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1 **A review of welfare indicators for sea turtles undergoing rehabilitation,**
2 **with emphasis on environmental enrichment**

3 Running title: Welfare and environmental enrichment for sea turtles

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14

15 Abstract

16 For animals undergoing rehabilitation it is vital to monitor welfare in a way that is
17 feasible, practical, and limits stress to the animal. The industry gold standard is to
18 assess welfare under the Five Domains model, including nutrition, environment,
19 physical health, and behaviour as the first four physical domains and mental domain as
20 the fifth. Feasibility and effectiveness of these domains for assessing welfare of sea
21 turtles undergoing rehabilitation were reviewed and it was determined that the mental
22 state can be best assessed through behavioural changes. A scoping review of the
23 literature was conducted using Scopus and Web of Science to investigate use of
24 environmental enrichment devices (EEDs) as a measure of welfare in sea turtles.
25 Behavioural assessments using EEDs were found to be well-documented; however,
26 most EED studies pertained largely to livestock or zoo animals. Furthermore, studies
27 rarely concentrated on reptiles, and specifically sea turtles. Results also showed that
28 some welfare assessment methods may be less appropriate for short-term captivity
29 experienced during rehabilitation. Additionally, the hospital environment limits the
30 ability to address some of the domains (ie biosecurity, feasibility, safety of turtle, etc
31 might be compromised). This review shows that only three of the nine environmental
32 enrichment strategies described in the literature suit the specific requirements of sea
33 turtles in rehabilitation: feeding, tactile, and structural. It is documented that turtles
34 display behaviours that would benefit from EEDs and, therefore, more specific studies
35 are needed to ensure the best welfare outcomes for sea turtles undergoing
36 rehabilitation.

37 **Keywords:** animal welfare, behaviour, captivity, enrichment devices, marine turtle,
38 testudine.

39

40 **Introduction**

41 Welfare for animals under human care is an evolving concept and one that is
42 implemented by individual organisations (Flint et al. 2017), resulting in varied welfare
43 outcomes for the animals. Accredited institutions of the World Association of Zoos and
44 Aquaria (WAZA) or the Zoo and Aquarium Association (ZAA) Australasia, for example, are
45 bound by regulated welfare standards. For animals undergoing rehabilitation, however,
46 welfare standards are set by specific national or state legislation, which is not always so clear
47 or well-regulated (Englefield et al 2019) and often aimed at terrestrial animals and too
48 general to be of direct relevance to sea turtles.

49 There are multiple ways to consider welfare. Dawkins (2008) proposed that animal
50 welfare be determined and defined by two questions: 1) Are the animals healthy? and 2) Do
51 the animals have what they want? Ideally, the desire is for animals to experience ‘good’
52 welfare. Identifiable in the Five Freedoms of animal welfare (Farm Animal Welfare Council
53 1993), and recognised by Barnett and Hemsworth (2009), are three primary facets of welfare:
54 basic health and functioning, psychological or affective states, and natural living. The current
55 industry standard for welfare assessment is the Five Domains model (Mellor 2017), which
56 assesses animals holistically based on four physical domains (nutrition, environment,
57 physical health, behaviour) and a fifth mental domain. Originally this model was developed
58 as an assessment of welfare compromise for animals held in research, teaching and testing
59 environments (Mellor and Reid 1994). Subsequently, it has been updated to include
60 additional categories of animals under human care, such as domestic, livestock and zoo, and
61 to incorporate and emphasise positive states of welfare (Mellor and Beausoleil 2015).

62 There is no single, fully inclusive method in the determination of welfare specifically
63 for sea turtles; however, a species-specific welfare assessment based on the Five Domains

64 model could be beneficial for sea turtles. A similar assessment was developed by Clegg
65 (2015) for captive cetaceans. A species-specific assessment metric for sea turtles would have
66 to consider individual requirements of species due to the variation between the seven species
67 in diet and behaviours observed naturally in the wild. Whitham and Wielebnowski (2009)
68 developed a three-step process for the maintenance of welfare for the individual animal.
69 These involve: (1) the development of a welfare score sheet (based on extensive knowledge
70 of normal parameters for the particular species); (2) the validation of the score sheet through
71 a 6-month behavioural and physiological assessment; finally resulting in (3) a welfare score
72 sheet personalised to each species. Such an assessment tool would be useful in a
73 rehabilitation setting for sea turtles to ensure positive welfare and therefore promote speedy
74 recovery.

75 The rehabilitation setting is a specific environment that would require the assessment
76 to have different considerations than if it were for sea turtles housed in zoos or aquaria
77 without intention of release to the wild. Common causes of hospitalisation for sea turtles
78 include boat strike, ingestion or entanglement in fishing gear or marine debris, limb damage
79 or loss, fibropapillomatosis or other disease, and floating syndrome (Flint et al. 2017). Each
80 cause of hospitalisation requires consideration when housing and treating the turtles during
81 rehabilitation. The average time of sea turtles in rehabilitation centres has decreased over the
82 last couple of decades but can range from 1 day to more than a year, with the average time to
83 release after rehabilitation being approximately 4 months (Flint et al. 2017). Furthermore,
84 since the aim of a rehabilitated turtle is to release it back into the wild, it is important to limit
85 turtle-human interactions, which might be more common in an aquarium setting. Therefore,
86 for an assessment of turtles undergoing rehabilitation, it is most important to determine the
87 desirable state a turtle must reach before it can be released and how quickly this can be
88 measured (Deem & Harris 2017).

89 Following cyclone Yasi in January 2011, in Australia’s Far North Queensland, the region
90 experienced a significant increase in sick, injured, and stranded sea turtles (Davis 2011;
91 Meager & Limpus 2012). Several turtle rehabilitation centres opened in response to this
92 increase, and the College of Public Health, Medical and Veterinary Sciences, James Cook
93 University (JCU) was transiently part of this response. Close observation of these wild
94 animals spurred research into environmental enrichment (EE) for sea turtles in rehabilitation
95 (Lloyd et al. 2012), many of which have to spend months in plain plastic tanks whilst
96 undergoing treatment. Newberry (1995) defined EE as an “improvement in the biological
97 functioning of captive animals resulting from modifications to their environment.” Hoy et al
98 (2010) later organised enrichment strategies under eight classifications: feeding, tactile,
99 structural, auditory, olfactory (ie exposing the animal to the smell of its prey), visual, social,
100 and human-animal interaction. Maple and Perdue (2013) suggested that ‘cognitive’ also be
101 included in this list. Ideally, one EED will be able to satisfy multiple different enrichment
102 styles.

103 With an anticipated increase in hospitalised turtles following future cyclones and
104 anthropogenically induced environmental damage, a thorough review to assess measures of
105 welfare is critical, particularly in regard to how EE can increase speed of recovery and
106 optimize chance of survival upon release back into the wild. This review covers suitable
107 welfare assessment methods and how they can be adapted for turtles in rehabilitation,
108 examples of past EE studies, and a discussion on the design of appropriate environmental
109 enrichment devices (EEDs) for sea turtles in rehabilitation. Detailed explanations of auditory
110 and olfactory EEDs are not included in this review, as there is little information on the uses of
111 these in sea turtles.

112

113 **Methods**

114 A scoping review was conducted to explore the literature pertaining to use of
115 environmental enrichment devices in turtles as a measure of welfare. Two databases were
116 used for the search: Scopus and Web of Science. Ovid Medline was tested but yielded no
117 relevant results so was excluded. Search terms were (environment*)
118 AND (enrich* OR welfare OR entertain*) AND
119 (turtle* OR cheloni* OR testudine* OR reptile* OR loggerhead* OR leatherback* OR hawks
120 bill* OR Ridley OR terrapin*) AND
121 (rehab* OR hospital* OR clinic* OR recover* OR captiv* OR recuperat*). Searches
122 included the full date range of each database (Scopus: 1970 – present); Web of Science: 1965
123 to present) for articles related to environmental enrichment and welfare of non-pet testudines.
124 The reference lists of the most relevant papers were used to look for additional papers that
125 had been missed in the database search.

126 From the literature search, excluding duplicates, 87 articles were identified. Titles and
127 abstracts were reviewed against the selection criteria, which narrowed the results to 15
128 articles. Any literature not directly pertaining to turtles interacting with environmental
129 enrichment was excluded. All types of environmental enrichment were included and both
130 marine and freshwater turtle studies were included; however, tortoises were excluded.
131 Assessment of full texts reduced the total to 11 articles (Supplementary Figure 1), of which
132 only 1 was specifically relating to environmental enrichment for rehabilitation of hospitalised
133 sea turtles. Due to the lack of specific literature, this paper reviews wider literature in the
134 context of the five domains as they relate to sea turtles.

135

136 **Assessing sea turtle welfare in a rehabilitation setting**

137

138 ***Physical health evaluation***

139 Assessing physical health in sea turtles is met with many challenges, mostly due to
140 the absence of reliable physical and biochemical reference values (March et al 2018).
141 However, there are several general parameters that are relevant across all animal species and
142 these can be considered in a modified version for sea turtles undergoing rehabilitation.

143 Presence of disease and injury in a captive setting are normally considered indicators
144 of poor welfare (Barber and Mellen 2013); however, in the rehabilitation setting, this
145 assessment of welfare may be less useful as turtles enter the establishment already
146 diseased/injured. Therefore, it is more logical to assess recovery rate and absence of
147 husbandry mutilations. These can be routinely evaluated by sea turtle carers and veterinarians
148 in rehabilitation centres based on visual inspection, behaviour and activity levels. An
149 unpublished example of a green turtle physical exam score card (Table 1) is provided from an
150 Australian rehabilitation centre (courtesy of Dr Duane March). The level of epibionts and
151 external parasites on admission can be visually assessed and easily treated with a freshwater
152 bath on entry. Internal parasite infections are assumed and treated as a standard rule;
153 however, these parasites may be resistant to treatment and therefore cause ongoing problems
154 during rehabilitation.

155 Reproductive fitness may not be a reliable indicator of good welfare as captive
156 animals have been known to reproduce well despite poor environments, and the opposite is
157 also true (Wickins-Drazilova 2006). Specifically, for sea turtles undergoing rehabilitation, it
158 is a poor indication of welfare as it would not be feasible to replicate the environmental

159 conditions appropriate for successful reproduction in sea turtles. Furthermore, many of the
160 individuals undergoing rehabilitation are sexually immature.

161 Stress has been linked to negative welfare (Broom & Johnson 1993) and therefore
162 assessment of stress could be an indicator of welfare in sea turtles undergoing rehabilitation.
163 Activation of the hypothalamic-pituitary-adrenal axis, and the subsequent release of
164 glucocorticoids are commonly used to determine levels of stress (Hunt et al 2016; Stabenau
165 & Vietti 2013). Glucocorticoid measurements may provide an indication of acute or chronic
166 stress, depending on the chosen method of collection (blood, saliva and faecal/urine for acute
167 stress, and samples of integumentary structures for chronic stress); however, there are
168 numerous issues to this evaluation technique (Jessop et al 2004). Primarily, stress associated
169 with reptile-capture and blood and saliva collection can interfere with results (Silvestre
170 2014). Additionally, glucocorticoids may be released in response to arousal, and not aversive
171 stimuli (Latham 2010). Furthermore, there are incongruences as to the correlation of
172 glucocorticoid levels to stress levels in sea turtle literature (Jessop et al 2002a, b; Gregory
173 1996). Finally, there seems to be a delay in green turtles' (*Chelonia mydas*) adrenocortical
174 responses to stress (Jessop 2001). There may also be potential for adrenal fatigue in animals
175 that are chronically debilitated (March et al 2018). Ironically, many of these parameters are
176 obtained via invasive collection techniques, which may cause undue stress and actually
177 decrease the welfare of the animal (Mason & Veasey 2010).

178 A number of blood parameters normally used to assess health in mammals were found
179 to be of limited prognostic value for green turtles undergoing rehabilitation in Australia
180 (March et al 2018). Although some of the parameters would provide a general indication of
181 health such as heterophil count and haematocrit level, none were correlated to recovery. This
182 could be because of the particular suite of diseases encountered locally. The heterophil to
183 lymphocyte ratio and blood glucose levels have been used to assess stress response (Davis et

184 al. 2008; Krams et al. 20120), but it is clear that more research is needed to provide reliable
185 prognostic biomarkers for each species of marine turtle in rehabilitation.

186 With all of these inconsistencies in mind, as well as the expense, specialised skillset,
187 and human-turtle contact required, measurement of glucocorticoid levels and other blood
188 parameters are not ideal adjunctive methods of health assessment for determining welfare
189 status of sea turtles. Of course, they are necessary for determining the health and
190 rehabilitation status of the turtles.

191

192 *Nutritional evaluation*

193 Sea turtles entering rehabilitation centres are frequently emaciated and therefore
194 weight gain is a priority. Some literature has shown that adult green turtles appear to do very
195 well on high protein diets in captivity (Wood & Wood 1981; Amorocho & Reina 2008). High
196 weight gain is achievable on such diets, which can be either animal matter (Caldwell 1962) or
197 commercially prepared high-protein, readily digestible pellets (Wood & Wood 1981).
198 However, it is important to consider the optimal diet for sea turtles undergoing rehabilitation.
199 There is a natural variation in the diets of wild sea turtles of different species and life stages
200 (Limpus & Limpus 2000; Arthur et al 2009). Therefore, diet needs to be tailored to the
201 specific nutritional requirements of the individual to reflect their natural preferences. Some
202 rehabilitation centres have been known to feed turtles a high protein diet to encourage rapid
203 weight gain, irrespective of species (Pers comm). For a predominantly herbivorous species
204 such as the green turtle, this does not reflect a natural diet and may lead to uraemia and
205 hypercholesterolaemia (March et al 2018).

206 Weight gain by itself is not necessarily an indicator of welfare; however, it can be
207 used in conjunction with body condition scoring (Limpus et al 2012) to show progress for
208 rehabilitation of emaciated sea turtles. Body condition reflects not only the availability of

209 appropriate and nutritious food items in the captive setting, but also appetite and
210 physiological ability to convert food to build muscle and support activity. This method can be
211 applied for sea turtles, where body condition index (BCI) of turtles are recorded regularly,
212 and release is dependent on having achieved a BCI consistent with wild populations
213 (Bjorndal 1980). A more accurate method of scoring body condition would be bio-impedance
214 analysis as that would differentiate between weight gain caused by fluid, fat or muscle
215 (Kophammel submitted). However, this requires specialised equipment and training, as well
216 as additional human-turtle interactions. Melvin et al. (2021) have also found evidence that
217 malnutrition is a key factor in mortality of sea turtles undergoing rehabilitation and suggest
218 monitoring metabolomic profiles for earlier diagnosis and treatment of metabolic failure.
219 Whilst poor body condition/weight loss is often precipitated by stress, it is also influenced by
220 diet, activity levels (Mason & Mendl 1993), and disease. Cachexia is a common finding in
221 sea turtles presenting to rehabilitation clinics (March et al. 2021). Ideally, in a rehabilitation
222 setting, each turtle's diet would be formulated to cater for maintenance, whilst taking activity
223 levels and disease status into consideration. Overall, measuring weight in conjunction with
224 body scoring, is a useful method to assess welfare. It is minimally invasive and can be
225 obtained on a weekly basis by rehabilitation staff and carers.

226

227 ***Environmental evaluation***

228 The environmental domain for a captive turtle can be evaluated in two stages: 1. the
229 initial set-up of the tank; and 2. the ongoing maintenance of tank conditions. Considerations
230 when designing an enclosure for sea turtles should include substrate, structure/shape, size,
231 depth, material and colour (Stamper et al 2017; New South Wales Government 2020).
232 Substrate, structure and material for a sea turtle tank should consider that turtles are likely to
233 ingest anything small enough (Hoopes et al 2017.). Particularly in a rehabilitation setting, it

234 would be disadvantageous to put turtles in an environment where they may do more harm to
235 themselves through ingestion or scraping against rough surfaces. Juvenile green turtles
236 showed a preference toward the colour blue under experimental settings; therefore,
237 implementation of blue tanks may improve their comfort (Hall et al 2018). Tanks should be
238 deep enough to provide refuge, but weak turtles are at risk of drowning, and so fitness of the
239 turtle needs to be considered (Stamper et al 2017). These features of the environment are
240 likely to remain constant throughout the entire rehabilitation period and so anticipated length
241 of time in captivity (as well as species) should be considered at set-up. This is particularly
242 relevant to enclosure size as turtles must have sufficient space to manoeuvre and engage in
243 positive natural behaviours (Stamper et al 2017).

244 Environmental conditions that can be regularly and simply monitored to ensure
245 comfort for sea turtles include temperature, light, UV, salinity and other water quality
246 parameters (Stamper et al 2017). Sea turtles have a range of tolerability for each of these
247 parameters; if they are not well-monitored and maintained, it is possible that sea turtles
248 already in a weakened state might become further compromised by sub-optimal
249 environmental conditions. Turtles undergoing rehabilitation are already in a weakened state
250 and are therefore more sensitive to these environmental factors. For example, as ectotherms,
251 reduced temperatures will reduce the efficiency of the digestive and immune system, which
252 would be detrimental for underweight sick turtles (Hoopes et al 2017). These are all
253 environmental conditions that are always essential to the physical wellbeing of sea turtles;
254 however, variety in non-essential environmental stimuli have been shown to positively affect
255 welfare of other animals (Burghardt 2013) and should, therefore, be considered for use with
256 sea turtles. Environmental enrichment devices (EEDs) can be introduced to do this and the
257 change in behaviour of the turtles can be used to assess the impact on welfare.

258

259 ***Behavioural evaluation***

260 It has commonly been perceived that stereotypic behaviour is indicative of either past
261 and/or present poor welfare (Mason 1991; Mason & Latham 2004; Garner 2005; Mason et al
262 2007). Indeed, the presence or absence of stereotypic behaviour remains as one of the best
263 validated measures of animal welfare (Maple & Perdue 2013). Mason et al (2007) proposed
264 that stereotypic behaviour, as a broad term, should refer to “repetitive behaviour induced by
265 frustration, repeated attempts to cope and/or central nervous system (brain) dysfunction”. In
266 the rehabilitation setting, changes in behaviour could be due to brain damage caused by
267 parasites such as spirorchiid flukes (Glazebrook et al 1989) or coccidia (Gordon et al 1993),
268 or alternatively, it could be environmentally-induced, as a result of boredom or reduced
269 welfare. This is particularly likely if the turtles are kept in sterile, empty hospital tanks,
270 devoid of environmental enrichment.

271 Abnormal behaviours indicating stress in turtles include grafting of jaw (rasping of
272 ramphotheca), pseudo-vocalization (squeaks or whines), pattern swimming, poor posture
273 when resting at the bottom of the tank (flopped and lifeless rather than propped up on front
274 flippers), and boundary exploration (related to exploratory and escape activity) (Arena et al.
275 2014; Tynes 2010). Leatherback turtles (*Dermochelys coriacea*) are particularly difficult to
276 keep in captivity due to their inability to register boundaries. They are continuous swimmers
277 and can cause additional daage to themselves if allowed to swim into the sides of a
278 rehabilitation tank (Jones et al 2000; Levy et al 2005). Turtles recently hospitalised, or
279 handled in and out of the water, may display behavioural floating for a period. This could be
280 as a response to stress or a preference to be at the surface due to weakened physical condition
281 (Manire et al 2017). Buoyancy disorder due to gas accumulation within the ceolemic cavity
282 will be discussed later. Associated with the presence of or contact with humans, other stress-
283 related behaviours include cloacal evacuations upon handling, projection of penis or hemi-

284 pene, voluntary regurgitation of food, and human-directed aggression. Often these signs are
285 related to fear and are common in overly restrictive and inappropriate environments
286 (Warwick et al 2013).

287 Usually stereotypic behaviour is assumed to be associated with negative welfare in
288 healthy animals (ie in zoos/aquaria), but in the case of sick turtles, it can actually show
289 improvement of health via increased energy levels. However, if they are to be kept longer for
290 full rehabilitation, stereotypic behaviours should be discouraged. EEDs are a useful tool,
291 commonly used in captive settings to discourage stereotypic behaviours and encourage
292 positive behaviours (Mason et al 2007). Consequentially, observing animals for the presence
293 or absence of negative behaviours could be used as a proficient welfare evaluation measure,
294 and potentially as a means of determining the effectiveness of EEDs, particularly in turtles
295 that have spent several months in rehabilitation. Additionally, comparing captive animal
296 behaviour with wild animal behaviour (Burghardt et al 1996; Smith & Litchfield 2010;
297 Phillips et al 2011) is another measure of welfare. The more a captive-held animal engages in
298 wild behaviour, the better its welfare is deemed. Similarly, the effectiveness of EE can be
299 deduced by comparing the proportion of time an animal is engaged in a type of behaviour
300 before and after introduction of an EED (Therrien et al 2007; Lloyd et al 2012).

301

302 ***Mental evaluation***

303 The physical domains (health, nutrition, environment and behaviour) all contribute to
304 the mental state of the turtles (Mellor 2017). The affective state of an animal can be assessed
305 via study of its behaviour (Bracke & Hopster 2006). Stress fever and tachycardia, both
306 physiological responses associated with emotion in other vertebrates, have been observed in
307 iguanas (Cabanac 1999) and wood turtles (*Clemmys insculpta*) (Cabanac & Biernieri 2000).
308 Cabanac (1999) also discovered that rather than venture into a cold environment to obtain

309 food, iguanas preferred to remain in a warm environment, suggesting that their motivation
310 was influenced by sensory pleasure. Therefore, it appears that basic affective states exist in
311 reptiles, turtles included. In the assessment of affective states, there is a potential issue of
312 over-anthropomorphosis and evaluator bias.

313

314 *Using EEDs to monitor welfare*

315 Modification of the environment to provide more opportunities and promote positive
316 behaviours can be used to infer the affective state of the turtles and assess their welfare.
317 EEDs should be designed to increase positive affective state of turtles but must be also be
318 suitable for the rehabilitation setting. EEDs are all designed to enhance environmental
319 opportunity and choice, but depending on the specific device, could also promote positive
320 behavioural expression, increase fitness and aid nutrition. Thus, contributing to a positive
321 affective state for the turtles and improved welfare. It is on this premise that EEDs may be
322 able to contribute to a speedier recovery and shorter rehabilitation time of hospitalised turtles.

323 The psychological and physical benefits of EEDs are well documented in captive
324 mammals (Newberry 1995; Mellen & MacPhee 2001; Young 2013), but less so in the case of
325 marine and terrestrial reptiles (de Azevedo et al 2007; Eagan 2019; Maple and Perdue 2013).
326 Reptiles have previously been considered too sedentary to interact with, and thus benefit
327 from, EE (Bennett 1982; Burghardt 2013). Turtles housed at JCU proved this to be a
328 misconception by actively interacting with EEDs (Lloyd et al. 2012). Furthermore, a
329 literature review by Lambert et al. (2019) found multiple studies that showed sentience in
330 reptiles, including multiple turtle species. We therefore found it timely to conduct a thorough
331 review of past reptile-specific EED studies as well as to draw from existing knowledge of
332 wild sea turtle ecology to explore the potential for EEDs in assisting with rehabilitation of
333 hospitalised turtles.

334

335 **EEDs for turtles undergoing rehabilitation**

336 At this point, it is necessary to make a distinction between EE for hospitalised turtles
337 and those that are permanently captive (such as in public aquaria). For all captive turtles, it is
338 desirable for their captive conditions to be as similar to their wild conditions as practically
339 possible (Newberry 1995). Hospital settings, however, are often not conducive to this as they
340 must remain sterile to reduce likelihood of infection, for example. As such, EEDs should aim
341 to stimulate natural behaviours safely without jeopardising the necessary sanitation standards
342 of a hospital setting or the safety of the turtle. Therefore, EEDs should encourage ‘preferred’
343 naturalistic living. The term ‘preferred’ is used to omit negative aspects of naturalistic living
344 such as famine and predation (Hutchins 2006). Predatory avoidance behaviours correlated
345 with stress could reduce longevity of animals in long-term captivity, which would be
346 associated with negative welfare. However, anti-predator responses are necessary for
347 temporarily captive turtles to ensure a good chance of survival on release. Turtles intended
348 for release after rehabilitation, therefore, need to maintain a level of fearfulness, which could
349 be promoted through subjection to occasional and temporary unpleasant stimuli (Guy et al
350 2013). With respect to this, it is difficult to prepare sea turtles for natural life in an artificial
351 environment, especially in a rehabilitation setting where emphasis is on improving health and
352 fitness. An ideal welfare evaluation plan for sea turtles in the rehabilitation setting would
353 adhere to the following considerations:

- 354 1. Be **safe** for the turtle
- 355 2. Be **feasible** in the rehabilitation setting
- 356 3. Be **cost-effective**
- 357 4. Be **easily implemented** by carers without the requirement for specialised skills
358 or training

- 359 5. Be **minimally invasive to induce little or no stress** on the turtles, which is
360 especially important as these turtles are diseased and/or injured and added stress
361 is likely to exacerbate immunosuppression, subsequently lengthening recovery
362 time
- 363 6. Accurately measure **stress in conjunction with behavioural assessment**
- 364 7. Require **minimal human-turtle contact**
- 365 8. Require a **short-term** evaluation of welfare variables to provide a reliable
366 indication of welfare

367

368 ***Feeding enrichment***

369 Turtles in the wild appear to feed in bouts - early to mid-morning and mid-late
370 afternoon (Ogden et al 1983) - and therefore reproducing this pattern in the captive setting to
371 maintain the natural rhythm may be beneficial for release. Food-oriented devices appear to be
372 a very effective form of EE (Maple & Perdue 2013). As a reflection of their natural foraging
373 behaviour, hunting of live jellyfish, ctenophores, and squid would be a valuable EED for
374 turtles in captivity or those undergoing rehabilitation. However, the ethical dilemma
375 associated with live feeding, biosecurity, and the availability of such prey may exclude this
376 EED. The lettuce feeders on the tank floor reported by Therrien et al (2007) may prove an
377 interesting activity for turtles as this mimics grazing behaviour (Van de Merve et al 2009;
378 Hart & Fujisaki 2010) and serves a dual purpose, as a hiding place.

379 Injuries and ailments of each individual turtle need to be considered when designing
380 the EED. ‘Floating syndrome’, which affects the turtle’s buoyancy, can be caused by air
381 trapped in the lungs, coelomic cavity, or intestine of the turtle. The air upsets diving
382 proficiency, which prevents the turtle from reaching the tank floor, resulting in major feeding

383 constraints (Norton 2005). However, occasional bottom feeding for floating turtles would
384 encourage them to try to dive down when they have enough energy. A possible alternative
385 could consist of a frozen ice-block, containing squid and vegetable matter such as cos lettuce
386 and nori, to encourage foraging and provide the turtles with a focused interactive activity for
387 an extended period of time. Entanglement is another common cause of turtle hospitalisation.
388 Entanglement may result in amputation of a flipper, causing restricted movement, which also
389 needs consideration when designing EEDs. In general, natural foraging on the tank floor
390 should be encouraged as well as a disassociation between humans and food.

391 *Tactile enrichment*

392 Hoy et al (2010) described tactile EE as “the provision of objects that are physically
393 stimulating to the animal”. To reflect their natural environment, turtles may benefit from the
394 inclusion of muddy or sandy floor bottoms, perhaps contained within a tray to maintain ease
395 of cleaning and water drainage; however, this is unlikely to be feasible in a sterile
396 rehabilitation setting. Employment of stones too large to ingest, however, could provide
397 excellent enrichment, for green turtles in particular, as they are attracted to rocky rubble to
398 perform self-cleaning behaviours (Heithaus et al 2002; personal observation Ariel & Lloyd).
399 Whilst captive turtles have been observed to swim under brooms in order to groom
400 themselves (Brill et al 1995; Lloyd et al 2012), turtles have also been known to eat the broom
401 bristles. Consequentially, this EED comes with risks and, if utilised, should only be provided
402 under supervision. Provision of a ‘waterfall’, as well as toys such as hoops and balls, would
403 provide valuable tactile enrichment (Burghardt 2005).

404 *Structural enrichment*

405 In promoting naturalistic living, turtles should have access to shallow water for
406 resting (Brill et al 1995). This can be achieved in the form of a platform suspended from the

407 wall of the tank or positioned in the centre of the tank. Alternatively, water levels could be
408 lowered for floating turtles, to enable them to reach the tank floor and right themselves with
409 their flippers. Turtles should also have deeper parts in their tanks, ideally with 3D structures
410 that could mimic caves (Brill et al 1995). A pipe on the tank floor, large enough for hiding
411 their head, allows turtles to hide and/or exclude external stimuli during resting periods
412 (Therrien et al 2007; Lloyd et al 2012). Hatchlings and young post-hatchlings are buoyant
413 and so EEDs on the tank floor may not be appropriate. Therefore, mounting pipes to the side
414 of the tank or in shallow water for young or floating turtles would provide a suitable refuge.

415 ***Social and visual enrichment***

416 Sea turtles in restricted environments should be housed individually due to their
417 typically solitary tendencies (George 1997; Heithaus et al 2002) and documented aggression
418 in over-crowded facilities (Arena et al 2014) and during mating (Schofield et al 2007).
419 However, cohabitation with other species, such as a green turtle and *Acanthurus nigrofuscus*
420 or *Zebrasoma flavescens* (Balazs et al 1994) could potentially act as a form of social EE.
421 Inter-species cohabitation would also provide visual enrichment (something to look at),
422 whilst additionally satisfying the natural behaviour of the green turtle to be clean. However,
423 Zamzow (1998) showed that whilst this cohabitation may be beneficial for control of
424 ectoparasites, reef fish may serve as vectors in the spread of fibropapillomatosis or create an
425 opportunity for infection if the turtle is wounded during cleaning. This would also require
426 additional husbandry for the fish, which would be costly to the rehabilitation facility in terms
427 of time and money.

428 ***Cognitive and human-animal enrichment***

429 Maple and Perdue (2013 p 108) described cognitive enrichment as: “challenging and
430 stimulating an organism’s memory, decision-making, judgment, perception, attention,

431 problem-solving, executive functioning, learning and species-specific abilities.” A training
432 routine using associative learning (Lopez et al 2001; Wilkinson et al 2007; Wilkinson et al
433 2009) would provide this type of enrichment and has been proven possible in marine turtles
434 (Mellgren & Mann 1998; Bartol et al 2003). However, since rehabilitation turtles only remain
435 in facilities temporarily, training may not be a worthwhile form of EE due to the potential
436 time investment required for it to be successful. Additionally, although human-turtle
437 interactions may be encouraged in aquaria to increase familiarity and reduce stress (Claxton
438 2011), they should be limited in temporary captive settings. Turtles may have extensive long-
439 term memory (Bartol et al 2003; Davis 2009; Davis & Burghardt 2012); therefore, human-
440 turtle interactions could cause potential overdependence and ‘trust’ towards humans. Lack of
441 caution towards humans would be disadvantageous to the turtles after release as it could lead
442 to injury (Addison & Nelson 2000).

443 **Past examples of EE in captive turtles**

444 A case study from a Spanish rehabilitation centre, based on the work of Therrien et al
445 (2007), showed that EE aided in the successful rehabilitation and release of a sea turtle that
446 was previously considered unfit for release due to a flipper amputation (Monreal-Pawlowsky
447 et al 2017). Recognising the limitations of implementing EE in a rehabilitation environment,
448 enrichment was based on feeding, tactile and structural stimuli. Enrichment primarily
449 involved eating live food and aimed to prepare the turtle to avoid unnatural objects in the
450 water, such as buoys. Despite being in captivity for 10 years, including a 2-year rehabilitation
451 period, 2-months of EE was sufficient to prepare the turtle for release into the wild. This
452 successful release was confirmed by 10-month transmission from a satellite tag that showed
453 the loggerhead turtle crossed an expansive body of water. It is unknown how quickly a turtle
454 might be released with a timelier introduction to EE as no specific studies for this were found

455 in the literature. However, it is important to note that EE in this case study was administered
456 over a short time period, easy to implement, cost-effective and required minimal human
457 interaction as a webcam was used for monitoring.

458 Research was undertaken on the effects of EE on four captive display sea turtles
459 (three loggerhead turtles (*Caretta caretta*) and one blind green turtle) in Florida (Therrien et
460 al 2007). The behaviour of the turtles was assessed both with and without enrichment present.
461 The EEDs were designed to stimulate their tactile sense, increase exploratory swimming, and
462 satisfy their need to forage. The study showed that there was a significant increase in amount
463 of time engaged in naturalistic behaviours with the use of EEDs. The devices for the blind
464 turtle were modified to suit its special needs and successfully decreased the stereotypical
465 behaviour and increased the exploratory behaviour of the animal. In an enrichment study of
466 captive-raised, collectively housed green turtles intended for release, Kanghae et al. (2021)
467 found that enrichment devices decreased negative behaviour. Specifically, the turtles exposed
468 to enrichment had fewer bite wounds than turtles without enrichment and without other
469 health parameters affected. EE appears to be just as effective for marine reptiles as it is for
470 mammalian species, and should be encouraged for captive sea turtles, including disabled
471 ones, and particularly when housed collectively.

472 A preliminary study on hospitalised sea turtles, conducted by Lloyd et al. (2012)
473 arrived at similar conclusions. Lloyd et al. (2012) demonstrated that there was an overall
474 decrease in pattern swimming and resting behaviours observed amongst the turtles in the
475 presence of EE. Additionally, it was found that each turtle responded to different EEDs in
476 their own specific ways, highlighting the apparent variances in natural behaviours and
477 preferences between individuals. It is also important to consider the possibility that turtles
478 will habituate to an EED if given unrestricted access to it. Consequentially, EEDs should be
479 rotated and their use potentially supervised (Lloyd et al. 2012). Furthermore, the placement of

480 structural elements of the captive environment should be altered two to three times a year to
481 maintain their novelty factor (Hawkings & Willemsen 2004).

482 Relatively few studies on EE in sea turtles are published. For this reason, we have
483 included studies on freshwater turtles. Case et al. (2005) assessed the preference as well as
484 the physiological and behavioural effects of enriched versus barren environments on 38 box
485 turtles (*Terrapene carolina*). Preference for the habitat-enriched environment was apparent.
486 Following the preference tests, turtles were housed for a 1-month period in one of the two
487 environments. Behaviourally, turtles with habitat enrichment spent less time engaged in
488 negative behaviours, and physiologically they had significantly lower heterophil to
489 lymphocyte (H/L) ratios than turtles in the barren environment. This illustrates that turtles
490 prefer EE, that enrichment improves their welfare, and importantly, that this improvement
491 can be observed in their behaviour. Similarly, Tetzlaff et al (2018; 2019a; 2019b) found that
492 even captive-born *T. carolina* intrinsically preferred enriched habitats, and that enriched
493 environments, along with time for growth in captivity, might aid survival post-release.

494 Food-centred enrichment for freshwater turtles has also been studied. Bryant and
495 Kother (2015) used puzzle-based feeding enrichment devices to successfully increase time
496 spent feeding and promote foraging behaviour of Fly River turtles (*Carettochelys insculpta*)
497 on display at ZSL London Zoo. Bannister et al. (2021) introduced scented and unscented
498 enrichment devices pre-feeding to reduce negative behaviour in a group of freshwater
499 (*Pseudemys* sp. and *Trachemys scripta* ssp.) display turtles at Tynemouth Aquarium.
500 Presence of enrichment devices pre-feeding successfully reduced escape behaviour and
501 turtles showed greater interest in scented devices than unscented, indicating that olfactory
502 enrichment is appropriate for captive turtles.

503 Burghardt (2005) observed ‘play’ behaviour in a captive Nile soft-shell turtle (*Trionyx*
504 *triunguis*) that was introduced to five EEDs: two basketballs of different colours, a hoop, a

505 rubber fill hose, and live fish for feeding. Burghardt (2005 p 82) defined play as “repeated,
506 incompletely functional behaviour differing from more serious versions structurally,
507 contextually, or ontogenetically, and initiated voluntarily when the animal is in a relaxed or
508 low stress setting.” These EEDs were introduced in an effort to reduce boredom-induced self-
509 mutilation (Burghardt et al 1996). It was observed that this soft-shelled turtle played with the
510 EEDs for 21% of observed time. This play is longer than juvenile captive mammals,
511 including primates, which play between 1% and 10% of the time (Fagen 1981). Burghardt
512 (2005) also mentioned object play behaviour in another two Nile soft-shelled turtles at
513 Toronto zoo, as relayed by reptile curator Robert Johnson. Indeed, there are other examples
514 of play in turtles, including object play in a loggerhead turtle (Satsky 1998; Satsky 2001 In
515 Burghardt 2005), locomotor play in a wood turtle (*Clemmys insculpta*), and social play in
516 Emydidae turtles (Burghardt 2005). Therefore, EEDs designed to encourage play should be
517 considered for hospitalised turtles in order to increase welfare and reduce rehabilitation time.
518

519 **Animal Welfare Implications**

520 Maintaining positive welfare of animals under human care is of utmost importance.
521 When considering appropriate methods to assess welfare status and promote positive welfare
522 some distinctions need to be made specifically for sea turtles undergoing rehabilitation.
523 Species-specific and life stage-specific considerations need to be made but also limitations
524 due to the hospital environment should be considered. The five domains model of welfare can
525 be applied to assess welfare of sea turtles, and reviewed for appropriateness, effectiveness
526 and feasibility for application in the rehabilitation setting. Physical health evaluation methods
527 are highly specialised, invasive and expensive and not easily implemented by rehabilitation
528 staff. Nutritional evaluation should definitely be carefully considered with rehabilitation

529 turtles and more research is needed to assess effects of poor diet on the physical health of sea
530 turtles in captivity. The environmental implications on welfare of turtles undergoing
531 rehabilitation can be difficult to manage due to the need for the environment to be sterile and
532 easily cleaned, which makes this domain difficult to assess. The behavioural domain is easily
533 assessed by rehabilitation staff and can be used to infer mental state of the sea turtles. For this
534 reason, behaviour of turtles and mental affective states whilst undergoing rehabilitation
535 should be widely implemented to promote positive welfare.

536 The limited literature shows that sea turtles respond to EEDs and can benefit from
537 enrichment to improve their welfare whilst in captivity. They have been observed to have
538 basic affective states, engage in play behaviours, and to respond positively to the introduction
539 of EEDs. Through the use of EEDs (including devices to encourage foraging, complex multi-
540 dimensional environments, and hides), designed according to the requirements of the
541 rehabilitation centre and the needs of the individual turtle, it is possible to cover the three
542 main facets of welfare, and thereby assist in the recovery and preparation of rehabilitated
543 turtles for release back into the wild. The authors hope that this literature review will
544 contribute to the recognition of the advantages and significance of EE in hospitalised sea
545 turtles, and to encourage turtle rehabilitators to effectuate and employ EEDs. Future research
546 projects may also assess the impact of various EEDs to determine the most beneficial of these
547 on the welfare of hospitalised and other captive sea turtles, through welfare measures such as
548 a reduction in stereotypic behaviour and faster recovery times, the ultimate goal being to
549 improve the welfare of sea turtles held in confinement.

550 **Declaration of interest statement**

551 The authors wish to declare that they have no conflict of interest, or relationship, financial or
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821 Table 1: Green turtle (*Chelonia mydas*) physical exam score card. Developed in consultation
 822 with participants in a workshop at the Turtle Health and Rehabilitation Symposium 2017,
 823 Townsville, Australia, facilitated by Duane March and implemented at Dolphin Marine
 824 Magic, Coffs Harbour, Australia.

Animal ID:					Location				
Comment:					Date		Date	Date	D
Demeanour	Bright, alert, responsive	0	Quiet, alert, responsive	1	Non-responsive	2			
Swim ability	Strong upright	0	Weak upright	1	Strong/Weak circling	2			
Skin Appearance	Healthy	0	Minor lesions	1	Generalised sloughing	2			
Skin Epibiotic load	X<10%	0	10<x<50%	1	50<x<100%	2			
Fibropapillomatosis	Nil	0	Less than 5 lesions	1	Greater than 5 lesions	2			
Carapace Epibiotic load	X<10%	0	10<x<50%	1	50<x<100%	2			
Carapace integrity	Firm	0	Soft at margins	1	Generalised weakness	2			
Plastron	Convex	0	0 <Concave<3 cm	1	3 cm <Concave	2			
Plastron integrity	Clean	0	Moderate damage	1	Marked damage	2			
Muscle tone	Strong	0	Poor	1	Absent	2			
Buoyancy	Neutral	0	Abnormal buoyancy with ability to dive	1	Abnormal buoyancy without the ability to dive	2			
Neurological exam	Jaw tone present	0	Jaw tone reduced	1	Jaw tone absent	2			
	Palpebral present	0	Palpebral reduced	1	Palpebral absent	2			
	Menace present	0	Menace reduced	1	Menace absent	2			
Total									

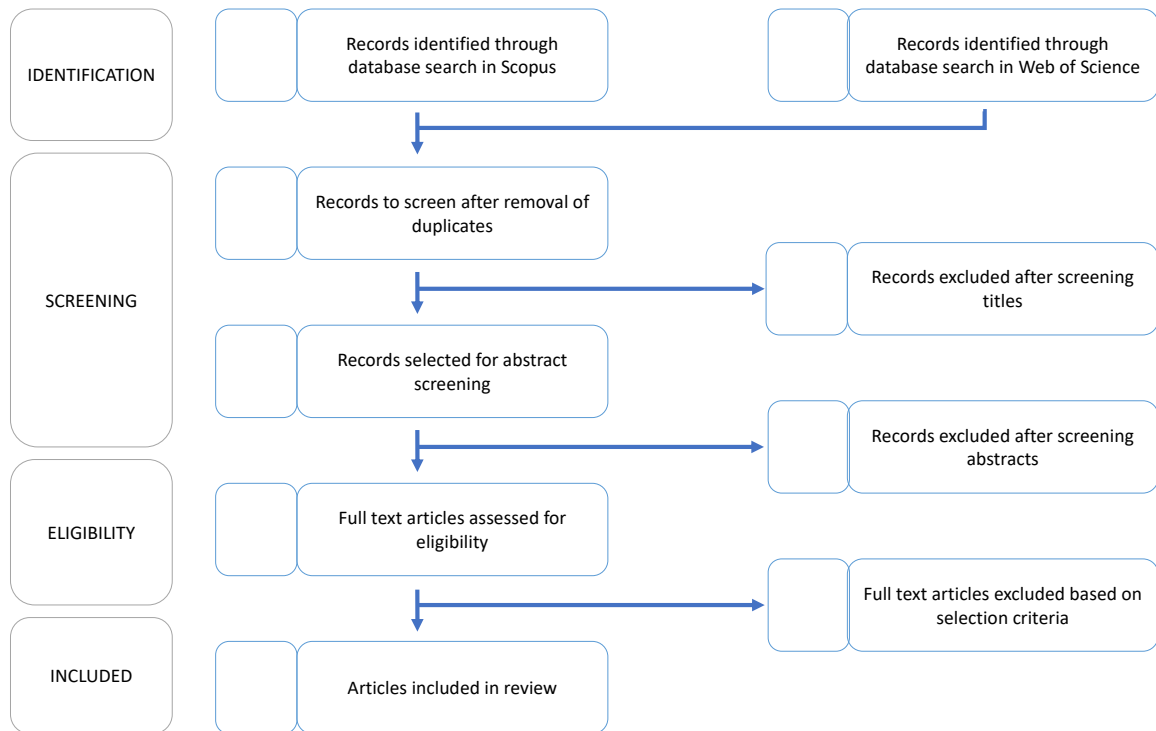
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Welfare of sea turtles - Supplementary Material

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831 Supplementary Figure 1: PRISMA flow diagram of scoping review search. Papers were
 832 excluded if they did not directly discuss enrichment of freshwater or sea turtles. Papers were
 833 included even if they were not in the context of rehabilitation. Only one paper directly
 834 discussed implications of environmental enrichment of turtles in a rehabilitation setting.
 835 Review papers were excluded.

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