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## ORIGINAL RESEARCH

# Beta Inflated Regression Models on the Physical and Mental Health of Nigerian Stroke Survivors

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## Abstract

**Background:** Stroke is one of the major public health problems worldwide. Physical and mental health data of stroke survivors are often expressed in proportions. Therefore, the Beta Regression models family for data between zero and one will be appropriate.

**Objectives:** To identify a suitable model and the likely risk factors of physical and mental health of stroke survivors.

**Method:** Secondary data of stroke survivors from two tertiary health Institutions in Ogun State, Nigeria, were analysed. Inflated Beta (BEINF) and Inflated-at-one-Beta (BEINF1) models were compared using Deviance (DEV), Akaike Information Criterion (AIC) and Bayesian Information Criteria (BIC) for model selection. The model with minimum DEV, AIC and BIC was considered to be better.

**Results:** The deviance (-86.0604), AIC (-46.0604) and BIC (6.4391) values of the BEINF1 model for physical health and the deviance (-20.1217), AIC (19.8783) and BIC (72.3778) values of BEINF1 model for mental health were smaller than BEINF models. Therefore, BEINF1 was the better model to identify the health risk factors of stroke survivors. Age, marital status, diastolic blood pressure, disability duration and systolic blood pressure had a significant association with physical health, while BMI had a significant positive association with mental health.

**Conclusion:** The beta-inflated-at-one (BEINF1) model is suitable for identifying health risk factors of stroke survivors when the outcome variable is a proportion. Both demographic and clinical characteristics were significantly associated with the health of stroke survivors. This study would assist researchers in knowing the appropriate model for analysing proportion or percentage response variables.

**Keywords:** *Inflated at one Beta, Inflated Beta, Mental health, Physical health, Stroke survivors.*

## Introduction

Stroke, also called cerebrovascular accident (CVA), can be defined as a brain attack, which happens when there is no flow of blood to parts of the brain which eventually results in brain cell

death. <sup>[1, 2]</sup> In both developed and developing countries, stroke is the second leading cause of death. <sup>[3]</sup> The two types of stroke are ischaemic and haemorrhagic strokes which occur when blockages cut off the blood supply to parts of the brain or when a weakened vessel ruptures and

bleeds into the surrounding brain. [1] There are combinations of many risk factors contributing to the development of stroke. [4] Globally, people living and have experienced a stroke are more than 80 million, with about 60% under 70 years of age. [5] A higher proportion of people who have experienced and survived a stroke are women (51%). More than half (56%) of health life was lost due to stroke-related death and disability among men. [5] A study revealed that 65% of the respondents had an ischaemic stroke with a mean age of 55 years. [6] That study also showed that the most common risk factor of stroke was hypertension. As revealed by the logistic regression model, other factors included alcohol intake, previous stroke, family history of stroke, HIV infection, and tobacco smoking/sniffing. [7] Another study examined the direct cost of stroke management and suggested that the economic burden may be higher in stroke management. [8] Post-stroke depression is a global issue among stroke survivors and sometimes unrecognised and undertreated. It was noted that if proper attention is given to stroke patients, some of the causes may be prevented. [8] Some of the risk factors are modifiable; these include high blood pressure, smoking, diabetes mellitus, hypercholesterolaemia, physical inactivity and obesity, carotid or other artery diseases, certain blood disorders, excessive alcohol intake, illegal drug use and sleep apnoea, among others. Factors that cannot be controlled include increasing age, gender, heredity and race, and prior stroke. [9]

There are many studies on stroke survival data with a diverse use of statistical analysis methods. The Chi-Square test was used in a study to identify risk factors for stroke in the elderly. [4] It was shown that the mean age of the respondents was 69.7 years with some risk factors such as obesity, heart disease in the family, smoking and the female gender. [4] A case-control study on haemorrhagic and ischaemic stroke risk was carried out in Bangladesh using multivariate

logistic regression. [10] The study showed that fruit consumption, table salt intake, psychosocial stress, abnormal ECG and increased Waist-Hip Ratio (WHR), among others, were significantly associated with stroke. [10] Mulat and others made use of logistic regression to identify factors associated with stroke in Ethiopia. [11] Older age, hypertension, diabetes mellitus, cardiac diseases, alcohol intake and cigarette smoking, were associated with stroke. [11] Also, a previous study analysed data collected from the elderly using log-binomial regression and reported that depression, sex and age were significantly associated with stroke. [12]

Analysis of Variance (ANOVA) for repeated measures was used to assess patient education intervention on lifestyle risk factors in another study. [13] Hypertension, physical inactivity, unhealthy diet, excessive alcohol intake and smoking, among others, were factors associated with stroke. [2,13-19] In Nigeria, the Chi-Square test was used to identify gender variations in the risk factors of acute stroke. Smoking, education and alcohol were significantly associated with the gender of stroke patients. [20] Another study in India also used the Chi-square test to identify factors associated with return to work after stroke. [21] Some other studies used descriptive statistics such as frequency percentages, cross-tabulations, logistic regression and survival curves to identify some risk factors. [2,16-19,22,23]

However, some of the stroke data are in proportions or percentages. Therefore, while modelling such data, the appropriate model must be applied to obtain the correct estimate and the likely risk factors. Beta regression models family will be adequately fit for such data. [24] The response variable has values ranging between zero and one. Swearingen and others analysed ischaemic stroke volume using the beta regression model. [25] They reported that age, weight, systolic blood pressure, diastolic blood pressure, self-reported alcohol usage and cardio-

embolic phenomenon were significantly associated with stroke. [25] Other studies have applied beta regression models to data other than physical and mental health. [26-28] The objective of this study was to model the physical and mental health of stroke survivors using Beta Inflated Regression models.

**Methods**

*Settings and data*

The secondary data of 102 stroke survivors from two tertiary health institutions in Ogun State (the Olabisi Onabanjo University Teaching Hospital, Sagamu and the Federal Medical Centre, Abeokuta.) were used for the study. A detailed description of the design and sampling technique has been reported elsewhere. [29]

*Ethical consideration*

This study employed secondary data, and therefore, ethical approval was not required. However, the primary research got ethical approval, as documented elsewhere. [29]

*Outcome variables and covariates*

The physical and mental health scores of the respondents were the outcome variables expressed in proportions or percentages. Assessment and the constituents of physical and mental health have been reported elsewhere. [29] Independent variables were demographic (age:  $X_1$ , sex:  $X_2$ , marital status:  $X_3$ , religion:  $X_4$ , occupation:  $X_5$ , education:  $X_6$ ) and clinical (disability duration:  $X_7$ , Height:  $X_8$ , weight:  $X_9$ , systolic blood pressure:  $X_{10}$ , diastolic blood pressure:  $X_{11}$  and body mass index:  $X_{12}$ ) characteristics.

**Models** [28]

*Inflated at zero and one beta (BEINF) model*

The BEINF model is appropriate when the outcome or response variable has a restricted interval, including the two endpoints [0, 1]. Let  $Y$  be the response variable, then zero and one have non-zero probabilities  $\rho_0$  and  $\rho_1$ , respectively. The probability density function of the inflated beta distribution, BEINF ( $\mu, \sigma, \nu, \tau$ ) can be defined as:

$$fY(y \setminus \mu, \sigma, \nu, \tau) = \begin{cases} \rho_0 & \text{if } y = 0 \\ (1 - \rho_0 - \rho_1) \frac{1}{B(\alpha, \beta)} y^{\alpha-1} (1 - y)^{\beta-1} & \text{if } 0 < y < 1 \\ \rho_1 & \text{if } y = 1 \end{cases} \quad (1)$$

$$\Sigma = (\alpha + \beta + 1)^{1/2}, E(y) = \frac{\tau + \mu}{1 + \nu + \tau}$$

*Inflated-at-one-beta (BEINF1) model*

The BEINF1 model is appropriate when the outcome variable has a restricted interval that

includes only one of the endpoints (0,1). In this model, 1 is taking as part of the values of the response variable.

$$fY(y \setminus \mu, \sigma, \nu) = \begin{cases} (1 - \rho_1) \frac{1}{B(\alpha, \beta)} y^{\alpha-1} (1 - y)^{\beta-1} & \text{if } 0 < y < 1 \\ \rho_1 & \text{if } y = 1 \end{cases} \quad (2)$$

$$\Sigma = (\alpha + \beta + 1)^{-1/2}, E(y) = \frac{\nu + \mu}{1 + \nu}$$

*Statistical analysis*

The R programming package and Statistical Package for Social Sciences (SPSS version 23) were used to analyse. Two models: Inflated Beta (BEINF) and Inflated-at-one-beta (BEINF1) regression models, were applied in this study. Akaike Information Criterion (AIC), Bayesian Information Criterion (BIC) and Deviance were employed to select the better of the two models. The model with the minimum value of Deviance, AIC and BIC was considered the better model. *P* values < 0.05 were statistically significant.

**Results**

Table I shows the criteria for model selection for inflated beta regression and inflated-at-one-beta regression model. The values of deviance (-86.0604), AIC (-46.0604) and BIC (6.4391) in the BEINF1 model for physical health for combined (demographic and clinical) characteristics were smaller than those of the BEINF model. Therefore, BEINF1 was the better model. The values of deviance (-20.1217), AIC (19.8783) and

BIC (72.3778) in the BEINF1 model for mental health for combined (demographic and clinical) characteristics were smaller than those of the BEINF model. Therefore, BEINF1 was the better model. The same results applied for deviance, AIC and BIC values for BEINF1 regression for physical health and mental health when demographic and clinical characteristics were modelled separately, as shown in Table I.

The estimates of the Inflated-at-one-Beta Regression (BEINF1) model, the better model in this study, are shown in Tables II-V. With combined (demographic and clinical) characteristics, disability duration and diastolic blood pressure had a significant positive association (*p* = 0.0116 and 0.0241 respectively) with physical health in Table II. Weight had a negative statistically significant association (*p* = 0.0351), whereas BMI had a significant positive association (*p* = 0.0354) with the respondents' mental health, as shown in Table III. These suggest that an increase in disability duration and diastolic blood pressure increase physical health.

Table I: Model selection criteria for comparison of Inflated Beta Regression Model and Inflated-at-one-Beta Regression model

Characteristics	Inflated Beta Regression (BEINF) model			Inflated-at-one-Beta Regression (BEINF1) model		
	Deviance	AIC	BIC	Deviance	AIC	BIC
Physical Health (PCS)						
Demographic & Clinical characteristics	-86.0604	-44.0604	11.0641	-86.0604	-46.0604	6.4391
Demographic characteristics	-73.9215	-43.9215	-4.5469	-73.9216	-45.9216	-9.1719
Clinical characteristics	-66.5344	-46.5344	-20.2846	-66.5345	-48.5345	-24.9097
Mental Health (MCS)						
Demographic & Clinical characteristics	-20.1216	21.8784	77.0029	-20.1217	19.8783	72.3778
Demographic characteristics	-13.1001	16.8999	56.2745	-13.1002	14.8998	51.6494
Clinical characteristics	-11.5271	8.4729	34.7227	-11.5272	6.4729	30.0976

The estimates of the Inflated-at-one-Beta Regression (BEINF1) model on physical health by demographic and clinical characteristics are shown in Table IV. The age of the respondents had a significant negative association (*p* = 0.0354). In contrast, marital status (other types of

marital status apart from being single or married) had a positive association (*p* = 0.0447) with physical health considering demographic characteristics alone. The disability duration and diastolic blood pressure were positively associated with physical health (*p* = 0.0221 and

0.0051, respectively). In contrast, systolic blood pressure was negatively associated with physical health ( $p = 0.0333$ ) considering clinical characteristics alone.

Table V gives the results for mental health when demographic and clinical characteristics were introduced to the model separately. None of the characteristics had a statistically significant association with mental health.

**Table II: Estimates of Inflated-at-one-Beta Regression (BEINF1) model on physical health with combined demographic and clinical characteristics**

<i>Parameters</i>	<i>Estimate (β)</i>	<i>Standard Error</i>	<i>t</i>	<i>p-value</i>
Intercept	-1.219	12.120	-0.10	0.9201
Age	-0.015	0.008	-1.79	0.0777
<b>Sex</b>				
Female	Ref.			
Male	-0.066	0.190	-0.35	0.7304
<b>Marital status</b>				
Married	Ref.			
Others	0.397	0.220	1.81	0.0745
Single	-0.087	0.511	-0.17	0.8647
<b>Religion</b>				
Christianity	Ref.			
Islam	-0.007	0.161	-0.04	0.9679
Others	0.137	0.865	0.16	0.8744
<b>Occupation</b>				
Teaching/Professional	Ref.			
Trading/ Artisan	-0.352	0.217	-1.63	0.1077
Unemployed/ Retired	-0.414	0.227	-1.83	0.0714
<b>Education</b>				
Illiterate	Ref.			
Primary	0.202	0.263	0.77	0.4451
Secondary	0.313	0.276	1.14	0.2595
Tertiary	0.381	0.314	1.21	0.2291
<b>Disability duration</b>	0.005	0.002	2.58	0.0116
<b>Height</b>	0.799	7.355	0.11	0.9138
<b>Weight</b>	-0.030	0.083	-0.36	0.7214
<b>Systolic blood pressure</b>	-0.009	0.006	-1.52	0.1331
<b>Diastolic blood pressure</b>	0.019	0.008	2.30	0.0241
<b>Body Mass Index</b>	0.075	0.224	0.33	0.7396

## Discussion

This study has considered two Beta regression models, inflated at both zero and one, namely: Beta Regression (BEINF) and Inflated-at-one-Beta Regression (BEINF1) models and the factors associated with these models. The deviance, AIC and BIC values for the BEINF1 regression model were the minimum compared with the inflated values at both zero and one Beta Regression. The model with the smaller values of the above model selection criteria is considered the better model.

Therefore, BEINF1 seems to model the physical and mental health of stroke survivors better. This is similar to the study of Swearingen and others, where the BEINF1 model was found to be the better model for data that have values between zero and one. [24,25,28]

The results revealed that physical and mental health data for stroke follow beta inflated at one model. Beta regression models were applied to proportion and percentage response variables, as also found in previous studies. [24,26,27,30,31]



Table III: Estimates of Inflated at one Beta Regression (BEINF1) model on mental health with combined demographic and clinical characteristics

Parameters	Estimate ( $\beta$ )	Standard Error	t	p-value
Intercept	-30.584	16.774	-1.82	0.0719
<b>Age</b>	-0.017	0.011	-1.50	0.1365
<b>Sex</b>				
Female	Ref.			
Male	-0.163	0.245	-0.67	0.5072
<b>Marital status</b>				
Married	Ref.			
Others	0.390	0.288	1.35	0.1805
Single	-1.153	0.684	-1.69	0.0956
<b>Religion</b>				
Christianity	Ref.			
Islam	0.165	0.207	0.80	0.4267
Others	0.754	1.035	0.73	0.4685
<b>Occupation</b> Teaching/Professional				
	Ref.			
Trading/artisan	-0.174	0.280	-0.62	0.5363
Unemployed/retired	-0.141	0.295	-0.48	0.6337
<b>Education</b>				
Illiterate	Ref.			
Primary	-0.180	0.332	-0.54	0.5887
Secondary	0.046	0.349	0.13	0.8952
Tertiary	0.217	0.400	0.54	0.5899
<b>Disability duration</b>	0.002	0.002	0.81	0.4225
<b>Height</b>	19.634	10.186	1.93	0.0574
<b>Weight</b>	-0.248	0.116	-2.14	0.0351
<b>Systolic Blood Pressure</b>	-0.008	0.007	-1.09	0.2797
<b>Diastolic Blood Pressure</b>	0.005	0.011	0.46	0.6493
<b>Body Mass Index</b>	0.671	0.313	2.14	0.0354

When both demographic and clinical characteristics were analysed, only clinical variables of disability duration and diastolic blood pressure were significantly associated with physical health. On the other hand, only anthropometric variables of weight and body mass index were associated with mental health. The longer the duration of disability, the better the physical health. It is worth noting that the participants in this study were undergoing treatment in a teaching hospital. Therefore, the treatment being received might attenuate the effect of disability on their physical health. Better still, stroke survivors might have adjusted to their disability. However, previous studies have suggested that the longer the disability duration, the more positive attitude stroke survivors have toward the disability [32-34]; hence, the better physical health observed in this study. It is unclear why the increase in diastolic blood pressure should result in better physical health. There are likely intrinsic variables that were not

controlled for that influenced this relationship. As expected, the increase in body weight leads to a decrease in mental health. This might suggest the importance of health education on weight reduction for stroke survivors.

However, when demographic and clinical characteristics were analysed separately, there was a negative association between age and physical health in the present study. It shows that the physical health of respondents decreases as age increases. An increase in age has been shown to impact the physical and psychosocial health of stroke survivors. [35] Ageing usually leads to a decrease in muscle mass and strength. There was also evidence from other reports that age was significantly associated with the outcome of stroke. [25] It is worthy of mentioning that marital status improved the physical health of the participants. Since most of the participants in this study are older, their partners likely provide the needed social supports.

Table IV: Estimates of Inflated at one Beta Regression (BEINF1) model on physical health by demographic and clinical characteristics

Parameters	Estimate ( $\beta$ )	Standard Error	t	p-value
<b>Demographic characteristics</b>				
Intercept	0.905	0.596	1.52	0.1323
Age	-0.018	0.009	-2.14	0.0354
Sex	Ref.			
Female				
Male	-0.132	0.179	-0.74	0.4609
<b>Marital status</b>				
Married	Ref.			
Others	0.455	0.224	2.04	0.0447
Single	-0.246	0.532	-0.46	0.6448
<b>Religion</b>				
Christianity	Ref.			
Islam	0.013	0.162	0.08	0.9364
Others	-0.127	0.860	-0.15	0.8830
<b>Occupation</b>				
Teaching/Professional	Ref.			
Trading/Artisan	-0.412	0.222	-1.85	0.0670
Unemployed/Retired	-0.368	0.235	-1.56	0.1214
<b>Education</b>				
Illiterate	Ref.			
Primary	0.020	0.257	0.08	0.9373
Secondary	0.179	0.275	0.65	0.5175
Tertiary	0.256	0.323	0.79	0.4297
<b>Clinical characteristics</b>				
Intercept	-1.774	12.686	-0.14	0.8891
Disability duration	0.005	0.002	2.33	0.0221
Height	0.691	7.687	0.09	0.9286
Weight	-0.023	0.087	-0.26	0.7944
Systolic Blood Pressure	-0.012	0.005	-2.16	0.0333
Diastolic Blood Pressure	0.025	0.009	2.87	0.0051
Body Mass Index	0.050	0.235	0.21	0.8320

Also, the present study results showed that the diastolic and systolic blood pressures had positively and negatively significant associations with physical health, respectively. High systolic blood pressure is not only a risk factor of stroke or results in a stroke but even post-stroke, it increases ischaemic stroke lesion volume. [25] Therefore, adequate blood pressure monitoring and control should be ensured among stroke survivors. The effect of high blood pressure and other risk factors on stroke survivors have been reported by previous studies. [36, 37] The present study has some clinical significance. Beta-inflated-regression-at-one model percentages are better, allowing a more straightforward and intuitive transformation of discrete data usually generated among stroke survivors. More importantly, this study offers inference regarding odd ratio, allowing for a previously unavailable treatment effect

interpretation. Therefore, beta inflated regression is an appropriate model analysing proportion data among stroke survivors.

### Conclusion

The present study has revealed the beta-inflated-at-one (BEINF1) model as suitable for identifying physical and mental health risk factors among stroke survivors. Some of the factors associated with both health conditions may include age, marital status, disability duration, weight, Body Mass Index, diastolic blood pressure and systolic blood pressure at different regression analysis combinations. However, this study would assist researchers in knowing the appropriate model suitable for modelling proportion or percentage response variables.



Table V: Estimates of Inflated-at-One-Beta Regression (BEINF1) model on mental health by demographic and clinical characteristics

Parameters	Estimate ( $\beta$ )	Standard Error	t	p-value
<b>Demographic characteristics</b>				
Intercept	1.272	0.748	1.70	0.0924
Age	-0.018	0.011	-1.66	0.1012
Sex	Ref.			
Female				
Male	-0.248	0.225	-1.10	0.2735
Marital status	Ref.			
Married				
Others	0.358	0.288	1.24	0.217
Single	-1.047	0.702	-1.49	0.1394
Religion	Ref.			
Christianity				
Islam	0.124	0.207	0.60	0.5498
Others	0.050	1.005	0.05	0.9604
Occupation	Ref.			
Teaching/Professional				
Trading/Artisan	-0.135	0.279	-0.48	0.6295
Unemployed/Retired	-0.020	0.298	-0.07	0.9477
Education	Ref.			
Illiterate				
Primary	-0.358	0.323	-1.11	0.2703
Secondary	-0.119	0.345	-0.34	0.7314
Tertiary	0.094	0.402	0.23	0.8155
<b>Clinical characteristics</b>				
Intercept	-24.928	16.443	-1.52	0.1329
Disability duration	0.002	0.002	0.90	0.3728
Height	15.423	9.956	1.55	0.1247
Weight	-0.206	0.114	-1.81	0.0738
Systolic Blood Pressure	-0.011	0.007	-1.69	0.0939
Diastolic Blood Pressure	0.011	0.010	1.10	0.2724
Body Mass Index	0.562	0.309	1.82	0.0723

**Authors' Contributions:** OKS conceived the study, retrieved the data, analysed the statistical, and wrote the manuscript draft. OOO participated in data collection and critically revising the manuscript for sound intellectual content. Both authors approved the final version of the manuscript.

**Conflict of Interest:** None.

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