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# 1 Molecular subclasses of clear cell ovarian carcinoma and their impact on disease behavior and

# 2 outcomes

- 3
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## 37 Conflict of Interest

- 38 KLB receives grant funding from Bristol Myers Squibb and Servier, outside the scope of this study. BW
- 39 reports ad hoc membership of the advisory board of Repare Therapeutics, outside the scope of this
- 40 study. ADeF has received grant funding and honoraria from AstraZeneca, outside the scope of this
- 41 study.

#### 42 Statement of translational relevance

- 43 Clear cell ovarian cancer (CCOC) is the second most common subtype of epithelial ovarian cancer and
- 44 when diagnosed at an advanced stage has a poor prognosis. The relationship between molecular
- 45 profiles and clinical presentation or outcomes are still unknown but could help guide the development of
- 46 personalized therapeutic approaches for CCOC. Here we profiled 421 primary CCOCs using deep
- 47 targeted sequencing and whole transcriptome sequencing on a subset of 211. Clustering of cancer
- 48 driver mutations and RNA expression converged upon two distinct subclasses of CCOC. The first was
- 49 dominated by *ARID1A*-mutated tumors with enriched expression of canonical CCOC genes and
- 50 markers of platinum resistance; the second was largely comprised of tumors with *TP53*-mutations and
- 51 enriched for the expression of genes involved in extracellular matrix organization and mesenchymal
- 52 differentiation. These two distinct molecular subclasses showed distinct clinical presentation and
- 53 outcomes, with potential relevance to therapeutic responsiveness.

54

#### 55 Abstract

56

57 **Purpose:** To identify molecular subclasses of clear cell ovarian carcinoma (CCOC) and assess their
 58 impact on clinical presentation and outcomes.

59

Experimental Design: We profiled 421 primary CCOCs that passed quality control using a targeted deep sequencing panel of 163 putative CCOC driver genes and whole transcriptome sequencing of 211 of these tumors. Molecularly-defined subgroups were identified and tested for association with clinical characteristics and overall survival.

64

65 **Results:** We detected a putative somatic driver mutation in at least one candidate gene in 95% (401 66 out of 421) of CCOC tumors including: ARID1A (in 49% of tumors), PIK3CA (49%), TERT (20%) and 67 TP53 (16%). Clustering of cancer driver mutations and RNA expression converged upon two distinct 68 subclasses of CCOC. The first was dominated by ARID1A-mutated tumors with enriched expression of 69 canonical CCOC genes and markers of platinum resistance; the second was largely comprised of 70 tumors with TP53-mutations and enriched for the expression of genes involved in extracellular matrix 71 organization and mesenchymal differentiation. Compared to the ARID1A-mutated group, women with 72 TP53-mutated tumors were more likely to have advanced stage disease, no antecedent history of 73 endometriosis, and poorer survival, driven by their advanced stage at presentation. In women with 74 ARID1A-mutated tumors, there was a trend towards lower response rate to first-line platinum-based 75 therapy.

76

Conclusions: Our study suggests that CCOC consists of two distinct molecular subclasses with
 distinct clinical presentation and outcomes, with potential relevance to both traditional and experimental
 therapy responsiveness.

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81

#### 82 Introduction

83 Historically, tumor treatment approaches have been dictated by tissue site; but large-scale molecular 84 profiling efforts have shown that remarkable heterogeneity exists in the landscape of cancer driver 85 genes and pathways within tumor types and even within histologic subtypes. This has been well characterized for many common tumors through multi-omic profiling<sup>1</sup> and characterization of the 86 87 genetic determinants of tumor behavior and outcome has led to the development of personalized 88 therapeutic approaches. Indeed, for some cancers, prognosis and therapeutic strategies are based 89 primarily on their presence of genetic driver mutations identified in the tumor<sup>2-7</sup>. For several rare cancer 90 types such as ovarian clear cell carcinoma (CCOC), no strong associations between molecular profiles 91 and clinical presentation or outcomes are known and broad-acting platinum-based chemotherapy 92 remains the standard of care.

93 When diagnosed at an advanced stage, CCOC has a worse outcome than other invasive ovarian 94 cancers including the more common high-grade serous ovarian carcinoma (HGSOC) (median overall survival of 10 months)<sup>8,9</sup>, presents at a younger age<sup>10</sup>, and is less responsive to platinum-based 95 96 therapy<sup>11</sup>. Relatively small studies suggest that CCOC possesses several driver events that are distinct 97 from HGSOC. CCOC is thought to arise from endometriotic lesions with recurrent somatic mutations in 98 PIK3CA and ARID1A, which are rare in HGSOC<sup>12-15</sup>. In addition, the existing data suggests that 99 CCOCs are commonly TP53-wild-type (whereas HGSOC ubiquitously harbors TP53 mutations) and exhibits fewer structural rearrangements than HGSOC<sup>13</sup>. However, it is not known whether clinically 100 101 meaningful molecular subtypes of CCOC exist.

In the current study, we performed comprehensive targeted sequencing and transcriptomic profiling of a large, multi-ethnic cohort of 421 primary CCOCs to identify disease subclasses with distinct biology and clinical behavior, which-in turn may provide avenues for personalized therapeutic approaches.

#### 105 Materials and Methods

106 Study Participants

107	Clinical data and therapy-naïve fresh frozen tumor material were utilized from women diagnosed with
108	invasive CCOC and enrolled into research studies from the following sites: Memorial Sloan Kettering
109	Cancer Center Gynecology Tissue Bank (MSK; New York NY, USA), Mayo Clinic (MAY; Rochester
110	MN, USA), Addenbrooks Hospital (ADD; England), Cedars-Sinai Medical Center (WCP; Los Angeles
111	CA, USA), University of Pittsburgh (PIT; Pittsburgh PA, USA), Gynaecological Oncology Biobank
112	(GynBiobank) at Westmead Hospital (WMH; Sydney, Australia), University of Edinburgh (SCOT;
113	Scotland), Canadian Ovarian Experimental Unified Resource (COEUR; Multiple sites, Canada),
114	Brigham and Women's Hospital (BWH; Boston MA, USA), and University of Pennsylvania (UPA;
115	Philadelphia PA, USA). Participants provided written informed consent. The studies were conducted in
116	accordance with recognized ethical guidelines (e.g., Declaration of Helsinki, CIOMS, Belmont Report,
117	U.S. Common Rule), and approved by local institutional review boards. Extraction of DNA/RNA was
118	performed centrally at MSK (for cases from MSK, WCP, PIT, BWH and UPA) or locally (for cases
119	from MAY, ADD, WMH and COEUR). For the cases which were extracted centrally at MSK, slides
120	from frozen tissue sections were reviewed by a pathologist (R.M) and extraction of DNA/RNA was
121	performed from tumor sections, selected based on high content (>80%) of clear cell carcinoma. In total,
122	tumors from 447 women diagnosed with CCOC were analyzed. Race and menstruation status (pre vs.
123	post-menopausal) was obtained through participant self-report. History of endometriosis was also
124	obtained through self-report except at MSK where endometriosis was only available if mentioned on the
125	pathology report. Tumor characteristics and clinical outcomes were obtained through medical record
126	review.

127 Targeted DNA Sequencing and Analysis.

We performed targeted sequencing of 163 putative CCOC driver genes (Supplementary Table 1) in DNA samples from the 447 tumor and blood-derived DNA from 16 unmatched controls using a custom Nimblegen capture-based panel. Genes were selected based on a combined analysis of 105 clear cell somatic sequencing studies including: (1) whole genome sequencing of 31 CCOCs from Wang et al.<sup>13</sup>;

(2) whole exome sequencing of eight cases from Jones et al<sup>12</sup>; (3) targeted sequencing of 26 CCOCs 132 using a panel of 465 known cancer drivers (MSK-IMPACT)<sup>16</sup>; and targeted or whole exome sequencing 133 of 40 CCOCs from project GENIE<sup>17</sup>. Included in our panel were 119 genes where somatic mutations 134 135 have been identified in two or more CCOCs; 41 established cancer driver genes based on the COSMIC Cancer Gene Census<sup>18</sup> mutated in one CCOC and three genes in the SWI/SNF complex (SMARCB1. 136 137 SMARCC1, SMARCC2)<sup>14</sup> that have been implicated in CCOC biology<sup>19</sup> (Supplementary Table 1). We 138 also included on the sequencing panel highly polymorphic single nucleotide variants distributed every 139 3MB throughout the genome to capture large copy number deletions/amplifications.

140 Of 447 tumor samples, 421 (94%) passed quality control. As a technical set of normal samples (panel 141 of normals), we included DNA extracted from the blood of ten healthy, cancer free individuals. Two 142 tumor samples failed due to low coverage, 12 due to sample contamination and 12 due to duplication. 143 The median sequencing coverage per sample was 539x. Raw sequence data were aligned to the human genome (NCBI build 37) using BWA<sup>20</sup>. Variant calling for single nucleotide variants was 144 performed using Mutect2<sup>21</sup>, Strelka<sup>22</sup> and CaVEMan<sup>23</sup> and for insertions/deletions using Pindel<sup>24</sup>, 145 Mutect2<sup>21</sup> and Strelka<sup>22</sup>. We considered mutations to be true if they: (1) passed at least two variant 146 147 callers; (2) were present at a variant allele fraction of greater than 2%; (3) were present in gNOMAD<sup>25</sup> 148 whole exome sequencing data with a maximum population frequency of less than 0.001; (4) had a 149 variant allele frequency (VAF) at least two times greater than the median VAF in a panel of normal 150 samples; and (5) were present in none of the panel of normal samples at a VAF of 2% or greater. We 151 further excluded mutations in low complexity regions (DUST<sup>26</sup> score >7). Mutations in known cancer 152 hotspots that met all other requirements but failed due to low complexity or to only being passed by one 153 variant caller were retained for consideration. We calculated a microsatellite instability score for each tumor using MSI sensor<sup>27</sup> 154

We used Bayesian Dirichlet processes to establish classification rules that partitioned tumors into subgroups, minimizing overlap between categories. The Dirichlet process defines an infinite prior distribution for the number and proportions of clusters in a mixture model, fitted with the use of the Markov chain Monte Carlo method<sup>28</sup>. Our method was based on an implementation of the Dirichlet 160 Dirichlet process. We used 5,000 burnin iterations and subsequently sampled 10,000 realizations at

161 intervals of 20 iterations. From this collection of data, we computed the optimal number of clusters,

162 requiring that 90% of the samples were assigned a cluster.

163 Whole Transcriptome Sequencing and Analysis

164 RNA-Seq libraries were prepared for 211 cases from total RNA derived from the same tumor section 165 using poly(A) enrichment of the mRNA. 100 bp paired-end libraries were sequenced on Illumina's 166 HiSeq at a targeted depth of 40 million reads per sample. We performed alignment using STAR<sup>29</sup> 167 (version STAR 2.5.1b) against the reference genome hg38 (GENCODE v26). Reads were summarized using featureCounts<sup>30</sup> (version 1.5.0-p1). RNA clusters were defined using hierarchical clustering using 168 169 the top 500 most variable protein coding genes (clustering parameters: method = ward.D2, distance = 170 canberra). Differentially expressed genes between RNA cluster 1 and RNA cluster 2 samples were obtained using the R package DESeg2<sup>31</sup> (version 1.28.1) with collection site and RNA cluster as part of 171 172 the design formula. Pathway enrichment analysis was performed using Metascape<sup>4</sup> (version 3.5). 173 looking for enrichment of GO and KEGG terms, Hallmark, Reactome and BioCarta Gene Sets, and 174 Canonical Pathways. The top 500 most overexpressed genes in RNA cluster 1 (log<sub>2</sub> fold change < 1 175 and FDR < 0.05) and the top 500 most overexpressed genes in RNA cluster 2 were used as input for 176 Metascape<sup>32</sup>.

177 Outcome Analyses

Survival data was available for 350 cases. Survival time was calculated from the date of diagnosis to last follow-up and allowed for left truncation for cases who were consented following diagnosis. We right censored at five years from diagnosis to reduce non-ovarian cancer related deaths. Race, age at diagnosis (continuous and quadratic, assigned as site median for three cases), tumor stage, extent of residual disease and study site were considered as covariates using a Cox Proportional Hazards model. Proportionality of hazards was examined using Schoenfeld residuals. In addition, contingency analysis was done on tumor mutational status and tumor cluster with primary treatment response

- 185 (complete response or partial response compared to stable or progressive disease) stratified by tumor
- 186 stage and vital status up to five years using a chi-square test.

#### 187 Data Availability Statement

- 188 The somatic variant calls and normalized RNAseq intensity data, code and deidentified clinical data is
- 189 available here: https://github.com/kbolton-lab/Bolton\_OCCC . This will enable all the figures and tables
- 190 to be re-generated and also provide data for others for future analyses. We will also make the
- 191 BAMs/FASTQs available to researchers through contacting Kelly Bolton (bolton@wustl.edu).
- 192

### 193 **RESULTS**

#### 194 Clinical characteristics

Key characteristics, other than race, of the 421 participants included in the study did not vary between study sites (Table 1). Compared to clinical characteristics reported in the literature for women with HGSOC<sup>10,33</sup>, women with CCOC in this cohort were more likely to be of Asian ancestry (12% of individuals with non-missing race), have a history of endometriosis (13%) and present with early stage disease (69%).

200 Targeted DNA sequencing of candidate CCOC driver genes

201 In 163 candidate CCOC driver genes we identified 6,361 mutations. Of these, 1,488 mutations were

202 classified as potentially pathogenic based upon annotation in OncoKB<sup>34</sup>, frequency in COSMIC,

203 frequency in previously published CCOC sequencing data<sup>12,13,16</sup>, predicted pathogenicity based on

204 PolyPhen<sup>35</sup> and SIFT<sup>36</sup>, and prior evidence in the literature (Supplementary Table 2). At least one

- 205 putative driver mutation was identified in 401 out of 421 tumors (95%) (mean number of mutations 3,
- range 1-25) (Figure 1a and c). The most commonly mutated genes were *ARID1A* (49%, N=205),
- 207 PIK3CA (45%, N=188) and the TERT promoter (20%, N=84). The most frequently recurrent mutations
- were clonally dominant with a VAF >35% (e.g. *ARID1A* and *TP53*) suggesting that they represented
- 209 early events while others (e.g. CREBBP) were more often sub-clonal, possibly representing secondary

210 events (Figure 1b). We detected a higher proportion (16%, N=71) of tumors with TP53 mutations than has been described by some  $(9-15\%)^{13,37}$  but not all NGS studies  $(18\%)^{38}$ . This raises the possibility 211 212 that some of the CCOCs in this cohort were misdiagnosed high-grade serous or endometrioid ovarian 213 cancers. We explored this possibility in detail. First, we noted that 10 out of 71 TP53 mutations (14%) 214 were deeply sub-clonal (VAF<10%); previous studies may not have detected these mutations as they 215 used lower-depth sequencing (Figure 1b). Second, we performed additional pathologic review to verify 216 clear cell histology for a subset of the cases where formalin-fixed paraffin-embedded (FFPE) tissue 217 sections were available. This included 14 (20%) of the TP53-mutated cases and 4 (15%) of the 218 BRCA1/2-mutated cases where formalin-fixed paraffin-embedded (FFPE) tissue sections were 219 available. On the basis of morphology combined with and immunohistochemical staining of Napsin A, p53, and WT1<sup>39</sup> (markers of HGSOC and not CCOC) it was determined that four out of 14 *TP*53-mutant 220 221 cases (28%) (three endometrioid carcinomas and one HGSOC) were misclassified as CCOC. None of 222 the BRCA1/2-mutated cases were misclassified. Thus, by extrapolation we estimate that approximately 223 19 of our 71 TP53-mutant tumors in this cohort were misclassified.

224 A subset of tumors (N=20) bore mutations in SMARCA4, a gene that is the sole driver mutation in ovarian small cell carcinoma hypercalcemic type (OSCCHT)<sup>40-42</sup>. However, unlike OSCCHT, in our 225 226 CCOC cases we observed SMARCA4 to be most commonly co-mutated with either ARID1A (50%) or 227 PIK3CA (35%). Similar to our analysis of TP53 mutated cases we performed central pathology review 228 of a subset (N=8) of the SMARCA4 mutated cases. All of these cases showed typical CCOC 229 morphology and were positive for clear cell markers such as PAX8 (8/8 diffuse), and Napsin A (5/8 230 diffuse, 2/8 focal) or HNF1B (5/5 diffuse). We conclude that there was no evidence for these cases 231 being misclassified OSCCHT. Whether SMARCA4 has a similar driver capacity in CCOC compared to 232 OSCCHT requires further study.

233

Most cases (75%) had at least one large-scale copy number event with the most frequently recurrent events reflecting common cancer-driver aneuploidies including 8q amplification<sup>19</sup> (Supplementary Figure 1). Cases with *TP53* mutations had more whole chromosome or arm-level aneuploidies (mean =12) compared to wild-type tumors (mean = 8) (Supplementary Figure 2). *TP53*-mutant/*ARID1A*-mutant tumors showed less genomic instability (mean number of aneuploidies=7) compared to *TP53*-

239 mutant/*ARID1A*-wildtype tumors (mean number of aneuploidies=13). We detected recurrent fusions in

240 TGM7 (N=5) as previously shown by Earp *et al*<sup>43</sup>. In addition, recurrent fusions involving BCAR4 (N=6),

241 *ITCH (*N=6*)* and *DCAF12* (N=5) were observed. These are known cancer fusion partners but have not

been reported in CCOC before. (Supplementary Figure 3).

243 We evaluated mutation status with respect to clinical and epidemiological factors including age, race,

tumor and history of endometriosis. Compared to *ARID1A*-mutated tumors, patients with *KRAS* 

245 mutations were older at presentation (median age 53 vs. 67, p=0.03; Figure 2a). Individuals with a

history of endometriosis were more likely to have *ARID1A*-mutated tumors (72% and 47% of patients

with and without endometriosis respectively,  $p=2x10^{-4}$ ) (Figure 2b). Advanced stage tumors were more

248 likely to harbor *TP53* mutations than early-stage tumors (27% vs. 11% respectively,  $p=2x10^{-4}$ ) (Figure 249 2c). Among *TP53* mutant tumors, a similar proportion (50% and 51%, respectively) were advanced

250 stage with or without co-occurring *ARID1A* mutations. There was a trend towards a higher frequency of

251 *ARID1A*-mutated tumors in women of east Asian descent but this was not significant (Figure 2d).

252 We next examined the relationship between mutational burden, cancer driver genes and patterns of

253 genetic co-occurrence. Several genes harbored recurrent mutations within the same tumor

254 (Supplementary Figure 4). This seen for both tumor suppressor genes (e.g. *ARID1A*) and specific

255 oncogenes including PIK3R1 and PIK3CA. Among tumors with multiple PIK3CA mutations, variants

were more likely to occur in non-hotspot locations within the gene (Supplementary Figure 5) $^{44}$ .

257 MSIsensor score was higher among individuals more than 10 driver mutations (N=12, 3%) and among

those with *MSH2* and *MSH6* mutations (Supplementary Figure 6). We observed a statistically

significant co-occurrence between mutations in *ARID1A*, *PIK3CA*, *TP53* and *BRCA1/BRCA2* Mutual

260 exclusivity between somatic mutations of *ARID1A*, *TP53*, *PIK3CA* and *PIK3R1* (Supplementary Figure

261 7) suggests that these may represent distinct pathways to oncogenesis. The exclusivity between *TP53* 

and ARID1A mutation was stronger in the setting of multiple ARID1A mutations (OR=0.21; 95% CI

263 0.07-0.54,  $p=2x10^{-4}$ ) compared to a single *ARID1A* mutations (OR=0.68, 95% CI 0.32-1.34, p=0.28).

<sup>264</sup> "We observed 54 mutations in genes known to be relevant to high penetrance genetic predisposition to

ovarian cancer including *PMS2*, *MSH6*, *MSH2*, *BRCA1* and *BRCA2*. Overall 52% of these mutations
were present at a VAF in the tumor of >=35%. In the absence of matched normal tissue sequencing,
we were not able to distinguish these from germline variants. Thus, it is possible that up to 26 cases
(6% of the cohort) harbored a germline pathogenic variant in a known cancer susceptibility gene."

269 Because we observed clear patterns of exclusivity and co-occurrence between gene drivers, we used 270 unsupervised clustering approaches to define non-overlapping subgroups of CCOC based on their 271 mutational spectrum. We defined seven subgroups (Supplementary Figure 8) and compared the 272 frequency of mutations between subgroups. Four clusters were characterized by having an ARID1A 273 mutation; the first cluster (cluster A) was characterized by a single ARID1A mutation in combination 274 with another disease defining mutation (e.g. PIK3CA, TERT, TP53, KRAS, PTEN, PPP2R1A, PIK3R1, 275 CREBBP or SPOP) (N=86); the second (cluster B) with a single ARID1A mutation alone or in 276 combination with non-disease defining mutation (N=19); the third (cluster C) with multiple ARID1A 277 mutations combined with a PIK3CA mutation (N=81); and a forth (cluster D) with multiple ARID1A 278 mutations and PIK3CA wild-type (N=25). Two clusters were ARID1A wildtype: Cluster E was defined by 279 a TP53 mutation (N=50); and cluster F by other non-TP53 disease-defining mutations (N=104). A final 280 cluster (cluster G) was characterized by mutations in SMARCA4 (N=13); a mutation typically observed in small cell ovarian carcinoma<sup>23</sup>. The remaining tumors were undefined (N=57). 281

Similar to the patterns we observed when studying the association between individual mutations and clinical features, the *TP53*-mutated, *ARID1A*-wild-type cluster showed an enrichment of advanced stage disease while tumors belonging to the *ARID1A*-mutant clusters were more likely in individuals of Asian ancestry and those with a history of endometriosis (Supplementary Figure 9). Individuals in cluster G (*SMARCA4*-mutant tumors) had a non-significant trend towards a younger age at diagnosis (p=0.32).

288 Transcriptomic profiling of CCOC

Transcriptomic profiles were generated for 212 CCOC tumors in which targeted sequencing was also
 performed. Using unsupervised clustering informed by expression of the 500 most variable genes, we

291 identified two main RNA clusters (Supplementary Figure 10): Expression cluster 1 showed higher 292 expression of genes previously reported as highly expressed in CCOC including ANXA4 and GPX3, both of which are linked to platinum resistance<sup>45,46</sup>. Among the most highly expressed genes in cluster 293 1 compared to 2 also included  $GPX3^{4,7}$ , which is known to be overexpressed in endometriosis 294 295 compared to normal endometrial tissue, and EEF1A2, known to be overexpressed in CCOC associated 296 endometriosis but not benign endometriosis<sup>48</sup>. Genes that characterized this cluster were enriched in metabolic pathways including flavonoid glucuronidation (p=10<sup>-15</sup>) and monocarboxylic acid metabolism 297 298 (p=10<sup>-13</sup>). Expression cluster 2 showed enriched expression of genes involved in extracellular matrix (ECM) organization ( $p=10^{-22}$ ) and mesenchymal differentiation, including genes such as ADGR2 and 299 300 PDCH19 (Supplementary Figure 10 and Figure 3b). Compared to cluster 1, expression cluster 2 also showed higher expression of WT1 and lower expression of CCOC marker HNF1B, which are features 301 classically associated with high-grade serous ovarian cancer<sup>9</sup> (Figure 3b). Expression cluster 2 was 302 303 enriched with TP53-mutant tumors (55% of cases in cluster 2 compared to 10% in cluster 1). When 304 comparing RNA expression and mutation clusters, cluster 2 was largely comprised of tumors belonging 305 to mutation cluster E i.e TP53-mutant ARID1A-wildtype tumors (45% of cluster 2) and the undefined 306 mutation cluster (33% of cluster 2) (Figure 3a).,

#### 307 Clinical Outcomes

308 There was no statistically significant association between overall survival and CCOC mutations when

309 examined on a per-gene level in Cox proportional hazards models stratified by study site

310 (Supplementary Table 3). We observed a non-significant trend towards improved survival for patients

311 with *ARID1A* (HR=0.82, 95% CI 0.58-1.15, p=0.24) and *PTEN* (HR=0.52, 95% CI 0.24-1.12, p=0.10)

312 mutant tumors. Because of the similarity of the *ARID1A*-mutant clusters in regards to clinical

- 313 presentation and outcome, we combined these clusters for the purpose of survival analysis. Women
- 314 with *TP53*-mutant, *ARID1A*-wildtype tumors had worse overall survival compared to those with

315 ARID1A-mutant tumors (HR=1.72, 95% CI 1.06-2.81, p=0.03, Figure 4a). Similarly, RNAseq cluster 2

- 316 showed an increased risk of death compared to RNAseq cluster 1 (Figure 4b, Tumor Cluster 2 versus
- 317 Tumor Cluster 1 HR 2.8, 95% Cl 1.66 4.84; p=1x10<sup>-4</sup>). Covariate adjustment for age, race, stage and

residual disease attenuated the estimated mutation and cluster-associated risk (Supplementary Table
4). To explore how these subgroups might influence therapy outcome, we studied the relationship
between mutation status and response to first line therapy with platinum/taxane combination therapy.
We limited this to women with advanced stage disease who successfully underwent debulking surgery
followed by combination platinum/taxol therapy (N=36). Women with *ARID1A* wild-type, *TP53*-mutant
tumors were more likely to have a complete response 75% (N=11) compared to *ARID1A*-mutant tumors
(55%), although this was not statistically significant (p=0.33) in this small sample size.

#### 325 **DISCUSSION**

326 Our results have several clinical implications. First, the results of both genomic and transcriptomic 327 cluster associations with clinical presentation and outcome converged, suggesting two main subgroups 328 of CCOC: The first subtype included ARID1A-mutant tumors (particularly double-mutant tumors) and 329 other common CCOC mutations (e.g. PIK3CA, TERT etc) that showed enriched expression of 330 metabolic pathways, presented with early stage disease and were more likely to have a history of 331 endometriosis. We denote this group as "classic-CCOC", which represented 83% of our cohort. The 332 second CCOC subtype was dominated by TP53-mutant tumors that showed enriched expression of 333 genes involved in extracellular matrix organization, mesenchymal differentiation and immune-related 334 pathways. These cases presented with advanced disease and had worse survival. Interestingly, TP53 335 mutations either in the presence or absence of co-occurring ARID1A mutations were associated with a 336 higher degree of genomic instability and aggressive, advanced stage tumors. The worse survival for 337 tumors in this "HGSOC-like" subgroup was largely explained by advanced stage and higher burdens of 338 residual disease.

Within both the "classic-CCOC" and "HGSOC-like" subgroups we noted a subset of individuals had tumor with mutations in genes known to be both somatic drivers of ovarian cancer and germline susceptibility genes including *PMS2*, *MSH6*, *MSH2*, *BRCA1*, and *BRCA2*. Due to the absence of matched normal samples, we were unable to fully distinguish whether these represented somatic or germline events and is a limitation of our study. Future studies estimating the frequency of CCOC cases that arise in women with strong hereditary predisposition and who may be considered for risk
 reducing bilateral salpingo-oophorectomy should be prioritized<sup>49</sup>.

346 There is increasing recognition that other histological types of ovarian carcinoma, including HGSOC 347 and endometrioid carcinoma, can contain areas with clear cell change complicating the histologic 348 diagnosis<sup>50</sup>. While a subset of cases in the "HGSOC-like" cluster are misclassified HGSOC, and is a 349 weakness of our study, it is unlikely that this alone explains our findings. Firstly, all of our cases were 350 morphologically diagnosed by expert gynecological pathologists and at some centers, this morphologic 351 review was supplemented by immunohistochemistry for histotype-specific markers. Secondly, in a 352 subset of TP53-mutant cases, we re-confirmed the diagnosis of CCOC using a combination of 353 morphological and immunohistochemical features. Thus, our results suggest that a subset of bona fide 354 CCOCs with HGSOC-like features exist. Our results also emphasize that expert histologic review of 355 CCOC cases, particularly those who present with TP53-mutant, ARID1A-wildtype tumors, is warranted 356 given similarities to the biology and behavior of HGSOC.

357 Gene expression profiles of the "classic-CCOC" and "HGSOC-like" CCOC subtypes we observed are similar to those reported by Tan el al<sup>51</sup> which also reported two clusters, the first enriched for genes in 358 359 metabolic pathways and the second, a less common mesenchymal-like subgroup associated with late-360 stage disease. However, unlikely Tan et al., we observed differences in the frequency of TP53-mutated 361 tumors across clusters. The source of this discrepancy is unclear and may include differences in 362 sequencing technology (Tan et al. performed targeted sequencing using lon Torrent) and patient 363 characteristics (Tan et al., included only women of Asian ancestry which trend towards lower 364 frequencies of TP53-mutated tumors in our analysis and which are known to have lower frequencies of 365 endometrial ovarian cancer). The overlap between genes highly expressed in our "classic-CCOC" 366 subgroup and those enriched in endometriosis provide further support for the likely transition from 367 endometriosis to carcinoma in CCOC.

The greatest translational impact from these molecular CCOC subtypes is expected to lie in the development of therapeutic approaches tailored to the vulnerabilities of each group. Interestingly, 370 despite being aggressive on presentation, a trend was seen towards the "HGSOC-like" CCOC 371 subgroup having higher response rates to first line platinum-based chemotherapy. Future studies are 372 warranted to further explore whether genomic subtypes of CCOC predict response to platinum-based 373 and other therapies as treatment data were limited here. The "classic-CCOC" subgroup dominated by 374 mutations in the SWI/SNF pathway and markers linked to chemo-resistance may be of particular 375 relevance to target for investigational first-line therapies. Recent data suggests that the SWI/SNF 376 pathway plays a novel role in the regulation of anti-tumor immunity, and that SWI/SNF deficiency can be therapeutically targeted by immune checkpoint blockade<sup>19</sup>. Several studies are currently evaluating 377 378 the role of immune check point inhibitors in CCOC including NCT03405454, NCT03425565. While a 379 limitation of our study was that we were unable to assess MMR functional status, we did note a rare 380 subset of tumors (3%) with higher mutational burden (>10 drivers) and MSIsensor score. The extent to 381 which the subset of CCOCs with higher total mutation and with MMR deficiency show improved 382 responsiveness to immune checkpoint blockade in ongoing clinical trials will be an important avenue of 383 investigation. Additional targeted therapeutic strategies have been explored in preclinical settings 384 including epigenetic synthetic lethality, some of which are entering into clinical trials. The PI3K inhibitor, 385 alepelisib, is now FDA approved for HR-positive breast cancer and ongoing trials in additional PIK3CA-386 mutated cancers including CCOC are underway. Double PIK3CA mutations appear to hyperactivate 387 PI3K signaling and enhance tumor growth and may confer increased responsiveness to PI3K inhibitors than those with a single mutation<sup>52</sup>. Thus, for CCOC cases harboring multiple *PIK3CA* mutations, PI3K 388 389 inhibitors either alone or in combination with other agents may represent a promising approach.

The strengths of this study include the large sample size, use of multiple study sites, inclusion of women of European and non-European ancestry, and integration of genetic and transcriptomic markers of disease behavior and outcome. While this is the most extensive genomic study of CCOC to date, greater sample size with additional follow-up data will allow improved assessment and validation of these clinically relevant subtypes. Although future analyses would benefit from larger patient collections, our current results suggest that genomic classification may inform the future development of targeted therapeutics in CCOC.

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	ADD (N=28)	BWH (N=9)	COEUR (N=181)	MAY (N=38)	MSK (N=60)	PIT (N=24)	SCOT (N=22)	UPA (N=7)	WCP (N=28)
Age(y)									
0-40	0 (0%)	0 (0%)	3 (1.7%)	1 (2.6%)	1 (1.7%)	0 (0%)	0 (0%)	0 (0%)	2 (7.1%)
40-50	1 (3.6%)	0 (0%)	37 (20.4%)	3 (7.9%)	6 (10.0%)	4 (16.7%)	4 (18.2%)	2 (28.6%)	7 (25.0%)
50-60	8 (28.6%)	2 (22.2%)	81 (44.8%)	16 (42.1%)	28 (46.7%)	9 (37.5%)	7 (31.8%)	2 (28.6%)	14 (50.0%)
60-70	13 (46.4%)	7 (77.8%)	48 (26.5%)	9 (23.7%)	19 (31.7%)	5 (20.8%)	9 (40.9%)	2 (28.6%)	4 (14.3%)
70+	6 (21.4%)	0 (0%)	11 (6.1%)	9 (23.7%)	6 (10.0%)	6 (25.0%)	2 (9.1%)	1 (14.3%)	1 (3.6%)
Missing	0 (0%)	0 (0%)	1 (0.6%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)_
Race									ownlo
White	16 (57.1%)	9 (100%)	0 (0%)	38 (100%)	44 (73.3%)	23 (95.8%)	0 (0%)	6 (85.7%)	23 (82 <sup>8</sup> 1%)
Asian	2 (7.1%)	0 (0%)	0 (0%)	0 (0%)	13 (21.7%)	0 (0%)	0 (0%)	0 (0%)	4 (14.3)
Black	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (1.7%)	1 (4.2%)	0 (0%)	1 (14.3%)	1 (3.6 🛱 )
Other	0 (0%)	0 (0%)	0 (0%)	0 (0%)	2 (3.3%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Unknown	10 (35.7%)	0 (0%)	181 (100%)	0 (0%)	0 (0%)	0 (0%)	22 (100%)	0 (0%)	0 (0%) <sup>၌</sup>
Endometriosis									rnals
yes	0 (0%)	0 (0%)	13 (7.2%)	10 (26.3%)	6 (10.0%)	0 (0%)	2 (9.1%)	2 (28.6%)	7 (25.)
no	0 (0%)	9 (100%)	168 (92.8%)	26 (68.4%)	49 (81.7%)	0 (0%)	20 (90.9%)	5 (71.4%)	0 (0%) <del>ह</del> े
unknown	28 (100%)	0 (0%)	0 (0%)	2 (5.3%)	5 (8.3%)	24 (100%)	0 (0%)	0 (0%)	21 (75 30%)
FIGO Stage									res/a
1/11	17 (60.7%)	7 (77.8%)	128 (70.7%)	25 (65.8%)	42 (70.0%)	16 (66.7%)	14 (63.6%)	2 (28.6%)	15 (53 26%)
III/IV	5 (17.9%)	2 (22.2%)	46 (25.4%)	12 (31.6%)	17 (28.3%)	8 (33.3%)	7 (31.8%)	5 (71.4%)	13 (46 4%)
Missing	6 (21.4%)	0 (0%)	7 (3.9%)	1 (2.6%)	1 (1.7%)	0 (0%)	1 (4.5%)	0 (0%)	0 (0%)럂

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#### 540 Figure 1. Mutational landscape of 401 Clear Cell Ovarian Carcinomas with a Detectable

541 **Mutation.** (a) Proportion of patients with mutations in commonly mutated genes. (b) Mutation variant

allele frequency (VAF) by genes mutated in at least 10% of individuals. (c) Number of mutated genes

543 per individual. (d) Variant effect and nucleotide substitution change for single nucleotide variants.

544 **Figure 2. Frequency of somatic mutations by clinical characteristics including** a) age at

- 545 diagnosis, b) endometriosis, c) stage and d) race. Genes that were mutated in at least 20 individuals 546 with non-missing values for the clinical characteristic were included. Shown are g-values (FDR
- 547 corrected p-values) based on fisher's exact test. \* q<0.05; \*\*q<0.01
- 548

549 **Figure 3. The transcriptome of Clear Cell Ovarian Cancer Samples**. (a) Sankey plot showing the

550 correspondence of the samples annotations RNA clusters and DNA clusters. (b) Heatmap showing the 551 normalized gene expression of the top 50 most differentially expressed genes between RNA cluster 1 552 and RNA cluster 2.

553

# 554 **Figure 4. Association between CCOC molecular subgroups and all-cause mortality.** Shown are

555 the Kaplan Meier plots for the survival probability over five years following CCOC diagnosis stratified by 556 (a) mutational elusters defined by APID10/TP53 mutation status. (b) PNAsed expression elusters

(a) mutational clusters defined by ARID1A/TP53 mutation status, (b) RNAseq expression clusters.

557 558



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Figure 1.



Percentage with mutation

Percentage with mutation



b

#### DNA cluster







RNA cluster

#### DNA cluster

A cluster 2 1 A cluster C (Multi ARID1Am/PIK3CAm) D (Multi ARID1Am/PIK3CAwt) D (Multi ARID1Am/PIK3CAwt) A (Single ARID1Am/Other DDgmutant) B (Single ARID1Amt) F (ARID1Awt/Other DD mutane G (SMARCA4m) E (ARID1Awt/TP53m) Undefined Ilection site BWH COEUR MSK PIT SCOT UPA WCP WMH **Collection site** 

Strata 🔶 RNA Cluster 1 🔶 RNA Cluster 2

