

# Patient-reported measures and lifestyle are associated with deterioration in nutritional status in CKD stage 4-5: the EQUAL cohort study

Windahl, K.; Irving, G.F.; Almquist, T.; Liden, M.K.; Stenvinkel, P.; Chesnaye, N.C.; ... ; EQUAL study invest Tora

# Citation

Windahl, K., Irving, G. F., Almquist, T., Liden, M. K., Stenvinkel, P., Chesnaye, N. C., ... Evans, M. (2022). Patient-reported measures and lifestyle are associated with deterioration in nutritional status in CKD stage 4-5: the EQUAL cohort study. *Journal Of Renal Nutrition*, *32*(2), 161-169. doi:10.1053/j.jrn.2021.03.006

Version:Publisher's VersionLicense:Creative Commons CC BY 4.0 licenseDownloaded from:https://hdl.handle.net/1887/3307303

Note: To cite this publication please use the final published version (if applicable).

# Patient-Reported Measures and Lifestyle Are Associated With Deterioration in Nutritional Status in CKD Stage 4-5: The EQUAL Cohort Study



Karin Windahl, RD, MSc,\*'<sup>+</sup> and the EQUAL study investigators, Gerd Faxén Irving, RD, PhD,<sup>‡</sup> Tora Almquist, MD, PhD,<sup>§</sup> Maarit Korkeila Lidén, MD, PhD,\* Peter Stenvinkel, MD, PhD,\* Nicholas C. Chesnaye, PhD,<sup>¶</sup> Christiane Drechsler, MD, PhD,\*\* Maciej Szymczak, MD, PhD,<sup>††</sup> Magdalena Krajewska, MD, PhD,<sup>††</sup> Edouard L. Fu, MSD,<sup>‡‡</sup> Claudia Torino, MSc, PhD,<sup>§§</sup> Gaetana Porto, MSc,<sup>§§</sup> Paul Roderick, MD, PhD,<sup>¶¶</sup> Fergus J. Caskey, PhD,<sup>¶¶</sup>'\*\*\* Christoph Wanner, MD, PhD,\*\* Friedo W. Dekker, PhD,<sup>‡‡</sup> Kitty J. Jager, MD, PhD,<sup>¶</sup> and Marie Evans, MD, PhD\*

**Design and Methods:** The European Quality Study on treatment in advanced chronic kidney disease (EQUAL) is a prospective, observational cohort study involving six European countries. We included 1,103 adults >65 years with incident estimated glomerular filtration rate <20 mL/min/1.73 m<sup>2</sup> not on dialysis, attending nephrology care. Nutritional status was assessed with the 7-point Subjective Global Assessment tool (7-p SGA), patient-reported outcomes with RAND-36 and the Dialysis Symptom Index. Logistic regression was used to estimate the associations between potential risk factors and SGA decline.

**Results:** The majority of the patients had a normal nutritional status at baseline, 28% were moderately malnourished (SGA  $\leq$ 5). Overall, mean SGA decreased by -0.18 points/year, (95% confidence interval -0.21; -0.14). More than one-third of the study participants (34.9%) deteriorated in nutritional status (1 point decline in SGA) and 10.9% had a severe decline in SGA ( $\geq$ 2 points). The proportion of patients with low SGA ( $\leq$ 5) increased every 6 months. Those who dropped in SGA also declined in estimated glomerular filtration rate and mental health score. Every 10 points decrease in physical function score increased the odds of decline in SGA by 23%. Lower physical function score at baseline, gastrointestinal symptoms, and smoking were risk factors for impaired nutritional status. There was an interaction between diabetes and physical function on SGA decline.

<sup>\*</sup>Renal unit, Department of clinical intervention and technology (CLIN-TEC), Karolinska Institutet, Stockholm, Sweden.

- <sup>†</sup>Division of Clinical Nutrition and Dietetics, Department of Orthopedics, Danderyds Hospital, Stockholm, Sweden.
- <sup>‡</sup>Division of Clinical Geriatrics, Department of NVS, Karolinska Institutet, Stockholm, Sweden.
- <sup>§</sup>Division of Nephrology, Department of Clinical Sciences, Danderyds Hospital, Stockholm, Sweden.
- <sup>®</sup>ERA-EDTA Registry, Amsterdam UMC, University of Amsterdam, Department of Medical Informatics, Amsterdam Public Health research Institute, Amsterdam, the Netherlands.
- \*\*Division of Nephrology, Department of Medicine, University Hospital of Würzburg, Würzburg, Germany.
- <sup>††</sup>Department of Nephrology and Transplantation Medicine, Wroclaw Medical University, Wroclaw, Poland.
- <sup>‡‡</sup>Department of Clinical Epidemiology, Leiden University Medical Centre, Leiden, the Netherlands.
- <sup>§§</sup>4CNR-IFC, Clinical Epidemiology and Physiopathology of Renal Diseases and Hypertension, Reggio Calabria, Italy.
  - Department of renal medicine, North Bristol NHS Trust, Bristol, UK.
    \*\*\*Population Health Sciences, University of Bristol, Bristol, UK.
- Financial Disclosure: F.J.C. has received speaker fees from Baxter and research funding from NIHR and Kidney Research UK. M.E. report payment for advisory board (Astellas, Astra Zeneca, Vifor Pharma), payment for lectures (Astellas,

Vifor Pharma, Fresenius) and institutional grants not related to this study (Astra Zeneca, Astellas). P.S. report payment for advisory board (Baxter, Astra Zeneca, Reata), payment for lectures (Astellas, Baxter, Pfizer, Amgen) and institutional grants not related to this study (Astra Zeneca, Bayer). C.W. has received honoraria from multiple sources (http://era-edta.org/doi) outside the present work. K.J. reports payment of lectures (Fresenius). K.W., C.T., G.P., M.K., M.K.L., G.F.I., T.A., N.C., C.D., M.S., E.F., P.R., F.D. have no financial disclosures.

- Support: Funding was received from the European Renal Association– European Dialysis and Transplant Association (ERA-EDTA), the Swedish Medical Association (SLS), the Stockholm County Council ALF, Njurfonden (Sweden), Center for Innovative Medicine (CIMED), the Italian Society of Nephrology (SIN-Reni), the Dutch Kidney Foundation (SB 142), the Young Investigators grant in Germany, and the National Institute for Health Research (NIHR) in the United Kingdom.
- Address correspondence to Karin Windahl, RD, MSc, Division of Clinical Nutrition and Dietetics, Department of Orthopedics, Danderyds Hospital, 182 88 Stockholm, Sweden. E-mail: Karin.windahl@ki.se
- © 2021 The Authors. Published by Elsevier Inc. on behalf of the National Kidney Foundation, Inc. This is an open access article under the CC BY license (http://creativecommons.org/licenses/by/4.0/).

1051-2276

https://doi.org/10.1053/j.jrn.2021.03.006

**Objective:** The aim of this study was to explore the changes in nutritional status before dialysis initiation and to identify modifiable risk factors of nutritional status decline in older adults with advanced renal disease.

**Conclusions:** Nutritional status deteriorated in more than one-third of the study participants during the first year of follow-up. Lower patient-reported physical function, more gastrointestinal symptoms, and current smoking were associated with decline in nutritional status.

© 2021 The Authors. Published by Elsevier Inc. on behalf of the National Kidney Foundation, Inc. This is an open access article under the CC BY license (http://creativecommons.org/licenses/by/4.0/).

## Introduction

LDER ADULTS WITH advanced chronic kidney disease (CKD) form a high-risk group for poor nutritional status and high overall disease burden.<sup>1,2</sup> The prevalence of protein-energy wasting (PEW) in this vulnerable population is high, and increases with age.<sup>3</sup> Patients who undergo maintenance dialysis have a significant decline in nutritional parameters, and those diagnosed with PEW have a higher risk of mortality and hospitalizations.<sup>4,5</sup> In addition to the normal aging process, the uremic milieu promote premature aging processes.<sup>6</sup> The etiology of uremic aging and PEW has been described,<sup>6,7</sup> but less is known about modifiable determinants that may influence the course of nutritional status. Cognitive and physical decline associates with poor nutritional status in the general geriatric population.<sup>8-10</sup> The underlying mechanism is complex, but both the somatic status and mental health are linked to lifestyle, perceived health, and frailty in older adults.<sup>10</sup>

The organization and access to renal care varies widely across the world.<sup>11</sup> In many countries, advanced CKD care is characterized as an intensified treatment program, aiming to prepare the patient for kidney replacement therapy or conservative care.<sup>12-14</sup> However, this crucial phase is not well studied in the elderly CKD population from a nutritional perspective. A first step in this direction is to observe and evaluate factors influencing nutritional status with a holistic approach. The aim of this study is therefore to explore the changes in nutritional status in elderly people with advanced stage CKD, and to investigate if modifiable risk factors such as patientreported gastrointestinal symptoms, mental health, physical function, and lifestyle factors were associated with change in nutritional status over time. For this purpose, we used a large European inception cohort of patients aged >65 years with stage 4-5 CKD with repeated measurements of subjective global assessments (SGA).

## Methods Study Design and Study Population

The EQUAL study is a multicenter, prospective cohort study in six European countries (Germany, Italy, the Netherlands, Poland, Sweden, and United Kingdom). Inclusion criteria are older adults (>65 years) with an incident estimated glomerular filtration rate (eGFR<sub>mdrd</sub>) < 20 mL/min/1.73 m<sup>2</sup>. The patients were followed up every 3-6 months and received routine medical care as provided

by the nephrology clinics in each country. Standardized data were collected at each visit, including demographics, lifestyle, comorbidities, uremic signs and symptoms, quality of life (RAND-36) nutritional status assessed by SGA, medication and routine blood and urine biochemistry. Patients were followed up to 4 years. A full description of the study protocol has been published elsewhere.<sup>15</sup> For this study, we included participants who had entered the study before May 30, 2017 and had performed a nutritional assessment at baseline and at least once more during the following year (Figure S1). For the main analyses, we used data from the first 12 months of follow-up. Additionally, we used data from the entire follow-up, up to 4 years, to calculate the mean nutritional status decline.

#### Ethics

All study participants signed a written informed consent and the EQUAL study was approved by the ethical review boards in all participating countries.

#### Nutritional Status

Nutritional status was assessed with the 7-point SGA, which is a validated and well-established method to assess nutritional status in nephrology and in other disciplines.<sup>16-18</sup> SGA is composed of four domains; history of weight change, history of dietary intake and gastrointestinal symptoms, a physical examination with visual inspection to screen for loss of fat mass, and muscle wasting. Originally, the subscales and the overall score were classified into 3 groups.<sup>18-20</sup> This method was further developed by Visser et al<sup>21</sup> to better fit with repeated measurements. The scale ranges from 1-7, where seven corresponds to a good nutritional status and <3 to severe malnutrition. To ensure good quality and reproducibility, all centers participating in the EQUAL study were offered standardized training of the SGA. We defined a decline in nutritional status as at least 1 point decline in SGA at any visit during the first 12 months of follow-up. A severe decline in nutritional status was defined as a decline of two or more points during the first 12 months of followup. We also explored the mean decline in SGA over the entire follow-up period, up to 4 years (median 1.6; interquartile range 0.9-2.4), in those with at least two SGA measurements.

#### Modifiable Risk Factors of Interest

The determinants of interest were smoking, alcohol consumption, mental health, physical function, and gastrointestinal symptoms. We used the research and development-36 (RAND-36) health questionnaire to assess patient-reported mental health and physical function.<sup>22,23</sup> It includes 36 items and 8 dimensions and is summarized into a physical and a mental summary component score. The physical functioning part of RAND-36 includes questions about basic activities, such as self-care and housework and is primarily reflected by the measures of physical functioning and pain. Mental health is primarily reflected by measures of emotional well-being, limitations caused by emotional problems and social functioning related to the ability to interact with family and friends.<sup>24</sup> The physical component summary score (PCS) and mental component summary score (MCS) were calculated using norm-based scoring, which uses linear transformation to achieve standardized scores with a mean (standard deviation [SD]) of  $50^{10}$  for each dimension by using the US population as a reference group.<sup>25</sup>

We analyzed selected gastrointestinal symptoms from the validated Dialysis Symptom Index.<sup>26</sup> Patients had to score the presence of these symptoms over the past month. For each symptom present, patients rated symptom severity using a 5-point scale with the options "not at all", "a little bit," "somewhat," "quite a bit" or "very much." Information regarding smoking and alcohol was collected from the base-line patient questionnaire. Smoking habits were categorized as current smoker, former smoker, or never smoker. Alcohol consumption was categorized as drinker and nondrinker. For drinkers we collected the average number of unit's alcohol per week.

#### **Covariates**

As a part of the study protocol, we collected information on demographics (age, sex, country), clinical information (primary renal disease, blood pressure, comorbidity, body mass index [BMI], waist circumference, eGFR), socioeconomic status (level of education, marital status), and laboratory values (hemoglobin, albumin, renal function, and cholesterol). We used the Charlson comorbidity index (CCI) to adjust for comorbidity. The CCI was originally developed to predict mortality in longitudinal studies. The CCI consists of 17 comorbidities that are weighted from 1 to 6 for mortality risk and disease severity, and then summed to form a total score.<sup>27</sup>

#### **Statistics**

The covariates and variables were described as means, medians, and proportions according to their underlying distribution, both overall and by decline in SGA over 12 months. The distribution of age at inclusion was skewed and further categorized into 5-year intervals. CCI was categorized into six approximately equally sized categories, and BMI was categorized into the World Health Organization classification modified according to geriatric guidelines suggesting BMI <22 kg/m<sup>2</sup> as underweight. We categorized both the MCS and PCS into quartiles. Education

was categorized into low (elementary school), intermediate (high school), high (college/university) and other (secondary schools).

The associations between potential risk factors and SGA decline were studied in different logistic regression models. Since there was a strong correlation between baseline SGA and SGA decline, we included SGA at baseline in our minimally adjusted models. We then additionally adjusted for age, sex, and country (model 1) and further with comorbidity, BMI, education, smoking, and alcohol (model 2). Since we regarded laboratory data an effect rather than the cause of nutritional status, we did not adjust for this in our models. Missing data were overall low (Table S1) and handled through multiple imputation with chained equations (10 repetitions) in which we included all variables related to demographics, anthropometrics, lifestyle, clinical data, comorbidity, RAND-36, laboratory data, and outcome (SGA decline). Finally, we studied presence of effect modification between the exposures under interest and history of diabetes. All the analyses were performed using Stata 15 (StataCorp).

#### **Results**

In total, there were 1652 individuals included in the EQUAL study. We excluded 137 individuals without any SGA measurements, and another 412 individuals with less than two SGA measurements during follow-up. For the present analysis, we included 1103 older adults, not yet on dialysis. During the 12 months of follow-up, 7% (77 individuals) started dialysis. The mean number of visits was 2.7. The mean follow-up time was 1.6 years, during which 24% (268 individuals) started dialysis. Baseline characteristics, in individuals with at least two measurements of 7-p SGA (n = 1103) stratified on those with a decline in nutritional status or not within 1 year, are presented in Table 1. The median age was 76 years, 65% were male, and the median eGFR was 19 mL/min/1.73 m<sup>2</sup>. The majority of the patients had a normal nutritional status at baseline (SGA = 7 [33.8%]; SGA = 6 [38.1%]) while 28% were moderately malnourished (SGA  $\leq$  5). The mean SGA score was 6.0 at baseline.

#### **Changes in Nutritional Status Over Time**

Impaired nutritional status at 1-year follow-up was present in 385 individuals (34.9%). A severe decline in SGA occurred in 112 patients (10.9%). On the other hand, an improvement in nutritional status (of at least 1 point in SGA) was seen in 254 individuals (23.5%). Over the entire follow-up period, up to 4 years (median 1.6 years, IQR 0.9-2.4) the mean SGA change was -0.18 points/year (95% confidence interval -0.21; -0.14) (Figure 1). The proportion of patients with low SGA scores (1-5 points) increased by each 6 months, while those with SGA score 6-7 decreased (Figure 2). Individuals who declined in SGA had a larger decrease in eGFR (mean change in eGFR -2.0 mL/min/1.73 m [SD 0.27]) during follow-up compared to those with stable nutritional status (mean change in eGFR -0.7 mL/min/1.73 m<sup>2</sup> [SD 0.2], P < .001). There was no association between age, education, primary renal diagnosis, and all the other variables shown in Table S2 and SGA decline.

### Lifestyle Factors and Nutritional Status

Current smoking was a strong risk factor (odds ratio 2.64; 1.50-4.64) for the worsening of nutritional status over 1 year, compared to both nonsmoking and former smoking. The fully adjusted model showed an even stronger association (odds ratio 3.25; 1.76-6.05) presented in Table 2. The association between alcohol consumption and decline in SGA indicated a U-shaped relationship; a moderate consumption was associated with lower risk of SGA decline while a high alcohol consumption of more than 10 standard units/week suggested a higher risk of SGA decline, although not statistically significant (Table 2).

#### Patient-Reported Quality of Life and Nutritional Status

At baseline, the mean mental component score was 48.7 (SD 10.7) in those with stable nutritional status after 1 year and 48.6 (SD 11.6) in the group who dropped in SGA. The mean physical component score was 35.7 (SD 11.1) and 33.1 (SD 11.2) in those with stable versus declining SGA. Patients with a stable MCS during follow-up (mean change in MCS 0.10 [SD 9.0]) also had a stable nutritional status, while those who declined in MCS (mean change in MCS -1.92 [SD 10.6], P = .03) also declined in SGA.

The MCS at baseline was not associated with a drop in SGA in the unadjusted or adjusted main analysis (Table 3). PCS, on the contrary, was associated with SGA decline by -1 point. Every 10 points higher PCS score at baseline decreased the odds of SGA decline by 23%. In a sensitivity analysis of severe decline in SGA, we observed similar associations for PCS, while every 10 point higher MCS now was associated with an 11% lower odds of severe SGA decline (Table S3). In patients with diabetes, the association of a low physical function score and SGA decline was more prominent, than in those without diabetes (Table S4).

# Patient-Reported Symptoms and Nutritional Status

At baseline, the study participants experienced several symptoms; 250 individuals (27%) reported decreased appetite, 267 (29%) reported constipation, 175 (19%) reported nausea, 231 (25%) diarrhea and more than half the study participants (54%) reported a dry mouth (Table 1).

In the unadjusted analyses, the presence of most gastrointestinal symptoms was associated with a decline in SGA, although not statistically significant (Table 3). However, in the adjusted models, constipation and decreased appetite were significantly associated. Sensitivity analyses of severe SGA decline yielded similar results (Table S3).

#### Discussion

In this large European study of older patients with advanced CKD we found that 28.0% of the patients was moderately malnourished at baseline, and 34.9% declined in SGA during 12 months of follow-up. A severe decline in nutritional status occurred in 10.9% of the study participants. We identified several characteristics associated with a higher risk of SGA decline; current smoking, low physical component score (PCS), constipation and decreased appetite were among those. The relationship between physical function and the risk of SGA decline was stronger in those with diabetes.

To the best of our knowledge, this is the first study to report changes in nutritional status over time in older patients with advanced CKD, not on dialysis. The mean SGA decline of -0.18 points/year indicates a progressive deterioration in nutritional status over time. Previously, weight has been used as a marker of nutritional status in studies of earlier stage CKD patients. In studies from the CRIC and AASK cohorts<sup>28</sup> a significant decline in weight occurred after eGFR decreased to <35 mL/min/1.73 m<sup>2</sup> and an annual weight loss >5% before dialysis therapy initiation was associated with a higher risk of all-cause mortality. The reasons for weight loss were not noted in these studies, but Kopple et al<sup>29</sup> found that patients with advanced CKD decrease their protein and energy intake as renal function deteriorates. They showed that the energy intake (calculated from diet records) was below the recommended level, particularly in those with a GFR <21 mL/ min/1.73 m<sup>2</sup>.<sup>29</sup> Weight could be influenced by fluid retention, as oedema is common in advanced CKD.<sup>30</sup> In the clinical setting, it may therefore be more relevant to screen for unintentional weight-loss, such as provided by the SGA tool, or measure body composition.<sup>2</sup>

Our study shows that on a population level, many patients decline in nutritional status. Still, the majority did not deteriorate, and those with a stable nutritional status were also more stable in the mental component score (MCS) and eGFR during follow-up. We additionally confirm that those with high comorbidities were at higher risk of a poor nutritional status and SGA decline.<sup>3,32</sup> All patients were treated by their nephrologist according to standard care in each country. Although we adjusted for factors, such as smoking and BMI, we were unable to adjust for referral patterns in the analysis, which might have affected the results.

Current smoking was a strong risk factor for deterioration in nutritional status over time. This finding adds to the many evidences of the harmful health effects of tobacco smoking. Healthcare should provide an antismoking program in CKD patients, not only to reduce the risk of cardiovascular events, but also to prevent worsening in

	No Decline in Nutritional	Decline in Nutritional
Variables	Status (n $=$ 718)	Status (n $=$ 385)
Sex $(n = 1.103)$		
Male	468 (65.2)	244 (63.4)
Female	250 (34.8)	141 (36 6)
Age	76 (70-81)	76 (71-82)
Country (n = 1.103)		10 (11 02)
Germany	55 (7.3)	19 (4 9)
Italy	166 (23.1)	76 (19,7)
Netherlands	73 (10.2)	22 (5 7)
Poland	21 (2.9)	12 (3.1)
Sweden	163 (22 7)	99 (25 7)
United Kingdom	240 (33.4)	157 (40 8)
Primary renal disease $(n = 1.010)$	240 (00.4)	101 (40.0)
Glomerular disease	61 (9 4)	34 (9 4)
Tubulointerstitial disease	61 (9.4)	30 (8 3)
Systemic disease	259 (40 0)	143 (39 5)
Diabetes	137 (21 1)	75 (20.7)
Familial/bereditary penbropathies	27 (4 2)	12 (3.3)
Miscellaneous renal disorders	103 (15.9)	68 (18 8)
Clinical data	103 (13.3)	08 (10:0)
$eGER ml /min/1.73^2 (n - 1103)$	10 3 (15 8-22 2)	10 0 (16 3-22 6)
Systelic blood pressure mmHg $(n - 1.096)$	142 5 (120-156)	144 3 (130-156)
Diastolic blood pressure mmHg $(n - 1,000)$	73.8 (67-80)	74 1 (67-81)
Body mass index $kg/m^2$ (n = 1.020)	28.2 (5.1)	28 7 (5 8)
Naist since $xy/11 (1 - 1,000)$	20.3(3.1)	20.7 (3.0)
SGA overall seers $(n - 1.102)$	5 Q (1 Q)	6 1 (0 0)
Comorbidity	5.9 (1.0)	0.1 (0.9)
Control Dury $(SD) (n - 1.0\%)$	60(19)	7.0 (1.0)
Diabetes mellitus (n $-$ 1 087)	279 (39.6)	153 (40.1)
Diabeles memus $(n - 1,007)$	279 (39.0) 48 (6 0)	22 (9 7)
$\begin{array}{l} \text{Fsychiatric diseases (II - 1,001)} \\ \text{Corobroviscoular diseases (n - 1,078)} \end{array}$	40 (0.9)	55 (6.7)
Cerepony extens diagona $(n = 1,070)$	164 (02.9)	100 (26 7)
Hoart failure $(n - 1.067)$	118 (17 0)	61 (16 4)
Pulmonary discass a a state (n - 1,007)	104 (14 0)	65 (17.2)
Education $(n - 0.08)$	104 (14.9)	03 (17.2)
	182 (20 4)	108 (33.3)
Low	206 (50.8)	150 (35.2)
Liab	85 (14 1)	152 (40.6)
Othor	00(14.1)	40 (14.2)
Marital status (n = 906)	20 (4.7)	19 (5.9)
Married/partner	387 (64 3)	202 (62 5)
Diversed/widewed/single	207 (25 5)	101 (37 5)
Lifestyle	207 (35.5)	121 (37.3)
Smoking $(n - 886)$		
Current smoker	43 (7 6)	45 (14 1)
Former emeker	43 (7.0)	43 (14.1)
Former Sinoker Alcohol use standard units/wook $(n - 879)$	308 (34.3)	181 (50.7)
Alcohol use, standard units/week (II – 676)	95 (51 1)	29 (12 1)
1-2 > 2.6	02 (16 5)	30 (12.1)
∽2-0 ∖6-10	48 (8 1)	41 (10.1) 26 (9.2)
>10 >10	40 (0.1) 29 (6 1)	20 (0.3)
PAND-36	30 (0.4)	23 (0.0)
Mental Component Score (n — 2011)	48 7 (10 7)	18 6 (11 6)
$\frac{1}{10000000000000000000000000000000000$	40.7 (10.7) 35 7 (11 1)	40.0 (11.0) 33 1 (11 0)
s nysical component score (n - 604) Symptoms	55.7 (TT.T)	33.1 (11.2)
Decreased appetite $(n - 907)$	152 (26 1)	08 (30 3)
Constinution $(n - 900)$	160 (27 0)	107 (22 A)
Nausoa $(n - 920)$	115 (10 7)	ED (10 E)
Nausea (II – 303)	115 (19.7)	(10.5)

147 (25.2)

318 (53.6)

84 (25.9)

180 (55.2)

**Table 1.** Baseline Characteristics in Individuals With at Least Two Measurements of 7-p SGA, Stratified on Those With a Decline in Nutritional Status or Not Within One Year (n = 1,103)

eGFR, estimated glomerular filtration rate; SD, standard deviation; SGA, subjective global assessment.

Diarrhea (n = 909) Dry mouth (n = 919)

Values are given as numbers (percentage), means (standard deviation), or median (interquartile range).



Figure 1. Mean change in SGA (points/year) during the entire follow-up period, up to 4 years.

nutritional status. A high alcohol intake was not associated with nutritional status deterioration in our study, but our results suggested that a low alcohol intake may be beneficial. However, this relationship was not consistent when looking at severe decline in SGA. Indeed, whereas Kidney Disease Outcomes Quality Initiative (KDOQI) guidelines recommend stop smoking in their lifestyle chapter, they do not provide recommendations about alcohol consumption.<sup>33</sup>

The patients of our cohort reported lower overall healthrelated quality of life as compared to the general population, but somewhat higher in comparison with studies of dialysis patients.<sup>34</sup> In a large study of quality of life in individuals with ischemic heart disease,<sup>35</sup> the mean PCS and MCS in patients >70 years were only slightly higher than the mean scores in our study. Similar to our study participants, they reported higher MCS than PCS. These findings support the hypothesis that older patients perceive less mental stress when they are confronted with disease, but are more prone to muscle wasting and poor physical status than younger patients.<sup>36,37</sup> Studies in hemodialysis patients have reported that individuals with diabetes have increased muscle breakdown compared to nondiabetic patients.<sup>38,39</sup> In accordance, we found an interaction with diabetes and physical function. Inflammation, suboptimal metabolic control, and sedentary lifestyle may contribute to this. Clinical studies are needed to elucidate the role of poor metabolic control on muscle wasting and physical function in elderly persons.

In the present study, the MCS at baseline was not associated with decline in SGA, but those who declined in SGA also decreased in MCS. The cause and effect of these relationships may be difficult to disentangle in this observational study. However, if the associations are bidirectional, one could speculate on their relationships. Fatigue and lack of motivation may contribute to a poor diet and a worse nutritional status, while a deteriorated nutritional status could influence the mental health and health behavior.<sup>40</sup> We also found that low PCS is associated with a higher odds of SGA decline. Individuals with low PCS have similarities to the phenotype physical frailty,<sup>41</sup> a low level of physical activity, weakness, and exhaustion. From our data, we cannot establish if interventions directed toward increased physical activity would change the risk for impaired nutritional status. However, guidelines already state that older persons at risk of malnutrition should be encouraged to be physically active and exercise in order to maintain or improve muscle mass and function.<sup>41,42</sup> This should be part of a multidisciplinary team intervention to improve functional and clinical outcomes.<sup>43,44</sup>

Several studies report that the symptom burden in CKD is high.<sup>1,45</sup> In other publications from the EQUAL cohort,



**Figure 2.** Cross-sectional prevalence and distribution of 7-p SGA scores at baseline (n = 1,103), 6 months of follow-up (n = 1011), and 12 months (n = 793).

Table 2. Lifestyle Factors and Risk for Nutritional Status Deterioration

Lifestyle Factor	Minimally Adjusted* OR (95% CI)	Model 1 OR (95% CI)	Model 2 OR (95% CI)
Smoking			
Never smokers	1.0	1.0	1.0
Former smokers	1.38 (0.98-1.93)	1.51 (1.06-2.16)	1.41 (0.94-2.11)
Current smokers	2.64 (1.50-4.64)	2.88 (1.60-5.19)	3.26 (1.76-6.05)
Alcohol consumption (standard units/week)	P trend 0.09	P trend 0.41	P trend 0.33
None	1.0	1.0	1.0
1-2	0.66 (0.44-0.99)	0.67 (0.47-0.96)	0.61 (0.42-0.90)
>2-6	0.65 (0.48-0.88)	0.65 (0.49-0.87)	0.66 (0.45-0.97)
>6-10	0.84 (0.67-1.06)	0.86 (0.67-1.10)	0.67 (0.52-0.86)
>10	1.06 (0.66-1.68)	1.09 (0.64-1.85)	1.13 (0.54-2.38)

CI, confidence interval; OR, odds ratio.

Model 1 adjusted for SGA baseline, age, sex, and country.

Model 2 additionally adjusted for comorbidity, BMI, education, mental summary score, physical summary score and (smoking/alcohol). \*Minimally adjusted (adjusted for baseline SGA).

we have observed that the number and burden of symptoms increase progressively as renal function deteriorate.<sup>45</sup> Moreover, a higher symptom burden has also been associated to a lower quality of life.<sup>2</sup> In our present study, we found that both constipation and decreased appetite were risk factors for decline in nutritional status. Constipation is prevalent in older adults and has previously been reported to be associated with poor appetite and nausea, factors that affect the energy and nutrient intake.<sup>46</sup> Using EQUAL data, Janmaat et al recently found that decreased appetite was the symptom that increased most severely over time in older adults with CKD. In our study, we observed that those who declined in SGA, decreased more in mean eGFR compared to those with stable SGA. Since both eGFR and SGA were studied simultaneously we are unable to draw any conclusions regarding the direction of this association, but we believe our finding suggest that at least some of the SGA decline could have been mediated by progressive kidney function loss. The prevalence of decreased appetite is twice as high in women as in men in older adults with CKD,<sup>1</sup> which may explain why the association weakened when we first adjusted for sex. Surprisingly, dry mouth (xerostomia) was not a risk factor for impaired nutritional status. More than half of the study participants experienced this symptom, compared to 17-40% in studies of the geriatric population in general.<sup>46</sup> However, xerostomia is the subjective feeling of a dry mouth, and is not always related to a reduced saliva flux.<sup>47</sup>

Table 3. Patient-Reported Measures and Risk of Nutritional Status Deterioration

Patient-Reported Measures	Minimally Adjusted* OR (95% CI)	Model 1 OR (95% CI)	Model 2 OR (95% CI)
Mental Component Summary, per 10 p increase	0.96 (0.85-1.08)	0.96 (0.87-1.07)	1.03 (0.95-1.11)
>56 7	10	10	10
<56 7-50 9	0.9.3 (0.70-1.23)	0.93 (0.69-1.24)	0.76 (0.50-1.13)
<50.9-40.5	0.92 (0.70-1.22)	0.92 (0.69-1.24)	0.68 (0.49-0.95)
<40.5	1.15 (0.86-1.54)	1.14 (0.88-1.47)	0.88 (0.68-1.12)
Physical Component Summary, per 10 p increase	0.77 (0.69-0.86)	0.76 (0.70-0.81)	0.77 (0.67-0.87)
Physical Component Summary			
≥43.7	1.0	1.0	1.0
<43.7-34.6	1.13 (0.93-1.36)	1.13 (0.93-1.36)	1.05 (0.88-1.26)
<34.6-26	1.30 (0.95-1.79)	1.35 (1.05-1.73)	1.22 (0.90-1.68)
<26.0	2.02 (1.49-2.72)	2.08 (1.58-2.76)	2.02 (1.35-3.02)
Symptoms			
Decreased appetite	1.50 (0.93-2.42)	1.47 (0.89-2.44)	1.56 (1.06-2.28)
Constipation	1.36 (1.22-1.52)	1.36 (1.21-1.53)	1.41 (1.20-1.67)
Nausea	1.00 (0.90-1.24)	0.98 (0.77-1.24)	1.06 (0.83-1.36)
Dry mouth	1.10 (0.75-1.60)	1.08 (0.71-1.65)	1.04 (0.73-1.68)
Diarrhea	1.13 (0.90-1.41)	1.12 (0.90-1.39)	1.05 (0.74-1.48)

Model 1 adjusted for SGA baseline, age, sex, and country (cluster).

Model 2 additionally adjusted for comorbidity, BMI, education, and (smoking/alcohol).

BMI, body mass index; CI, confidence interval; OR, odds ratio.

\*Minimally adjusted (adjusted for baseline SGA).

Our study has several strengths. The cohort represents an incident European CKD cohort in six different countries with extensive demographic and clinical data. The nutritional assessment was performed by trained research nurses or dietitians in each country and all study centers participated in a standardized training program of the SGA method. We also acknowledge some limitations. Although the 7-point SGA was developed for repeated measurements, there is a possibility that the method may misclassify individuals or be too insensitive to be able to identify more subtle changes over time. This would however only explain any lack of association. One limitation is the observational study design, where causal interpretations cannot be determined. Furthermore, lifestyle factors could be misclassified due to the design with self-reporting.

In conclusion, this European multicenter study with older, incident CKD patients shows that 34.9% displayed deterioration of nutritional status during 1 year of followup and at a population level the mean SGA decreased. Low health-related quality of life (especially the physical component), gastrointestinal symptoms (constipation and decreased appetite), and smoking were associated with deterioration in nutritional status. Future studies should explore if specific interventions guided toward the risk groups will reduce the risk of SGA decline. Patientreported outcome measures provide important information that could better guide health professionals toward a personalized approach.

#### **Practical Application**

Patient-reported measures influence the course of nutritional status in elderly people with CKD stage 4–5, not yet on dialysis. More than one-third of the study participants developed an impaired nutritional status during 1 year of follow-up. We identified that those with lower selfreported physical function, more gastrointestinal symptoms (particularly decreased appetite and constipation), and smokers were at higher risk of developing impaired nutritional status. These findings could guide health professionals toward a personalized approach.

#### **Credit Authorship Contribution Statement**

Karin Windahl: Investigation, Formal analysis, Writing - original draft, Writing - review & editing, Conceptualization, Methodology, Data curation. Gerd Faxén Irving: Writing - review & editing, Conceptualization, Methodology, Data curation. Tora Almquist: Writing - review & editing, Conceptualization, Methodology, Data curation. Maarit Korkeila Lidén: Writing - review & editing, Conceptualization, Methodology, Data curation, Writing - review & editing, Conceptualization, Methodology, Data curation. Peter Stenvinkel: Writing - review & editing, Conceptualization, Methodology, Data curation. Nicholas C. Chesnaye: Writing - review & editing, Conceptualiza-

tion, Methodology, Data curation. Christiane Drechsler: Writing - review & editing, Conceptualization, Methodology, Data curation. Maciej Szymczak: Writing - review & editing, Conceptualization, Methodology, Data curation. Magdalena Krajewska: Writing - review & editing, Conceptualization, Methodology, Data curation. Edouard L. Fu: Writing - review & editing, Conceptualization, Methodology, Data curation. Claudia Torino: Writing - review & editing, Conceptualization, Methodology, Data curation. Gaetana Porto: Writing - review & editing, Conceptualization, Methodology, Data curation. Paul Roderick: Writing - review & editing, Conceptualization, Methodology, Data curation. Fergus J. Caskey: Writing review & editing, Conceptualization, Methodology, Data curation. Christoph Wanner: Writing - review & editing, Conceptualization, Methodology, Data curation. Friedo W. Dekker: Writing - review & editing, Conceptualization, Methodology, Data curation. Kitty J. Jager: Writing - review & editing, Conceptualization, Methodology, Data curation. Marie Evans: Investigation, Formal analysis, Writing - original draft, Writing - review & editing, Conceptualization, Methodology, Data curation.

#### Acknowledgments

The authors would like to thank all the patients and health professionals participating in the EQUAL study. Funding was received from the European Renal Association–European Dialysis and Transplant Association (ERA-EDTA), the Swedish Medical Association (SLS), the Stockholm County Council ALF, Njurfonden (Sweden), Center for Innovative Medicine (CIMED), the Italian Society of Nephrology (SIN-Reni), the Dutch Kidney Foundation (SB 142), the Young Investigators grant in Germany, and the National Institute for Health Research (NIHR) in the United Kingdom.

#### **Supplementary Data**

Supplementary data related to this article can be found at https://doi.org/10.1053/j.jrn.2021.03.006.

#### References

1. van de Luijtgaarden MWM, Caskey FJ, Wanner C, et al. Uraemic symptom burden and clinical condition in women and men of  $\geq$ 65 years of age with advanced chronic kidney disease: results from the EQUAL study. *Nephrol Dial Transpl.* 2019;34:1189–1196.

**2.** Voskamp PWM, van Diepen M, Evans M, et al. The impact of symptoms on health-related quality of life in elderly pre-dialysis patients: effect and importance in the EQUAL study. *Nephrol Dial Transpl.* 2019;34:1707-1715.

**3.** Windahl K, Faxen Irving G, Almquist T, et al. Prevalence and risk of protein–energy wasting assessed by subjective global assessment in older adults with advanced chronic kidney disease: results from the EQUAL study. *J Ren Nutr.* 2018;28:165–174.

4. Rodrigues J, Santin F, Brito F, Lindholm B, Stenvinkel P, Avesani CM. Nutritional status of older patients on hemodialysis: which nutritional markers can best predict clinical outcomes? *Nutrition*. 2019;65:113-119.

5. Obi Y, Qader H, Kovesdy CP, Kalantar-Zadeh K. Latest consensus and update on protein-energy wasting in chronic kidney disease. *Curr Opin Clin Nutr Metab Care*. 2015;18:254–262.

6. Kooman JP, Kotanko P, Schols AM, Shiels PG, Stenvinkel P. Chronic kidney disease and premature ageing. *Nat Rev Nephrol.* 2014;10:732-742.

7. Carrero JJ, Stenvinkel P, Cuppari L, et al. Etiology of the protein-energy wasting syndrome in chronic kidney disease: a consensus statement from the International Society of Renal Nutrition and Metabolism (ISRNM). *J Ren Nutr.* 2013;23:77-90.

**8**. Schilp J, Wijnhoven HA, Deeg DJ, Visser M. Early determinants for the development of undernutrition in an older general population: longitudinal Aging Study Amsterdam. *Br J Nutr.* 2011;106:708-717.

9. van der Pols-Vijlbrief R, Wijnhoven HAH, Visser M. Perspectives on the causes of undernutrition of community-dwelling older adults: a Qualitative study. *J Nutr Health Aging.* 2017;21:1200-1209.

10. Favaro-Moreira NC, Krausch-Hofmann S, Matthys C, et al. Risk factors for malnutrition in older adults: a systematic review of the Literature based on longitudinal data. *Adv Nutr.* 2016;7:507-522.

11. Crews DC, Bello AK, Saadi G. Burden, access and disparities in kidney disease. *Clin kidney J.* 2019;12:160–166.

12. Strand H, Parker D. Effects of multidisciplinary models of care for adult pre-dialysis patients with chronic kidney disease: a systematic review. *Int J Evid Based Healthc.* 2012;10:53–59.

13. Nicoll R, Robertson L, Gemmell E, Sharma P, Black C, Marks A. Models of care for chronic kidney disease: a systematic review. *Nephrology* (*Carlton, Vic*). 2018;23:389-396.

14. Evans M, Lopau K. The transition clinic in chronic kidney disease care. *Nephrol Dial Transpl.* 2020;35:ii4-ii10.

15. Jager KJ, Ocak G, Drechsler C, et al. The EQUAL study: a European study in chronic kidney disease stage 4 patients. *Nephrol Dial Transpl.* 2012;27:iii27-iii31.

16. de Mutsert R, Grootendorst DC, Boeschoten EW, et al. Subjective global assessment of nutritional status is strongly associated with mortality in chronic dialysis patients. *Am J Clin Nutr.* 2009;89:787-793.

17. Santin FG, Bigogno FG, Dias Rodrigues JC, Cuppari L, Avesani CM. Concurrent and predictive validity of composite methods to assess nutritional status in older adults on hemodialysis. *J Ren Nutr.* 2016;26:18–25.

**18.** Steiber A, Leon JB, Secker D, et al. Multicenter study of the validity and reliability of subjective global assessment in the hemodialysis population. *J Ren Nutr.* 2007;17:336-342.

19. Detsky AS, Baker JP, Mendelson RA, Wolman SL, Wesson DE, Jeejeebhoy KN. Evaluating the accuracy of nutritional assessment techniques applied to hospitalized patients: methodology and comparisons. *JPENJ Parenter Enteral Nutr.* 1984;8:153-159.

20. Detsky AS, McLaughlin JR, Baker JP, et al. What is subjective global assessment of nutritional status? JPEN J Parenter Enteral Nutr. 1987;11:8-13.

**21.** Visser R, Dekker FW, Boeschoten EW, Stevens P, Krediet RT. Reliability of the 7-point subjective global assessment scale in assessing nutritional status of dialysis patients. *Adv Perit Dial*. 1999;15:222-225.

22. McHorney CA, Ware JE Jr, Raczek AE. The MOS 36-Item Short-Form Health Survey (SF-36): II. Psychometric and clinical tests of validity in measuring physical and mental health constructs. *Med Care*. 1993;31:247-263.

**23.** Orwelius L, Nilsson M, Nilsson E, et al. The Swedish RAND-36 Health Survey - reliability and responsiveness assessed in patient populations using Svensson's method for paired ordinal data. *J patient-reported Outcomes.* 2017;2:4.

24. Hays RD, Morales LS. The RAND-36 measure of health-related quality of life. *Ann Med.* 2001;33:350-357.

25. Aaronson NK, Muller M, Cohen PD, et al. Translation, validation, and norming of the Dutch language version of the SF-36 Health Survey in community and chronic disease populations. *J Clin Epidemiol*. 1998;51:1055-1068.

26. Weisbord SD, Fried LF, Mor MK, et al. Renal provider recognition of symptoms in patients on maintenance hemodialysis. *Clin J Am Soc Nephrol.* 2007;2:960-967.

27. Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chronic Dis.* 1987;40:373-383.

28. Ku E, Kopple JD, Johansen KL, et al. Longitudinal weight change during CKD progression and its association with Subsequent mortality. *Am J Kidney Dis.* 2018;71:657-665.

29. Kopple JD, Greene T, Chumlea WC, et al. Relationship between nutritional status and the glomerular filtration rate: results from the MDRD study. *Kidney Int.* 2000;57:1688-1703.

**30.** Carrero JJ, Wanner C. Clinical Monitoring of protein-energy wasting in chronic kidney disease: Moving from body size to body composition. *J Ren Nutr.* 2016;26:63-64.

**31.** Carrero JJ, Avesani CM. Pros and cons of body mass index as a nutritional and risk assessment tool in dialysis patients. *Semin Dial.* 2015;28: 48–58.

**32.** Mukai H, Ming P, Lindholm B, et al. Restrictive lung disorder is common in patients with kidney failure and associates with protein-energy wasting, inflammation and cardiovascular disease. *PLoS One*. 2018;13:e0195585.

**33.** Chapter 3: Management of progression and complications of CKD. *Kidney Int Supplements.* 2013;3(1):73-90.

34. Pei M, Aguiar R, Pagels AA, et al. Health-related quality of life as predictor of mortality in end-stage renal disease patients: an observational study. *BMC Nephrol.* 2019;20:144.

**35.** Huber A, Oldridge N, Hofer S. International SF-36 reference values in patients with ischemic heart disease. *Qual Life Res.* 2016;25: 2787-2798.

**36.** Meuleman Y, Chilcot J, Dekker FW, Halbesma N, van Dijk S. Healthrelated quality of life trajectories during predialysis care and associated illness perceptions. *Health Psychol.* 2017;36:1083-1091.

**37.** van der Pols JC. Nutrition and mental health: bidirectional associations and multidimensional measures. *Public Health Nutr.* 2018;21:829-830.

**38.** Pupim LB, Flakoll PJ, Majchrzak KM, Aftab Guy DL, Stenvinkel P, Ikizler TA. Increased muscle protein breakdown in chronic hemodialysis patients with type 2 diabetes mellitus. *Kidney Int.* 2005;68:1857-1865.

**39.** Pupim LB, Heimbürger O, Qureshi AR, Ikizler TA, Stenvinkel P. Accelerated lean body mass loss in incident chronic dialysis patients with diabetes mellitus. *Kidney Int.* 2005;68:2368-2374.

40. Nixon AC, Bampouras TM, Pendleton N, Woywodt A, Mitra S, Dhaygude A. Frailty and chronic kidney disease: current evidence and continuing uncertainties. *Clin kidney J.* 2018;11:236-245.

41. Volkert D, Beck AM, Cederholm T, et al. ESPEN guideline on clinical nutrition and hydration in geriatrics. *Clin Nutr.* 2019;38:10-47.

42. Deutz NE, Bauer JM, Barazzoni R, et al. Protein intake and exercise for optimal muscle function with aging: recommendations from the ESPEN Expert Group. *Clin Nutr.* 2014;33:929-936.

**43.** Rasmussen NML, Belqaid K, Lugnet K, Nielsen AL, Rasmussen HH, Beck AM. Effectiveness of multidisciplinary nutritional support in older hospitalised patients: a systematic review and meta-analyses. *Clin Nutr ESPEN*. 2018;27:44–52.

44. van der Willik EM, Meuleman Y, Prantl K, et al. Patient-reported outcome measures: selection of a valid questionnaire for routine symptom assessment in patients with advanced chronic kidney disease - a four-phase mixed methods study. *BMC Nephrol.* 2019;20:344.

45. Janmaat CJ, van Diepen M, Meuleman Y, et al. Kidney function and symptom development over time in elderly patients with advanced chronic kidney disease: results of the EQUAL cohort study. *Nephrol Dial Transplant*. 2020;Epub 2020/01/17 https://doi.org/10.1093/ndt/gfz277. PubMed PMID: 31943084.

46. Liu B, Dion MR, Jurasic MM, Gibson G, Jones JA. Xerostomia and salivary hypofunction in vulnerable elders: prevalence and etiology. *Oral Surg Oral Med Oral Pathol Oral Radiol.* 2012;114:52-60.

47. Kiesswetter E, Hengeveld LM, Keijser BJ, Volkert D, Visser M. Oral health determinants of incident malnutrition in community-dwelling older adults. *J Dent.* 2019;85:73-80.