

1 Developing Street-level PM_{2.5} and PM₁₀ Land Use
2 Regression Models in High-Density Hong Kong
3 with Urban Morphological Factors

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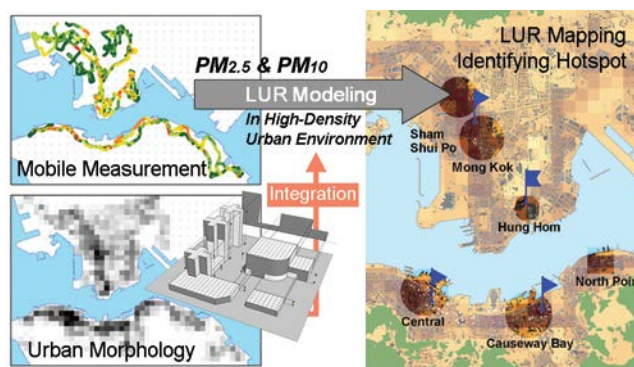
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10 ABSTRACT. Monitoring street-level particulates is essential to air quality management but
11 challenging in high-density Hong Kong due to limitations in local monitoring network and the
12 complexities of street environment. By employing vehicle-based mobile measurements, Land
13 Use Regression (LUR) models are developed to estimate the spatial variation of PM_{2.5} and PM₁₀
14 in the downtown area of Hong Kong. Sampling runs were conducted along routes measuring a
15 total of 30km during selected measurement period of total 14 days. In total, 321 independent
16 variables were examined to develop LUR models by using stepwise regression with PM_{2.5} and
17 PM₁₀ as dependent variables. Approximately, 10% increases in the model adjusted R² were

18 achieved by integrating urban/building morphology as independent variables into the LUR
19 models. Resultant LUR models show that the most decisive factors on street-level air quality in
20 Hong Kong are frontal area index, an urban/building morphological parameter, and road network
21 line density and traffic volume, two parameters of road traffic. The adjusted R^2 of the final LUR
22 models of $PM_{2.5}$ and PM_{10} are 0.633 and 0.707 respectively. These results indicate that urban
23 morphology is more decisive to the street-level air quality in high-density cities than other cities.
24 Air pollution hotspots are also identified based on the LUR mapping.

25 TOC ART



26

27 1. INTRODUCTION

28 Many epidemiological investigations proved that particulate matters (PM) were associated with
29 adverse health outcomes. Particulate air pollution leads to higher health risks of cardiovascular
30 and respiratory diseases ¹. These health impacts and risks are further accentuated in high-density
31 urban environment. In cities with compact urban development, the dispersion of street-level
32 particulates is impeded by their high-density urban morphology because densely-constructed
33 buildings block air ventilation and consequently retard the dispersion ². Several retrospective
34 epidemiological studies in Hong Kong showed that health risks (measured as the hospitalization
35 and mortality) connected to cardiovascular and respiratory diseases were significantly associated

36 with both long-term and short-term exposure to PM_{2.5} and PM₁₀³⁻⁸. Therefore, monitoring street-
37 level air pollution in high-density urban environment is essential to prevent or mitigate the health
38 risks. Several studies have been conducted to observe the temporal and spatial variation of street-
39 level particulate air pollution in Hong Kong by using either historical monitoring data from the
40 existing Air Quality Monitoring Network (AQMN) of Hong Kong Environmental Protection
41 Department (HKEPD)^{9,10} or stationary measurements at sampling sites¹¹⁻¹⁴. However, the
42 coverage of such monitoring network is very limited. Among the 15 AQMN stations, only three
43 roadside stations monitor street-level air quality within the urban environment (Figure S-4, SI),
44 while the number of roadside sampling locations in other studies are also limited (not more than
45 three in general). The complex urban morphology and compact urban traffic network in Hong
46 Kong make the conditions of air quality vary significantly from site to site. However, the street-
47 level air quality of many high-density sites and those with heavy traffic in downtown Hong Kong
48 is not monitored by AQMN. Thus, quantifying the street-level air pollution and identifying
49 hotspots of human exposure are difficult by using AQMN, because it provides insufficient
50 information about the spatial variation of pollutants.

51 Land Use Regression (LUR) has become a popular method to explore the spatial variation of
52 outdoor air pollution in environmental studies and to assess the health risks of human exposure
53 to pollutants in epidemiological and public health studies¹⁵ in Europe¹⁶⁻²³ and North America
54²⁴⁻²⁸. The application of LUR is also increasing in other regions²⁹⁻³¹. The reason for such
55 extensive applications of LUR models is mainly because it can be used to evaluate human
56 exposure to air pollution in unmonitored areas and to identify urban air pollution hotspots which
57 are vital to epidemiological and environmental studies³².

58 The aim of this study is to develop LUR models for a sub-tropical high-density urban
59 environment by focusing on the unique urban scenario of Hong Kong in order to supplement the
60 inadequacy of the local monitoring network and provide a better understanding of the spatial
61 variation of street-level air pollution. The compact high-density urban development of Hong
62 Kong forms much higher buildings and very deep street canyons with intensive traffic and
63 pedestrian activities, which makes it almost impossible to use conventional fixed monitoring
64 locations to represent the conditions of street-level human exposure (Section 1, SI). In this
65 study, under the special circumstance of Hong Kong's street environment, vehicle-based mobile
66 measurements of particulate air pollution are employed as the approach to conduct the sampling
67 of the dataset for LUR development. Mobile measurements are conducted in designated periods
68 and routes in order to minimize the impact of temporal variability and extreme weather
69 conditions on LUR model development. Correlation analysis between outdoor air pollution and
70 building morphology is performed by integrating urban/building morphological parameters into
71 the LUR model. As such, the study results can be not only used for the purpose of air quality
72 management and human exposure evaluation but also directly as a reference in the optimization
73 of urban development strategies and decision-making in urban planning on the basis of air
74 quality considerations.

75 **2. MATERIALS AND METHODS**

76 Previous LUR studies typically set up 20-100 fixed sampling locations within the study area ¹⁵.
77 However, compact urban development, crowded space and bustling activities occurred within
78 street canyons in the downtown area of Hong Kong has made it almost impossible to set up
79 sufficient fixed long-term street-level sampling locations without random interference. In this
80 study, the sampling of the concentration of street-level particulate air pollution is conducted in

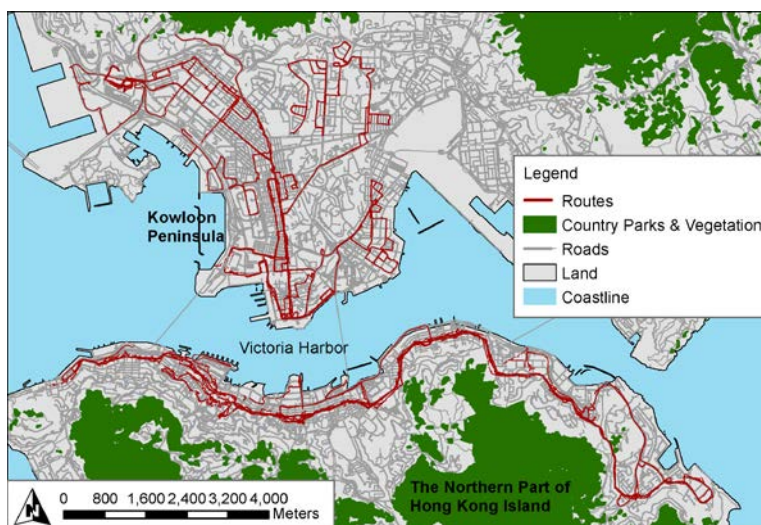
81 the downtown area of Hong Kong by using mobile measurements which were tested to be
82 feasible and provide valid data for such a purpose^{33,34}. LUR models of street-level PM_{2.5} and
83 PM₁₀ were then developed.

84 2.1 THE MOBILE MEASUREMENTS. Mobile measurements have been increasingly used to
85 monitor the air pollution in the last decade^{35–43}, especially for the development of LUR models
86^{33,34,44–46}. The spatial continuity of mobile measurement makes it possible to detect the spatial
87 variability of air pollutants concentration at a much higher spatial resolution and locate the place
88 where its concentration culminates high level (“air pollution hotspots”) that may not be possible
89 to be identified by using a limited number of fixed monitoring locations, especially in cases like
90 Hong Kong (Section 1, SI).

91 2.1.1 THE MOBILE MEASUREMENT PLATFORM. A Toyota HiAce vehicle with necessary
92 particulate matter monitor and meteorological sensors on board served as the mobile
93 measurement platform that is used to measure the concentration of air particulates and
94 meteorological variables. The concentration levels of PM_{2.5} and PM₁₀ (the concentration level of
95 particles <2.5 or 10µm in aerodynamic diameter, µg/m³) were continuously measured using the
96 TSI DUSTTRAK™ DRX Aerosol Monitor with a time interval of 1s. The calibration of
97 photometric factor and size fraction of the DUSTTRAK™ monitor is essential to avoid positive
98 bias when monitoring a specific aerosol different from the ISO A1 test dust. The aerosol monitor
99 was calibrated for the specific aerosol of the urban street-level environment in Hong Kong using
100 gravimetric samples from a HKEPD roadside air quality monitoring station (Section 2.1.1, SI).
101 Air temperature (T_a , °C) and relative humidity (RH , %) were measured by the meteorological
102 sensor and used for humidity correction of the measured PM data. Global Positioning System
103 (GPS) loggers were used to record the corresponding geographical location of each measurement

104 data. A video camera was used to record the surrounding situations, providing a reference for
105 any other factors influencing the measurements during data post-processing. The sampling time
106 lag, particle deposition, self-contamination and impact of turbulence caused by the moving
107 vehicle of mobile measurements are minimized by elaborately designing and assembling of the
108 measurement platform (Section 2.1.2, SI).

109 2.1.2 SAMPLING ROUTE DESIGN AND TIME SELECTION. Spatial distribution of urban
110 land use, population density, traffic networks, building morphology and natural topography were
111 quantitatively analyzed in the geographic information system (GIS) using the urban planning
112 dataset provided by the Hong Kong Planning Department (PlanD). Two sampling routes with a
113 total length of approximately 30km located in the downtown area of Hong Kong (the northern
114 part of Hong Kong Island and Kowloon peninsula respectively, Figure 1) were designated based
115 on the variability of urban morphology, land use and traffic characteristics (Section 2.2, SI) in
116 order to attain a broad coverage of various urban settings (Table S-2, SI). Measuring varying
117 urban settings provides a comprehensive data range and variation for the independent variables
118 dataset (Table 1), because urban morphology, land use and traffic are important independent
119 variables for LUR modeling.



120

121 **Figure 1.** The sampling routes of mobile measurement campaigns (also see Figure S-8, SI).
122 Meteorological data from Hong Kong Observatory (HKO) and air quality monitoring data from
123 HKEPD of the five consecutive years before mobile measurements were reviewed to select
124 optimal measurement periods. This is to avoid the regional-dominant influence of the long-
125 distance transportation of air pollution from the Pearl River Delta (PRD) region of Mainland
126 China especially during the winter time⁴⁷ because the regional-dominant air pollution mode
127 affects Hong Kong only one-third of time in the year⁴⁸. As a result, mobile measurements were
128 mainly conducted during summer months (from May to September) because air quality is
129 dominated by local emission sources during summer time^{11,12}. Similar selection of measurement
130 season has been used to avoid season-specific influence in previous LUR study³⁴. It allows the
131 development of LUR models to understand the relationship between local urban development
132 and air quality without regional influence. The meteorological conditions, such as rainfall and
133 strong wind, which restrain the concentration level and weaken the spatial variability of
134 particulate air pollution were also avoided⁹. The daily time period with relatively stationary
135 background concentration (between 2:00 pm and 10:00 pm during which the hour-to-hour
136 changing gradient is smaller than other hours) was selected based on the diurnal pattern of street-

137 level air pollution in Hong Kong. In total, 14 times of mobile measurements were conducted
138 during the summer months of 2014 and 2015. Each measurement was conducted during a two-
139 hour period between 2:00 pm to 10:00 pm. (All details in Section 2.3, SI).

140 2.1.3 QUALITY CONTROL AND POST-PROCESSING OF MEASURED DATA. We strictly
141 followed our measurement time selection and avoided any dramatic changes on background
142 weather and PM concentration. Driving manners were carefully controlled during all mobile
143 measurements to diminish data noise caused by random impact factors (e.g. controlling the
144 driving speed to be relatively stationary). Finally, 14 times of mobile measurements were
145 successfully conducted during the summer months of 2014 and 2015 (Table S-3, SI). High
146 humidity leads to water condensation and results in a higher reading when using light-scattering
147 laser photometer based aerosol monitors. The following humidity correction for the TSI
148 DUSTTRAK™ equipment in previous studies^{49,50} was used to correct the measured data and
149 eliminate the influence of high humidity in this study.

$$150 \quad \text{Correction Factor} = 1 + 0.25 \frac{RH^2}{(1-RH)} \quad (1)$$

151 Videos recorded during mobile measurements provide information about surrounding
152 environment and are used for the removal of affected data. For example, data measured under the
153 situation where the mobile measurement vehicle was behind or very close to another heavy-duty
154 diesel vehicles were removed because they were likely to be significantly affected by the heat
155 and polluting exhaust. Different from fixed sampling locations, mobile measurements enhance
156 the spatial coverage but also introduce the limitation that the sampling time is very short at each
157 location. A common driving speed of 30km/h involves only less than a minute of monitoring at a
158 specific urban lot in the downtown area of Hong Kong for each sampling day. Therefore,

159 measuring behind a high-emitting vehicle possibly affects all data on that day for the specific
160 urban lot. Data measured at any locations close to construction sites were also deleted (Section
161 2.4.1, SI). After identifying and deleting those highly contaminated data, the noise of measured
162 data caused by other random factors were eliminated by using the Savitzky–Golay (S-G) filter
163 (Section 2.4.2, SI).

164 After finishing a mobile measurement on a specific route, weather and air quality data at all the
165 monitoring stations along the specific mobile measurement route in the same period were
166 obtained from HKO and HKEPD official records as the background reference. According to
167 these background reference data, temporal adjustments were conducted for each mobile
168 measurement dataset to eliminate the impact of the temporal difference (Method of temporal
169 adjustment used in this study is given in the Section 2.4.3, SI).

170 2.2 DEPENDENT VARIABLES OF THE LUR MODEL. Mobile measurement data of the
171 concentration of PM_{2.5} and PM₁₀ are used to develop the dependent variables of the LUR models.
172 Identifying the optimal spatial scale is critical when analyzing the geographically distributed data
173 collected from mobile measurements. Following the method of a previous mobile measurement
174 air pollution spatial modeling study ⁵¹, we employed the semivariogram modeling to test the
175 optimal spatial resolution for the data aggregation (Section 3.1, SI). A grid aligned with the local
176 geo-dataset grid system was generated using the optimal spatial resolution of 300m. It was used
177 for the spatial aggregation to produce the dependent variables of PM_{2.5} and PM₁₀. There are
178 finally 222 spatially aggregated concentration estimates that were used as the dependent variable
179 for the LUR modeling. The data variability of these PM_{2.5} and PM₁₀ concentration values is
180 shown in Figure 3.

181 2.3 INDEPENDENT VARIABLES OF THE LUR MODEL. Based on previous LUR studies ¹⁵,
 182 four categories of potential independent variables were identified to profile the spatial
 183 distribution of the emission intensity: (1) traffic and transport, (2) local energy supply, (3) land
 184 use, and (4) population. The dynamic potential of pollution dispersion was analyzed by using
 185 two categories of potential independent variables: (1) physical geography and (2) urban/building
 186 morphology. We analyzed 24 parameters using 13 different buffer sizes (14 buffer sizes for sky
 187 view factor) and 8 parameters using nearest distance analysis for each aggregated data point to
 188 check all potential independent variables for the LUR models for PM_{2.5} and PM₁₀ (Table 1).

189 **Table 1.** Summary of independent variables at different buffers included in the LUR model
 190 development. A total of 321 candidate independent variables were checked for LUR
 191 development.

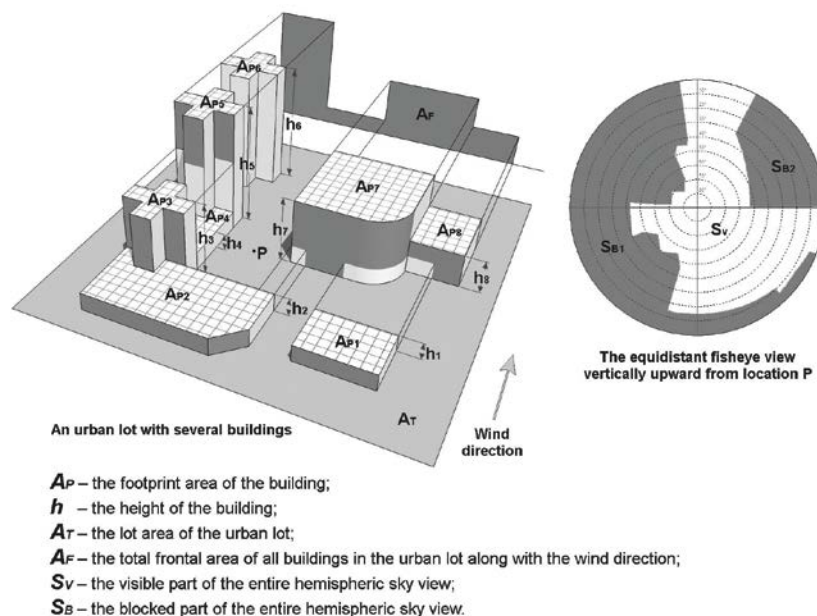
VARIABLES USED AS INDEPENDENT VARIABLES	UNITS	ANALYSIS METHODS	BASIC DATA SOURCE
Emission Intensity of Pollution Sources			
Traffic & Transport			
Expressways and trunk road line density	km/km ²	buffer	Hong Kong Transport Department (TD)
Primary road line density	km/km ²	buffer	TD
Secondary road line density	km/km ²	buffer	TD
Tertiary road line density	km/km ²	buffer	TD
Ordinary road line density	km/km ²	buffer	TD
Road area ratio (%)	%*	buffer	TD
Traffic volume of public transport vehicles	Passenger Car Unit (PCUs)	buffer	TD
Traffic volume of private and government vehicles	PCUs	buffer	TD
Count of bus stops	number	buffer	Openstreetmap.org
Distance to marines ports & routes	km	distance	Openstreetmap.org
Local Energy Supply			
Distance to local power stations	km	distance	PlanD
Land Use			
Residential land use area (RES)	m ²	buffer	PlanD
Commercial land use area (COM)	m ²	buffer	PlanD
Industrial land use area (IND)	m ²	buffer	PlanD
Government land use area (GOV)	m ²	buffer	PlanD
Open space land use area (OPN)	m ²	buffer	PlanD

Population			
Population density	person//km ²	buffer	Hong Kong Census and Statistics Department (C&SD) and PlanD
Dynamic Potential of Pollution Dispersion			
Physical Geography			
Longitude (Δx to the coordinate origin of HK1980 Gird)	m	distance	GPS data of the data point
Latitude (Δy to the coordinate origin of HK1980 Gird)	m	distance	GPS data of the data point
Elevation above the Hong Kong Principal Datum ("mPD")	m	distance	Hong Kong Lands Department (LandsD)
Distance to waterfront	km	distance	PlanD
Distance to city parks	km	distance	PlanD
Distance to country parks	km	distance	PlanD
Greening coverage ratio	%	buffer	PlanD
Urban/Building Morphology			
Mean of building height	m	buffer	PlanD
Std of building height	m	buffer	PlanD
Mean of building ground coverage ratio	%	buffer	PlanD
Std of building ground coverage ratio	%	buffer	PlanD
Mean of building volume density	%	buffer	PlanD
Std of building volume density	%	buffer	PlanD
Sky view factor (SVF)	[0-1]	buffer	PlanD
Frontal area index (FAI)	Dimensionless quantity	buffer	PlanD
Size of buffers used to develop LUR models (m): 50,100,200,300,400,500,750,1000,1500,2000,3000,4000,5000			
SVF is a point based value. Therefore, except all buffer analysis, the original point SVF is also included as an independent variable and represented as 0 m buffer.			
*All data of percentage (%) are standardized to [0-1] during LUR model development.			

192

193 2.4 PARAMETERIZING URBAN/BUILDING MORPHOLOGY. One of the most important
194 advantages of this study is to comprehensively integrate urban/building morphological factors
195 into LUR models as the independent variables. The integration has been only adopted in a
196 limited amount of previous studies ^{52,53}, but in fact, very essential to high-density urban scenario.
197 Moreover, compared with those previous LUR studies, the variations in urban development in
198 Hong Kong cannot be fully represented by only using common street configurations due to the
199 high variability and complexity of the building morphology. In Hong Kong's unique urban

200 environment, it is common that two building forms have the same plot ratio or height but largely
 201 different permeability of air ventilation and solar radiation⁵⁴. More complicated surface
 202 properties are necessary to depict the spatial distribution of urban morphology in Hong Kong.
 203 Therefore, 8 urban/building morphological parameters at 13 different buffers (14 for sky view
 204 factors, as shown in Table 1) are selected as the potential independent variables for the LUR
 205 model development and calculated in GIS based on the urban planning datasets (Figure 2 and
 206 Table 2). Frontal area index (FAI) is a wind-direction-dependent measure of the conditions of air
 207 ventilation in urban areas and is widely used to evaluate the horizontal permeability of the wind
 208 from a specific direction of an urban lot^{55,56}. In this study, the weighted average of FAI was
 209 calculated for each lot by using 16 wind directions and corresponding frequency recorded by the
 210 nearest HKO meteorological station.



211

212 **Figure 2.** Inputs for the calculation of all urban/building morphological parameters used in this
 213 study.

214 **Table 2.** Calculation equations of 8 building morphological parameters included in the LUR
 215 model development. Information of approximately 50,000 buildings was processed in GIS to
 216 analyze the urban/building morphology of Hong Kong.

Urban Morphological Parameters	Unit	Calculation Method	Theoretical Meaning
Mean of building height	m	$\bar{h} = \frac{1}{n} \sum_{i=1}^n h_i$ (2)	Vertical building development intensity.
Std of building height	m	$SD_h = \sqrt{\frac{1}{n} \sum_{i=1}^n (h_i - \bar{h})^2}$ (3)	Diversity of building height within a specific area.
Building coverage ratio of each urban lot	% ^a	$\lambda_p = (\sum_{i=1}^n A_{pi})/A_T$ (4)	Building ground coverage intensity.
Std of building coverage ratio of all lots within each buffer area	%	$SD_{\lambda_p} = \sqrt{\frac{1}{n} \sum_{i=1}^n (\lambda_{pi} - \bar{\lambda}_p)^2}$ (5)	Diversity of building coverage within a specific area.
Building volume density of each urban lot	%	Total building volume of each lot is: $V = \sum_{i=1}^n A_{pi} h_i$ (6)	A measure of building volume within per unit area.
		V_{max} is the highest V among all n lots whole city. The building volume density of lot j is: $BVD_j = V_j/V_{max}$ (7)	
Std of building volume density of all lots within each buffer area	%	$SD_{BVD} = \sqrt{\frac{1}{n} \sum_{j=1}^n (BVD_j - \overline{BVD})^2}$ (8)	Diversity of building development intensity.
Sky view factor (SVF)	[0-1]	$\Psi_{sky} = S_V / (S_V + \sum_{i=1}^n S_{Bi})$ (9)	A measure of the openness to the sky of a given location in a lot ⁵⁷ .
Frontal area index (FAI, weighted average are calculated using 16 wind directions)	C ^b	$\lambda_F = A_F/A_T$ (10)	A wind direction – dependent measure of the urban ventilation condition of a lot ⁵⁶ .

a: All data of percentage (%) are standardized to [0-1] during LUR model development.

b: Calculated FAI is a dimensionless quantity.

217
 218 **2.5 LUR MODELING AND CROSS VALIDATION.** First, A Distance-Decay REgression
 219 Selection Strategy (ADDRESS)⁵⁸ was adopted to select around 30 candidate independent
 220 variables (one or two critical buffers were identified for each variable) as the input of further
 221 stepwise regression modeling among all 321 potential independent variables (Section 3.2.1, SI).
 222 Then, stepwise multiple linear regression was conducted to establish LUR regression models of

223 PM_{2.5} and PM₁₀ as determined by minimum Akaike information criterion (AIC)^{59,60}. The
224 significance level ($\text{prob} > |t|$) and variance inflation factor (VIF) of each independent variables
225 were checked to confirm the variables significance level and ensure that there is no collinearity
226 issues in resultant regression models (Section 3.2.3, SI). The adjusted R² values of each model
227 were examined to evaluate the model performance. Both the root-mean-square error (RMSE)
228 from leave-one-out cross validation (LOOCV) and the adjusted R² of 10-fold cross validation
229 were used to validate the LUR models (shown in Table 3, details in Section 3.2.4, SI). The final
230 models also show reasonably good performance (0.582 and 0.611 for PM_{2.5} and PM₁₀
231 respectively) in an external validation using a separately sampled mobile measurement dataset
232 (Section 3.2.5, SI).

233 3. RESULTS

234 3.1 THE FINAL LUR MODELS OF PM_{2.5} AND PM₁₀. Using spatially aggregated PM_{2.5} and
235 PM₁₀ as the dependent variables, final LUR models were established. The adjusted R² values of
236 final LUR models for the 300m-spatially aggregated concentration of both PM_{2.5} and PM₁₀ are
237 0.633 and 0.707 respectively (300m-aggregated dependent variables provide the best model
238 performance, which is consistent with the semivariogram modeling results in Section 2.2. Other
239 models using different dependent variables aggregation resolution are shown in Table S-12, SI
240 for comparison). As indicated by the final models of PM_{2.5} and PM₁₀ (Table 3 and Figure S-20,
241 SI), the most essential determinants of the concentration of street-level particulate air pollution in
242 the downtown area of Hong Kong are building morphology and urban road traffic. These results
243 indicate that, beside the commonly applied LUR independent variables such as land use and
244 traffic, building morphology is also one of the determinants of the street-level particulate air
245 pollution concentration in the Hong Kong's high-density urban environment. To quantify the

246 model performance improvement introduced by adding urban morphology as independent
 247 variables, models completely excluding urban morphology were also established for comparison,
 248 which showed an adjusted R^2 increase of 0.111 and 0.150 on the model performance of $PM_{2.5}$
 249 and PM_{10} respectively when building morphological variables were used in modeling (Section
 250 3.3, SI). The concentration of street-level air pollutants is largely determined by both emission
 251 and dispersion of pollutants. Road traffic measured as the road line density and traffic volume
 252 represents the distribution of pollution sources and emission intensity. Building morphology
 253 quantified by FAI directly affects air ventilation in urban areas and the dispersion capacity of air
 254 pollution, especially in extremely compact urban environment. It should be noted that, as a
 255 measure of evaluating urban air ventilation, FAI represents the horizontal permeability of an
 256 urban area to prevailing wind. It implies that enhancing urban ventilation by optimizing the
 257 building morphology is more important to high-density cities like Hong Kong than other low-
 258 density or mid-density cities when dealing with street-level air pollution.

259 **Table 3.** Summary of the final resultant LUR regression models of $PM_{2.5}$ and PM_{10} .

SUMMARY OF FIT OF $PM_{2.5}$ LUR MODEL					
Dependent Variable	Spatially averaged $PM_{2.5}$ data using spatial resolution of 300m				
R^2	0.646				
Adjusted R^2	0.633				
RMSE	6.516				
Mean of Response	51.759				
P-value	<.0001*				
10-fold Cross Validation R^2	0.613				
PARAMETER ESTIMATES					
Independent Variable	Estimate	Std Error	t Ratio	Prob> t 	VIF
Intercept	27.363	2.458	11.13	<.0001*	n/a
Primary road line density (300m)	1.092	0.252	4.33	<.0001*	1.434
Ordinary road line density (400m)	0.555	0.269	2.06	0.0416*	1.765
Traffic volume of public	5.016e-4	2.277e-4	2.20	0.0298*	1.492

transport vehicles (500m)					
Frontal area index (400m)	15.191	2.750	5.52	<.0001*	1.593

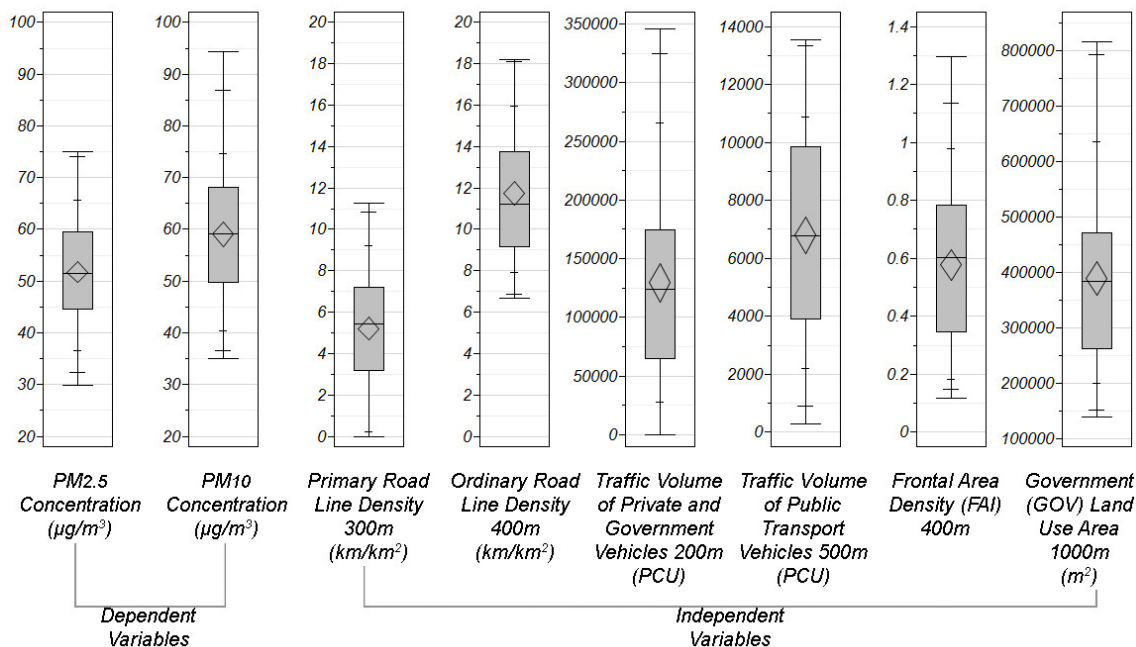
SUMMARY OF FIT OF PM₁₀ LUR MODEL

Dependent Variable	Spatially averaged PM ₁₀ data using spatial resolution of 300m
R²	0.718
Adjusted R²	0.707
RMSE	6.948
Mean of Response	59.085
P-value	<.0001*
10-fold Cross Validation R²	0.692

PARAMETER ESTIMATES

Independent Variable	Estimate	Std Error	t Ratio	Prob> t	VIF
Intercept	43.523	2.896	15.03	<.0001*	n/a
Primary road line density (300m)	0.816	0.268	3.05	0.0029*	1.421
Traffic volume of private and government vehicles (200m)	2.366e-5	8.051e-6	2.94	0.0040*	1.115
Government land use area (1000m)	-1.760e-5	4.525e-6	-3.89	0.0002*	1.170
Frontal area index (400m)	26.104	2.692	9.70	<.0001*	1.343

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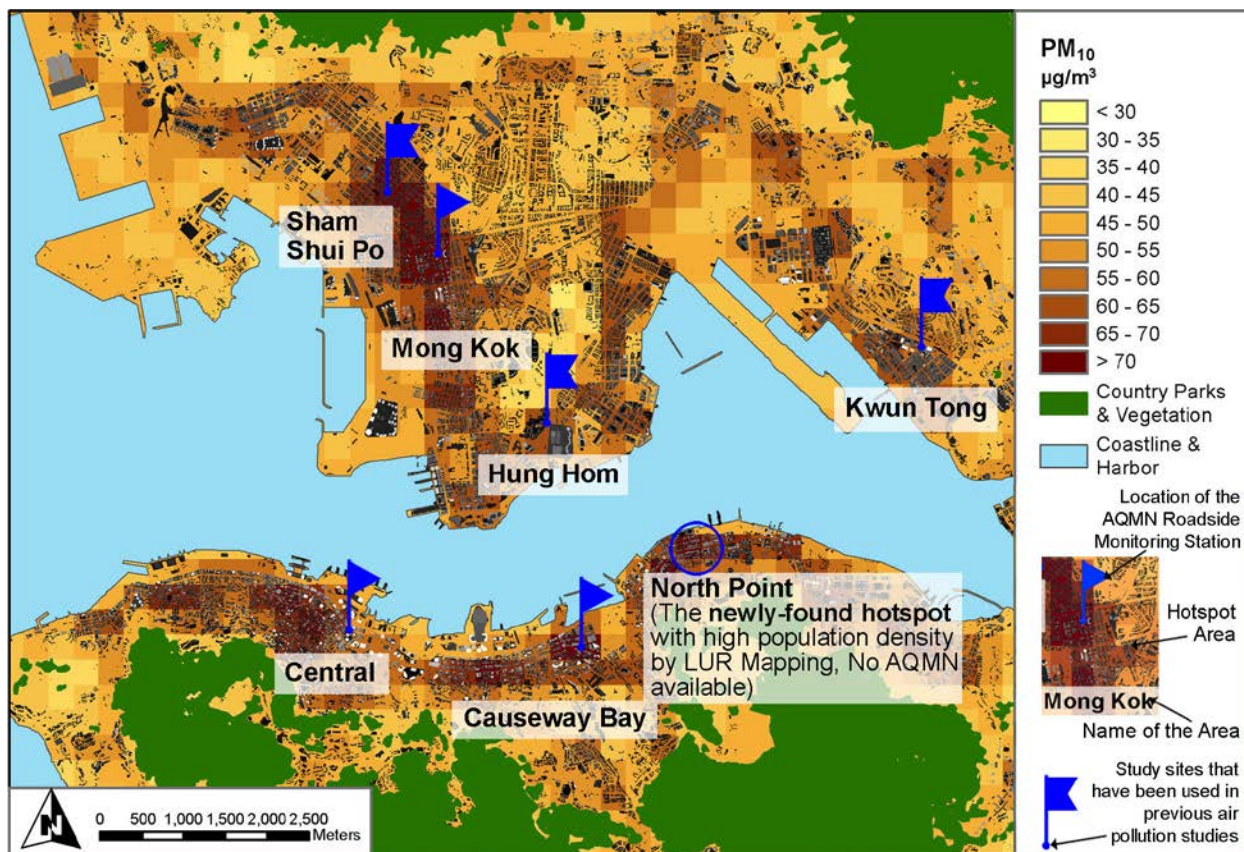


261

262 **Figure 3.** Boxplots of dependent variables and independent variables.

263 3.2 LUR GEO-MAPPING AND MODEL VALIDATION. The geo-mapping of the spatial
264 distribution of PM_{2.5} and PM₁₀ was developed based on the resultant LUR models, using a spatial
265 resolution of 300m (Figure 4, Figure S-21 and Figure S-22, SI). It was further validated using the
266 results of mobile measurements obtained from Tuen Mun area where medium-density,
267 occasionally high-rise, residential development dominates. Although the area used for validation
268 has a slightly lower building density compared with the main study area, the LUR models
269 performed reasonably well for the concentration of both PM_{2.5} and PM₁₀ with adjusted R²-values
270 of 0.582 and 0.611 respectively (Section 3.2.5, SI). It indicates that the resultant LUR models
271 provide an accurate estimation of the spatial variation of air particulates under different urban
272 settings in Hong Kong.

273 In Hong Kong, there are three commonly recognized hotspots of street-level air pollution,
274 including Mong Kok, Central and Causeway Bay where the three roadside monitoring stations of
275 AQMN operated by HKEPD are located. The concentrations of PM_{2.5} and PM₁₀ at these three
276 stations are over 55 µg/m³ and 70 µg/m³ respectively. Three other hotspots of air pollution, Sham
277 Shui Po, Hung Hom and Kwun Tong, were also clearly identified in the LUR map, which is
278 consistent with the site selection of two previous local air pollution studies^{61,62}. These hotspots
279 are generally characterized by the densely-built building clusters and they are important nodes of
280 local transportation network. The consistency of the LUR mapping with AQMN and previous
281 studies proves that it is reliable as a tool to examine the spatial variation of air particulates and
282 assess human exposure at finer spatial scales in epidemiological studies. Besides all known sites,
283 the LUR models developed in the present study also identified a newly-found air pollution
284 hotspot (North Point in Hong Kong Island) which previously did not draw much attention and
285 was not monitored by HKEPD.



286

287 **Figure 4.** The spatial variation mapping of the concentration level of PM₁₀ based on the LUR
 288 models developed in this study. Locations of all known and newly-found air pollution hotspots
 289 are marked on this map.

290 4. DISCUSSION

291 4.1 LUR APPLICATION IN A SUB-TROPICAL HIGH DENSITY CITY. The present study is
 292 the first attempt to develop LUR models in a sub-tropical city with extremely compact urban
 293 environment. A couple of studies have been conducted to map the spatial variation of PM_{2.5} and
 294 PM₁₀ in Hong Kong using remote sensing techniques^{63,64}. However, the spatial resolution of
 295 those studies is limited by satellite images. This study provides a higher-resolution mapping of
 296 spatial variability of air pollutants based on LUR models. It can also be used as a reference for

297 future studies on local health impact.¹⁶ Identifying newly-found street-level air pollution
298 hotspots by LUR mapping is essential for the improvement of Hong Kong's air quality
299 monitoring network, especially in the selection of roadside monitoring locations.

300 4.2 USING MOBILE MEASUREMENTS TO DEVELOP LUR MODELS - PROS AND CONS.

301 Mobile measurements have been gaining popularity in air pollution research^{35,40,42,43}. There is
302 also great potential in the studies of developing LUR models and mapping the air pollution
303 spatial variation in urban area^{33,34}. This study shows that measured data from properly designed
304 mobile measurements are competent at providing data for LUR model development which is a
305 more cost-effective way to cover larger study areas. By monitoring the spatial variability of air
306 pollution using a moving platform, this study shows the feasibility of conducting LUR studies by
307 taking advantage of the well-developed public transport network of Hong Kong. However, the
308 downside is that much more work on the air pollution sampling has to be done and data
309 aggregation has to be carefully handled to reduce uncertainty introduced by temporal variation,
310 short-term events and other impact factors during mobile measurement, as the measurement time
311 is very short at each location. The variations of background concentration and weather condition
312 should be carefully addressed as well. All of the above concerns mean that qualified local
313 meteorological and air quality monitoring networks with real-time data are prerequisites of
314 conducting mobile-measurement-based LUR study. During measurement campaigns, air
315 pollutants are sampled by a moving vehicle. The measurements are therefore representative of air
316 pollution concentrations on the road. It has been emphasized that (Section 1, SI) mobile
317 measurement data can be used to represent outdoor human air pollution exposure in this study
318 because of the unique urban context of Hong Kong. The context indeed has to be well
319 deliberated before extensively applying the mobile measurement method in other cities and

320 regions. Both the experimental design of the mobile measurement and data processing method
321 may need to be adjusted according to specific contexts and scenarios of different study areas.

322 4.3 DEVELOPING LUR MODELS WITH URBAN/BUILDING MORPHOLOGY. Compared
323 to previous LUR models, the correlation analysis between air pollution and urban/building
324 morphology was improved by parameterizing the urban planning dataset. Mapping air pollution
325 in urban areas is an important part of urban planning and policy decision-making, especially for
326 densely built environment because buildings can significantly change the prevailing climatic
327 conditions in urban areas by disturbing the airflows passing through urban fragments and
328 modifying the radiation balance in urban areas. As a consequence, it alters the dispersion of air
329 pollutants within street canyons ^{65,66}. Similar to previous LUR models of other cities ¹⁷, urban
330 traffic is one of the most decisive factors of air pollutants concentration in Hong Kong. On top of
331 that, this study also finds the street-level concentration of PM_{2.5} and PM₁₀ was also significantly
332 determined by urban/building morphology in a high-density built environment due to the poorer
333 air ventilation ^{67,68}.

334 4.4 LUR AIR POLLUTION MODELING FOR BETTER URBAN PLANNING. Urban air
335 quality and urban planning are closely connected ^{69,70}. From the view of urban planning, compact
336 urban morphology is more financially viable because it maximizes the use of land resources,
337 reduces transportation cost and allows more efficient use of public facilities ⁷¹. However,
338 compact urban development without proper guidance and management leads to environmental
339 issues and health risks associated with poor air quality. LUR models developed in this study
340 indicate that the air quality in high-density urban development of Hong Kong is able to be
341 improved as long as its urban planning follows the scientific rules to keep urban areas permeable

342 to air ventilation by controlling building geometry and also to prevent intensive vehicular
343 emission hotspot by refining road network planning and traffic controlling.

344

345 ASSOCIATED CONTENT

346 **Supporting Information.** Supporting information (SI) contains further methodological and
347 technical details about the mobile measurement campaign, data post-processing/analysis and the
348 model developing procedure as noted in the main text. The supporting information also contains
349 all alternative LUR models using different spatial aggregation resolutions. The Supporting
350 Information is available free of charge on the ACS Publications website via the Internet at
351 <http://pubs.acs.org>.

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359 **Author Contributions**

360 The manuscript was written through contributions of all authors. All authors have given approval
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362

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373

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