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Influence of microwave plasma treatment on the surface properties of carbon fibers and their adhesion in a polypropylene matrix

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Abstract. A commercially available carbon fiber (CF) with an epoxy-based sizing (EP-sized CF) and an unsized CF have been plasma treated to study the effect on the fiber-matrix adhesion towards a polypropylene matrix. The EP-sized fiber was chosen because of its predictable low adhesion in a polypropylene (PP) matrix. The fibers have been modified using a microwave low-pressure O₂/CO₂/N₂-gas plasma source (Cyrannus[®]) developed at IWS in a batch process. One aim of this study was the evaluation of parameters using high energies and short time periods in the plasma chamber to see the effect on mechanical performance of CF. These results will be the fundamental work for a planned continuous plasma modification line. The CF surface was characterized by determining the surface energies, single fiber tensile strength and XPS analysis. The adhesion behavior before and after plasma treatment was studied by single fiber pull-out test (SFPO) and scanning electron microscopy (SEM). It was shown that the CO₂- and O₂-plasma increases the number of functional groups on the fiber surface during short time plasma treatment of 30 s. Carboxylic groups on the unsized CF surface resulting from O₂-plasma treatment lead to an enhanced fiber-matrix adhesion, whereas the fiber strength was merely reduced.

1. Introduction

The excellent properties of carbon fibers (CF), such as high specific strength and stiffness, performance to weight ratio, high thermal and chemical resistance and also conductivity, make them applicable in various fields of mechanical engineering, automotive and air transport industries [1][2][3]. However, the poor interfacial adhesion between reinforcing CF surface and the polymer matrix limits the crucial properties of the composites toughness as well as longitudinal and transverse strength. To solve these critical issue researchers followed different ways that are summarized by Sharma et al. [4] to two main approaches: “wet” and “dry” surface modification methods. The wet chemical approaches include: (i) the application of polymer finish to CF surface (sizing), (ii) acidic medication, (iii) electrochemical modification and (iv) electro-polymer coating. Surface modification of CF by dry methods are (i) high energy irradiation modification, (ii) nickel surface coating, (iii) thermal modifications, (iv) miscellaneous dry treatments and (v) surface modification in “multi-scales” by nano particle modification and nanotube coating using for example chemical vapour deposition or electrophoretic deposition. Plasma surface modification, also a dry method, changes the



fiber surface layer physicochemically by introducing functional groups without affecting the layers underneath. For plasma modification inert (Ar, N₂) [13]-[17] and reactive gases (O₂, CO₂, air, CF₄) [18]-[23] or mixtures of both are applied. Summarizing the literature, it can be concluded that all gases and process parameters during plasma treatment lead to more or less improved wetting behavior. The damage of the filament surface is more severe by application of reactive compared to inert gases and increases with treatment time, especially in the case of O₂. Also a higher surface roughness arises under all conditions. Based on XPS measurements it is stated [18][19][22], that using O₂-plasma, as pure gas as well as in a gas mixture, increases the [O]:[C]-ratio significantly. Mainly OH-groups are generated, which lead to higher polarity and surface energy. In the most studies very long treatment times up to 30 min combined with low energies were chosen for the modification. In this work, the focus was set on low treatment times of 30 s, since short treatment times are a precondition for potential continuous modification processes. However, in this study the surface tension was determined over a time period up to 180 s to gain basic process knowledge. A maleic anhydride grafted PP was used as a matrix to investigate changes of the adhesion behavior.

2. Experimental

2.1. Materials

A commercially available PAN-based CF roving (50 k) with an epoxy-(EP)-based sizing (sizing content 1 wt.-% according to manufacture specification) and an unsized (electrochemically activated) PAN-based CF roving (50 k) were selected for the treatment by microwave plasma. The filament diameter was $7.2 \pm 0.3 \mu\text{m}$ for EP-sized CF and $6.9 \pm 0.4 \mu\text{m}$ for unsized CF, respectively. The EP-sized fiber was chosen because of its predictable low adhesion in a polypropylene matrix since EP-sizing is applicable in epoxy-based matrix systems. The EP-sized fiber serves as control fiber since it is expected that the EP-sizing will be removed during plasma treatment and this effect will be clearly seen during all testing methods.

As a matrix system for single fiber pull-out test (SFPO) an isotactic polypropylene (PP, HG455FB, Borealis) was used being modified by 2 wt.-% of maleic anhydride grafted PP. Before using the PP for manufacturing the single fiber model composites the PP was dried for four hours in the vacuum oven at 80°C to avoid any effect of moisture, although the moisture uptake of PP is known to be small.

2.2 Methods

2.2.1. Plasma modification. For surface modification of CF the microwave plasma equipment (developed at Fraunhofer IWS Dresden) was used, which works discontinuously in a batch process. The plasma chamber is a cylindrical 6 inch Cyrannus[®] plasma source and single 10 cm pieces of a 50K filament yarn have been introduced for each plasma treatment. The following parameters were used during treatment of CF: purging the chamber with argon for 5 min, pressure 5-210 mbar, O₂- and CO₂-plasma gas with 1000-5000 sccm.

2.2.2. X-ray photoelectron spectroscopy (XPS). X-ray photoelectron spectroscopy (XPS) studies were carried out by means of an Axis Ultra photoelectron spectrometer (Kratos Analytical, Manchester, UK) equipped with a monochromatic Al K α ($h\nu = 1486.6 \text{ eV}$) X-ray source of 300 W at 20 mA. The kinetic energy of the photoelectrons was determined with a hemispheric analyzer set to pass energy of 160 eV for wide scan spectra and 20 eV for high-resolution spectra, respectively. Quantitative elemental compositions were determined from peak areas using experimentally determined sensitivity factors and the spectrometer transmission function.

2.2.3. Single fiber tensile test. The tensile tests on the carbon filaments before and after plasma treatment were carried out on the testing machine FAVIGRAPH ME (Textechno, Germany) with a 20 cN force cell. The testing velocity was 25 mm/min at a gauge length of 50 mm. The fineness of each single filament was measured using a vibroscopical testing method according to ASTM D 1577. The filament strength and strain were measured in compliance with DIN ISO 5079. The distribution of failure stresses for each treatment condition is based on 50 measurements. The failure stresses were evaluated by Weibull distribution function as described in [12]. The scale parameter σ_0 represents the stress at which 63.2 % of the filaments break ($P(\sigma_0) = 0,6321$). The shape parameter m (also Weibull modulus) describes the distribution of the failure stress. A higher value of m indicates that the filaments fail in a narrower range of failure stresses. Weibull parameter are determined by plotting $\ln(-\ln(1-P))$ against $\ln(\sigma)$. Thereby, m is equal to slope of generated curve and σ_0 equates to the interception with $\ln(-\ln(1-P)) = 0$.

2.2.4. Wetting behavior. The surface energy measurement was made using a Krüss K14 tensiometer (Krüss GmbH, Germany) in water, ethylene glycol and n-hexane. One end of a single fiber was attached to the hook of an electro micro-balance (sensitivity $\pm 0.1 \mu\text{g}$). The free end of the fiber was immersed into the liquid (final depth of immersion 2 mm, rate 6 mm/min, mean of 20 measurements) [5]. From the test, the force versus position loop was recorded and the force was converted to the contact angle (θ) using the Wilhelmy equation. The surface tension (γ_F) and its two components (non-polar or dispersive part, γ^d and the polar part, γ^p) of the fiber were then calculated to evaluate the wetting behavior of the fiber surfaces.

2.2.5. Single fiber pull out. The interfacial adhesion strength was evaluated by single fiber pull out test [6][7]. Using an embedding equipment designed and constructed at the Leibniz Institute, the model micro-composites were prepared by accurately embedding one end of the single fiber in the matrix perpendicularly with a pre-selected embedding length l_e ($l_e = 300 \mu\text{m}$) and an embedding temperature of 210 °C at controlled atmosphere and temperature. The pull out test was carried out on a self-made pull-out apparatus with force accuracy of 1 mN, displacement accuracy of 0.07 μm and a loading rate of 0.01 $\mu\text{m/s}$ at ambient conditions.

The force-displacement curves were detected and the maximum force, F_{max} , required for pulling the fiber out of the matrix was measured. After testing, the fiber diameter, d_f was measured by optical microscopy. The adhesion bond strength between the fiber and the matrix was characterized by the values of the apparent interfacial shear strength ($\tau_{\text{app}} = F_{\text{max}}/2\pi \cdot d_f \cdot l_e$). Each fiber/matrix combination was evaluated in about 15-20 single tests.

3. Results

3.1. Surface energy

The surface energies of CF depending on the time of plasma treatment are summarized in Fig. 1. Comparing the results of O₂- and CO₂-plasma treatment shows that the O₂-plasma leads to a decreased polar fraction for EP-sized CF after 30 s. Additional testing by FTIR (not included in this paper) proved that this was due to the removal of the sizing. By further plasma treatment the surface tension and polar fraction increases again leading to a value of $\gamma_F=28.4 \text{ mN/m}$ after 60 s in O₂-plasma. In contrast, the highest polar fraction during this investigation was found for CO₂-plasma treated unsized CF after 60 s.

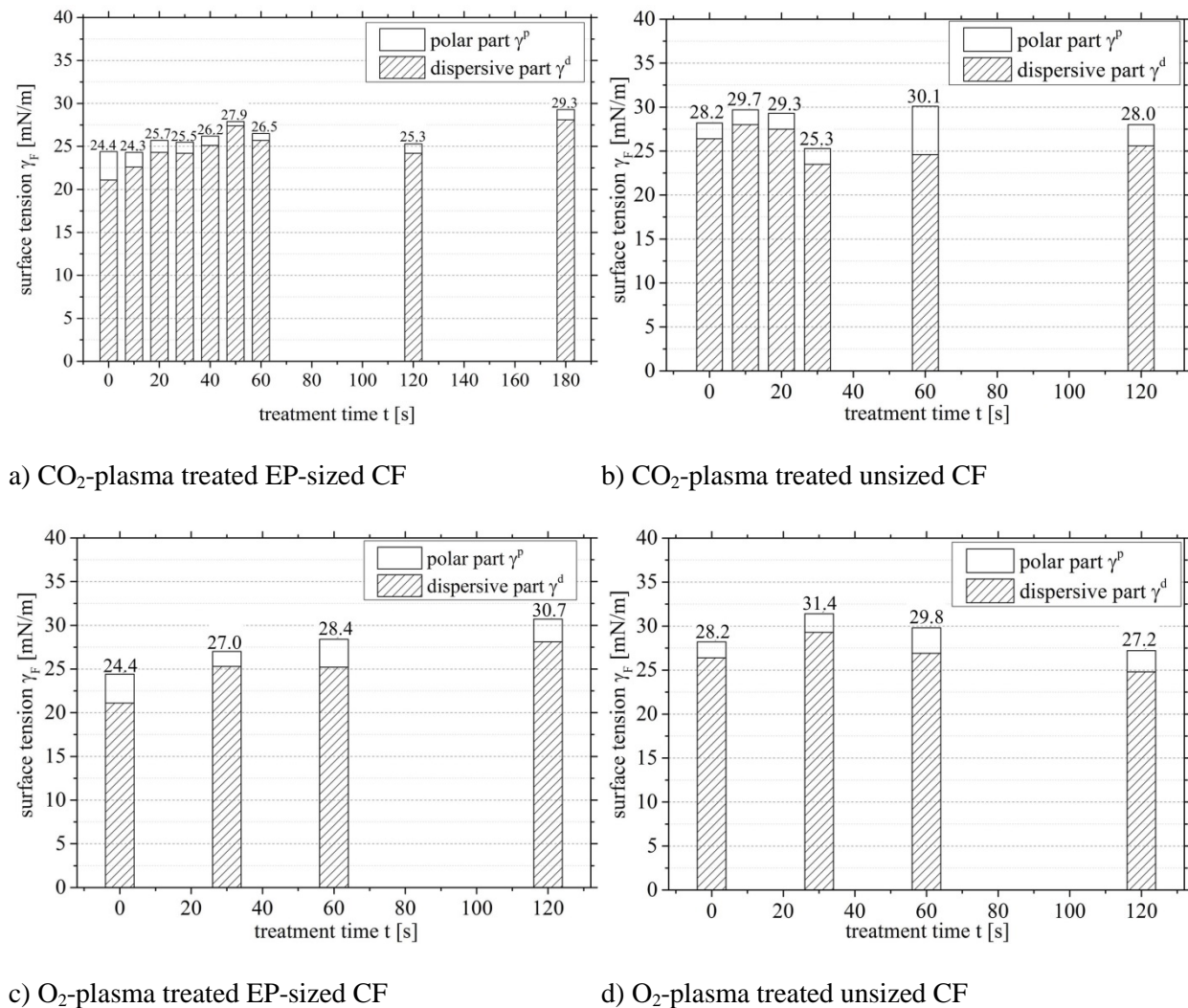


Fig. 1. Surface tension of CO₂-plasma treated a) EP-sized CF, b) unsized CF and O₂-plasma treated c) EP-sized CF, d) unsized CF vs. treatment time.

3.2. XPS

In the literature the carboxylic groups $-\text{COOH}$ and $-\text{C}=\text{O}$ have been discussed for the improvement of fiber-matrix adhesion as well as hydroxyl groups $-\text{C}-\text{OH}$ for increasing the polarity of the fiber surface [8]. To avoid additional effects by sizing only unsized CF were analyzed by XPS after a plasma treatment time of 30 s. During the plasma treatment the [O]:[C]-ratio was increased by CO₂-plasma from 0.061 to 0.281, but also O₂-plasma treatment lead to a slightly increased [O]:[C]-ratio from 0.061 to 0.096.

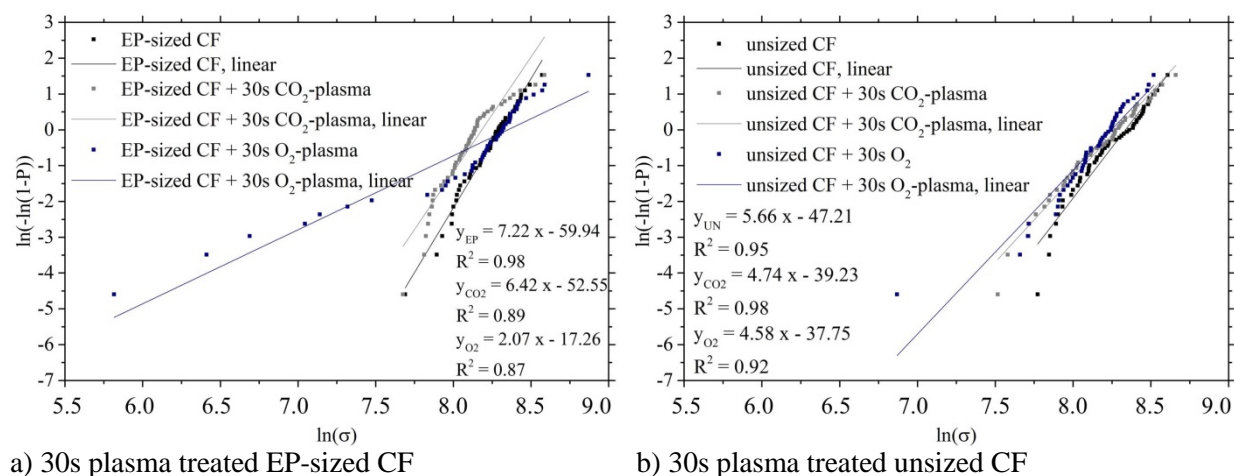
By deconvolution of C1s peaks the bonding characteristics and oxidation state, respectively, can be concluded by resolving in component peaks and their functionalities [11], see Table 1. Compared to the initial state of unsized CF, a slightly increased number of OH-groups after CO₂-plasma treatment and of HO-C=O-/O-C=O-groups after O₂-plasma treatment was detected.

Table 1. XPS bonding characteristics of unsized CF compared to plasma-treated CF.

Peak	Unsize CF	Component [at.-%]	
		CO ₂ , 30 s	O ₂ , 30 s
Unsaturated –C=C- or =C-C= bondings	66.78	63.19	64.66
Saturated hydrocarbons C _x H _z	7.28	8.60	7.08
C-N-bondings of amines, C=N-bondings of imines, C≡N-bondings of nitrils	3.41	2.28	2.59
C-O-bondings of alcoholic and phenolic groups C-OH-groups	2.61	3.87	2.56
Carbonyl carbon atoms (C=O) of ketons or quinones	1.79	1.47	1.57
Carbonyl carbon atoms of carbonic acid groups (HO-C=O) or their carboxylates (O-C=O)	0.07	0.37	0.82

3.3. Filament tensile strength

The tensile failure stresses for CF in the unsized and sized state are subjected to high scatter. The heterogeneity of CF seems to be based on a not homogeneous degree of graphitization of the single fibers [10]. Additionally, the distribution homogeneity of sizings contributes to the extent of scatter for failure stresses. The Weibull distribution function was used to derive the probability of failure. As shown in Fig. 2 and Table 2 the Weibull-modulus of EP-sized CF is decreased during 30 s plasma treatment with CO₂- and O₂-plasma. As already arises from the determination of the surface energies, the sizing is removed during the plasma treatment. This leads to reduced values of the Weibull-modulus, changing from $m = 7.22$ to $m = 2.07$ for O₂-plasma treatment. If EP-sized CF is exposed to longer treatment times of 120 s the failure stress is significantly reduced. Compared to the EP-sized CF no reduced failure stresses due to plasma treatment could be detected for unsized CF, nor for 30 s and neither after 120 s of treatment. It seems that the removal of sizing during plasma treatment is accompanied by effects that lead to severe damage of the CF surface, which do not occur on the unsized carbon surface.



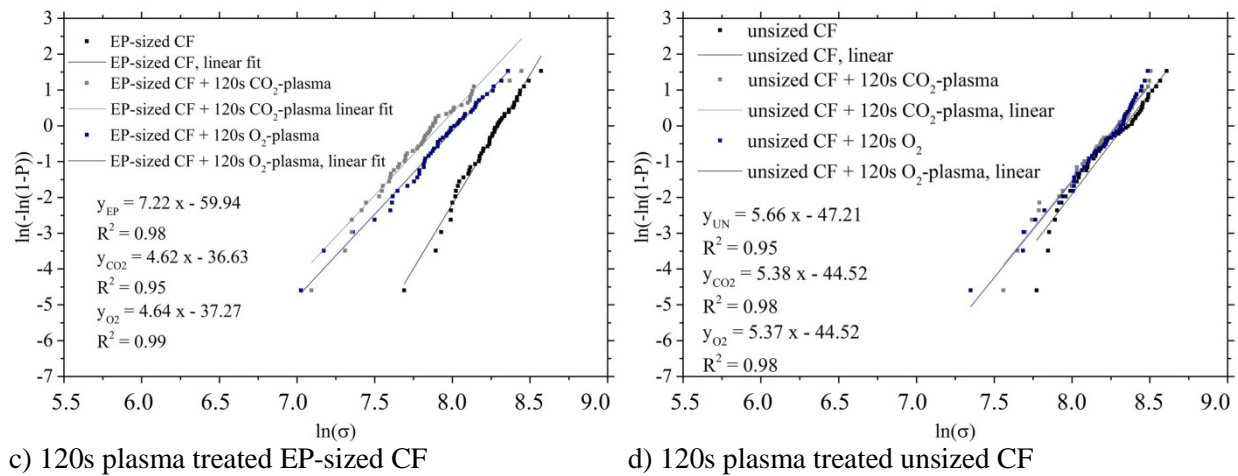


Fig. 2. Weibull plot of the 30 s plasma treated a) EP-sized CF, b) unsized CF and 120 s plasma treated c) EP-sized CF, d) unsized CF.

Table 2. Weibull modulus and characteristic strength of untreated and plasma treated CF.

	scale parameter σ_0 [MPa]	Weibull modulus m	scale parameter σ_0 [MPa]	Weibull modulus m
EP-sized CF	4033	7.22	4033	7.22
unsized CF	4175	5.66	4175	5.66
treatment	30s		120s	
EP-sized CF + CO ₂ -plasma	3582	6.42	2753	4.62
EP-sized CF + O ₂ -plasma	4234	2.07	3079	4.64
unsized CF + CO ₂ -plasma	3948	4.74	3949	5.38
unsized CF + O ₂ -plasma	3814	4.58	3982	5.37

3.4. Fiber-matrix adhesion

The SFPO is a very sensitive method related to changes due to fiber surface modification. For the EP-sized CF the results of SFPO (Table 3) mirror the results that have been received by testing the wetting behavior and the filament tensile strength. It was shown that the EP-sizing is removed during plasma-modification. Since the EP-sizing is not appropriate for fiber-matrix interaction because of its chemical and physical properties, the lowest adhesion strength is measured for EP-sized CF. The removal of the sizing exposes the unsized carbon surface that enables an improved interaction with the MAH-g-PP matrix due to the functional groups on the surface as was determined by XPS. However, also the shear strength of the unsized CF is on the level of EP-sized CF after removing of the sizing. After treatment in CO₂- and O₂-plasma over 30 s no increase of the shear strength was detected, though a longer treatment time of 60s leads to enhanced pull-out force and the highest shear strength in this work. Based on the XPS data after 30 s plasma treatment time it can be assumed that after 60 s the amount of HO-C=O-/O-C=O-groups might have increased and therefore causes improved fiber-matrix interaction.

Table 3. Apparent shear strength and pull-out work for EP-sized and unsized CF embedded in PP matrix before and after plasma treatment.

sample	treatment	t_{app} [MPa]	SD [MPa]
EP-sized CF	none	19.6	9.5
	CO ₂ , 30 s	25.4	9.7
	O ₂ , 30 s	27.8	5.6
unsized CF	none	26.5	5.4
	CO ₂ , 30 s	22.2	4.7
	O ₂ , 30 s	27.5	9.3
	O ₂ , 60 s	30.5	5.5

4. Conclusion

Based on this data it can be concluded that primarily the O₂-plasma modification of CF surfaces reveals potential for the application of functional groups on the carbon surface and therefore an improved fiber-matrix adhesion. However, a crucial change of the carbon fiber surface properties due to the carefully chosen parameters for plasma treatment used in this study is not achieved yet. The nearly constant mechanical properties of the unsized CF imply the possibility of using wider limits for process parameters that come closer to the requirements for a continuously plasma modification concept (short treatment times at high energies).

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