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# Gravity driven membrane filtration combined with solar aeration and GAC adsorption provides excellent productivity and effluent quality as shale gas wastewater pretreatment

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#### 16 ABSTRACT

17 The rapid growth of shale gas extraction is associated to the increasing production of shale 18 gas flowback and produced water: efficient treatment processes are urgently needed to allow 19 better management of this wastewater. We propose a simple integrated pretreatment process for 20 on-site treatment, whereby gravity driven membrane filtration is combined with granular 21 activated carbon (GAC) adsorption and solar aeration. GAC and aeration significantly 22 increased the stable flux and improved the final effluent quality of the membrane process. 23 Specifically, the dissolved organic carbon removal rate of the integrated system was 44.9%, 24 and the stable permeate flux was 1.7 times higher than that of simple gravity-driven filtration, 25 which also showed negligible removal of organic. The high stable flux is attributed to a 26 reduction of extracellular polymeric substances accumulated on the membrane, as well as to 27 the more porous and heterogeneous biofilm formed thanks to the abundance and diversity of 28 eukaryotes with active predation behavior. The prevailing strains, Gammaproteobacteria (35.5%) and Alphaproteobacteria (56.5%), played an important active role in organic carbon 29 30 removal. The integrated system has great potential as pretreatment for shale gas flowback and 31 produced water desalination due to its low energy consumption, low operational costs, high 32 productivity, and effluent quality.

33

34 KEYWORDS: Shale gas flowback and produced water (SGFPW); Gravity driven membrane
35 (GDM); Granular activated carbon (GAC); Aeration; Microbial community

#### 36 Introduction

37 Shale gas may satisfy the current world's energy demand for over 60 years, and it is 38 considered as a better resource to replace traditional fossil fuels and to help reducing the 39 global carbon emissions (Chang et al., 2019a; Shaffer et al., 2013). However, the extraction of 40 shale gas is presently associated with severe environmental problems, especially related to the great amount of freshwater that is consumed in the extraction activity and to the large flow of 41 42 refractory shale gas flowback and produced water (SGFPW), with ~ 5200-25,870 m<sup>3</sup> generated per well (Chang et al., 2019b; Zou et al., 2018). SGFPW contains high 43 concentrations of salinity, radionuclides, heavy metals, and refractory organics, seriously 44 45 endangering human health, wildlife, and water ecosystems if not appropriately managed before discharge (Abass and Zhang, 2020; Butkovskyi et al., 2017). Furthermore, its quality 46 47 and quantity change over time. For example, its salinity gradually increases, while the total 48 organic carbon concentration reduces gradually with the life of the well (Barbot et al., 2013; 49 Cluff et al., 2014). Shale gas extraction wells are often located in remote areas with scarce 50 transportation and power facilities, making SGFPW treatment even more challenging.

51 Membrane technologies are considered the most appropriate and effective way to reuse 52 SGFPW, achieving a sustainable cycle of water in the shale gas industry (Chang et al., 2019a; 53 Tong et al., 2019). Desalination may by achieved by nanofiltration, reverse osmosis, forward 54 osmosis, or membrane distillation. However, effective pretreatment is a significant factor in 55 the sustainable operation of desalination (Chang et al., 2019c). Luckily, pretreatment can be 56 effectively performed by low-pressure membrane processes, such as microfiltration (MF) and 57 ultrafiltration (UF) (Guo et al., 2018; Islam et al., 2019; Kim et al., 2018; Miller et al., 2013). Nevertheless, the appeal of such technologies is limited by the operational problems 58 59 associated with membrane fouling (Chang et al., 2019c; Lee et al., 2019b). The recently developed gravity driven membrane filtration (GDM) is more favorable than conventional 60 61 MF and UF in pretreating SGFPW (Chang et al., 2019c; Pronk et al., 2019). GDM has the 62 advantages of simple operation, low maintenance, low energy consumption, and lower costs in general, mainly because its stable flux is realized by gravity and because the membrane 63 64 does not need cleaning (Pronk et al., 2019). This technology has specific potential for the 65 treatmeant of SGFPW generated from decentralized extraction wells.

In recent years, GDM technology has been successfully applied in many fields, such as 66 in the treatment of surface water (Boulestreau et al., 2012; Chawla et al., 2017; 67 Peter-Varbanets et al., 2010; Shao et al., 2019; Shi et al., 2020; Song et al., 2020a; Tang et al., 68 69 2018b; 2020b; Truttmann et al., 2020), rainwater (Ding et al., 2017b; Du et al., 2019; Wu et 70 al., 2019), greywater (Ding et al., 2016; 2017a), sewage (Liu et al., 2020; Wang et al., 2017), 71 and seawater (Akhondi et al., 2015; Wu et al., 2016; Wu et al., 2017). In our previous research 72 (Chang et al., 2019c; Li et al., 2020), the suitability of the GDM process as a pretreatment 73 option for SGFPW desalination was discussed, also assessing the long-term effects of 74 operational parameters and analyzing the microbial community of the biofouling layer. While 75 the performance was better than that of traditional UF, the GDM process still needs significant 76 improvement. Chiefly, both the stable flux and the contaminant removal should be maximized 77 to alleviate the fouling potential of the stream entering the desalination process.

78 In recent articles, the combination of GDM with other separation processes was 79 discussed and broader conditions were evaluated, including the use of a biofilm reactor, 80 adsorption, coagulation, and aeration (Ding et al., 2018b; Lee et al., 2019a; Lee et al., 2019b; 81 Shao et al., 2017; Tang et al., 2018a; Tang et al., 2018b; Tang et al., 2018c). Specifically, 82 granular activated carbon (GAC) has been reported to remarkably improve the permeate 83 quality of GDM systems (Ding et al., 2018b; Lee et al., 2019b; Tang et al., 2018c). Regarding 84 stable flux, Ding et al. (2018b) indicated that this was reduced because the GAC layer increased the filtration resistance. Lee et al. (2019b) attributed this effect to the lower 85 86 presence, predation, and mobility of eukaryotes. In contrast, Tang et al. (2018c) found that GAC improved the diversity of eukaryotes with stronger predation ability in the biofouling 87 88 layer, thus producing a more permeable biofouling layer. Additionally, Ding et al. (2016) 89 analyzed the effect of aeration shear stress on a GDM system for greywater treatment. When 90 the aeration was positioned below the membrane module, shear stress resulted in a thinner, 91 denser, and less permeable biofouling layer with high EPS content, thus aggravating 92 membrane fouling. Meanwhile, Peter-Varbanets et al. (2011) and Ding et al. (2017a) reported 93 that high dissolved oxygen (7.9 mg/L, 6.0-6.5 mg/L) can promote high stable fluxes due to 94 the enhanced biological activity, larger surface roughness, and lower EPS content in the 95 biofouling layer. The effect of aeration on the GDM system is thus complex. On the one hand, 96 aeration increases the dissolved oxygen level and the permeability of the biofouling layer. On 97 the other hand, aeration shear stress might aggravate membrane fouling.

98

In this study, the effect of GAC and aeration on GDM performance is explored and

99 analyzed in the pretreatment of SGFPW . The specific objectives are to assess the effect of 100 GAC and aeration on (1) stable flux, membrane fouling resistance, and permeate quality; (2) 101 the morphology and accumulated biofoulants on the membrane; and (3) the diversity of 102 bacterial and eukaryotic community present in the membrane biofilm. Therefore, an 103 integrated system is proposed to improve the efficiency of SGFPW pretreatment for 104 subsequent desalination.

105

#### 106 Materials and methods

#### 107 2.1 Gravity driven membrane filtration systems

108 A schematic diagram of the four GDM systems utilized in this work is shown in Fig. 1. 109 The systems were operated in parallel at room temperature ( $\sim 15^{\circ}$ C) with hydrostatic pressure 110 of 0.04 bar. The characteristics of the poly(vinylidene fluoride) hollow fiber UF membranes (Litree Purifying Technology Co., Ltd., China, with an effective membrane area of 15 cm<sup>2</sup>) 111 112 employed in this study can be found in previous reports (Li et al., 2020; Tang et al., 2020a). 113 After 30 days of operation, the microbial environment tended to become stable. Then, No. 1 and 114 No. 2 GDM units were aerated, and GAC was added to GDM units No. 1 and No. 3, to explore the effects of aeration and adsorption on the systems in the following 30 days of operation. 115 116 Specifically, a single crystal silicon solar air pump (Koge, Xiamen, China) continuously 117 aerated No. 1 and No. 2 GDM units at a flow rate of 60 mL/min. To avoid direct erosion of 118 membrane by aeration, thus aggravating membrane fouling effects (Ding et al., 2016), the

119 aerators and the membrane modules were located on opposite sides of the reactors. The

concentration of dissolved oxygen measured by HQ30D dissolved oxygen analyzer (Hach
Company, USA) was above 8 mg/L in aerated systems. In GDM units No. 1 and No. 3, 10 g
GAC (CPG LF 12, Calgon Carbon Co., Ltd., USA) were added. GAC was cleaned with
deionized water and dried before dosing. It was wrapped in gauze to prevent leakage, which
might cause membrane fouling (Ding et al., 2018a; Ding et al., 2018b).



125

Fig. 1. Schematic diagram of the GDM systems. Four systems (GAGDM: GDM with
GAC+aeration; AGDM: GDM with aeration only; GGDM: GDM with GAC only; GDM:
control GDM) operated for a total of 60 days at room temperature (~ 15°C) with hydrostatic
pressure of 0.04 bar.

130

## 131 2.2 Wastewater samples and water quality analysis

132 The shale gas flowback and produced water sample used in this study was collected from a

133 drilling platform of the Weiyuan shale gas field in the Sichuan Basin, China. Compared to water

134	samples used in our previous research (Chang et al., 2019c; Li et al., 2020), the water samples
135	utilized in this experiment were pale yellow and had a lower amount of suspended matters. The
136	SGFPW samples were kept in sealed containers and in the dark to avoid changes in water
137	quality. The pH value of the wastewater was measured using a pH meter (PB-10, Sartorius
138	Scientific Instruments Co., Ltd., Beijing, China). The turbidity was determined by a HACH
139	TL2310 turbidity meter (Hach, Loveland, CO, USA). The dissolved organic carbon (DOC) was
140	determined with a TOC analyzer (TOC-L CPH, Shimadzu, Kyoto, Japan). The $UV_{254}$
141	absorbance value was measured with a UV-vis spectrophotometer (Orion AquaMate 8000,
142	Thermo Fisher Scientific Inc., MA, USA) at 254 nm wavelength. The concentration of total
143	dissolved solids (TDS) and the electrical conductivity (EC) were determined using an
144	Ultrameter II 6PFC portable multi-function apparatus (Myron L, Carlsbad, California, USA).
145	The water quality characteristics of SGFPW samples are summarized in Table 1.

 Table 1. Water quality characteristics of the SGFPW samples.

	SGFPW	/ samples of Weiyuan shale gas field
Constituents		Previous literature
Constituents	This study	(Chang et al., 2019c; Li et al., 2020; Shang et
		al., 2019; Tang et al., 2020a)
Turbidity (NTU)	35.9-42.7	32.5-215
рН	7.26-7.48	6.76-7.82
TDS (mg/L)	21,780-22,630	16,040-18,900
EC (mS/cm)	35.15-36.45	26.67-31.14
DOC (mg/L)	16.81-16.91	12.45-38.03
$UV_{254}  (cm^{-1})$	0.162-0.173	0.057-0.165
DO (mg/L)	4.14-5.11	-

The measurement and calculation methods of membrane permeate flux ( $L m^{-2}h^{-1}$ , LMH), total fouling resistance ( $R_t$ ) and its components, *i.e.*, membrane inherent resistance ( $R_m$ ), reversible resistance ( $R_{re}$ ) and irreversible resistance ( $R_{ir}$ ), were identical to our previous study (Chang et al., 2019d; Li et al., 2020).

# 152 2.4 Analysis of the membrane fouling layers

The EPS measuring method and details about the measurement of contact angle can be found in a recent study (Li et al., 2020). The surface of the fouled UF membrane samples were observed and analyzed by scanning electron microcopy (SEM) (FE-SEM, Regulus-8230, Hitachi, Japan) with energy dispersive spectroscopy (EDS) (X-MAX Extreme, Oxford-Instruments, UK) at an acceleration voltage of 15 kV. Before microscopy, dried membrane samples were sputter-coated with gold (MSP-2S, IXRF Systems Inc., USA).

# 159 2.5 Microbial diversity analysis

160 To explore the effects of aeration and GAC on microbial communities of GDM systems, part of the hollow fiber membranes (about 8 cm<sup>2</sup>) were collected after filtration and quickly 161 162 transferred to a sealed sterile tube. To prevent the decomposition of genetic material, the membrane samples were frozen with liquid nitrogen and stored in a refrigerator 163 164 (906GP-ULTS, Thermo Scientific, USA) at -80°C. Details about DNA extraction, polymerase 165 chain reaction (PCR) amplification, and Illumina Miseq sequencing are presented in Text SI1 of the Supporting Information and in our previous study (Chang et al., 2019c). Briefly, for 166 167 PCR amplification, the amplified primer sets of 18S rRNA genes for eukaryon and 16S rRNA genes for bacteria were SSU0817/1196R and 338F/806R, respectively. UPARSE software
(version 7.1 http://drive5.com/uparse/) was utilized to analyze the cluster operational
taxonomic units (OTUs) with 97% similarity cutoff. The analysis of the alpha diversity, the beta
diversity, and microbial community composition were performed with the Majorbio I-Sanger
Cloud Platform (<u>www.i-sanger.coom</u>).

173

#### 174 **Results and discussion**

#### 175 *4.1 Permeate flux and fouling resistance*

176 The permeate flux profiles and the corresponding total fouling resistance of the four GDM systems observed during the 60 days of filtration are presented in Figs. 2a and 2b. 177 178 During the first 30 days, the systems were all equally run with no aeration and in the absence 179 of GAC. In this period, the permeate flux decreased from 10.0 LMH to 2.53 LMH. Between 180 the 30th to 40th day, the fluxes of all the systems decreased to values in the range 2-2.33 181 LMH at a slow rate, suggesting that GAC and aeration had negligible effect on flux decline. 182 The GDM systems were backwashed on the 40th day to also analyze the effect of backwashing: to this purpose, some of the permeate was used as backwashing solution for ten 183 184 minutes with 5 LMH back flux. Backwashing allowed recovery of a portion of permeate flux 185 in all the systems. In particular, the flux of the control GDM system recovered only slightly 186 from 2.28 LMH to 2.67 LMH (17% increase). The fluxes of AGDM, GGDM, and GAGDM 187 units increased 26%, 80%, and 72%, respectively. This result suggests that the integrated 188 features, especially the presence of GAC, improved the reversibility of membrane fouling.

After backwashing, normal operation was resumed for 20 more days and, at the end of the experiment, the fluxs of control GDM, AGDM, GGDM, and GAGDM systems were stable at values of 1.67, 1.87, 2.27, and 2.80 LMH, respectively. In this study, the stable fluxes were higher than those reported in our previous articles (Chang et al., 2019c; Li et al., 2020).



Fig. 2 The variation of (a) permeate flux, (b) total fouling resistance, (c) DOC of influent and effluent streams, and (d)  $UV_{254}$  of influent and effluent stream during the 60 days of filtration of the four GDM systems.

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According to the flux results, the total fouling resistance of the filtration systems increased from about  $1.64 \times 10^{12}$  m<sup>-1</sup> to about  $6.51 \times 10^{12}$  m<sup>-1</sup> during the first 30 days of operation. Addition of GAC and of aeration diversified the evolution trends of total fouling resistance for the varioussystems. At the end of the experiment, the resistances in GDM, AGDM, GGDM, and GAGDM units were  $9.89 \times 10^{12}$ ,  $8.83 \times 10^{12}$ ,  $7.27 \times 10^{12}$ , and  $5.89 \times 10^{12}$ m<sup>-1</sup>, respectively. Overall, the performance was enhanced by aeration and was significantly improved by addition of GAC, for reasons that are discussed in the following sections.

205

# 4.2 Organic matter removal performance

Figs. 2c and 2d present the removal efficiency of the filtration systems for DOC and 206 207  $UV_{254}$  during the 60 days of testing. At the beginning of the experiment, the DOC of the raw 208 water was 16.9 mg/L, and the DOC in the effluent of control GDM system was 15.7 mg/L. 209 Thus, the DOC removal rate was 7.5%. As the unit consists of a dead-end filtration reactor, 210 the DOC of the feed solution was constantly increasing (Li et al., 2020; Wu et al., 2019). In 211 the course of the filtration test, the DOC removal rate of the control GDM system was always 212 negligible or even negative, because of the poor rejection combined with the effect of the 213 biological layer on the membrane: macromolecular organic matter was likely degraded into 214 smaller molecules by the biofilm, and passed more easily through the membrane pores 215 compared to the starting material in the influent water (Akhondi et al., 2015; Derlon et al., 216 2016; Derlon et al., 2014; Peter-Varbanets et al., 2011; Tang et al., 2018c; Wu et al., 2019). A 217 biofilm already formed on the membrane after short-term operation.

Upon addition of GAC and aeration, the DOC removal rate in AGDM, GGDM, and GAGDM units was 4.4%, 47.4%, and 58.4%, respectively, and stable during filtration. This sequence results in a DOC of the effluent equal to 17.9, 15.6, 10.3, and 9.90 mg/L, at the end of the tests. These observations indicate that aeration promoted DOC removal, probably because of the enhancement of microbial activity and the increase of the biomass concentration in the two aerated reactors (Ding et al., 2017a; Lee et al., 2019a). Also, aeration seemed to improve the ability of GAC to adsorb organic matter, as already observed by previous studies (Karanfil et al., 1996; Lee et al., 2019a). For the two GDM systems provided with GAC, adsorption on activated carbon was the main reason for the high DOC removal rate in the initial period upon GAC addition; subsequently, the mechanism of DOC removal changed to slower bioadsorption and biodegradation (Lee et al., 2019b), as suggested also by previous investigations (Riley et al., 2016; Xing et al., 2008).

The UV<sub>254</sub> removal efficiency was analogous to that of DOC. At the end of the filtration period, the UV<sub>254</sub> of the effluents from control GDM, AGDM, GGDM, and GAGDM units was 0.192, 0.153, 0.086, and 0.054 cm<sup>-1</sup>, respectively. Notably, aeration and especially GAC addition significantly improved the effluent water quality with great potential benefits for the subsequent desalination processes (Lee et al., 2019b).

235 4.3 Fouling reversibility and surface characteristics of membrane fouling layers

In order to investigate the effects of aeration and GAC on the recoverability of membrane fouling, we measured the pure water flux and pure water contact angle relative to the virgin membrane, the fouled membrane, and the fouled membrane after physical cleaning (Li et al., 2020); see the results summarized in **Fig. 3**.



Fig. 3 (a) Composition of membrane fouling resistance and (b) variation of water contact
angle on membranes from GDM systems with different operation conditions.

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As shown in **Fig. 3a**, the total fouling resistance  $(R_t)$ , reversible resistance  $(R_{re})$  and 244 irreversible resistance ( $R_{ir}$ ) of the control GDM system was  $9.89 \times 10^{12}$  m<sup>-1</sup>,  $8.45 \times 10^{12}$  m<sup>-1</sup>, 245 and  $0.79 \times 10^{12}$  m<sup>-1</sup>, respectively. R<sub>re</sub> and R<sub>ir</sub> accounted for 85.5% and 7.9% of R<sub>t</sub>, in that order. 246 247 All the systems were characterized by a high reversibility resistance ratio (Chang et al., 2019c; 248 Ding et al., 2018b; Lee et al., 2019a). Compared with the Rt of the control system, the Rt of 249 the other filtration units was lower, with a reduction of 10.7%, 26.5% and 40.4%, respectively, 250 in AGDM, GGDM, and GAGDM systems. The trend of R<sub>re</sub> was similar to that of R<sub>t</sub>, whereas R<sub>ir</sub> increased for the systems in the presence of GAC, almost doubling in the GGDM unit. 251 252 This phenomenon suggests that GAC significantly reduced the R<sub>re</sub>, which accounted for a large proportion of the R<sub>t</sub>, while increasing the R<sub>ir</sub>, with aeration able to partly thwart this 253 effect. This phenomenon was not observed in previous research (Lee et al., 2019a; Tang et al., 254 255 2018a; Tang et al., 2018c).

256 As shown in **Fig. 3b**, the water contact angles for all fouled membranes were markedly reduced, indicating the presence of hydrophilic foulants (Chang et al., 2019c). After physical 257 258 cleaning, the contact angles recovered to values equivalent to roughly 80% of those measured 259 with virgin membranes, which were in the range 75-77°. Similar to conclusions suggested by 260 previous studies (Li et al., 2020; Wang et al., 2017), the pure water contact angle of the fouled 261 membranes could be restored by physical cleaning to a level slightly lower than the original 262 membrane, showing that the membrane fouling of GDM systems had high recoverability. The surface topographies of membrane fouling layers were observed with SEM and presented in 263 264 Fig. S1. Compared to the sample employed in the control GDM unit, relatively loose and heterogeneous biofilm structures were found in the other three systems, in addition to many 265 pores and cracks of different size. 266

#### 267 4.4 Analysis of EPS on the fouling layer

The concentration of EPS on the membrane surface was measured at the end of the filtration tests. EPS was divided into loosely bound EPS (L-EPS) and tightly bound EPS (T-EPS), according to the different extraction methods (Li et al., 2020). The concentration of polysaccharide and protein was also measured, and all the results are presented in **Fig. 4**. The concentration of L-EPS and T-EPS in the membrane fouling layer of control GDM system was 1.68 and 0.42 g/m<sup>2</sup>, respectively. The L-EPS consisted mostly of polysaccharides, while the fraction of protein represented about one third of the T-EPS.



Fig. 4 (a) The component of EPS accumulated on the membrane per unit area and (b) clustering
correlation analysis between EPS and membrane fouling resistance.

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279 The effect of aeration on the concentration of L-EPS was not significant. However, it seemed to lead to a certain increase in T-EPS concentration in the fouling layer, which might 280 be due to the shear force produced by aeration increasing the density of the layer (Pronk et al., 281 282 2019). The concentrations of polysaccharide and protein in L-EPS is positively correlated with Rt and Rre, as shown in Fig. 4b. GAC significantly reduced L-EPS concentration in the 283 284 membrane fouling layer, which might be the reason for the decrease of total fouling resistance 285 and of the reversible resistance. Similarly, some studies proposed that the reduction of EPS in 286 the biofouling layer is one of the main reasons for the increased stable flux in GDM (Tang et 287 al., 2018b; Tang et al., 2018c). In addition, the concentrations of polysaccharide in T-EPS is 288 positively correlated with Rir. In fact, GAC increased the concentration of polysaccharide in 289 T-EPS, which might be the reason for the increase in the irreversible resistance in systems 290 comprising activated carbon (Fig. 3a).

#### 291 *4.5 Microbial diversity analysis*

292 The Venn diagram of bacterial and eukaryotic communities at OTU level and the alpha 293 diversity of bacterial and eukaryotic communities are shown in Fig. 5. Overall, the diversity 294 and richness of microorganisms in this work was higher than in samples analyzed in our 295 previous research (Chang et al., 2019c), and than in other samples from the Sichuan basin 296 (Zhang et al., 2017). However, it was far lower than that of waste sludge, soil, or wastewater 297 samples, due to the harsh water quality characterics of SGFPW (Wang et al., 2019). The coverage values of all samples were higher than 99.9% (Figs. 5b and 5d), indicating that the 298 sequencing depth was sufficient to cover most of bacteria and eukaryotes. The rarefaction 299 300 curves (Fig. S2) also suggest that the sequencing depth was adequate.

In terms of bacterial communities, a total of 378 OTUs were found across all samples with a range of 269-323 OTUs present in each sample. The highest OTU number was found for the biolayer evolved in the control GDM system. The number of OTUs from GGDM and GAGDM units was close and sightly lower than that of the control system, indicating that the addition of GAC and aeration only affected a small portion of the bacteria in the fouling layer. The decrease in ACE index and Shannon index also indicated that GAC and aeration slightly reduced the richness and diversity of the bacterial community.

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Fig. 5 Venn diagram of bacterial (a) and eukaryotic (c) communities and the alpha diversity of
bacterial (b) and eukaryotic (d) communities.

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313 The richness and diversity of eukaryotic communities were much smaller than those 314 relative to bacteria, but opposite trends were observed upon combination of the GDM system 315 with GAC and aeration. A total of 44 OTUs were detected in the eukaryotic community of 316 biolayer from three GDM systems. The control group did not have a unique OTU, while a large 317 number of new OTUs were detected in the biofilm from the GGDM and GAGDM units. The 318 variation of ACE index and Shannon index indicated that addition of GAC enriched the 319 richness and diversity of the eukaryotic community in the membrane fouling layer, while 320 aeration had only a small further enhancing effect.

321 4.5.1 Bacterial community of the biofouling layer in GDM systems

322 There were 30 kinds of bacterial phyla in the membrane biofouling layer of three GDM 323 systems; see Fig. 6a. Proteobacteria (50.3%), Tenericutes (13.1%), Epsilonbacteraeota (8.6%), 324 Bacteroidetes (4.2%), and Firmicutes (4.3%) were the major phyla and constituted 80.5% of the 325 bacteria in the control GDM system. Some halotolerant and halophilic bacteria existed in phyla 326 Proteobacteria and Bacteroidetes (Frank et al., 2017; Zhang et al., 2017). Consistent with what 327 discussed above, GAC and combined GAC with aeration significantly decreased the diversity 328 of the bacterial community and changed the community structure at the phylum level. In 329 particular, the vast majority of the phyla was represented by *Proteobacteria* in samples from 330 GGDM and GAGDM units (>90%). The existence of Proteobacteria is common to wastewater 331 because of their ability to decompose carbohydrates (Frank et al., 2017; Song et al., 2020b). 332 Alphaproteobacteria (39.8%), *Campylobacteria Mollicutes* (13.1%),(8.5%),333 Deltaproteobacteria (6.1%), Clostridia (4.3%) and Gammaproteobacteria (4.2%) were the 334 main classes found in the biofilm from the control GDM system. In GGDM and GAGDM 335 samples, Alphaproteobacteria and Gammaproteobacteria affiliated to Proteobacteria 336 increased, especially Gammaproteobacteria, as shown in Fig. 6b. According to reports, 337 halophilic bacteria of these two classes can effectively degrade polycyclic aromatic 338 hydrocarbons polluted seawater (Arulazhagan 2009). The in and Vasudevan, 339 Gammaproteobacteria and Alphaproteobacteria might also play an important role in high DOC 340 removal. In the biolayer evolved in the GAGDM system, the relative abundance of 341 Deltaproteobacteria was obviously reduced to 0.8%: this result is not surprising because the dominant organisms of this class have anaerobic metabolism function, including 342

343	sulfate-reducing	bacteria and	geobacter sub-	phylum	(Freedman	et al., 2017).
	0		0			

344	At the genus level, 231 bacteria genuses were detected in the samples from the three systems.
345	The core genuses from the control GDM unit were unclassified_o_Rhizobiales (21.7%),
346	norank_f_Izimaplasmataceae (12.9%), Parvibaculum (8.6%), Sulfurospirillum (7.8%),
347	Hyphomonas (3.7%), and Desulfuromonas (2.8%). GAC and combined GAC with aeration
348	significantly changed the core genuses, which for GGDM and GAGDM samples were
349	Denitromonas (18.3-21.5%), Thalassospira (6.2-14.0%), Labrenzia (3.5-9.6%), Marinobacter
350	(6.1-8.1%), Hyphomonas (7.6-9.7%), Parvibaculum (2.0-6.2%), unclassified_o_Rhizobiales
351	(3.3-5.9%), and Roseovarius (1.6-2.3%), significantly different with those extracted from the

352 membrane used in the control GDM system.



Fig. 6 Bacterial community compositions at (a) the phylum (>0.5%), (b) the class (>1%), (c)

355 the order (>1%), and (d) the genus level (top 40). In (d), ANPR<sup>a</sup> and norank\_p\_Marinimicrobia<sup>b</sup>

- 356 represent Allorhizobium-Neorhizobium-Pararhizobium-Rhizobium and
- 357 norank\_p\_Marinimicrobia\_SAR406\_clade, respectively.
- 358



360	Fig. 7a shows that the eukaryotic kingdom of the biofilm from the control GDM system
361	consisted of Fungi (79.9%), Excavata (20.0%), and Alveolata (0.1%), which was a different
362	classification compared to our previous study (Chang et al., 2019c). The fraction of Fungi
363	increased significantly to 95.7-99.8% in GGDM and GAGDM samples, representing the
364	vastly predominant eukaryotic kingdom. At the phylum level, Ascomycota (72.4-87.7%) and
365	Basidiomycota (7.5-16.5%) were dominant in all systems, and a similar observation was
366	reported in other SGFPW samples from the Sichuan Basin (Zhang et al., 2017). The number
367	of classes observed in GDM, GGDM, and GAGDM samples was 7, 17, and 16, respectively.
368	Finally, as shown in <b>Fig. 7e</b> , the core genera of the biofilm evolved in the control GDM system
369	were Cladosporium (46.3%), Trimastix (20.0%), unclassified_f_Aspergillaceae (9.2%),
370	Fusarium (7.8%) and unclassified_o_Saccharomycetales (7.7%). The Cladosporium fungi can
371	produce extracellular hydrolytic enzymes, like monoacyl esterase, protease, and pectinolytic
372	enzymes (Barbosa et al., 2001). Addition of GAC and combined GAC with aeration changed
373	the environment significantly, thus modifying the core genera. Specifically, the fouling layer
374	environment of the latter unit was beneficial to the growth of Fusarium (31.8-44.0%),
375	norank_f_Cucurbitariaceae (6.5-10.4%), and Boeremia (6.2-8.9%). In contrast, it was
376	detrimental to the growth of <i>Cladosporium</i> (17.4-19.2%), <i>Trimastix</i> (0.1-0.5%),
377	unclassified_f_Aspergillaceae (3.4-5.0%) and unclassified_o_Saccharomycetales (0.02-0.1%).
378	Overall, the clear increase in abundance and diversity of eukaryotes by GAC and aeration
379	should be accompanied by more active predation behavior, resulting in a more porous and
380	heterogeneous membrane biofouling layer, thereby increasing the stable flux of the filtration



# 381 system (Chang et al., 2019c; Pronk et al., 2019; Tang et al., 2018a; Tang et al., 2018c).





(>1%), (d) the order (>1\%), and (e) the genus level.

# 385 Conclusions

386 To solve the issue about low stable flux and low organic removal of gravity driven

387 membrane filtration, solar aeration and in-situ GAC adsorption were combined with GDM to 388 pretreat SGFPW in Weiyuan. The performance of the integrated processes and the 389 characteristics of the membrane fouling layers obtained under different conditions were 390 evaluated and the following conclusions can be drawn:

391 (1) GAC and aeration, especially GAC, markedly enhanced the stable flux and reduced the 392 total fouling resistance of GDM systems. GAC significantly reduced  $R_{re}$ , which accounted for 393 a large proportion of  $R_t$  and it also slightly increased the  $R_{ir}$  of GDM systems. Aeration can 394 further reduce  $R_t$  of the GDM system when combined with GAC.

(2) Compared to traditional units, the system comprising both GAC adsorption and aeration
showed high DOC removal rate due to bioabsorption and biodegradation. GAC significantly
reduced the concentration of EPS in the membrane biofouling layer and this effect is one of
the main reasons for the increased stable flux of the integrated system.

(3) GAC and aeration observably changed the microbial community structure. The
dominant *Gammaproteobacteria* (35.5%) and *Alphaproteobacteria* (56.5%) classes evolved in
the GAGDM integrated system played an important role in high DOC removal. In this unit, the
eukaryotic community richness and diversity significantly increased in the biofouling layer.
This is accompanied with more active predation behavior, resulting in a more porous and
heterogeneous membrane biofouling layer, thus translating into a higher system productivity.

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# 410 Appendix A. Supplementary data

The Supporting Information to this article is available at online. Detailed experimental procedures and additional experimental data: DNA extraction, polymerase chain reaction (PCR) amplification, and Illumina Miseq sequencing; SEM images and EDS analyses of membrane fouling layers in different GDM systems; Rarefaction curves of OTUs for bacteria and eukaryote in biofouling layers of three GDM systems.

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