1	Production of drug-releasing biodegradable microporous
2	scaffold impregnated with gemcitabine using a CO ₂ foaming
3	process
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12	Abstract
13	The use of supercritical fluids technology, in particular the use of CO ₂ , is an important
14	advantage over other production techniques of controlled release systems. The impregnation and
15	foaming process can be carried out in a single step. By adjusting the conditions of pressure,
16	temperature, depressurization time or type of polymer used, microcellular scaffolds can be
17	obtained with desired characteristics and adapted to the patient's requirements. In this work,
18	Gemcitabine impregnation in PLGA foams from polymeric solutions of ethyl lactate has been
19	studied. The effect of polymer lactide to glycolide ratio, pressure and temperature were studied
20	for three initial drug loading. In addition, an impregnation efficiency study was performed under
21	these conditions, as well as an Energy Dispersive Spectroscopy analysis (EDS) to determine if
22	Gemcitabine was uniformly distributed throughout the polymeric matrix. Finally, a study of the
23	release profile of Gemcitabine in Phosphate Buffered Saline (PBS) was investigated and a
24	mathematical modelling was carried out. In this model it was considered that the release process
25	was divided into three different steps controlled by the external diffusion in the first place, by the
26	internal transfer of mass in the second and then by the degradation of the polymer.

28 1. Introduction

Biodegradable polymers derived from lactic acid and glycolic acid have been widely used in medical and pharmaceutical applications [1-8]. Nowadays, interest in foam synthesis of these polymers has increased. The main characteristic that makes the use of these polymers so attractive is that their degradation products are eliminated by the body's metabolic pathways avoiding side effects [9, 10]. Moreover, the use of biodegradable polymers such as Poly (lactic-co-glycolic) acid (PLGA) eliminates a posterior surgery stage to remove traditional implants.

Porous biodegradable foams have been produced for delivery of anticancer drugs and for the regeneration of tissues and organs [11-19]. These porous scaffolds with an open pore structure are also desirable in many tissue engineering applications in order to maximize cell seeding, attachment, growth, extracellular matrix production, vascularization, and tissue growth [20, 21]. Moreover, porous matrices are interesting impregnation supports due to their large specific surface area [22].

Conventional drug delivery products provide sharp increases in drug concentration that can reach toxic levels, followed by a relatively short period at the therapeutic level after which, drug concentration drops until new administration occurs [23]. In contrast, controlled release systems try to achieve release profiles that yield the therapeutic systemic concentration of the drug over a longer period of time, avoiding the large fluctuations in drug concentration and reducing the need for frequent administrations [24].

The use of supercritical carbon dioxide is a technology that allows the foaming and impregnation of polymer matrix with a drug in a single step [25-27]. Supercritical CO₂ assisted impregnation has proven to be feasible when pharmaceutical compound is soluble in carbon dioxide and the polymer can be swollen by the supercritical fluid [28]. This impregnation process of active compounds in polymeric matrices is very complex and is subject to the interactions that may occur between the solute (active principle), the carrier (supercritical fluid and modifier) and the polymeric matrix [29].

Gemcitabine is a pyrimidine analogue and has demonstrated antitumor activity in a variety of solid tumors of bladder, lung, ovary and pancreas [30-33]. This drug possesses radiosensitizing properties *in vitro* and *in vivo* at non-cytotoxic concentrations. Currently, Gemcitabine is used as the single agent for the treatment of advanced pancreatic cancer and is the most widely prescribed cancer drug worldwide [6, 34-42], but a high dose of drug is required to achieve the therapeutic concentration or desired effect, causing serious side effects.

61 In this work, the impregnation of Gemcitabine in PLGA polymeric matrices by means of 62 supercritical technology and further release kinetics has been studied. The degradation rate of the 63 scaffold should be similar to or slower than the rate of drug absorption for the organism. 64 Consequently, it is important to understand the degradation profile of a given polymer scaffold. 65 Because of that, a mathematical model has been developed in order to describe the release profile of Gemcitabine at three different concentrations in the PLGA matrix. The influence of 66 67 Gemcitabine concentration on the final structure of the microcellular foam, as well as the working 68 pressure, temperature and PLA to PGA ratio has also been investigated.

69

70 2. Materials

71 **2.1. Materials**

Poly (lactic-co-glycolic) acid (PLGA) with different ratio lactide:glycolide (average molecular weight 17,000 g/mol) was used for the synthesis of the microparticles. PLGA5050 (50 mol % lactic acid, 50 mol % glycolic acid) and PLGA7525 (75 mol % lactic acid, 25 mol % glycolic acid) were supplied by Corbion Purac (Netherlands) and used as received. Ethyl lactate was acquired from Sigma-Aldrich (Spain) and used as received. Gemcitabine hydrochloride was also supplied by Sigma-Aldrich (Spain). Carbon dioxide with a purity of 99.8% was supplied by Carburos Metálicos S.A. (Spain).

80 **2.2. Experimental impregnation setup and procedure**

81 Impregnation experiments were carried out in a homemade batch-type device consisting 82 of a 316-stainless-steel high-pressure vessel with a volume of 350 mL. To impregnate and foam 83 PLGA solutions, firstly, a solution of 0.8 g PLGA/ml ethyl lactate was prepared. The 84 corresponding amount of drug was added into the solution and homogenized for 5 minutes with a CAT Undrive X 1000 D homogenizer at 5600 rpm. 0.2 gram of the dispersion was placed in a 85 86 16 mm diameter support inside the vessel. The vessel was then filled with high-pressure CO_2 , 87 which was cooled and compressed by the positive-displacement pump. The pressure was 88 regulated by a back-pressure regulator (BPR) and checked by a manometer. Temperature and 89 pressure were kept constant for 24 hours to promote the formation of a homogeneous 90 microcellular structure because of CO₂ sorption and the solubilization of the solvent in the gas 91 phase. Then, the vessel was vented by opening the discharge valve that was controlled manually 92 by the measurement of the flow in the turbine flow meter.

93

94 **2.3. Foam characterization**

95 a) Foams structure and internal morphology

96 Cell structure and morphology were studied by scanning electron microscopy (SEM) 97 using a Quanta 250 equipment with a wolfram filament operating at a working potential of 10 kV 98 (FEI Company). Motic Images 2.0 software was used to analyse mean cell size and homogeneity 99 calculated from the standard deviation of the sample based on the SEM images. Also, cell density 100 was determined, that is defined as the number of cells of foamed sample per unit volume of the 101 original polymer and was calculated according to the following expression:

102 Cells density
$$\left(\frac{cells}{cm^3}\right) = \left(\frac{n \cdot M^2}{A}\right)^{3/2}$$
 (1)

103 where *n* is the number of cells in the micrograph, *A* the area of the micrograph (cm²) and *M* the 104 magnification factor [43, 44]. 105 An Energy Dispersive Spectroscopy (EDS) analysis was performed in order to know if 106 the drug was homogeneously distributed through all the foam. The apparatus used was an analysis 107 system coupled to the SEM equipment.

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109 b) Thermal analysis

110 The residual amount of solvent and the presence of Gemcitabine was further confirmed 111 by thermogravimetric analysis TA-DSC Q 600. Weight loss due to solvent volatilization (~150 112 °C), Gemcitabine degradation (~280 °C) and polymer degradation (~ 325 °C) was recorded in the 113 thermograph as a function of temperature. DSC scans were done using a DSC Q1000 TA. DSC 114 analysis were performed to stablish the glass transition temperature of PLGA flakes and PLGA 115 impregnated foams. The data were analysed with the universal analysis software TA 2000.

116

117 c) FTIR analysis

118 The FTIR spectra of PLGA polymer, PLGA foams, Gemcitabine and PLGA impregnated 119 foams were recorded on a JASCO FT/IR 4600. It was used for chemical analyses of the functional 120 groups present in microparticles. IR spectra of microparticles samples were obtained in range 121 from 4000 cm⁻¹ to 400 cm⁻¹, with a resolution of 4.0 cm⁻¹ and 64 scanning.

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2.4. In vitro release experiments

124 About 100 mg of Gemcitabine impregnated foams was introduced into 100 mL of 125 Phosphate Buffered Saline (PBS) in hermetically sealed glass bottles protected from light (Figure 126 3.5). These bottles were placed in a water-bath at 37 °C to simulate body temperature and were 127 kept agitated during this analysis. A sample of 2 mL from the solution was drawn for each 128 established time, at 1h, 3h, 6h, and 24h. After this point, the sample was measured every day until 129 day 22. The experiments were duplicated. In order to maintain the origin PBS volume and pH 130 value, 2 mL of fresh PBS was periodically added until the end of the experiment.

131 **2.5.** *In vitro* drug release kinetics: theoretical mechanism

- 132 The mechanism of controlled drug release was previously demonstrated by our research group and was described elsewhere [45]. It can be explained following three different steps: 133 134 i) Initial burst of drug release in which the most accessible drug impregnated on the 135 surface or in larger pores, in direct contact with the medium, is released as a function 136 of solubility of drug in the medium. Consequently, the gradient in the drug 137 concentration represent the driving force in the mass transfer process in which the 138 external mass transfer coefficient (k_{ext}) is the most characteristic parameter. 139 ii) Once the most accessible drug has been released, the diffusion of the drug from the 140 bead matrix trough the polymer chains network control the mass transfer process. 141 Graphically, a drastic change in the shape of the release profile is observed.
- 142 iii)Finally, the drug that has been entrapped in the polymer network without mobility
 143 or time enough to be released can be liberated when the water hydrolyses the
 144 polymer into soluble oligomeric and monomeric products. Drug is released
 145 progressively because of the polymer degradation until complete polymer
 146 solubilization.
- 147

2.6. Mathematical modelling

A mathematical model was developed for each of the drug release steps. This model allows the experimental data to be fitted with great precision according to the release mechanisms previously proposed. Table 1 shows the established time values that mark the beginning and end of each of the steps and that have been applied to the model.

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Table 1. Initial and final time values for the three steps of the model.

step	to	t _f
1 st step	t_0^{ext} (0 days)	$t_{f}^{ext}(1 \text{ day})$
2 nd step	t_0^{int} (1 day)	t_{f}^{int} (5 days)
3 rd step	t_0^{deg} (5 days)	t_{f}^{deg} ($\infty = 22$ days)

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i) Release of the most accessible drug

In the first stage of drug release, the most accessible drug was released. This drug was mainly found on the surface of the foam. This first moment of the release was physically observed as a direct dissolution of the drug in the PBS. This process was only controlled by the diffusion in the film. The equation that can be applied to model this stage was the one proposed by Boyd [46] based on a "Thin Film Diffusion Model" (2):

$$(1-F) = -k_{ext} \cdot t \tag{2}$$

164

where *F* is the fractional attainment of equilibrium (it corresponds to M_t/M_{∞} , where M_t and M_{∞} , denote the absolute cumulative amounts of drug released at any time t and at infinite, respectively) and k_{ext} is the external mass transfer coefficient. Experimentally, the linear tendency was observed in all experiments until 1 day. Consequently, this first pattern of the release profile was modelling using this diffusion equation.

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ii) Release of the most inaccessible drug

The second step involved the release of the drug that was impregnated within the polymeric matrix. This process was controlled by the internal diffusion of the drug giving rise to the second zone of the release curves. This intra-porous diffusion was described by Crank [47] using the "Fick's Second Law of Diffusion". Microcellular foams impregnated with Gemcitabine can be considered spherical, so mathematical analysis was based on this geography. The following conditions were considered based on the experimental results for the fit to the model:

- 178a)Th179b)Th
- 8 a) The drug was homogeneously distributed throughout the foam at $t = t_f^{ext} = t_0^{int}$.
- b) The initial drug concentration was below the solubility of the drug, which was also known
 as molecular dispersion or monolithic solution. The driving force was very high at any
 time during the experiment. The approximation of infinite dilution was accepted.

c) There was no drug accumulation at the surface of the foam. The rate at which the drug
left the device was always equal to the rate at which the drug was brought to the surface
by internal diffusion.

185 d) Perfect sink conditions were provided throughout the experiment.

186 Considering these premises, the total amount of diffusing substance entering of leaving187 the sphere was given by equation (3):

188

189
$$\frac{M_t}{M_{\infty}} = 1 - \frac{6}{\pi^2} \sum_{n=1}^{\infty} \frac{1}{n^2} \cdot \exp\left(-\frac{n^2 \cdot \pi^2}{R_0^2} \cdot D \cdot t\right)$$
(3)

190

191 where R_0 is the characteristic length of spherical foam radius (0.8 cm) and *D* represents the 192 apparent diffusion coefficient considering homogeneous particle (the existence of "micropores" 193 would not affect the convenience of using this equation) and *t* is the release time for each 194 measurement.

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196 iii) Degradation of the foam substrate and release of the remaining drug

197 Once the drug has been mostly released into the medium, it is assumed that the rest of the 198 drug is released due to degradation of the polymeric matrix. This drug could not be released into 199 the medium well mainly because it is embedded in the polymer chains. So that the physical picture 200 of this part is that the mass of drug discharged in this final part of the experiments is entirely 201 related to the polymer degradation, that is, as we consider that the drug is homogeneously 202 distributed into the polymer probe, the release of drug is directly and proportionally related with 203 the polymer degradation. It is also assumed that the diffusion release is very low compared to the 204 degradation of the polymer. Consequently, quantifying the mass loss of each probe and knowing 205 their individual drug loading it is possible to determinate the theoretical drug released to the medium and compare it with the experimental results. The "Shrinking Core Model" outlined by 206 207 Levenspiel [48] and showed in equation 4, due to it assumes a first order kinetics analogously to 208 the pseudo-first kinetics of the degradation of PLGA:

210
$$\left(\frac{M_f}{M_0}\right)^{1/3} = 1 - \frac{k_{deg}}{R_0}$$
 (4)

212	where M_0 and M_f represent the mass (foam together with drug) at the beginning of the final part
213	of the release curve ($t_f^{inf} = t_0^{deg} = 5$ days) and at the end of the experiment respectively, R_θ is the
214	initial radius of the spherical foam (0.8 cm) and k_{deg} is the pseudo-first kinetic constant of
215	degradation for the PLGA foam. M_f was directly weighting at the end of the experiment after a
216	drying stage in a vacuum desiccator.
217	Taking into account all the previous information, global model parameters are as follows:
218	i) Zone I: external mass transfer coefficient (k _{ext}).
219	ii) Zone II: effective diffusion coefficient (D).
220	iii) Zone III: pseudo-first kinetic constant of polymer degradation (k_{deg}).
221	

222 **3. Results**

3.1. Gemcitabine impregnation experiments

In foaming processes, numerous parameters can be modified to tailor the properties of the foams. For this reason, a study of the effect of pressure, temperature and copolymer type has been carried out to investigate the variation in cell diameter, distribution and cell density of the PLGA foams. In addition, it was analysed if a variation of the amount of Gemcitabine in the foams had a significant effect on the final foam structure and the impregnation efficiency. The variables analysed (ratio PLA:PGA, pressure and temperature) were studied in two different levels as summarised Table 2.

231

Table 2. Different factors and levels studied in the impregnation experiments. Same factors wereconsidered for the three amounts of Gemcitabine.

Factors	Level 1	Level 2
Ratio PLA:PGA	50:50	75:25
Pressure (bar)	120	200
Temperature (°C)	25	40

235 The dose of Gemcitabine impregnated in each foam was estimated on the basis of the 236 weight and body surface of male rats. The body surface of these animals is approximately 0.03 237 m^{2} [49]. The single dose of intraperitoneal Gemcitabine pancreas is 1000 mg/m² in humans [50, 238 51]. In rats, doses of Gemcitabine have been used in a single treatment cycle of 333 mg/m² 239 administered on days 0, 3, 6, 9 and 12 by intraperitoneal injection [52]. In this case, the total dose 240 received in the single cycle was 1665 mg/m². Thus, according to these data, a total dose of 241 Gemcitabine between 30 mg and 50 mg peritoneal route could be administered to the animals. 242 Based on these parameters and taking into account the external volume and weight of foams, the 243 amount of Gemcitabine to incorporate in the polymeric matrix was 175 mg Gemcitabine/g PLGA. 244 In a further step, the impregnation and release study was performed for two lower concentrations 245 that were within the permitted dose at 105 mg Gemcitabine/g PLGA and 35 mg Gemcitabine/g 246 PLGA.

247

a) Foam structure analysis

Table 3 illustrates the runs carried out for the three Gemcitabine concentrations. The influence of the ratio PLA to PGA, the pressure and the temperature on the cell size, the standard deviation and cell size was studied. All the experiments were performed with a contact time inside the vessel of 24 hours and 30 minutes of depressurization time. They were also carried out under randomness criterion and run out in duplicate.

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	Cell density (cells/cm ³)	1.31E+06	2.37E+06	1.92E+05	oam
	Stand. Deviation (µm)	97.02	165.61	120.14	iomogeneous f
	Cell diameter (µm)	109.20	117.25	142.46	Non
	images 200x				
	SEM 100x				
	Temp. (°C)	25	25	40	40
	Pres. (bar)	120	200	120	200
	Ratio PLA:PGA	5050	5050	5050	5050
and the former	Gemcitabine (mg GEM/ g PLGA)	175	175	175	175
	Run	1	Ν	ω	4

	Cell density (cells/cm³)	3.02E+06	7.59E+05	2.40E+06	1.08E+06
	Stand. Deviation (µm)	110.22	83.91	143.98	61.11
	Cell diameter (µm)	97.58	144.38	136.47	124.07
	mages 200x				
0	SEM i 100x				
ć	Temp. (°C)	25	25	40	40
0	Pres. (bar)	120	200	120	200
1	Ratio PLA:PGA	7525	7525	7525	7525
I	Gemcitabine (mg GEM/ g PLGA)	175	175	175	175
	Run	ы	9	~	ω

	Cell density (cells/cm ³)	1.04E+06	4.12E+06	foam	foam
2	Stand. Deviation (µm)	113.59	123.21	homogeneous	homogeneous
	Cell diameter (µm)	152.24	85.52	Non	Non
	mages 200x				
))	SEM i 100x				
	Temp. (°C)	25	25	40	40
)	Pres. (bar)	120	200	120	200
	Ratio PLA:PGA	5050	5050	5050	5050
	Gemcitabine (mg GEM/ g PLGA)	105	105	105	105
	Run	6	10	11	12

	Cell density (cells/cm ³)	foam	foam	7.99E+05	2.10E+06
	Stand. Deviation (µm)	homogeneous	homogeneous	87.76	44.12
	Cell diameter (µm)	Non	Non	130.08	129.93
	images 200x				
))	SEM 100x				
	Temp. (°C)	25	25	40	40
)	Pres. (bar)	120	200	120	200
-	Ratio PLA:PGA	7525	7525	7525	7525
	Gemcitabine (mg GEM/ g PLGA)	105	105	105	105
	Run	13	14	15	16

	Cell density (cells/cm ³)	1.32E+06	1.99E+06	oam	oam
. 61010	Stand. Deviation (µm)	82.77	148.18	homogeneous f	homogeneous f
	Cell diameter (µm)	88.57	158.91	Non	Non
	images 200x				
	SEM 5				
	Temp. (°C)	25	25	40	40
ound of the	Pres. (bar)	120	200	120	200
	Ratio PLA:PGA	5050	5050	5050	5050
	Gemcitabine (mg GEM/ g PLGA)	35	35	35	35
	Run	17	18	19	20

	Cell density (cells/cm³)	foam	foam	4.79E+06	8.16E+05
sity.	Stand. Deviation (µm) 10mogeneous		homogeneous	43.09	110.97
ns and cells den	Cell diameter (µm)	Non	Non	90.50	153.59
ameter, standard deviatio	mages 200x				
SEM imagess, cells dia	SEM i 100x				
conditions,	Temp. (°C)	25	25	40	40
regnation (Pres. (bar)	120	200	120	200
oerimental imp	Ratio PLA:PGA	7525	7525	7525	7525
(cont.). Runs, ex _l	Gemcitabine (mg GEM/ g PLGA)	35	35	35	35
Fable 3	Run	21	22	23	24

÷ 110 SEM in 1:4:5 .; ; é

For a better observation of the results obtained, Figure 1 represents the cell diameter together with the standard deviation, as well as the density of cells for each run. The four graphs that make up this Figure represent the value of the variables studied for the same lactide:glycolide ratio and operating pressure. Experiments in which the internal structure of the foam did not show a homogeneous distribution were discarded as they may result in different drug release rates. In this way, a controlled release in time would not be achieved and could cause undesirable side effects.





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Figure 1. Comparison of the obtained cell size, standard deviation (columns, left axis) and cell density (line, right axis) for experiments performed under the same conditions for the three different Gemcitabine/PLGA ratios.

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In view of the results obtained, the addition of the drug caused the cell size to be greatly reduced compared to previous experiments. This phenomenon may be caused because Gemcitabine crystals act as nucleating agents. Gutiérrez et al. [44] demonstrated a significant effect on the homogeneity of cell size distribution due to the presence of nanoparticles. The 286 addition of these nanoparticles in the foaming of polystyrene solutions resulted in a decrease in 287 particle size compared to experiments without nucleating agents. Higher nucleation rate was 288 observed at higher concentration and smaller size of nanoparticles because of a decrease in the 289 interfacial tension. In this investigation, the addition of Gemcitabine resulted in a higher number 290 of nucleation sites and a higher nucleation was achieved compared to foams that did not contain 291 the drug. Cell density increased to $2 \cdot 10^6$ cells/cm³ in the impregnation experiments. This effect 292 was also confirmed by Xin et al. [53] by using bioactive particles for osteogenesis as nucleation 293 agents.

294 Concerning the effect of the ratio PLA to PGA, pressure and temperature on the cell size, 295 a unique pattern could not be established. Cell size of the foams varied between 35 µm and 158 296 µm, reaching the highest cell density for experiment 23. In this experiment, Gemcitabine 297 concentration was 35 mg Gemcitabine/g PLGA, at 120 bar and 40 °C. This foam presented an 298 excellent, smooth and uniform structure due to the homogeneous dispersion of the drug.

299

b) Impregnation efficiency analysis

301 Impregnation efficiency is a key parameter since the optimum amount of Gemcitabine in 302 the foams should be optimised. As can be seen in Table 4, for all experiments, an impregnation 303 efficiency of over 88% was achieved. Previous experiments demonstrated the insolubility of 304 Gemcitabine in CO_2 . Thus, a poor dispersion of the drug in the polymeric matrix could be 305 expected, but the use of homogeneous dispersions of Gemcitabine in PLGA-ethyl lactate 306 solutions facilitates a uniform impregnation.

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Run	Drug loading (mg GEM/ g PLGA)	Ratio PLA:PGA	Pres. (bar)	Temp. (°C)	Impregnation Efficiency (%)
1	175	5050	120	25	96.70
2	175	5050	200	25	95.21
3	175	5050	120	40	91.10
4	175	5050	200	40	95.17
5	175	7525	120	25	99.44
6	175	7525	200	25	92.87
7	175	7525	120	40	93.54
8	175	7525	200	40	91.20
9	105	5050	120	25	97.00
10	105	5050	200	25	93.74
11	105	5050	120	40	97.45
12	105	5050	200	40	98.31
13	105	7525	120	25	93.60
14	105	7525	200	25	95.44
15	105	7525	120	40	95.18
16	105	7525	200	40	94.85
17	35	5050	120	25	98.36
18	35	5050	200	25	97.65
19	35	5050	120	40	97.24
20	35	5050	200	40	93.56
21	35	7525	120	25	88.03
22	35	7525	200	25	92.20
23	35	7525	120	40	94.98
24	35	7525	200	40	91.33

Table 4. Impregnation efficiency of Gemcitabine foams for each experimental run.

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317 An analysis of the foams was also carried out using the "Energy Dispersive Spectroscopy" 318 (EDS) system, which makes it possible to identify the elements that compose a sample and their 319 relative proportions. As an example, the Table 5 shows the results obtained with this technique 320 for run 1 (120 bar, 25 °C, ratio PLA to PGA 50:50, initial drug loading 175 mg GEM/g PLGA). 321 The first black and white photograph shows the SEM analysis for the foam. The coloured 322 photographs, on the other hand, were a mapping of the black-and-white photograph where each 323 colour corresponded to a compound. Carbon (red) and Oxygen (blue) gave rise to a greater 324 coloration since they are the compounds that mainly compose both the structure of PLGA and 325 Gemcitabine. It was for this reason that it was necessary to identify the characteristic elements of 326 the drug in order to be able to differentiate it from the polymer. Considering the structure of 327 Gemcitabine, these elements were Fluorine (yellow), Nitrogen (green) and Chlorine (purple). The 328 presence of Chlorine in the structure was due to the fact that the compound used was Gemcitabine

- 329 Hydrochloride. Attending to these three photographs, the coloration appears distributed through
- all the interior of the foams. This indicated that the drug inside will only be released into the
- 331 medium when the foam degrades, resulting in a controlled release over time.
- 332
- 333 Table 5. EDS analysis for Run 1. Each colour corresponds to one element present in the internal
- 334 structure of the foam.



The presence of Gemcitabine in the foams was further confirmed by infrared analysis of the samples. The spectra of PLGA polymer, Gemcitabine, non-impregnated foam and impregnated foam are shown in Figure 2.



Figure 2. FTIR spectra of PLGA polymer, Gemcitabine, PLGA foam and PLGA-Gemcitabineimpregnated foam.

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In the FTIR spectra of PLGA polymer, the peak at 1750 cm⁻¹ corresponded to the 344 absorbance of carbonyl group in PLGA matrix, and peaks at 2991 cm⁻¹ and 2952 cm⁻¹ 345 corresponded to C-H bending vibrations. The FTIR of Gemcitabine showed at 1700 cm⁻¹ and 346 1679 cm⁻¹ the bending vibrations of amines and the amine one at 3256 cm⁻¹. The spectrum of 347 348 Gemcitabine-impregnated foams exhibited the peak of carbonyl group C=O at 1750 cm⁻¹, which 349 was not found in pure polymer. After impregnation process, this peak appeared in the same 350 position indicating the correct impregnation of the drug into the polymer matrix [26, 54]. C-H bending vibrations were present at 2998 cm⁻¹ and 2946 cm⁻¹. The peak at 1633 cm⁻¹ reinforced 351 352 the presence of amide bond. These results were in agreement with previous researches in the 353 formation of PLGA-Gemcitabine conjugates [40, 55-58].

354

356 c) Thermal analysis

- 357 Table 6 shows the residual amount of solvent present in the foams after depressurization
- as well as the glass transition temperature.

Run	Drug loading (mg GEM/ g PLGA)	Ratio PLA:PGA	Pres. (bar)	Temp. (°C)	Residual solvent (%)	Tg (°C)
1	175	5050	120	25	1.51	40.21
2	175	5050	200	25	1.65	39.65
3	175	5050	120	40	2.03	38.24
4	175	5050	200	40	2.99	39.14
5	175	7525	120	25	0.30	41.23
6	175	7525	200	25	1.87	40.25
7	175	7525	120	40	2.78	39.65
8	175	7525	200	40	2.22	39.40
9	105	5050	120	25	1.15	41.78
10	105	5050	200	25	1.47	40.56
11	105	5050	120	40	3.69	38.98
12	105	5050	200	40	2.01	39.54
13	105	7525	120	25	0.76	39.81
14	105	7525	200	25	0.55	40.36
15	105	7525	120	40	1.49	40.21
16	105	7525	200	40	1.88	38.88
17	35	5050	120	25	0.78	39.69
18	35	5050	200	25	0.43	39.82
19	35	5050	120	40	0.97	40.12
20	35	5050	200	40	1.87	41.05
21	35	7525	120	25	0.66	40.36
22	35	7525	200	25	0.64	40.87
23	35	7525	120	40	3.01	39.61
24	35	7525	200	40	3.33	40.41

359 Table 6. Residual amount of solvent in the foams and glass transition temperature for each run.

360

TGA analysis carried out to determine the residual amount of solvent showed the peak decomposition of Gemcitabine as can be seen in Figure 3. The amount of solvent present in the foams was measured and did not exceed 4% in all of them. At 145 °C the degradation peak corresponding to residual ethyl lactate can be observed. The degradation peak of Gemcitabine was found at 280 °C, confirming again the presence of the drug. Finally, the peak for PLGA degradation appears at 325 °C, temperature at which the maximum slope of degradation is displayed.



368

369 Figure 3. TGA analysis of an impregnated foam using the software TA Universal. The370 degradation peaks corresponding to ethyl lactate, Gemcitabine and polymer are shown.

372 DSC analysis of impregnated foams is shown in Figure 4. It confirmed that the glass transition 373 temperature did not vary significantly over the initial one of the polymer, thus remaining above 374 body temperature and confirming that it is suitable for its use as drug delivery system. Its average 375 value was about 40 °C considering all the experiments. This value is above body temperature 376 which is another indication that these Gemcitabine impregnated PLGA foams can be used as 377 controlled release systems.



Figure 4. Second heating in the DSC analysis of a Gemcitabine impregnated foam.

380

381 3.2. In vitro drug release experiments

The *in vitro* process of drug release from the foam to an aqueous medium (Phosphate Buffered Saline) was studied. The study of the release kinetics of a drug that is occluded within a release system is highly important for the development of these systems, as it provides knowledge of the mechanism by which the release process occurs. Generally, the drug is distributed in the polymeric matrix and is released by two fundamental mechanisms: diffusion through the matrix and degradation of the polymer, which leads to erosion of the foam.

Figure 5 shows the release curves for runs 1, 5, 9, 13, 17 and 21. These experiments were selected since under these conditions a good impregnation of the drug in the polymeric matrix was obtained. In addition, working at lower pressures and temperatures avoids a possible degradation of the active ingredient. Next, the effect of Gemcitabine concentration and composition of polymer matrix was studied on the drug release.

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- 394
- 395



398 Figure 5. Experimental data for Gemcitabine release at three different concentrations 399 Gemcitabine/PLGA. (a) PLGA5050 and (b) PLGA7525. (**a**) C_0 = 175 mg Gemcitabine/g PLGA, 400 (**•**) C_0 = 105 mg Gemcitabine/g PLGA, (**△**) C_0 = 35 mg Gemcitabine/g PLGA.

401

Figure 5 shows a relationship between the shape of the curves and the drug loading of the foams. An increase in the rate of release of Gemcitabine occurs at higher initial concentrations of the drug in the foam. This may be due to increased deposition of the drug on the surface of the foam, making its release into the medium faster.

Although experiments 17 (Experimental conditions: $C_0 = 105$ mg GEM/g PLGA, PLGA7525, 120 bar and 25 °C) and 21 (Experimental conditions: $C_0 = 35$ mg GEM/g PLGA, PLGA7525, 120 bar and 25 °C) did not present a homogeneous internal structure, their liberation profile was also studied to determine whether this lack of homogeneity conditioned the release rate. According to the release profiles, no significant variation or appearance of different release ratios was observed whereas in the case of experiment 21, the lowest impregnation efficiency is obtained.

Regarding the PLA to PGA ratio of the polymer, many authors state it as the most important factor that determines the release rate of the drug [10, 45, 59-62]. The presence of glycolide in the polymer increases its degradation rate [7, 63] since a higher content of glycolide makes the polymer more hydrophilic than PLA and increases more pronounced polymer swelling 417 due to the best penetration of water molecules between the polymer chains [64]. Slower
418 degradation and release rate was observed in Figure 6.b for the foams synthetized using
419 PLGA7525 as the polymer either the loading of Gemcitabine.

420

421 **3.3. Model fitting**

Finally, experimental data were fitted using a mathematical model, which stablished the release kinetics of Gemcitabine depending on the composition of the polymer and the drug loading. Figure 6 shows this fit of experiment data for the three different release zones. Table 7 summarizes the fitted data for the model parameters defined in previous section.

426

427 Table 7. Fitted values for parameters of the proposed model: (a) PLGA5050, (b) PLGA7525.

(a) PLGA5050			
	k _{ext} (h ⁻¹)	D (cm ² /s)	k _{deg} (cm)
175 mg GEM/g PLGA	0.138	4.33E-07	0.021
105 mg GEM/g PLGA	0.029	2.25E-07	0.019
35 mg GEM/g PLGA	0.153	4.89E-07	0.018

428

(b) PLGA/525			
	k _{ext} (h ⁻¹)	D (cm ² /s)	k _{deg} (cm)
175 mg GEM/g PLGA	0.054	2.84E-07	0.014
105 mg GEM/g PLGA	0.025	2.50E-07	0.013
35 mg GEM/g PLGA	0.015	1.36E-07	0.013

429

430 In Figure 6 the three different zones of the proposed model are separated by a vertical 431 dashed line at t = 1 day (Zone I and II separation) and t = 5 days (Zone II and III separation).

As commented in the previous section, the initial part of the release curves (Zone I) would correspond with the external mass transfer process. The fitted values for k_{ext} were ranged from 0.15 h⁻¹ for PLGA5050 at the highest drug loading to 0.015 h⁻¹ for PLGA7525 at the lowest drug loading, thus confirming that a decrease in the drug loading in the polymeric matrix resulted in lower release rates.

437



Figure 6. Cumulative Gemcitabine release profiles using PLGA5050 and PLGA 7525 under
different drug loading. Symbols show the experimental data and curves are the theoretical values
calculated for the zones I, II and III respectively. (a) PLGA5050, 175 mg Gemcitabine/g PLGA,
(b) PLGA7525, 175 mg Gemcitabine/g PLGA, (c) PLGA5050, 105 mg Gemcitabine/g PLGA,
(d) PLGA7525, 105 mg Gemcitabine/g PLGA, (e) PLGA5050, 35 mg Gemcitabine/g PLGA and
(f) PLGA7525, 35 mg Gemcitabine/g PLGA.

447 As can be appreciated in the graphs, when k_{ext} increased, the burst was more pronounced 448 what means that the drug was initially more rapidly released. The increase in the amount of 449 glycolide in the composition of the polymer resulted in a higher degradation rate. For this reason, 450 in general, the values of k_{ext} under the same drug loading were higher in the case of PLGA5050 451 than in the case of PLGA7525.

For the Zone II, the diffusion values were in the range of 10^{-7} cm²/s for all experiments. Looking at Figure 6, it can be seen that the theoretical values did not fit well enough with the experimental data at high initial concentrations of the drug. This may be due to the fact that the high release of the drug at initial times caused an increase in apparent diffusivity promoting this deviation. In addition, this model has been traditionally used for the adjustment of microparticles and not for porous foams [65-67].

Finally, the adjustment of the experimental values for the polymer degradation constant established that the highest degradation rates were obtained for PLGA5050 polymer at high Gemcitabine loads due to the increased glycolide content.

461

462 **4. Conclusions**

Gemcitabine impregnation of PLGA polymeric matrices from its solution in ethyl lactate has been performed for three different drug loading. In all experiments, cell size was in the range of 35 μm to 158 μm. The presence of Gemcitabine was demonstrated by FTIR analysis and because of the presence of its degradation peak in TGA analysis. The glass transition temperature after the experiments did not vary from polymer flakes. Moreover, EDS analysis revealed a homogeneous distribution of the drug throughout the internal structure of the foam.

The release kinetics of a hydrophilic drug such as Gemcitabine can be divided in three steps. A first zone where the most accessible drug is released, a second zone where the diffusion to the surface of the most inaccessible drug takes place and finally the degradation of the foam and the release of the rest of Gemcitabine. The mathematical adjustment allowed calculating the

- 473 values of these constants. The higher values of k_{etx} and k_{deg} were obtained for the foams obtained
- 474 with PLGA5050 containing the higher initial drug loading of Gemcitabine.

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480

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