



How to Optimize the Supply and Allocation of Medical Emergency Resources During Public Health Emergencies

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OPEN ACCESS

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Specialty section:

This article was submitted to
Social Physics,
a section of the journal
Frontiers in Physics

Received: 04 July 2020

Accepted: 07 August 2020

Published: 28 October 2020

Citation:

Wang C, Deng Y, Yuan Z, Zhang C,
Zhang F, Cai Q, Gao C and Kurths J
(2020) How to Optimize the Supply
and Allocation of Medical Emergency
Resources During Public Health
Emergencies. *Front. Phys.* 8:383.
doi: 10.3389/fphy.2020.00383

The solutions to the supply and allocation of medical emergency resources during public health emergencies greatly affect the efficiency of epidemic prevention and control. Currently, the main problem in computational epidemiology is how the allocation scheme should be adjusted in accordance with epidemic trends to satisfy the needs of population coverage, epidemic propagation prevention, and the social allocation balance. More specifically, the metropolitan demand for medical emergency resources varies depending on different local epidemic situations. It is therefore difficult to satisfy all objectives at the same time in real applications. In this paper, a data-driven multi-objective optimization method, called as GA-PSO, is proposed to address such problem. It adopts the one-way crossover and mutation operations to modify the particle updating framework in order to escape the local optimum. Taking the megacity Shenzhen in China as an example, experiments show that GA-PSO effectively balances different objectives and generates a feasible allocation strategy. Such a strategy does not only support the decision-making process of the Shenzhen center in terms of disease control and prevention, but it also enables us to control the potential propagation of COVID-19 and other epidemics.

Keywords: COVID-19, computational epidemiology, epidemic propagation, emergence management, multi-objective optimization, medical emergency resources

1. INTRODUCTION

In December 2019, a few patients with atypical pneumonia were first diagnosed in Wuhan, China, and this was reported to the World Health Organization (WHO) [1]. Then, its etiology was identified as the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). It is obvious that the disease was spreading continuously from person to person at the end of January 2020 [2]. The confirmed and imported cases in the Hubei province, China, brought about severe coronavirus outbreaks in many cities across China. More seriously, the disease, called COVID-19, has since spread rapidly across China and to the rest of the world [1]. By the end of June 30, 2020, there were 10,185,374 confirmed cases and 503,862 deaths in 210 countries [3].

Currently, vaccines and antiviral medicines for COVID-19 are still under development. Besides, other pharmaceutical treatments (e.g., plasma therapy) are not likely to be implemented on a large scale. Therefore, the use of a personal protective equipment (PPE) (e.g., hand sanitizers, surgical face masks, and protective clothing) becomes one of the major non-pharmaceutical measures to reduce the infectious hazard [4]. The sudden outbreak throughout China has exacerbated an unprecedented PPE shortage, causing austere challenges to epidemic control [5]. Due to the limited stock of a PPE during the early phase of COVID-19 epidemic, the local health organization suffered great challenges. It has been an open problem for us to efficiently allocate a PPE during an epidemic propagation.

Currently, various models (e.g., SIS, SIR, and SVIR) are considered to model the spreading process for the purpose of estimating the affecting scope from the perspective of theoretical analysis [6–10]. It is also possible to introduce evolutionary game theory during the resource allocation process [11]. However, balancing the allocation of a PPE in different regions is still a tough task due to multiple risk factors and high interest. Two key factors are a high-risk population and the severity of infection [12]. As a result, this paper develops an improved particle swarm optimization algorithm to reconcile this decision

making problem. It balances the heterogeneity of a high-risk population and the severity of infection by incorporating the one-way crossover and mutation operations into the particle swarm optimization framework and eventually generates a reasonable allocation strategy of a PPE.

We apply this algorithm for balancing the allocation of PPE in Shenzhen, which is one of the largest megacities [with a population of more than 11 million [13]] in Guangdong province, China. During the COVID-19 epidemic in China, Shenzhen reported 195 confirmed COVID-19 cases as of February 1, 2020, which was higher than all other cities except Hebei province at that time. Therefore, improving the medical emergency system has been one of the highest priorities in Shenzhen [14]. More specifically, the supply and allocation of PPE is an urgent matter in Shenzhen.

2. METHODS

Shenzhen is divided into ten administrative districts. All parameters are set in **Table 1**. Let $X = (x_1, \dots, x_{10})$ denote a PPE

TABLE 1 | Parameters are used in the experiments of the GA-PSO algorithm.

Parameters	Source
N_i	Population size in the i th district in 2016 [13]
O_i	Population size of people aged 65 or more in the i th district in 2015 [15]
I_i	The number of confirmed cases in the i th district by February 1, 2020 [16]
A_i	The number of impacted individuals in per confirmed case which is assumed as 1,000
X	The PPE allocation over 10 districts where $X = (x_1, \dots, x_{10})$
G	The total allocation in Shenzhen where $G = \sum_i x_i$

TABLE 2 | The demographic information of 10 districts in Shenzhen and the predicated PPE allocation of the highest risk and the greatest severity objectives.

District	The predicted allocation of the largest high-risk	The predicted allocation of the greatest severity	Population in 2016	Age 65 in 2015	Cumulative cases on february 1, 2020
Bao'an	3	21	2,863,300	51,938	22
Dapeng	36	36	135,600	4,499	2
Futian	84	705	1,440,600	80,605	36
Guangming	4	3	531,200	8,620	5
Luohu	13	61	975,600	44,210	11
Longhua	48	4	1,511,500	23,434	24
Longgang	61	25	2,052,400	44,620	41
Nanshan	705	84	1,291,200	57,477	46
Pingshan	25	13	356,100	5,935	5
Yantian	21	48	221,200	8,173	3

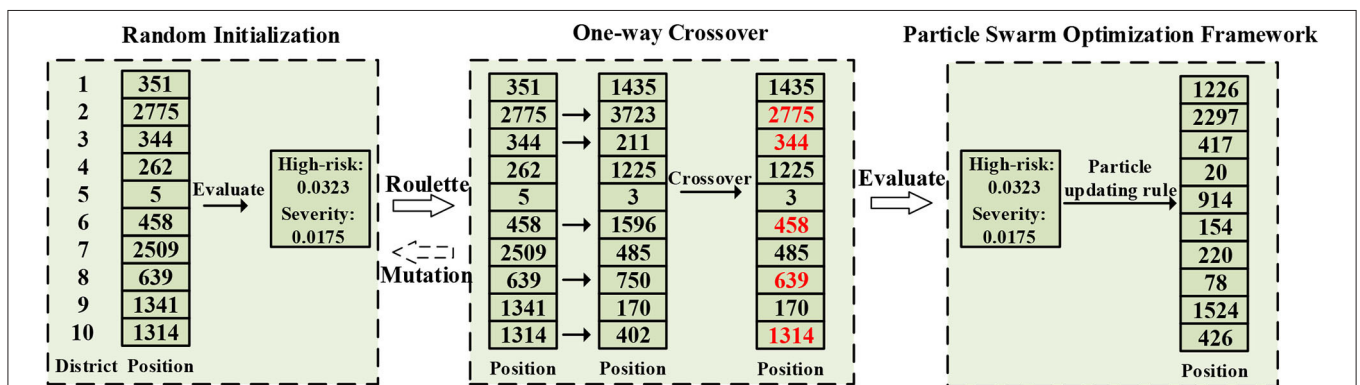


FIGURE 1 | The overview of the GA-PSO algorithm, which comprises three components, i.e., the random initialization, the genetic operations, and the particle swarm optimization framework. The initial particles are selected by the roulette method. When a random number is less than the mutation rate, the particle is executed the initialization operation.

allocation over 10 districts, and $G = \sum_i x_i$ represent the total allocation. The optimization strategy is based on the following assumptions and objectives:

- High-risk population:** Due to multiple complications and a high fatality rate of people over 65, we select people over 65 [17]. The i th district with O_i people aged 65 or more is allocated x_i sets of PPE defined in Equation (1), where N_i is the population size in the i th district.

$$\sum_i x_i \times O_i / N_i \tag{1}$$

- The severity of infection:** The i th district with I_i confirmed cases is allocated x_i sets of PPE defined in Equation (2). A_i is

the number of impacted individuals per confirmed case, which is assumed to be 1,000 for all districts.

$$\sum_i x_i \times A_i \times I_i / N_i \tag{2}$$

Based on the above two objectives, this paper proposes a novel multi-objective optimization algorithm, named as GA-PSO, developed on the basis of MODPSO [18]. More specifically, GA-PSO is partially modified to simultaneously optimize the high-risk population and the severity of infection. The overview of GA-PSO is shown in **Figure 1**. It adopts one-way crossover and mutation operations to modify MODPSO in order to enhance the quality of solutions and escape the local optimum [19, 20].

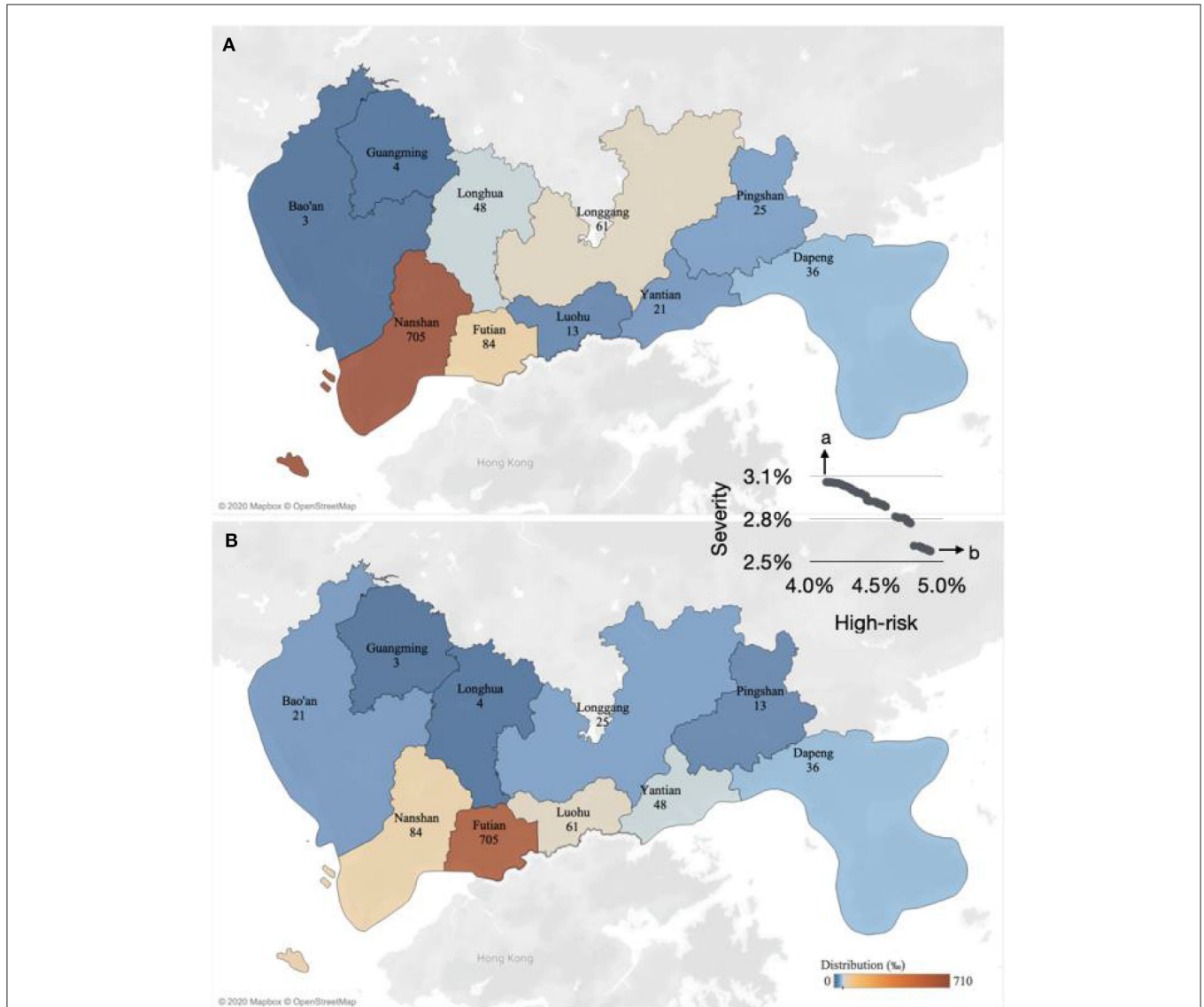


FIGURE 2 | Estimated PPE optimized allocation in the 10 districts of Shenzhen on February 14, 2020. The Pareto frontier uses the two objectives of high-risk and severity priorities in the middle right inset. The predicted allocation of the greatest high-risk priority in **(A)** and the predicted allocation of the greatest severity priority in **(B)**.

Every population is composed of a set of particles which is a feasible solution (i.e., X). Firstly, a random population of particles is initialized. X is a random integer vector by $G = \sum_i x_i$. Then, X is evaluated by the high-risk population and the severity of infection. It adopts the roulette method to select the preferable fitness particles. After that, it executes the one-way crossover operation. When a random number is less than the mutation value, it generates random particles. Finally, it iteratively generates new populations using particle status updating rules. During each iteration, the algorithm updates the Pareto solutions. When the number of iterations reaches the set value, the currently obtained Pareto solutions are the final solutions for the optimization problem.

3. RESULTS

The Shenzhen dataset is shown in **Table 2** and includes the population size in 2016 [13], the number of people aged 65 or more in 2015 [15], and the cumulative cases on February 1, 2020 [16]. Besides, the PPE optimized allocation is calculated by GA-PSO as shown in **Table 2**. **Figure 2** describes that the GA-PSO detects the Pareto front solutions for the PPE optimized allocation over 10 districts in Shenzhen. Every Pareto front solution indicates a feasible allocation. Different solutions can lead to different objective function values as quantified by the high-risk and severity priorities. The value of high-risk priority has ranged from 4.1% to 5.0%, and the value of the severity priority has ranged from 2.5% to 3.1% in **Figure 2**.

In summary, two objectives (i.e., the high-risk population and the severity of infection) are added to obtain the PPE accessibility. The maximum value of the PPE accessibility is 7.53% for older or impacted individuals. When only the maximal high-risk population is the objective, the optimized allocation is estimated across 10 districts in Shenzhen, ranging from 3‰ in suburban Bao'an to 705‰ in central Nanshan. If the severity is the solitary objective, the allocation is from 3‰ in suburban Guangming to 705‰ in central Futian. The central districts of Futian and Nanshan top the PPE priority because of the maximal older people and confirmed cases.

4. DISCUSSION

Metropolises (e.g., New York, London, Paris, and San Paulo) have been experiencing an urgent demand for medical emergency resources since the early phase of the COVID-19 epidemic.

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The use of a personal protective equipment (PPE) (e.g., hand sanitizers, surgical face masks, and protective clothing) has become one of the major non-pharmaceutical measures with which to reduce the infectious hazard. Due to the limited stock of PPE in particular during the early phase of the COVID-19 epidemic, the local health organization has suffered greatly. It is therefore a challenge to balance the supply and allocation of PPE during the available time. To overcome this challenge for practical applications, the multi-objective optimization is a promising method.

A multi-objective optimization algorithm generates a set of Pareto solutions each of which is a tradeoff between multiple objectives. Consequently, the multi-objective optimization facilitates the decision making problem. In addition to PPE, the pharmaceutical prophylactics (e.g., antibiotics, vaccines, antitoxins, and other critical medical supplies) are also faced with the decision making problem because of the limited supply in the early phase. Our optimization algorithm for PPE can therefore be extended to other pharmaceutical prophylactics to narrow the scope of a disease spread and to avoid catastrophic economic and social consequences.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

AUTHOR CONTRIBUTIONS

CW, CG, YD, ZY, and QC performed the experiments. CW, CG, and FZ analyzed the data. CW, CG, YD, and JK wrote the paper. CW, CG, ZY, CZ, and JK planned the study. All authors contributed to the article and approved the submitted version.

FUNDING

This work was supported in part by the National Natural Science Foundation of China (No. 61976181), Natural Science Foundation of Chongqing (No. cstc2018jcyjAX0274), the Fundamental Research Funds for the Central Universities (No. XDJK2020D022), the Open Fund of Key Laboratory of Urban Land Resources Monitoring and Simulation (No. MNR KF-2019-04-034), Chongqing Graduate Student Research Innovation Project (No. CYS20116), and the Russian Ministry of Science and Education Agreement (No. 13.1902.21.0026).

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