Figure 1A.

The 3 nanopowders LP1, LP2 and LP3 showed very similar features in terms of size and crystallographic structure: β -SiC pure cubic phase (SiC-3C polytype), SSA from 125 to 140m²/g, BET size from 14 to 15nm and crystallite size of 4nm. The difference between the BET size and the crystallite size indicated the existence of polycrystalline nanoparticles often observed for this particle size. These 3 nanoparticles mainly differed in their C/Si atomic ratio (LP1 is stoichiometric C/Si=1, whereas LP2 showed a carbon excess C/Si=1.21 and LP3 a

silicon excess $C/Si=0.81$) and inducing a difference in their surface chemical composition: LP3 nanopowder appeared more oxidized (mainly due to the excess of silicon) and contained more iron impurities than LP1 and LP2 (*i.e.* 7-8% vs. 14 % of O1s, and 100-200ppm vs. 605ppm of iron). Let us note that the difference in chemical composition in the LP1 to LP5 samples was in good agreement with the density measurements: only the stoichiometric sample LP1 exhibited the theoretical density of SiC (*i.e.* 3.2). The others samples showed a slightly lower density correlated to the presence of phases with lower density (carbon or oxides). Another point concerns the presence of iron impurities in these LP samples. As the synthesis by itself occurred in a wall free zone, the presence of iron in the nanoparticles may tentatively be attributed to interaction of highly abrasive SiC nanoparticles with metallic walls and filters of the collection zone where the particles are trapped.

The second part of the nanoparticles range synthesized by laser pyrolysis (LP4 and LP5) was composed of β -SiC particles having the same C/Si ratio of 0.88 (*i.e.* with a silicon excess) with increasing BET diameters (37nm for LP4 vs. 59nm for LP5), SSA ($52\text{m}^2/\text{g}$ for LP4 vs. $33\text{m}^2/\text{g}$ for LP5), and crystallite size (16nm for LP4 vs. 26nm for LP5). In this case, the difference between the BET size and the crystallite size was too low to indicate the existence of polycrystalline nanoparticles, and was mainly due to stacking faults of cubic SiC nanograins (Figure 1B). Moreover, the presence of α -SiC hexagonal phase (SiC-6H < 10 %) and iron impurities (around 500ppm) was emphasized for both LP4 and LP5. The presence of SiC-6H phase was not a surprise as this crystalline phase is commonly observed in thin films (Colder, 2005) or gas-phase synthesized nanoparticles (Leconte *et al.* 2008; Tougne *et al.* 1993) where stacking faults can be observed within cubic SiC nanograins or crystallites. Thus, in the present case, the presence

of 6H phase in XRD diagrams does not relate to a mixture of 3C nanograins with 6H grains, but to extended crystalline defects within the 3C grains (Figure 1B - Leconte *et al.* 2008). Besides, LP5 exhibited a high oxidation state compared to LP4 (22% vs. 8% of O1s detected at the nanoparticle surface).

The batch of nanoparticles produced by the sol-gel technique showed similar crystallographic structure than LP4/LP5 (*i.e.* β -SiC corresponding to cubic SiC-3C polytype with the presence of α -SiC corresponding to hexagonal SiC-6H polytype lower than 10%) and C/Si ratio (*i.e.* C/Si equal to 0.88), but with BET size and SSA similar to that of LP1/LP2/LP3 (*i.e.* BET size of 15nm and SSA of 125m²/g). The SG nanoparticles also showed a high purity (no iron detected) and a very low oxidation of Si atoms (8% of O1s) at the nanoparticles surface.

Finally, we used also a sample of pure α -SiC nanoparticles (named M) in order to highlight the impact of the nature of the crystalline phase on the *in vitro* responses. The high amount of iron impurities (2830 ppm) was in good accordance with the mechanical wet-milling technique used to synthesize this powder. As a matter of fact, LP1/LP2/LP3 are pure β -SiC (SiC-3C polytype), SG/LP4/LP5 showed the presence of α -SiC SiC-6H polytype < 10%), and the M sample corresponded to a pure α -SiC (SiC-6H polytype).

***In vitro* cellular responses**

The biological activity of SiC nanoparticles was evaluated on a macrophage cell line using 4 different cellular and molecular parameters: LDH release reflecting the cytotoxicity and especially the integrity of the cell membrane (Figure 2), TNF- α production assessing the inflammatory response (Figure 3), acute oxidative stress followed by the H₂O₂ cellular production (Figure 4) and the

capacity of nanoparticles to generate free radicals in cell free conditions (Figure 5).

Firstly, no effect was observed concerning the percentage of released LDH (Figure 2). All values in presence of SiC nanoparticles were at the same level as the negative control (*i.e.* cells without nanoparticle contact), supporting the conclusion that all SiC nanoparticles studied were not cytotoxic. Only the positive control (*i.e.* DQ12 quartz) showed a significantly enhanced and dose-dependent LDH release.

Three different behaviors were observed concerning the pro-inflammatory response induced by SiC nanoparticles. Figure 3A clearly shows that a strong variation in TNF- α concentration and a dose-response relationship occurred when the M and SG nanoparticles were incubated for 24H with macrophages. The pro-inflammatory effect induced by the SG nanoparticles was quite similar to that of the DQ12 quartz used in this study as a positive control, while the pro-inflammatory effect induced by M was constant and significantly higher than both SG and DQ12. An intermediate pro-inflammatory response is observed for LP4 and LP5 with a significant but moderate increase of TNF- α production. Finally, LP1, LP2 and LP3 exhibited no pro-inflammatory effect as the TNF- α production remains similar to that of the negative control (*i.e.* cells incubated without nanoparticles).

As reported by Figure 4, LP1, LP2 and LP3 nanoparticles induced little or no oxidative stress ($50\text{nmol} < n \text{H}_2\text{O}_2 < 100\text{nmol}$) while the H_2O_2 production was higher for LP4 and SG nanoparticles ($50\text{nmol} < n \text{H}_2\text{O}_2 < 180\text{nmol}$). The most important acute oxidative stress appeared for LP5 ($150\text{nmol} < n \text{H}_2\text{O}_2 < 250\text{nmol}$). Finally, in cell free conditions, no radical release was observed for LP1 and SG. LP2 and LP3 were able to generate COO^\bullet , but not HO^\bullet radicals.

Significant HO• radical release was observed for LP4 (nsHO•= 21nmol/m²) and more importantly for LP5 (Figure 5). This sample exhibited the highest activity in COO• (ns COO•=400nmol/m^{2*}) and HO• generation per unit surface (47nmol/m²). LP5 also contained the higher amount of surface iron (about 11 μg/m²).

Impact of C/Si atomic ratio on the *in vitro* cellular responses

LP1, LP2 and LP3 were 3 SiC nanoparticles showing similar crystallite size (4nm), BET size (14-15 nm), SSA (125-139m²/g), oxidation state of the surface (7-8% of O1s) but increasing C/Si atomic ratio from 0.88 to 1.21 (Table 1). This nanoparticle panel does not differ by their cytotoxicity (no cell membrane impairment detected, Figure 2) and their pro-inflammatory response (TNF-α values at the same level as the negative control, Figure 3A). Thus, no influence of the C/Si atomic ratio on the *in vitro* cellular responses was highlighted.

Impact of SiC nanoparticle crystallite size and crystalline phase on the *in vitro* cellular responses

On the one hand, SG and LP1, LP2, LP3 were nanoparticles with identical BET size (14-15nm) and disparate crystallite size (14 for SG vs. 4nm for LP1/LP2/LP3). Indeed, SG was the only SiC nanoparticles for which BET and crystallite size were identical whereas for the other nanoparticles the crystallite size was lower than the BET size. As a result SG was the only mono-crystallite SiC nanoparticle. Besides, a strong difference on the TNF-α production was observed between on one hand SG and on the other hand LP1, LP2, LP3 (Figure 3A). As a matter of fact, the SG monocryallite nanoparticles triggered a TNF-α

production similar to that of quartz DQ12, while SG showed a silicon oxycarbide layer (and not a silica layer) at its surface.

On the other hand the impact of the presence α -SiC on the pro-inflammatory response was clearly put in evidence. Based on the range of SiC nanoparticles synthesized at the laboratory scale, when the presence of α -SiC was detected by XRD analysis a moderate or elevated TNF- α production was observed (*i.e.* SG/LP4/LP5 – Figure 3A) while no pro-inflammatory response was highlighted where the nanoparticles were composed of pure β -SiC crystalline phase (*i.e.* LP1/LP2/LP3 – Figure 3A). The use of the sample M confirmed that the α -SiC crystalline phase induces a higher TNF- α production by comparison of β -SiC nanoparticles (data not shown, constant level of TNF- α production at 2000 ± 220 pg/ml whatever the dose in the range 20-120 μ g/ml). Therefore, it strongly suggests that the crystallite size and the presence of β -SiC (*i.e.* SiC-6H hexagonal polytype) play a major role on the pro-inflammatory response.

Impact of nanoparticle specific surface area on the *in vitro* cellular responses

Focusing on SG, LP4 and LP5, an influence of SiC nanoparticle surface area was observed on the pro-inflammatory effect. If we express the dose of nanoparticles in surface instead of in mass (Figure 3B), a correlation between the TNF- α production and the SiC nanoparticles introduced in wells can be highlighted. Indeed, including 10 different points coming from SiC showing a significant TNF- α production (*i.e.* SG, LP5 and LP4) a linear correlation was observed ($R^2=0.97$). This correlation was obtained for β -SiC nanoparticles with the presence of α -SiC lower than 10% (*i.e.* SG, LP5 and LP4), confirming the impact of both the crystalline phase and the SSA. All things considered, the

specific surface area appears as a key parameter on the pro-inflammatory response when nanoparticles containing α -SiC crystalline phase are incubated with macrophages.

Impact of the iron content on nanoparticles surface on the *in vitro* cellular responses

An important influence of the iron impurities was highlighted on the capacity of nanoparticles to generate free radicals both in cellular test (Akhtar et al. 2010; Kagan et al. 2006) and in cell free condition (Turci *et al.* 2011; Ball *et al.* 2000). Ferrous ions (Fe^{2+}) may generate hydroxyl radicals through the Fenton reaction ($\text{Fe}^{2+} + \text{H}_2\text{O}_2 \rightarrow \text{Fe}^{3+} + \text{OH}^- + \text{HO}^\bullet$) (Prousek 2007). Metallic iron (Fe^0) and ferric ions (Fe^{3+}) can produce HO^\bullet radicals through a Fenton-like mechanism where Fe^{2+} is generated respectively by oxidation of Fe^0 (Keenan *et al.* 2011) by O_2 or H_2O_2 , mainly at acidic pH, and reduction of Fe^{3+} by endogenous reductants such as ascorbic acid or superoxide anion. In this context it is not surprising to highlight a correlation between the iron content at the SiC nanoparticles surface and the production of hydroxyl radicals.

Impact of the surface oxidation of SiC nanoparticles on the *in vitro* cellular responses

The XPS analysis clearly demonstrated that all SiC nanoparticles exhibit a more or less important surface oxidation (Table 1). However, results showed that LP5 and LP3 seem to be more oxidized with a formation of a silica phase layer (Si-O_2) whereas SG, LP1, LP2 and LP4 rather exhibit the formation of a thin layer of silicon oxycarbide phases ($\text{Si-O}_x\text{-C}_y$). If we compare the biological activity of LP5 and LP4, quite similar in their physico-chemical features except the presence

of a silica layer for LP5, no specific difference appears concerning the TNF- α production. Thus the nature of the surface oxidation layer (silica vs. silicon oxycarbide) does not seem to have a significant influence on the pro-inflammatory response. Moreover, even if LP5 and LP3 with a silica phase layer induce a significant H₂O₂ production, other SiC nanoparticles having a thin layer of silicon oxycarbide phases (such as LP4) exhibit similar or higher H₂O₂ production. As a result, the different kinds of surface oxidation layer of SiC nanoparticle could partially mediate the H₂O₂ cellular production.

Conclusion

The toxicity of nanoparticles is known to be closely related to their physico-chemical characteristics (size, shape, surface area, chemical composition etc...). In order to deepen such a relationship, an accurate physico-chemical characterization of different SiC nanopowders associated with an *in vitro* global evaluation of their biological activity were carried out. Results clearly showed the impact of C/Si atomic ratio, specific surface area, crystallite size, crystallite phase, surface oxidation and iron impurities on cellular responses. Interestingly, opposite to what happens with other toxic particles, *e.g.* silica (Fubini *et al.* 2001), we show here that all the types of SiC nanoparticles tested did not induce any cytotoxic effect. By contrast cellular responses of variable intensity - related to oxidative stress and inflammation - were observed, depending on the physico-chemical features of the nano-sized SiC particles. Firstly a linear correlation was observed between the surface area of SiC nanoparticles and TNF- α production. The crystallite size and the presence of the α -SiC crystalline phase also seemed to have a strong impact on the pro-inflammatory response. Moreover, the different

kinds of surface oxidation layer of SiC nanoparticles (presence of silica vs. silicon oxycarbide layer) seemed to partially mediate the H₂O₂ cellular production. Finally, the free radical production in acellular conditions seems to be associated to the iron content at the nanoparticles surface. However, opposite to other cases (*e.g.* silica, asbestos) in which even traces of iron ions are able to trigger the Fenton reaction (Fubini *et al.* 2001; Turci *et al.* 2010), for SiC nanoparticles a threshold effect around 11 μg/m² has been observed.

Few studies were devoted to the toxicity of SiC nanoparticles. The data presented in this paper support the conclusion that the commonly accepted SiC biocompatibility should be reconsidered for nano-sized particles in agreement with recent studies (Barillet *et al.* 2010a; 2010b). SiC nanoparticles cannot be considered as totally biologically inert materials. Even if SiC nanoparticles do not exert any cytotoxic effects, the limited - though sometimes significant - pro-inflammatory response and/or oxidative stress suggest a potential toxicity in cellular cultures that should be further investigated.

Further investigations are also necessary to a better understanding of the relationship between SiC physico-chemical features, cellular responses and the underlying mechanisms. However this multidisciplinary study can be helpful in the frame of the design approach to achieve safer SiC based nanotechnology (Morose *et al.* 2010). Indeed, it appears more cautious for companies to try to mitigate the potential risks of nanoparticles during the design stage rather than downstream during manufacturing or customer use. This is why, using safer design principles, at least 4 parameters (*i.e.* surface area, crystallite size, nature of crystallite phase and iron content) should be well-controlled to preserve the desired product functionality but also to reduce at the same time the potential

hazard of SiC nanoparticles, particularly as far as pro-inflammatory responses and the free radicals release are concerned.

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Fig. 1 Size and shape of cluster of SiC nanoparticles LP5 observed by scanning electron microscopy (A) and high-resolution transmission electron microscopy observation with the presence of α -SiC and β -SiC due to stacking faults in cubic SiC nanograins (B - Leconte *et al.*, 2008)

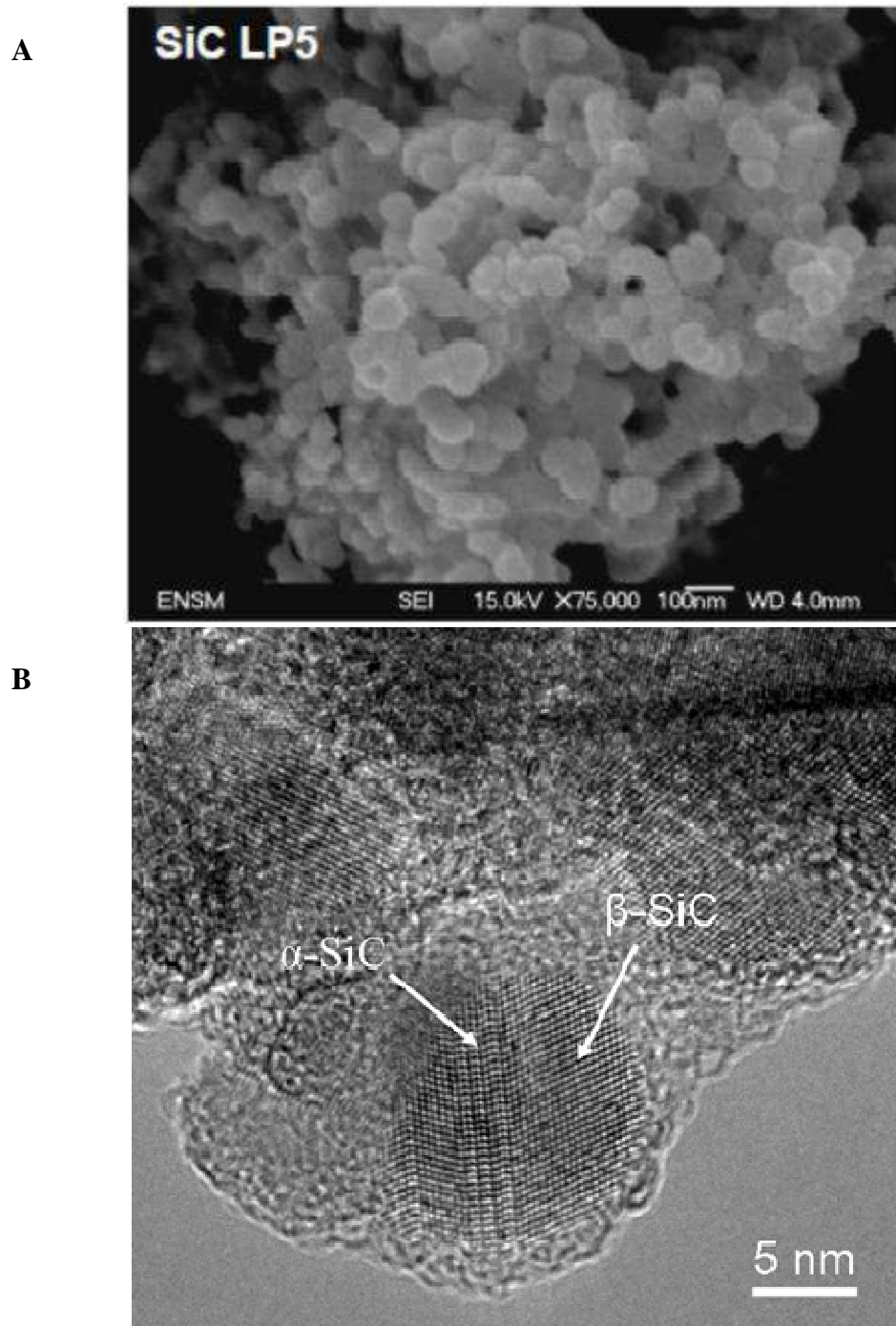


Fig. 2 Cytotoxicity of SiC nanoparticles determined by the LDH release (reported to that of total cellular LDH measured after control cells lysis). Standard deviation and statistically significant differences from the negative control (cells incubated without nanoparticles) are also indicated: * $p < 0.05$, ** $p < 0.01$.

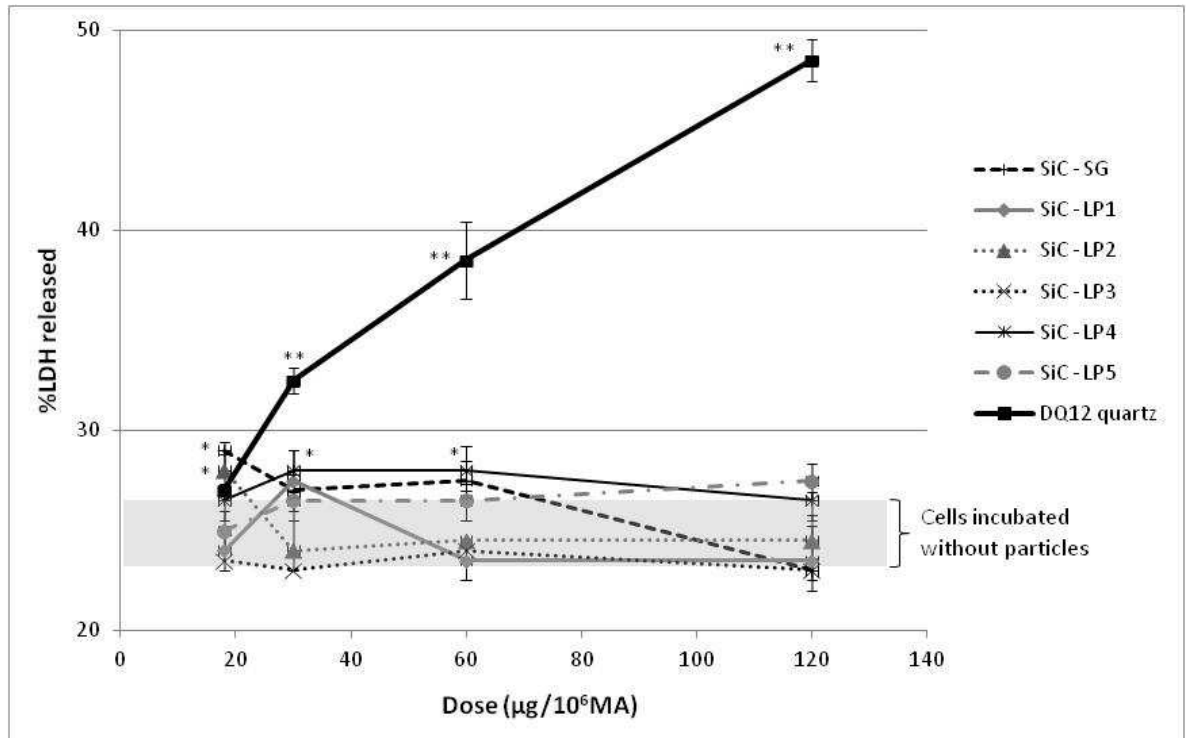


Fig. 3 Pro-inflammatory effect determined by the TNF- α production after a 24H incubation of cells with SiC nanoparticles. Doses were expressed in mass (A) or surface (B). Results are means of 3 independent experiments. Standard deviation and statistically significant differences from the negative control (cells incubated without nanoparticles) are also indicated: * p<0.05, ** p<0.01.

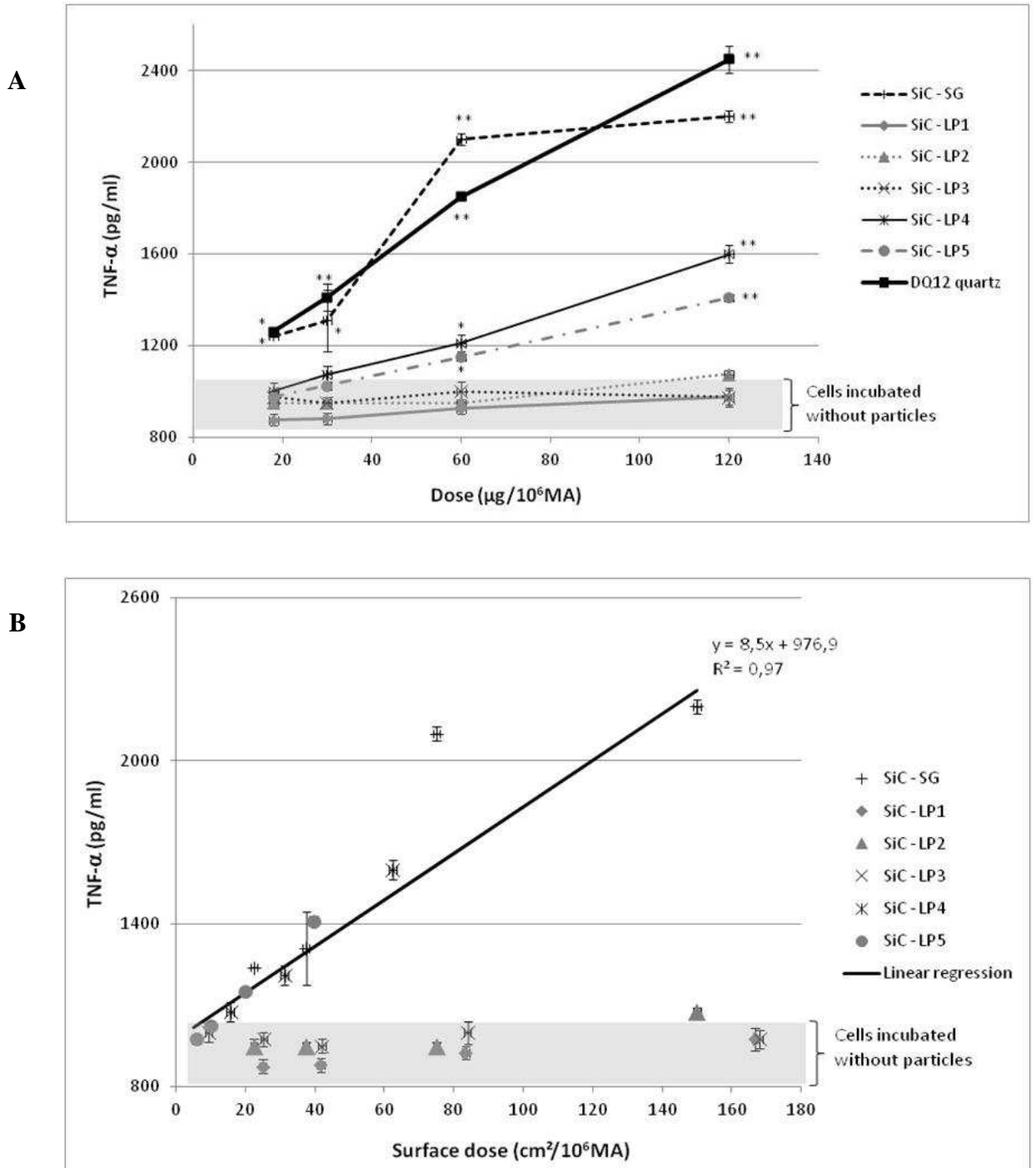


Fig. 4 Acute oxidative stress induced by the different SiC nanoparticles as assessed by the H₂O₂ production. Results are means of 3 independent experiments. Standard deviation and statistically significant differences from the negative control (cells incubated without nanoparticles) are also indicated: * p<0.05, ** p<0.01.

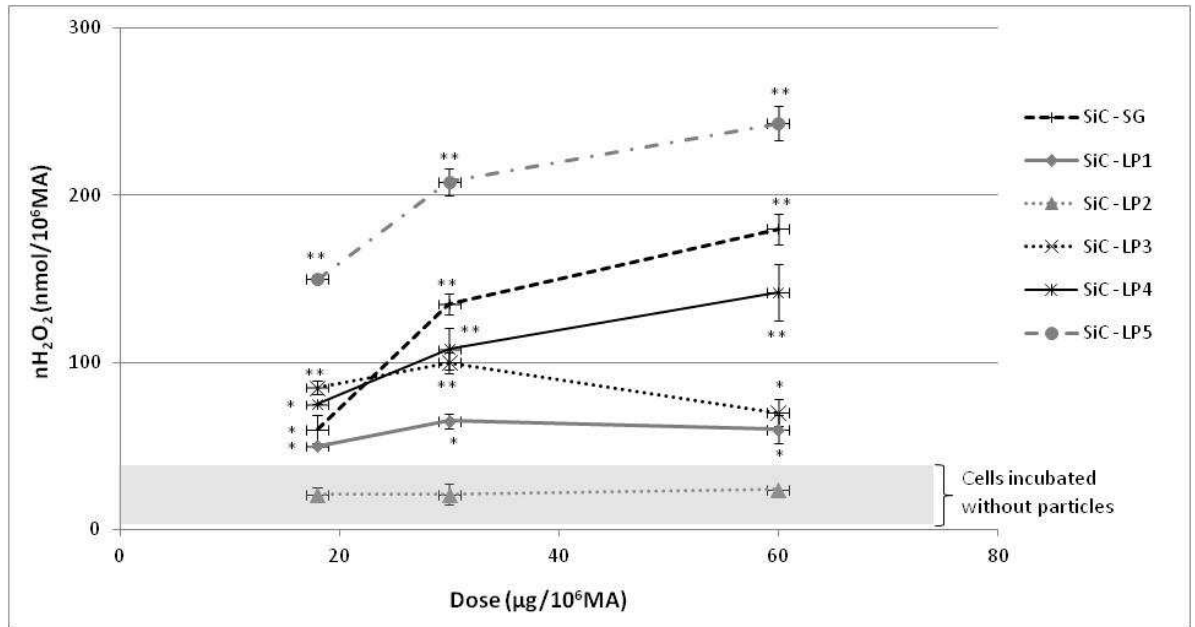


Fig. 5 Influence of iron impurities contained in the different SiC nanoparticles on the free radical release A) HO• and B) COO•-, as determined by electron paramagnetic resonance.

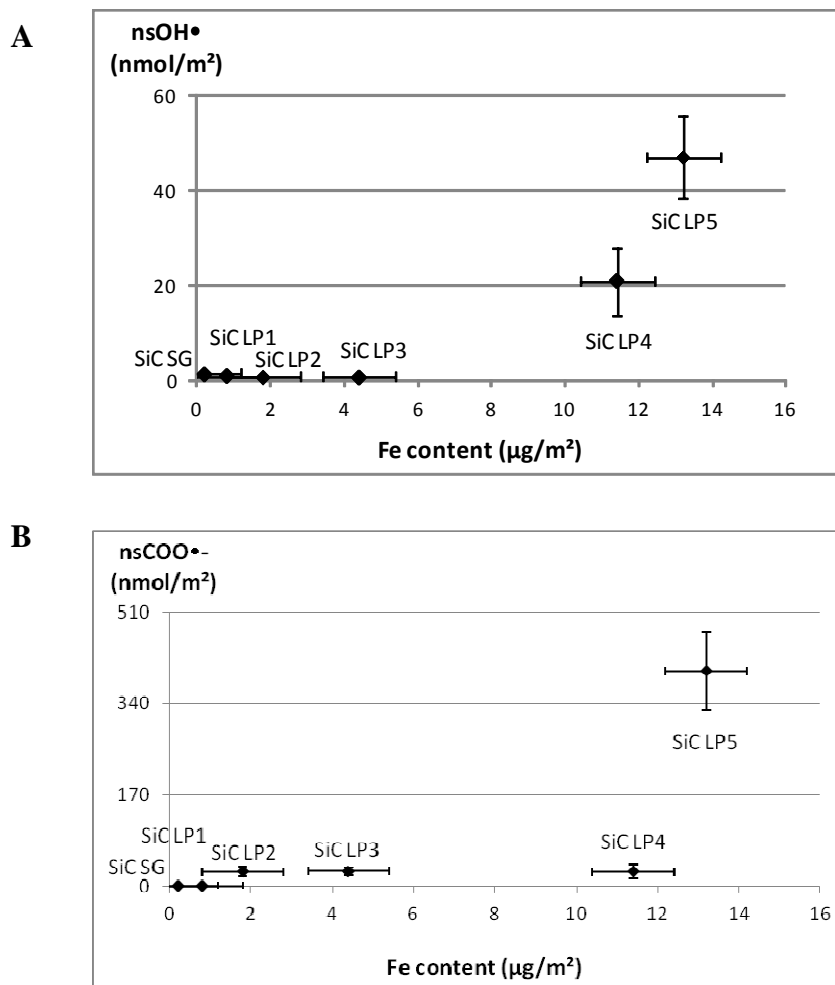



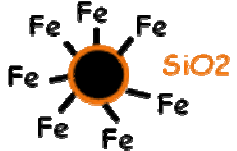


Table 1 Physico-chemical characteristics of the 6 SiC nanoparticles

Sample	ρ (g/cm ³)	SSA (m ² /g)	BET size (nm)	Crystallite size	Crystalline phases	O1s (% atomic)	Fe (ppm)	C/Si (atomic ratio)	Schematic representation
SG	3.2	125	15	14 nm monocrystalline nanograins	β -SiC (SiC-3C) α -SiC < 10% (SiC-6H)	8	0	0,88	
LP1	3.2	139	14	4 nm polycrystalline nanograins	β -SiC (SiC-3C)	8	105	1	
LP2	3.1	125	15	4 nm polycrystalline nanograins	β -SiC (SiC-3C)	7	200	1,21	
LP3	3.0	140	14	4 nm polycrystalline nanograins	β -SiC (SiC-3C)	14 (presence of a SiO ₂ layer)	605	0,81	

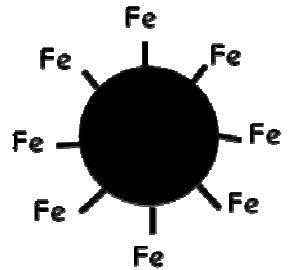
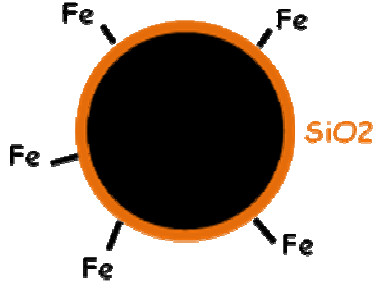
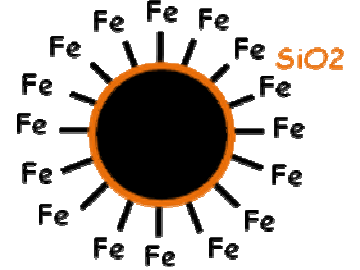
LP4	3.1	52	37	16 nm Nanograins with stacking faults	β -SiC (SiC-3C) α -SiC < 10% (SiC-6H)	8	592	0,88	
LP5	3.1	33	59	26 nm Nanograins with stacking faults	β -SiC (SiC-3C) α -SiC < 10% (SiC-6H) Si (< 2%)	22 (presence of a SiO ₂ layer)	415	0,88	
M	3.0	62	32	16 nm Nanograins with stacking faults	α -SiC (SiC-6H)	25 (presence of a SiO ₂ layer)	2830	0,70	

Table 2 Summary of the physico-chemical features influencing the biological activity of SiC nanoparticles.

		Physico-chemical features of the SiC nanoparticles				
		Stoichiometry (C/Si ratio)	Surface area	Crystallinity (phase, crystallite size)	Surface oxidation	[Fe] at the surface
<i>In vitro</i> cellular responses	Cytotoxicity (LDH)	No cytotoxic effect observed				
	Pro-inflammatory effect (TNF α) \square		Linear correlation	Correlation		
	Oxidative stress	Cellular H ₂ O ₂ production				Correlation
		HO \bullet release in cell free condition				Correlation with a threshold effect