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From pixel to vine parcel: a complete methodology for vineyard delineation and characterization using remote-sensing data

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8 Abstract

The increasing availability of Very High Spatial Resolution images enables accurate digital maps production as an aid for management in the agricultural domain. In this study we develop a comprehensive and automatic tool for vineyard detection, delineation and characterization using aerial images and without any parcel plan 12 availability. In France, vineyard training methods in rows or grids generate periodic 13 patterns which make frequency analysis a suitable approach. The proposed method computes a Fast Fourier Transform on an aerial image, providing the delineation of vineyards and the accurate evaluation of row orientation and interrow width. These characteristics are then used to extract individual vine rows, with the aim of detecting missing vine plants and characterizing cultural practices. Using the red channel of an aerial image, 90% of the parcels have been detected (56.2% with cor-19 rect boundaries); 92% have been well classified according to their rate of missing 20 vine plants and 81% according to their cultural practice (weed control method). The automatic process developed can be easily integrated into the final user's Geographical Information System and produces useful information for vineyard management.

Key words: Remote-sensing, precision viticulture, cultural practices, missing vine plants, segmentation.

6 1 Introduction

- Since they provide precise and frequent large scale information, remote-sensing data can be used as an aid to decision-making. In winegrowing regions, ac-
- curate digital vineyards maps could be very useful to help the monitoring
- $_{\rm 30}$ of quality compliance, especially for Controlled Origin Denomination areas,

where strict criteria are imposed, such as a rate of missing vine plants below 31 25%. The management of pollution, erosion and flood risks are other fields that can take advantage of such maps as these risks depend on soil surface 33 conditions, which are directly linked to the kind of culture and cropping practice (see for example Lennartz et al. [1997] or Takken et al. [2001]). Distributed 35 hydrological models developed for cultivated catchments take into account the 36 spatial heterogeneity of landscape through some characteristics of crop pattern and cultural practices. However, these characteristics are generally unknown 38 and are thus simulated using geostatistical methods and some localized and costly field surveys. Consequently, information (even partial) on soil surface condition between rows could be usefully introduced in such models. Users' 41 demands usually concern (1) vineyards location and delineation and (2) identification of some characteristics that can be connected to cropping practices or crop quality, such as interrow width, row orientation, presence of grass be-44 tween rows or missing vine plants (Montesinos Aranda and Quintanilla [2006]). Many vineyard related studies in remote sensing (such as Lamb et al. [2004] or Zarco-Tejada et al. [2005]) use the infrared channel of low spatial resolution images to characterize vine vigour. On Very High Spatial Resolution (VHSR) images, the plantation and training patterns (often in rows or grids) become distinguishable, providing great discrimination and characterization poten-50 tialities. However, realizing this potential with automatic processes requires the development of new image processing approaches, allowing the analysis of textured image. Two kinds of approaches have been used to that aim for vineyard characterization: texture and frequency analysis. The former has re-54 cently been used by Da Costa et al. [2007] to extract vineyards boundaries 55 from 0.15 cm resolution images. However, a main drawback of the approach relies on the necessity to select a window inside each vine block before pro-57 cessing and the efficiency of the method in not quantified since results were qualitatively validated through a non-exhaustive visual control. Moreover, a comparative study of methods for vineyards detection (Delenne et al. [2008a]) has shown the inferiority of such kind of textural approach in comparison with a frequency analysis. This later, which takes advantage of the crop patterns periodicity, has been successfully used by Wassenaar et al. [2002] who applied 63 a Fourier Transform to characterize already delineated vine blocks on 25 cm resolution images. This approach also enables the accurate estimation of interrow width and row orientation, which can be used to easily extract and characterize each vine row, contrary to the complex and time-consuming clas-67 sical methods of deformable models, such as used in Bobillet et al. [2003]. The 'vinecrawler' algorithm presented in Hall et al. [2003] and successfully applied on Australian vineyards, would be difficultly usable in our case where vine rows and interrows rarely contain more than two or three pixels (see section 'Study area and data'). This paper addresses the issue of vineyard detection, delineation and characterization from VHSR aerial images using a frequency analysis approach. The originality of the developed method stands in the fact that it is entirely automatic and produces a geographic data base in a 'shape-

file' format, which can be integrated into any GIS used by vineyard managers. The first part of this paper describes the proposed approach and the study area. Considering that the main objective of this paper is to present the whole 78 workflow process, assessment of method efficiency is only presented for tests done on the red channel of an aerial image with a 50 cm spatial resolution. This choice (discussed in the section 'Study area and data') was guided by 81 the increasing availability of such images in Europe and by results obtained in previous studies (Wassenaar et al. [2002], Delenne [2006]).

$\mathbf{2}$ Material and method

In the following, the term 'parcel' will refer to an individual vineyard block with homogeneous characteristics (row orientation, interrow width, agricultural practice...). The process workflow can be divided in three main steps: (1) vineyard detection, (2) initial parcel delineation, and (3) vine row extraction, allowing boundaries refinement. At each step, some characteristics are derived, either to be directly added in the user's geographical database or to be used in a further processing step.

2.1 Study area and data

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The study area is the Roujan catchment (southern France), which has been an experimental site for hydrological studies since the beginning of the 90's. In this Mediterranean coastal plain, the diversity of agricultural practices leads to a great heterogeneity among the vineyards to be detected on remote sensing data. However, according to training mode, two main patterns can be observed: grid or line. About a quarter of the vineyards considered in this study are trained in 'goblet', involving no wire or other support system and leading to a grid pattern, often square, with approximately 1.5×1.5 m spacing. The line pattern concerns most of the recent vineyards, which are trained using horizontal wires to which the fruiting shoots are tied. Spacing between vine plants in the same row is smaller than spacing between rows (often 1×2.5 m spacing in the study area). More adapted to mechanization, this nowadays widespread training mode is named trellis or wire-training. Weed control practices in the study area are based on three main methods: chemical weeding, mechanical weeding and grass cover. Cultural practices are characterized by either applying the same weed control practice on each interrow or alternating various weed control practices. The main combination modalities are: 1/1 (no alternation of practices), 1/2 (e.g. interrows alternatively grass covered and 110 chemically weeded), 1/3 or 1/4. Data acquisition was made during the first week of July 2005, when foliar development was such that both vine and soil

ital cameras were used aboard an Ultra Light Aircraft to acquire RGB (three 114 channels in the visible part of the electromagnetic spectrum: red, green and 115 blue) and infrared images, with a spatial resolution of 50 cm. These character-116 istics have been chosen because they correspond to largely available data in 117 Europe. Preliminary tests done on the Blue, Green, Red, Near Infrared chan-118 nels and on the NDVI and Green-NDVI indices (Delenne [2006]) have shown 119 that best results are obtained with the Red channel. This is mainly due to the 120 fact that the contrast between vine rows (vegetation) and interrows is gener-121 ally better in the red channel and especially when the interrows are covered 122 by grass. The influence of resolution has also been studied and it was demon-123 strated that resolutions ranging from 30cm to 50cm were optimal according 124 to the interrow widths encountered (Delenne [2006]). Thus, only results of 125 the processing of the 50 cm resolution red channel will be presented in this 126 paper. For result validation, ground-truth information was collected at the 127 same time as image acquisition. The 121 vine parcels of the study area have 128 been digitized in a GIS database which also contains information concern-129 ing land use and, for vineyards, characteristics of training mode (row or grid 130 pattern), interrow width, orientation and soil surface condition between rows 131 and under vine plants (covered by grass, chemically or mechanically weeded). 132 Reference row orientations and interrow widths were obtained by precise on-133 screen measurements: row orientation was measured with a 1 precision and 134 interrow width was calculated by dividing the width of the whole parcel by 135 the number of interrows. In the following, this data base will be called the 136 reference database. 137

were visible on aerial photographs, providing enhanced pattern visibility. Dig-

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2.2 Vine parcel detection and boundaries extraction

This part is based on previously published works and is thus briefly recalled here.

Fourier theory (named after Joseph Fourier) states that almost any signal, including images, can be expressed as a sum of sinusoidal waves oscillating at 142 different frequencies. Thanks to the Fast Fourier Transform (FFT) algorithm 143 (Cooley and Tukey [1965]), the discrete Fourier transform of an image I can 144 be quickly computed. Its amplitude, or Fourier spectrum, can be represented 145 in the frequency domain as an image \hat{I} , symmetric with respect to its center. 146 Each position (u, v) in the Fourier spectrum corresponds to a particular spatial 147 frequency increasing the further it is from image center. A periodic pattern in 148 the spatial image I will induce a high value of the associated pixel in image 149 I. The method is thus based on the fact that vineyards are, most of the 150 time, organized in rows or grid and induce very located peaks. The location of 151 these peaks also enables the precise estimation of row orientation and interrow

width, which will be useful in the next steps of vineyard characterization (see section Results). Two methods, based on this principle, have been developed for vineyard boundaries extraction: the first one, at an inner-parcel scale, classifies each pixel in vine/non-vine using the FFT on its near-neighborhood (about 30 m²) before segmenting the resulting image in vine parcels (Delenne [2006] and Delenne et al. [2006]); the second one, at a more global scale, treats image subsets (about 500 m²) containing several vine parcels at the same time and performs the segmentation directly in a recursive process (Rabatel et al. [2008] and Delenne et al. [2008b]). The first method is much simpler to implement and provides equivalent results in terms of detected parcels but with less accuracy in boundaries location.

2.3 Vine row extraction: a way to improve delineation and characterization

The characteristics of row orientation and interrow width are used in this step to extract each vine row in the segmented parcel. The two main objectives of this extraction are the improvement of boundaries location by a precise adjustment of each row and the foliar density characterization at row level (with the out-coming detection of missing vine plants). Row extraction includes 3 steps: 1) identification of the rows inside the previously delineated parcels, 2) adjustment of the vine row network and 3) use of the final network to improve and complete the geographical database.

2.3.1 Initial row network extraction

The first step of vine row extraction consists in setting a row 'network' inside the previously segmented parcels. Assuming that rows are parallel, the straightforward proposed approach firstly consists in filling the parcel with a high number of oriented segments (e.g. spaced by half a pixel). Then, segment corresponding to vine rows are selected using two constraints based on digital numbers (DN) values and interrow width. In general, vegetation reflectance is lower than soil one in red wavelengths. For vineyards, the pattern contrast is sharpened in the red spectral band, thanks to the vine plants shadow located under the row when the sun elevation is high. Based on the hypothesis that vine row DN are lower than soil ones, local minima are first identified to select vine rows. Some of these minima, which are not located on vine rows, are eliminated using a second selection constraint based on a minimum interrow width (Figure 1).



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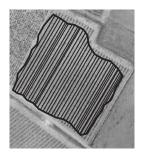
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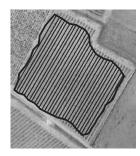


Fig. 1. Vine row detection. Left: row network initial setting; middle: elimination of false rows using the constraint of digital number local minima; right: further elimination using the constraint of minimum interrow width.

2.3.2 Network adjustment on the parcel neighbourhood

The row network is precisely adjusted using four actions: two row length adjustments, shortening and lengthening, and two adjustments of row number, elimination and addition. In the following, the two classes 'row' and 'interrow' are considered (the interrows being defined by translating the rows of half an interrow width, perpendicularly to row orientation). The general algorithm of this adjustment process is presented in Figure 2. For row length adjustment (shortening and lengthening), one meter length segments - corresponding to the mean interplant distance along a row encountered in the study area - are considered at row ends. The mean DN of a segment is compared to the DN distribution of the entire row and to the DN distribution of the both adjacent interrows using the Mahalanobis distance (introduced by P. C. Mahalanobis in 1936) defined by equation 1:

$$d_M = \sqrt{\frac{(v - \mu)}{\sigma^2}} \tag{1}$$

with v the value to test, μ and σ^2 the distribution mean and variance respectively. This distance (unlike the Euclidian one) is invariant to any change of scale and gives an estimation of the possibility for an element to belong to a class. Thus, if the segment mean DN is closer to the class 'row' than the class 'adjacent interrows', the segment is considered to belong to the row. Lengthening is first tested by adding a segment to the row until it is no more classified as 'row'. If the initial lengthening fails, segment elimination is tried. Additional tests check the presence of interrow segments at both sides of the row to avoid some false detection due to objects having the same range of DN values as vine rows (such as trees). Once initial rows are adjusted, the next step consists in row elimination or addition based on the analysis of the whole row mean DN value. Concerning the elimination process, each row mean DN value is compared to the global distribution of the mean DN values of all the rows and interrows of the parcel. The removal occurs when the row mean DN value is closer to the interrows class than the row one. The same kind of test is carried out to try to add some rows at the edges of the parcel.

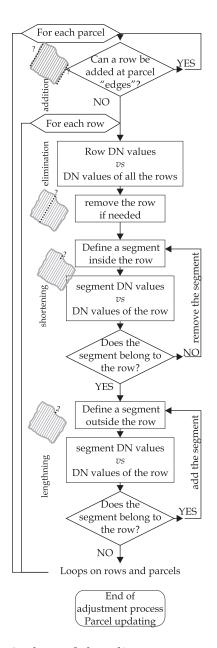


Fig. 2. chart of the adjustment process.

2.3.3 Parcel update in the geographical database

When all the rows have been adjusted, the parcel boundaries need to be corrected accordingly. At this stage, if some rows belonging to different parcels but having the same orientation and interrow width overlap each other, the corresponding parcels are grouped. This enables the correction of some oversegmentation cases. On the contrary, when more than three consecutive rows have been eliminated, the parcel is split up into two new parcels. This enables the correction of some under-segmentation cases. Figure 3 shows some improvements of parcel delineation after row detection and adjustment (see section Results for more details).

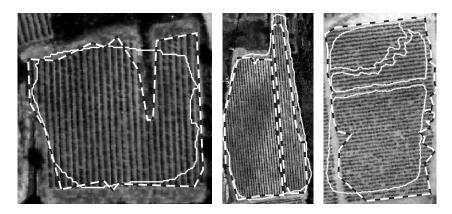


Fig. 3. Parcel boundaries improvement thanks to row adjustment (left), elimination (middle) and addition (right). Continued lines: initial boundaries; discontinued lines: adjusted ones.

2.3.4 Detection of missing vine plants

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The missing plant detection is processed in a similar way as row length adjustment. Each row is divided in 1m length segments and the mean DN value of each segment is compared to the DN distribution of the row and the both adjacent interrows. When the distance to the interrow class is smaller than the one to the row class, the segment is considered to correspond to a missing vine plant. The non-detection of missing vine plants can be due to the presence of grass under the row (so that the interrow radiometry is close to the row one) or to the fact that the gap has been filled by the two neighbour plants. On the contrary, some plants can be wrongly considered as missing for several reasons: the missing vine plant has been recently replaced and is not yet visible on the image; the plant is not missing but is not very sturdy; the interrow is covered by grass so that the difference between row and interrow is poor... (see section Results for more details).

2.3.5 Soil surface characterization: alternation of weed control methods

When alternation of weed control methods is observed, another periodical pattern appears on the image with a frequency twice or three or four times smaller as the one characterizing the row (according to the combination modality). To automatically assess this secondary pattern, the one dimensional Fourier transform is computed for each parcel on the signal made by the interrows DN means. Then, knowing the interrow frequency f, the process seeks for a second local maximum and estimates its frequency f2. There will be alternation if the frequency f2 is approximately equal to f/2, f/3 or f/4.

Case	Meaning	
1. Good segmentation	The common covering surface is higher than 70% of both manually and automatically segmented parcels.	
2. Over-segmentation	Several parcels are automatically segmented within one real parcel.	
3. Under-segmentation	One automatically segmented parcel includes several real parcels.	
4. Partial segmentation	Only one part of the real parcel is detected.	
5. Larger segmentation	The automatically segmented parcel spills over one or more parcels.	
6. Missing segmentation	Vine parcels not automatically segmented.	
7. Extra segmentation	Non-vine parcels automatically segmented as vine.	
8. Other cases	All other cases such as both over and under segmentation or both under and partial segmentation.	

Table 1

Segmentation result classification: 8 different cases can be considered.

237 3 Results

3.1 Segmentation results before and after row adjustment

For the validation process, the results of vine parcel segmentation are classified using the 8 different cases defined in Table 1, according to their compliance with the reference boundaries (see Rabatel et al. [2008] for more details).

Segmentation results obtained on the red channel of the image are presented in Table 2 before and after row adjustment. These results have been obtained with the first cited approach (Delenne et al. [2006]). As presented in Rabatel et al. [2008], On the former results, only 12 parcels (10%) are not detected, all of them - except one - being smaller than 0.5 ha and thus leading to weak amplitude peak in the Fourier spectrum. Even the very young parcels of the study area (less than three years old) have been detected, thanks to the enhancement of the image contrast. Nearly half the parcels have been correctly segmented (case 1), and many have been under (14.8%) or partially segmented (10.7%). As shown in the second column of Table 2, the rows detection and adjustment process enhances these first results in many ways, leading to a raise of correctly segmented parcel rate from 48% to 56.2%. No further improvement step can be envisaged concerning the case of missing segmentation, which contains one more parcel after a too important shortening of its rows. However, this case concerns less than 5% of the study area and these kinds

Case	Before row adjustment	After row adjustment		
1. Good	58 (48 %)	68~(56.2%)		
2. Over	3~(2.5%)	1 (0.8%)		
3. Under	18 (14.8%)	19 (15.7%)		
4. Partial	$13\ (10.7\%)$	9 (7.5%)		
5. Larger	8 (6.6%)	3~(2.5%)		
6. Missing	12 (10%)	$13\ (10.7\%)$		
7. Extra	7 (-)	3 (-)		
8. Other	9 (7.4%)	8 (6.6%)		

Table 2

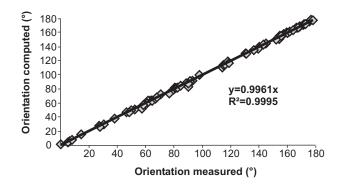
Segmentation results (in parcel and percentage) obtained on the red channel of a 50cm resolution image, before and after row adjustment, for the 121 vine parcel of the Roujan study site.

of small parcels tends to be no more exploited due to the general increase of mechanization.

3.1.1 Characterization results

3.1.1.1 Interrow width and row orientation Between on-screen measurements and method-derived estimates, average absolute differences of less than 1^o and 3.3 cm have been found respectively for row orientation and interrow width. As shown in Figure 4, the coefficients of determination R^2 obtained when comparing computed parameters to reference data are almost equal to 1 for both characteristics. Moreover, it could be visually assessed in the step of row extraction that the reference rows orientations (obtained by photo-interpretation) are less accurate than the automatically computed ones.

3.1.1.2 Missing vine plants detection Figure 5 shows some examples of results obtained with the proposed method. An exhaustive validation could not be done because of the lack of ground data. The following classification (done by photo-interpretation) is thus used: (1) less than 15% of missing vine plants, (2) between 15% and 30%, (3) more than 30%. The confusion matrix of this classification is given in Table 3 for all the vine parcels of the study area except seven very young vineyards for which vine plants are not visible by photo-interpretation. These results are very satisfactory since 92% of the parcels have been well classified. This kind of information will be useful for vineyard managers, for example to target the parcels which will need a more specific attention.



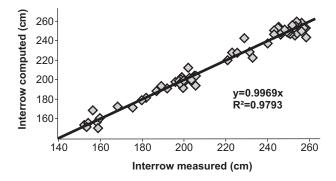


Fig. 4. Interrow width and row orientation: on-screen measurements vs automatic estimation.

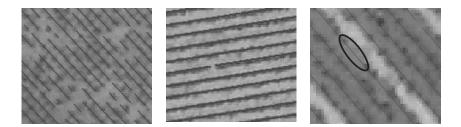


Fig. 5. Examples of missing vine plants detection. Image subsets in parcels having more than 25% (left) and less than 15% (middle) of missing plants; detection error due to the presence of grass under the row (right).

3.1.1.3 Soil surface characterization: alternation of weed control methods Confusion matrix for 'alternated parcels' detection is given in Table 4. 81% of the 121 parcels have been well classified. Nearly all the classification errors concern alternated parcels for which the periodic pattern is poorly contrasted in the image (Figure 6a). As a consequence, results obtained concerning parcels with some interrows covered by grass are much satisfactory, with only 4 wrong classifications over 18. The two non alternated parcels which have been wrongly classified contain some interconnecting farm roads, which induce a secondary and confusing pattern.

	< 15%	15% < - < 30%	> 30%
< 15%	82	4	0
15% < - < 30%	1	14	1
> 30%	2	1	9

Amount of correct classifications: 105/114 (92%)

Table 3 Confusion matrix concerning vineyard classification in three classes according to their rate of missing vine plants (automatic process in line, photo-interpretation in column).

	1/1	1/2	2/3	3/4
1/1	85	16	2	0
1/2	1	13	0	0
2/3	0	0	0	0
3/4	1	0	0	3

Amount of correct classifications: 98/121 (81%)

Table 4

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Confusion matrix concerning vineyard classification according to their cultural practice (alternation of weed control methods). Automatic process in line, photo-interpretation in column.

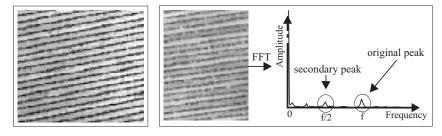


Fig. 6. Left: example of an undetected alternation 1/3 (invisible at naked eye); right: example of an alternation 1/2 and its Fourier spectrum.

4 Discussion and conclusions

In this study, a comprehensive process for vineyard detection, delineation and intra-parcel characterization has been proposed. The main advantages of this method are: its easy implementation, processing speed and the limited amount of parameters. It has been implemented in a completely automatic way and exports results into GIS format (.shp) with an associated database containing characteristics such as area, perimeter, interrow width, row orientation, missing vine plants rate and cultural practices. This process, easily integrated in the GIS used by vineyards managers, will enable a considerable reduction of

surveys or photo-interpretation. Indeed, the survey done for the validation 298 of this study took two days when it only consisted in checking several char-299 acteristics of the parcel without carrying out the differential GPS survey of 300 boundaries. Meanwhile, only about one hour is needed for the automatic pro-301 cess on a personal computer, which may be the same for a manual digitization 302 but do not need such user intervention. Moreover, it has been shown that the 303 automatic estimation of vine row orientation and interrow width are more ac-304 curate than those obtained by photo interpretation or ground measurements. 305 As the method description is relatively long, we have chosen to present only 306 the best results, obtained with the red channel of the image. These make us 307 confident regarding the interest of the method as only 10% of the vine parcels 308 have not been detected in the first step of segmentation, mainly concerning 309 small parcels which tend to be no longer exploited due to their inadequacy 310 with the general mechanization used in viticulture. Although not validated 311 exhaustively, the missing vine plant detection seems to be correctly assessed 312 as 92% of the parcels have been correctly classified according to three classes 313 of missing plants rate. The results of cultural practices characterization are 314 slightly poorer, except concerning practices involving grass cover. As said in 315 introduction, this information, even partial, will be useful to introduce in dis-316 tributed hydrological models. As a perspective, a complete evaluation of the 317 method according to different types of input data (resolution, spectral bands, 318 Lidar data...) will be done and presented in a further paper. 319

the cost previously needed to obtain all these characteristics using on-ground

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