# Review

# Psychosis as a Treatment Target in Dementia: A Roadmap for Designing Interventions

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**Abstract.** Psychotic phenomena are among the most severe and disruptive symptoms of dementias and appear in 30% to 50% of patients. They are associated with a worse evolution and great suffering to patients and caregivers. Their current treatments obtain limited results and are not free of adverse effects, which are sometimes serious. It is therefore crucial to develop new treatments that can improve this situation. We review available data that could enlighten the future design of clinical trials with psychosis in dementia as main target. Along with an explanation of its prevalence in the common diseases that cause dementia, we present proposals aimed at improving the definition of symptoms and what should be included and excluded in clinical trials. A review of the available information regarding the neurobiological basis of symptoms, in terms of pathology, neuroimaging, and genomics, is provided as a guide towards new therapeutic targets. The correct evaluation of symptoms is transcendental in any therapeutic trial and these aspects are extensively addressed. Finally, a critical overview of existing pharmacological and non-pharmacological treatments is made, revealing the unmet needs, in terms of efficacy and safety. Our work emphasizes the need for better definition and measurement of psychotic symptoms in dementias in order to highlight their differences with symptoms that appear in non-dementing diseases such as schizophrenia. Advances in neurobiology should illuminate the development of new, more effective and safer molecules for which this review can serve as a roadmap in the design of future clinical trials.

Keywords: Clinical trials, delusions, dementia, hallucinations, investigational therapies, psychotic disorders

### 36 INTRODUCTION

The neuropsychiatric symptoms (NPS) of demen-37 tia were described by Alois Alzheimer in the initial 38 report of the disease that now bears his name [1]. 39 Their frequency is extraordinarily high throughout 40 its evolution. For example, 75% of the patients with 41 dementia in the Cardiovascular Health Study had 42 NPS during the month prior to the evaluation [2], and 43 in the Cache County Study, 97% of the patients had 44 NPS over five years [3]. These symptoms are asso-45 ciated with a worse disease prognosis [4] and earlier 46 death [5]. 47

Of the NPS, psychosis and its associated phe-48 nomena are among the most severe and difficult to 49 manage. Psychotic symptoms occur in one third to 50 one half of patients with Alzheimer's disease (AD) 51 [6], the most widely studied dementia, and also occur 52 in other forms such as vascular dementia, Lewy 53 body disease (LBD), or frontotemporal dementia 54 (FTD) [7], Due to their very nature and regardless 55 of the etiology or age of the person, psychotic phe-56 nomena are generally severe, disruptive, persistent 57

over time and with little tendency to spontaneously remit [8]. Therefore, they cause great suffering to the patient and those around them [9, 10]. They are also associated with poor general health [11], accelerated cognitive and functional decline [12, 13], higher risk of institutionalization [14], and increased mortality [15]. Better understanding of the natural history, prevalence, and presentation of these symptoms is essential to optimize care and decrease burden [16].

The definition and characterization of dementiarelated psychosis (DRP) has evolved along time [8, 17]. The two most recent contributions from the International Psychogeriatric Association and the International Society to Advance Alzheimer's Research and Treatment (ISTAART) of the Alzheimer's Association can help research in the most appropriate treatments for DRP and the serious situations generated by them [17, 18].

The pharmacological treatment of the DRP with antipsychotics has a long tradition, but is not without controversy, regarding its efficacy [19, 20] and its safety [21]. In many countries, official initiatives

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have been developed to control and regulate their 81 use, through restrictions or notices such as black box 82 warnings. However, although these measures had a 83 short-term clinical impact by reducing the use of 84 antipsychotics in this indication, in the longer term 85 this effect has been highly variable depending on the 86 different countries and clinical contexts, even increas-87 ing their use again in a number of cases [22–24]. 88 These findings do not have a simple interpretation, 89 but the explanation must take into account the rela-90 tive efficacy of these drugs in the perception of those 91 who prescribe them in a regular basis and the scarcity 92 of real alternatives to them. 93

This paper is the fruit of a working group of experts 94 in the field of NPS pertaining to ISTAART. The task 95 was divided among the experts in each area, who 96 selected the articles reviewed and wrote an initial 97 draft of each part. The specific section(s) that each 98 author contributed to each appears at the end of the 99 paper. This work was shared, and all the authors col-100 laborated in the further selection and review of the 101 articles and in the final drafting of the article, after 102 several rounds of sharing. 103

Our work aims to help define the psychotic 104 symptoms in dementia, their clinical relevance, the 105 importance of their neurobiology and biomarkers in 106 the investigation of possible treatments and the ade-107 quate selection of assessment instruments in clinical 108 trials. Finally, the available non-pharmacological and 109 pharmacological treatments are discussed, and the 110 bases for the advancement of the much-needed future 111 research in this field are proposed. 112

Although in-depth reviews of certain aspects of this 113 topic already exist, we believe that none of those pub-114 lished so far are directly oriented to the conditions 115 that must be met in the design of new treatments, 116 both pharmacological and non-pharmacological, and 117 make recommendations for conducting appropriate 118 clinical trials. Thus, the goal of this review was to 119 bring together in one article current knowledge to 120 support the aim of highlighting recent advances per-121 tinent to treatment of dementia related psychosis. The 122 potential for psychosis as a treatment target in patients 123 with dementia is also emphasized. 124

### 125 **DEFINITION OF SYMPTOMS**

Psychotic symptoms are frequent in neurodegene rative disorders with the prevalence and phenomenol ogy differing depending on the pathological context.
 Jeste et al. proposed criteria for psychosis in dementia

and defined it as the emergence of psychosis after the onset of dementia, with a predominance of visual hallucinations (VH), and a relative absence of complex delusions and thought disorder [6, 25]. DSM 5 also acknowledges differences in phenomenology of psychosis of neurodegenerative disorders from primary psychotic spectrum disorders such as schizophrenia [26]. In the recent revised criteria for psychosis in AD and related disorders [18], psychotic symptoms have been restricted to delusions and hallucinations, and are treated as separated entities. These criteria emphasize that characteristic VH are more common in psychosis of cognitive disorders. Further, these criteria expand the definition of cognitive disorders by including mild cognitive impairment (MCI) [18]. The research criteria framework proposed by ISTAART for psychosis in cognitive disorders takes this expansion one step further by allowing the presymptomatic cases where psychosis may be the first clinical manifestation of the cognitive disorder [17]. In this framework, the preclinical stage of the illness should be verified by biomarker positivity. This framework also further characterizes delusions into persecutory or misidentification or other type and hallucinations need to be coded based on modality [17]. The evidence supports both a shared mechanism and differentiated neural correlates for delusions and hallucinations [17]. Accordingly, psychosis phenomenology in neurodegenerative disorders varies across disorders such as AD or dementia with Lewy bodies (DLB) [7, 27].

In AD, delusions have been divided into two subtypes, paranoid and misidentification, on the basis of a cluster and factor analyses [28]. The paranoid subtype includes persecutory delusions, such as delusion of theft, abandonment, and jealousy. The misidentification subtype includes phenomena such as a failure to recognize one's own home (reduplicative paramnesia), beliefs that someone is living in the house (phantom boarder syndrome); misinterpretations that one's loved ones are imposters or that they change appearances (Capgras and Fregoli syndromes) [29, 30], beliefs that characters on the television are real (the television sign), and failure to recognize oneself in the mirror (the mirror sign) [29, 30]. Although misidentifications tend to manifest in advanced disease stages, when cognitive impairment is more severe [31], evidence also supports its presence to be indicative of a more aggressive phenotype of the illness [29, 32, 33].

VH in AD may consist of the vision of alive or dead people, objects, and animals. It has been

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hypothesized that some of the VH in AD might 182 be due to the alteration of the inhibitory control 183 mechanism that suppresses the intrusion of personal 184 memories from long-term memory into awareness 185 or a compensatory mechanism to fill the vacancy 186 of loneliness [34, 35]. Visual hallucination features 187 in AD often overlap with misidentification delusion 188 contents [36] and there is a high degree of comor-189 bidity between delusions and hallucinations in AD. 190 However, around 10-20% of people with demen-191 tia experience hallucinations without delusions and 192 the two symptoms may be associated with different 193 clinical outcomes [9]. Auditory hallucinations (AH) 194 are less frequently reported than VH in AD. Typi-195 cal Schneiderian symptoms, such as those seen in 196 schizophrenia are extremely rare. 197

In vascular cognitive impairment (VCI), psychotic 198 symptoms are less well characterized as compared 199 to AD. While estimates in the prevalence of differ-200 ent symptoms varied, studies generally found similar 201 prevalence of psychotic symptoms in AD and VCI 202 [2]. Nonetheless, there may be differences in mech-203 anisms of psychosis in AD and VCI and the risks 204 and benefits of treatment interventions may also be 205 different [37]. 206

In LBD, including both Parkinson's disease 207 dementia (PDD) and dementia with Lewy bodies 208 (DLB), VH have a high prevalence and hetero-209 geneous phenomenology. Thus, visual experiences 210 in LBD can be grouped into minor hallucinations, 211 illusions, passage and presence hallucinations, and 212 complex hallucinations [38, 39]. Illusions are percep-213 tions of something objectively existing in such a way 214 as to cause misinterpretation of its actual nature. One 215 type of illusion, pareidolia, is defined as the tendency 216 to perceive a specific, often meaningful image in a 217 random or ambiguous visual pattern (like seeing faces 218 in clouds). The passage hallucinations are referred 219 to as unformed shadows of something or someone 220 passing fast in the periphery of the eye field. The pres-221 ence hallucinations consist of the sensation/vision 222 that someone is behind oneself. Minor hallucina-223 tions may also arise as precursors of motor symptoms 224 in Parkinson's disease (PD) and may appear even 225 in the absence of dopaminergic treatment. Complex 226 VH in LBD consist of well-formed recurrent and 227 stereotyped visions of insects, people (familiar or 228 unfamiliar, alive or dead), animals or animated fig-229 ures (often children) [40]. They can move but rarely 230 talk, are mostly annoying or amusing before becom-231 ing threatening, and predominantly appear in low 232 stimuli environments, usually crepuscular or at night. 233

The phenomenology of these visual experiences may 234 be linked with LBD disease progression according to 235 Braak stages [41, 42]. Indeed, minor hallucinations 236 occur when alterations mainly involve the brainstem 237 and can be due to an alteration in the interaction 238 between subcortical and cortical regions, including 230 areas part of the dorsal visual stream, involved in 240 visuospatial elaboration leading to passage [43] and 241 presence phenomena [44]. Then as the disease pro-242 gresses, alterations involve high brain stem loci and 243 forebrain, leading to a deafferentation from subcorti-244 cal regions to ventral visual stream and limbic areas, 245 which may account for complex VH. When the neu-246 ropathological process affects cortical regions, the 247 complex hallucinations become recurrent, insight is 248 lost, and delusions can also appear [42]. This hypoth-249 esis suggests that VH phenomenology may represent 250 a clue of the different mechanisms involved in pro-251 ducing these disorders. With increasing severity of 252 PD, hallucinations in other modalities-auditory, tac-253 tile, and olfactory-are more common [45]. Though 254 less well studied, AH have been reported in up to 20% 255 of people with PD [46] and in more than one third of 256 people with LBD [47]. The most commonly reported 257 AH in this context have been described as sounds of 258 a doorbell ringing, music, human voices, or footsteps 259 [47–50]. AH seldom appear in the absence of VH, and 260 are sometimes perceived as a soundtrack to the scene 261 [47]. They are associated with a higher co-occurrence 262 of other NPS [47]. Patients with hearing impairment 263 are at a higher risk for AH [47], potentially suggest-264 ing uninhibited spontaneous activity as observed in 265 VH in the context of Charles Bonnet syndrome [51]. 266

Naasan and colleagues have recently proposed that the phenomenology of psychosis can suggest what is the underlying neuropathology [52]. Thus, in their neuropathological study, they showed that visual misperceptions, and hallucinations shapeless, peripheral, images that moved, and feeling of presence in addition to complex hallucinations were more frequent in patients with LBD/AD pathology. Delusions of misidentification were instead more frequent in patients with LBD/AD and patients with FTLD-TDP. Moreover, they found that PD Braak 5–6 stages and FTLD-TDP pathology were predictive of misidentification delusions. Paranoia, especially in early disease stage, was associated with FTLD-TDP or LBD pathology [52].

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Until recently, FTD was not thought to be commonly associated with psychotic symptoms except in certain genetic forms, such as C9Orf72, GRN mutation, or argyrophilic grain disease (AGD). However,

the 2021 paper by Nassan et al. [52] showed that 286 FTLD-TDP inclusions pathology is associated with 287 a 31.6-52.9% rate of psychotic symptoms (mostly 288 paranoid delusions), as opposed to FTLD-tau pathol-289 ogy. In FTD-C9Orf72, which is associated with 290 FTD-TDP pathology, a late psychotic presentation is 201 found in 21–56%, with delusions or bizarre somatic 202 obsessions and hallucinations in all modalities. Delu-293 sions in C9orf72 expansion carriers were shown to 294 mainly correlate with left frontal cortical atrophy in 295 a paper by Sellami et al. [53]. 296

Usually, these patients do not have a psychiatric 297 history, but in their families, cases of schizophrenia, 298 late-onset schizophrenia, autism spectrum disorder, 299 and suicide are found. Unfortunately, responses to 300 antipsychotics are limited [54]. AGD is a limbic 301 tauopathy (preferential involvement of the hip-302 pocampus and amygdala) associated with slowly 303 progressive amnestic minor cognitive impairment, 304 personality changes, and disturbances in emotional 305 control (irritability, restlessness, anxiety, depression). 306 Cases of late psychosis presentation are also reported 307 in this AGD [55]. 308

Delusions and hallucinations in dementia are often 309 associated with other behavioral symptoms such as 310 depression, agitation, and apathy. Differences have 311 been shown in patients who have psychosis and agita-312 tion, whose occurrence might be a marker of severity 313 [56, 57]. Moreover, hallucinations are frequent in 314 people with AD who also have depression [35]. It 315 is still not clear whether the latent cluster associa-316 tion of the behavioral disorders represents a different 317 phenotype in dementia [57]. Co-occurrence of differ-318 ent behavioral disorders may influence the individual 319 responses to the pharmacological treatments. 320

It is important to carefully consider phenomenol-321 ogy of psychotic symptoms in later life to make 322 appropriate treatment plans. First, as discussed above, 323 psychosis related to cognitive disorders presents dif-324 ferently than primary psychotic disorders [6, 25]. 325 Secondly, psychosis in late life may be a harbinger 326 of the cognitive disorder even in the absence of other 327 overt symptoms. This consideration could help the 328 clinician in considering diagnosis of cognitive disor-329 der at an early stage and inform treatment planning. 330 Third, many times the psychotic symptoms in cog-331 nitive disorders may not always need antipsychotic 332 treatment. In many instances the psychotic symptoms 333 may be harmless and not distressing to the patient, and 334 treatment with an antipsychotic may present more 335 risks than benefits, thus antipsychotics should only 336 be considered when the symptoms themselves are 337

distressing to the patient or lead to other problems such as agitation or aggression.

In summary, current data suggest dementia subtypes may have an important influence on the clinical expression of DRP. This highlights the need for customized treatments when considering potential therapies given some symptoms (such as hallucinations and misidentifications) have shown a much inferior response to antipsychotic medication relative to other symptoms (such as delusions).

### PREVALENCE AND CLINICAL RELEVANCE

Psychotic symptoms in dementia are important for patients, caregivers, and health systems, and are associated with cognitive and functional decline, higher rates of institutionalization, greater mortality, caregiver burden, and likelihood of pharmacological intervention [13–15, 58, 59]. A better understanding of the natural history, prevalence, and presentation of these symptoms is essential to optimize care and decrease burden [60].

The prevalence of psychotic symptoms in dementia depends upon the dementia syndrome, stage of the neurodegenerative disease (preclinical or prodromal disease, and mild, moderate, or severe dementia), and the diagnostic criteria. Exclusions are other conditions associated with psychosis (schizophrenia, autism spectrum disorders, mood disorders, personality disorders, post-traumatic stress disorder, delirium, epilepsy, hearing or visual impairments, systemic lupus erythematosus), and substance abuse and psychosis-inducing drugs (monoamine oxidase inhibitors, serotonin-norepinephrine reuptake inhibitors, tricyclic antidepressants or other anticholinergic drugs, opiates, benzodiazepines, methylphenidate, modafinil, memantine, dopamine agonists, levodopa, beta-blockers, clonidine, anti-histaminergic drugs, anti-migrainous drugs, proton pump inhibitors, baclofen and disulfiram among others) [17]. Use of selective serotonin reuptake inhibitors, cholinesterase inhibitors and antipsychotics may result in the underestimation of the actual prevalence of psychosis [17] while those without psychotic symptoms may develop them, highlighting differences based on point versus period prevalence. Overall, the true prevalence of psychosis is challenging to measure. Factors affecting prevalence and relevance of psychotic symptoms in different neurocognitive disorders will be discussed below.

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### 386 Alzheimer's disease

In AD, the prevalence of DRP ranges from 387 10%-75%, with a median of 41%. Recurrent halluci-388 nations are typically present in 5%-15%, usually later 389 in the disease course, while persistent delusions range 390 from 15%-30% [17] but may reach 50% in severely 391 impaired patients, particularly in those who are APOE 392  $\varepsilon$ 4 carriers [61]. In addition, it has been suggested 393 that participants with AD who do not carry APOE 394  $\varepsilon$ 4 alleles may have greater cognitive and functional 395 impairment from second-generation antipsychotics 396 and antiepileptic drugs compared to APOE E4 carriers 397 [62]. 398

Concerning minor hallucinations, one study found 399 prevalences of 13% in amnestic MCI and 21% 400 in untreated mild and moderate AD, with higher 401 frequency of presence hallucinations followed by 402 passage hallucinations and visual illusions [63]. Of 403 note, the frequency and severity of psychosis are usu-404 ally higher for those bearing amyloid pathology [64]. 405 People with AD and co-existent Lewy body pathol-406 ogy have been found to have a higher prevalence of 407 psychosis relative to people with pure AD [52]. 408

### 409 Vascular dementia

In cortical vascular dementia (large-vessel), one 410 study showed that psychosis was less frequent than 411 that in AD. However, psychosis increased in associa-412 tion with worsening dementia from mild to moderate 413 to severe, for both delusions (12% to 35% to 55%) 414 and hallucinations (12% to 33% to 52%). In sub-415 cortical vascular dementia (small-vessel), however, 416 both delusions (23% to 47% to 42%) and hallucina-417 tions (11% to 44% to 37%) increased in frequency 418 from mild to moderate dementia, and then declined 419 in severe dementia [65]. 420

### 421 Lewy body disease

In LBD syndromes, psychosis is usually more 422 intense than in AD [66]. Hallucinations may be 423 present in more than 80% of all patients, while sys-424 tematized delusions might affect up to 55%, though 425 dopaminergic therapy may increase these numbers 426 particularly regarding delusional jealousy [67]. The 427 prevalences of delusions and hallucinations tends to 428 be dissociated, considering that in AD delusions are 429 more common than hallucinations, whereas in LBD 430 hallucinations are more common [68]. While parei-431 dolia is not unusual in LBD syndromes, one study 432

showed that multimodal hallucinations are infrequent: little more than 20% of those who presented VH also had tactile and verbal auditory hallucinations, while less than 6% had olfactory or gustatory hallucinations [69]. Persistent musical hallucinations, often religious and patriotic, are more common in LBD than in other dementia syndromes [48].

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In LBD syndromes, patients with delusions and agitation usually have a higher frequency of VH [67]. Complex VH are the most distinguishing NPS for DLB in mildly impaired patients, whereas one cross-sectional study showed point prevalence of 96% in comparison with 71% in PDD and 28% in late-onset *APOE*  $\varepsilon 3/\varepsilon 3$  AD [66]. Additionally, psychosis is more prevalent in association with REM sleep behavior disorder [14].

Capgras delusions may occur in up to 10% of patients with DLB, but have not been described in PDD [67]. However, paranoid delusions (delusions of theft and persecution) are more frequent than Capgras delusions in DLB, while fluctuating cognition and excessive daytime somnolence tend to cluster with hallucinations probably due to central cholinergic deficiency [70]. Delusional misidentification is more characteristic of DLB than AD, also for less frequent manifestations such as the mirror sign (5% of DLB, 3% of AD) and the television sign (4% of DLB, 2% of AD) [71].

### Frontotemporal dementia

In FTD, psychosis is thought to occur in less than 10% of patients, but may be present in as much as 52% in FTD-TDP pathological forms (mostly paranoid delusions) and in 20%-60% of *C9orf72* expansion carriers who may have prevalent bizarre somatic delusions and multimodal hallucinations [17]. In fact, as stated before, recent clinicopathological studies suggest patients with FTD-TDP may have a frequency of psychotic symptoms that is comparable to that seen in patients with combined AD/Lewy body pathology and that persecutory delusions make up the predominant phenotype [52].

### MCI and normal cognition (NC)

Overall, the frequency of psychotic symptoms is475lower in MCI versus dementia. In MCI, delusions476range from 3%–9%, and hallucinations are prevalent477in less than 3% of patients [2]. In MCI, most stud-478ies have demonstrated that psychotic symptoms are479associated with a greater risk of dementia [72–76].480

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Assessing psychosis as part of the neurodegenerative 481 disease continuum is challenging in older adults with 482 NC, as traditional psychiatric nosology might apply 483 (e.g., delusional disorder, very late onset schizophre-484 nia like psychosis). Further, these symptoms are of 485 very low frequency, not detectable in sufficient num-486 bers in population-based cohorts of older adults to 487 provide reliable estimates [77]. An analysis of 12,452 488 NC older adults in National Alzheimer's Coordi-489 nation Center data determined that delusions were 490 present in 0.8% and hallucinations in 0.3%. Of all 491 NPS, psychotic symptoms had the highest hazard 492 ratio for incident dementia (3.6) [78]. 493

Psychosis in MCI and NC are described in the neu-494 robehavioral syndrome mild behavioral impairment 495 (MBI) [79]. MBI is characterized by new onset NPS 496 in later life as an at-risk state for incident cognitive 497 decline and dementia and is the initial manifestation 498 of dementia for some. Psychosis is the least fre-499 quent of the 5 MBI domains but is associated with 500 substantial risk. Using the MBI checklist for case 501 ascertainment [80], psychosis was prevalent in 3-6% 502 of NC older adults in a community sample [81], and 503 in 5.4% of subjective cognitive decline and 17.2% of 504 people with MCI in a memory clinic [82]. A study of 505 MBI in those with MCI determined psychosis preva-506 lence of 3%, with a HR for incident dementia of 2.9 507 [76]. More research is required in MBI psychosis to 508 better characterize symptoms and determine if they 509 represent valid treatment targets for either symptom 510 reduction or dementia prevention. 511

In summary, psychotic symptoms are seen in a significant percentage of patients across the dementia spectrum and thus may serve as an important target for intervention.

## 516 NEUROBIOLOGY AND BIOMARKERS

Psychosis in dementia is currently understood to 517 represent a group of phenomena, likely with different 518 underlying physiological, anatomical, and biologi-519 cal substrates. Thus, in order for psychosis to be 520 a viable treatment target, it is imperative that we 521 understand the underlying pathophysiology of DRP. 522 Newly established research criteria for DRP [17] now 523 incorporate biomarkers, thus making this even more 524 crucial. Studies of DRP in relation to pathology, 525 neuroimaging and genetics have together provided 526 insight into neurobiology and potential treatment 527 strategies. Recent studies suggest analyses of cere-528 brospinal fluid (CSF) neurodegenerative biomarkers 529 such as amyloid and tau may differentiate psychosis 530

associated with neurodegenerative conditions from that associated with psychiatric disorders [83].

### Pathology

Emerging studies have traditionally favored tau over amyloid as a marker of DRP [84-87] with postmortem and CSF studies having identified tau and phosphorylated tau as playing an important role [84-87]. Moreover, there has been a link of psychosis with emerging tauopathies such as AGD, a 4-repeat tauopathy associated with the aging process [55]. Conversely, amyloid pathology has been recently linked to psychosis in prodromal dementia [88], to delusions in MCI [64] and to illusions and well-formed VH among people with PD [89]. Low levels of alpha-synuclein in the CSF have been found to correlate with hallucinations and executive dysfunction among healthy controls and people with MCI and AD [90]. Synaptic proteins may also play a role in conferring resilience to DRP according to a recent paper, a finding observed to be independent of neuropathological burden [91].

Other studies have confirmed the already established link of DRP with Lewy body pathology and demonstrated a relationship with vascular pathology and vascular risk factors, specifically subcortical ischemic vascular pathology [92, 93], amyloid angiopathy [93], and microinfarcts [94], though whether vascular risk factors mediate increased vascular pathology among patients with psychosis is not clear [95]. Recent studies have suggested the emergence of TAR DNA-binding protein 43 (TDP-43) as a protein that accumulates in patients with amyotrophic lateral sclerosis and the behavioral variant of FTD which may be associated with psychosis among patients with C9orf72 expansions [96, 97].

Retrospective studies conducted in neuropathologically-verified cohorts of patients with different neurodegenerative conditions have demonstrated pathology may affect the nature of psychotic symptoms expressed. As cited before, Nassan and colleagues [52] noted that patients with co-existent AD and DLB pathology were more likely to have multiple subtypes of hallucinations, while patients with Lewy body pathology/PD had a higher prevalence of misperceptions and misidentification delusions and patients with FTLD-TDP were more likely to have delusions. Moreover, contrary to previous findings, prevalence of psychosis and specifically delusions was comparable or greater in patients with FTLD-TDP relative to pure AD.

### 580 Neuroimaging

Neuroimaging studies across several modalities 581 -including CT, MRI, SPECT, PET, and mag-582 netic resonance spectroscopy (MRS)-have provided 583 some convergent evidence of brain regions and cir-584 cuits associated with DRP [98, 99]. These studies 585 have also provided insight into differential regional 586 patterns of structural and functional change associ-587 ated with subtypes of psychotic symptoms. 588

Some of the more consistent neuroimaging 589 biomarker findings of DRP have emerged from stud-590 ies of cerebral blood flow (CBF) and metabolism 591 using SPECT and [<sup>18</sup>F]fluorodeoxyglucose (FDG) 592 PET, respectively. These convergent findings sug-593 gest an association between psychotic symptoms in 594 AD and decreased CBF or metabolism of frontal 595 and temporal lobes; with the right hemisphere more 596 severely affected than the left [100-104]. Findings 597 from structural imaging studies of DRP have been 598 more variable. CT and some MRI studies have shown 599 associations between psychotic symptoms and atro-600 phy, right hemisphere greater than left [105-108]. 601 Other MRI studies have reported either no associa-602 tion between psychotic symptoms and atrophy [109] 603 or evidence of regional and sex-specific vulnerability. 604 For example, VH have been associated with occipital 605 atrophy [110] and delusions with decreased fron-606 totemporal cortical thickness in females rather than 607 males [111]. Findings both support and refute white 608 matter changes (as measured from CT or MRI scans) 609 as biomarkers of DRP [100, 112]. 610

The pathophysiology of DRP has been further 611 dissected using molecular imaging. Though studies 612 in this modality have been limited, a proton MRS 613 (<sup>1</sup>H-MRS) study showed an association between 614 delusions and a decreased N-acetyl-aspartate-to-615 creatine (NAA/Cr) ratio-a marker of neuronal 616 function—in the frontal cingulate cortex [113]. 617 Another study using a dopamine receptor PET probe 618 found evidence for increased dopamine D2/D3 recep-619 tor availability in the striatum in individuals with AD 620 dementia and psychosis compared to those without 621 psychosis [114]. Future imaging studies using PET 622 probes for neurotransmitter systems, synaptic activ-623 ity, and AD pathology have the potential to provide 624 further insight into pathophysiology and intervention 625 strategies for DRP, including identification of candi-626 date treatment targets. 627

Longitudinal neuroimaging studies have provided additional insights, particularly into pathophysiology and predictors of psychotic symptom emergence. Fischer and colleagues carried out voxel-based mor-631 phometry to identify regional gray matter differences 632 pre- and post-onset of delusions in patients with MCI 633 and early AD dementia [115]. They found significant 634 gray matter atrophy post-symptom emergence in sev-635 eral brain regions including the cerebellum and left 636 posterior hemisphere [115]. Meanwhile, D'Antonio 637 and colleagues observed greater grav matter atrophy 638 over time in the right anterior-inferior temporal pole 639 (part of the ventral visual system) and the insula (part 640 of the salience network) in AD subjects with psy-641 chosis compared to those without psychosis [116]. 642 These findings suggest that aberrations in salience 643 and visual perception (misattribution and mispercep-644 tion) may underlie the pathogenesis of DRP [116]. 645

In summary, neuroimaging biomarkers across several modalities have provided some degree of convergence of brain regions and networks (frontal and temporal lobes; insula; salience network and ventral visual stream, to name a few) that may be affected in DRP.

### Genomics

Recently, the first risk loci were recently reported in a genome-wide association study (GWAS) of 12,317 AD cases with or without psychosis; these were located in ENPP6 and SUMF1 [117]. The identification of these loci is an important development, but the findings require replication and functional characterization is also required. It is notable that APOE  $\varepsilon 4$  was associated with psychosis in this study. Being by far the largest genetic study to date, this brings greater clarity to the inconsistencies in previous literature, where small sample sizes and possible effect modification by sex may have masked associations [118, 119]. This study, and others, have also examined shared genetic liability between psychosis and other neurological and psychiatric conditions, which can give insight into common mechanisms across diagnostic boundaries. In the aforementioned GWAS, depressive symptoms were positively genetically correlated with psychosis, while bipolar disorder was negatively correlated. No genetic correlation was found with schizophrenia, but previous studies using schizophrenia polygenic risk scores to evaluate shared genetic liability have reported associations with psychosis in AD and in Huntington's disease, and with psychotic experiences in the general population [120–123].

Study of the epigenome, and DNA methylation in particular, may offer new insights. Encouragingly, the

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only epigenome-wide association study (EWAS) of 681 psychosis in AD conducted to date identified two dif-682 ferentially methylated regions of the genome, located 683 in TBX15 and WT1 which are both implicated in 684 the pathophysiology of AD [124]. Interestingly the 685 top-ranked differentially methylated positions were 686 enriched in known schizophrenia-associated genetic 687 and epigenetic variants, supporting a common mech-688 anism of psychosis. 689

The genomics of psychosis in other dementias is a nascent field. In PD, mutations in the *GBA* gene, which codes for the lysosomal storage enzyme glucocerebrosidase, are associated with psychotic symptoms [125], and potentially implicated in DLB as well [126].

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While current treatments in DRP involve antipsy-696 chotic medications, these medications primarily 697 target serotonin and dopamine receptors and have 698 no effect on underlying neurodegenerative disease 699 pathology or other putative pathogenic mechanisms. 700 There are also serious safety concerns, so the search 701 for novel agents is essential. Drug discovery rooted 702 in GWAS and other association studies (e.g., EWAS) 703 could have the potential to bring about efficiencies 704 to development pipelines [127] so the identification 705 of the first genome-wide and epigenome-wide signif-706 icant loci implicated in psychosis in AD are important 707 milestones. Further research into the molecular-level 708 mechanisms underlying DRP, which is becoming 709 increasingly common, will likely lead to further 710 identification of novel targets. However, target val-711 idation is essential to establish whether modulation 712 of these targets may bring about therapeutic benefits 713 to DRP. As well as the identification of novel tar-714 gets, the repurposing of existing agents developed for 715 other psychiatric conditions is also worth exploring. 716 This avenue should proceed with caution given the 717 lessons learned from the use of typical and atypical 718 antipsychotics, and exploration of preclinical meth-719 ods to examine mechanisms of harm of licensed 720 psychotropic drugs in AD before they enter human 721 trials may be warranted [128]. 722

Evolving treatments that target amyloid-beta and tau have the potential to reduce psychotic symptoms given the overlap with neurodegeneration and studies done suggesting linkages with amyloid-beta and tau. Other potential therapeutic targets include inflammatory markers, vascular risk factors and TDP-43, all of which have been demonstrated to play a role in DRP.

Overall, ongoing work to establish and refine bio markers of DRP across pathology, neuroimaging and
 genetic studies is critical to advance psychosis as

a treatment target. Together, biomarker profiles that integrate these modalities may provide insight into disease mechanisms, treatment targets, and therapeutic response patterns, thus ultimately paving the way for personalized intervention strategies. Different biomarkers may have distinct contributions in this regard: molecular and functional imaging—in identifying treatment targets and monitoring target engagement; structural imaging—in providing predictors of symptom onset and treatment response; and structural and functional connectivity—in tracking treatment response for a given therapeutic intervention.

### ASSESSMENT INSTRUMENTS

The primary criterion for assessing treatment efficacy is the extent psychotic symptoms are experienced. Efficacy may be determined by reduction or elimination of symptoms over time, with consideration of caregiver burden/distress and risk of harm. Instruments used to assess symptomatology may rely on patient self-report, informant report (e.g., a caregiver), or assessment by a healthcare professional. With this in mind, it is essential to consider the possibility of anosognosia, poor insight, or diminished awareness on self-report of psychotic symptoms. As discussed, biomarkers of psychotic symptoms may also be used as clinical trial outcome measures; however, these measures are typically first developed/identified by utilizing clinical assessments (e.g., for classification purposes). Choice of assessment is therefore of vital importance, both for accurately capturing the symptoms (e.g., frequency or severity) for clinical trials (participant screening/selection, outcome measures, safety profiling), and for the process of developing alternative outcome measures (biomarkers).

Currently, there are several assessment instruments to measure psychotic symptoms. The psychosis subscale of the Behavioral Pathology in Alzheimer's Disease (BEHAVE-AD) instrument [129] has been utilized in a variety of clinical/regulatory trials (e.g., for risperidone) [130–132]. The BEHAVE-AD comprises 25 informant-queried items assessing severity of NPS (seven items measuring delusions and five hallucinations). Delusional items include assessment of suspiciousness/paranoia, and misidentification, whereas hallucination items cover visual, auditory, olfactory, and haptic modalities. The BEHAVE-AD, as a whole, has good reliability (see e.g., [133] for

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details), and has many translations (e.g., Spanish 782 [134]; French [135]). The Empirical BEHAVE-AD 783 Rating Scale (E-BEHAVE-AD) [136] enables direct 784 observation instead of informant-queried rating. The 785 frequency-weighted BEHAVE-AD (BEHAVE-AD-786 FW) [137] includes frequency assessment, in addition 787 to severity, as in the original. Increased sensitivity 788 afforded by assessment of frequency [137] may be of 789 use in clinical trials. 790

Versions of the Neuropsychiatric Inventory (NPI) 791 [138, 139] have been used in many clinical pharmaco-792 logical trials, for screening or as outcome measures, 793 for example, for pimavanserin [140], olanzapine 794 [141], and aripiprazole [142, 143]. Depending on 795 the version, 10 or 12 neuropsychiatric symptoms are 796 rated for severity and frequency by an informant, and 797 caregiver burden may be recorded. Alternative ver-798 sions exist for completion by a clinician (NPI-C), an 799 informant (shortened-format: NPI-Q), or for use in a 800 nursing home environment (NPI-NH), and the scales 801 are available in many different languages (see [144] 802 for an overview). Inter-rater reliability is good [138], 803 with recommendations to enforce this [145]. A crit-804 icism is that typical scoring requires the frequency 805 and severity ratings to be multiplied, meaning some 806 scores on a linear scale will not be achievable; how-807 ever, these could be treated separately, with a decrease 808 in either likely to benefit both patient and caregiver 809 [146], or summed as in the clinician version. One con-810 sideration is that although separate sub-scale scores 811 are provided for both delusions and hallucinations, 812 the different types of delusions/hallucinations are not 813 rated separately. This may be relevant for certain clin-814 ical trials, as different timelines and neurobiological 815 underpinnings may exist, for example, for delusions 816 of paranoia versus misidentification [147]. 817

Another potentially useful instrument is the 818 Columbia University Scale for Psychopathology in 819 Alzheimer's Disease (CUSPAD) [148]. With 11 dis-820 tinct items, the CUSPAD provides the most detailed 821 assessment of delusions (and 5 items cover halluci-822 nations). Comparing scales assessing delusions and 823 hallucinations (including the NPI-NH, BEHAVE-824 AD, CUSPAD), Cohen-Mansfield & Golander [149] 825 demonstrated the CUSPAD recorded the highest 826 prevalence of delusions, with prevalence of hallucina-827 tions comparable to the BEHAVE-AD and NPI-NH. 828 For clinical trials requiring sensitivity and separation 829 between delusions, the CUSPAD could therefore be 830 a strong contender. 831

The Mild Behavioral Impairment Checklist (MBI C) [80] was designed to assess adults in the prodromal

or preclinical stages of neurodegenerative disease. The checklist, completed by an informant, comprises 34 items, 5 of which relate to abnormal perception and thought content (hallucinations and delusions). Assessment items include delusions relating to suspiciousness/paranoia, grandiosity, and hallucinations in the visual and auditory domains. The MBI-C was mainly designed for case identification for prodromal dementia [80]. It already has several translated versions, for example, Spanish and Chinese [150, 151]. Given that symptom presence is assessed over the previous 6 months, it probably has high utility as a screening instrument. As an outcome measure, the MBI-C would only be relevant for long-term interventions, and depending on the intervention, other instruments may be more desirable.

Other instruments exist, such as the Consortium to Establish a Registry for Alzheimer's Disease Behavior Rating Scale for Dementia (CERAD-BRSD) [152] and the Neurobehavioral Rating Scale [153]. What is critical is an appropriate instrument be chosen to optimally capture the specific set of psychotic symptomologies thought to be prevalent in the sample (based on etiology) and at the particular disease stage. For example, delusions of misidentification appear to be more prevalent in DLB than AD, particularly in the early stages [154], whereas delusions of paranoia occur in both patient groupings. Considerations like this highlight that to select the most appropriate instrument for recruitment or assessing treatment response, the clinical trial team should be familiar with the range of psychotic symptomatology exhibited in the sample.

In relation to areas for future development, evaluations of the currently available instruments could be made to assess whether they adequately capture the full range of psychotic phenomena and at an appropriate level of detail, given our current state of knowledge. Considerations of the scoring methods of the scales can be made and whether these reflect symptomology optimally for clinical trials. Another area for future consideration is on the timescales over which symptomology is measured on the instruments and whether these enable phenomenology to be captured in the most accurate way. Also of note, many assessments have been developed in relatively homogenous samples, thus limiting generalizability. Relevant to this, group differences have been noted in the manifestation and representation of symptoms across race and ethnicity [155-157]. Future instruments must be culturally sensitive, beginning with

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the inclusion of diverse racial and ethnic groups inthe development, testing, and validation stages.

In summary, the availability of a broad array of assessment tools to detect DRP emphasize its utility as a possible treatment target.

# NON-PHARMACOLOGICALINTERVENTIONS

The first line of treatment for NPS in people 893 with dementia are non-pharmacological interven-894 tions. The goal of these interventions is to prevent 895 or reduce the severity of NPS, avoid side effects 896 of psychoactive drugs, promote a higher quality of 897 life, and delay placement into a long-term care set-898 ting [158, 159]. Non-pharmacological interventions 899 encompass a range of behavioral, psychosocial, sen-900 sory, and environmental approaches [160]. However, 901 to date there is very little evidence on the effi-902 cacy of these interventions in DRP, and when it 903 exists, the effects usually focus on clusters of NPS. 904 Therefore, when investigating the effectiveness of 905 non-pharmacological interventions for people with 906 dementia and psychosis, specific conclusions about 907 the usefulness of these interventions are difficult to 908 estimate [161, 162]. 909

There is scarce evidence to support the use of 910 behavioral and psychosocial interventions for treat-911 ing psychosis in dementia. In a single-blind, block-912 randomized cross-over controlled study, Brunelle-913 Hamann et al. [163] implemented a four-week 914 home-based cognitive rehabilitation program to train 915 instrumental activities of daily living and reported 916 that delusional symptoms decreased when compared 917 to the control group. Further, Chen et al. [164] car-918 ried out a multi-component intervention study in a 919 small sample, non-randomized without-contact con-920 trol group that included cognitive and orientation 921 training among others (e. g., sensorial and physical 922 activities). Favorable differences to the experimental 923 group were reported for hallucinations and delu-924 sions, but there was no significant change in the use 925 of psychotropic medications at the end of the trial 926 with regards to the baseline levels. A final interven-927 tion called the tailored activity program-outpatient 928 version was recently assessed in a randomized, con-929 trolled, double-blind pilot study [165]. This tailored 930 activity program is delivered by an occupational ther-931 apist who implements three individualized activities 932 based on cognitive and functional capabilities, that 933 can also be generalized to strategies used for activi-934 ties of daily living. A significant reduction in multiple 935

NPS, including hallucinations, was observed after intervention. Delusions were also reduced comparing to pre-test assessment, although this change did not reach significance [165].

An alternative approach to treating delusions and hallucinations in dementia is validation therapy and reminiscence therapy. Each of these therapies can be easily implemented, but there is currently no evidence to suggest that they reduce the presence of delusions and hallucinations [166]. Rather, the general consensus for these therapies is that they may improve cognition, mood, or general behavior [166], which could indirectly reduce the presence of delusions and hallucinations. Additional evidence for the use of these therapies was found in a study that simulated presence by family-generated videotape recordings. In this study, Cohen-Mansfield & Werner [167] reported specific efficacy in reducing hallucinations regarding those observed in the pre-intervention period.

The environment, which incorporates sensory approaches, plays a critical role in the development and treatment of DRP. A study by Zeisel et al. [168] systematically analyzed the relationship between the environmental design of nursing homes and the presence of various NPS among residents with dementia. This study found a significant association between these variables, including the presence of psychosis. In particular, they found that higher privacy-personalization (i.e., individual privacy and personalization of one's room) and sensory comprehension (i.e., staff control and understandable sensory input) resulted in lower levels of psychosis among these residents [168]. Personalization of the environment to ensure it is more home-like has been found to be especially important in reducing psychotic symptoms associated with dementia [169].

There are multiple sensorial approaches that can help with reducing the levels of psychosis among patients with dementia. These include utilizing music and ambient noise, improving lighting, and providing walkways for exercise [170]. Music has been found to reduce both hallucinations [167, 171] and delusions in dementia [172]. One study in particular that utilized a randomized controlled trial (RCT) across three nursing homes found nonverbal music therapy (i.e., rhythmical and melodic instruments) significantly decreased delusions over a 16-week intervention period [173]. Lighting is found to be one of the most important environmental factors as it plays a critical role in sleep patterns, interpretation of visual stimuli, and the risk of falls [169, 174]. Adequate 036

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lighting has also been found to improve behavior,
including delusions and hallucinations, among longterm care residents [175]. However, efficacy of bright
light therapy [174] in reducing paranoid delusions
and hallucinations still remains unclear [176].

Providing hearing aids and correcting for visual disability (glasses, cataract surgery) could also decrease psychotic symptoms such as paranoia [177, 178]. Additional environmental interventions that have been found to reduce delusions and hallucinations in dementia include incorporating routine activities, removing the person from environmental triggers, providing cues for orientation, and redirection [170].

Although there is limited evidence to support the 1002 efficacy of non-pharmacological interventions for 1003 DRP, some results suggest that the interventions are 1004 effective if implemented in a person-centered man-1005 ner [179]. This approach takes into consideration 1006 the person and ensures that the intervention is tai-1007 lored to them based on their previous experiences, 1008 previous and current interests, and current needs 1009 and disease stage [179]. Non-pharmacological inter-1010 ventions should continue to be utilized for DRP, 1011 and further research should be conducted across 1012 all approaches to better understand their ability to 1013 treat these symptoms. In particular, more RCTs 1014 should be performed on larger samples, including an 1015 active control group, and specifically targeting DRP. 1016 Since psychotic symptoms can occur intermittently, 1017 pre-post comparison of measurements collected at 1018 a single time should be avoided. Specific reliable 1019 and valid instruments to assess psychotic symptoms 1020 should be used, contrasting the reports of the patient 1021 and the partner and taking into account the stages of 1022 dementia. Neuroimaging correlates could also be use-1023 ful to determine possible differences in the efficacy 1024 of the intervention. In addition, measuring external 1025 outcomes such as changes in psychotropic prescrip-1026 tion or caregiver burden is also convenient. Finally, a 1027 tentative dynamic consensus on dose (i.e., frequency, 1028 duration, and/or intensity) and standardization of 1029 procedures for each of the non-pharmacological 1030 interventions is desirable to avoid excessive hetero-1031 geneity in RCT studies, and therefore, prevent future 1032 complications in the results comparison. 1033

### 1034 PHARMACOLOGICAL TREATMENT

The need for pharmacological interventions to treat all psychotic symptoms in dementia is a matter of debate. Currently, antipsychotic medications in 1037 patients with dementia are recommended only in 1038 cases in which there is substantial risk for harm to 1039 self or others, and after all non-pharmacological mea-1040 sures have failed [180]. This approach is apparently 1041 justifiable in the case of minor hallucinations in PDD 1042 or DLB, to which patients are usually insightful, and 1043 which rarely lead to patient suffering or to behavioral 1044 changes. Nevertheless, early treatment of minor psy-1045 chotic symptoms in PD has been suggested by some 1046 authors to attenuate psychiatric deterioration [181]. 1047

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Despite the modest effect of pharmacological interventions [19] and the increased risk for side effects, morbidity, and mortality [20, 182–188], in practice, psychoactive medications are commonly used for the treatment of patients with dementia and psychosis. especially if clinical presentations are accompanied by additional behavioral disturbances such as agitation or aggression. For example, between 37.5–60% of patients with dementia in residential care facilities are usually prescribed antipsychotic medications, not only for genuine psychotic symptoms but also to control agitation, aggression, or severe sleep disturbances [189, 190]. Most notable is the use of typical (haloperidol, thioridazine) or atypical (risperidone, olanzapine, quetiapine, aripiprazole, clozapine, ziprasidone) antipsychotics with the latter being the preferred pharmacological treatment option [191].

Clozapine [192] is also used, but the adverse effects of this drug are often too problematic for elderly patients. The recently introduced drug pimavanserin [193], an inverse agonist and antagonist of the serotonin 5-HT2A receptor which lacks the dopamine receptor blocking effects of other antipsychotics [194], is progressively being used, based on its beneficial effect on ameliorating psychotic symptoms PD and AD-related psychosis [195] and its acceptable safety profile.

Psychotic symptoms in PD and LBD are specifically challenging for pharmacological treatment; in addition to the increased risk for morbidity and mortality [196], the dopaminergic blockade induced by most antipsychotic medications leads to worsening of motor symptoms, with LBD patients being particularly sensitive to this effect [197, 198]. Despite limited evidence for its efficacy in delusions and hallucinations, quetiapine, a mixed dopaminergic and serotonergic antagonist, is a widely used antipsychotic medication in patients with PD and LBD [199]. As mentioned before, pimavanserin has been proven effective and well-tolerated for psychosis symptoms in PD [140, 195] and LBD [199], being approved by

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the FDA for this indication. Nevertheless, its advan-1089 tages over quetiapine are yet to be studied [199]. 1090 Clozapine showed efficacy for the treatment of PD 1091 [200] and, although less consistently for LBD-related 1092 psychosis [201]. Importantly, its use is not associ-1093 ated with an exacerbation of motor symptoms- tremor 1094 conversely, these may be ameliorated [202]. How-1095 ever, the risk for life-threatening agranulocytosis and 1096 the resulting need for frequent blood draws limits the 1097 practicality of its use. 1098

In Table 1, we summarize the most relevant evidence from clinical trials which examined the efficacy of atypical antipsychotic medications on ameliorating psychotic symptoms in patients with dementia.

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In 2005, the Food and Drug Administration (FDA) 1103 issued a black box warning regarding safety con-1104 cerns associated with the treatment of patients with 1105 dementia treated with atypical antipsychotic medi-1106 cations. The use of these medications in dementia 1107 is associated with an increased risk for side effects, 1108 namely cerebrovascular events [182], extrapyrami-1109 dal symptoms, hypotension, sedation, anticholinergic 1110 effects [183], and mortality [203]. These concerns 1111 resulted in a reduction in the use of these medica-1112 tions in dementia in the US [22, 204, 205] though 1113 reports on the extent of this decline are inconsistent. 1114 Atypical antipsychotic medications still comprised 1115 9% of the drugs prescribed to patients with dementia 1116 in 2008. The use of typical antipsychotic medica-1117 tions in patients with dementia is associated with 1118 at least as much risk for morbidity and mortality 1119 as atypical antipsychotics [206], and the FDA has 1120 extended its warning to include haloperidol [207], 1121 one of the most widely used typical antipsychotics 1122 used to treat psychotic and behavioral symptoms 1123 in dementia. A survey among health care providers 1124 specialized in geriatrics demonstrated that the most 1125 commonly reported barriers for the adoption of the 1126 FDA's warnings were lack of alternative treatments, 1127 lack of guidance, lack of evidence regarding phar-1128 macological treatments, and poor availability of data 1129 [208]. Similar problems have been found in other 1130 clinical settings [209]. Despite these obstacles, the 1131 potential to change antipsychotic-prescribing habits 1132 exists, as reflected in the vast differences observed 1133 between nursing homes in the United States con-1134 cerning the use of antipsychotic medications [210, 1135 211]. The rates and doses of antipsychotics prescrip-1136 tions were related to factors such as staff quantity 1137 and training, facility size, and the existence of 1138 optional facilities; smaller facilities, with less com-1139 petition, less registered nurses' staffing, and those 1140

providing acute care, were more likely than others 1141 to prescribe antipsychotic medications. Interventions 1142 aimed at deprescribing (cessation or dose reduction) 1143 of antipsychotic medications in long-term care facil-1144 ities' residents with dementia have recently been 1145 successfully implemented without exacerbation of 1146 behavioral disorders and without increases in pre-1147 scription rates of benzodiazepine or antidepressants 1148 [210, 212]. 1149

Most of the current evidence for the use of atypical antipsychotics shows a heterogeneity based on numerous factors such as: study population (institutionalized [132, 141, 143, 213-215] versus non-institutionalized [142, 216] or mixed patient populations [217]); type of dementia (any dementia [218]; probable AD [143, 213, 214, 219, 220]; dementia with parkinsonism [221]); or type of behavioral abnormality (psychosis [142, 143, 213, 217, 222] versus psychosis and/or other behavioral abnormalities-agitation or aggression [220, 221, 223], or merely behavioral and psychological symptoms of dementia such as agitation [216, 218, 224]). These differences are also reflected in the primary outcome measures used to quantify improvement in most of the studies.

In some studies, the improvement in behavioral outcomes observed at follow-up was attributed to the beneficial effect of antipsychotic medications over placebo [218, 223]. However, in others, a significant improvement was observed in both groups with no differences between the active treatment and the placebo groups [142, 213, 217, 221, 222]. These results may suggest that treatment of behavioral symptoms in dementia may be prone to a significant placebo effect, thus potentially resulting from non-specific benefits such as the enrollment in a clinical trial, the natural course of illness, and symptomatic decline over time [225]. A significant placebo effect has been recognized in patients with antidepressants and agitation who have been randomized to citalopram or placebo [226]. The most significant improvement was recorded in patients who were most symptomatic at baseline, suggesting that a placebo effect may also result from regression towards the mean [225].

Other types of medications prescribed for patients with dementia-related severe behavioral disturbances include antidepressants, anticonvulsants, and cholinesterase inhibitors, though clinical trials examining their efficacy and safety specifically on psychosis are scarce. Citalopram for example, may have a beneficial impact on agitation with or without

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Author, Year	Intervention	Setting	Sample size	Mean dose	Female (%)	Mean age (years)	Trial duration (weeks)	Outcome Measure	Results
Aripiprazole Mintzer et al. 2007 [213]	Ari vs Placebo	Inpatients	487	Ari = 2 mg, 5 mg,10 mg	79	82.5	10	NPI-NH CGI-S BPRS	<b>Positive.</b> Ari 10 mg showed significantly greater improvements.
De Deyn et al. 2005 [142]	Ari vs Placebo	Outpatients	208	Ari = $10 \text{ mg range}$ (2-15 mg)	72	81.5	10	NPI-NH	<b>Negative.</b> Ari did not show significant differences compared to placebo.
Streim et al. 2008 [143]	Ari vs Placebo	Nursing homes	256	Ari = 8.6 mg	76.1	83	10	NPI-NH CGI-S BPRS	Negative. Ari did not show a significant difference in psychotic symptoms
Olanzapine Deberdt et al. 2005 [217]	Olz vs Placebo vs Ris	Outpatients	494 Olzp = 204 Ris = 196 Placebo = 94	Olz = 5.2 mg Ris = 1 mg	65.2	78.3	10	NPI-NH	<b>Negative</b> . Olz was not significantly more effective than Ris or placebo.
De Deyn et al. 2004 [214]	Olz vs Placebo	Nursing homes	652	Olanzapine = 7.5 mg	75	76.6	10	NPI-NH	<b>Positive.</b> Olz was superior to placebo
Schneider et al. 2006 [220] Sultzer et al. 2008 [243] CATIE-AD trial Phase 1	Olz vs Ris, Qtp vs Placebo	Outpatients	421 Olz = 100 Ris = 85 Placebo = 142 Qtp = 94	Olz = 5.5 mg Qtp = 56.5 mg Ris = 1 mg	56	77.9	12	NPI-NH	Positive. Olz was significantly more effective than placebo or Qtp
Street et al. 2000 [223]	Olz vs Placebo	Nursing homes	206	Olz = 15 mg	61.2	82.8	6	NPI-NH	Positive. Olz low dose effective in reducing agitation/aggression and psychosis compared to placebo
<b>Quetiapine</b> Ballard et al. 2005 [215]	Qtp vs Placebo	Nursing homes	93	Hal = 1.9 mg $Qtp = 96.9 mg$	79.6	83.8	26	NPI-NH	Negative. Qtp not significant in reducing psychotic symptoms
Schneider et al. 2006 [220] Sultzer et al. 2008 [243] CATIE-AD trial Phase 1	Olz vs Ris, Qtp vs Placebo	Outpatients or Nursing homes	421 Olz = 100 Ris = 85 Placebo = 142 Qtp = 94	Olz = 5.5 mg Qtp = 56.5 mg Ris = 1 mg	56	77.9	12	NPI-NH	Negative. Qtp did not show significant differences compared to placebo in reducing psychotic symptoms
Tariot et al. 2006 [222]	Qtp vs Halvs Placebo	Nursing homes	180	Hal = 1.9 mg $Qtp = 96.9 mg$	73	83.2	10	NPI-NH	Negative. Qtp was not more effective than Halor Placebo in reducing psychotic symptoms

 Table 1

 Characteristics of the randomized controlled trials examining the use of four major atypical antipsychotics

Rainer et al. 2007 [216]	Qtp vs Ris	Nursing homes	72	Qtp = 77 mg Ris = 0.9 mg	58	77.8	8	NPI CGI-I	Qtp and Ris equally effective and well tolerated.
Kurlan et al. 2007 [221]	Qtp vs Placebo	Nursing homes or outpatients	40	Qtp = 120 mg	37.5	73.8	10	BPRS	Negative. Qtp did not show significant decrease in psychotic symptoms. Well tolerated.
Paleacu et al. 2008 [244]	Qtp vs Placebo	Nursing homes	40	Qtp = 200 mg	65	82.2	6	NPI CGI-I	<b>Negative</b> . Qtp did not significantly reduce psychosis symptoms compared to placebo.
Zhong et al. 2007 [218]	Qtp vs Placebo	Nursing homes	333 Qtp = 94	Qtp = 100 mg $Qtp = 200 mg$	74	83	10	PANSS NPI-NH CGI-C	<b>Positive</b> . Qtp 200 mg was associated with clinically greater improvements
Risperidone Brodaty et al. 2003 [245]	Ris vs Placebo	Nursing homes	345 Ris = 173 Placebo = 172	Ris = 0.95 mg	71.9	83	12	BEHAVE- AD CMAI CGI-S	<b>Positive.</b> Ris significantly improved aggression, agitation, and psychosis.
Brodaty et al. 2005 [130]	Ris vs Placebo	Nursing homes	93 Ris = 46 Placebo = 47	Ris = 1.03 mg	85	83.5	12	BEHAVE- AD CGI-S	<b>Positive</b> . Ris significantly separated from placebo reducing psychotic symptoms.
Deberdt et al. 2005 [246]	Olz vs Placebo vs Ris	Nursing homes	494 $Olz = 204$ $Ris = 196$ $Placebo = 94$	Ris = 1 mg	65.2	78.3	10	NPI	<b>Negative.</b> Ris was not more effective than olanzapine or placebo
De Deyn et al. 1999 [247]	Ris vs Hal vs Placebo	Nursing homes	344 Hal = 81 Ris = 68 Placebo = 74	Ris = 1.1 mg	58	81	12	BEHAVE- AD CGI-S	<b>Positive.</b> Ris was more effective than Hal or placebo
Katz et al. 1999 [131]	Ris vs Placebo	Nursing homes	625	Ris = 2 mg	67.8	82.7	12	BEHAVE- AD CGI-S	<b>Positive.</b> Ris was more effective than placebo.
Mintzer et al. 2006 [132]	Ris vs Placebo	Nursing homes	473 Risp = 235 Placebo = 238	Ris = 1.03 mg	77	83.3	8	BEHAVE- AD CGI-S	Negative. Ris was not more effective than placebo.
Schneider et al. 2006 [220] Sultzer et al. 2008 [243] CATIE-AD trial Phase 1	Olz vs Ris, Qtp vs Placebo	Nursing homes	421 Olz = 100 Ris = 85 Placebo = 142 Qtp = 94	Ris = 1.03 mg	56	77.9	8	NPI CGI-I BPRS	<b>Positive.</b> Ris effective in reducing psychotic symptoms compared to placebo or Qtp.

Ari, Aripiprazole; Olz, Olanzapine; Ris, Risperidone; Qtp, Quetiapine; BEHAVE-AD, Behavioral Symptoms in Alzheimer's Disease; BPRS, Brief Psychiatric Rating Scale; CGI-I, Clinical Global Impression – Improvement scale; NPI, Neuropsychiatric Inventory–Questionnaire; NPI-NH, Neuropsychiatric Inventory–Questionnaire Nursing Home version.

psychosis [226–228]; however, it is still not clear 1103 whether this treatment is advantageous over other 1194 atypical antipsychotics such as risperidone [227, 1195 228]. However, antidepressants may be used as first-1196 line treatment to decrease the use of antipsychotic 1197 medication. The use of anticonvulsants for this indi-1198 cation is even more controversial in light of their 1199 low tolerability and inconclusive evidence on their 1200 efficacy [191, 222, 229]. The primary outcomes of 1201 clinical trials examining the efficacy of cholinesterase 1202 inhibitors and memantine were mostly targeted to 1203 evaluate a sum of behavioral symptoms rather than 1204 psychosis per se [230–233]. In most of these studies, 1205 these medications did not show a substantial benefi-1206 cial effect on behavior. 1207

The course of psychosis and resulting behavioral 1208 disturbances is an additional important considera-1209 tion. The frequency and severity of these symptoms' 1210 change over time [234], with some patients even 1211 experiencing resolution of their psychotic symptoms 1212 within several months [235]. Therefore, treatment 1213 discontinuation should be considered within a spe-1214 cific time frame after remission of symptoms. A 1215 recent Cochrane review demonstrated that in most 1216 studies, treatment discontinuation was not associated 1217 with a significant behavioral worsening [236]. Never-1218 theless, some patient subgroups [131], for example, 1219 those with more severe behavioral symptoms at base-1220 line [237], with specific types of psychotic symptoms 1221 [238], or those that have responded well to antipsy-1222 chotic medications [239], may benefit from continued 1223 treatment. 1224

To examine the effect of pharmacological inter-1225 ventions specifically in DRP, future clinical trials, 1226 should probably be designed for more homogeneous 1227 patient populations (i.e., include patients with spe-1228 cific types of dementia and of psychotic symptoms, 1229 and exclude patients with behavioral disorders in 1230 the absence of psychosis). As previously discussed, 1231 the mechanisms underlying psychosis subtypes may 1232 differ by dementia type and severity. Additionally, 1233 disruptive behavioral symptoms of dementia, such as 1234 agitation or aggression, may appear in the absence 1235 of psychosis, and inclusion of patients with these 1236 symptoms but without psychosis in clinical trials, 1237 may affect the results. A deeper understanding of the 1238 biology underlying specific psychosis subtypes will 1239 enable optimal patients' selection in future studies. 1240

Since psychosis per se is not necessarily associated
with disruptive behavior and in light of the general goal to refrain from pharmacological treatment
if possible, the primary outcomes in future clinical

trials should not necessarily be only the amelioration of psychosis per se, but also the amelioration of the resulting behavior, as reflected in objective measurements. This also reflects on the outcomes' measurement method. Up to date, the outcomes of most clinical trials aimed to treat psychosis in dementia were based on caregivers' reports and clinicians' impressions—both potentially affected by subjectivity [240]. Thus, routine inclusion of markers of motor behavior and sleep (e.g., actigraphs) [241] may contribute to more realistically reflect the efficacy of treatment interventions.

Similarly, we should acknowledge that trial design characteristics for most atypical antipsychotics have been tailored for schizophrenia rather than dementia [242] and that studies differed in the tools applied for response measurement, potentially contributing to negative results. The definitions of response or partial response of DRP to treatment require further adjustment for dementia [140]. An important consideration for future clinical trial design should be the use of placebo control arms versus head-to-head drug comparisons and adjusting analyses for concomitant medications, dosage modifications-adjustments, and the presence of adverse events which may influence the overall results and effect sizes. Finally, future studies should examine the efficacy of medications targeting the neuropathology underlying psychotic symptoms in dementia. For example, psychosis in AD is associated with increased neurofibrillary tangle density and concentrations of phosphorylated tau in the neocortex, frontal cortex, and CSF [57]. Thus, anti-tau medications may potentially affect psychotic symptoms. Gaining knowledge of the mechanisms underlying psychosis in dementia may enable the development of better pharmacological approaches for these symptoms. The latter may differ by dementia type and psychosis phenotype.

### LIMITATIONS

Our goal was to qualitatively summarize evidence on a broad topic and thus we used informal or 1286 subjective methods to collect and interpret studies. 1287 However, it is not a systematic review, and this should 1288 be understood as a limitation of our work. As a next 1289 step, systematic reviews that identify, select, synthe-1290 size, and appraise all high quality research evidence 1291 relevant to single focused clinical questions should 1292 be performed.

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### 1293 CONCLUSIONS

This paper offers a critical evaluation of recent 1294 advances in key areas of research focusing on DRP 1295 as a treatment target, such as symptom definition, 1296 prevalence and clinical relevance, neurobiology, and 1297 biomarkers and assessment instruments. Suggestions 1298 oriented to the design of clinical trials are indicated 1299 for each of the topics included. Likewise, areas of 1300 research warranting future attention are identified. 1301

As highlighted above, DRP symptoms are typi-1302 cally severe, disruptive, and persistent. While many 1303 existing treatments have limited efficacy and concern-1304 ing side-effects, recent success with pimavanserin 1305 suggests that DRP can be drug-responsive. Several 1306 advances provide encouragement for improving the 1307 treatment of DRP. The definition and characterization 1308 of DRP has recently been updated [8, 17], provid-1309 ing a framework for a consistent definition across 1310 neurocognitive disorders. Efforts in understanding 1311 the neuropathology of DRP have made clear that 1312 there is likely some common neurocircuitry com-1313 bined with disease-specific pathologies. Evolving 1314 treatments that target amyloid-beta and tau have the 1315 potential to reduce psychotic symptoms given sug-1316 gesting linkages with amyloid-beta and tau. Next 1317 steps in improving treatment require the identification 1318 of new targets. As reviewed above, various studies 1319 support a relationship between DRP and inflamma-1320 tory markers, vascular risk factors and TDP-43 and 1321 GWAS studies have the potential to identify novel 1322 mechanisms. Key to advancing DRP will be the use 1323 of diagnostic criteria, and the use of mechanistically 1324 relevant biomarkers in carefully designed trials. 1325

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