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# Current challenges in understanding and treating ARDS

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# **CHAPTER 2**

Nebulized anticoagulants in lung injury in critically ill patients — an updated systematic review of preclinical and clinical studies

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### **Abstract**

Pneumonia, inhalation trauma and acute respiratory distress syndrome (ARDS), typical causes of lung injury in critically ill patients, are all three characterized by dysregulated inflammation and coagulation in the lungs. Nebulized anticoagulants are thought to have beneficial effects as they could attenuate pulmonary coagulopathy and maybe even affect pulmonary inflammation.

A systematic search of the medical literature was performed using terms referring to aspects of the condition ('pneumonia', 'inhalation trauma' and 'ARDS'), the intervention ('nebulized', 'vaporized', and 'aerosolized') and anticoagulants limited to agents that are commercially available and frequently given or tested in critically ill patients ['heparin', 'danaparoid', 'activated protein C' (APC), 'antithrombin' (AT) and 'tissue factor pathway inhibitor' (TFPI)].

The systematic search identified 16 articles reporting on preclinical studies and 11 articles reporting on human trials. All nebulized anticoagulants attenuate pulmonary coagulopathy in preclinical studies using various models for lung injury, but the effects on inflammation are less consistent. Nebulized heparin, danaparoid and TFPI, but not APC and AT also reduced systemic coagulation. Nebulized heparin in lung injury patients shows contradictory results, and there is concern over systemic side effects of this strategy.

Future studies need to focus on the way to nebulize anticoagulants, as well as on efficient but safe dosages, and other side effects.

# Introduction

Inflammation and coagulation use widespread reciprocal activation and amplification in mounting a response against various injurious insults (1, 2). This makes sense when considering the immune response and clotting as maybe the oldest and most important host-protective systems that need to act simultaneously. For example, consider the role of inflammation and coagulation in a fresh wound caused by an animal bite — a rapid inflammatory response and generation of fibrin is essential for preventing further spreading of pathogens, while at the same time clotting is crucial to prevent the victim from bleeding to death. It is long known that these systems also collaborate in lung tissue. Indeed, inflammation (3-5) and clotting (5-7) are activated upon infectious as well as non-infectious pulmonary challenges, and also here these two probably act as brothers-in-arms. Consider the role of inflammation and coagulation in a pulmonary infection — immune cells like resident alveolar macrophages and recruited neutrophils, together with proinflammatory mediators initiate a protective inflammatory reaction to protect the host against the invading pathogens, while new-formed clots and microvascular clots occluding the draining venules add to host defense by restraining bacteria to the site of infection and preventing bacteria from reaching the systemic circulation.

However, both the immune response and clotting can be too firm resulting in unfavorable effects. Seen the crosstalk between coagulation and inflammation, they may further amplify each other in an ongoing and overwhelming response, resulting in collateral damage. For instance, in sepsis an uncontrolled systemic immune response combined with overactivation of the coagulation system could lead to diffuse endothelial damage, multiple organ dysfunction, consumption of clotting factors and widespread fibrin depositions, eventually causing death. A similar problem may exist in lung tissue, where overzealous inflammation and excessive fibrin deposition within the airways may result in damage the blood–lung barrier and surfactant dysfunction, eventually compromising pulmonary integrity and gas–exchange (5, 8, 9).

Numerous randomized controlled trials in sepsis patients have tested various systemic immunomodulating as well as anticoagulant strategies — so far, these trials failed to show or to confirm benefit, and some trials even suggested harm of these strategies (10). These were followed by randomized controlled trials testing comparable strategies in patients with lung injury — and so far, also these trials failed to show beneficial effects (9). As systemic bleeding, due to systemic anticoagulation may offset possible positive effects, interest further moved to local anticoagulant approaches, i.e., through nebulization, in patients with lung

injury. Nebulized anticoagulants may improve local biological availability, and as such may increase their efficacy in the lungs while lowering the risk of systemic bleeding complications (9, 11).

In the present review, we first provide a concise description of pulmonary coagulopathy in three typical examples of lung injury in critically ill patients, i.e., pneumonia, inhalation trauma and acute respiratory distress syndrome (ARDS). This is followed by an updated systematic review of nebulization of anticoagulants (11) in these three types of lung injury in which we searched for evidence for benefit of local treatment with anticoagulant agents in preclinical studies using animal models as well as clinical trials in intensive care unit (ICU)-patients.

## Concise description of pulmonary coagulopathy

Prominent changes in concentration of markers of coagulation in bronchoalveolar fluid have been described in patients with pneumonia (6), inhalation trauma (12), and patients with ARDS (6, 13). While less prominent alterations are seen in spontaneously pneumonia patients who breath without mechanical ventilatory support (6), the extent of changes in patients with severe pneumonia mandating invasive mechanical ventilation are nearly identical to patients with ARDS, in whom pulmonary changes in coagulation are usually more abundant (6, 13). Similar alterations in bronchoalveolar coagulation have been described in burn patients with inhalation trauma, where severity of inhalation trauma is associated with the extent of the pulmonary coagulopathy (12).

### Thrombin generation

Alveolar thrombin generation in pneumonia, inhalation trauma and ARDS are mediated by the tissue factor (TF)–factor VIIa pathway (7, 12, 14, 15). TF levels are low in the normal lung but elevated in disease (16, 17). Patients who develop pneumonia (7), burn patients with inhalation trauma (12), and patients with ARDS (18) all demonstrate increases in bronchoalveolar levels of thrombinantithrombin (TAT) complexes, which is associated with a simultaneous rise in alveolar levels of soluble TF and factor VII. Of note, pulmonary coagulopathy is merely a localized process, with changes in fibrin generation being restricted to the lungs, and in cases of unilateral pneumonia.

### Natural anticoagulant pathways

Although lung tissue has only a limited capacity to produce protein C, activated protein C (APC) is present in alveoli (21). Patients with pneumonia (22, 23), burn patients

with inhalation trauma (12), and patients with pulmonary inflammation all show a suppressed protein C system (24). This suppression is thought to be dependent on oxidation of thrombomodulin and shedding of thrombomodulin from the cell surface (25), resulting in soluble fragments of thrombomodulin in e.g., patients with ARDS (26), where higher thrombomodulin levels are associated with worse clinical outcomes. Relatively low levels of antithrombin (AT) are present in the lung and AT is rapidly consumed during low-dose endotoxemia (27, 28). The importance of AT in the pathogenesis of endotoxininduced lung injury, however, is underlined by studies in rats challenged with experimental endotoxemia (29). The exact role of tissue factor pathway inhibitor (TFPI) in the lung is less well known. In patients with interstitial lung disease it seems that insufficient TFPI is produced to adequately block pulmonary TF activity (30), and a similar mechanism has been suggested for patients with ARDS.

### **Fibrinolysis**

In association with enhanced fibrin production, fibrinolytic activity is depressed in alveolar fluids of patients with pneumonia (7, 20, 22), patients with inhalation trauma (12), and patients with ARDS (6). Depressed fibrinolytic activity is related to high pulmonary concentrations of the main inhibitor of fibrinolysis in lung tissue, plasminogen activator inhibitor type 1 (PAI–1). The importance of the fibrinolytic system in the pathogenesis of pneumonia is underscored by the observation that a depression of bronchoalveolar fibrinolysis precedes the clinical occurrence of pneumonia by several days (7). Bronchoalveolar fluid levels of PAI–1 are increased in burn patients with inhalation trauma and in patients with ARDS (12, 31) and is probably secreted by lung epithelial cells, fibroblasts, and endothelial cells (32, 33). Alveolar levels of PAI–1 are associated with higher mortality in patients with ARDS (31).

# **Updated search strategy**

In May 2017 we repeated the systemic literature search on which we reported in a systematic review of preclinical and clinical investigations of nebulized anticoagulants in 2012 (11). For this updated review, the search strategy was expanded to cover the three types of lung injury frequently seen in critical care setting, i.e., pneumonia, inhalation trauma, and ARDS.

### Data sources and keywords

To identify relevant reports on studies testing local anticoagulation in pneumonia, inhalation trauma and ARDS, an electronic search in Medline and Embase

databases was conducted. The reference lists of retrieved papers were screened for potentially important papers. The search was limited to papers published from 1980 until now, and papers written in English. The Medline and EMBASE databases were used to identify medical subject headings (MeSH) to select search terms. In addition to MeSH-terms, free text words were used. Search terms referred to aspects of the condition ('pneumonia', 'inhalation trauma' and 'ARDS'). In addition, we searched on the intervention ('nebulized', 'vaporized', and 'aerosolized'), and various anticoagulant agents, but limited to agents that are commercially available and frequently given to critically ill patients ('heparin' and 'danaparoid') or those that have been tested in previous trials of sepsis ('APC', 'AT' and 'TFPI').

### Study selection and data extraction

Titles and abstracts of identified manuscripts were reviewed on the subjects (i.e., animal models of lung injury, and ICU patients with pneumonia, burn patients with inhalation trauma, or critically ill patients with ARDS), the intervention (i.e., nebulized anticoagulation), and outcomes (i.e., lung injury and inflammation, pulmonary coagulation, systemic coagulation and bleeding). In case of uncertainty the complete manuscript was obtained and evaluated. Manuscripts were critically appraised for the effects of nebulized anticoagulants on pulmonary coagulation, parameters of lung injury, and outcome.

# Results

#### Search results

The systematic search of the literature identified 16 articles reporting on preclinical studies (34–49) and 12 articles reporting on human trials (50–61) of nebulized anticoagulants. Details regarding article selection are presented in **Figure 1**. Compared to our previous review published in 2012, the updated search found six additional articles reporting on preclinical studies (36, 37, 42–45), and eight new articles reporting on human trials of nebulized anticoagulants (50, 51, 53–56, 60,61) for ARDS; all these trials tested only one anticoagulant, i.e., nebulized heparin. Of note, in some trials heparin was combined with mucolytics and/or bronchodilators (see **Table 2** for details).

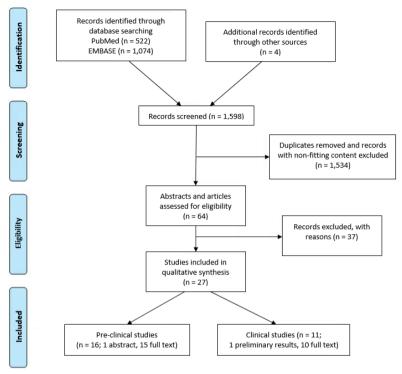


Figure 1. Prisma flow diagram showing the results of literature search and selection strategy.

# Preclinical studies testing nebulized anticoagulants

### Heparin

In studies reporting on pulmonary coagulation markers, nebulized heparin was found to have a markedly anticoagulant effect in the lungs in the presence of pulmonary infection (35, 36) or lung injury (49). In studies reporting on pulmonary inflammation, nebulized heparin also inhibited pulmonary inflammation in the presence of pulmonary infection (35, 36) or lung injury (43, 44), and in two studies using an inhalation trauma model (40, 41). Nebulized heparin also affected various physiologic parameters and other outcomes, in particular during pulmonary infection (34, 35), and inhalation trauma (38–42). An effect of nebulized heparin on systemic coagulation was reported in one study using a lung injury model (49), but not in studies using pulmonary infection models (36, 49) or inhalation trauma models (38–42). None of these studies, however, showed systemic bleeding. Interestingly,

more blood stream infections were noted in one study using a pulmonary infection model, although this finding was not statistically significant (35).

### Danaparoid

Nebulized danaparoid also had clear anticoagulant effects in the lungs in studies using pulmonary infection models (35, 36) or lung injury model (49). An anti-inflammatory effect of nebulized danaparoid was only found in a study using a lung injury model (45). None of the studies of nebulized danaparoid reported on physiologic parameters. Nebulized danaparoid was found to have a systemic anticoagulant effect during pulmonary infection (35) and lung injury (49). None of these studies, however, showed systemic bleedings. Also, nebulized danaparoid was associated with a statistically nonsignificant rise in blood stream infections in one of the two studies using a pulmonary infection model (35).

#### APC

Nebulized APC attenuated pulmonary coagulopathy in studies using pulmonary infection models (35, 36) and studies using lung injury models (47, 49). Nebulized APC was associated with anti-inflammatory effects in two out of the three studies in animals with lung injury (47, 48), but not in studies in animals with a pulmonary infection (35, 36). In studies reporting on physiologic endpoints, nebulized APC improved physiological parameters in one study using a lung injury model (46), and not in studies using pulmonary infection models (35, 36). Effects on systemic coagulation were found in only one of the studies in animals with pulmonary infection (36), and not in studies in animals with lung injury (49). In none of the studies systemic bleedings were found.

#### AT

Nebulized AT also mitigated pulmonary coagulopathy in studies in animals with pulmonary infection (35, 36) or lung injury (49). An anti-inflammatory effect was described in only one of the studies in animals with a pulmonary infection (35), and not in animals with lung injury (49). In the two studies reporting physiologic endpoints, in one nebulized AT improved physiological parameters in animals with pulmonary infection. Nebulization of AT did not affect systemic coagulation and was not associated with systemic bleedings.

#### Recombinant human TFPI

Finally, also nebulized TFPI reduced pulmonary coagulopathy, in animals with a pulmonary infection and in animals with lung injury (37). Effects on inflammation, physiological parameters or blood stream infections were not reported. Also, systemic coagulation was affected, but not systemic bleeding (37).

### Combination therapy using more than one anticoagulant

None of these studies reported effects on pulmonary or systemic coagulation. Combining nebulized heparin with nebulized AT markedly improved pulmonary inflammation and physiological parameters in one study of inhalation trauma (40). Notably, combination of both anticoagulants resulted in more pronounced attenuation of inflammatory and physiological parameters than treatment with one agent alone. Combining nebulized heparin with intravenously administered AT markedly decreased pulmonary inflammation and improved physiological parameters in a study of inhalation trauma (41), while combining nebulized heparin with intravenously administered lisofylline improved physiological parameters, but inflammation in a study using a similar model (39). Combining nebulized heparin with nebulized tissue plasminogen activator (TPA) and intravenously administered AT did not affect inflammation, but also significantly improved physiological parameters in a study of inhalation trauma (42). None of the studies of combined therapies showed more systemic bleeding or blood stream infection.

Table 1. Studies of nebulized anticoagulants in animal models mimicking pneumonia, inhalation trauma or lung injury.

PULMONARY INFECTION     34)   Pep (5 µg / mouse)   mouse   Legionella spp.   NR   1   1 bacterial clearance, agents)   Pacterial clearance   1 systemic agents)   Pacterial clearance   1 systemic agents)   Pep (1,000 U/kg), dan (250 U/kg), rat   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (5,000 µg/kg), or AT (500 U, gr)   Pseudomonas   1 (with dan, APC (gr)   Pseudomonas   1 (wi	Ref.	Nebulized agent (dosage)	Species	Species Model details	Pulmonary coagulo pathy	Pulmonary inflammation	Physiology parameters and other outcomes	Side effects
hep (5 μg / mouse)  mouse Legionella spp. NR 1 to bacterial clearance, agents)  hep (1,000 U/kg), dan (250 U/kg), rat preudomonas to five files for meruginosa  APC (5,000 μg/kg), or AT (500 U/kg), rat preudomonas to files for meruginosa  APC (5,000 μg/kg), or AT (500 U/kg), rat preudomonas to files for meruginosa  APC (5,000 μg/kg), or AT (500 U/kg), rat preudomonas to files for meruginosa  APC (5,000 μg/kg), or AT (500 U/kg), rat preudomonas to files for meruginosa  APC (5,000 μg/kg), or AT (500 U/kg)  TFPI (10 mg/kg)  TFPI (10 mg/kg), or AT (500 U), or i.v. hep.(5,300 sheep skin burn sheep to files for merchanical inhalation sheep to files for merchanical inhalation sheep skin burn sheep to files for merchanical inhalation sheep skin burn sheep skin burn sheep to files for merchanical inhalation to files for merchanical inhalation sheep skin burn sheep skin burn sheep to files for merchanical inhalation sheep skin burn (with combination) to files for merchanical sheep skin burn (with combination) to files for merchanical sheep skin burn (with combination) (with combination)	PULN	AONARY INFECTION						
hep (1,000 U/kg), dan (250 U/kg), rat streptococcus agents)  hep (1,000 U/kg), or AT (500 U/ kg)  hep (1,000 U/kg), dan (250 U/kg), rat streptococcus  APC (5,000 µg/kg), or AT (500 U/ kg)  TFPI (10 mg/kg)  rat streptococcus 1 (with dan, archiginoso acruginoso acruginoso acruginoso acruginoso acruginoso acruginoso inhalation  ALATION TRAUMA  Hep (10,000 U), or i.v. lep.(5,300 sheep skin burn NR = 1 bacterial clearance acruginoso inhalation acruginoso acrugino	(34)	hep (5 µg / mouse)		Legionella spp.	Z Z	<b>→</b>	1 bacterial clearance, and ↓ mortality	Z Z
hep (1,000 U/kg), dan (250 U/kg), rat APC, and AT only)  TFPI (10 mg/kg), or AT (500 U/kg), aeruginosa APC, and AT only)  TFPI (10 mg/kg), or AT (500 U), or i.v. hep.(5,300 sheep skin burn NR = lung injury, 1 need to mechanical inhalation sheep (10,000 U), or i.v. lisofylline sheep skin burn NR = lung injury, 1 need for mechanical inhalation sheep (10,000 U), or iv. lisofylline sheep skin burn NR = lung injury, 1 need for mechanical inhalation sheep skin burn NR = lung injury, 1 need for mechanical ventilation, and 1 pulmonary shunt (with combination only)  hep (10,000 U), AT (290 U), or sheep skin burn NR tombination only)  hep (10,000 U), AT (290 U), or iv. lisofylline sheep skin burn NR tombination only)  hep (10,000 U), AT (290 U), or iv. lisofylline sheep skin burn NR tombination only)  hep (10,000 U), AT (290 U), or iv. lisofylline sheep skin burn (with combination only)  hep (10,000 U), AT (290 U), or iv. lisofylline sheep skin burn (with combination) tombination (with combination)	(35)	hep (1,000 U/kg), dan (250 U/kg), APC (5,000 µg/kg), or AT (500 U/ kg)		Streptococcus pneumoniae	J (with all 4 agents)	↓ (with AT only)	1 bacterial clearance, and 1 lung injury (with AT only)	↓ systemic coagulation (with dan only), and trend towards ↑ bacteremia (with hep and dan)
TFPI (10 mg/kg)       rat       Pseudomonas aeruginosa       1       1       1       1       1       1       bacterial clearance         ALATION TRAUMA       ALATION TRAUMA       ALATION TRAUMA       Skin burn       NR       =       1 lung injury, 1 need 1 obstruction airways, 1 arterial oxygenation, and 4 arterial oxygenation, and 4 arterial oxygenation, and 4 arterial oxygenation         Hep (10,000 U), or i.v. lisofylline       sheep       skin burn       NR       =       =       1 lung injury, 1 need and 1 arterial oxygenation, and 4 bullmonary shunt (with combination only)         hep (10,000 U), or i.v. lisofylline       sheep       skin burn       NR       1 (with combination only)         hep (10,000 U), AT (290 U), or sheep       skin burn       NR       1 (with combination only)       1 pulmonary shunt, and 1 arterial oxygenation (with combination)	(36)	hep (1,000 U/kg), dan (250 U/kg), APC (5,000 μg/kg), or AT (500 U/ kg)		Pseudomonas aeruginosa	↓ (with dan, APC, and AT only)	П	= bacterial clearance	↓ systemic coagulation (with APC only), = bacteremia
hep (10,000 U), or i.v. hep.(5,300 sheep skin burn NR = 1 lung injury, + smoke inhalation sheep (10,000 U), or i.v. lisofylline sheep skin burn NR = 1 lung injury, 1 need for mechanical ventilation, and 1 pulmonary shunt (with combination only) hep (10,000 U), AT (290 U), or sheep skin burn NR 1 (with combination) the particular only) and 1 pulmonary shunt, and 1 pulmonary shunt, inhalation only inhalation (with combination)	(37)		rat	Pseudomonas aeruginosa	$\rightarrow$	$\rightarrow$	1 bacterial clearance	↓ systemic coagulation
hep (10,000 U), or i.v. hep.(5,300 sheep skin burn by (10,000 U), or i.v. hep.(5,300 sheep skin burn bep (10,000 U), or i.v. lisofylline sheep skin burn hep (10,000 U), or i.v. lisofylline sheep skin burn hep (10,000 U), or i.v. lisofylline sheep skin burn hep (10,000 U), or i.v. lisofylline sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or sheep skin burn hep (10,000 U), AT (290 U), or iverally or sheep skin burn hep (10,000 U), AT (290 U), or iverally or iv	INH	LATION TRAUMA						
hep (10,000 U), or i.v. lisofylline sheep skin burn + smoke (10 mg/kg/h), or combination		hep (10,000 U), or i.v. hep.(5,300 U/kg)	sheep	skin burn + smoke inhalation	Z Z	П	↓ lung injury, ↓ obstruction airways, ↑ arterial oxygenation, and †hemodynamics	no side effects
hep (10,000 U), AT (290 U), or sheep skin burn NR ½ (with ‡ obstruction airways, combination † pulmonary shunt, and and the smoke combination † arterial oxygenation (with combination)	(39)	hep (10,000 U), or i.v. lisofylline (10 mg/kg/h), or combination	sheep	skin burn + smoke inhalation	Z Z		= lung injury, 1 need for mechanical ventilation, and 1 pulmonary shunt (with combination only)	no side effects
	(40)	hep (10,000 U), AT (290 U), or combination	sheep	skin burn + smoke inhalation	Z Z	↓ (with combination)	1 obstruction airways, 1 pulmonary shunt, and 1 arterial oxygenation (with combination)	no side effects

(41)	(41) hep (10,000 U) <i>plus</i> i.v. AT (0.34 mg/kg/h)	sheep	skin burn + smoke inhalation	Z Z	<b>→</b>	↓ lung edema, ↓ obstruction airways, ↑ arterial oxygenation, and ↑ hemodynamics	no side effects
(42)	(42) hep (10,000 U) plus i.v. AT (6 IU/kg/h) plus TPA (2 mg)	sheep	skin burn + smoke inhalation	Z Z	= (with triple therapy)	1 lung injury, 1 lung edema, 1 obstruction airways, 1 arterial oxygenation, and 1 hemodynamics	no side effects
LUN	LUNG INJURY						
(43)	(43) hep (1000 U/kg)	rat	direct	N.	<b>→</b>	NR	NR
(44)	(44) hep (dose not reported)	mouse	direct	N R	$\rightarrow$	NR	no side effects
(45)	(45) dan (50 U/kg)	rat	indirect	N R	$\rightarrow$	↓ mortality	NR
(46)	APC (48 μg/kg/h)	sheep	indirect	æ Z	œ Z	↓ atelectasis, = lung edema, and ↑ arterial oxygenation	no side effects
(47)	APC (100 µg/mouse)	mouse	direct	$\rightarrow$	$\rightarrow$	NR	NR
(48)	APC (4 mg/3ml)	mouse	direct	N N	$\rightarrow$	NR	NR
(49)	hep (1000 U/kg), dan (250 U/kg), APC (5000 μg/kg), or AT (500 U/ kg)	rat	indirect	↓ (with all 4 agents)	Ш	Z.	↓ systemic coagulation (with hep and dan)
(37)	TFPI (10 mg/kg)	rat	indirect	$\rightarrow$	<b>→</b>	Z	↓ systemic coagulation

Symbols: 1, decreased; 1, increased; =, unchanged; 'direct', model mimicking direct ARDS in human; 'indirect', model mimicking indirect ARDS in human

Abbreviations: hep, heparin; dan, danaparoid; APC, activated Protein C; AT, antithrombin; TFPI, tissue factor pathway inhibitor; TPA, tissue plasminogen activator; ARDS, acute respiratory distress syndrome; ref, reference; NR, not reported

### Trials of nebulized anticoagulants in humans

The search failed to identify trials in pneumonia patients. Quality of evidence coming from most articles was rather low due to limitations in study design, and because most trials were small.

### Trials in patients with inhalation trauma

The trials in patients with inhalation trauma evaluated heparin in combination with N-acetylcysteine and a bronchodilator. None of these studies reported on pulmonary coagulation or inflammation endpoints. Nebulized heparin was associated with physiologic improvements, but the physiologic endpoints varied widely. Nebulized heparin was associated with shorter duration of ventilatory support in two trials (50, 55), and with reduced mortality in two trials (50, 52), both retrospective trials using historical controls. Nebulization of heparin did neither affect systemic coagulation nor resulted in more systemic bleedings (53, 55). Of note, in one prematurely stopped randomized controlled trial, the incidence of blood-tinged sputum and increased systemic clotting times was high (personal communication) (56). Nebulized heparin was associated with an increased incidence of pneumonia in one trial that reported this outcome (54).

### Trials in patients with ARDS

One phase I trial testing various dosages of nebulized heparin showed a reduction of pulmonary coagulopathy at dosages  $\geq$ 400,000 U/day. Effects in inflammation were not reported in that trial. Nebulized heparin did not affect physiological parameters, such as arterial oxygenation or pulmonary compliance. At dosages  $\geq$ 100,000 U, systemic coagulation was affected, but without differences in bleeding incidences between the study groups (57).

### Trials in patients with an increased risk for ARDS

Three well–conducted randomized controlled trials assessed the effects of nebulized heparin in patients that could be considered to be at increased risk of ARDS (59–61). Two trials included patients requiring prolonged mechanical ventilation (59, 60), and one trial assessed the effect of a prophylactic single heparin dosage before surgeries requiring a cardiopulmonary bypass (CPB) (61). Nebulized heparin did not affect pulmonary coagulation (59), and effects on inflammation

were not reported. Neither did heparin nebulization improve arterial oxygenation (59, 61) nor hemodynamics (61). A single dosage of nebulized heparin prior to CPB surgery decreased atelectasis and improved CO2 elimination directly after the operation (61). Nebulized heparin increased the number of ventilator–free days in one trial (59), but duration of mechanical ventilation was not different between study groups in two other trials (60, 61). Nebulized heparin was not associated with mortality (59, 61). Nebulized heparin affected systemic coagulation (59), but did not result in differences in systemic bleedings (59–61). Heparin nebulization did not reduce the incidence of ventilator–associated pneumonia and other ventilatorassociated complications in a trial using a very low heparin dosage of 20,000 U/day (60).

Table 2. Trials of nebulized anticoagulants including patients with or at risk for ARDS.

(50) hep (60,000 U/day) hep (60,000 U/day) hep (30,000 U/day) hep (30,000 U/day) hep (60,000 U/day) hep (30,000 U/day) hep (60,000U/day) hep (60,000 U/day)	<b>WITH INHALATION TRAUMA</b>			endpoints		
(50) hep (60,000 plus broncl (51) hep (30,000 plus broncl (52) hep (60,000 plus broncl (53) hep (30,000 plus broncl (55) hep (60,000 NaHCO <sub>3</sub> plus ARDS PATIENTS		AUMA				
(51) hep (30,000 plus broncl (52) hep (60,000 plus broncl (53) hep (30,000 plus broncl (54) hep (60,000 NaHCO <sub>3</sub> plus ARDS PATIENTS	0 U/day) <i>plus</i> NAC hodilator	90 children	retrospective study using historical controls	↓ atelectasis	↓ reintubations, ↓ duration of MV, and ↓ mortality	N N
(52) hep (60,000 plus broncl plus broncl plus broncl plus broncl (54) hep (30,000 NaHCO <sub>3</sub> plus albute (55) hep (60,00 NaHCO <sub>3</sub> plus plus plus plus patients		150 children or adults	retrospective case– control study	= arterial oxygenation	= duration of MV, = LOS, and = mortality	= pulmonary infections
(53) hep (30,000 plus broncl plus broncl plus albute (54) hep (60,000 NaHCO <sub>3</sub> plus (56) hep (100,00 ARDS PATIENTS	hep (60,000 U/day) <i>plus</i> NAC <i>plus</i> bronchodilator	30 adults	retrospective study using historical controls	↓ lung injury, and ↑ arterial oxygenation	↓ mortality	Z Z
(54) hep (30,000 plus albute (55) hep (60,000 NaHCO <sub>3</sub> plus (56) hep (100,00 NaDS PATIENTS	hep (30,000 U/day) <i>plus</i> NAC <i>plus</i> bronchodilator	63 adults	retrospective study using historical controls	= systemic coagulation	Z Z	= systemic bleeding
(55) hep (60,000 NaHCO <sub>3</sub> plt (56) hep (100,0	hep (30,000 U/day) <i>plus</i> NAC <i>plus</i> albuterol	40 adults	retrospective study using historical controls	œ Z	= duration of MV, and = mortality	t pulmonary infections
(56) hep (100,0) ARDS PATIENTS	0U/day) <i>plu</i> s NAC or <i>us</i> bronchodilator	72 adults	retrospective case- control study	= lung injury	↓ duration of MV, ↑ ventilator-free days, = LOS, = mortality	<ul><li>= pulmonary infections,</li><li>= systemic bleeding</li></ul>
<b>ARDS PATIENTS</b>	00 U/day)	1	RCT, stopped early because of safety issues			
(57) hep (50,000 –	0 – 400,000 U/day)	16 adults	open–label phase l trial	≥ 100.000 U/ day:↓systemic coagulation	= lung compliance, = arterial oxygenation	= systemic bleeding
(58) hep (50,000 –	0 – 400,000 U/day)	16 adults	open-label phase l trial	≥ 400,000 U: ↓ pulmonary coagulation	N N	Z Z

PATIE	ATIENTS AT RISK OF ARDS					
(59)	(59) hep (100,000 – 150,000 U/day)	50 adults	RCT	= pulmonary toagulation,  L systemic coagulation, = arterial oxygenation	1 ventilator-free days, = systemic and = mortality bleeding	= systemic bleeding
(09)	(60) hep (20,000 U/day)	214 adults	RCT	Z Z	<ul><li>= duration of MV,</li><li>= (resolution of or new) pulmonary infections, and</li><li>= other pulmonary complications</li></ul>	= systemic bleeding
(61)	(61) hep (50,000 U, single dose)	40 adults	RCT	1 atelectasis, = arterial oxygenation, = hemodynamics, 1 CO <sub>2</sub> elimination	= duration of MV, = LOS, = mortality	= systemic bleeding

Symbols: 1, decrease; 1, increase; =, no difference between intervention and control group;

Abbreviations: NAC, N-acetylcysteine; MV, mechanical ventilation; LOS, length of stay; VAP, ventilator-associated pneumonia; VAC, ventilatorassociated complications; RCT, randomized controlled trial; ARDS, acute respiratory distress syndrome, NR, not reported

## **Discussion**

In this systematic review, we investigated the effects of nebulized anticoagulants in three relevant categories of lung injury, i.e., pneumonia, inhalation trauma and ARDS. The preclinical studies tested various anticoagulants; the human trials have only tested heparin, and only in the setting of inhalation trauma, and increased risk for or established ARDS. The findings can be summarized as follows: (I) nebulized anticoagulants exert anticoagulant effects in the lungs, an effect found with all tested agents, i.e., heparin, danaparoid, APC, AT and TFPI; (II) nebulized anticoagulants also have anti-inflammatory effects in the lungs, but this was not confirmed in all models and not with all agents; (III) nebulized heparin, danaparoid and TFPI, but not APC and AT affect systemic coagulation; and (IV) results of nebulized heparin in human trials are yet inconclusive to draw firm conclusions on its efficacy and safety. The results of this systematic review expand our knowledge from previous reviews as it identified several new articles. This updated review particularly includes several new articles on human trials offering valuable clues regarding safety and other potential side effects of heparin nebulization. Other strengths of this systematic review are its translational approach of the literature, and reporting the results of preclinical studies using relevant preclinical models of lung injury and those of trials in ICU patients with frequently seen forms of pulmonary injury. Also, the search had no restrictions with respect to nebulized agents other than that they should be available for use, or must have been tested in trials in humans. In addition, the search had no restrictions with respect to the way anticoagulant agents were nebulized.

A recently published individual patient data meta-analysis on nebulized heparin in mechanically ventilated patients found no difference in any lung injury outcome after a propensity score matched analysis (62). This individual patient data meta-analysis included patients from several trials reviewed here. The effects on systemic coagulation and the high incidence of blood-tinged sputum in the recent trial of nebulized inhalation trauma patients (56) may be seen as an argument against its use, at least in this patient category and at the used dose.

All tested anticoagulants mitigated pulmonary coagulopathy in preclinical studies. Notably, pulmonary coagulopathy was not a primary outcome in any of the clinical trials, but one trial testing various dosages of nebulized heparin in patients with mild ARDS (58) found an inhibition of pulmonary coagulation. Of note, in this trial pulmonary coagulopathy was only inhibited at a dosage of above 400,000 U/day, whereas systemic coagulation was affected already at a dosage of

above 100,000 U/day. Dosages in animal studies affecting pulmonary coagulation were found to be much lower (35, 49). This discrepancy raises the question if results from preclinical studies can be translated into the human setting.

While all anticoagulants attenuated pulmonary coagulopathy, anti – inflammatory effects were detected only sporadically (see **Table 1** for details). The reasons for not finding an inhibitory effect on inflammation in all studies remain unexplained. Interestingly, one animal study combining nebulized heparin with AT found antiinflammatory effects, while 'triple therapy' by adding TPA abolished the inhibitory effect on inflammation (42). Hence, anticoagulants may induce anti – inflammatory effects due to pathways crosslinking coagulation and inflammation in models of lung injury; however, combination of various anticoagulants and dosages are of importance in influencing these mechanisms.

The quality of evidence for efficacy of nebulized heparin remains very limited, as only four randomized controlled trials have been performed to date (56, 59–61). Besides, results of these trials are contradictory. Various factors could have an effect on the efficacy of nebulized heparin, like types of nebulizers used, and timing and dosages of nebulization. Indeed, different nebulizers were used in the trials, like jet nebulizers (60) and mesh nebulizers (56, 57, 59, 60) leading to differences in efficacy of aerosol delivery. The wide range of administered heparin dosages (from very low 20,000 U/day to as high as 400,000 U/day, see **Table 2** for details), may also explain the different findings. Additionally, there was a wide variety of underlying pathologies in the studied patients, from inhalation trauma to ARDS.

Most of the trials in human were performed in patients with inhalation trauma — representing a very distinct category of lung injury. Interpretation of the results of the trials in these patients knows several challenges. First, massive airway obstructive casts resulting in atelectasis, alveolar hyperinflation, and even pneumonia are major characteristics of this insult (40, 41, 63). The effects of nebulized heparin on cast formation in these patients may not be comparable, or as important, to those in other patient categories. Second, nebulized heparin was frequently combined with N-acetylcysteine and/or bronchodilators making it impossible to distinguish individual effects of the inhalation agents. Third, most of the trials in inhalation trauma patients used a retrospective design with historical controls making these trials susceptible to selection bias, poor quality of extracted data and missing confounding variables. Trials in patients with an increased risk for or with established ARDS were better designed but still suffer from several weaknesses. These trials were all single-center studies in very heterogeneous

patient groups. Notably, many patients in these trials also received systemic heparin, which could have blurred the findings (59).

Nebulized anticoagulants could exert two major side effects. First, there is the risk of bleeding, either locally or systematically. Local bleedings probably were insufficiently reported in most trials. Nebulized anticoagulants can reach systemic circulation posing an additional safety concern by means of an increased risk of systemic bleeding. While an animal study showed that nebulized heparin indeed affects systemic coagulation (49), results from clinical trials are less consistent with regards to systemic effects of nebulized heparin. The only trial evaluating safety of nebulized heparin in inhalation trauma patients did not detect differences in systemic coagulation or systemic bleedings between intervention and control group (53). However, this trial used a relative low heparin dosage of 30,000 U/day. Systemic coagulation effects were reported at heparin dosages above 100,000 U/ day in two trials of patients with or at risk for ARDS (57, 59). None of these trials, though, reported increased incidences of bleedings with the intervention. One other potential side effect is destruction of a clot that may help preventing blood stream infections in case of pneumonia. In one preclinical study, more blood stream infections were noticed in rats treated with heparin or danaparoid (35). This was not confirmed by other preclinical studies in animals with a pulmonary infection, but maybe this was not looked for. The very same may be true for the trials in humans.

Quality of this systemic review is limited due to large heterogeneity of included articles of animal studies and human trials. Preclinical studies and clinical trials vary greatly in, e.g., study design, technique of nebulization and determined endpoints. Besides, nebulized heparin was always tested with concomitant nebulized interventions in human trials.

In conclusion, nebulized anticoagulants can attenuate pulmonary coagulopathy in preclinical studies using various models for lung injury. Nebulized heparin in lung injury patients show contradictory results, and there is concern over the side effects of this intervention. Future studies need to focus on the best way to nebulize anticoagulants, the best dosages, and on its possible role in spread of localized infections.

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