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GREGORY A. CHECHKIN, TARAS A. MEL'NYK

Enhanced Spatial Skin-Effect for Free Vibrations  
of a Thick Cascade Junction with "Super Heavy"  
Concentrated Masses

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# Enhanced Spatial Skin–Effect for Free Vibrations of a Thick Cascade Junction with “Super Heavy” Concentrated Masses

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## Abstract

The asymptotic behavior (as  $\varepsilon \rightarrow 0$ ) of eigenvalues and eigenfunctions of a boundary-value problem for the Laplace operator in a thick cascade junction with concentrated masses is studied. This cascade junction consists of the junction’s body and a great number  $5N = \mathcal{O}(\varepsilon^{-1})$  of  $\varepsilon$ -alternating thin rods belonging to two classes. One class consists of rods of finite length and the second one consists of rods of small length of order  $\mathcal{O}(\varepsilon)$ . The mass density is of order  $\mathcal{O}(\varepsilon^{-\alpha})$  on the rods from the second class and  $\mathcal{O}(1)$  outside of them. There exist five qualitatively different cases in the asymptotic behavior of eigen-magnitudes as  $\varepsilon \rightarrow 0$ , namely the case of “light” concentrated masses ( $\alpha \in (0, 1)$ ), “intermediate” concentrated masses ( $\alpha = 1$ ) and “heavy” concentrated masses ( $\alpha \in (1, +\infty)$ ) that we divide into “slightly heavy” concentrated masses ( $\alpha \in (1, 2)$ ), “moderate heavy” concentrated masses ( $\alpha = 2$ ), and “super heavy” concentrated masses ( $\alpha > 2$ ).

In the paper we study the influence of the concentrated masses on the asymptotic behavior of the eigen-magnitudes in the cases  $\alpha = 2$  and  $\alpha > 2$ . The leading terms of asymptotic expansions both for the eigenvalues and eigenfunctions are constructed and the corresponding asymptotic estimates are proved. In addition, a new kind of high-frequency vibrations is found.

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# 1 Introduction

In present paper we continue our investigation of a spectral problem with concentrated masses in a new kind of thick junctions, namely *thick cascade junctions*, which we have begun in [1, 2, 3, 4, 5]. Thick cascade junctions are prototypes of widely used nanotechnological, microtechnical, modern engineering constructions (microstrip radiator, ferrite-filled rod radiator), as well as many physical and biological systems (see examples in the papers mentioned above).

Vibrating systems with a concentration of masses on a small set of diameter  $\mathcal{O}(\varepsilon)$  have been studied for a long time. It was experimentally established that such concentration leads to the big reduction of the main frequencies and to the large localization of vibrations near concentrated masses. The new impulse in this research was given by E. Sánchez-Palencia in the paper [6], in which the effect of local vibrations was mathematically described. After this paper, many articles appeared. The reader can find widely presented bibliography on spectral problems with concentrated masses and problems in thick junctions in [1, 2, 3, 4, 5].

In the papers [1, 2] we have studied the cases  $\alpha \in (0, 1)$  and  $\alpha = 1$ . The cases of “heavy” concentrated masses  $\alpha \in (1, +\infty)$  we have begun to study in [3, 4, 5], where the case of “slightly heavy” ( $\alpha \in (1, 2)$ ) was considered and a new spatial skin-effect for eigenvibrations was found out. As far as we know, for the first time the skin-effect for systems with many concentrated masses near the boundary was discovered in [10].

In the present paper we continue to study the spatial skin-effect in the case of “moderate havy” ( $\alpha = 2$ ) and “super heavy” ( $\alpha > 2$ ) concentrated masses.

It is known that for spectral problems with concentrated masses there exist other converging sequences of eigenvalues  $\lambda_{n(\varepsilon)}(\varepsilon)$  ( $n(\varepsilon) \rightarrow +\infty$  as  $\varepsilon \rightarrow 0$ ); the corresponding vibrations are usually called high frequency vibrations (see for instance [6, 7, 8, 9, 10, 11, 12]). Convergence of eigenvalues  $\lambda_n(\varepsilon)$  as  $\varepsilon \rightarrow 0$  at each fixed index  $n$  are called the *low-frequency convergence* of the spectrum.

Also in [2] we proved the low- and high-frequency convergences of the spectrum of problem (1.1) as  $\varepsilon \rightarrow 0$ , constructed and justified the leading terms of the asymptotics both for the eigenfunctions and eigenvalues in both cases  $\alpha \in (0, 1)$  and  $\alpha = 1$ . In addition, as in the paper [11], we found *pseudovibrations* in problem (1.1), having rapidly oscillating character, and in which different rods of the junction vibrate individually, i.e., each rod has its own frequency.

Here we will show that there is a new kind of high-frequency vibrations in problem (1.1), so called *high-frequency cell-vibrations*, which appear at each case of the concentrated masses mentioned above.

The paper is organized as follows. After the statement of the problem we describe and compare the main results. In Section 2 we construct the leading terms of the asymptotics both for eigenfunctions and eigenvalues in the case  $\alpha \in (m, m + 1)$ ,  $m \in \mathbb{N}$ ,  $m \geq 2$ . And then in Section 3 we justify the constructed asymptotics and prove the corresponding asymptotic estimates. Similar investigation is done in Section 4 for the case  $\alpha = m \in \mathbb{N}$ ,  $m \geq 2$ . In Section 5 we study high-frequency cell-vibrations of problem (1.1).

## 1.1 Statement of the problem

Let  $a$ ,  $b_1$ ,  $b_2$ ,  $h_1$ ,  $h_2$  be positive numbers such that

$$0 < b_1 < b_2 < \frac{1}{2}, \quad 0 < b_1 - \frac{h_1}{2}, \quad b_1 + \frac{h_1}{2} < b_2 - \frac{h_1}{2}, \quad b_2 + \frac{h_1}{2} < \frac{1}{2} - \frac{h_2}{2}.$$

These inequalities mean that the intervals

$$\left(b_1 - \frac{h_1}{2}, b_1 + \frac{h_1}{2}\right), \quad \left(b_2 - \frac{h_1}{2}, b_2 + \frac{h_1}{2}\right), \quad \left(\frac{1-h_2}{2}, \frac{1+h_2}{2}\right), \\ \left(1 - b_2 - \frac{h_1}{2}, 1 - b_2 + \frac{h_1}{2}\right), \quad \left(1 - b_1 - \frac{h_1}{2}, 1 - b_1 + \frac{h_1}{2}\right)$$

are disjoint and they are subintervals of  $(0, 1)$ .

Let  $\Omega_0$  be a bounded domain in  $\mathbb{R}^2$  with the Lipschitz boundary  $\partial\Omega_0$  and  $\Omega_0 \subset \{x := (x_1, x_2) \in \mathbb{R}^2 : x_2 > 0\}$ . Let  $\partial\Omega_0$  contains the segment  $I_0 = \{x : x_1 \in [0, a], x_2 = 0\}$ . We also assume that there exists a positive number  $\delta_0$  such that  $\Omega_0 \cap \{x : 0 < x_2 < \delta_0\} = \{x : x_1 \in (0, a), x_2 \in (0, \delta_0)\}$ .

Let us divide the segment  $[0, a]$  into  $N$  equal segments  $[\varepsilon j, \varepsilon(j+1)]$ ,  $j = 0, \dots, N-1$ . Here  $N$  is a big positive integer, hence the value  $\varepsilon = a/N$  is a small discrete parameter.

A model *thick cascade junction*  $\Omega_\varepsilon$  (see Fig. 1) consists of the junction's body  $\Omega_0$  and a large number of thin rods

$$G_j^{(1)}(d_k, \varepsilon) = \left\{ x \in \mathbb{R}^2 : |x_1 - \varepsilon(j + d_k)| < \frac{\varepsilon h_1}{2}, \quad x_2 \in (-\varepsilon l_1, 0] \right\}, \quad k = 1, \dots, 4,$$

$$G_j^{(2)}(\varepsilon) = \left\{ x \in \mathbb{R}^2 : |x_1 - \varepsilon(j + \frac{1}{2})| < \frac{\varepsilon h_2}{2}, \quad x_2 \in (-l_2, 0] \right\}, \quad j = 0, 1, \dots, N-1,$$

where  $d_1 = b_1$ ,  $d_2 = b_2$ ,  $d_3 = 1 - b_2$ ,  $d_4 = 1 - b_1$ , that is  $\Omega_\varepsilon = \Omega_0 \cup G_\varepsilon^{(1)} \cup G_\varepsilon^{(2)}$ , where

$$G_\varepsilon^{(1)} = \bigcup_{j=0}^{N-1} \left( \bigcup_{k=1}^4 G_j^{(1)}(d_k, \varepsilon) \right), \quad G_\varepsilon^{(2)} = \bigcup_{j=0}^{N-1} G_j^{(2)}(\varepsilon).$$

Thus the number of the thin rods is equal to  $5N$ ; the thin rods are divided into two classes  $G_\varepsilon^{(1)}$  and  $G_\varepsilon^{(2)}$  subject to their length and thickness. The length and thickness of the rods from the first class are equal to  $\varepsilon l_1$  and  $\varepsilon h_1$  respectively, and these magnitudes are equal to  $l_2$  and  $\varepsilon h_2$  for the rods from the second class. In addition, the thin rods from each classes are  $\varepsilon$ -periodically alternated along the segment  $I_0$ .

In  $\Omega_\varepsilon$  we consider the following spectral problem

$$\begin{cases} -\Delta_x u(\varepsilon, x) = \lambda(\varepsilon) \rho_\varepsilon(x) u(\varepsilon, x), & x \in \Omega_\varepsilon; \\ u(\varepsilon, x) = 0, & x \in \Gamma_1; \\ -\partial_\nu u(\varepsilon, x) = 0, & x \in \partial\Omega_\varepsilon \setminus \Gamma_1; \\ [u]_{|x_2=0} = [\partial_{x_2} u]_{|x_2=0} = 0, & x_1 \in Q_\varepsilon. \end{cases} \quad (1.1)$$

Here  $\partial_\nu = \partial/\partial\nu$  is the outward normal derivative; the brackets denote the jump of the enclosed quantities;  $\Gamma_1$  is a curve on  $\partial\Omega_0$ , located in  $\{x : x_2 > \delta_0\}$ ; the density

$$\rho_\varepsilon(x) = \begin{cases} 1, & x \in \Omega_0 \cup G_\varepsilon^{(2)}, \\ \varepsilon^{-\alpha}, & x \in G_\varepsilon^{(1)}; \end{cases}$$

the parameter  $\alpha \in \mathbb{R}$  (if  $\alpha > 0$ , then concentrated masses are presented on the thin rods from the first class  $G_\varepsilon^{(1)}$ );  $Q_\varepsilon = Q_\varepsilon^{(1)} \cup Q_\varepsilon^{(2)}$ ,  $Q_\varepsilon^{(i)} = G_\varepsilon^{(i)} \cap \{x : x_2 = 0\}$ ,  $i = 1, 2$ .

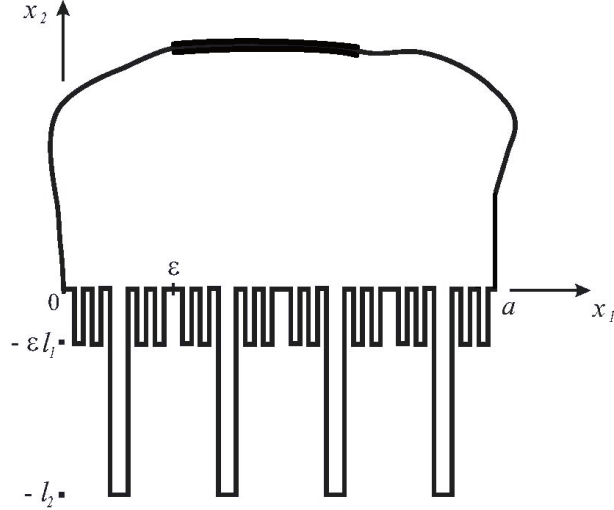


Figure 1: The thick cascade junction  $\Omega_\varepsilon$ .

Obviously, that for each fixed value of  $\varepsilon$  there is a sequence of eigenvalues

$$0 < \lambda_1(\varepsilon) < \lambda_2(\varepsilon) \leq \dots \leq \lambda_n(\varepsilon) \leq \dots \rightarrow +\infty \quad \text{as } n \rightarrow \infty, \quad (1.2)$$

of problem (1.1). The corresponding eigenfunctions  $\{u_n(\varepsilon, \cdot)\}_{n \in \mathbb{N}}$ , which belong to  $\mathcal{H}_\varepsilon$ , can be orthonormalized as follows

$$(u_n, u_k)_{L_2(\Omega_0 \cup G_\varepsilon^{(2)})} + \varepsilon^{-\alpha} (u_n, u_k)_{L_2(G_\varepsilon^{(1)})} = \delta_{n,k}, \quad \{n, k\} \in \mathbb{N}. \quad (1.3)$$

Here and below  $\delta_{n,k}$  is the Kronecker delta,  $\mathcal{H}_\varepsilon$  is the Sobolev space  $\{u \in H^1(\Omega_\varepsilon) : u|_{\Gamma_1} = 0 \text{ in sense of the trace}\}$  with the scalar product

$$(u, v)_{\mathcal{H}_\varepsilon} := \int_{\Omega_\varepsilon} \nabla u \cdot \nabla v \, dx \quad \forall u, v \in \mathcal{H}_\varepsilon.$$

Our aim is to study the asymptotic behavior of the eigenvalues  $\{\lambda_n(\varepsilon)\}_{n \in \mathbb{N}}$  and the eigenfunctions  $\{u_n(\varepsilon, \cdot)\}_{n \in \mathbb{N}}$  as  $\varepsilon \rightarrow 0$ , i.e., when the number of the attached thin rods from each class infinitely increases and their thickness decreases to zero.

It should be noted that the limit process is accompanied by the influence of the concentrated masses on the rods from the first class. In fact, we have two kinds of perturbations for problem (1.1): the domain perturbation and the density perturbation. We are going to study the influence of both these factors on the asymptotic behavior of the eigenvalues and eigenfunctions as well.

## 1.2 The outline of results

We establish five qualitatively different cases in the asymptotic behavior of eigenvalues and eigenfunctions of problem (1.1) as  $\varepsilon \rightarrow 0$ , namely the case of “light” concentrated masses ( $\alpha \in (0, 1)$ ), “intermediate” concentrated masses ( $\alpha = 1$ ), and “heavy” concentrated masses

( $\alpha \in (1, +\infty)$ ) that we divide into “slightly heavy” concentrated masses ( $\alpha \in (1, 2)$ ), “moderate heavy” concentrated masses ( $\alpha = 2$ ), and “super heavy” concentrated masses ( $\alpha > 2$ ).

In the cases of “light” and “intermediate” concentrated masses (see [1, 2]) the perturbation of domain plays the leading role in the asymptotic behavior.

If  $\alpha \in (0, 1)$ , then the spectrum of the homogenized problem coincides with the spectrum of the problem in domain without concentrated masses (see for instance the papers [8, 13, 14, 15, 16, 17], where it was discovered a remarkable peculiarity in the geometric structure of the spectrum (the presence of *lacunas*)). The concentrated masses influence only to the second term of the asymptotic expansion, in particular the asymptotic expansion for an eigenvalue  $\lambda_n(\varepsilon)$  of problem (1.1) is as follows

$$\lambda(\varepsilon) \approx \lambda_0 + \varepsilon^{1-\alpha} \lambda_{1-\alpha} + \varepsilon \lambda_1 + \varepsilon^{2-\alpha} \lambda_{2-\alpha} + \dots \quad (1.4)$$

Here we omit the index  $n$ .

The concentrated masses are revealed in the corresponding homogenized spectral problem in the case  $\alpha = 1$ . This influence appears through the following additional term  $4h_1 l_1 \lambda_0 v_0^+(x_1, 0)$  with the spectral parameter  $\lambda_0$  in the jump of the derivatives in the joint, i.e.

$$\partial_{x_2} v_0^+(x_1, 0) - h_2 \partial_{x_2} v_0^-(x_1, 0) = -4h_1 l_1 \lambda_0 v_0^+(x_1, 0), \quad x_1 \in (0, a). \quad (1.5)$$

This term shows also the influence of the geometrical structure of thin rectangles from the first class on the asymptotics. In this case the asymptotic expansion for an eigenvalue has the form

$$\lambda(\varepsilon) \approx \lambda_0 + \varepsilon \lambda_1 + \dots \quad (1.6)$$

It turned out that we cannot directly substitute  $\alpha = 1$  in (1.4) to obtain (1.6).

If  $\alpha > 1$ , then the concentrated masses begin to play the leading role in the asymptotic behavior of the eigenvalues and the eigenfunctions. The principal differences between this and previous cases are the following: all eigenvalues  $\{\lambda_n(\varepsilon)\}$  converge to zero with the rate  $\varepsilon^{\alpha-1}$ , i.e., for any  $n \in \mathbb{N}$

$$\lambda_n(\varepsilon) \sim \varepsilon^{\alpha-1} \lambda_0^{(n)} \quad \text{as } \varepsilon \rightarrow 0.$$

This fact was proved in the following lemma.

**Lemma 1.1** (see [5]). *If  $\alpha > 1$ , then for any fixed  $n \in \mathbb{N}$  there exist constants  $C_0, C_1$  and  $\varepsilon_0$  such that for all value of  $\varepsilon$  from the interval  $(0, \varepsilon_0)$  the following estimates hold*

$$0 < \lambda_n(\varepsilon) \leq C_0 \varepsilon^{\alpha-1}, \quad \|u_n\|_{\mathcal{H}_\varepsilon} \leq C_1 \varepsilon^{\frac{\alpha-1}{2}}.$$

*In addition, there is a positive constant  $c_0$  (depending neither on  $\varepsilon$  nor on  $n$ ) such that*

$$0 < c_0 \varepsilon^{\alpha-1} \leq \lambda_n(\varepsilon) \quad (1.7)$$

*for all  $n \in \mathbb{N}$  and  $\varepsilon \in (0, \varepsilon_0)$ .*

In [3, 4, 5] the problem (1.1) was completely studied for  $\alpha \in (1, 2)$ . There we have proved that the eigenvibrations  $\{u_n(\varepsilon, \cdot)\}_{n \in \mathbb{N}}$  have a new type of the skin effect which we call *spatial skin-effect*. It means that vibrations of the thin rods from the second class repeat the shape of vibrations of the joint zone in the first term of the asymptotics. This first term is equal to

$$v_0(x) = \begin{cases} v_0^+(x), & x \in \Omega_0, \\ v_0^-(x_1) \equiv v_0^+(x_1, 0), & x \in D_2 = (0, a) \times (-l_2, 0), \end{cases} \quad (1.8)$$



and it and the corresponding number  $\lambda_0$  are solutions of the following Steklov problem:

$$\begin{cases} \Delta_x v_0^+(x) = 0, & x \in \Omega_0 \\ \partial_\nu v_0^+(x) = 0, & x \in \Gamma_2, \\ v_0^+(x) = 0, & x \in \Gamma_1, \\ \partial_{x_2} v_0^+(x_1, 0) = -4h_1 l_1 \lambda_0 v_0^+(x_1, 0), & x_1 \in (0, a). \end{cases} \quad (1.9)$$

The number  $\lambda_0$  is the first term in the asymptotic expansion

$$\lambda(\varepsilon) \approx \varepsilon^{\alpha-1} \left( \lambda_0 + \varepsilon^{\alpha-1} \lambda_{\alpha-1} + \dots \right) \quad (1.10)$$

for eigenvalues of problem (1.1). The second term in (1.10) depends on the geometrical characteristics both of the thin rods from the first class  $G_\varepsilon^{(1)}$  and the thin rods from the second class  $G_\varepsilon^{(2)}$  and the domain  $\Omega_0$ . It is equal to

$$\lambda_{\alpha-1} = -\frac{\lambda_0}{4h_1 l_1} \left( h_2 l_2 + \int_{\Omega_0} (v_0^+)^2 dx \right). \quad (1.11)$$

The corresponding second term  $v_{\alpha-1}^-$  in the asymptotics for eigenfunctions in  $D_2$  depends also on the geometrical parameters  $h_2$  and  $l_2$  and in addition on the variable  $x_2$ , which does not take place for the first term  $v_0^-$  (see (1.8)).

If  $\alpha \in (\mathbf{m}, \mathbf{m} + \mathbf{1})$ ,  $m \in \mathbb{N}$ ,  $m \geq 2$ , then we will show that the asymptotic expansion for an eigenvalue of problem (1.1) is as follows:

$$\lambda(\varepsilon) \approx \varepsilon^{\alpha-1} \left( \lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m} + \dots + \varepsilon \lambda_1 + \varepsilon^{\alpha-m+1} \lambda_{\alpha-m+1} + \dots \right), \quad (1.12)$$

where  $\lambda_0$  is an eigenvalue of problem (1.9) and the second term

$$\lambda_{\alpha-m} = -\frac{1}{4h_1 l_1} \int_{I_0} v_0^+(x_1, 0) \partial_{x_2} v_0^+(x_1, 0) dx. \quad (1.13)$$

The second term in (1.12) depends only on the geometrical characteristics of the thin rods from the first class  $G_\varepsilon^{(1)}$  where the concentrated masses are presented. This means the growing influence of concentrated masses on the asymptotics of the eigenvalues of problem (1.1).

As concerns the corresponding eigenfunctions, we observe the enhancement of the spatial skin-effect. This means that both the first and second terms of the asymptotics are independent of  $x_2$  in  $D_2$ , namely the first term is the same as  $v_0$  for  $\alpha \in (1, 2)$  (see (1.8)) and the second one has the similar form

$$v_{\alpha-m}(x) = \begin{cases} v_{\alpha-m}^+(x), & x \in \Omega_0, \\ v_{\alpha-m}^-(x_1) = v_{\alpha-m}^+(x_1, 0), & x \in D_2. \end{cases} \quad (1.14)$$

Moreover, the  $\alpha$  is the nearest to  $m$ , the more terms are between  $\varepsilon^{\alpha-m} \lambda_{\alpha-m}$  and  $\varepsilon \lambda_1$  in (1.12). Therefore, hereinafter we have written down “...” between  $\varepsilon^{\alpha-m} \lambda_{\alpha-m}$  and  $\varepsilon \lambda_1$ . This means that for integer  $\alpha$  we cannot use (1.12) at  $\alpha = m$  and it is necessary to reapply a formal procedure for this case.

Thus, for  $\alpha = \mathbf{m}$ ,  $m \in \mathbb{N}$ ,  $m \geq 2$ , we propose the following asymptotic ansatz for an eigenvalue:  $\lambda_n(\varepsilon)$  (next we omit the index  $n$ )

$$\lambda(\varepsilon) \approx \varepsilon^{m-1} \left( \lambda_0 + \varepsilon \lambda_1(m) + \varepsilon^2 \lambda_2(m) + \dots \right), \quad (1.15)$$

where  $\lambda_0$  is an eigenvalue of problem (1.9); and if  $m = 2$ , then

$$\begin{aligned} \lambda_1 = & -\frac{\lambda_0}{4h_1l_1} \left( h_2l_2 + \int_{\Omega_0} (v_0^+)^2 dx \right) - \frac{\varsigma_{(0,0)}}{4h_1l_1} - \varsigma_{(2,0)} \int_{I_0} \partial_{x_1x_1}^2 v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 \\ & - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \int_{I_0} v_0^+(x_1, 0) \partial_{x_2} v_0^+(x_1, 0) dx_1; \end{aligned} \quad (1.16)$$

and if  $m \geq 3$ , then

$$\begin{aligned} \lambda_1 = & -\frac{\varsigma_{(0,0)}}{4h_1l_1} - \varsigma_{(2,0)} \int_{I_0} \partial_{x_1x_1}^2 v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 \\ & - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \int_{I_0} v_0^+(x_1, 0) \partial_{x_2} v_0^+(x_1, 0) dx_1. \end{aligned} \quad (1.17)$$

Comparing formulas for the second terms in the asymptotics for eigenvalues of problem (1.1) (see (1.11) for  $\alpha \in (1, 2)$ , (1.16) for  $\alpha = 2$ , and (1.13) and (1.17) for  $\alpha > 2$ ), we see the reducing of the influence of geometry of the domain  $\Omega_0$  and the thin rods from the second class  $G_\varepsilon^{(2)}$ , on the asymptotic behaviour of the eigenvalues.

This and the facts mentioned above justify the separation of the “heavy” concentrated masses into “slightly heavy” ( $\alpha \in (1, 2)$ ), “moderate heavy” ( $\alpha = 2$ ), and “super heavy” concentrated masses ( $\alpha > 2$ ).

We recall that the cases  $\alpha = 2$  and  $\alpha > 2$  are of our interest in the present paper.

**High-frequency cell-vibrations.** As for vibrations of fastened membranes with concentrated masses on a small set of diameter  $\mathcal{O}(\varepsilon)$  (see for instance [18, 19, 20, 21, 22, 23] and reference therein) there exist three qualitatively different cases for such spectral problems:  $\alpha < 2$ ,  $\alpha = 2$ ,  $\alpha > 2$ . It was proved in these papers that there are two kinds of eigenvibrations: the local vibrations, for which the corresponding eigenfunctions are of order  $\mathcal{O}(1)$  only in a region near the concentrated masses; and the global vibrations, for which the corresponding eigenfunctions are located on the whole membrane. The local and global vibrations can exist only for  $\alpha \geq 2$ , and the local vibrations are low-frequency vibrations. Local vibrations are not found in the case  $\alpha < 2$ . The associated eigenvalues for the local vibrations have the asymptotics

$$\lambda_n(\varepsilon) = \varepsilon^{\alpha-2} \lambda_n + o(\varepsilon^{\alpha-2}), \quad (1.18)$$

where  $\lambda_n$  is an eigenvalue of the corresponding spectral local problem. The formula (1.18) shows the structure of the low-frequency convergence of the spectrum.

In contrast to results of papers [18, 19, 20, 21], we show that there are free-vibrations in problem (1.1), which correspond to local vibrations of the concentrated masses; they present at each value of the parameter  $\alpha \in (0, +\infty)$ ; and they are always high-frequency vibrations (see Sec. 5). The associated eigenvalues for these vibrations have the asymptotics

$$\lambda(\varepsilon) = \varepsilon^{\alpha-2} \Lambda + o(\varepsilon^{\alpha-2}), \quad (1.19)$$

where  $\Lambda$  is an eigenvalue of the corresponding spectral cell-problem (see (5.1)). We see from this formula that these eigenvalues are of order  $\mathcal{O}(1)$  at  $\alpha = 2$ . This is another reason to distinguish the case  $\alpha = 2$  among “heavy” masses.

### 1.3 Rescaling of problem (1.1)

Keeping in mind the bounds from Lemma 1.1 for “heavy” concentrated masses we rescale the eigenvalues and eigenfunctions as follows:

$$\lambda(\varepsilon) = \varepsilon^{\alpha-1}\Lambda(\varepsilon), \quad u(\varepsilon, x) = \varepsilon^{\frac{\alpha-1}{2}}v(\varepsilon, x). \quad (1.20)$$

Under this rescaling the problem (1.1) becomes

$$\begin{cases} -\Delta_x v(\varepsilon, x) = \varepsilon^{\alpha-1}\Lambda(\varepsilon) v(\varepsilon, x), & x \in \Omega_0 \cup G_\varepsilon^{(2)}; \\ -\Delta_x v(\varepsilon, x) = \varepsilon^{-1}\Lambda(\varepsilon) v(\varepsilon, x), & x \in G_\varepsilon^{(1)}; \\ -\partial_\nu v(\varepsilon, x) = 0, & x \in \partial\Omega_\varepsilon \setminus \Gamma_1; \\ v(\varepsilon, x) = 0, & x \in \Gamma_1; \\ [v]_{|x_2=0} = [\partial_{x_2}v]_{|x_2=0} = 0, & x_1 \in Q_\varepsilon. \end{cases} \quad (1.21)$$

Let us define an operator  $A_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{H}_\varepsilon$  that corresponds to problem (1.21) by the following equality:

$$(A_\varepsilon u, v)_{\mathcal{H}_\varepsilon} = (u, v)_{\mathcal{V}_\varepsilon} \quad \forall u, v \in \mathcal{H}_\varepsilon, \quad (1.22)$$

where  $\mathcal{V}_\varepsilon$  is the weighted space  $L^2(\Omega_\varepsilon)$  with the scalar product

$$(u, v)_{\mathcal{V}_\varepsilon} := \varepsilon^{\alpha-1} \int_{\Omega_0 \cup G_\varepsilon^{(2)}} u v dx + \varepsilon^{-1} \int_{G_\varepsilon^{(1)}} u v dx.$$

It is easy to see that the operator  $A_\varepsilon$  is self-adjoint, positive, and compact. In addition, problem (1.21) is equivalent to the spectral problem  $A_\varepsilon u = \lambda^{-1}(\varepsilon) u$  in  $\mathcal{H}_\varepsilon$ .

Therefore, for each fixed value of  $\varepsilon$  there is a sequence of eigenvalues of problem (1.21)

$$0 < \Lambda_1(\varepsilon) < \Lambda_2(\varepsilon) \leq \dots \leq \Lambda_n(\varepsilon) \leq \dots \rightarrow +\infty \quad \text{as } n \rightarrow \infty. \quad (1.23)$$

The corresponding eigenfunctions  $\{v_n(\varepsilon, \cdot)\}_{n \in \mathbb{N}}$  can be orthonormalized in the following way:

$$(v_n, v_k)_{\mathcal{V}_\varepsilon} = \delta_{n,k}, \quad \{n, k\} \in \mathbb{N}. \quad (1.24)$$

## 2 Formal asymptotics for $\alpha \in (m, m+1)$ , $m \in \mathbb{N}$ , $m \geq 2$

### 2.1 Construction of asymptotics

Combining the algorithm of constructing asymptotics in thin domains with the methods of homogenization theory, we seek the main terms of the asymptotics for the eigenvalue  $\Lambda_n(\varepsilon)$  and the eigenfunction  $v_n(\varepsilon, \cdot)$  in the form (index  $n$  is omitted):

$$\Lambda(\varepsilon) \approx \lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m} + \dots \quad (2.1)$$

$$v(\varepsilon, x) \approx v_0^+(x) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x) + \dots \quad \text{in domain } \Omega_0; \quad (2.2)$$

$$v(\varepsilon, x) \approx v_0^-(x_1, x_2, \frac{x_1}{\varepsilon} - j) + \varepsilon^{\alpha-m} v_{\alpha-m}^-(x_1, x_2, \frac{x_1}{\varepsilon} - j) + \dots \quad (2.3)$$

in the thin rectangles  $G_j^{(2)}, \varepsilon$  ( $j = 0, \dots, N-1$ ; and in the junction zone of the body and thin rectangles of both classes (which we call internal expansion) the series of the following type:

$$\begin{aligned}
v(\varepsilon, x) \approx & v_0^+(x_1, 0) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x_1, 0) + \dots + \varepsilon \left( Z_1^{(0)}\left(\frac{x}{\varepsilon}\right) v_0^+(x_1, 0) + \sum_{i=1}^2 Z_1^{(i)}\left(\frac{x}{\varepsilon}\right) \partial_{x_i} v_0^+(x_1, 0) \right) + \\
& + \varepsilon^{\alpha-m+1} \left( Z_{\alpha-m+1}^{(0)}\left(\frac{x}{\varepsilon}\right) v_0^+(x_1, 0) + Z_{\alpha-m+1}^{(2)}\left(\frac{x}{\varepsilon}\right) \partial_{x_2} v_0^+(x_1, 0) + \right. \\
& + \left. X_{\alpha-m+1}^{(0)}\left(\frac{x}{\varepsilon}\right) v_{\alpha-m}^+(x_1, 0) + \sum_{i=1}^2 X_{\alpha-m+1}^{(i)}\left(\frac{x}{\varepsilon}\right) \partial_{x_i} v_{\alpha-m}^+(x_1, 0) \right) + \dots + \\
& + \varepsilon^2 \sum_{|\beta| \leq 2} Z_2^{(\beta)}(\eta) D^\beta v_0^+(x_1, 0) + \dots
\end{aligned} \tag{2.4}$$

We used the following standard notation:  $\partial_{x_i} = \frac{\partial}{\partial x_i}$ ,  $D^\beta = \frac{\partial^{|\beta|}}{\partial x_1^{\beta_1} \partial x_2^{\beta_2}}$ , where  $\beta = (\beta_1, \beta_2)$ ,  $|\beta| = \beta_1 + \beta_2$ ,  $\beta_i \in \mathbb{Z}_+ := \mathbb{N} \cup \{0\}$ .

Denote  $\Gamma_2 := \partial\Omega_0 \setminus (\Gamma_1 \cup I_0)$ . Substituting (2.1) and (2.2) in problem (1.21) and collecting terms with equal order of  $\varepsilon$ , we get:

$$\begin{cases} -\Delta_x v_0^+(x) = 0, & x \in \Omega_0, \\ \partial_\nu v_0^+(x)|_{x \in \Gamma_2} = 0, & v_0^+(x)|_{x \in \Gamma_1} = 0. \end{cases} \tag{2.5}$$

Collecting terms of order  $\varepsilon^{\alpha-m}$ , we have

$$\begin{cases} -\Delta_x v_{\alpha-m}^+(x) = 0, & x \in \Omega_0, \\ \partial_\nu v_{\alpha-m}^+(x)|_{x \in \Gamma_2} = 0, & v_{\alpha-m}^+(x)|_{x \in \Gamma_1} = 0. \end{cases} \tag{2.6}$$

To complete these problems we have to find conditions on  $I_0$ ; this is done in Subsection 2.2.

### 2.1.1 Formal asymptotics in each thin rectangle $G_j^{(2)}(\varepsilon)$

Using Taylor series for the functions  $\{v_\gamma^-\}$  in (2.3) in a neighborhood of the point  $x_1 = \varepsilon(j + \frac{1}{2})$ , we get

$$\begin{aligned}
v(\varepsilon, x) \approx & W_0^{(j)}(x_2, \eta_1) + \varepsilon^{\alpha-m} W_{\alpha-m}^{(j)}(x_2, \eta_1) + \dots + \varepsilon W_1^{(j)}(x_2, \eta_1) + \\
& + \varepsilon^{\alpha-m+1} W_{\alpha-m+1}^{(j)}(x_2, \eta_1) + \dots + \varepsilon^2 W_2^{(j)}(x_2, \eta_1) + \dots, \tag{2.7}
\end{aligned}$$

where

$$W_\gamma^{(j)}(x_2, \eta_1) = v_\gamma^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) \quad \text{for } \gamma \in \{0, \alpha - m\}, \tag{2.8}$$

$$W_\gamma^{(j)}(x_2, \eta_1) = v_\gamma^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) + (\eta_1 - j - \frac{1}{2}) \frac{\partial v_{\gamma-1}^-}{\partial x_1}(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) \tag{2.9}$$

for  $\gamma \in \{1, \alpha - m + 1\}$  and

$$\begin{aligned}
W_\gamma^{(j)}(x_2, \eta_1) = & v_\gamma^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) + (\eta_1 - j - \frac{1}{2}) \frac{\partial v_{\gamma-1}^-}{\partial x_1}(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) \\
& + \frac{1}{2} (\eta_1 - j - \frac{1}{2})^2 \frac{\partial^2 v_{\gamma-2}^-}{\partial x_1^2}(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) \tag{2.10}
\end{aligned}$$

for  $\gamma \in \{2, \alpha - m + 2\}$ ; here  $\eta_1 = \frac{x_1}{\varepsilon}$ .

Substituting (2.1) and (2.7) in the problem (1.21) instead of  $\Lambda_n(\varepsilon)$  and  $v_n(\varepsilon, \cdot)$  respectively, collecting terms with equal powers of  $\varepsilon$ , we obtain the following boundary-value problems:

$$\begin{cases} -\partial_{\eta_1 \eta_1}^2 W_\gamma^{(j)}(x_2, \eta_1) = 0, & \eta_1 \in \left(\frac{1-h_2}{2}, \frac{1+h_2}{2}\right), \\ \partial_{\eta_1} W_\gamma^{(j)}(x_2, \frac{1\pm h_2}{2}) = 0, \end{cases} \quad (2.11)$$

for  $\gamma \in \{0, \alpha - m, 1, \alpha - m + 1\}$ . Here the variable  $x_2$  is regarded as a parameter,  $\partial_{\eta_1} = \frac{\partial}{\partial \eta_1}$ . From (2.11) we deduce that the solutions  $W_\gamma^{(j)}$ ,  $\gamma \in \{0, \alpha - m, 1, \alpha - m + 1\}$ , are independent of  $\eta_1$ .

Then, for  $\gamma \in \{2, 3, \alpha - m + 2, \alpha - m + 3\}$  we get the following problems:

$$\begin{cases} -\partial_{\eta_1 \eta_1}^2 W_\gamma^{(j)}(x_2, \eta_1) = \partial_{x_2 x_2}^2 W_{\gamma-2}^{(j)}(x_2), & \eta_1 \in \left(\frac{1-h_2}{2}, \frac{1+h_2}{2}\right), \\ \partial_{\eta_1} W_2^{(j)}(x_2, \frac{1\pm h_2}{2}) = 0. \end{cases} \quad (2.12)$$

The solvability condition for (2.12) gives us the relations

$$\partial_{x_2 x_2}^2 W_{\gamma-2}^{(j)}(x_2) = 0, \quad x_2 \in (-l_2, 0), \quad \gamma \in \{2, 3, \alpha - m + 2, \alpha - m + 3\}.$$

If  $\gamma = 2$  and  $\alpha - m + 2$  it is the same that

$$\partial_{x_2 x_2}^2 v_{\gamma-2}^-(\varepsilon(j + \frac{1}{2}), x_2) = 0, \quad x_2 \in (-l_2, 0), \quad (2.13)$$

because of (2.8). Bearing in mind the boundary conditions of the original problem at  $x_2 = -l_2$ , we should add the following condition  $\partial_{x_2} v_{\gamma-2}^-(\varepsilon(j + \frac{1}{2}), -l_2) = 0$  to (2.13). These two relations mean that  $v_0^-$  and  $v_{\alpha-m}^-$  are independent of  $x_2$ .

Similarly, but now with regard to (2.9) we get

$$v_1^-(\varepsilon(j + \frac{1}{2}), \eta_1 - j) + (\eta_1 - j - \frac{1}{2}) \frac{\partial v_0^-}{\partial x_1}(\varepsilon(j + \frac{1}{2})) = \Phi_1(\varepsilon(j + \frac{1}{2})) \quad (2.14)$$

if  $\gamma = 3$ , and

$$v_{\alpha-m+1}^-(\varepsilon(j + \frac{1}{2}), \eta_1 - j) + (\eta_1 - j - \frac{1}{2}) \frac{\partial v_{\alpha-m}^-}{\partial x_1}(\varepsilon(j + \frac{1}{2})) = \Phi_{\alpha-m+1}(\varepsilon(j + \frac{1}{2})) \quad (2.15)$$

if  $\gamma = \alpha - m + 3$ ; here the values  $\Phi_1$  and  $\Phi_{\alpha-m+1}$  will be defined in subsection 2.2.

Since the points  $\{x_1 = \varepsilon(j + \frac{1}{2}) : j = 0, \dots, N - 1\}$  form the  $\varepsilon$ -net in the interval  $(0, a)$ , then we extend relations (2.14) and (2.15) to the whole of  $(0, a)$ .

### 2.1.2 Junction-layer solutions

Let us pass to the “fast” variables  $\eta = \frac{x}{\varepsilon}$  in (1.21). Under this transformation as  $\varepsilon \rightarrow 0$  the domain  $\Omega_0$  transforms to  $\{\eta : \eta_i > 0, i = 1, 2\}$ , the thin rectangle  $G_0^{(2)}(\varepsilon)$  to the semistrip

$$\Pi^- = \left(\frac{1}{2} - \frac{h_2}{2}, \frac{1}{2} + \frac{h_2}{2}\right) \times (-\infty, 0]$$

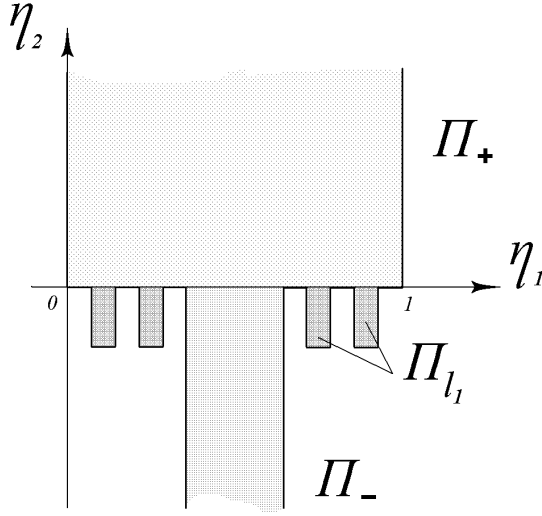


Figure 2: The cell of periodicity.

and rectangle  $G_0^{(1)}(d_k, \varepsilon)$  to the fixed rectangle

$$\Pi_k = \left( d_k - \frac{h_1}{2}, d_k + \frac{h_1}{2} \right) \times (-l_1, 0].$$

Taking into account the periodic structure of  $\Omega_\varepsilon$  in a neighborhood of  $I_0$ , we take the following cell of periodicity

$$\Pi = \Pi^- \cup \Pi^+ \cup \Pi_{l_1},$$

in which we will consider boundary-value problems for coefficients  $Z, X$  from (2.4). Here  $\Pi^+ = (0, 1) \times (0, +\infty)$ ,  $\Pi_{l_1} := \bigcup_{k=1}^4 \bar{\Pi}_k$  (see Fig.2). To find problems for these coefficients we should calculate

$$\begin{aligned} \partial_{x_1} v(\varepsilon, x) \approx & \varepsilon^0 \left( \partial_{x_1} v_0^+(x_1, 0) \left[ 1 + \partial_{\eta_1} Z_1^{(1)} \right] + \partial_{\eta_1} Z_1^{(0)} v_0^+(x_1, 0) + \partial_{\eta_1} Z_1^{(2)} \partial_{x_2} v_0^+(x_1, 0) \right) + \\ & + \varepsilon^{\alpha-m} \left( \partial_{\eta_1} Z_{\alpha-m+1}^{(0)} v_0^+(x_1, 0) + \partial_{\eta_1} X_{\alpha-m+1}^{(0)} v_{\alpha-m}^+(x_1, 0) + \right. \\ & + \partial_{x_1} v_{\alpha-m}^+(x_1, 0) \left[ 1 + \partial_{\eta_1} X_{\alpha-m+1}^{(1)} \right] + \partial_{\eta_1} X_{\alpha-m+1}^{(2)} \partial_{x_2} v_{\alpha-m}^+(x_1, 0) + \\ & \left. + \partial_{\eta_1} Z_{\alpha-m+1}^{(2)}(\eta) \partial_{x_2} v_0^+(x_1, 0) \right) + \varepsilon \left( Z_1^{(0)}(\eta) \partial_{x_1} v_0^+(x_1, 0) + \right. \\ & \left. + \sum_{i=1}^2 Z_1^{(i)}(\eta) \partial_{x_1 x_i}^2 v_0^+(x_1, 0) + \sum_{|\beta| \leq 2} \partial_{\eta_1} Z_2^{(\beta)}(\eta) D^\beta v_0^+(x_1, 0) \right) + \mathcal{O}(\varepsilon^{\alpha-m+1}) \end{aligned} \quad (2.16)$$

and

$$\begin{aligned}
\Delta_x v(\varepsilon, x) &\approx \varepsilon^{-1} \left( \Delta_\eta Z_1^{(0)}(\eta) v_0^+(x_1, 0) + \sum_{i=1}^2 \Delta_\eta Z_1^{(i)}(\eta) \partial_{x_i} v_0^+(x_1, 0) \right) + \\
&+ \varepsilon^{\alpha-m-1} \left( \Delta_\eta Z_{\alpha-m+1}^{(0)}(\eta) v_0^+(x_1, 0) + \Delta_\eta Z_{\alpha-m+1}^{(2)}(\eta) \partial_{x_2} v_0^+(x_1, 0) + \right. \\
&+ \left. \Delta_\eta X_{\alpha-m+1}^{(0)}(\eta) v_{\alpha-m}^+(x_1, 0) + \sum_{i=1}^2 \Delta_\eta X_{\alpha-m+1}^{(i)}(\eta) \partial_{x_i} v_{\alpha-m}^+(x_1, 0) \right) + \quad (2.17) \\
&+ \varepsilon^0 \left( \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \left[ 1 + 2\partial_{\eta_1} Z_1^{(1)}(\eta) \right] + 2\partial_{\eta_1} Z_1^{(0)}(\eta) \partial_{x_1} v_0^+(x_1, 0) + \right. \\
&+ \left. 2\partial_{\eta_1} Z_1^{(2)}(\eta) \partial_{x_1 x_2}^2 v_0^+(x_1, 0) + \sum_{|\beta| \leq 2} \Delta_\eta Z_2^{(\beta)}(\eta) D^\beta v_0^+(x_1, 0) \right) + \dots
\end{aligned}$$

Keeping in mind (2.16) and (2.17), substituting the series (2.4) and (2.1) in problem (1.21) and collecting terms with equal powers of  $\varepsilon$ , we get problems for  $Z_1^{(i)}$ ,  $i = 0, 1, 2$ ,  $X_{\alpha-m+1}^{(i)}$ ,  $i = 0, 1, 2$ ,  $Z_{\alpha-m+1}^{(i)}$ ,  $i = 0, 2$ , and  $Z_2^{(\beta)}$ ,  $|\beta| \leq 2$ . Obviously, these solutions have to be 1-periodic in  $\eta_1$ . Therefore, we demand the following periodicity conditions:

$$\begin{aligned}
\partial_{\eta_1}^s Z(0, \eta_2) &= \partial_{\eta_1}^s Z(1, \eta_2), \quad \eta_2 > 0, \quad s = 0, 1, \\
\partial_{\eta_1}^s X(0, \eta_2) &= \partial_{\eta_1}^s X(1, \eta_2), \quad \eta_2 > 0, \quad s = 0, 1,
\end{aligned} \quad (2.18)$$

on the vertical sides of semistrip  $\Pi^+$ . In addition, it is easy to see that all these solutions must satisfy the Neumann conditions

$$\begin{aligned}
\partial_{\eta_2} Z(\eta_1, 0) &= 0, \quad (\eta_1, 0) \in \partial\Pi, \quad \partial_{\eta_2} Z(\eta_1, -l_1) = 0, \quad (\eta_1, -l_1) \in \partial\Pi, \\
\partial_{\eta_2} X(\eta_1, 0) &= 0, \quad (\eta_1, 0) \in \partial\Pi, \quad \partial_{\eta_2} X(\eta_1, -l_1) = 0, \quad (\eta_1, -l_1) \in \partial\Pi,
\end{aligned} \quad (2.19)$$

on the horizontal parts of the boundary of  $\Pi$ .

Denote by  $\partial\Pi_{\parallel}$  the vertical part of  $\partial\Pi$  laying in  $\{\eta : \eta_2 < 0\}$ .

Thus we get the following problems (to all those problems we must add the respective conditions (2.18) and (2.19)):

$$\begin{cases} -\Delta_\eta Z_1^{(0)}(\eta) = \begin{cases} 0, & \eta \in \Pi^+ \cup \Pi^-, \\ \lambda_0, & \eta \in \Pi_{l_1}, \end{cases} \\ \partial_{\eta_1} Z_1^{(0)}(\eta) = 0, & \eta \in \partial\Pi_{\parallel}; \end{cases} \quad (2.20)$$

$$\begin{cases} -\Delta_\eta Z_1^{(i)}(\eta) = 0, & \eta \in \Pi, \\ \partial_{\eta_1} Z_1^{(i)}(\eta) = -\delta_{1i}, & \eta \in \partial\Pi_{\parallel}, \quad i = 1, 2; \end{cases} \quad (2.21)$$

$$\begin{cases} -\Delta_\eta Z_{\alpha-m+1}^{(0)}(\eta) = \begin{cases} 0, & \eta \in \Pi^+ \cup \Pi^-, \\ \lambda_{\alpha-m}, & \eta \in \Pi_{l_1}, \end{cases} \\ \partial_{\eta_1} Z_{\alpha-m+1}^{(0)}(\eta) = 0, & \eta \in \partial\Pi_{\parallel}; \end{cases} \quad (2.22)$$

$$\begin{cases} -\Delta_\eta Z_2^{(0,0)}(\eta) = \begin{cases} 0, & \eta \in \Pi^+ \cup \Pi^-, \\ \lambda_1 + \lambda_0 Z_1^{(0)}(\eta), & \eta \in \Pi_{l_1}, \end{cases} \\ \partial_{\eta_1} Z_2^{(0,0)}(\eta) = 0, & \eta \in \partial\Pi_{\parallel}; \end{cases} \quad (2.23)$$

$$\begin{cases} -\Delta_\eta Z_2^{(1,0)}(\eta) = \begin{cases} 2\partial_{\eta_1} Z_1^{(0)}(\eta), & \eta \in \Pi^+ \cup \Pi^-, \\ 2\partial_{\eta_1} Z_1^{(0)}(\eta) + \lambda_0 Z_1^{(1)}(\eta), & \eta \in \Pi_{l_1}, \end{cases} \\ \partial_{\eta_1} Z_2^{(1,0)}(\eta) = -Z_1^{(0)}(\eta), & \eta \in \partial\Pi_{\parallel}; \end{cases} \quad (2.24)$$

$$\begin{cases} -\Delta_\eta Z_2^{(0,1)}(\eta) = \begin{cases} 0, & \eta \in \Pi^+ \cup \Pi^-, \\ \lambda_0 Z_1^{(2)}(\eta), & \eta \in \Pi_{l_1}, \end{cases} \\ \partial_{\eta_1} Z_2^{(0,1)}(\eta) = 0, & \eta \in \partial\Pi_{\parallel}; \end{cases} \quad (2.25)$$

$$\begin{cases} -\Delta_\eta Z_2^{(0,2)}(\eta) = 0, & \eta \in \Pi, \\ \partial_{\eta_1} Z_2^{(0,2)}(\eta) = 0, & \eta \in \partial\Pi_{\parallel}; \end{cases} \quad (2.26)$$

$$\begin{cases} -\Delta_\eta Z_2^{(1,1)}(\eta) = 2\partial_{\eta_1} Z_1^{(2)}(\eta), & \eta \in \Pi, \\ \partial_{\eta_1} Z_2^{(1,1)}(\eta) = -Z_1^{(2)}(\eta), & \eta \in \partial\Pi_{\parallel}; \end{cases} \quad (2.27)$$

$$\begin{cases} -\Delta_\eta Z_2^{(2,0)}(\eta) = 1 + 2\partial_{\eta_1} Z_1^{(1)}(\eta), & \eta \in \Pi, \\ \partial_{\eta_1} Z_2^{(2,0)}(\eta) = -Z_1^{(1)}(\eta), & \eta \in \partial\Pi_{\parallel}. \end{cases} \quad (2.28)$$

The problems for  $\{X_{\alpha-m+1}^{(k)}\}$  are the same as problems for  $\{Z_1^{(k)}\}$ , and problem for  $Z_{\alpha-m+1}^{(2)}$  is the same as problem for  $Z_1^{(2)}$ . Therefore,  $X_{\alpha-m+1}^{(k)} \equiv Z_1^{(k)}$ ,  $k = 0, 1, 2$ , and  $Z_{\alpha-m+1}^{(2)} \equiv Z_1^{(2)}$ .

The existence and the main asymptotic relations for solutions of those problems can be obtained from general results about the asymptotic behavior of solutions to elliptic problems in domains with different exits to infinity [24, 25, 26, 27]. However, if a domain, where we consider a boundary-value problem, has some symmetry, then we can define more exactly the asymptotic relations and detect other properties of junction-layer solutions (see Lemma 4.1 and Corollary 4.1 from [34]). Using this approach, one can prove the following lemma.

**Lemma 2.1.** *There exist solutions  $Z_1^{(i)} \in H_{loc,\eta_2}^1(\Pi)$ ,  $i = 0, 1, 2$ , of the problems (2.20), (2.21),  $Z_2^{(\beta)} \in H_{loc,\eta_2}^1(\Pi)$ ,  $|\beta| \leq 2$  of the problems (2.23), (2.24), (2.25), (2.26), (2.27), (2.28) and  $Z_{\alpha-m+1}^{(0)} \in H_{loc,\eta_2}^1(\Pi)$  of the problem (2.22), which have the following differentiable asymptotics*

$$Z_1^{(0)}(\eta) = \begin{cases} \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 + C_1^{(0)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases} \quad (2.29)$$

$$Z_1^{(1)}(\eta) = \begin{cases} \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \left(-\eta_1 + \frac{1}{2}\right) + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases} \quad (2.30)$$

$$Z_1^{(2)}(\eta) = \begin{cases} \eta_2 + \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{\eta_2}{h_2} + C_1^{(2)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases} \quad (2.31)$$

$$Z_{\alpha-m+1}^{(0)}(\eta) = \begin{cases} \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{4h_1 l_1 \lambda_{\alpha-m}}{h_2} \eta_2 + C_{\alpha-m+1}^{(0)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases} \quad (2.32)$$



$$Z_2^{(0,0)}(\eta) = \begin{cases} \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{4h_1 l_1 \lambda_1 + \varsigma_{(0,0)}}{h_2} \eta_2 + C_2^{(0,0)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases} \quad (2.33)$$

$$Z_2^{(1,0)}(\eta) = \begin{cases} \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 \left(-\eta_1 + \frac{1}{2}\right) + C_2^{(1,0)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases}$$

$$Z_2^{(0,1)}(\eta) = \begin{cases} \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{\lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta}{h_2} \eta_2 + C_2^{(0,1)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases}$$

$$Z_2^{(0,2)}(\eta) = \begin{cases} \eta_2 + \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{\eta_2}{h_2} + C_1^{(2)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases}$$

$$Z_2^{(1,1)}(\eta) = \begin{cases} \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{\eta_2}{h_2} \left(-\eta_1 + \frac{1}{2}\right) + C_2^{(1,1)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases} \quad (2.34)$$

$$Z_2^{(2,0)}(\eta) = \begin{cases} -\frac{1}{2}\eta_2^2 + \mathcal{O}(\exp(-2\pi\eta_2)), & \eta_2 \rightarrow +\infty, \\ \frac{1}{2}T^2(\eta_1) + \frac{\varsigma_{(2,0)}}{h_2} \eta_2 + C_2^{(2,0)} + \mathcal{O}(\exp(\pi h_2^{-1} \eta_2)), & \eta_2 \rightarrow -\infty, \end{cases} \quad (2.35)$$

where

$$\varsigma_{(0,0)} = \lambda_0 \int_{\Pi_{l_1}} Z_1^{(0)}(\eta) d\eta, \quad \varsigma_{(2,0)} = \int_{\Pi_{l_1} \cup \Pi^-} (1 + \partial_{\eta_1} Z_1^{(1)}(\eta)) d\eta. \quad (2.36)$$

Moreover functions  $Z_1^{(1)}$ ,  $Z_2^{(1,0)}$ ,  $Z_2^{(1,1)}$  are odd in  $\eta_1$  with respect to  $\frac{1}{2}$ ; functions  $Z_1^{(0)}$ ,  $Z_2^{(2,0)}$ ,  $Z_1^{(2)}$ ,  $Z_{\alpha-m+1}^{(0)}$ ,  $Z_2^{(0,0)}$ ,  $Z_2^{(0,1)}$ , and  $Z_2^{(0,2)}$  are even in  $\eta_1$  with respect to  $\frac{1}{2}$ .

For the proof we refer to our previous papers [1, 2].

## 2.2 Homogenized problem and correctors

We have formally constructed the leading terms of the asymptotic expansions (2.2), (2.3), (2.4) in three different parts of the junction  $\Omega_\varepsilon$ . Now we apply the method of matching of asymptotic expansions, proposed firstly by Il'in A.M. (see [28, 29, 30] and also [31]), to complete the constructions. Following this method, the asymptotics of the external expansions (2.2) and (2.3) as  $x_2 \rightarrow \pm 0$  have to coincide with the corresponding asymptotics of the internal expansion (2.4) as  $\eta_2 \rightarrow \pm \infty$  respectively.

Writing down the Taylor series for  $v_0^+$  and  $v_{\alpha-m}^+$  with respect to  $x_2$  in a neighborhood of the point  $(x_1, 0)$ , where  $x_1 \in (0, a)$ , and passing to the variables  $\eta_2 = \varepsilon^{-1} x_2$ , we derive

$$\begin{aligned} v(\varepsilon, x) &= v_0^+(x_1, 0) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x_1, 0) + \dots + \varepsilon \eta_2 \partial_{x_2} v_0^+(x_1, 0) + \\ &+ \varepsilon^{\alpha-m+1} \eta_2 \partial_{x_2} v_{\alpha-m}^+(x_1, 0) + \dots \end{aligned} \quad (2.37)$$

Bearing in mind the asymptotics of the functions  $Z_1^{(k)}$ ,  $X_{\alpha-m+1}^{(k)}$ , ( $k = 0, 1, 2$ ),  $Z_{\alpha-m+1}^{(0)}$ ,  $Z_2^{(\beta)}$  ( $|\beta| < 2$ ), as  $\eta_2 \rightarrow +\infty$  (see (2.29)–(2.35)), we write down the asymptotics

$$v(\varepsilon, x) = v_0^+(x_1, 0) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x_1, 0) + \dots + \varepsilon \eta_2 \partial_{x_2} v_0^+(x_1, 0) + \varepsilon^{\alpha-m+1} \eta_2 \partial_{x_2} v_{\alpha-m}^+(x_1, 0) + \dots \quad (2.38)$$

Thus, the leading terms in (2.37) and (2.38) coincide at  $\varepsilon^0$ ,  $\varepsilon^{\alpha-m}$ ,  $\varepsilon$  and  $\varepsilon^{\alpha-m+1}$ .

To match (2.3) and (2.4) we write down the asymptotics of (2.3) as  $x_2 \rightarrow -0$  and pass to the fast variables; as a result we get

$$v(\varepsilon, x) = v_0^-(x_1) + \varepsilon^{\alpha-m} v_{\alpha-m}^-(x_1) + \dots + \varepsilon \left( \underbrace{\Phi_1(x_1)} + T(\eta_1) \partial_{x_1} v_0^-(x_1) \right) + \varepsilon^{\alpha-m+1} \left( \underbrace{\Phi_{\alpha-m+1}(x_1)} + T(\eta_1) \partial_{x_1} v_{\alpha-m}^-(x_1) \right) + \dots \quad (2.39)$$

Keeping in mind the asymptotics of the functions  $Z_1^{(k)}$ ,  $X_{\alpha-m+1}^{(k)}$ , ( $k = 0, 1, 2$ ),  $Z_{\alpha-m+1}^{(0)}$  and  $Z_{\alpha-m+1}^{(2)}$  as  $\eta_2 \rightarrow -\infty$ , we find the following asymptotics of (2.4):

$$\begin{aligned} v(\varepsilon, x) &= v_0^+(x_1, 0) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x_1, 0) + \dots + \\ &+ \varepsilon \left( T(\eta_1) \partial_{x_1} v_0^+(x_1, 0) + \overbrace{\frac{\eta_2}{h_2} \partial_{x_2} v_0^+(x_1, 0)} + \underbrace{C_1^{(2)} \partial_{x_2} v_0^+(x_1, 0)} \right) + \\ &+ \overbrace{\frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 v_0^+(x_1, 0) + C_1^{(0)} v_0^+(x_1, 0)} + \varepsilon^{\alpha-m+1} \left( \left( \frac{\eta_2}{h_2} + C_1^{(2)} \right) \partial_{x_2} v_0^+(x_1, 0) + \right. \\ &+ \left. \left( \frac{4h_1 l_1 \lambda_{\alpha-m}}{h_2} \eta_2 + C_{\alpha-m+1}^{(0)} \right) v_0^+(x_1, 0) + \left( \frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 + C_1^{(0)} \right) v_{\alpha-m}^+(x_1, 0) + \right. \\ &+ \left. T(\eta_1) \partial_{x_1} v_{\alpha-m}^+(x_1, 0) + \left( \frac{\eta_2}{h_2} + C_1^{(2)} \right) \partial_{x_2} v_{\alpha-m}^+(x_1, 0) \right) + \dots, \end{aligned} \quad (2.40)$$

where  $T(\eta_1) = -\eta_1 + \frac{1}{2} + [\eta_1]$  and  $[\eta_1]$  is the entire part of the number  $\eta_1$ .

Equating the corresponding coefficients in (2.39) and (2.40) at  $\varepsilon^0$  and  $\varepsilon^{\alpha-m}$ , we get

$$v_0^+(x_1, 0) = v_0^-(x_1), \quad v_{\alpha-m}^+(x_1, 0) = v_{\alpha-m}^-(x_1), \quad x_1 \in (0, a). \quad (2.41)$$

The same procedure at  $\varepsilon^1$  brings us the following relations:

$$\partial_{x_2} v_0^+(x_1, 0) + 4h_1 l_1 \lambda_0 v_0^+(x_1, 0) = 0, \quad x_1 \in (0, a), \quad (2.42)$$

for the over-braced terms, and

$$\Phi_1(x_1) = C_1^{(2)} \partial_{x_2} v_0^+(x_1, 0) + C_1^{(0)} v_0^+(x_1, 0), \quad x_1 \in (0, a), \quad (2.43)$$

for the under-braced terms. Moreover, taking (2.14) into account, we have

$$v_1^-(x_1, \frac{x_1}{\varepsilon}) = \Phi_1(x_1) + T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0), \quad x \in G_\varepsilon^{(2)}. \quad (2.44)$$

In analogous way

$$\Phi_{\alpha-m+1}(x_1) = C_1^{(2)} \partial_{x_2} v_{\alpha-m}^+(x_1, 0) + C_1^{(0)} v_{\alpha-m}^+(x_1, 0) + C_1^{(2)} \partial_{x_2} v_0^+(x_1, 0) + C_{\alpha-m+1}^{(0)} v_0^+(x_1, 0). \quad (2.45)$$

Moreover, taking (2.15) into account, we have

$$v_{\alpha-m+1}^-(x_1, \frac{x_1}{\varepsilon}) = \Phi_{\alpha-m+1}(x_1) + T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_{\alpha-m}^+(x_1, 0), \quad x \in G_\varepsilon^{(2)}. \quad (2.46)$$

Finally,

$$\partial_{x_2} v_{\alpha-m+1}^+(x_1, 0) = -4h_1 l_1 \lambda_0 v_{\alpha-m}^+(x_1, 0) - 4h_1 l_1 \lambda_{\alpha-m} v_0^+(x_1, 0) - \partial_{x_2} v_0^+(x_1, 0). \quad (2.47)$$

Relation (2.42) completes problem (2.5). Thus, for  $v_0^+$  and the number  $\lambda_0$  we have the following Steklov problem:

$$\begin{cases} \Delta_x v_0^+(x) = 0, & x \in \Omega_0 \\ \partial_\nu v_0^+(x) = 0, & x \in \Gamma_2, \\ v_0^+(x) = 0, & x \in \Gamma_1, \\ \partial_{x_2} v_0^+(x_1, 0) = -4h_1 l_1 \lambda_0 v_0^+(x_1, 0), & x_1 \in (0, a), \end{cases} \quad (2.48)$$

which called *homogenized spectral problem* for problem (1.21).

Recall that the number  $\lambda_0$  is called an eigenvalue of problem (2.48) if there exists a function  $v_0 \in \mathcal{H}_0 := \{u \in H^1(\Omega_0) : u|_{\Gamma_1} = 0\}$ ,  $v_0 \neq 0$ , which is called an eigenfunction corresponding to  $\lambda_0$ , such that the following integral identity holds :

$$\langle v_0, \varphi \rangle_{\mathcal{H}_0} = \lambda_0 (\mathcal{T}_0 v_0, \mathcal{T}_0 \varphi)_{\mathcal{V}_0} \quad \forall \varphi \in \mathcal{H}_0, \quad (2.49)$$

where  $\langle v_0, \varphi \rangle_{\mathcal{H}_0} := \int_{\Omega_0} \nabla v_0 \cdot \nabla \varphi dx$  is the scalar product in  $\mathcal{H}_0$ ; the space  $\mathcal{V}_0$  is the weighted space  $L_2(I_0)$  with the following scalar product

$$(\mathcal{T}_0 v_0, \mathcal{T}_0 \varphi)_{\mathcal{V}_0} := 4h_1 l_1 (\mathcal{T}_0 v_0, \mathcal{T}_0 \varphi)_{L_2(I_0)};$$

and  $\mathcal{T}_0 : \mathcal{H}_0 \mapsto \mathcal{V}_0$  is the trace operator.

Let  $A_0 \equiv \mathcal{T}_0 \circ \mathcal{T}_0^* : \mathcal{V}_0 \mapsto \mathcal{V}_0$ , where  $\mathcal{T}_0^*$  is the conjugate operator to  $\mathcal{T}_0$ . It is easy to verify (see for instance [17]) that  $A_0$  is self-adjoint, positive, compact, and the spectral problem (2.48) is equivalent to the spectral problem

$$A_0 (\mathcal{T}_0 v_0) = \frac{1}{\lambda_0} \mathcal{T}_0 v_0 \quad \text{in } \mathcal{V}_0. \quad (2.50)$$

Thus, the eigenvalues of problem (2.48) form the sequence

$$0 < \lambda_0^{(1)} < \lambda_0^{(2)} \leq \dots \leq \lambda_0^{(n)} \leq \dots \rightarrow +\infty \quad \text{as } n \rightarrow \infty \quad (2.51)$$

with the classical convention of repeated eigenvalues. The respective sequence of the corresponding eigenfunctions  $\{v_0^{+,n}\}_{n \in \mathbb{N}}$  can be orthonormalized as follows:

$$4h_1 l_1 \int_{I_0} v_0^{+,n}(x_1, 0) v_0^{+,k}(x_1, 0) dx_1 = \delta_{n,k}, \quad \{n, k\} \in \mathbb{N}. \quad (2.52)$$

Next, let  $\lambda_0$  be an eigenvalue of problem (2.48),  $v_0^+$  is the corresponding eigenfunction normalized by (2.52).

Analogously, relation (2.47) completes problem (2.6). Thus, for  $v_{\alpha-m}^+$  and  $\lambda_{\alpha-m}$  we get the following problem:

$$\begin{cases} \Delta_x v_{\alpha-m}^+(x) = 0, & x \in \Omega_0; \\ \partial_\nu v_{\alpha-m}^+(x) = 0, & x \in \Gamma_2; \quad v_{\alpha-m}^+(x) = 0, & x \in \Gamma_1; \\ \partial_{x_2} v_{\alpha-m}^+(x_1, 0) = -4h_1 l_1 \lambda_0 v_{\alpha-m}^+(x_1, 0) - 4h_1 l_1 \lambda_{\alpha-m} v_0^+(x_1, 0) - \partial_{x_2} v_0^+(x_1, 0). \end{cases} \quad (2.53)$$

Since  $\lambda_0$  is an eigenvalue of problem (2.48), we choose the number  $\lambda_{\alpha-m}$  to satisfy the solvability condition for the problem (2.53). Writing down the integral identity (2.49) for problem (2.48) with the test-function  $v_{\alpha-m}^+$  and the respective integral identity of problem (2.53) with the test-function  $v_0^+$ , then subtracting them and bearing in mind (2.52) and (2.55), we get

$$\lambda_{\alpha-m} = -\frac{1}{4h_1 l_1} \int_{I_0} v_0^+(x_1, 0) \partial_{x_2} v_0^+(x_1, 0) dx_1. \quad (2.54)$$

Obviously, the solution to problem (2.53) is not uniquely defined and for the uniqueness we demand the following orthogonality condition:

$$\int_{I_0} v_{\alpha-m}^+(x_1, 0) v_0^+(x_1, 0) dx_1 = 0. \quad (2.55)$$

## 2.3 Global asymptotic approximation in $\Omega_\varepsilon$ and estimation of its residuals

For any given eigenvalue  $\lambda_0$  of the homogenized spectral problem (2.48) and the corresponding eigenfunction  $v_0^+$  normalized by (2.52), we can define  $\lambda_{\alpha-m}$  with the help of (2.54) and the unique solutions  $v_{\alpha-m}^+$  to problem (2.53).

An approximating function  $R_\varepsilon$  is constructed as the sum of the first terms of outer expansions (2.2), (2.3) and inner expansion (2.4) with the subtraction of the identical terms of their asymptotics (as  $x_2 \rightarrow \pm 0$  and  $\eta_2 \rightarrow \pm\infty$  respectively) because they are summed twice. Taking (2.41) into account, we obtain

$$R_\varepsilon(x) = \begin{cases} v_0^+(x) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x) + \chi_0(x_2) \mathcal{N}_\varepsilon^+(x_1, \frac{x}{\varepsilon}), & x \in \Omega_0, \\ v_0^+(x_1, 0) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x_1, 0) + \mathcal{N}_{1,\varepsilon}^-(x_1, \frac{x}{\varepsilon}), & x \in G_\varepsilon^{(1)}, \\ v_0^+(x_1, 0) + \varepsilon^{\alpha-m} v_{\alpha-m}^+(x_1, 0) + \varepsilon(\Phi_1(x_1) + T(\frac{x_1}{\varepsilon})\partial_{x_1} v_0^+(x_1, 0)) \\ + \varepsilon^{\alpha-m+1}(\Phi_{\alpha-m+1}(x_1) + T(\frac{x_1}{\varepsilon})\partial_{x_1} v_{\alpha-m}^+(x_1, 0)) + \chi_0(x_2) \mathcal{N}_{2,\varepsilon}^-(x_1, \frac{x}{\varepsilon}), & x \in G_\varepsilon^{(2)}, \end{cases} \quad (2.56)$$

where  $\chi_0$  is a smooth cut-off function such that  $\chi_0(x_2) = 1$  for  $|x_2| \leq \tau_0/2$ , and  $\chi_0(x_2) = 0$  for

$|x_2| \geq \tau_0$  ( $\tau_0 < \min\{\delta_0, l_2\}$  (see subsection 1.1));

$$\begin{aligned} \mathcal{N}_\varepsilon^+(x_1, \eta) &= \varepsilon \left( Z_1^{(0)}(\eta) v_0^+(x_1, 0) + \sum_{i=1}^2 (Z_1^{(i)}(\eta) - \delta_{i,2} \eta_2) \partial_{x_i} v_0^+(x_1, 0) \right) + \\ &\quad + \varepsilon^{\alpha-m+1} \left( \left( Z_{\alpha-m+1}^{(0)}(\eta) - \eta_2 \right) v_0^+(x_1, 0) + \left( Z_{\alpha-m+1}^{(2)}(\eta) - \eta_2 \right) \partial_{x_2} v_0^+(x_1, 0) + \right. \\ &\quad \left. + \left( X_{\alpha-m+1}^{(0)}(\eta) - \eta_2 \right) v_{\alpha-m}^+(x_1, 0) + \sum_{i=1}^2 \left( X_{\alpha-m+1}^{(i)}(\eta) - \delta_{i,2} \eta_2 \right) \partial_{x_i} v_{\alpha-m}^+(x_1, 0) \right), \end{aligned} \quad (2.57)$$

$$\begin{aligned} \mathcal{N}_{1,\varepsilon}^-(x_1, \eta) &= \varepsilon \left( Z_1^{(0)}(\eta) v_0^+(x_1, 0) + \sum_{i=1}^2 Z_1^{(i)}(\eta) \partial_{x_i} v_0^+(x_1, 0) \right) + \\ &\quad + \varepsilon^{\alpha-m+1} \left( Z_{\alpha-m+1}^{(0)}(\eta) v_0^+(x_1, 0) + Z_{\alpha-m+1}^{(2)}(\eta) \partial_{x_2} v_0^+(x_1, 0) + \right. \\ &\quad \left. + X_{\alpha-m+1}^{(0)}(\eta) v_{\alpha-m}^+(x_1, 0) + \sum_{i=1}^2 X_{\alpha-m+1}^{(i)}(\eta) \partial_{x_i} v_{\alpha-m}^+(x_1, 0) \right) \end{aligned} \quad (2.58)$$

and

$$\begin{aligned} \mathcal{N}_{2,\varepsilon}^-(x_1, \eta) &= \varepsilon \left( (Z_1^{(1)}(\eta) - T(\eta_1)) \partial_{x_1} v_0^+(x_1, 0) + \left( Z_1^{(2)}(\eta) - \frac{\eta_2}{h_2} - C_1^{(2)} \right) \partial_{x_2} v_0^+(x_1, 0) + \right. \\ &\quad \left. + \left( Z_1^{(0)}(\eta) - \frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 - C_1^{(0)} \right) v_0^+(x_1, 0) \right) + \\ &\quad + \varepsilon^{\alpha-m+1} \left( \left( Z_{\alpha-m+1}^{(0)}(\eta) - \frac{4h_1 l_1 \lambda_{\alpha-m}}{h_2} \eta_2 \right) v_0^+(x_1, 0) + \left( Z_{\alpha-m+1}^{(2)}(\eta) - \frac{\eta_2}{h_2} \right) \partial_{x_2} v_0^+(x_1, 0) + \right. \\ &\quad \left. + \left( X_{\alpha-m+1}^{(0)}(\eta) - \frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 \right) v_{\alpha-m}^+(x_1, 0) + \right. \\ &\quad \left. + \left( X_{\alpha-m+1}^{(1)}(\eta) - T(\eta_1) \right) \partial_{x_1} v_{\alpha-m}^+(x_1, 0) + \left( X_{\alpha-m+1}^{(2)}(\eta) - \frac{\eta_2}{h_2} \right) \partial_{x_2} v_{\alpha-m}^+(x_1, 0) \right). \end{aligned} \quad (2.59)$$

Due to (2.43) and (2.45) it is easy to verify that  $R_\varepsilon|_{x_2=0+} = R_\varepsilon|_{x_2=0-}$  on  $Q_\varepsilon$ , i.e.,  $R_\varepsilon \in H^1(\Omega_\varepsilon; \Gamma_1)$ . Also using (2.42) and (2.47), one can verify that

$$\partial_{x_2} R_\varepsilon|_{x_2=0+} = \partial_{x_2} R_\varepsilon|_{x_2=0-} \quad \text{on } Q_\varepsilon. \quad (2.60)$$

### 2.3.1 Discrepancies in the equation of problem (1.21).

Substituting  $R_\varepsilon$  and  $\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}$  in the differential equation of problem (1.21) instead of  $v(\varepsilon, \cdot)$  and  $\Lambda(\varepsilon)$  respectively, and calculating discrepancies with regard to problems (2.20)–(2.22) and (2.48) and (2.53), we get

$$\begin{aligned} \Delta_x R_\varepsilon(x) + \varepsilon^{\alpha-1} (\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) R_\varepsilon(x) &= \varepsilon^{\alpha-1} (\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) R_\varepsilon(x) + \\ &\quad + \varepsilon^{-1} \chi_0'(x_2) (\partial_{\eta_2} \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \partial_{x_2} (\chi_0'(x_2) \mathcal{N}_\varepsilon^+(x_1, \frac{x}{\varepsilon})) + \\ &\quad + \varepsilon^{-1} \chi_0(x_2) (\partial_{x_1 \eta_1}^2 \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \chi_0(x_2) \partial_{x_1} ((\partial_{x_1} \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon}) \quad \text{in } \Omega_0; \end{aligned} \quad (2.61)$$

$$\begin{aligned} \Delta_x R_\varepsilon(x) + \varepsilon^{-1}(\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) R_\varepsilon(x) &= \varepsilon^{\alpha-m} \partial_{x_1 x_1}^2 v_{\alpha-m}^+(x_1, 0) + \varepsilon^{2\alpha-2m-1} \lambda_{\alpha-m} v_{\alpha-m}^+(x_1, 0) + \\ &+ \varepsilon^{-1}(\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) \mathcal{N}_{1,\varepsilon}^-(x_1, \frac{x}{\varepsilon}) + \varepsilon^{-1}(\partial_{x_1 \eta_1}^2 \mathcal{N}_{1,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} + \\ &+ \partial_{x_1}((\partial_{x_1} \mathcal{N}_{1,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}}) \quad \text{in } G_\varepsilon^{(1)}; \quad (2.62) \end{aligned}$$

and

$$\begin{aligned} \Delta_x R_\varepsilon(x) + \varepsilon^{\alpha-1}(\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) R_\varepsilon(x) &= \\ = \varepsilon \partial_{x_1}(\partial_{x_1} \Phi_1(x_1) + T(\frac{x_1}{\varepsilon}) \partial_{x_1 x_1}^2 v_0^+(x_1, 0)) + \varepsilon^{\alpha-m+1} \partial_{x_1}(\partial_{x_1} \Phi_{\alpha-m+1}(x_1) + T(\frac{x_1}{\varepsilon}) \partial_{x_1 x_1}^2 v_{\alpha-m}^+(x_1, 0)) + \\ + \varepsilon^{\alpha-1}(\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) R_\varepsilon(x) + \varepsilon^{-1} \chi_0'(x_2) (\partial_{\eta_2} \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \partial_{x_2}(\chi_0'(x_2) \mathcal{N}_{2,\varepsilon}^-(x_1, \frac{x}{\varepsilon})) + \\ + \varepsilon^{-1} \chi_0(x_2) (\partial_{x_1 \eta_1}^2 \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \chi_0(x_2) \partial_{x_1}((\partial_{x_1} \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon}) \quad \text{in } G_\varepsilon^{(2)}. \quad (2.63) \end{aligned}$$

### 2.3.2 Discrepancies on the boundary.

It is easy to check that  $R_\varepsilon = 0$  on  $\Gamma_1$  and  $\partial_\nu R_\varepsilon = 0$  on the whole boundary  $\partial\Omega_\varepsilon \setminus \Gamma_1$ , except its vertical parts, on which

$$\partial_{x_1} R_\varepsilon(x) = \chi_0(x_2) (\partial_{x_1} \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon} \quad (2.64)$$

on the vertical parts of  $\partial\Omega_0$ ,

$$\partial_{x_1} R_\varepsilon(x) = (\partial_{x_1} \mathcal{N}_{1,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon} \quad (2.65)$$

on the vertical parts of  $\partial G_\varepsilon^{(1)}$ , and

$$\begin{aligned} \partial_{x_1} R_\varepsilon(x) &= \varepsilon (\partial_{x_1} \Phi_1(x_1) + T(\frac{x_1}{\varepsilon}) \partial_{x_1 x_1}^2 v_0^+(x_1, 0)) \\ &+ \varepsilon^{\alpha-m+1} (\partial_{x_1} \Phi_{\alpha-m+1}(x_1) + T(\frac{x_1}{\varepsilon}) \partial_{x_1 x_1}^2 v_{\alpha-m+1}^+(x_1, 0)) + \chi_0(x_2) (\partial_{x_1} \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon} \quad (2.66) \end{aligned}$$

on the vertical parts of  $\partial G_\varepsilon^{(2)}$ .

### 2.3.3 Discrepancies in the integral identity.

Multiplying (2.61)-(2.63) with arbitrary function  $\psi \in \mathcal{H}_\varepsilon$ , integrating by parts and taking (2.60) and (2.64)-(2.66) into account, we deduce

$$\begin{aligned} - \int_{\Omega_\varepsilon} \nabla_x R_\varepsilon \cdot \nabla_x \psi \, dx + \varepsilon^{\alpha-1}(\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) \int_{\Omega_0 \cup G_\varepsilon^{(2)}} R_\varepsilon \psi \, dx + \\ + \varepsilon^{-1}(\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) \int_{G_\varepsilon^{(1)}} R_\varepsilon \psi \, dx = \ell_\varepsilon(\psi), \quad (2.67) \end{aligned}$$

where the linear functional  $\ell_\varepsilon$  is defined as follows:

$$\ell_\varepsilon(\psi) := \varepsilon^{\alpha-1}(\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) \int_{\Omega_0} R_\varepsilon \psi \, dx +$$

$$\begin{aligned}
& +\varepsilon^{2\alpha-2m-1}\lambda_{\alpha-m} \int_{G_\varepsilon^{(1)}} v_{\alpha-m}^+(x_1, 0) \psi \, dx + \varepsilon^{\alpha-m} \int_{G_\varepsilon^{(1)}} \partial_{x_1 x_1}^2 v_{\alpha-m}^+(x_1, 0) \psi \, dx + \\
& +\varepsilon^{-1}(\lambda_0 + \varepsilon^{\alpha-m}\lambda_{\alpha-m}) \int_{G_\varepsilon^{(1)}} \mathcal{N}_{1,\varepsilon}^-(x_1, \frac{x}{\varepsilon}) \psi \, dx + \varepsilon^{-1} \int_{G_\varepsilon^{(1)}} (\partial_{x_1 \eta_1}^2 \mathcal{N}_{1,\varepsilon}^-(x_1, \eta))|_{\eta=\frac{x}{\varepsilon}} \psi \, dx - \\
& -\varepsilon \int_{G_\varepsilon^{(2)}} (\partial_{x_1} \Phi_1(x_1) + T(\frac{x_1}{\varepsilon}) \partial_{x_1 x_1}^2 v_0^+(x_1, 0)) \partial_{x_1} \psi \, dx - \\
& -\varepsilon^{\alpha-m+1} \int_{G_\varepsilon^{(2)}} (\partial_{x_1} \Phi_{\alpha-m+1}(x_1) + T(\frac{x_1}{\varepsilon}) \partial_{x_1 x_1}^2 v_{\alpha-m}^+(x_1, 0)) \partial_{x_1} \psi \, dx + \\
& +\varepsilon^{\alpha-1}(\lambda_0 + \varepsilon^{\alpha-m}\lambda_{\alpha-m}) \int_{G_\varepsilon^{(2)}} R_\varepsilon \psi \, dx + \\
& +\varepsilon^{-1} \int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi'_0(x_2) (\partial_{\eta_2} \mathcal{N}_\varepsilon(x_1, \eta))|_{\eta=\frac{x}{\varepsilon}} \psi \, dx - \int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi'_0(x_2) \mathcal{N}_\varepsilon(x_1, \frac{x}{\varepsilon}) \partial_{x_2} \psi \, dx + \\
& +\varepsilon^{-1} \int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi_0(x_2) (\partial_{x_1 \eta_1}^2 \mathcal{N}_\varepsilon(x_1, \eta))|_{\eta=\frac{x}{\varepsilon}} \psi \, dx - \int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi_0(x_2) (\partial_{x_1} \mathcal{N}_\varepsilon(x_1, \eta))|_{\eta=\frac{x}{\varepsilon}} \partial_{x_1} \psi \, dx - \\
& - \int_{G_\varepsilon^{(1)}} (\partial_{x_1} \mathcal{N}_{1,\varepsilon}^-(x_1, \eta))|_{\eta=\frac{x}{\varepsilon}} \partial_{x_1} \psi \, dx. \tag{2.68}
\end{aligned}$$

Here  $\mathcal{N}_\varepsilon$  coincides with  $\mathcal{N}_\varepsilon^+$  on  $\Omega_0$  and with  $\mathcal{N}_{2,\varepsilon}^-$  on  $G_\varepsilon^{(2)}$ .

Let us estimate  $|\ell_\varepsilon(\psi)|$ . It is easy to see that the integral in the first line of (2.68) is of order  $\mathcal{O}(\varepsilon^{\alpha-1})$ .

The integrals in second line can be estimated with the help of the following Friedrichs-type inequality:

$$\varepsilon^{-1} \int_{G_\varepsilon^{(1)}} v^2 \, dx \leq C_3 \int_{\Omega_\varepsilon} |\nabla v|^2 \, dx \quad \forall v \in \mathcal{H}_\varepsilon, \tag{2.69}$$

proved in [32], by the following way:

$$\varepsilon^{2\alpha-2m-1} \left| \lambda_{\alpha-m} \int_{G_\varepsilon^{(1)}} v_{\alpha-m}^+(x_1, 0) \psi \, dx \right| \leq \varepsilon^{2\alpha-2m-\frac{1}{2}} C_1 \|\psi\|_{L^2(G_\varepsilon^{(1)})} \leq \varepsilon^{2(\alpha-m)} C_2 \|\psi\|_{\mathcal{H}_\varepsilon}.$$

The main term in the first integral of the third line of (2.68) we bound again with the help of (2.69) as follows:

$$\begin{aligned}
\lambda_0 \left| \int_{G_\varepsilon^{(1)}} Z_1^{(0)}(\frac{x}{\varepsilon}) v_0^+(x_1, 0) \psi \, dx \right| & \leq \sqrt{\varepsilon} C_1 \|\psi\|_{\mathcal{H}_\varepsilon} \sqrt{\int_{G_\varepsilon^{(1)}} \left| Z_1^{(0)}(\frac{x}{\varepsilon}) \right|^2 \, dx} \leq \\
& \leq \varepsilon C_2 \|\psi\|_{\mathcal{H}_\varepsilon} \sqrt{\int_{\Pi_{l_1}} \left| Z_1^{(0)}(\eta) \right|^2 \, d\eta} \leq \varepsilon C_3 \|\psi\|_{\mathcal{H}_\varepsilon}. \tag{2.70}
\end{aligned}$$

Similarly we estimate the second integral in this line and it is of order  $\mathcal{O}(\varepsilon)$  as well.

One can verify that the integral from the fourth line is of order  $\mathcal{O}(\varepsilon)$ , the integral from the fifth line is of order  $\mathcal{O}(\varepsilon^{\alpha-m+1})$ , and the integral from the sixth line of (2.68) is of order  $\mathcal{O}(\varepsilon^{\alpha-1})$ .

Due to the asymptotic relations (2.29)-(2.31), the first integral in the seventh line of (2.68) is exponentially small and the second one is of order  $\mathcal{O}(\varepsilon^{\alpha-m+1})$ . Thanks to Lemma 3.1 ([14]) the integrals in the eighth line of (2.68) are of order  $\mathcal{O}(\varepsilon^{1-\delta})$ , where  $\delta$  is arbitrary positive number.

Obviously, that the integral in the last line is of order  $\mathcal{O}(\varepsilon^{\frac{3}{2}})$ .

Thus, we have

$$|\ell_\varepsilon(\psi)| \leq c_1(\delta) \varepsilon^{2(\alpha-m)} \|\psi\|_{\mathcal{H}_\varepsilon} \text{ if } \alpha \in (m, m+\frac{1}{2}), \quad |\ell_\varepsilon(\psi)| \leq c_2(\delta) \varepsilon^{1-\delta} \|\psi\|_{\mathcal{H}_\varepsilon} \text{ if } \alpha \in [m+\frac{1}{2}, m+1]. \quad (2.71)$$

With the help of operator  $A_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{H}_\varepsilon$  defined in (1.22) we deduce from (2.67) and (2.71) the following inequality:

$$\|R_\varepsilon - (\lambda_0 + \varepsilon^{\alpha-m} \lambda_{\alpha-m}) A_\varepsilon R_\varepsilon\|_{\mathcal{H}_\varepsilon} \leq c(\delta) \varepsilon^{\nu(\alpha)}, \quad (2.72)$$

where  $\nu(\alpha) = 2(\alpha - m)$  if  $\alpha \in (m, m + \frac{1}{2})$ , or  $\nu(\alpha) = 1 - \delta$  if  $\alpha \in [m + \frac{1}{2}, m + 1]$ ;  $\delta$  is arbitrary positive number small enough.

### 3 Justification of the asymptotics

To justify the asymptotic approximations constructed above, we use the scheme proposed in [17] for investigation of the asymptotic behavior of the eigenvalues and eigenfunctions of an family of abstract operators  $\{A_\varepsilon : H_\varepsilon \mapsto H_\varepsilon\}_{\varepsilon>0}$  in the limit passage as  $\varepsilon \rightarrow 0$ . This scheme generalizes a procedure of justification of the asymptotic behavior of eigenvalues and eigenfunctions of boundary value problems in perturbed domains that was proposed in [7].

In our case this is the family of operators  $\{A_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{H}_\varepsilon\}_{\varepsilon>0}$  defined in (1.22). Recall that the operator  $A_\varepsilon$  corresponds to problem (1.21).

For thick junctions there exist no extension operators that would be bounded uniformly in  $\varepsilon$  in the Sobolev space  $H^1$  (see [14]). But as was shown in [14], for eigenfunctions of spectral problems in thick junctions it was possible to construct special extensions that are bounded on each eigenfunction. A such extension operator was constructed for eigenfunctions of problem (1.1) in the case when the parameter  $\alpha \in (0, 1]$  in our papers [1, 2]. Repeating word for word the proof of Theorem 4.1 (see [1, 2]), we get the following result.

**Theorem 3.1.** *There exists an extension operator  $\mathbf{P}_\varepsilon : \mathcal{H}_\varepsilon \mapsto H^1(\Omega, \Gamma_1)$  such that for any eigenfunction  $v_n(\varepsilon, \cdot)$  normalized by (1.24) there exist positive constants  $C_n$  and  $\varepsilon_n$  such that for all values of the parameter  $\varepsilon$  from the interval  $(0, \varepsilon_n)$  the following estimates hold:*

$$\|\mathbf{P}_\varepsilon v_n(\varepsilon, \cdot)\|_{H^1(\Omega, \Gamma_1)} \leq C_n \|v_n(\varepsilon, \cdot)\|_{\mathcal{H}_\varepsilon} \leq C'_n, \quad (3.1)$$

where  $\Omega$  is the interior of the union  $\overline{\Omega}_0 \cup \overline{D}_2$ .



### 3.1 Condition $\mathbf{D}_1 - \mathbf{D}_5$

For the convenience of readers we write here the conditions of the scheme from paper [17], which are modified under problems (1.21) and (2.48).

Let  $N(\frac{1}{\mu}, A_0)$  denote the proper subspace corresponding to the eigenvalue  $\frac{1}{\mu}$  of operator  $A_0$  defined in (2.50) and let  $\{(v_n(\varepsilon, \cdot), \Lambda_n(\varepsilon))\}_{\varepsilon > 0}$  denote the sequence whose components are the eigenfunction  $v_n$  ( $\|v_n\|_{\mathcal{V}_\varepsilon} = 1$ ) and the corresponding characteristic number of operator  $A_\varepsilon$ .

**Condition  $\mathbf{D}_1$ .** There exists a linear operator  $S_\varepsilon : \mathcal{H}_0 \mapsto \mathcal{H}_\varepsilon$  such that

$$\|S_\varepsilon u\|_{\mathcal{H}_\varepsilon} \leq c_1 \|u\|_{\mathcal{H}_0}, \quad \forall u \in \mathcal{H}_0,$$

where the constant  $c_1$  is independent of  $\varepsilon$  and  $u$ .

**Condition  $\mathbf{D}_2$ .** There exists a linear operator  $P_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{H}_0$  such that

$$\forall n \in \mathbb{N} \quad \exists c_2 > 0 \quad \exists \varepsilon_0 > 0 \quad \forall \varepsilon \in (0, \varepsilon_0) : \quad \|P_\varepsilon v_n(\varepsilon, \cdot)\|_{\mathcal{H}_0} \leq c_2 \|v_n(\varepsilon, \cdot)\|_{\mathcal{H}_\varepsilon}.$$

**Condition  $\mathbf{D}_3$ .** For an arbitrary sequence  $\{(v_n(\varepsilon, \cdot), \Lambda_n(\varepsilon))\}_{\varepsilon > 0}$  and any subsequence  $\{\varepsilon'\}$  of  $\{\varepsilon\}$ , such that  $P_{\varepsilon'} v_n(\varepsilon', \cdot) \rightarrow v_n^0$  weakly in  $\mathcal{H}_0$ , one has

$$\lim_{\varepsilon' \rightarrow 0} (v_n(\varepsilon', \cdot), S_{\varepsilon'} \varphi)_{\mathcal{H}_{\varepsilon'}} = (v_n^0, \varphi)_{\mathcal{H}_0} \quad \forall \varphi \in \mathcal{H}_0.$$

**Condition  $\mathbf{D}_4$ .** If for certain functions  $w^\varepsilon, v^\varepsilon \in \mathcal{H}_\varepsilon$  one has  $P_\varepsilon w^\varepsilon \rightarrow w^0$  and  $P_\varepsilon v^\varepsilon \rightarrow v^0$  weakly in  $\mathcal{H}_0$  as  $\varepsilon \rightarrow 0$ , then

$$\lim_{\varepsilon \rightarrow 0} (w^\varepsilon, v^\varepsilon)_{\mathcal{V}_\varepsilon} = (\mathcal{T}_0 w^0, \mathcal{T}_0 v^0)_{\mathcal{V}_0}.$$

If  $v \in \mathcal{H}_0$ , then  $P_\varepsilon(S_\varepsilon v) \rightarrow v$  weakly in  $\mathcal{H}_0$  as  $\varepsilon \rightarrow 0$ .

**Condition  $\mathbf{D}_5$ .** There exists a number  $\varpi_0 > 0$  such that for any  $\frac{1}{\mu} \in \sigma(A_0)$  there exists a linear operator  $\mathcal{R}_\varepsilon : N(\frac{1}{\mu}, A_0) \mapsto \mathcal{H}_\varepsilon$  such that for every eigenfunction  $v \in N(\frac{1}{\mu}, A_0)$ , normalized by  $\|\mathcal{T}_0 v\|_{\mathcal{V}_0} = 1$ , we have

$$\mathcal{R}_\varepsilon v = S_\varepsilon v + \mathcal{O}(\varepsilon) \quad \text{in } \mathcal{V}_\varepsilon \quad \text{and} \quad \|\mathcal{R}_\varepsilon v\|_{\mathcal{H}_\varepsilon} = c_v + \mathcal{O}(\varepsilon);$$

in addition, there exist constants  $c_3, \varepsilon_0, \rho, \tau$  such that for all  $\varepsilon \in (0, \varepsilon_0)$

$$\|\mathcal{R}_\varepsilon v - (\mu + \varepsilon^\tau \rho) A_\varepsilon(\mathcal{R}_\varepsilon v)\|_{\mathcal{H}_\varepsilon} \leq c_3 \varepsilon^{\varpi_0}.$$

To clarify these conditions, we use the following diagram:

$$\begin{array}{ccc} \mathcal{H}_\varepsilon & \xrightarrow{J_\varepsilon} & \mathcal{V}_\varepsilon \\ P_\varepsilon \downarrow & & \uparrow S_\varepsilon \\ \mathcal{H}_0 & \xrightarrow{\mathcal{T}_0} & \mathcal{V}_0 \end{array}$$

where operator  $J_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{V}_\varepsilon$  is the identical imbedding operator, operator  $\mathcal{T}_0 : \mathcal{H}_0 \mapsto \mathcal{V}_0$  is the trace operator (see (2.49)). Conditions  $\mathbf{D}_1$  and  $\mathbf{D}_2$  are some connection conditions between

spaces  $\mathcal{H}_\varepsilon$  and  $\mathcal{H}_0$  that defined in subsection 1.1 and 2.2 respectively. If conditions  $\mathbf{D}_3$  and  $\mathbf{D}_4$  are satisfied, then it means that spectral problem (2.48) is the homogenized problem for problem (1.21). Condition  $\mathbf{D}_5$  means that it is possible to construct asymptotic approximations near points of the spectrum of operator  $A_0$ .

Now let us verify conditions  $\mathbf{D}_1 - \mathbf{D}_5$  for our problems (1.21) and (2.48). The operator  $S_\varepsilon : \mathcal{H}_0 \mapsto \mathcal{H}_\varepsilon$  assigns to each function  $v \in \mathcal{H}_0$  its bounded extension  $Ev$  to  $H^1(\Omega, \Gamma_1)$  and then restricts  $Ev$  to  $\Omega_\varepsilon$ , i.e.,  $S_\varepsilon = (Ev)|_{\Omega_\varepsilon}$ . Clearly,  $S_\varepsilon$  is uniformly bounded with respect to  $\varepsilon$ . Thus condition  $\mathbf{D}_1$  is satisfied.

The operator  $P_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{H}_0$  from condition  $\mathbf{D}_2$  is associated with the restriction of the extension operator  $\mathbf{P}_\varepsilon$  from Theorem 3.1 to domain  $\Omega_0$ , i.e.  $P_\varepsilon v_n = (\mathbf{P}_\varepsilon v_n)|_{\Omega_0}$ .

Let us verify condition  $\mathbf{D}_3$ . Consider the sequence  $\{v_n(\varepsilon, \cdot)\}_{\varepsilon>0}$  for any fixed index  $n \in \mathbb{N}$ . Due to Theorem 3.1 there exists some subsequence  $\{\varepsilon'\} \subset \{\varepsilon\}$  (again denoted by  $\{\varepsilon\}$ ) such that  $\mathbf{P}_\varepsilon v_n(\varepsilon, \cdot) \rightarrow v_0$  weakly in  $H^1(\Omega, \Gamma_1)$  as  $\varepsilon \rightarrow 0$ . Since

$$\int_{D_2} \chi_{h_2}\left(\frac{x_1}{\varepsilon}\right) \partial_{x_2} \mathbf{P}_\varepsilon(v_n(\varepsilon, x)) \phi(x) dx = - \int_{D_2} \chi_{h_2}\left(\frac{x_1}{\varepsilon}\right) \mathbf{P}_\varepsilon(v_n(\varepsilon, x)) \partial_{x_2} \phi dx \quad \forall \phi \in C_0^\infty(D_2),$$

we get

$$\chi_{h_2}\left(\frac{x_1}{\varepsilon}\right) \partial_{x_2} \mathbf{P}_\varepsilon(v_n(\varepsilon, x)) \rightarrow h_2 \partial_{x_2} v_n^0(x) \quad \text{weakly in } L_2(D_2) \quad \text{as } \varepsilon \rightarrow 0. \quad (3.2)$$

Here  $\chi_{h_2}(\eta_1)$  ( $\eta_1 \in \mathbb{R}$ ) is 1-periodic function that equals 1 on the interval  $(\frac{1-h_2}{2}, \frac{1+h_2}{2})$  and vanishing on the rest of the segment  $[0, 1]$ .

If we consider the corresponding integral identity for problem (1.21) with the following test function:

$$\psi(x) = \begin{cases} 0, & x \in \Omega_0 \cup G_\varepsilon^{(1)}, \\ \varepsilon T\left(\frac{x_1}{\varepsilon}\right) \phi(x), & x \in G_\varepsilon^{(2)}, \end{cases} \quad \phi \in C_0^\infty(D_2),$$

where  $T$  is defined in (2.40), we get

$$\int_{D_2} \chi_{h_2}(x_1/\varepsilon) \partial_{x_1} \mathbf{P}_\varepsilon(v_n(\varepsilon, x)) \phi dx = \mathcal{O}(\varepsilon) \quad \text{as } \varepsilon \rightarrow 0. \quad (3.3)$$

Due to the second inequality in (3.1), it is easy to verify that

$$\int_{G_\varepsilon^{(1)}} \nabla v_n(\varepsilon, x) \cdot \nabla \phi(x) dx \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0 \quad \forall \phi \in H^1(\Omega, \Gamma_1). \quad (3.4)$$

The corresponding integral identity for problem (1.21) with a test function  $\phi \in C_0^\infty(D_2)$  reads as follows:

$$\int_{G_\varepsilon^{(2)}} \nabla v_n(\varepsilon, x) \cdot \nabla \phi(x) dx = \varepsilon^{\alpha-1} \Lambda_n(\varepsilon) \int_{G_\varepsilon^{(2)}} v_n(\varepsilon, x) \phi(x) dx \quad (3.5)$$

for  $\varepsilon$  small enough. Taking into account limits (3.2), (3.3) and the boundedness of  $\Lambda_n(\varepsilon)$  with respect to  $\varepsilon$  (see Lemma 1.1), we deduce from (3.5) that

$$h_2 \int_{D_2} \partial_{x_2} v_n^0 \partial_{x_2} \phi dx = 0 \quad \forall \phi \in C_0^\infty(D_2),$$

i.e.,  $\partial_{x_2} v_n^0$  is some function of  $x_1$  a.e. in  $D_2$ . On the other hand  $\partial_{x_2} v_n^0|_{x_2=-l_2} = 0$ , because  $\partial_{x_2} v_n(\varepsilon, \cdot)|_{x_2=-l_2} = 0$ . Therefore,  $v_n^0(x) = v_n^0(x_1, 0)$  for a.e.  $x \in D_2$ .

Thus, we ascertain that

$$\begin{aligned} \lim_{\varepsilon \rightarrow 0} (v_n(\varepsilon, \cdot), S_\varepsilon \varphi)_{\mathcal{H}_\varepsilon} &= \lim_{\varepsilon \rightarrow 0} \left( \int_{\Omega_0} \nabla v_n(\varepsilon, x) \cdot \nabla \varphi \, dx + \int_{G_\varepsilon^{(1)}} \nabla v_n(\varepsilon, x) \cdot \nabla (E\varphi)|_{G_\varepsilon^{(1)}} \, dx + \right. \\ &+ \left. \int_{D_2} \chi_{h_2(\frac{x_1}{\varepsilon})} \nabla (\partial_{x_2} \mathbf{P}_\varepsilon(v_n(\varepsilon, x))) \cdot \nabla (E\varphi)|_{D_2} \, dx \right) = \int_{\Omega_0} \nabla v_n^0(x) \cdot \nabla \varphi \, dx = (v, \varphi)_{\mathcal{H}_0} \quad \forall \varphi \in \mathcal{H}_0, \end{aligned}$$

i.e., condition **D<sub>3</sub>** is satisfied.

Let for certain functions  $u^\varepsilon, v^\varepsilon \in \mathcal{H}_\varepsilon$  one has  $\mathbf{P}_\varepsilon u^\varepsilon \rightarrow u^0$  and  $\mathbf{P}_\varepsilon v^\varepsilon \rightarrow v^0$  weakly in  $H^1(\Omega, \Gamma_1)$  as  $\varepsilon \rightarrow 0$ . Then

$$\lim_{\varepsilon \rightarrow 0} (u^\varepsilon, v^\varepsilon)_{\mathcal{V}_\varepsilon} = \lim_{\varepsilon \rightarrow 0} \varepsilon^{-1} \int_{G_\varepsilon^{(1)}} u^\varepsilon v^\varepsilon \, dx. \quad (3.6)$$

With the help of the inequality

$$\varepsilon^{-1} \int_{G_\varepsilon^{(1)}} (\varphi(x) - \varphi(x_1, 0))^2 \, dx \leq \varepsilon l_1 \int_{G_\varepsilon^{(1)}} (\partial_{x_2} \varphi(x))^2 \, dx \quad \forall \varphi \in H^1(G_\varepsilon^{(1)}),$$

we deduce that  $\lim_{\varepsilon \rightarrow 0} \varepsilon^{-1} \int_{G_\varepsilon^{(1)}} u^\varepsilon v^\varepsilon \, dx = 4h_1 l_1 \int_{I_0} u^0(x_1, 0) v^0(x_1, 0) \, dx_1$ . This means that the first part of condition **D<sub>4</sub>** holds. The second part of condition **D<sub>4</sub>** in our case is obvious.

Condition **D<sub>5</sub>**, in fact, has been verified in subsection 2.3, namely the action of the operator  $\mathcal{R}_\varepsilon$  in **D<sub>5</sub>** is the construction of the approximating function  $R_\varepsilon$  on the basis of an eigenfunction of the homogenized problem (2.48). Furthermore, the approximating function satisfies inequality (2.58) that is analog of the corresponding inequality in condition **D<sub>5</sub>**.

## 3.2 The main results

Thus, all conditions **D<sub>1</sub>**–**D<sub>5</sub>** of the scheme from [17] are satisfied. Applying this scheme and taking into account (1.20), we get the following theorems.

**Theorem 3.2.** *For any  $n \in \mathbb{N}$*

$$\varepsilon^{1-\alpha} \lambda_n(\varepsilon) \rightarrow \lambda_0^{(n)} \quad \text{as } \varepsilon \rightarrow 0,$$

where  $\{\lambda_n(\varepsilon)\}_{n \in \mathbb{N}}$  is the ordered sequence (1.2) of eigenvalues of problem (1.1),  $\{\lambda_0^{(n)}\}_{n \in \mathbb{N}}$  is the ordered sequence (2.51) of eigenvalues of the homogenized problem (2.48).

There exists a subsequence of the sequence  $\{\varepsilon\}$  (again denoted by  $\{\varepsilon\}$ ) such that

$$\forall n \in \mathbb{N} \quad \varepsilon^{-\frac{\alpha-1}{2}} \mathbf{P}_\varepsilon u_n(\varepsilon, \cdot) \rightarrow v_0^n \quad \text{weakly in } H^1(\Omega, \Gamma_1) \quad \text{as } \varepsilon \rightarrow 0,$$

where

$$v_0^n(x) = \begin{cases} v_0^{+,n}(x), & x \in \Omega_0, \\ v_0^{+,n}(x_1, 0), & x \in D_2 = (0, a) \times (-l_2, 0), \end{cases}$$

$\{u_n(\varepsilon, \cdot)\}_{n \in \mathbb{N}}$  is the sequence of eigenfunctions that are orthonormalized with relations (1.3),  $\{v_0^{+,n}\}_{n \in \mathbb{N}}$  are eigenfunctions of the homogenized problem (2.48) that satisfy the following orthonormalized conditions:

$$(v_0^{+,n}, v_0^{+,k})_{\mathcal{V}_0} = 4h_1 l_1 \int_{I_0} v_0^{+,n}(x_1, 0) v_0^{+,k}(x_1, 0) dx_1 = \delta_{n,k}, \quad n, k \in \mathbb{N}.$$

Let  $\lambda_0^{(n+1)} = \dots = \lambda_0^{(n+r)}$  be an  $r$ -multiple eigenvalue of the homogenized problem (2.48); the corresponding eigenfunctions  $v_0^{+,n+1}, \dots, v_0^{+,n+r}$  are orthonormalized in  $\mathcal{V}_0$ . Using formula (2.54), we successively construct next terms  $\varepsilon^{\alpha-m} \lambda_{\alpha-m}^{(n+i)}$ ,  $i = 1, \dots, r$ , of the asymptotic expansion (2.1) and define the unique solution  $v_{\alpha-1}^{+,n+i}$  to problem (2.53).

We formulate next theorem under assumption that all  $\lambda_{\alpha-m}^{(n+i)}$ ,  $i = 1, \dots, r$ , are different and

$$\lambda_{\alpha-m}^{(n+1)} < \lambda_{\alpha-m}^{(n+2)} < \dots < \lambda_{\alpha-m}^{(n+r)}. \quad (3.7)$$

In general case the formulation is the same as in Theorems 5.4 and 5.6 from our paper [2].

**Theorem 3.3.** *Let inequalities (3.7) are satisfied. Then for any positive  $\delta$  small enough and for any  $i \in \{1, \dots, r\}$  there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$  we have*

$$\left| \varepsilon^{1-\alpha} \lambda_{n+i}(\varepsilon) - (\lambda_0^{(n+i)} + \varepsilon^{\alpha-m} \lambda_{\alpha-m}^{(n+i)}) \right| \leq C_1(n, \delta) \varepsilon^{\nu(\alpha)},$$

and

$$\left\| \varepsilon^{-\frac{\alpha-1}{2}} u_{n+i}(\varepsilon, \cdot) - \frac{R_\varepsilon^{(n+i)}}{\|R_\varepsilon^{(n+i)}\|_{\mathcal{H}_\varepsilon}} \right\|_{H^1(\Omega_\varepsilon)} \leq C_2(n, \delta) \varepsilon^{\nu(\alpha)}, \quad (3.8)$$

where the value  $\nu(\alpha)$  is defined in (2.72),  $R_\varepsilon^{(n+i)}$  is the approximating function constructed by formula (2.56) with the help of solutions  $v_0^{+,n+i}$ ,  $v_{\alpha-m}^{+,n+i}$  and  $Z_1^{(k)}$ ,  $Z_{\alpha-m+1}^{(0)}$ ,  $Z_{\alpha-m+1}^{(2)}$ ,  $X_{\alpha-m+1}^{(k)}$   $k = 0, 1, 2$ .

## 4 Construction of the asymptotics for $\alpha = m \in \mathbb{N}$ , $m \geq 2$

### 4.1 Formal asymptotics

In this case we seek the main terms of the asymptotics for the eigenvalue  $\Lambda_n(\varepsilon)$  and the eigenfunction  $v_n(\varepsilon, \cdot)$  in the form (index  $n$  is omitted):

$$\Lambda(\varepsilon) \approx \lambda_0 + \varepsilon \lambda_1 + \varepsilon^2 \lambda_2 + \dots \quad (4.1)$$

$$v(\varepsilon, x) \approx v_0^+(x) + \varepsilon v_1^+(x) + \varepsilon^2 v_2^+(x) + \dots \quad \text{in domain } \Omega_0; \quad (4.2)$$

$$v(\varepsilon, x) \approx v_0^-(x_1, x_2, \frac{x_1}{\varepsilon} - j) + \varepsilon v_1^-(x_1, x_2, \frac{x_1}{\varepsilon} - j) + \varepsilon^2 v_2^-(x_1, x_2, \frac{x_1}{\varepsilon} - j) + \dots \quad (4.3)$$

in the thin rectangle  $G_j^{(2)}(\varepsilon)$  ( $j = 0, \dots, N-1$ ); and in the junction zone of the body and thin rectangles of both classes (which we call internal expansion) the series of the following type:

$$\begin{aligned} v(\varepsilon, x) \approx & v_0^+(x_1, 0) + \varepsilon \left( v_1^+(x_1, 0) + Z_1^{(0)}\left(\frac{x}{\varepsilon}\right) v_0^+(x_1, 0) + \sum_{i=1}^2 Z_1^{(i)}\left(\frac{x}{\varepsilon}\right) \partial_{x_i} v_0^+(x_1, 0) \right) + \\ & + \varepsilon^2 \left( X_2^{(0)}\left(\frac{x}{\varepsilon}\right) v_1^+(x_1, 0) + \sum_{i=1}^2 X_2^{(i)}\left(\frac{x}{\varepsilon}\right) \partial_{x_i} v_1^+(x_1, 0) + \sum_{\beta \in \mathcal{B}} Z_2^{(\beta)}(\eta) D^\beta v_0^+(x_1, 0) \right) + \dots, \end{aligned} \quad (4.4)$$

where  $\mathcal{B} := \{(0, 0); (0, 1); (1, 0); (2, 0)\}$ .

Substituting (4.1) and (4.2) in problem (1.21) and collecting terms with equal order of  $\varepsilon$ , we get

$$\begin{cases} -\Delta_x v_0^+(x) = 0, & x \in \Omega_0, \\ \partial_\nu v_0^+(x)|_{x \in \Gamma_2} = 0, & v_0^+(x)|_{x \in \Gamma_1} = 0. \end{cases} \quad (4.5)$$

Collecting terms of order  $\varepsilon^1$ , we have

$$\begin{cases} -\Delta_x v_1^+(x) = \delta_{2,m} \lambda_0 v_0^+(x), & x \in \Omega_0, \\ \partial_\nu v_1^+(x)|_{x \in \Gamma_2} = 0, & v_1^+(x)|_{x \in \Gamma_1} = 0, \end{cases} \quad (4.6)$$

where  $\delta_{2,m}$  is the Kronecker symbol. To complete these problems we have to find conditions on  $I_0$ ; this is done in Subsection 4.2.

#### 4.1.1 Formal asymptotics in each thin rectangle $G_j^{(2)}(\varepsilon)$

Using Taylor series for the functions  $\{v_\gamma^-\}$  in (4.3) in a neighborhood of the point  $x_1 = \varepsilon(j + \frac{1}{2})$ , we get

$$v(\varepsilon, x) \approx W_0^{(j)}(x_2, \eta_1) + \varepsilon W_1^{(j)}(x_2, \eta_1) + \varepsilon^2 W_2^{(j)}(x_2, \eta_1) + \dots, \quad (4.7)$$

where

$$W_0^{(j)}(x_2, \eta_1) = v_0^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j), \quad (4.8)$$

$$W_1^{(j)}(x_2, \eta_1) = v_1^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) + (\eta_1 - j - \frac{1}{2}) \frac{\partial v_0^-}{\partial x_1}(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) \quad (4.9)$$

and

$$\begin{aligned} W_2^{(j)}(x_2, \eta_1) = & v_2^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) + (\eta_1 - j - \frac{1}{2}) \frac{\partial v_1^-}{\partial x_1}(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) \\ & + \frac{1}{2} (\eta_1 - j - \frac{1}{2})^2 \frac{\partial^2 v_0^-}{\partial x_1^2}(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j). \end{aligned} \quad (4.10)$$

Substituting (4.1) and (4.7) in the problem (1.21) instead of  $\Lambda_n(\varepsilon)$  and  $v_n(\varepsilon, \cdot)$  respectively, collecting terms with equal powers of  $\varepsilon$ , we obtain the following boundary-value problems:

$$\begin{cases} -\partial_{\eta_1 \eta_1}^2 W_\gamma^{(j)}(x_2, \eta_1) = 0, & \eta_1 \in (\frac{1-h_2}{2}, \frac{1+h_2}{2}), \\ \partial_{\eta_1} W_\gamma^{(j)}(x_2, \frac{1\pm h_2}{2}) = 0, \end{cases} \quad (4.11)$$

for  $\gamma \in \{0, 1\}$ . Here the variable  $x_2$  is regarded as a parameter. From (4.11) we deduce that the solutions  $W_\gamma^{(j)}$ ,  $\gamma \in \{0, 1\}$ , are independent of  $\eta_1$ .

Then, for  $\gamma = 2$  we get the following problem:

$$\begin{cases} -\partial_{\eta_1 \eta_1}^2 W_2^{(j)}(x_2, \eta_1) = \partial_{x_2 x_2}^2 W_0^{(j)}(x_2), & \eta_1 \in (\frac{1-h_2}{2}, \frac{1+h_2}{2}), \\ \partial_{\eta_1} W_2^{(j)}(x_2, \frac{1\pm h_2}{2}) = 0. \end{cases} \quad (4.12)$$

The solvability condition for (4.12) gives us the relations

$$\partial_{x_2 x_2}^2 W_0^{(j)}(x_2) = 0, \quad x_2 \in (-l_2, 0).$$

It is the same as

$$\partial_{x_2 x_2}^2 v_0^-(\varepsilon(j + \frac{1}{2}), x_2) = 0, \quad x_2 \in (-l_2, 0), \quad (4.13)$$

because of (4.8). Bearing in mind the boundary conditions of the original problem at  $x_2 = -l_2$ , we add the condition  $\partial_{x_2} v_0^-(\varepsilon(j + \frac{1}{2}), -l_2) = 0$  to (4.13). This means that  $v_0^-$  is independent of  $x_2$ .

If  $\gamma = 3$  we obtain

$$\begin{cases} -\partial_{\eta_1 \eta_1}^2 W_3^{(j)}(x_2, \eta_1) &= \partial_{x_2 x_2}^2 W_1^{(j)}(x_2) + \delta_{2,m} \lambda_0 W_0^{(j)}(x_2), \quad \eta_1 \in (\frac{1-h_2}{2}, \frac{1+h_2}{2}), \\ \partial_{\eta_1} W_3^{(j)}(x_2, \frac{1 \pm h_2}{2}) &= 0. \end{cases} \quad (4.14)$$

The solvability condition for (4.14) gives us the following relations:

$$\partial_{x_2 x_2}^2 W_1^{(j)}(x_2) = \delta_{2,m} \lambda_0 W_0^{(j)}(x_2), \quad x_2 \in (-l_2, 0).$$

Similarly, but now with regard to (4.9), we get

$$\begin{aligned} v_1^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) &= \delta_{2,m} (-\frac{1}{2}x_2^2 - l_2 x_2) \lambda_0 v_0^-(\varepsilon(j + \frac{1}{2})) + \\ &+ T(\eta_1) \frac{\partial v_0^-}{\partial x_1}(\varepsilon(j + \frac{1}{2})) + \Phi_1(\varepsilon(j + \frac{1}{2})), \end{aligned} \quad (4.15)$$

and using (4.10), we derive

$$\begin{aligned} v_2^-(\varepsilon(j + \frac{1}{2}), x_2, \eta_1 - j) &= \delta_{3,m} (-\frac{1}{2}x_2^2 - l_2 x_2) \lambda_0 v_0^-(\varepsilon(j + \frac{1}{2})) + T(\eta_1) \frac{\partial v_1^-}{\partial x_1}(\varepsilon(j + \frac{1}{2})) + \\ &- \frac{1}{2} T^2(\eta_1) \partial_{x_1 x_1}^2 v_0^-(\varepsilon(j + \frac{1}{2})) + \Phi_2(\varepsilon(j + \frac{1}{2})), \end{aligned} \quad (4.16)$$

where  $\Phi_1, \Phi_2$  are some functions of  $x_1$ . Here  $\delta_{k,m}$  is the Kronecker symbol. Since we look only for the leading terms of the asymptotics, we put  $\Phi_1 \equiv \Phi_2 \equiv 0$ .

Since the points  $\{x_1 = \varepsilon(j + \frac{1}{2}) : j = 0, \dots, N-1\}$  form the  $\varepsilon$ -net in the interval  $(0, a)$ , then we extend the relation (4.15), and (4.16) to the whole interval  $(0, a)$ .

#### 4.1.2 Junction-layer solutions

To find problems for  $Z, X$  from (4.4) we calculate

$$\begin{aligned} \partial_{x_1} v(\varepsilon, x) &\approx \varepsilon^0 \left( \partial_{x_1} v_0^+(x_1, 0) \left[ 1 + \partial_{\eta_1} Z_1^{(1)} \right] + \partial_{\eta_1} Z_1^{(0)} v_0^+(x_1, 0) + \partial_{\eta_1} Z_1^{(2)} \partial_{x_2} v_0^+(x_1, 0) \right) + \\ &+ \varepsilon^1 \left( \partial_{\eta_1} X_2^{(0)} v_1^+(x_1, 0) + \partial_{x_1} v_1^+(x_1, 0) \left[ 1 + \partial_{\eta_1} X_2^{(1)} \right] + \right. \\ &+ \partial_{\eta_1} X_2^{(2)} \partial_{x_2} v_1^+(x_1, 0) + Z_1^{(0)}(\eta) \partial_{x_1} v_0^+(x_1, 0) + \\ &+ \sum_{i=1}^2 Z_1^{(i)}(\eta) \partial_{x_1 x_i}^2 v_0^+(x_1, 0) + \sum_{\beta \in \mathcal{B}} \partial_{\eta_1} Z_2^{(\beta)}(\eta) D^\beta v_0^+(x_1, 0) \left. \right) + \\ &+ \varepsilon^2 \left( \partial_{\eta_1} Z_3^{(0)}(\eta) v_0^+(x_1, 0) + \partial_{\eta_1} Z_3^{(1)}(\eta) \partial_{x_2} v_0^+(x_1, 0) \right) + \mathcal{O}(\varepsilon^3) \end{aligned} \quad (4.17)$$

and

$$\begin{aligned}
\Delta_x v(\varepsilon, x) &\approx \varepsilon^{-1} \left( \Delta_\eta Z_1^{(0)}(\eta) v_0^+(x_1, 0) + \sum_{i=1}^2 \Delta_\eta Z_1^{(i)}(\eta) \partial_{x_i} v_0^+(x_1, 0) \right) + \\
&+ \varepsilon^0 \left( \Delta_\eta X_2^{(0)}(\eta) v_1^+(x_1, 0) + \sum_{i=1}^2 \Delta_\eta X_2^{(i)}(\eta) \partial_{x_i} v_1^+(x_1, 0) + \right. \\
&+ \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \left[ 1 + 2\partial_{\eta_1} Z_1^{(1)}(\eta) \right] + 2\partial_{\eta_1} Z_1^{(0)}(\eta) \partial_{x_1} v_0^+(x_1, 0) + \\
&+ 2\partial_{\eta_1} Z_1^{(2)}(\eta) \partial_{x_1 x_2}^2 v_0^+(x_1, 0) + \sum_{\beta \in \mathcal{B}} \Delta_\eta Z_2^{(\beta)}(\eta) D^\beta v_0^+(x_1, 0) \left. \right) + \\
&+ \varepsilon \left( \Delta_\eta Z_3^{(0)}(\eta) v_0^+(x_1, 0) + \Delta_\eta Z_3^{(1)}(\eta) \partial_{x_2} v_0^+(x_1, 0) \right) + \mathcal{O}(\varepsilon^2).
\end{aligned} \tag{4.18}$$

Keeping in mind (4.17) and (4.18), substituting the series (4.4) and (4.1) in the problem (1.21) and collecting terms with equal powers of  $\varepsilon$ , we get problems for  $Z_1^{(i)}$ ,  $i = 0, 1, 2$  (the problems (2.20), (2.21), and (2.23)),  $Z_2^{(\beta)}$ ,  $\beta \in \mathcal{B}$  (the problems (2.23), (2.24), (2.25), and (2.28)). The problems for  $\{X_2^{(k)}\}$  are the same as problems for  $\{Z_1^{(k)}\}$  (the problems (2.20), and (2.21)). Therefore,  $X_2^{(k)} \equiv Z_1^{(k)}$ ,  $k = 0, 1, 2$ .

## 4.2 Homogenized problem and correctors

We have formally constructed the leading terms of the asymptotic expansions (4.2), (4.3), (4.4) in three different parts of the junction  $\Omega_\varepsilon$ . Following the method of matching of asymptotic expansions, we equate the asymptotics of the external expansions (4.2) and (4.3) as  $x_2 \rightarrow \pm 0$  and the corresponding asymptotics of the internal expansion (4.4) as  $\eta_2 \rightarrow \pm\infty$  respectively.

Writing down the Taylor series for  $v_0^+$ ,  $v_1^+$  and  $v_2^+$  with respect to  $x_2$  in a neighborhood of the point  $(x_1, 0)$ , where  $x_1 \in (0, a)$ , and passing to the variables  $\eta_2 = \varepsilon^{-1}x_2$ , we derive

$$\begin{aligned}
v(\varepsilon, x) &= v_0^+(x_1, 0) + \varepsilon^1 \left( v_1^+(x_1, 0) + \eta_2 \partial_{x_2} v_0^+(x_1, 0) \right) + \\
&+ \varepsilon^2 \left( \eta_2 \partial_{x_2} v_1^+(x_1, 0) + \frac{1}{2} \eta_2^2 \partial_{x_2 x_2}^2 v_0^+(x_1, 0) \right) + \dots
\end{aligned} \tag{4.19}$$

Bearing in mind the asymptotics of the functions  $Z_1^{(k)}$ ,  $X_2^{(k)}$  ( $k = 0, 1, 2$ ),  $Z_2^{(\beta)}$  ( $\beta \in \mathcal{B}$ ), as  $\eta_2 \rightarrow +\infty$  (see (2.29)–(2.35)), we write down the asymptotics

$$\begin{aligned}
v(\varepsilon, x) &= v_0^+(x_1, 0) + \varepsilon^1 \left( v_1^+(x_1, 0) + \eta_2 \partial_{x_2} v_0^+(x_1, 0) \right) + \\
&+ \varepsilon^2 \left( \eta_2 \partial_{x_2} v_1^+(x_1, 0) - \frac{1}{2} \eta_2^2 \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \right) + \dots
\end{aligned} \tag{4.20}$$

Since  $\Delta v_0^+ = 0$ , the leading terms in (4.19) and (4.20) coincide at  $\varepsilon^0$ ,  $\varepsilon^1$ , and  $\varepsilon^2$ .

To match (4.3) and (4.4) we write down the asymptotics of (4.3) as  $x_2 \rightarrow -0$  and pass to the fast variables; as a result we get

$$v(\varepsilon, x) = v_0^-(x_1) + \varepsilon \underbrace{v_1^-(x_1, 0, \eta_1)} + \varepsilon^2 \left( v_2^-(x_1, 0, \eta_1) + \delta_{2,m} \partial_{x_2}^2 v_1^-(x_1, 0, \eta_1) \right) + \dots \tag{4.21}$$

Keeping in mind the asymptotics of the functions  $Z_1^{(k)}$ ,  $X_2^{(k)}$  ( $k = 0, 1, 2$ ), and  $Z_2^{(\beta)}$  ( $\beta \in \mathcal{B}$ ) as  $\eta_2 \rightarrow -\infty$ , we find the following asymptotics of (4.4):

$$\begin{aligned}
v(\varepsilon, x) &= v_0^+(x_1, 0) + \\
&+ \varepsilon \left( \underbrace{v_1^+(x_1, 0) + T(\eta_1)\partial_{x_1}v_0^+(x_1, 0)}_{\text{under-braced}} + \overbrace{\frac{\eta_2}{h_2}\partial_{x_2}v_0^+(x_1, 0) + C_1^{(2)}\partial_{x_2}v_0^+(x_1, 0)}^{\text{over-braced}} + \right. \\
&+ \left. \frac{4h_1l_1\lambda_0}{h_2}\eta_2 v_0^+(x_1, 0) + \underbrace{C_1^{(0)}v_0^+(x_1, 0)}_{\text{under-braced}} \right) + \varepsilon^2 \left( \left( \frac{4h_1l_1\lambda_0\eta_2}{h_2} + C_1^{(0)} \right) v_1^+(x_1, 0) + \right. \\
&+ T(\eta_1)\partial_{x_1}v_1^+(x_1, 0) + \left( \frac{\eta_2}{h_2} + C_1^{(2)} \right) \partial_{x_2}v_1^+(x_1, 0) + \\
&+ \left( \frac{4h_1l_1\lambda_1 + \varsigma_{(0,0)}}{h_2}\eta_2 + C_2^{(0,0)} \right) v_0^+(x_1, 0) + \\
&+ \left( \frac{\lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta}{h_2} \eta_2 + C_2^{(0,1)} \right) \partial_{x_2}v_0^+(x_1, 0) + \\
&+ \left( \frac{4h_1l_1\lambda_0}{h_2} \eta_2 T(\eta_1) + C_2^{(1,0)} \right) \partial_{x_1}v_0^+(x_1, 0) + \\
&+ \left. \left( \frac{1}{2}T^2(\eta_1) + \frac{\varsigma_{(2,0)}\eta_2}{h_2} + C_2^{(2,0)} \right) \partial_{x_1x_1}^2 v_0^+(x_1, 0) \right) + \dots
\end{aligned} \tag{4.22}$$

Equating the corresponding coefficients in (4.21) and (4.22) at  $\varepsilon^0$ , we get

$$v_0^+(x_1, 0) = v_0^-(x_1), \quad x_1 \in (0, a). \tag{4.23}$$

The same procedure at  $\varepsilon^1$  brings us the following relations:

$$\partial_{x_2}v_0^+(x_1, 0) + 4h_1l_1\lambda_0 v_0^+(x_1, 0) = 0, \quad x_1 \in (0, a), \tag{4.24}$$

for the over-braced terms, and

$$\begin{aligned}
v_1^-(x_1, 0, \eta_1) &= v_1^+(x_1, 0) + T(\eta_1)\partial_{x_1}v_0^+(x_1, 0) + \\
&+ C_1^{(2)}\partial_{x_2}v_0^+(x_1, 0) + C_1^{(0)}v_0^+(x_1, 0), \quad x_1 \in (0, a),
\end{aligned} \tag{4.25}$$

for the under-braced terms, or in terms of a jump

$$[v_1](x_1, 0, \frac{x_1}{\varepsilon}) = -T(\frac{x_1}{\varepsilon})\partial_{x_1}v_0^+(x_1, 0) - C_1^{(2)}\partial_{x_2}v_0^+(x_1, 0) - C_1^{(0)}v_0^+(x_1, 0), \quad x_1 \in (0, a), \tag{4.26}$$

for all  $m \geq 2$ .

Relation (4.24) completes problem (4.5). Thus,  $v_0^+$  and the number  $\lambda_0$  are an eigenfunction and the corresponding eigenvalue of the Steklov problem (2.48).

Next, let  $\lambda_0$  be an eigenvalue of problem (2.48),  $v_0^+$  is the corresponding eigenfunction normalized by (2.52).

Equating terms in (4.21) and (4.22) of order  $\varepsilon^2\eta_2$ , we have

$$\begin{aligned}
\frac{1}{h_2}\partial_{x_2}v_1^+(x_1, 0) + \frac{4h_1l_1\lambda_1 + \varsigma_{(0,0)}}{h_2}v_0^+(x_1, 0) + \frac{\lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta}{h_2}\partial_{x_2}v_0^+(x_1, 0) + \\
+ \frac{\varsigma_{(2,0)}}{h_2}\partial_{x_1x_1}^2 v_0^+(x_1, 0) + \frac{4h_1l_1\lambda_0}{h_2}T(\eta_1)\partial_{x_1}v_0^+(x_1, 0) + \frac{4h_1l_1\lambda_0}{h_2}v_1^+(x_1, 0) = \\
= \delta_{2,m}\partial_{x_2}v_1^-(x_1, 0, \eta_1)
\end{aligned} \tag{4.27}$$



or in terms of a jump

$$\begin{aligned} \partial_{x_2} v_1^+(x_1, 0) - h_2 \delta_{2,m} \partial_{x_2} v_1^-(x_1, 0, \eta_1) &= -4h_1 l_1 \lambda_0 v_1^+(x_1, 0) - (4h_1 l_1 \lambda_1 + \varsigma_{(0,0)}) v_0^+(x_1, 0) - \\ &- \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \partial_{x_2} v_0^+(x_1, 0) - \varsigma_{(2,0)} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) - 4h_1 l_1 \lambda_0 T(\eta_1) \partial_{x_1} v_0^+(x_1, 0). \end{aligned} \quad (4.28)$$

Continuing the matching procedure, we equate  $v_2^-(x_1, 0, \eta_1)$  to the remaining terms in (4.22).

In the case  $\mathbf{m} \geq \mathbf{3}$  the function  $v_1^-(x_1, \eta_1)$  does not depend on  $x_2$  and therefore formula (4.28) gives us the boundary condition for the function  $v_1^+$ , which is a function of  $x$  and  $\eta_1$ . Hence, we have the following problem:

$$\left\{ \begin{array}{l} (\Delta_x v_1^+(x, \eta_1)) \Big|_{\eta_1=x_1/\varepsilon} = 0, \quad x \in \Omega_0; \\ (\partial_\nu v_1^+(x, \eta_1)) \Big|_{\eta_1=x_1/\varepsilon} = 0, \quad x \in \Gamma_2; \quad v_1^+(x, \eta_1) = 0, \quad x \in \Gamma_1; \\ \partial_{x_2} v_1^+(x_1, 0, \frac{x_1}{\varepsilon}) = -4h_1 l_1 \lambda_0 v_1^+(x_1, 0, \frac{x_1}{\varepsilon}) - (4h_1 l_1 \lambda_1 + \varsigma_{(0,0)}) v_0^+(x_1, 0) - \\ \quad - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \partial_{x_2} v_0^+(x_1, 0) - \varsigma_{(2,0)} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) - \\ \quad - 4h_1 l_1 \lambda_0 T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_0^+(x_1, 0). \end{array} \right. \quad (4.29)$$

The solvability condition leads to the following formula:

$$\begin{aligned} \lambda_1(\varepsilon) &= -\frac{\varsigma_{(0,0)}}{4h_1 l_1} - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \int_{I_0} \partial_{x_2} v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 - \\ &- \varsigma_{(2,0)} \int_{I_0} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 - \\ &- 4h_1 l_1 \lambda_0 \int_{I_0} T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1. \end{aligned} \quad (4.30)$$

Using Lemma 1.6 from [7, §1] (see also Lemma 1.1 from [35, §1.4]), we derive

$$\left| \int_{I_0} T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 \right| \leq K\varepsilon. \quad (4.31)$$

Hence,

$$\lambda_1(\varepsilon) = \lambda_1 + k_1 \varepsilon, \quad k_1 = \text{const}, \quad (4.32)$$

where

$$\begin{aligned} \lambda_1 &= -\frac{\varsigma_{(0,0)}}{4h_1 l_1} - \varsigma_{(2,0)} \int_{I_0} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 \\ &- \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \int_{I_0} v_0^+(x_1, 0) \partial_{x_2} v_0^+(x_1, 0) dx_1. \end{aligned} \quad (4.33)$$

Let us represent the solution to problem (4.29) in the form

$$v_1^+(x, \frac{x_1}{\varepsilon}) = v_1^+(x) + \dots, \quad (4.34)$$

where  $v_1^+(x)$  is a solution of the following problem:

$$\left\{ \begin{array}{l} \Delta_x v_1^+(x) = 0, \quad x \in \Omega_0; \\ \partial_\nu v_1^+(x) = 0, \quad x \in \Gamma_2; \quad v_1^+(x) = 0, \quad x \in \Gamma_1; \\ \partial_{x_2} v_1^+(x_1, 0) = -4h_1 l_1 \lambda_0 v_1^+(x_1, 0) - (4h_1 l_1 \lambda_1 + \varsigma_{(0,0)}) v_0^+(x_1, 0) - \\ \quad - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \partial_{x_2} v_0^+(x_1, 0) - \varsigma_{(2,0)} \partial_{x_1 x_1}^2 v_0^+(x_1, 0), \quad x_1 \in (0, a). \end{array} \right. \quad (4.35)$$

Due to (4.33) the solvability condition for problem (4.35) is satisfied. It is easy to see that the solutions to both problems (4.35) and (4.29) are defined up to an additive term  $\varkappa v_0^+(x)$ , and  $\varkappa$  is an arbitrary constant. For the uniqueness of the solution to problem (4.35) we demand the following orthogonality condition:

$$\int_{I_0} v_1^+(x_1, 0) v_0^+(x_1, 0) dx_1 = 0, \quad (4.36)$$

i.e. we found and fixed the constant  $\varkappa$ . Now we can estimate the difference between the solutions of problems (4.35) and (4.29) with fixed constant  $\varkappa$ , in the Sobolev space. Due to (4.31) we have the estimate

$$\|v_1^+(x, \frac{x_1}{\varepsilon}) - v_1^+(x)\|_{H^1(\Omega_0)} \leq C\varepsilon. \quad (4.37)$$

In the case  $\mathbf{m} = \mathbf{2}$  formula (4.26) is the first transmission condition and formula (4.28) gives us the second transmission condition for the functions  $v_1^-(x_1, \eta_1)$ ,  $v_1^+(x, \eta_1)$ . Hence, we have

$$\left\{ \begin{array}{l} -(\Delta_x v_1^+(x, \eta_1))|_{\eta_1=x_1/\varepsilon} = \lambda_0 v_0^+(x), \quad x \in \Omega_0, \\ -(\partial_{x_2 x_2}^2 v_1^-(x, \eta_1))|_{\eta_1=x_1/\varepsilon} = \lambda_0 v_0^-(x_1), \quad x \in D_2, \\ (\partial_\nu v_1^+(x, \eta_1))|_{\eta_1=x_1/\varepsilon} = 0, \quad x \in \Gamma_2; \quad v_1^+(x, \frac{x_1}{\varepsilon}) = 0, \quad x \in \Gamma_1, \\ \partial_{x_2} v_1^-(x_1, -l_2, \frac{x_1}{\varepsilon}) = 0, \quad x_1 \in (0, a), \\ [v_1](x_1, 0, \frac{x_1}{\varepsilon}) = -T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_0^+(x_1, 0) - C_1^{(2)} \partial_{x_2} v_0^+(x_1, 0) - \\ \quad - C_1^{(0)} v_0^+(x_1, 0), \quad x_1 \in (0, a), \\ \partial_{x_2} v_1^+(x_1, 0, \frac{x_1}{\varepsilon}) - h_2 \partial_{x_2} v_1^-(x_1, 0, \frac{x_1}{\varepsilon}) = -4h_1 l_1 \lambda_0 v_1^+(x_1, 0, \frac{x_1}{\varepsilon}) - (4h_1 l_1 \lambda_1 + \varsigma_{(0,0)}) v_0^+(x_1, 0) - \\ \quad - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \partial_{x_2} v_0^+(x_1, 0) - \\ \quad - \varsigma_{(2,0)} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) - \\ \quad - 4h_1 l_1 \lambda_0 T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_0^+(x_1, 0), \quad x_1 \in (0, a). \end{array} \right. \quad (4.38)$$

Solving problem in  $D_2$ , and bearing in mind (4.15), we get the boundary value problem to

define  $v_1^+$  in the following form:

$$\left\{ \begin{array}{l} -(\Delta_x v_1^+(x, \eta_1))\big|_{\eta_1=x_1/\varepsilon} = \lambda_0 v_0^+(x), \quad x \in \Omega_0, \\ (\partial_\nu v_1^+(x, \eta_1))\big|_{\eta_1=x_1/\varepsilon} = 0, \quad x \in \Gamma_2; \quad v_1^+(x, \frac{x_1}{\varepsilon}) = 0, \quad x \in \Gamma_1, \\ \partial_{x_2} v_1^+(x_1, 0, \frac{x_1}{\varepsilon}) = -4h_1 l_1 \lambda_0 v_1^+(x_1, 0, \frac{x_1}{\varepsilon}) - (4h_1 l_1 \lambda_1 + \varsigma_{(0,0)}) v_0^+(x_1, 0) - \\ \quad - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \partial_{x_2} v_0^+(x_1, 0) - \varsigma_{(2,0)} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) - \\ \quad - 4h_1 l_1 \lambda_0 T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_0^+(x_1, 0) - \\ \quad - l_2 h_2 \lambda_0 v_0^+(x_1, 0), \quad x_1 \in (0, a). \end{array} \right. \quad (4.39)$$

The solvability condition leads to the formula

$$\begin{aligned} \lambda_1(\varepsilon) = & -\frac{(l_2 h_2 \lambda_0 + \varsigma_{(0,0)})}{4h_1 l_1} - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \int_{I_0} \partial_{x_2} v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 - \\ & - \varsigma_{(2,0)} \int_{I_0} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 + \lambda_0 \int_{\Omega_0} (v_0^+(x))^2 dx - \\ & - 4h_1 l_1 \lambda_0 \int_{I_0} T(\frac{x_1}{\varepsilon}) \partial_{x_1} v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1. \end{aligned} \quad (4.40)$$

Repeating the procedure as in the case  $m \geq 3$ , we deduce

$$\lambda_1(\varepsilon) = \lambda_1 + k_1 \varepsilon, \quad k_1 = \text{const}, \quad (4.41)$$

where

$$\begin{aligned} \lambda_1 = & -\frac{\lambda_0}{4h_1 l_1} \left( h_2 l_2 + \int_{\Omega_0} (v_0^+)^2 dx \right) - \frac{\varsigma_{(0,0)}}{4h_1 l_1} - \varsigma_{(2,0)} \int_{I_0} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) v_0^+(x_1, 0) dx_1 \\ & - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \int_{I_0} v_0^+(x_1, 0) \partial_{x_2} v_0^+(x_1, 0) dx_1 \end{aligned} \quad (4.42)$$

and we represent  $v_1^+(x, \frac{x_1}{\varepsilon}) = v_1^+(x) + \dots$ , where  $v_1^+(x)$  is a solution of the following problem:

$$\left\{ \begin{array}{l} -\Delta_x v_1^+(x) = \lambda_0 v_0^+(x), \quad x \in \Omega_0, \\ \partial_\nu v_1^+(x) = 0, \quad x \in \Gamma_2; \quad v_1^+(x) = 0, \quad x \in \Gamma_1, \\ \partial_{x_2} v_1^+(x_1, 0) = -4h_1 l_1 \lambda_0 v_1^+(x_1, 0) - (4h_1 l_1 \lambda_1 + \varsigma_{(0,0)}) v_0^+(x_1, 0) - \\ \quad - \lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta \partial_{x_2} v_0^+(x_1, 0) - \varsigma_{(2,0)} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) - \\ \quad - l_2 h_2 \lambda_0 v_0^+(x_1, 0), \quad x_1 \in (0, a), \end{array} \right. \quad (4.43)$$

which can be chosen to satisfy

$$\|v_1^+(x, \frac{x_1}{\varepsilon}) - v_1^+(x)\|_{H^1(\Omega_0)} \leq C\varepsilon. \quad (4.44)$$

### 4.3 Global asymptotic approximation in $\Omega_\varepsilon$ and estimation of its residuals

For any given eigenvalue  $\lambda_0$  of the homogenized spectral problem (2.48) and the corresponding eigenfunction  $v_0^+$  normalized by (2.52), we can define  $\lambda_1$  with the help of (4.33) for  $m \geq 3$  (respectively (4.42) for  $m = 2$ ) and the unique solutions  $v_1^+$  to problem (4.35) for  $m \geq 3$  (respectively (4.43) for  $m = 2$ ).

An approximating function  $R_\varepsilon$  is constructed as the sum of the first terms of outer expansions (4.2), (4.3) and inner expansion (4.4) with the subtraction of the identical terms of their asymptotics (as  $x_2 \rightarrow \pm 0$  and  $\eta_2 \rightarrow \pm\infty$  respectively), since they are summed twice. Taking (4.23) into account, we obtain

$$R_\varepsilon(x) = \begin{cases} v_0^+(x) + \varepsilon v_1^+(x) + \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) \mathcal{N}_\varepsilon^+(x_1, \frac{x}{\varepsilon}), & x \in \Omega_0, \\ v_0^+(x_1, 0) + \varepsilon v_1^+(x_1, 0) + \mathcal{N}_{1,\varepsilon}^-(x_1, \frac{x}{\varepsilon}), & x \in G_\varepsilon^{(1)}, \\ v_0^+(x_1, 0) + \varepsilon v_1^-(x, \frac{x_1}{\varepsilon}) + \varepsilon^2 T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1} v_1^-(x, \frac{x_1}{\varepsilon}) + \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) \mathcal{N}_{2,\varepsilon}^-(x_1, \frac{x}{\varepsilon}), & x \in G_\varepsilon^{(2)}, \end{cases} \quad (4.45)$$

where  $\chi$  is a smooth cut-off function such that  $\chi(s) = 1$  for  $|s| \leq 1/2$ ; function  $v_1^-$  is a solution of problem (4.43), if  $m = 2$  and is a solution of problem (4.35), if  $m \geq 3$ ;

$$\begin{aligned} \mathcal{N}_\varepsilon^+(x_1, \eta) = & \varepsilon \left( Z_1^{(0)}(\eta) v_0^+(x_1, 0) + \sum_{i=1}^2 (Z_1^{(i)}(\eta) - \delta_{i,2} \eta_2) \partial_{x_i} v_1^+(x_1, 0) + \right. \\ & + \varepsilon^2 \left( X_2^{(0)}(\eta) v_1^+(x_1, 0) + \sum_{i=1}^2 (X_2^{(i)}(\eta) - \delta_{i,2} \eta_2) \partial_{x_i} v_1^+(x_1, 0) + \right. \\ & + Z_2^{(0,0)}(\eta) v_0^+(x_1, 0) + Z_2^{(1,0)}(\eta) \partial_{x_1} v_0^+(x_1, 0) + Z_2^{(0,1)}(\eta) \partial_{x_2} v_0^+(x_1, 0) + \\ & \left. \left. + \left( Z_2^{(2,0)}(\eta) + \frac{1}{2} \eta_2^2 \right) \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \right) \right), \quad (4.46) \end{aligned}$$

$$\begin{aligned} \mathcal{N}_{1,\varepsilon}^-(x_1, \eta) = & \varepsilon \left( Z_1^{(0)}(\eta) v_0^+(x_1, 0) + \sum_{i=1}^2 Z_1^{(i)}(\eta) \partial_{x_i} v_0^+(x_1, 0) \right) + \\ & + \varepsilon^2 \left( X_2^{(0)}(\eta) v_1^+(x_1, 0) + \sum_{i=1}^2 X_2^{(i)}(\eta) \partial_{x_i} v_1^+(x_1, 0) + Z_2^{(0,0)}(\eta) v_0^+(x_1, 0) + \right. \\ & \left. + Z_2^{(1,0)}(\eta) \partial_{x_1} v_0^+(x_1, 0) + Z_2^{(0,1)}(\eta) \partial_{x_2} v_0^+(x_1, 0) + Z_2^{(2,0)}(\eta) \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \right), \quad (4.47) \end{aligned}$$

$$\begin{aligned} \mathcal{N}_{2,\varepsilon}^-(x_1, \eta) = & \varepsilon \left( Z_1^{(1)}(\eta) \partial_{x_1} v_0^+(x_1, 0) + \left( Z_1^{(2)}(\eta) - \frac{\eta_2}{h_2} - C_1^{(2)} \right) \partial_{x_2} v_0^+(x_1, 0) + \right. \\ & \left. + \left( Z_1^{(0)}(\eta) - \frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 - C_1^{(0)} \right) v_0^+(x_1, 0) \right) + \\ & + \varepsilon^2 \left( X_2^{(1)}(\eta) \partial_{x_1} v_1^+(x_1, 0) - T(\eta_1) \partial_{x_1} v_1^-(x_1, 0, \eta_1) + \left( X_2^{(2)}(\eta) - \frac{\eta_2}{h_2} \right) \partial_{x_2} v_1^+(x_1, 0) + \right. \end{aligned}$$

$$\begin{aligned}
& + \left( X_2^{(0)}(\eta) - \frac{4h_1 l_1 \lambda_0}{h_2} \eta_2 \right) v_1^+(x_1, 0) + \left( Z_2^{(0,0)}(\eta) - \frac{4h_1 l_1 \lambda_1 + \varsigma(0,0)}{h_2} \eta_2 \right) v_0^+(x_1, 0) + \\
& \quad + \left( Z_2^{(1,0)}(\eta) - \frac{4h_1 l_1 \lambda_0}{h_2} T(\eta_1) \eta_2 \right) \partial_{x_1} v_0^+(x_1, 0) + \\
& + \left( Z_2^{(0,1)}(\eta) - \frac{\lambda_0 \int_{\Pi_{l_1}} Z_1^{(2)}(\eta) d\eta}{h_2} \eta_2 \right) \partial_{x_2} v_0^+(x_1, 0) + \left( Z_2^{(2,0)}(\eta) - \frac{\varsigma(2,0)}{h_2} \eta_2 \right) \partial_{x_1 x_1}^2 v_0^+(x_1, 0). \quad (4.48)
\end{aligned}$$

It is easy to verify that  $R_\varepsilon|_{x_2=0+} = R_\varepsilon|_{x_2=0-}$  on  $Q_\varepsilon$ , i.e.,  $R_\varepsilon \in H^1(\Omega_\varepsilon; \Gamma_1)$ . Also using (4.24), (4.35) (for  $m \geq 3$ ) and (4.43) (for  $m = 2$ ), one can verify that

$$\partial_{x_2} R_\varepsilon|_{x_2=0+} - \partial_{x_2} R_\varepsilon|_{x_2=0-} = -\varepsilon \left( \frac{4h_1 l_1 \lambda_0}{h_2} T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0) \right) \quad \text{on } Q_\varepsilon. \quad (4.49)$$

### 4.3.1 Discrepancies in the equation of problem (1.21).

Substituting  $R_\varepsilon$  and  $\lambda_0 + \varepsilon \lambda_1$  in the differential equation of problem (1.21) instead of  $v(\varepsilon, \cdot)$  and  $\Lambda(\varepsilon)$  respectively and calculating discrepancies with regard of problems (2.20)–(2.21), (2.23)–(2.28) and (2.48) and (4.35) for  $m \geq 3$  (respectively (4.43) for  $m = 2$ ), we get

$$\begin{aligned}
\Delta_x R_\varepsilon(x) + \varepsilon^{m-1}(\lambda_0 + \varepsilon \lambda_1) R_\varepsilon(x) &= -\varepsilon \delta_{2,m} \lambda_0 v_0^+(x) + \varepsilon^{m-1}(\lambda_0 + \varepsilon \lambda_1) R_\varepsilon(x) \\
& + \varepsilon^{-\frac{3}{2}} \chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} (\partial_{\eta_2} \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \varepsilon^{-\frac{1}{2}} \partial_{x_2} (\chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} \mathcal{N}_\varepsilon^+(x_1, \frac{x}{\varepsilon})) \\
& + \varepsilon^{-1} \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) (\partial_{x_1 \eta_1}^2 \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) \partial_{x_1} ((\partial_{x_1} \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon}) + \\
& \quad + \varepsilon^{-2} \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) (\Delta_\eta \mathcal{N}_\varepsilon^+(x_1, \eta)) \Big|_{\eta=x/\varepsilon} \quad \text{in } \Omega_0; \quad (4.50)
\end{aligned}$$

$$\begin{aligned}
\Delta_x R_\varepsilon(x) + \varepsilon^{-1}(\lambda_0 + \varepsilon \lambda_1) R_\varepsilon(x) &= -\varepsilon \delta_{2,m} \lambda_0 v_0^+(x) + \varepsilon \lambda_1 v_1^+(x_1, 0) + \\
& \quad + \varepsilon^{-1}(\lambda_0 + \varepsilon \lambda_1) \mathcal{N}_{1,\varepsilon}^-(x_1, \frac{x}{\varepsilon}) + \varepsilon^{-1} (\partial_{x_1 \eta_1}^2 \mathcal{N}_{1,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} \\
& \quad + \partial_{x_1} (\partial_{x_1} \mathcal{N}_{1,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} - \lambda_0 Z_1^{(0)}\left(\frac{x}{\varepsilon}\right) v_0^+(x_1, 0) - 2\partial \eta_1 Z_1^{(0)}\left(\frac{x}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0) - \\
& \quad - \lambda_0 Z_1^{(1)}\left(\frac{x}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0) - \lambda_0 Z_1^{(2)}\left(\frac{x}{\varepsilon}\right) \partial_{x_2} v_0^+(x_1, 0) - 2\partial \eta_1 Z_1^{(1)}\left(\frac{x}{\varepsilon}\right) \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \quad \text{in } G_\varepsilon^{(1)}; \\
& \quad (4.51)
\end{aligned}$$

and

$$\begin{aligned}
\Delta_x R_\varepsilon(x) + \varepsilon^{m-1}(\lambda_0 + \varepsilon \lambda_1) R_\varepsilon(x) &= -\varepsilon T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1 x_1 x_1}^3 v_0^+(x_1, 0) - \varepsilon \delta_{2,m} \lambda_0 v_0^+(x) + \\
& \quad + \varepsilon^2 \left( \partial_{x_1} \left( T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1 x_1}^2 v_1^-(x, \eta_1) \right) \right) \Big|_{\eta_1=x_1/\varepsilon} + \varepsilon^2 T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_2 x_2}^2 v_1^-(x, \frac{x_1}{\varepsilon}) + \\
& \quad + \varepsilon^{m-1}(\lambda_0 + \varepsilon \lambda_1) R_\varepsilon(x) + \varepsilon^{-\frac{3}{2}} \chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} (\partial_{\eta_2} \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \\
& \quad + \varepsilon^{-\frac{1}{2}} \partial_{x_2} (\chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} \mathcal{N}_{2,\varepsilon}^-(x_1, \frac{x}{\varepsilon})) + \varepsilon^{-1} \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) (\partial_{x_1 \eta_1}^2 \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon} + \\
& \quad + \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) \partial_{x_1} ((\partial_{x_1} \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon}) + \varepsilon^{-2} \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) (\Delta_\eta \mathcal{N}_{2,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=x/\varepsilon} \quad \text{in } G_\varepsilon^{(2)}. \quad (4.52)
\end{aligned}$$

Due to (2.20), (2.21), (2.23) – (2.28) we have

$$\begin{aligned}
\varepsilon^{-2}\chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right)(\Delta_\eta\mathcal{N}_\varepsilon^+(x_1,\eta))\Big|_{\eta=x/\varepsilon} &= -\chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right)\left(2\left((\partial_{\eta_1}Z_1^{(0)}(\eta))\Big|_{\eta=x/\varepsilon}\partial_{x_1}v_0^+(x_1,0)+\right.\right. \\
&\quad \left.\left. + (\partial_{\eta_1}Z_1^{(1)}(\eta))\Big|_{\eta=x/\varepsilon}\partial_{x_1x_1}^2v_0^+(x_1,0)\right)\right) \quad \text{in } \Omega_0; \\
\varepsilon^{-2}\chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right)(\Delta_\eta\mathcal{N}_{2,\varepsilon}^-(x_1,\eta))\Big|_{\eta=x/\varepsilon} &= -\chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right)\left(2\left((\partial_{\eta_1}Z_1^{(0)}(\eta))\Big|_{\eta=x/\varepsilon}\partial_{x_1}v_0^+(x_1,0)+\right.\right. \\
&\quad \left.\left. + (\partial_{\eta_1}Z_1^{(1)}(\eta))\Big|_{\eta=x/\varepsilon}\partial_{x_1x_1}^2v_0^+(x_1,0)\right)\right) \quad \text{in } G_\varepsilon^{(2)}.
\end{aligned} \tag{4.53}$$

### 4.3.2 Discrepancies on the boundary.

It easy to checked that  $R_\varepsilon = 0$  on  $\Gamma_1$  and  $\partial_\nu R_\varepsilon = 0$  on the whole boundary  $\partial\Omega_\varepsilon \setminus \Gamma_1$ , except its vertical parts, on which

$$\partial_{x_1}R_\varepsilon(x) = \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right)(\partial_{x_1}\mathcal{N}_\varepsilon^+(x_1,\eta))\Big|_{\eta=x/\varepsilon} \tag{4.54}$$

on the vertical parts of  $\partial\Omega_0$ ,

$$\partial_{x_1}R_\varepsilon(x) = (\partial_{x_1}\mathcal{N}_{1,\varepsilon}^-(x_1,\eta))\Big|_{\eta=x/\varepsilon} \tag{4.55}$$

on the vertical parts of  $\partial G_\varepsilon^{(1)}$ , and keeping in mind problems (2.20), (2.21) and (2.23) – (2.28), we get

$$\begin{aligned}
\partial_{x_1}R_\varepsilon(x) &= -\varepsilon\left(Z_1^{(0)}\left(\frac{x}{\varepsilon}\right)\partial_{x_1}v_0^+(x_1,0) + Z_1^{(1)}\left(\frac{x}{\varepsilon}\right)\partial_{x_1x_1}^2v_0^+(x_1,0)\right) + \\
&\quad + \varepsilon^2\left(T\left(\frac{x_1}{\varepsilon}\right)\partial_{x_1x_1}^2v_1^-(x,\eta_1)\right)\Big|_{\eta_1=x_1/\varepsilon} + \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right)(\partial_{x_1}\mathcal{N}_{2,\varepsilon}^-(x_1,\eta))\Big|_{\eta=x/\varepsilon}
\end{aligned} \tag{4.56}$$

on the vertical parts of  $\partial G_\varepsilon^{(2)}$ .

### 4.3.3 Discrepancies in the integral identity.

Multiplying (4.50) – (4.52) with arbitrary function  $\psi \in \mathcal{H}_\varepsilon$ , integrating by parts and taking (4.49) and (4.54)-(4.56) into account, we deduce

$$\begin{aligned}
-\int_{\Omega_\varepsilon}\nabla_xR_\varepsilon\cdot\nabla_x\psi\,dx + \varepsilon^{m-1}(\lambda_0 + \varepsilon\lambda_1)\int_{\Omega_0\cup G_\varepsilon^{(2)}}R_\varepsilon\psi\,dx + \\
+ \varepsilon^{-1}(\lambda_0 + \varepsilon\lambda_1)\int_{G_\varepsilon^{(1)}}R_\varepsilon\psi\,dx = \ell_\varepsilon(\psi), \tag{4.57}
\end{aligned}$$

where the linear functional  $\ell_\varepsilon$  is defined as follows

$$\begin{aligned}
\ell_\varepsilon(\psi) &:= \varepsilon^{m-1}(\lambda_0 + \varepsilon\lambda_1)\int_{\Omega_0\cup G_\varepsilon^{(2)}}R_\varepsilon\psi\,dx - \varepsilon\lambda_0\delta_{2,m}\int_{\Omega_0\cup G_\varepsilon^{(2)}}v_0^+\psi\,dx + \\
&\quad + \varepsilon\int_{G_\varepsilon^{(1)}}\partial_{x_1x_1}^2v_1^+(x_1,0)\psi\,dx + \varepsilon\lambda_1\int_{G_\varepsilon^{(1)}}v_1^+(x_1,0)\psi\,dx -
\end{aligned}$$

$$\begin{aligned}
& -\varepsilon \frac{4h_1 l_1 \lambda_0}{h_2} \int_{I_0} T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0) \psi \, dx_1 - \varepsilon \int_{G_\varepsilon^{(2)}} T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1 x_1 x_1}^3 v_0^+(x_1, 0) \psi \, dx + \\
& + \varepsilon^{-1} (\lambda_0 + \varepsilon \lambda_1) \int_{G_\varepsilon^{(1)}} \mathcal{N}_{1,\varepsilon}^-(x_1, \frac{x}{\varepsilon}) \psi \, dx - \lambda_0 \int_{G_\varepsilon^{(1)}} Z_1^{(0)}\left(\frac{x}{\varepsilon}\right) v_0^+(x_1, 0) \psi \, dx - \\
& - \lambda_0 \int_{G_\varepsilon^{(1)}} Z_1^{(1)}\left(\frac{x}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0) \psi \, dx - \lambda_0 \int_{G_\varepsilon^{(1)}} Z_1^{(2)}\left(\frac{x}{\varepsilon}\right) \partial_{x_2} v_0^+(x_1, 0) \psi \, dx - \\
& - \varepsilon^2 \int_{G_\varepsilon^{(2)}} T\left(\frac{x_1}{\varepsilon}\right) (\partial_{x_1 x_1}^2 v_1^-(x, \eta_1)) \Big|_{\eta_1=x_1/\varepsilon} \partial_{x_1} \psi \, dx + \varepsilon^2 \int_{G_\varepsilon^{(2)}} T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_2 x_2}^2 v_1^-(x, \frac{x_1}{\varepsilon}) \psi \, dx + \\
& + \varepsilon^{-1} \underbrace{\int_{G_\varepsilon^{(1)}} (\partial_{x_1 \eta_1}^2 \mathcal{N}_{1,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} \psi \, dx -}_{\substack{G_\varepsilon^{(1)}}} \\
& - 2 \underbrace{\int_{G_\varepsilon^{(1)}} \partial_{\eta_1} Z_1^{(0)}(\eta) \Big|_{\eta=\frac{x}{\varepsilon}} \partial_{x_1} v_0^+(x_1, 0) \psi \, dx - 2 \int_{G_\varepsilon^{(1)}} \partial_{\eta_1} Z_1^{(1)}(\eta) \Big|_{\eta=\frac{x}{\varepsilon}} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \psi \, dx +}_{\substack{G_\varepsilon^{(1)}}} \\
& + \varepsilon^{-\frac{3}{2}} \int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} (\partial_{\eta_2} \mathcal{N}_\varepsilon(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} \psi \, dx - \varepsilon^{-\frac{1}{2}} \int_{\Omega_0} \chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} \mathcal{N}_\varepsilon(x_1, \frac{x}{\varepsilon}) \partial_{x_2} \psi \, dx - \\
& - \varepsilon^{-\frac{1}{2}} \int_{G_\varepsilon^{(2)}} \chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} \mathcal{N}_\varepsilon(x_1, \frac{x}{\varepsilon}) \partial_{x_2} \psi \, dx + \varepsilon^{\frac{1}{2}} \int_{G_\varepsilon^{(2)}} \chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0) \partial_{x_2} \psi \, dx - \\
& - \varepsilon^{\frac{1}{2}} \int_{G_\varepsilon^{(2)}} \chi'_s(s) \Big|_{s=\frac{x_2}{\sqrt{\varepsilon}}} T\left(\frac{x_1}{\varepsilon}\right) \partial_{x_1} v_0^+(x_1, 0) \partial_{x_2} \psi \, dx + \\
& + \varepsilon^{-1} \underbrace{\int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) (\partial_{x_1 \eta_1}^2 \mathcal{N}_\varepsilon(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} \psi \, dx -}_{\substack{\Omega_0 \cup G_\varepsilon^{(2)}}} \\
& - 2 \underbrace{\int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) \left( (\partial_{\eta_1} Z_1^{(0)}(\eta)) \Big|_{\eta=x/\varepsilon} \partial_{x_1} v_0^+(x_1, 0) + (\partial_{\eta_1} Z_1^{(1)}(\eta)) \Big|_{\eta=x/\varepsilon} \partial_{x_1 x_1}^2 v_0^+(x_1, 0) \right) \psi \, dx -}_{\substack{\Omega_0 \cup G_\varepsilon^{(2)}}} \\
& - \int_{\Omega_0 \cup G_\varepsilon^{(2)}} \chi\left(\frac{x_2}{\sqrt{\varepsilon}}\right) (\partial_{x_1} \mathcal{N}_\varepsilon(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} \partial_{x_1} \psi \, dx - \int_{G_\varepsilon^{(1)}} (\partial_{x_1} \mathcal{N}_{1,\varepsilon}^-(x_1, \eta)) \Big|_{\eta=\frac{x}{\varepsilon}} \partial_{x_1} \psi \, dx. \tag{4.58}
\end{aligned}$$

Here  $\mathcal{N}_\varepsilon$  coincides with  $\mathcal{N}_\varepsilon^+$  on  $\Omega_0$  and with  $\mathcal{N}_{2,\varepsilon}^-$  on  $G_\varepsilon^{(2)}$ .

Let us estimate  $|\ell_\varepsilon(\psi)|$ . It is easy to see that the integrals in the first line of (4.58) is of order  $\mathcal{O}(\varepsilon^{m-1})$ , if  $m \geq 3$  and  $\mathcal{O}(\varepsilon^2)$ , if  $m = 2$ .

The integrals in second line can be estimated with the help of the Friedrichs-type inequality (2.69) in the following way:

$$\varepsilon \left| \int_{G_\varepsilon^{(1)}} \partial_{x_1 x_1}^2 v_1^+(x_1, 0) \psi dx \right| \leq \varepsilon^2 C_1 \|\psi\|_{\mathcal{H}_\varepsilon}, \quad \varepsilon \lambda_1 \left| \int_{G_\varepsilon^{(1)}} v_1^+(x_1, 0) \psi dx \right| \leq \varepsilon^2 C_1 \|\psi\|_{\mathcal{H}_\varepsilon}.$$

The integrals in the third line can be estimated by means of Lemma 1.6 from [7, §1] and they are of order  $\mathcal{O}(\varepsilon^2)$ . The main term in the integrals of the fourth and the fifth lines of (4.58) we bound again with the help of (2.69) as follows

$$\begin{aligned} \varepsilon \lambda_1 \left| \int_{G_\varepsilon^{(1)}} Z_1^{(0)}\left(\frac{x}{\varepsilon}\right) v_0^+(x_1, 0) \psi dx \right| &\leq \varepsilon^{\frac{3}{2}} C_1 \|\psi\|_{\mathcal{H}_\varepsilon} \sqrt{\int_{G_\varepsilon^{(1)}} \left| Z_1^{(0)}\left(\frac{x}{\varepsilon}\right) \right|^2 dx} \\ &\leq \varepsilon^2 C_2 \|\psi\|_{\mathcal{H}_\varepsilon} \sqrt{\int_{\Pi_{l_1}} \left| Z_1^{(0)}(\eta) \right|^2 d\eta} \leq \varepsilon^2 C_3 \|\psi\|_{\mathcal{H}_\varepsilon}. \end{aligned} \quad (4.59)$$

Similarly we estimate the underbraced integrals and it is of order  $\mathcal{O}(\varepsilon^2)$  as well. The integrals in the sixth line due to Lemma 1.6 from [7, §1], are of order  $\mathcal{O}(\varepsilon^3)$ .

Using the asymptotic relations (2.29) – (2.31), we conclude that the integrals in the ninth line of (4.58) are exponentially small, the integrals in the tenth line are together of order  $\mathcal{O}(\varepsilon^2)$  and the integral in the eleventh line is of order  $\mathcal{O}(\varepsilon^2)$  due to Lemma 1.6 from [7, §1]. Thanks to Lemma 3.1 ([14]) the overbraced integrals in (4.58) are of order  $\mathcal{O}(\varepsilon^{2-\delta})$ , where  $\delta$  is arbitrary positive number.

The first integral in the last line is of order  $\mathcal{O}(\varepsilon^{\frac{3}{2}})$  and the last integral in the last line is of order  $\mathcal{O}(\varepsilon^2)$ .

Thus, we have

$$|\ell_\varepsilon(\psi)| \leq c_2 \varepsilon^{\frac{3}{2}} \|\psi\|_{\mathcal{H}_\varepsilon}. \quad (4.60)$$

With the help of operator  $A_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{H}_\varepsilon$  defined in (1.22) we deduce from (4.57) and (4.60) the following inequality

$$\|R_\varepsilon - (\lambda_0 + \varepsilon \lambda_1) A_\varepsilon R_\varepsilon\|_{\mathcal{H}_\varepsilon} \leq c \varepsilon^{\frac{3}{2}}. \quad (4.61)$$

## 4.4 The main results

The justification of the asymptotics can be provided in the same way as in Section 3.

In this case Theorem 3.2 holds true without changes.

Let  $\lambda_0^{(n+1)} = \dots = \lambda_0^{(n+r)}$  be an  $r$ -multiple eigenvalue of the homogenized problem (2.48); the corresponding eigenfunctions  $v_0^{+,n+1}, \dots, v_0^{+,n+r}$  are orthonormalized in  $\mathcal{V}_0$ . Using formula (4.33) for  $m \geq 3$  ((4.42) for  $m = 2$ ), we successively construct next terms  $\varepsilon \lambda_1^{(n+i)}$ ,  $i = 1, \dots, r$ , of the asymptotic expansion (4.1) and define the unique solution  $v_1^{+,n+i}$  to problem (4.35) for  $m \geq 3$  ((4.43) for  $m = 2$ ).

We formulate next theorem under assumption that all  $\lambda_1^{(n+i)}$ ,  $i = 1, \dots, r$ , are different and

$$\lambda_1^{(n+1)} < \lambda_1^{(n+2)} < \dots < \lambda_1^{(n+r)}. \quad (4.62)$$



**Theorem 4.1.** *Let inequalities (4.62) be satisfied. Then for any positive  $\delta$  small enough and for any  $i \in \{1, \dots, r\}$  there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$  we have*

$$\left| \varepsilon^{1-\alpha} \lambda_{n+i}(\varepsilon) - (\lambda_0^{(n+i)} + \varepsilon \lambda_1^{(n+i)}) \right| \leq C_1(n) \varepsilon^{\frac{3}{2}},$$

and

$$\left\| \varepsilon^{-\frac{\alpha-1}{2}} u_{n+i}(\varepsilon, \cdot) - \frac{R_\varepsilon^{(n+i)}}{\|R_\varepsilon^{(n+i)}\|_{\mathcal{H}_\varepsilon}} \right\|_{H^1(\Omega_\varepsilon)} \leq C_2(n) \varepsilon^{\frac{3}{2}}, \quad (4.63)$$

where  $R_\varepsilon^{(n+i)}$  is the approximating function constructed by formula (4.45) with the help of solutions  $v_0^{+,n+i}$ ,  $v_1^{-,n+i}$ ,  $v_1^{+,n+i}$  and  $Z_1^{(k)}$ ,  $X_2^{(k)}$   $k = 0, 1, 2$  and  $Z_2^{(\beta)}$ ,  $\beta \in \mathcal{B}$ .

## 5 High-frequency cell-vibrations

It is known that for spectral problems with concentrated masses there are low- and high-frequency vibrations (see [6, 8, 9, 10, 12, 7, 11]). In [11] it was showed, that frequencies of high-vibrations can be presented only on the following eigenfrequency range  $[\mathcal{T}, +\infty)$ , where  $\mathcal{T} = \sup_{n \in \mathbb{N}} \limsup_{\varepsilon \rightarrow 0} \lambda_n(\varepsilon)$  is the threshold of low eigenvalues.

For our problem (1.1) (see Theorem 5.2 in [2], and Lemma 1.1) we have

$$\mathcal{T} = \begin{cases} \left(\frac{\pi}{2l_2}\right)^2 & \text{in the case } \alpha \in (0, 1], \\ 0 & \text{in the case } \alpha \in (1, +\infty). \end{cases}$$

This magnitude again indicates the qualitative difference in the asymptotic behaviour of the eigenvalues and eigenfunctions of problem (1.1) for different values of the parameter  $\alpha$ .

In [2] we have studied some kinds of high-frequency vibrations in problem (1.1) in the case  $\alpha \in (0, 1]$ . Here we show that there is a new kind of high-frequency vibrations in problem (1.1) for any  $\alpha \in (0, +\infty)$ . Usually this kind of high-frequency vibrations is connected with the corresponding spectral local problem. In our case it corresponds to the following *spectral cell-problem*:

$$\begin{cases} -\Delta_\eta \mathcal{Z}(\eta) = \begin{cases} 0, & \eta \in \Pi^+ \cup \Pi^-, \\ \Lambda \mathcal{Z}, & \eta \in \Pi_{l_1}, \end{cases} \\ \partial_{\eta_1} \mathcal{Z}(\eta) = 0, & \eta \in \partial\Pi_{\parallel}; \\ \partial_{\eta_1}^s \mathcal{Z}(0, \eta_2) = \partial_{\eta_1}^s \mathcal{Z}(1, \eta_2), & \eta_2 > 0, \quad s = 0, 1; \\ \partial_{\eta_2} \mathcal{Z}(\eta_1, 0) = 0, & (\eta_1, 0) \in \partial\Pi, \\ \partial_{\eta_2} \mathcal{Z}(\eta_1, -l_1) = 0, & (\eta_1, -l_1) \in \partial\Pi. \end{cases} \quad (5.1)$$

Let  $\widehat{C}_0^\infty(\overline{\Pi})$  be a space of infinitely differentiable functions in  $\overline{\Pi}$  that satisfy the periodicity conditions (2.18) and are finite in  $\eta_2$ , i.e.,

$$\forall v \in \widehat{C}_0^\infty(\overline{\Pi}) \quad \exists R > 0 \quad \forall \eta \in \overline{\Pi} \quad |\eta_2| \geq R : v(\eta) = 0.$$

Let  $\mathcal{H}$  be the completion of the space  $\widehat{C}_0^\infty(\overline{\Pi})$  with respect to the norm

$$\|u\|_{\mathcal{H}} = \left( \|\nabla_\eta u\|_{L_2(\Pi)}^2 + \|\rho u\|_{L_2(\Pi)}^2 \right)^{1/2},$$

where  $\rho(\eta_2) = (1 + |\eta_2|)^{-1}$ ,  $\eta_2 \in \mathbb{R}$ .

A number  $\Lambda$  is an eigenvalue of problem (5.1) if there exists a function  $\mathcal{Z} \in \mathcal{H} \setminus \{0\}$  such that the following integral identity holds:

$$\int_{\Pi} \nabla_{\eta} \mathcal{Z} \cdot \nabla_{\eta} v \, d\eta = \Lambda \int_{\Pi_{t_1}} \mathcal{Z} v \, d\eta \quad \forall v \in \mathcal{H}. \quad (5.2)$$

With the help of Hardy's inequality

$$\int_0^{+\infty} (1 + \eta_2)^{-2} \phi^2(\eta_2) \, d\eta_2 \leq 4 \int_0^{+\infty} |\partial_{\eta_2} \phi|^2 \, d\eta_2, \quad \forall \phi \in C^1([0, +\infty)), \quad \phi(0) = 0,$$

we can show (see for instance Lemma 3.1 in [15]) that problem (5.1) is equivalent to a spectral problem for some positive, self-adjoint, compact operator. Thus, the eigenvalues of problem (5.1) form the sequence

$$0 = \Lambda_0 < \Lambda_1 < \Lambda_2 \leq \dots \leq \Lambda_n \leq \dots \rightarrow +\infty \quad \text{as } n \rightarrow \infty,$$

with the classical convention of repeated eigenvalues. The respective sequence of the corresponding eigenfunctions  $\{\mathcal{Z}_n\}_{n \in \mathbb{Z}_+} \subset \mathcal{H}$  can be orthonormalized as follows

$$\int_{\Pi_{t_1}} \mathcal{Z}_n \mathcal{Z}_k \, d\eta = \delta_{n,k}, \quad \{n, k\} \in \mathbb{Z}_+. \quad (5.3)$$

Also, it follows from the results of §3.1([15]), that the eigenfunctions have the asymptotics  $\mathcal{Z}_n(\eta) = \mathcal{O}(\exp(-2\pi\eta_2))$  as  $\eta_2 \rightarrow +\infty$  in  $\Pi^+$ , and  $\mathcal{Z}_n(\eta) = C_n(h_2) + \mathcal{O}(\exp(\pi h_2^{-1}\eta_2))$  as  $\eta_2 \rightarrow -\infty$  in  $\eta \in \Pi^-$ , for  $n \in \mathbb{N}$ . But now taking into account the harmonicity of  $\mathcal{Z}_n(\eta)$  in  $\Pi^+ \cup \Pi^-$ , we can state that the constant  $C_n(h_2) = 0$  and in addition  $\mathcal{Z}_n(\eta) = 0$  for  $\eta \in \Pi^-$  and there exists  $\varrho_0 > 0$  such that for all  $\eta \in \Pi^+$ ,  $\eta_2 \geq \varrho_0$  we have  $\mathcal{Z}_n(\eta) = 0$ .

Now let us take any positive eigenvalue  $\Lambda$  of problem (5.1) and the corresponding eigenfunction  $\mathcal{Z}$  that is even in  $\eta_1$  with respect to  $\frac{1}{2}$  (due to the symmetry of the domain  $\Pi$  with respect to the line  $\{\eta : \eta_1 = \frac{1}{2}\}$  a such eigenfunction always does exist). Then we extend it periodically in the direction  $O\eta_1$ . It should be noted here that thanks to (5.3) we have

$$\|\mathcal{Z}(\frac{\cdot}{\varepsilon})\|_{\varepsilon} = \sqrt{(\mathcal{Z}, \mathcal{Z})_{\mathcal{H}_{\varepsilon}}} \sim c \Lambda^{\frac{1}{2}} \quad \text{as } \varepsilon \rightarrow 0. \quad (5.4)$$

Substituting  $\mathcal{Z}(\frac{\cdot}{\varepsilon})$  and  $\varepsilon^{\alpha-2}\Lambda$  in the differential equation of problem (1.1) instead of  $u(\varepsilon, \cdot)$  and  $\lambda(\varepsilon)$  respectively and taking into account properties of  $\mathcal{Z}$  mentioned above, we get

$$\begin{aligned} \Delta_x \left( \mathcal{Z}\left(\frac{x}{\varepsilon}\right) \right) + \varepsilon^{\alpha-2}\Lambda \mathcal{Z}\left(\frac{x}{\varepsilon}\right) &= \varepsilon^{\alpha-2}\Lambda \mathcal{Z}\left(\frac{x}{\varepsilon}\right) \quad \text{in } \Omega_0; \\ \Delta_x \left( \mathcal{Z}\left(\frac{x}{\varepsilon}\right) \right) + \varepsilon^{\alpha-2}\Lambda \mathcal{Z}\left(\frac{x}{\varepsilon}\right) &= 0 \quad \text{in } G_{\varepsilon}^{(2)}; \\ \Delta_x \left( \mathcal{Z}\left(\frac{x}{\varepsilon}\right) \right) + \varepsilon^{-\alpha}\varepsilon^{\alpha-2}\Lambda \mathcal{Z}\left(\frac{x}{\varepsilon}\right) &= 0 \quad \text{in } G_{\varepsilon}^{(1)}; \end{aligned}$$

and  $\mathcal{Z}(\frac{\cdot}{\varepsilon})$  satisfies all boundary conditions of problem (1.1). As a result, we have

$$(\mathcal{Z}(\frac{\cdot}{\varepsilon}), v)_{\mathcal{H}_{\varepsilon}} - \varepsilon^{\alpha-2}\Lambda (\mathcal{A}_{\varepsilon}\mathcal{Z}(\frac{\cdot}{\varepsilon}), v)_{\mathcal{H}_{\varepsilon}} = \varepsilon^{\alpha-2}\Lambda \int_{\Omega_0} \mathcal{Z}(\frac{\cdot}{\varepsilon}) v \, dx \quad \forall v \in \mathcal{H}_{\varepsilon}, \quad (5.5)$$

where  $\mathcal{A}_\varepsilon : \mathcal{H}_\varepsilon \mapsto \mathcal{H}_\varepsilon$  is the corresponding operator to problem (1.1) and it defined by the following equality

$$(\mathcal{A}_\varepsilon u, v)_{\mathcal{H}_\varepsilon} = \int_{\Omega_0 \cup G_\varepsilon^{(2)}} u v dx + \varepsilon^{-\alpha} \int_{G_\varepsilon^{(1)}} u v dx \quad \forall u, v \in \mathcal{H}_\varepsilon.$$

Since

$$\begin{aligned} \left| \int_{\Omega_0} \mathcal{Z}(\cdot) v dx \right| &= \left| \int_{\Omega_0^\varepsilon} \mathcal{Z}(\cdot) v dx \right| \leq \sqrt{\int_{\Omega_0^\varepsilon} |\mathcal{Z}(\cdot)|^2 dx} \sqrt{\int_{\Omega_0^\varepsilon} |v|^2 dx} \\ &\leq \sqrt{\varepsilon} \sqrt{\int_{\Pi^+} |\mathcal{Z}(\eta)|^2 d\eta} \varepsilon^{\frac{1}{2}-\delta} \|v\|_{H^1(\Omega_0)} \leq C_0 \varepsilon^{1-\delta} \|v\|_\varepsilon \end{aligned} \quad (5.6)$$

(in the last line we used Lemma 1.5 from [7]; here  $\Omega_0^\varepsilon := \Omega_0 \cap \{x : x_2 \in (0, \varepsilon \varrho_0)\}$ ), with the help of the first statement of the Vishik–Lyusternik Lemma 12 ([36]) and (5.4), we deduce

$$\min_{n \in \mathbb{N}} \left| \frac{1}{\varepsilon^{\alpha-2}\Lambda} - \frac{1}{\lambda_n(\varepsilon)} \right| \leq \|\mathcal{Z}(\cdot)\|_\varepsilon^{-1} \left\| \mathcal{A}_\varepsilon \mathcal{Z}(\cdot) - \frac{1}{\varepsilon^{\alpha-2}\Lambda} \mathcal{Z}(\cdot) \right\|_\varepsilon \leq C_1 \varepsilon^{1-\delta}, \quad (5.7)$$

where  $\delta$  is arbitrary number from the interval  $(0, 1)$ .

Taking into account the second statement of the Vishik–Lyusternik Lemma 12 ([36]), we prove the following theorem.

**Theorem 5.1.** *For any positive eigenvalue  $\Lambda$  of problem (5.1) there exists an eigenvalue  $\lambda_{n(\varepsilon)}(\varepsilon)$  of problem (1.1) ( $n(\varepsilon) \rightarrow +\infty$  as  $\varepsilon \rightarrow 0$ ), such that*

$$\left| \frac{1}{\varepsilon^{\alpha-2}\Lambda} - \frac{1}{\lambda_{n(\varepsilon)}(\varepsilon)} \right| \leq C_1 \varepsilon^{1-\delta}. \quad (5.8)$$

In addition, for any  $\delta \in (0, \frac{1}{2})$  there exists a finite linear combination

$$\tilde{U}_\varepsilon(x) = \sum_{i=0}^{p(\varepsilon)} d_i(\varepsilon) u_{k(\varepsilon)+i}(\varepsilon, x), \quad x \in \Omega_\varepsilon \quad ( \|\tilde{u}_\varepsilon\|_\varepsilon^2 = 1 = \sum_{i=0}^{p(\varepsilon)} d_i^2(\varepsilon) \lambda_{k(\varepsilon)+i}(\varepsilon) ),$$

of eigenfunctions corresponding respectively to all eigenvalues  $\lambda_{k(\varepsilon)}^{-1}(\varepsilon), \lambda_{k(\varepsilon)+1}^{-1}(\varepsilon), \dots, \lambda_{k(\varepsilon)+p(\varepsilon)}^{-1}(\varepsilon)$  of the operator  $\mathcal{A}_\varepsilon$  from the segment

$$\left[ \frac{1}{\varepsilon^{\alpha-2}\Lambda} - C_1 \varepsilon^\delta, \frac{1}{\varepsilon^{\alpha-2}\Lambda} + C_1 \varepsilon^\delta \right],$$

such that

$$\left\| \frac{\mathcal{Z}(\cdot)}{\|\mathcal{Z}(\cdot)\|_\varepsilon} - \tilde{U}_\varepsilon \right\|_\varepsilon \leq 2\varepsilon^{1-2\delta}. \quad (5.9)$$

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