

# Crude oil exposure reduces ice algal growth in a sea-ice mesocosm experiment

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**Abstract:** Oil production in Arctic ice-covered areas poses a risk for pollution of the ecosystem including that within the brine channel network of sea ice. Sea-ice autotrophs contribute substantially to Arctic primary production, but are inherently difficult to test for oil exposure responses *in situ*. This study had two objectives, first, we developed a suitable lab-based mesocosm system, second, we tested oil effects on sea-ice algae. Specifically, we investigated if Alaska North Slope crude oil exposure reduces ice algal abundance, biomass and concentration of extracellular polymeric substances (EPS) using indoor ice tanks over a 10-day exposure period. Six tanks in one cold room were used in pairs for the following treatments: (1) control, (2) oil release as a layer under ice and (3) release of dispersed oil. All tanks were inoculated with sea-ice microbial communities collected from Utqiagvik, Alaska. After 10 days of exposure, the abundance of algae, dominated by the pennate diatom genus *Nitzschia*, and the concentrations of EPS and chlorophyll *a* were significantly lower in the oiled treatments compared to the control. We suggest light attenuation by the oil, reduced algal mobility, and oil toxicity as causes for this reduction. Observed changes in cell fluorescence characteristics based on DNA staining could be linked to the oil exposure and could provide a new tool for assessment of toxicity in microalgae.

**Keywords:** Arctic sea ice, Crude oil, Mesocosm, Ice algae, Epifluorescence microscopy

**Declarations**

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44 <https://figshare.com/s/4512e5ce721d65c3f7ad>. Public doi for data will be: 10.6084/m9.figshare.11918349.
- 45 **Code availability:** Code for processing figures and manipulating data can be found from GitHub:  
46 <https://github.com/kbdilliplaine/Crude-Oil-Experiment.git>.

## 47 Introduction

48 The dramatic loss of Arctic sea ice (Polyakov et al. 2017) is spurring the development of Arctic marine resources  
49 including shipping lanes, fisheries, and petroleum reserves (Arctic Council 2009; Eguíluz et al. 2016). While offshore  
50 oil production in the US Arctic is constrained around Prudhoe Bay, located in Alaska's landfast ice belt (Mahoney et  
51 al. 2014), new lease sales scheduled for 2019-2023 (BOEM 2019) are also located in areas of seasonal sea-ice  
52 cover. Increased human activity, and potential oil production developments, increase the risk for oil pollution in  
53 Arctic ice-covered waters. The fate and impact of released oil on the Arctic marine environment will vary  
54 depending on, e.g., the season, weather and ice conditions at the time the event occurs (summarized in Lee et al.  
55 (2011)). Despite the expectation of ice-free summers by 2050 (Overland and Wang 2013; Wang et al. 2018), sea ice  
56 will continue to form during the Arctic winter and represent a hazard to the petroleum and maritime shipping  
57 industries, complicating the cleanup of oil spills in ice-covered waters. The fate of released oil in the marine  
58 environment is largely controlled through processes like evaporation, dispersion, sedimentation, oxidation and  
59 bioremineralization, best understood in lower latitudes. A long term *in situ* study (2.5 months) in first-year ice  
60 covered waters revealed a 1% per day removal rate for polycyclic aromatic compounds, mainly due to  
61 photooxidation and not biological processes, while removal of alkanes was much lower (Vergeynst et al. 2019).  
62 Turbulent weather conditions or high current velocities may naturally disperse oil in the upper water column  
63 (Tkalich and Chan 2002). The breakup of oil into small droplets increases the amount of hydrocarbons measured in  
64 the water, which enhances toxicity to organisms (Gardiner et al. 2013; Özhan et al. 2014). While in principle the  
65 same processes are relevant during periods of ice cover, they are modified by the presence of the fast ice or pack  
66 ice cover and the co-occurring low water temperatures. Presence of ice has a multitude of effects including, for  
67 example, the reduction of turbulent mixing in the water column and the evaporation of oil into air. The ice and  
68 snow cover provide porous media, where oil can migrate up brine channels, be encapsulated within the ice or form  
69 layers in oil pools directly at the ice water interface.

70 Oil spills in the Arctic will affect the entire food web. Model studies suggest, for example, that oil spills can expose  
71 large fractions of populations of polar bears to released oil in the Chukchi Sea (Wilson et al. 2018) and causes  
72 different risks to other animals, like ringed seals and walrus, based on an oil spill risk assessment for the Kara Sea  
73 (Helle et al. 2020). Arctic sea birds are also sensitive to oil pollution as demonstrated in the high mortality of  
74 guillemots and little auks off Newfoundland caused by ship-source oil pollution (Frederiksen et al. 2019).  
75 Information regarding the impacts of oil to zooplankton and phytoplankton is necessary for ecosystem level  
76 assessment (Olsen et al. 2013). Exposure of Arctic phytoplankton and zooplankton to sub-lethal concentrations of  
77 the water accommodated fraction (WAF) of oil drastically reduced their physiological rates, specifically algal  
78 primary production and zooplankton fecal pellet production (Lemcke et al. 2019). Experimental data also suggest  
79 that oil exposure can cause delayed effects on reproduction, grazing and lipid accumulation of important Arctic  
80 zooplankton taxa like *Calanus hyperboreus* (Toxværd et al. 2019) and growth and reproduction for the important  
81 Arctic fish species *Boreogadus saida* (Bender et al. 2018).

82 The generally weak knowledge base on the impacts of oil pollution onto Arctic marine lower trophic levels also  
83 applies to the ecosystem within sea ice. Brine channels and pockets within the ice provide habitat for a very  
84 diverse sea-ice community, consisting mainly of viruses, bacteria, archaea, microalgae, fungi, and small unicellular  
85 and multicellular heterotrophs (Bluhm et al. 2018) creating a complex ice-based food web (Gradinger and Bluhm  
86 2020). Highest biomass and activity resides in the bottom few centimeters of Arctic sea ice largely due to the  
87 needed nutrient supply from the water column (Manes and Gradinger 2009). Algal abundance and biomass  
88 concentrations in sea ice can be up to 10-1,000 time greater than in the underlying water column at certain times  
89 of the year (Lee et al. 2008; Manes and Gradinger 2009) and provide a significant food source for pelagic and  
90 benthic fauna (Michel et al. 2006; Boetius et al. 2013). The significance of ice algae as a food source is particularly  
91 high in early spring, when ice-algal blooms contribute to zooplankton nutrition before the onset of the subsequent  
92 phytoplankton bloom (Søreide et al. 2010; Leu et al. 2015). Sea-ice algae are also a major producer of extracellular  
93 polymeric substances (EPS) (Krembs et al. 2011; Aslam et al. 2016). EPS, produced by algae and bacteria, is found  
94 in sea ice at high concentrations (Krembs et al. 2002; Underwood et al. 2013) and protects cells from freezing (Liu  
95 et al. 2013) and osmotic effects of hyper- and hyposaline environments (Ozturk and Aslim 2010; Aslam et al. 2012;  
96 Liu et al. 2013). Biologically produced EPS can directly modify the brine channel structure (Krembs et al. 2011),

97 effecting the flow of fluids like brine and oil through sea ice, potentially altering the exposure of ice-based  
98 organisms to oil.

99 Studying the effects of oil pollution on sea-ice biota is challenging given the microscale nature of the brine channel  
100 network, which is essential to be included as it determines the fate of oil in sea ice to a large extent. Oil spilled  
101 under sea ice from a well blowout or damaged vessel will rise through the water column and pool at the underside  
102 of the ice, accumulating in recesses and undulations (Glaeser and Vance 1971; Dickens et al. 1975). Oil  
103 encapsulation in growing ice can occur over one to two days (Dickens et al. 1975; Buist and Dickins 1987; Karlsson  
104 2009), where it may either migrate through the ice at low temperatures ( $T_{ice} \leq -5 \text{ }^\circ\text{C}$ ) (Oggier et al. 2019) or remain  
105 encapsulated until increased porosity and permeability related to spring warming allows the oil surface (Dickens et  
106 al. 1975). The high concentrations of sea-ice biota near the ice/water interface, which contribute substantially to  
107 Arctic Ocean primary production (Wiedmann et al. 2020), are particularly at risk from under-ice oil exposure.  
108 Biological effects of oil have been demonstrated in only a few earlier studies including inhibition of ice-algal growth  
109 (Delille and Fiala 1999) and/or decrease of ice meiofauna abundance (Cross and Martin 1987), but microalgal  
110 responses to oil exposure vary markedly. Direct comparisons between experimental studies are complicated by the  
111 large variability in study design, organism focus, crude-oil source and chemical composition, and exposure rates  
112 and durations. This applies also to attempts of field-based microcosm experiments (Camus and Smit 2019), where  
113 natural sea ice communities are exposed to pollutants, as species composition and biomass in natural sea ice can  
114 vary even on small scales of a few meters (Rysgaard et al. 2001) making replication in *in situ* studies intrinsically  
115 difficult.

116 Our study, first, addressed the methodological challenge to develop a mesocosm test system overcoming the  
117 uncertainties of field studies, while providing a standardized environment to conduct oil exposure experiments on  
118 Arctic sea-ice communities. Second, we tested two different credible oil exposure scenarios: 1) an oil release under  
119 calm conditions leading to the formation of oil lenses and 2) a release of physically dispersed oil, mimicking more  
120 turbulent conditions. This study focusses on the response of sea-ice algae to such exposure using the change over  
121 time of cell abundances, chlorophyll *a* (Chl *a*) and EPS concentrations as primary measures; physical effects in this  
122 experimental apparatus have been described in Oggier et al. (2019). We hypothesized that: A) oil exposure would  
123 reduce ice algal growth and potentially cause algal mortality, and B) dispersed oil would have a larger effect size  
124 than an oil lens due to the increased concentrations of toxins expected in the water-accommodated fraction as a  
125 result of the physical mixing process.

126

## 127 **Methods**

### 128 *Tank setup*

129 A detailed description of the basic tank setup can be found in Oggier et al. (2019). Briefly, six high density  
130 polyethylene (HDPE) tanks (Greer Tank & Welding, 1 m high and 0.36 m<sup>2</sup> in surface area) were fitted with a black  
131 HDPE 152  $\mu\text{m}$  thick liner to avoid contamination of the tanks during oil exposure (Fig. 1). Each tank was filled with  
132 360 L of freshwater mixed with aquarium salt blend, Instant Ocean, to an initial salinity of 26. Tanks were located  
133 in a cold room facility set to  $-15 \text{ }^\circ\text{C}$ , in which sea ice was grown to approximately 10 cm thickness before biota was  
134 added. To sustain ice algal primary production, LED lights (Reef Breeders Super Lux) covering the full  
135 photosynthetically active radiation (PAR) spectrum (400-700 nm) were hung 50 cm above the ice surface. An  
136 under-ice irradiance of  $15 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  was targeted in order to simulate irradiance values observed *in situ*  
137 from Arctic seasonal landfast ice zones (Gradinger et al. 2009). A spherical PAR sensor was installed in one tank  
138 and used for initial light adjustment in all tanks, however this sensor failed just before oil was released. Based on  
139 the available under-ice light data and results from trial runs (data not shown), the surface PAR (measured with  
140 planar PAR sensor) was increased daily by  $3 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  to counteract increasing attenuation from the  
141 growing ice sheet to keep a near constant under-ice PAR. A thin optically-neutral film (12.5  $\mu\text{m}$  thickness) of  
142 transparent plastic (PVC, Reynolds) was placed over the ice surface of each tank to reduce water sublimation and  
143 thus burden on the freezer compressor. The temperatures of air, ice surface (Fisher Scientific, Traceable  
144 Thermometer), and water salinity (YSI EcoSense EC300A), were recorded every 1-3 days (Online Resources 1 and 2)  
145 concurrent with irradiance measurements.

146

147 *Inoculation of ice biota into the mesocosms*

148 In March of 2015, ice biota was collected from level landfast sea ice close to Utqiagvik, Alaska for use as an  
149 inoculum within the tanks. The bottom 1-3 cm of ice from sixty-four ice cores of 20-cm diameter, containing the  
150 majority of the biomass in this system (Gradinger 2009), were melted with the addition of filtered seawater to  
151 avoid osmotic stress (Garrison and Buck 1986). Half of the collected material was concentrated on a 20- $\mu\text{m}$  sieve to  
152 reduce liquid volume for transport (Gradinger et al. 2009). The inoculum was stored at 1 °C with 20  $\mu\text{mol photons}$   
153  $\text{m}^{-2} \text{s}^{-1}$ , until transport to Fairbanks where it was incubated under the same conditions. In addition, ice algae  
154 samples were cultured at the University of Alaska Fairbanks from environmental samples collected earlier in the  
155 year from fast ice close to Utqiagvik with the addition of f/2 growth medium, aeration and continuous low light of  
156  $\sim 20 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ . Cultured algae were mixed with the ice core inoculum to increase biomass.

157 The inoculum was added to each of the tanks after ice had grown to an initial thickness of 10 cm. Crushed  
158 freshwater ice was mixed with half of the inoculum to create an ice slurry in an attempt to support the  
159 incorporation of the collected biological material into the growing ice sheets by providing buoyant support for  
160 biota. The inoculum was injected through a 5 cm diameter hole drilled through the ice in the center of each tank  
161 and spread across the ice bottom using an L-shaped PVC device. After 24 hours, water pumps, used to disrupt the  
162 formation of thermal convection cells in the tank, were temporarily turned off. A small hole (1.5 cm diameter) was  
163 drilled through the ice close to the edge of the tank and the remaining inoculum, with a lower salinity relative to  
164 tank water, was gently released in direct contact with the ice-water interface thus creating a stratified layer. The  
165 inoculum was thereby held in close contact with the growing ice in order to promote movement of organisms into  
166 the ice before pumps were turned back on four hours later.

167

168 *Treatments and Oil Release*

169 Following the initial biological inoculation, we incubated the tanks for 11 days for additional ice growth, algal  
170 growth, and algal acclimation prior to the start of the exposure experiment. Failure of one BC tank occurred before  
171 the onset of sampling and no data was recovered.

172 The six tanks were divided into three different treatments with two replicates each: 1) Biological Control (BC; no  
173 oil), 2) Oil Lens (OL; 2L oil pooled under ice per tank) and 3) Physically Dispersed Oil (PD; 0.5 L oil under ice per  
174 tank, mechanically emulsified and allowed to pool under the ice). Alaska North Slope (ANS) crude oil, collected  
175 from Pump Station 1 at the Trans-Alaska Pipeline entry point (provided by Alyeska Pipeline Services), was used for  
176 these experiments. Oil was pre-chilled (-2 °C) and injected by positive displacement of water for the OL  
177 treatments, or by injection of 500 ml oil through a small impeller for the PD treatments.

178

179 *Sampling of sea ice from the tanks*

180 Ice cores were collected with a 5 cm inner-diameter stainless-steel corer (manufactured by UAF Geophysical  
181 Institute Machine Shop). A minimum of 5 cm buffer zone was left between any two holes or structure transiting  
182 the ice in order to minimize effects of enhanced brine drainage. A template of equally distributed coring locations  
183 was used to maximize space efficiency; the location of each collected sample was then determined by random  
184 selection without repeat. Brackish ice-plugs were cut to the corresponding ice thickness and used to fill holes after  
185 core removal. Each replicate tank was sampled twice: two days prior to oil release (pre-oil) and 10 days after oil  
186 release (post-oil). Three replicate cores for biological analyses, and two for bulk salinity measurements, were  
187 removed at both sampling events from each tank. Salinity cores were immediately sectioned into 2.5 cm long  
188 segments and stored at -20 °C in a sealed glass container until processing. Total ice core length (ice thickness) was  
189 measured prior to sectioning. The top 10 cm of each core was discarded, as it had grown prior to inoculation of  
190 biota.

191 Pre-oil biological cores, taken prior to the oil release, were sectioned into two 5 cm segments (Fig. 2). Section  
192 names were conserved across sampling days with the topmost section referred to as upper and the layer below it

193 as supra-oil (Fig. 2). Ice cores from one of the PD tanks were too short to yield a complete upper section so only  
194 the bottom 5 cm were taken from this core and it was analyzed as a supra-oil segment. The experiment was  
195 terminated after 10 days of oil exposure (post-oil sampling), at which time the same sections were taken as during  
196 the pre-oil sampling plus an additional section of the ice that had grown after oil introduction which we termed the  
197 sub-oil section (Fig. 2).

198

#### 199 *Determination of salinity, brine properties, algal abundance, EPS and Chl a concentrations*

200

201 Bulk salinity was measured in directly melted ice core sections using a YSI EcoSense EC300A salinometer. Brine  
202 salinity and brine volume fraction (BVF) were calculated using the Cox and Weeks (1983) equations, based on the  
203 measured ice temperatures and bulk salinities.

204 Biological samples were melted in the dark at 3 °C with the addition of filtered seawater and immediately  
205 processed after complete melt (Garrison and Buck 1986). Melted samples containing oil required pre-treatment by  
206 aspiration of the oil lens, gentle homogenization, and transfer to a separatory flask through a large glass funnel,  
207 where part of the oil remained on the funnel through adhesion. The sample was then allowed to sit for 60 seconds  
208 as small oil droplets collected at the surface before being transferred into a new pre-cleaned container at a rate of  
209 approximately 1 l min<sup>-1</sup>, removing much of the visible oil by adhesion to the separatory flask wall. Pre-oil and all BC  
210 samples did not contain oil and were therefore not subjected to this process. At this stage, all samples were gently  
211 homogenized and divided into three sub-samples for algal enumeration by epifluorescence and standard light  
212 microscopy, as well as determination of EPS and Chl *a* concentrations.

213 Taxonomic identification of algae was conducted for a small subsample from each tank using an inverted Zeiss light  
214 microscope. For algal abundance estimation, melted samples were fixed in brown amber glass bottles with  
215 formaldehyde (1% final concentration). Counting by epifluorescence microscopy was carried out at 1,000x  
216 magnification using an Olympus BX51 microscope with UV light excitation (excitation: 330/80 nm, long pass: 400  
217 nm) after DAPI (4', 6-diamidino-2-phenylindole) staining. Briefly, 10-50 ml of fixed sample was filtered onto a 0.2  
218 µm Nuclepore filter atop a 0.8 µm supporting filter and stained with DAPI (0.1 µg ml<sup>-1</sup> sample final concentration)  
219 for 5 minutes (Porter and Feig 1980). Cells were counted and categorized based on epifluorescence properties  
220 described in the results; empty diatom frustules were also enumerated.

221 For determination of EPS concentrations, melted ice samples were filtered directly onto 25 mm-diameter 0.4 µm  
222 polycarbonate membrane filters and EPS was extracted using the phenol-sulfuric acid method (DuBois et al. 1956).  
223 Absorbance measurements for EPS analysis were conducted using a Molecular Devices SPECTRA max 340PC 96  
224 well microplate reader. A standard curve was generated using D (+) Glucose yielding EPS concentration as Glucose  
225 Equivalents (GEQV). For comparability with the alternative Alcian Blue method of EPS determination (Alldredge et  
226 al. 1993) the GEQV (µg l<sup>-1</sup> ice) concentrations were converted into Xanthan Gum Equivalents (µg XGEQV l<sup>-1</sup> ice)  
227 according to van der Merwe et al. (2009), using:

228

$$229 \text{ XGEQV} = 0.975 \times \text{GEQV} + 0.879.$$

230 Melted ice samples for Chl *a* analysis were filtered onto Whatman GF/F filters, extracted in 90% acetone, and  
231 analyzed according to Arar and Collins (1997) using a Turner TD-700 fluorometer.

232

#### 233 *Calculation of light intensities under oil*

234 All mesocosms were illuminated from above. Initially, PAR was measured under the ice in one BC tank, and  
235 adjusted daily to maintain a constant light intensity in the seawater below the ice of  $I = 15 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ .  
236 However, this sensor failed, and we used previous experience to adjust for increased attenuation by increasing ice  
237 thickness by increasing the surface light intensity.

238 The additional attenuation of PAR due to the presence of an oil layer was estimated based on the Lambert Beer  
239 equation, where  $Z$  is the thickness of the oil layer,  $I$  is the light intensity, and  $k$  is the oil attenuation coefficient:

$$I_Z = I_0 e^{-kZ}$$

240 Two extinction coefficients ( $k$ ) of crude oil at two different wavelengths (Chl  $a$  absorption maxima) were used with  
241  $k$  values of  $21.8 \text{ mm}^{-1}$  at  $\lambda = 450 \text{ nm}$  and  $2.66 \text{ mm}^{-1}$  at  $\lambda = 650 \text{ nm}$  (Sierra 1972). Assuming an even distribution  
242 under the ice, the applied 2 l oil lens treatment would have resulted in an average lens thickness of 5.6 mm, while  
243 the 0.5 l dispersed oil would have had a mean thickness of 1.4 mm. This simplified view does not account for  
244 variability of oil pooling under the ice. Variability in oil distribution was observed in sea-ice cores and larger ice-  
245 slabs removed at the end of the experiment. However, a more even distribution of oil was observed in the PD  
246 treatment. Redistribution of oil also occurred, seen as oil infiltration into the brine channel system, and percolation  
247 to the surface in the OL tanks. A more detailed description of oil movement in this experiment can be found in  
248 Oggier et al. (2019).

249

### 250 *Statistical analyses*

251 All statistical analyses were conducted using R version 3.6.1 (R Core Team 2019). Linear Mixed Effects Regression  
252 models (LMER, R package lme4 1.1-21; Bates et al. 2014) with and without treatment as a factor were compared  
253 using one-way ANOVA for each biological parameter, *i.e.* algal abundance, Chl  $a$ , and EPS across sections allowing  
254 for selection of the best model. All LMER models performed better including treatment. We chose LMER as it  
255 accounts for an unequal sample size, the repeated measures design, and the random effect that each tank has on  
256 biological concentrations and development. As a result, figures and means are represented by the least squared  
257 means generated by the LMER model (R package lsmeans 2.30-0; (Lenth 2016)). Pairwise comparison of least-  
258 squares means was used for post-hoc assignment.

259

## 260 **Results**

### 261 *Temperature, bulk and brine salinity, and ice thickness*

262 Thermistor strings embedded in the ice showed a linear temperature profile on each of the two sampling days  
263 ( $r^2 > 0.99$ ) with lowest temperatures close to the ice surface, typical for growing ice sheets. Temperature in the ice  
264 remained stable throughout the duration of the experiment except during extensive sampling days when the ice  
265 warmed over a 24-hour period due to our activities (Online Resource 3). The brine salinity, estimated based on the  
266 ice temperature, was highest in the coldest ice sections, exceeding 100. The bulk salinities within the ice segments  
267 ranged from 4.0 to 12.5 with highest values in the bottom 5cm sections. The brine volume fraction showed similar  
268 maximum values prior to and following oil release with highest values (15 to 20% ice volume) in the bottom ice  
269 segments and decreasing values in the fresher and colder ice interior (Fig. 3). Ice thickness grew approximately 13  
270 cm after initial sampling, and varied by tank (Table 1).

### 271 *Algal abundance and species composition*

272 The algal community in all treatments was dominated by the typical Arctic sea ice pennate diatom genus *Nitzschia*  
273 sp. (Fig. 4a, 99% total algal abundance), followed with very low abundances of the pennate diatom *Cylindrotheca*  
274 *closterium* (<1% contribution to total average abundance).

275 In all treatments, the highest abundance of diatom cells occurred in the lowermost 5 cm of the ice (Fig. 5a).  
276 Highest total diatom abundance exceeded  $40 \times 10^6 \text{ cells l}^{-1}$  ice in the lowermost layer of the control treatment,  
277 while they never exceeded  $14 \times 10^6 \text{ cells l}^{-1}$  ice in any of the oiled treatments. At the end of the experiment, the  
278 algal abundance in the lowermost ice section was significantly greater in the control (LMER,  $p < 0.0001$ ) compared  
279 to both oil treatments.

280 The diatom cells displayed two characteristically different fluorescence patterns (Fig. 4b & c; more examples found  
281 in Online Resource 4). Pattern 1 (P1) consisted of a combination of DAPI fluorescence of plastid DNA along the  
282 periphery of the plastid membrane, an elongated or irregularly shaped nucleus and an intact plasma membrane  
283 (Fig. 4b). Pattern 2 (P2) showed weak or no plastid DNA, a round nucleus and a disrupted plasma membrane (Fig.

284 4c). P1 cells dominated all treatments prior to oil release and in the control at the end of the experiment, averaged  
285 across all sections (Fig. 6). The relative abundance of P2 cells was similar for all replicates prior to oil release with  
286 an overall mean of  $1.3 \pm 1.5\%$  of all cells and increased to the highest relative contribution of  $54 \pm 11\%$  in the OL  
287 treatment, while it remained at  $3.8 \pm 3.9\%$  in the control. The abundance of empty diatom frustules increased in all  
288 treatments over the course of the experiment from an initial average of  $1.4 \pm 0.1\%$ , to a maximum value of  $22 \pm$   
289  $7.5\%$  in the PD treatment at the end of the experiment. The relative contribution of empty frustules was higher in  
290 the oiled treatments than the control (Fig. 6).

291

#### 292 *EPS concentrations*

293 EPS concentrations prior to the oil release showed no vertical gradient in any treatment (Fig. 5b, white bars).  
294 Values ranged from  $541 \mu\text{g XGEQV l}^{-1}$  ice to  $743 \mu\text{g XGEQV l}^{-1}$  ice. The control treatment had a significantly higher  
295 EPS concentration in the sub-oil ice segment than in the oiled treatments (LMER,  $p < 0.05$ ; Fig. 5b).

296

#### 297 *Chlorophyll a concentrations*

298 Pre-oil Chl *a* concentrations were not significantly different between upper and supra-oil sections in any treatment  
299 (Fig. 5c, white bars) with an overall mean value of  $3.5 \mu\text{g Chl } a \text{ l}^{-1}$  ice across all treatments. At the end of the  
300 experiment, the sub-oil ice Chl *a* concentration in the control was significantly higher compared to other sections  
301 and the sub-oil sections of the oiled treatments (LMER,  $p < 0.0001$ ; Fig. 5c) with values exceeding  $75 \mu\text{g Chl } a \text{ l}^{-1}$  ice.

302

#### 303 *Light attenuation by oil*

304 Based on the attenuation modelling, the presence of oil strongly reduced (by 100-fold or more) the under-ice  
305 irradiance at wavelengths of maximum chlorophyll absorbance (Table 2). Assuming a similar reduction across the  
306 entire PAR spectrum would reduce the under-ice assumed light intensity to levels below the photosynthetic  
307 threshold except for the dispersed treatment at a wavelength of 650 nm. This calculation does not apply  
308 necessarily to all parts of the OL treatment tanks, as the oil had pooled in some areas, causing some removed ice  
309 cores to be free of oil.

310 We applied these attenuation factors to the natural environment, using incident irradiance across the PAR  
311 spectrum measured at Utqiagvik, Alaska. We estimated the maximum oil layer thickness that would allow for  
312 photosynthesis to occur below the oil layer based on the lowest reported algal threshold irradiance of  $0.17$   
313 (Hancke et al. 2018), and also  $10$  and  $50 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ ; this lead to estimates of  $3$  mm thickness at the peak  
314 of the summer season, and around  $1$  and  $2.5$  mm during the spring and fall equinoxes, respectively (Fig. 7).

315

## 316 **Discussion**

### 317 *Methodological constraints*

318 Our experimental data demonstrate that it is experimentally possible to test for effects of oil exposure to sea ice  
319 biota under simulated *in situ* conditions in indoor ice tank mesocosms. They also provide clear evidence that crude  
320 oil exposure inhibited or reduced growth of ice algae in a sea-ice mesocosm as seen in three variables (algal  
321 abundance, Chl *a*, EPS).

322 While the experiments were conducted successfully, several methodological constraints must be considered. First,  
323 we did not replicate the natural diversity of ice algal communities in our tanks, which were dominated by the  
324 *Nitzschia sp.* However, this genus, and specifically the species *Nitzschia frigida*, is among the most common and  
325 abundant taxa found in Arctic sea ice (Hop et al. 2020), and is therefore a reasonable representation of natural sea  
326 ice communities. A similar diatom dominance at the end of an outdoor mesocosm study Weissenberger and  
327 Grossmann (1998) was observed, with a shift from initial dominance by flagellates, to the genus *Nitzschia*. We



328 suggest that future studies could avoid labor intensive field collections and increase the reproducibility of their  
329 research by seeding tanks with a single species of *Nitzschia* (e.g. *N. frigida*).

330 The use of LED lighting was a clear improvement compared to earlier studies, where the output of neon lighting  
331 had been temperature dependent in the cold rooms. This change in lighting system might also explain why we  
332 observed algal growth during periods of ice growth in our tanks, whereas a similar study Krembs et al. (2001)  
333 observed Chl *a* concentration increases only during a warming phase combined with higher light intensities.

334 The number of replicates in our study was limited due to the availability of cold room space, reducing the  
335 statistical power of our results, which was exacerbated by the failure of one control tank. Nevertheless, the  
336 magnitude of the difference between controls and oiled tanks in the biological properties was large enough to  
337 detect statistically significant differences. The small differences between the two oil treatments indicates, that a  
338 reduction in the number of treatments could be used to increase the number of treatments if the number of  
339 mesocosms is limiting. Experimental designs using outdoor tanks (as in Weissenberger 1998) or mesocosms (as in  
340 Camus and Smit 2019) allow for a considerable increase in replication or treatment by avoiding space limitations,  
341 but require a cold climate and are impacted by natural fluctuations of light and temperature. However, these  
342 designs would allow for a considerable increase in replication or treatment.

343 The detection of differences in cellular fluorescence between control and oiled treatments was an unexpected  
344 outcome of this study. Here we suggest that future studies include viability stains like SYTOX Green (Zetsche and  
345 Meysman 2012), which would allow for rapid assessment of cell status by flow cytometry (see discussion further  
346 below).

347 Algal pigment concentrations and cell counts, as measured in our study, are mandated by the National Oceanic  
348 and Atmospheric Administration's guidelines for Arctic oil spill assessment (Bejarano et al. 2014). Our study  
349 supports the continued use of these variables to assess oil spill impacts. Additionally, we propose that measures of  
350 cell viability could be usefully incorporated into oil spill assessments to detect sub-lethal effects on sea-ice algae  
351 that may be missed using only algal pigments and abundance.

352 Despite substantial differences in oiling regimes between the two different oiled treatments in this study, no  
353 significant differences in measurable biological effects were observed, leading to rejection of our second  
354 hypothesis. However, longer experiments may have elucidated differences in oil toxicity, or light availability,  
355 between these treatments, as observed during long term exposures to sub-lethal doses of WAF in *Boreogadus*  
356 *saida* (Bender et al. 2018). The presence of living cells in all core segments in this study suggest that algal survival  
357 was not completely inhibited by oil, so we recommend future experiments use varying concentrations of WAF to  
358 determine effect thresholds for important sea-ice autotrophs.

359 Longer experimental studies would also be needed to include the potential role of photooxidation and  
360 biodegradation for removal of oil spills as indicated in a 2.5 month long study in a first year ice area in Greenland,  
361 where removal rates were in the order of 1% per day (Vergeynst et al. 2019)

362

### 363 *Reduction of algal growth and exudation*

364 The reduced growth of ice algae, independent of the type of oil treatment, is a key finding of this experiment.  
365 Reduced growth was evidenced by three variables (cell abundance, Chl *a*, and EPS), but the underlying cause of  
366 this reduction could have multiple explanations. Here we evaluate the potential effects of light level, algal  
367 movement, and oil toxicity on the observed reductions in ice algal growth.

368 The presence of light-absorbing oil strongly reduced the under-ice irradiance available for photosynthesis to levels  
369 below those necessary to sustain algal growth in the oiled tanks based on the attenuation model. Uneven  
370 distribution of the oil either in smaller droplets or through variation in layer thickness (as observed for the OL  
371 treatment) could have caused heterogenous light levels in the tanks. Nevertheless, we suggest that shading due to  
372 entrained crude oil will reduce sea-ice algae productivity similar to the shading caused by the incorporation of  
373 sediments into sea ice (Gradinger et al. 2009). Based on light absorption models, a 2-33 mm thick oil layer may be  
374 sufficient to prevent photosynthesis in the ice in summertime Arctic conditions. In a real-world scenario, this  
375 translates to 1 m<sup>3</sup> of crude oil having the capacity to inhibit photosynthesis across 500 m<sup>2</sup> of sea ice. To put this in

376 perspective, community resupply tankers in the Arctic regularly carry over 5,000 m<sup>3</sup> of crude oil – enough to inhibit  
377 growth over an area of 2.5 km<sup>2</sup>. However, as in our tanks, oil pooling under sea ice should accumulate in recessed  
378 undulations (Werner and Lindemann 1997), allowing for the potential of thick oil lenses directly adjacent to  
379 optically clear ice, causing increased ice algal patchiness and reduced total ice algal production in the area.

380 An additional non-lethal effect of an oil layer could be its impact on ice algal movement. Raphid pennate diatoms  
381 are able to adjust their position within the ice by gliding movement (Aumack et al. 2014), which could be inhibited  
382 by the presence of an oil layer. Such an oil barrier would limit the ability of taxa to follow the growing ice front,  
383 causing them to become entrapped in the ice interior with sub-optimal conditions for temperature, brine salinity  
384 and nutrient supply. Microorganisms including algae are already entrained during the early growth of sea ice  
385 (especially during frazil ice formation) and much less so during columnar ice formation (Gradinger and Ikävalko  
386 1998; Collins et al. 2010) pointing towards the importance of organismal movement within the ice. We did observe  
387 intact algae in the bottom section formed after the oil release. We cannot however distinguish whether they  
388 reached the newly formed bottom layer through movement within the ice or whether new entrainment from the  
389 water column occurred as recently suggested for older stages of sea ice (Olsen et al. 2017).

390 Direct toxic effects of crude oil and its distillates on phytoplankton cell division and growth are well established  
391 (Hsiao 1978; Aksmann and Tukaj 2008; Gilde and Pinckney 2012) and may explain the reduced abundances, EPS  
392 and Chl *a* concentrations we observed in oiled treatments. Microbial communities, predominantly diatoms in sea  
393 ice, exudate EPS which accumulates to high concentrations (Krembs et al. 2002; Aslam et al. 2016). We observed  
394 highest EPS concentrations in the control treatment, suggesting a production by the also higher algal biomass in  
395 this treatment. Interestingly, bacteria and microalgae use EPS as protection against heavy metals (Bitton and  
396 Freihofer 1978; Serra et al. 2009; Sousa et al. 2019), but we did not find an increased production in our oiled  
397 treatments in response to oil exposure. The EPS concentrations in our tanks were similar to field conditions prior  
398 to a spring bloom, i.e., up to mid-March under low snow or mid-May under high snow cover (Riedel et al. 2006). It  
399 remains possible EPS can offer a protective barrier to crude-oil toxicity at higher concentration of EPS, or lower  
400 concentrations of oil, and that increased porosity caused by EPS could increase oil infiltration into the ice thus  
401 increasing exposure.

402 Toxicity effects in phytoplankton can vary dependent on species and community composition (Gilde and Pinckney  
403 2012; Özhan et al. 2014). Of the major microalgae groups, diatoms dominated in our tanks and are sensitive to the  
404 effect of crude oils (Hsiao 1978; Perez et al. 2010; Podkuiko 2013; Finkel et al. 2020) rendering ice-algal  
405 communities particularly at risk given they are often dominated by diatoms (Szymanski and Gradinger 2016). These  
406 previous studies observed species shifts from diatoms to flagellates after oil spills in phytoplankton communities,  
407 which we did not observe in our study. The response of species to oil exposure is also not a constant but varies  
408 with their physiological state, e.g., nutrient limitation. Cell size and its surface to volume ratio also is relevant,  
409 initially suggesting increased sensitivity of smaller cells to oil exposure (Echeveste et al. 2010). However, field data  
410 and experiments also observed the opposite trend with stimulation of small diatoms (<20 µm) by oil exposure  
411 while negative effects occurred for large diatoms (>20 µm) (González et al. 2009). This discrepancy between  
412 studies expresses the importance of establishing species or community specific responses to oil exposure before  
413 developing threshold levels for assessment and mitigation frameworks. It can be expected that responses of the  
414 highly diverse communities occurring in natural sea ice (Poulin et al. 2011) will be more complex than those  
415 observed in our study, and therefore diversity measures should be included in such field based or large mesocosm  
416 studies.

417

#### 418 *Indicators of cell damage and mortality*

419 Clear evidence for oil toxicity effects at the cellular level arose from the observed increase in the frequency of  
420 empty diatom frustules, and the change in cellular fluorescence. The relative frequency of empty diatom frustules  
421 had earlier been suggested for planktonic communities by Echeveste et al. (2010) and Gilde and Pinckney (2012). It  
422 may be a specifically suitable metric for sea-ice communities because they are often dominated by diatoms as  
423 main primary producers while planktonic communities under Arctic sea ice are often dominated by flagellated taxa  
424 without hard structures (Balzano et al. 2012). Field observations (Gradinger unpubl.) suggest that empty silicate

425 frustules are retained within the ice matrix after natural diatom cell death, another prerequisite to applying this  
426 approach in sea ice. While the relative empty frustule abundance can be caused by the toxicity of oiling, natural  
427 cell death can occur either due to severe environmental stress within the ice system or through, e.g., fungal  
428 infections (Hassett et al. 2019) or meiofaunal grazing.

429 We were initially surprised to observe the differences between the two DAPI fluorescence patterns P1 and P2. The  
430 fluorescent dye DAPI binds to nucleic acids (primarily DNA but also to RNA) and stains plastid (Selldén and Leech  
431 1981), mitochondrial (Williamson and Fennell 1979) and nuclear DNA (Porter and Feig 1980). Current viability  
432 assays of phytoplankton rely on specific stains (Roth et al. 1997; Veldhuis et al. 2001; Echeveste et al. 2010) or  
433 digestive enzymes (Agusti and Sánchez 2002) that penetrate compromised cell membranes for easy viewing in  
434 unfixed samples. These assays do not work with fixed samples, because once the cells are preserved in  
435 formaldehyde, the plasma membrane becomes permeable within 20 to 120 minutes (Veldhuis et al. 2001), while  
436 the DAPI staining happens after fixation.

437 We established that oiled tanks had significantly higher proportions of diatom cells showing fluorescence pattern  
438 P2, i.e., lack of plastid DNA fluorescence and a round nucleus, while the control remained relatively unchanged.  
439 Diatom nuclei are predominately “pillow-like” or spherical in shape; the occurrence of many other irregular shapes  
440 can increase surface contact of cell organelles by means of nuclear pores (Bedoshvili and Likhoshway 2012). Our  
441 study presents evidence for oil-induced changes to nuclear shape in *Nitzschia* sp., and potentially this could be  
442 used as a routine measure to detect toxicity effects. Nuclear shape is used as a routine method to detect disease in  
443 humans (Webster et al. 2009). Veldhuis et al. (2001) determined phytoplankton cells retain their photopigments  
444 and that loss of membrane integrity occurs over several days under normal conditions as a process of unicellular  
445 automortality, synonymous to apoptosis in multicellular organisms. This suggests that there may be a delayed  
446 response of nucleus shape to oil exposure. While this DAPI staining post-fixation approach would simplify the  
447 detection of cellular effects, it requires validation across several microalgal groups.

448 In conclusion, our study clearly demonstrates the feasibility of ice tank studies for testing the effects of pollutants  
449 on ice communities. We believe that such studies are necessary to approach the role of pollutants in general in the  
450 highly structured sea ice system. The experiment demonstrates the complexity of ice algal oil-impact assessments  
451 and the need for cell mortality determination. Although challenging to set up and maintain, sea ice mesocosm  
452 experiments should continue to act as an important method for testing the effects of environmentally destructive  
453 drivers of algal productivity or other biotic metrics relevant to sea ice rather than testing isolated ice biota from  
454 their habitat. We recommend increased number of replicates, fewer treatments, and stronger focus on indicators  
455 of cell viability and sub-lethal effects to be included in future studies.

#### 456 **Author Contributions**

457 The author team conceived the study idea and obtained the funding. KD and MO designed and constructed the  
458 experimental tanks, and conducted the field work and experiments. KD subsequently processed the biological  
459 samples that form the core of the article, and analyzed the resulting data, advised by RG and BB. KD, BB and RG  
460 prepared the first draft of the manuscript which all authors then improved, edited and approved. KD and RG  
461 revised the reviewed manuscript.

462

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699

700 **Tables**

701 **Table 1** Average ice thickness and standard deviation at initial and final coring based on extracted core length for  
 702 each of the three treatments, i.e., Physically Dispersed Oil (PD), Oil Lens (OL) and Biological Control (BC).

Treatment	Tank Replicate	Pre-Oil (cm)	Post-Oil (cm)
PD	1	19.3 ± 0.3	31.1 ± 0.5
PD	2	16.0 ± 1.7	26.6 ± 3.7
OL	1	20.0 ± 1.3	31.3 ± 1.1
OL	2	25.2 ± 2.7	40.2 ± 1.1
BC	1	18.4 ± 3.6	33.6 ± 1.1
All		<b>20.2 ± 3.6</b>	<b>33.0 ± 4.6</b>

703

704 **Table 2** Calculated irradiance ( $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) under the oil layer in experimental tanks at wavelengths of  
 705 450 and 650 nm, the primary wavelengths of chlorophyll *a* absorbance, using calculated oil layer thicknesses.  
 706 Treatments include Oil Lens (OL) and Physically Dispersed oil (PD) assuming an irradiance directly above the oil  
 707 layer of  $15 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ .

Treatment	Estimated Oil Lens Thickness (mm)	Irradiance at 450 nm	Irradiance at 650 nm
OL	5.6	<0.001	<0.001
PD	1.4	<0.001	0.36

708

709 **Figures**

710 **Fig. 1** Schematic of tank showing positions of sensors and equipment, as modified from Oggier et al. (2019). Letters  
 711 represent: (a) LED light fixture, (b) datalogger, (c) thermistor chain, (d) temperature and salinity probe, (e)  $4\pi$  PAR  
 712 sensor, (f) circulation pump, (g) heater, (h) pressure release bladder; grey insulation represents 2 inch thick R10  
 713 foam surrounding the entire tank successfully hindering ice formation on the tank walls.

714 **Fig. 2** Photographs of sea-ice cores extracted from tanks and their approximate core length (cm), with 0 indicating  
 715 the ice-air interface. Sampling days were two days prior (Pre-Oil) and 10 days after (Post-Oil) oil release. Section  
 716 names were conserved across sampling days and treatments, i.e., Physically Dispersed Oil (PD), Oil Lens (OL) and  
 717 Biological Control (BC). The sub-oil is the layer of ice that continued to grow after the introduction of the oil;  
 718 hence the oil layer is encapsulated into the ice. Sections denoted with an \* were not sampled; dashed line  
 719 represents the ice thickness at time of inoculation with biota. Solid lines indicate where cores were cut and the red  
 720 indicates the oil release layer.

721

722 **Fig. 3** Vertical profiles of measured bulk salinity, calculated brine salinity, and brine volume fraction (Cox and  
 723 Weeks 1983) from ice cores collected pre- and post-oil release in each tank from the Physically Dispersed Oil (PD),  
 724 Oil Lens (OL) and Biological Control (BC) treatments.

725 **Fig. 4** Light transmittance micrograph of *Nitzschia* sp. from a Biological Control (BC) tank (panel a). Epifluorescent  
 726 image of DAPI stained Pattern 1 (P1) diatom cells from BC treatment with plastid DNA (p) fluorescence along the  
 727 periphery of the plastid membrane and an elongated or irregularly shaped nucleus (n; panel b). Epifluorescent  
 728 image of DAPI stained Pattern 2 (P2) diatom cell from an oiled tank post-oil with tightly bundled, round, nucleus  
 729 and disrupted plastid DNA fluorescence (panel c).

730 **Fig. 5** Mean values of diatom cell abundance (a), extracellular polymeric substances (EPS; b), and chlorophyll a (c)  
731 of pseudo-replicate cores within treatments with 95% confidence intervals of each measured variable in Physically  
732 Dispersed Oil (PD), Oil Lens (OL) and Biological Control (BC) treatments. Panels (top to bottom) represent vertical  
733 stratigraphy of ice-core sections. Letters beside bars represent Tukey's post hoc group assignment.

734 **Fig. 6** Mean relative abundance of epifluorescent cell bins for all treatments, pre- and post-oil. Pattern 1 (P1),  
735 Pattern 2 (P2), and frustules, are described in the methods and pictured in figure 2.

736 **Fig. 7** Estimated oil lens thicknesses that allow for under-oil irradiance of Photosynthetically Active Radiation (PAR;  
737 400-700 nm) at 0.17, 10 and 50  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  based on daily average PAR irradiance as recorded by the  
738 Barrow Environmental Observatory (NEON 2020). 0.17  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  is the minimum measured irradiance  
739 for photosynthesis in Arctic sea-ice algae (Hancke et al. 2018). Calculated oil thickness only considers absorption at  
740 650 nm which has the lowest extinction coefficient from 450-650 nm, therefore representing an idealized  
741 situation (Sierra 1972).

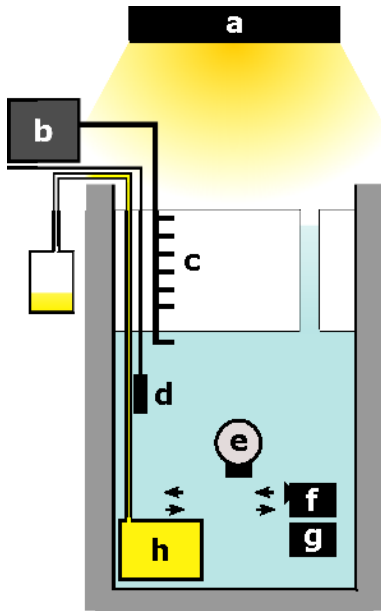
742 **Electronic Supplemental Material 1** Ambient air and ice-surface temperature over the duration of the experiment.  
743 Day is set relative to oil release (Day 0).

744 **Electronic Supplemental Material 2** Salinity measured from internally mounted salinometer sensor over the  
745 duration of the experiment. Disruption of measurements occurred due to ice crystal formation on the sensors. Day  
746 is set relative to oil release (Day 0).

747 **Electronic Supplemental Material 3** Hourly-averaged temperature field in tank for a replicate of the Oil Lens (OL)  
748 treatment, from the ice surface (0 cm) to the bottommost temperature sensor ( $z = 32.5$  cm). Day is set relative to  
749 oil release (Day 0). The ice growth curve (dotted line) is the 2nd order best fit of the measured ice core length ( $\bullet$ ).  
750 White-hatched area shows unavailable temperature data.

751 **Electronic Supplementary Material 4** Example binning from 10 epifluorescent micrographs. Red ellipses indicate a  
752 cell representing the P2 classification -- tightly bundled, nucleus and disrupted plastid DNA fluorescence.

753



754

755 Figure 1

756

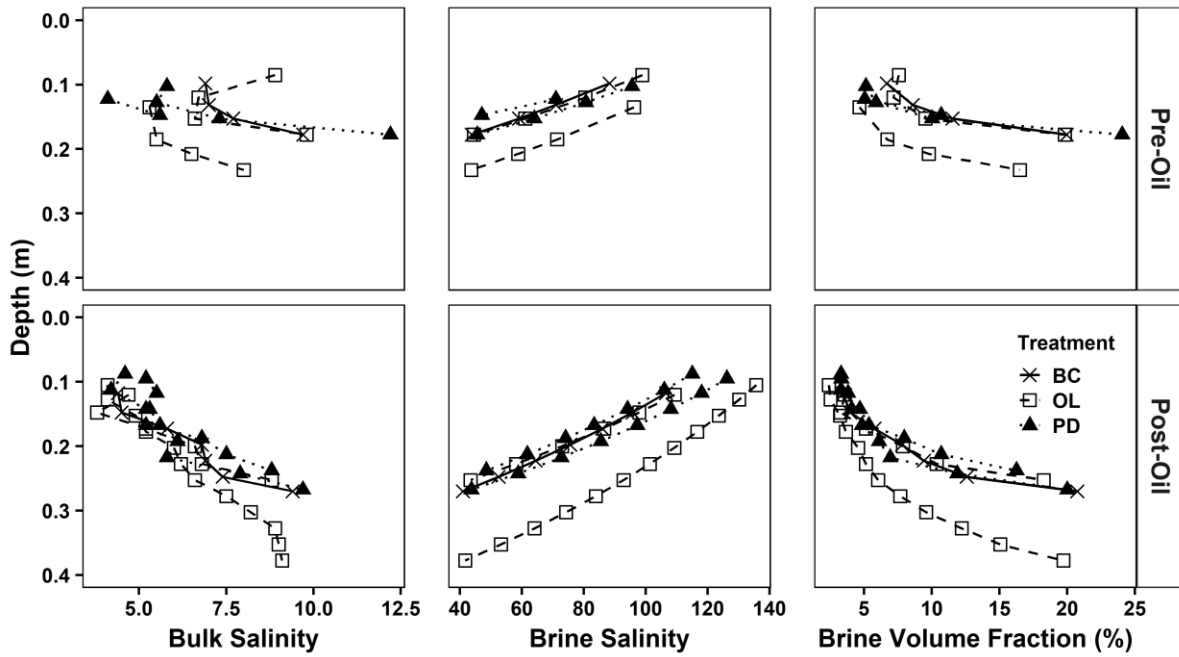
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Approximate Length (cm)	Section Name	Pre-Oil	Post-Oil		
		All	BC	OL	PD
0					
5	Discard*				
10	Upper				
15	Supra-oil				
20					
25	Sub-oil				

758

759 Figure 2

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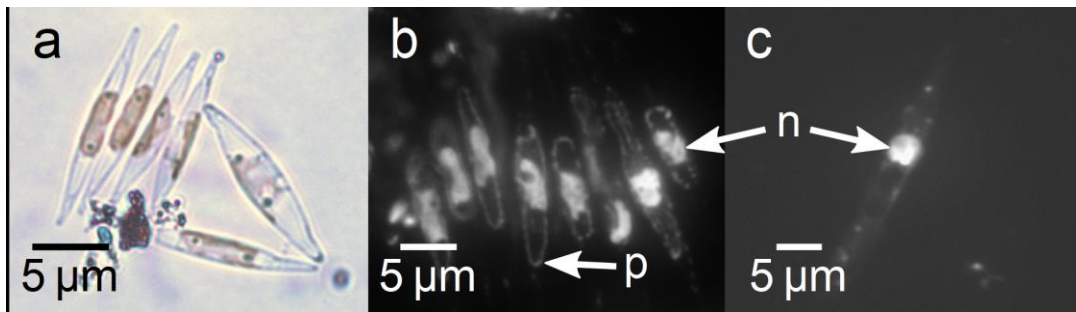


761

762 Figure 3

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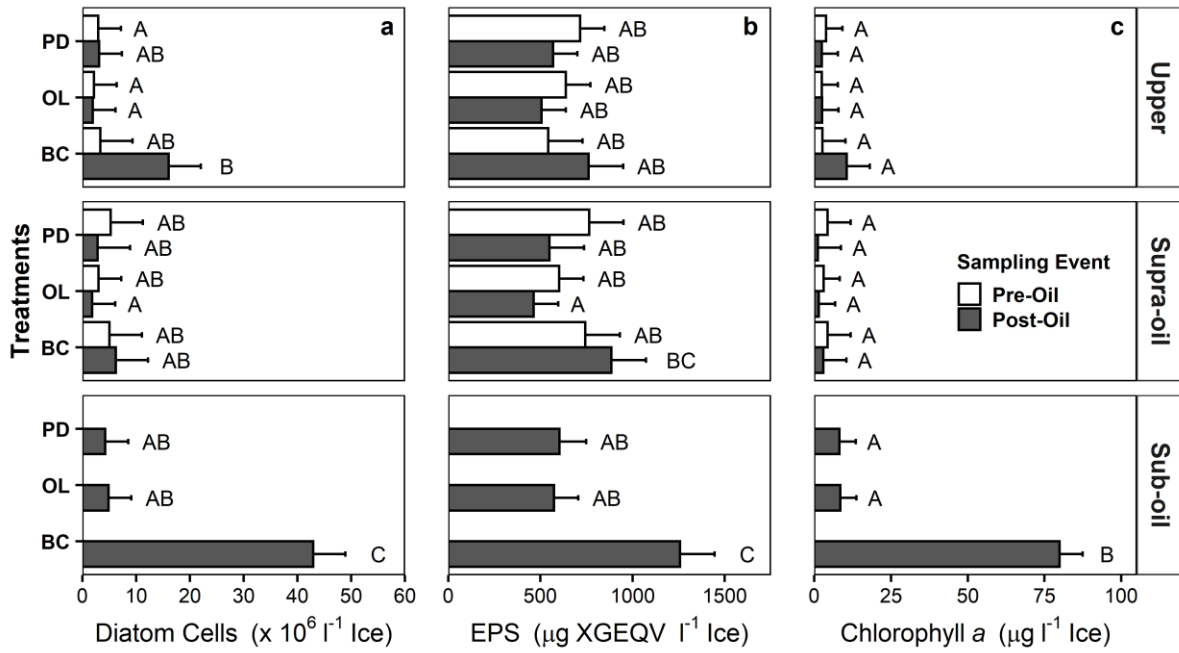
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766 Figure 4

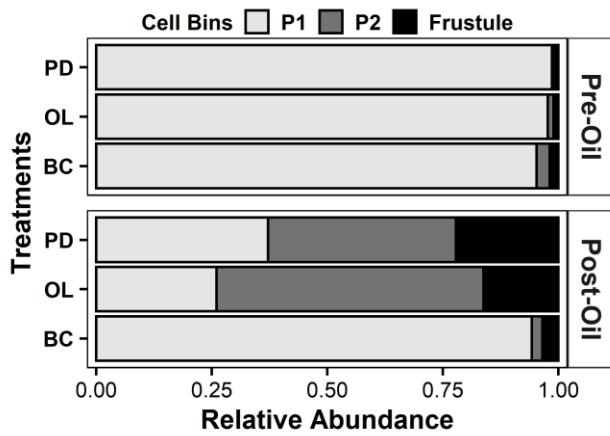
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769 Figure 5

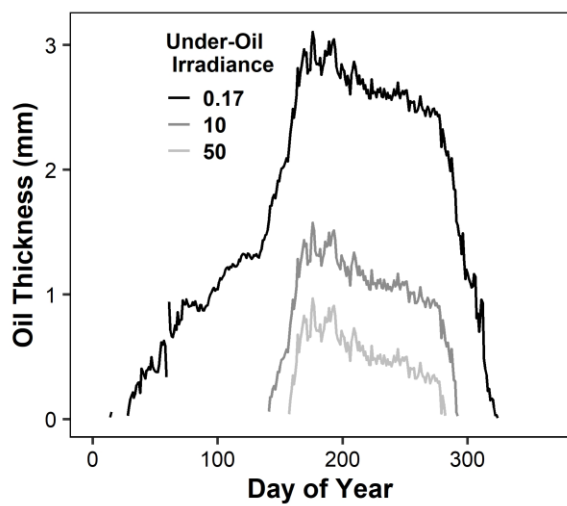
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771

772 Figure 6

773



774

775 Figure 7