Local roughness exponent in the nonlinear molecular-beam-epitaxy universality class in one dimension

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(Received 10 December 2018; published 1 February 2019)

We report local roughness exponents, α_{loc} , for three interface growth models in one dimension which are believed to belong to the nonlinear molecular-beam-epitaxy (nMBE) universality class represented by the Villain-Lais-Das Sarma (VLDS) stochastic equation. We applied an optimum detrended fluctuation analysis (ODFA) [Luis *et al.*, Phys. Rev. E **95**, 042801 (2017)] and compared the outcomes with standard detrending methods. We observe in all investigated models that ODFA outperforms the standard methods providing exponents in the narrow interval $\alpha_{loc} \in [0.96, 0.98]$ quantitatively consistent with two-loop renormalization group predictions for the VLDS equation. In particular, these exponent values are calculated for the Clarke-Vvdensky and Das Sarma-Tamborenea models characterized by very strong corrections to the scaling, for which large deviations of these values had been reported. Our results strongly support the absence of anomalous scaling in the nMBE universality class and the existence of corrections in the form $\alpha_{loc} = 1 - \epsilon$ of the one-loop renormalization group analysis of the VLDS equation.

DOI: 10.1103/PhysRevE.99.022801

I. INTRODUCTION

Kinetic roughening is an important feature related to the growth of interfaces under nonequilibrium conditions [1,2]. In many systems under specified conditions, including that of molecular-beam-epitaxy (MBE) experiments, the surface diffusion may be a ruling mechanism competing with the deposition [1,3]. Stochastic modeling of MBE is a frontline scientific issue since it corresponds to a technique to produce high-quality thin films for many applications [3,4]. In the simplest cases, the modeling assumes a limited mobility of adatoms. Some examples include the conservative restricted solid-on-solid (CRSOS) [5,6] and the Das Sarma and Tamborenea (DT) [7] models, in which short-range surface diffusion and permanent aggregation take place after adsorption. More realistic models include thermally activated processes where the mobility is not limited. A noteworthy one is the Clarke-Vvedensky (CV) model [8-11], in which the adatom diffusion rates follow Arrhenius laws, with energy barriers depending on the local number of bonds. Recently, the scaling properties of a limited-mobility model were compared with the CV model [12], discussing the effects of memory (non-Markovianity) and probabilities of adatom detachment from terrace steps. It was observed that many central features of thermally activated models can be captured with their limited mobility versions [12].

The CRSOS [13], DT [7,14], and CV [8,9,11] models are connected with the nonlinear molecular-beam-epitaxy (nMBE) universality class, since the surface dynamic is ruled by adatom diffusion. If the incoming particle flux is omitted, then the corresponding nMBE growth equation, also called Villain-Lai-Das Sarma (VLDS) [15,16], is given by

$$\frac{\partial h}{\partial t} = -\nu_4 \nabla^4 h + \lambda_4 \nabla^2 (\nabla h)^2 + \eta(\mathbf{x}, t), \tag{1}$$

where *h* corresponds to the height, at the position **x** and time *t*, with respect to the initial *d*-dimensional substrate; v_4 and λ_4 are constants; and $\eta(\mathbf{x}, t)$ is a nonconservative Gaussian noise.

In this work, we investigate interface growth on onedimensional substrates. The growth (β) and the dynamical (z)exponents are used as benchmarks to describe the interface scale invariance [1]. The former exponent characterize how height fluctuations ω while the latter how the characteristic correlation length ξ evolve, usually obeying scaling laws of the forms $\omega \sim t^{\beta}$ and $\xi \sim t^{1/z}$, respectively. The global roughness exponent $\alpha = \beta z$ can also be used in the regime where the correlation length is much larger than the scale of observation L when $\omega \sim L^{\alpha}$ [1,17]. According to a dynamical one-loop renormalization-group (RG) analysis of Eq. (1), the roughness and dynamic exponents are given by $\alpha = (4 - 1)^{1/2}$ d)/3 and z = (8 + d)/3. However, Janssen [18] pointed out that this conclusion was derived from an ill-defined transformation and there would be higher-order corrections. For instance, small negative corrections to α and z were reported in all dimensions from a two-loop calculation [18]. In the particular case of d = 1 with nonconservative noise we have $\alpha = 1 - \epsilon$ with $\epsilon = 0.0306$ [18]. These corrections are supported by numerical results for CRSOS model [19], in which

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 $\alpha = 0.94(2) < 1$, being the right-hand side of the inequality the predicted value by the one-loop RG analysis $\alpha = 1$.

Nonetheless, several investigations of nMBE lattice models formerly suggested that the local and global height fluctuations scale with different local and global roughness exponents, characterizing an anomalous scaling [20,21]. To define this phenomenon, let us consider the interface fluctuations within a window of length r and at time t (hereafter called quadratic local roughness),

$$\omega_i^2(r,t) = \langle h^2 \rangle_i - \langle h \rangle_i^2, \qquad (2)$$

where $\langle \cdots \rangle_i$ means averages over the window *i*. The quadratic local interface roughness $\omega^2(r, t)$ is defined considering the average of ω_i^2 over different windows and independent realizations. In normal dynamical scaling, in which the Family-Vicsek ansatz [17] holds, the local roughness for a window of length *r* increases as $\omega \sim t^\beta$ for $t \ll r^z$ and saturates as $\omega \sim$ $r^{\alpha_{loc}}$ for $t \gg r^z$, with $z = \alpha_{loc}/\beta$. The exponent α_{loc} is the local roughness or Hurst exponent [1]. Anomalous scaling happens when local and global roughness presents dependence on both window size and time at short scales given by $\omega(r, t) \sim r^{\alpha_{loc}}t^{\kappa}$ with $\kappa = (\alpha - \alpha_{loc})/z$.

Anomalous scaling was reported in theoretical analyzes of epitaxial surface growth and numerical integration of the VLDS equation in d = 1 and 2 [22]. Mound formation was claimed to justify the anomalous scaling, which contrasts with the conclusions reported in Refs. [20,23], according to which normal scaling should occur in local growth processes. Crystalline mounds have been also used to justify anomalous scaling in experiments [24], while the interplay between nonlocal strain and substrate disorder was pointed out as a mechanism involved in the anomalous scaling in epitaxial growth of semiconductor CdTe films [25,26].

Haselwandter and Vvedensky found that the VLDS equation may exhibit a long transient close to an unstable RG fixed point that would explain an apparent anomalous scaling observed in many simulations [27-29]. In the context of lattice models, it was reported an apparent anomalous scaling at short times which asymptotically turns into normal scaling for the CRSOS model [23]. The local roughness exponent of the DT model was reported to be $\alpha_{loc} \approx 0.7$ in d = 1 [30,31]. This value is different from the global roughness exponent $\alpha = 1 - \epsilon$ predicted in the RG analysis of the VLDS equation, which might suggest anomalous roughening [32,33]. However, the local roughness distributions [23] suggest that the one-dimensional DT model has normal scaling, in agreement with the predictions of dynamic RG analysis for local growth process without quenched disorder or additional symmetries [20]. Thus, the DT model remains controversial and a careful consideration regarding their local roughness exponents, especially without noise-reduction techniques [34-36], is worthwhile.

An evaluation of α_{loc} for CV model in two dimensions, in agreement with the nMBE class, was recently reported [37]. The effective roughness exponent was calculated with the optimal detrended fluctuation analysis (ODFA), while the exponents obtained with other methods did not match with those of the nMBE class [37]. This result provided support for nonanomalous asymptotic scaling in the CV model, corrobo-

rating the claim that this transient effect is a consequence of large corrections to the asymptotic normal scaling [11]. However, an explicit observation of the local roughness exponent for the CV model in d = 1 is still missing.

Motivated by the aforementioned studies, we present an analysis of the local roughness exponent for CRSOS, DT, and CV models in one-dimension using the ODFA method. Our results show that the second-order ODFA method suitably yields values of α_{loc} consistent with the nMBE class for CR-SOS and CV models. Moreover, the obtained exponents corroborate the existence of corrections in the one-loop RG [18]. For DT models, the ODFA method also provides values in agreement with nMBE class specially in the case of mild noise reductions [34-36]. In the original DT, two scaling regimes were observed: At short scales we report $\alpha_{loc}^{\scriptscriptstyle (DT)}\approx 0.90$ and at intermediary ones $\alpha_{loc}^{(DT)} \approx 0.97$, both considerably closer to the nMBE class than the values found with other methods. The results presented here are consistent with the conjecture of Ref. [20], which argues that intrinsic anomalous roughening cannot occur in local growth models.

The rest of this paper is organized as follows. In Sec. II, we present the models and basic concepts involved in this work. In Sec. III, we discuss the limits where the ODFA method outperforms other methods, considering mounded initial conditions. In Sec. IV, the scaling of surface roughness is analyzed and the local roughness exponent is reported. In Sec. V, we summarize our conclusions and prospects.

II. MODELS AND BASIC CONCEPTS

The lattice models studied in this work are the CRSOS, DT, and CV. All simulations were performed on a initially flat one-dimensional substrate with *L* sites and periodic boundary conditions. One time unit corresponds to the deposition of *L* adatoms. In all models, deposition occurs with rate F = 1 in a flux normal to the substrate and obeys a solid-on-solid condition [1].

In the CRSOS model, a site is randomly chosen for one adatom deposition. The height differences δh between nearest neighbors obey the restriction $\delta h \leq \delta H_{\text{max}}$. We consider the case $\delta H_{\text{max}} = 1$. If this condition is satisfied for the randomly chosen incidence site, then the particle permanently sticks there. Otherwise, it searches the nearest position where the condition is satisfied, which becomes the place of deposition. In the case of multiple options, one of them is randomly chosen.

In the CV model, deposition occurs at a constant and uniform rate while the adatom diffusion rate is given by an Arrhenius law in the form $D = v_0 \exp(-E/k_BT)$, where v_0 is an attempt frequency, k_B the Boltzmann constant, and E is an energy barrier for the hopping, which includes the contribution of the substrate (E_S) and lateral bonds (E_N) assuming the form $E = E_S + nE_N$. The ratio $R = D_0/F$, in which $D = D_0\eta^n$ is the hopping rate if an adatom has *n* lateral neighbors, is a control parameter of the model [11,37]. In this work we use R = 10 and $\eta = 0.01$, which leads to a large surface roughness, since it corresponds to a low-temperature (low-mobility) regime. Reference [29] derived the coefficients of a Langevin equation, with VLDS form, as a function of Dand $1 - \eta$.

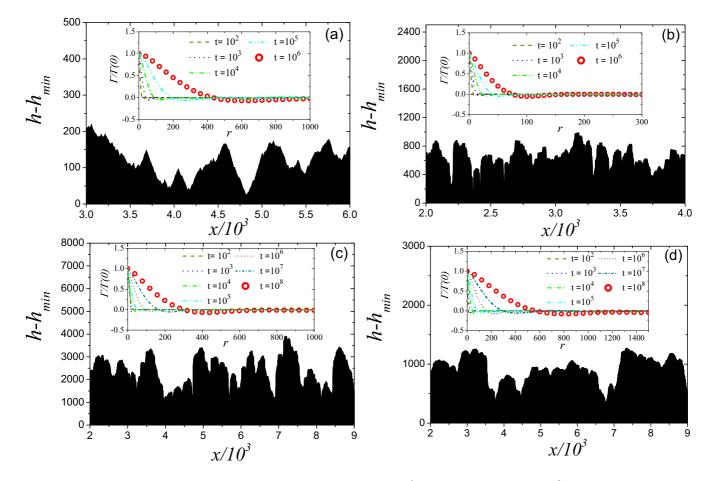


FIG. 1. Profiles for (a) CRSOS, (b) CV, and [(c) and (d)] DT models at $t = 10^6$ (CRSOS and CV) and $t = 10^8$ (DT). The simulations were performed on systems of size $L = 2^{14}$, assuring that the dynamics is not in the stationary regime of roughness saturation for the analyzed times. Insets show the corresponding normalized correlation function at different times, averaged over 10^3 independent realizations. Analysis for the DT model using noise reduction with M = 4 is shown in (d).

In the one-dimensional DT model [7], the arriving particle sticks at the top of the incidence site if there is one or two lateral bonds. Otherwise, if one of the nearest-neighbors satisfies this condition, it is chosen for the deposition, whereas if both do, then one of them is randomly chosen. If neither the deposition site nor any of the nearest-neighbors have lateral bonds, then the particle sticks at the top of the incidence site. We also applied the noise-reduction technique [35], in which a site must be selected M times before implementing a deposition. We considered the case of mild noise reduction M = 4 where the interface roughness remains large.

A characteristic lateral surface length can be estimated as the first zero (ξ_0) of the height-height correlation function defined as [3,38,39]

$$\Gamma(s,t) \equiv \langle \tilde{h}(s_0+s,t)\tilde{h}(s_0,t) \rangle, \tag{3}$$

where $\tilde{h} \equiv h - \bar{h}$ and the averages are taken over different initial positions s_0 and different configurations. The correlation length ξ_0 is defined as the position of the first zero of the correlation function, i.e., $\Gamma(\xi_0, t) = 0$ and are expected to scale as $\xi_0(t) \sim t^{1/z_c}$, where z_c is the coarsening exponent that usually corresponds to the dynamical exponent defined previously. Figure 1 shows profiles for CRSOS, CV, and DT models for times $t = 10^6$ (CRSOS and CV) and $t = 10^8$ (DT). One can see the presence of a characteristic length (mounded structures) for the CV and DT cases and a self-affine structure with less-evident mounds for the CRSOS model. As illustrated by the corresponding insets in Fig. 1, the estimated characteristic lengths ξ_0 for CRSOS and CV correspond to $\xi_0 \approx 433$ and $\xi_0 \approx 74$, respectively. For DT, the estimated values without and with noise reduction are $\xi_0 \approx 299$ and $\xi_0 = 564$, respectively. Here it is possible to note a decrease of the global roughness as *M* increases suppressing large hills and valleys in the surfaces. Concomitantly, an increase of the characteristic mound sizes is observed, which is reflected by an increasing of the correlation length.

III. OPTIMAL DETRENDED FLUCTUATION METHOD

Let us start with the standard DFA method using an *n*thorder polynomial to detrend the surface [40], hereafter called DFA_n. The interface fluctuation within a window *i* of size *r* in DFA_n is defined as

$$\omega_i^{(n)} = \langle (\delta^{(n)})^2 \rangle_i^{1/2}, \tag{4}$$

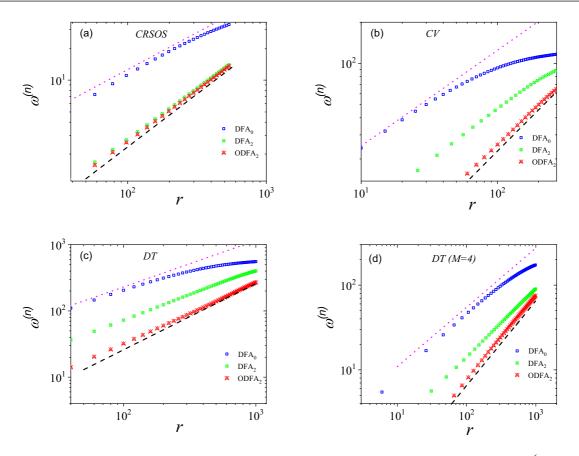


FIG. 2. Local roughness as a function of the window size using different methods for (a) the CRSOS model at $t = 10^6$, (b) the CV model at $t = 10^6$, and (c) the DT model at $t = 10^8$. The dotted and dashed lines have slopes 0.7 and 1, respectively. Averages were performed over up to 10^3 independent realizations. The system size is $L = 2^{14}$. (d) The same analysis for the DT model using noise reduction with M = 4 [35].

where

$$\delta^{(n)} = h(x) - G_i[x; A_i^{(0)}, A_i^{(1)}, \dots, A_i^{(n)}],$$
(5)

 G_i is an *n*th-order polynomial regression of the interface within the *i*th window with coefficients $A_i^{(0)}, A_i^{(1)}, \ldots, A_i^{(n)}$ obtained using least-squares method [41]. The local roughness yielded by the DFA_n method $\omega^{(n)}$ is given by the average over different windows and samples. In the standard local roughness analysis, which corresponds to DFA₀, the surfaces fluctuations are computed in relation to the average height such that $G_i = A_i^{(0)} = \langle h \rangle_i$.

In the ODFA method, the local roughness in the window i of size r is defined by Eq. (4) with

$$\delta^{(n)} = \min_{x} \left[h(x) - G_i \left(x; A_i^{(0)}, A_i^{(1)}, \dots, A_i^{(n)} \right) \right], \quad (6)$$

where min_x represents minimal distance from the surface point with height h(x) to the polynomial G_i .

Differences between the exponents yielded by the DFA and ODFA methods were reported [37] in the kinetic roughening obtained for the deposition on initially mounded substrates. The second-order ODFA₂ method allows us to capture the expected universality class of the fluctuations at scales shorter than the average mound length, whereas DFA₂ underestimates the exponents [37]. In both cases, the main advantage of the second-order methods with respect to the first-order ones are more extended regions of scaling, represented by longer

plateaus in the effective roughness exponent,

$$\alpha_{\rm eff} \equiv \frac{d[\ln \omega^{(n)}]}{d[\ln r]},\tag{7}$$

as function of the scale *r*.

IV. SCALING OF THE LOCAL SURFACE ROUGHNESS

Figure 2 shows the local roughness $\omega^{(n)}$ as a function of the window size *r* for the CRSOS, CV, and DT models. The analyses using DFA₀ indicate a local slope close to 0.7 at small scales ($r \leq 10^2$) for all cases corroborating previous reports for models in the VLDS universality class [30,31]. However, the slopes are close to $\alpha_{loc} = 1$, predicted by the one-loop RG approach [18], when we consider the scaling obtained from ODFA₂.

In the case of the CRSOS model, the DFA₂ and ODFA₂ methods provide very similar curves, confirmed in the local roughness exponent analysis of Figs. 2(a) and Fig. 3(a). This can be justified by the self-affine (fractal) geometry exhibited by the profile, as observed in Fig. 1(a), which implies negligible differences between the height fluctuations determined either by Eq. (5) or Eq. (6). This fact is illustrated in Fig. 4(a), in which a zoomed part of a CRSOS profile is shown with the respective differences $\delta_{DFA}^{(2)}$ and $\delta_{ODFA}^{(2)}$ for some selected points. We also verified that the corresponding scaling of the second-order methods are improved (the plateau region of the

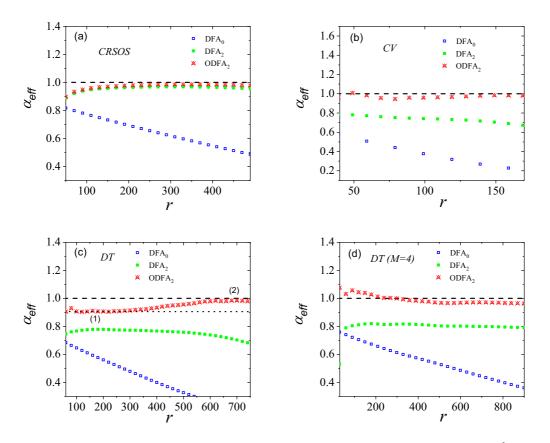


FIG. 3. Effective local roughness exponent analysis with different methods for (a) the CRSOS model at $t = 10^6$, (b) the CV model at $t = 10^6$, and (c) the DT model at $t = 10^8$. Two scaling regions are observed in the DT model at shorter and larger scales indicated by (1) and (2). The horizontal dashed lines indicate the value of the nMBE roughness exponent predicted by one-loop RG in d = 1 and the dotted line in (c) indicates the value 0.90. (d) The same analysis for the DT model using noise reduction with M = 4 [35].

effective exponent analysis is larger) if compared with their first-order counterpart, but the exponent values are approximately the same (results not shown). We determined the local roughness exponent of the CRSOS model in the plateau $260 \lesssim$ $r \lesssim 460$ shown in Fig. 3(a) and found $\alpha_{loc}^{(CRSOS)} = 0.983(1)$, which is consistent with the claim of corrections in the oneloop RG analysis such that $\alpha_{loc} = 1 - \epsilon$ [18]. Our result suggests that the corrections in the one-loop RG exponent are consistent with that reported in two-loop RG calculations [18], improving reports previously indicated elsewhere [19] for low dimensions. We stress that our result for α_{loc} obtained for the CRSOS model, in which weak corrections to the scaling are expected, is slightly above (4% of deviation) to the global roughness exponent $\alpha = 0.94(2)$ reported in Ref. [19], corroborating that the asymptotic anomalous scaling does not occur for this model.

Differences between the ODFA₂ and DFA₂ methods are more evident for the CV model as can be seen in Figs. 2(b) and 3(b). Again, the plateau is larger considering ODFA₂ as compared with ODFA₁ (data not shown). However, for ODFA₁, we obtained an effective roughness exponent $\alpha_{loc}^{(CV)} \approx$ 1.14(4) slightly larger than unity at small scales, a spurious value that can be explained as a consequence of the large local slope in approximately columnar parts of the profile, as shown in Fig. 1(b). Indeed, the linear regression does not fit well the corresponding structures at small scales while the quadratic one does. Using the range 50 $\leq r \leq$ 170, which corresponds to the plateau shown in Fig. 3(b), the exponent obtained with ODFA₂ is $\alpha_{loc}^{(CV)} = 0.96(1)$, in consonance with the normal scaling of the CV model observed in two dimensions [11,37].

Figure 2(c) shows the local interface roughness for the DT model without noise reduction, in which noticeable differences can be seen in the ODFA₂ and DFA₂ methods. One can see in Fig. 4(b) that the differences between the distances to the detrending curve using the ODFA and DFA methods can be very large. A crossover between two scaling regimes is observed. For scales smaller than the characteristic length $(0.23 \leq r/\xi_0 \leq 0.90)$, a plateau is observed for the ODFA₂ case, where the local roughness exponent was estimated as $\alpha_{loc}^{(DT)} = 0.903(1)$, consistent with those found in the case of high noise reduction $M \ge 64$ [35]. At larger scales (2.00 $\le r/\xi_0 \le 2.51$), $\alpha_{loc}^{(DT)} = 0.976(1)$ was observed. To the best of our knowledge, the ODFA2 method for the DT model yields the first evidence, without noise-reduction techniques [34-36], of a roughness exponent consistent with the nMBE class [see Fig. 3(c)]. Even though noise reduction should not change the universality class [42], very high levels reduce a lot the interface roughness, which becomes smoothed with a trending to provide an exponent close to 1. So we have also analyzed the DT model with a mild noise reduction (M = 4). The interface roughness is reduced with respect to the original model but is still quite large, as can be seen in Fig. 4(c). The local roughness analyses are shown in Figs. 2(d) and 3(d). With ODFA₂ we observed $\alpha_{loc}^{(0T)} = 0.967(2)$, which is much

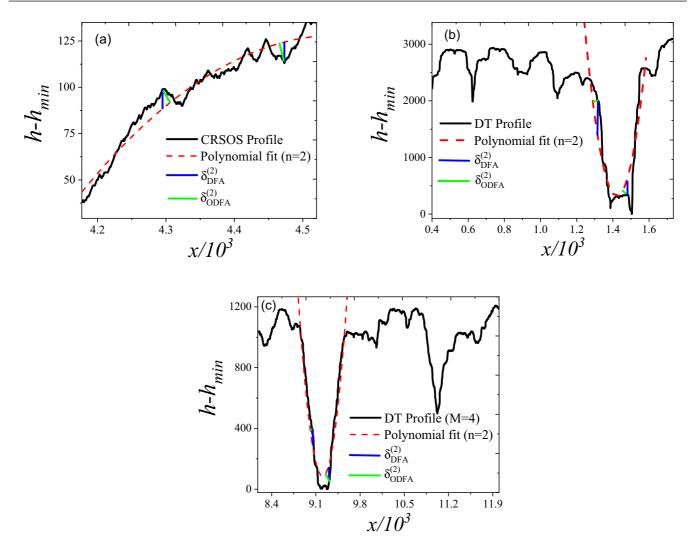


FIG. 4. Sections of the profiles shown with the second-order polynomial regressions (dashed lines) for the (a) CRSOS and [(b) and (c)] DT models. The DT model is analyzed (b) without and (c) with noise reduction using M = 4. Selected points of the profile illustrates the differences between the $\delta^{(2)}$ calculated with DFA and ODFA methods.

closer to the VLDS class than the value $\alpha_{loc}^{(DT)} = 0.804(7)$ obtained with DFA₂. The latter is in good agreement with the α_{loc} exponent reported in Ref. [35] for a similar noise-reduction parameter. This result could lead to a misinterpretation supporting anomalous scaling in the DT model, since a large global roughness exponent $\alpha \approx 1.2$ was also reported in Ref. [35] for this same range of *M*. Our results with ODFA₂ strongly suggest the absence of the anomalous scaling for the DT model, too.

V. CONCLUSIONS

The scaling properties of one-dimensional interfaces obtained with simulations of lattice models belonging to nMBE universality class is an issue that has attracted considerable attention [12,13,31,35–38], given the outstanding importance of diffusion for applications in thin film growth [3,4]. Differently from the Kardar-Parisi-Zhang [43] universality class that has plenty of lattices models described by its scaling exponents [1,2], the nMBE class, where diffusion is the ruling mechanism on the surface growth, has only a few basic prototypes. Three basic examples are the CRSOS [5,6], CV [8,9], and DT [7] models, which are investigated in the present work. To date, only the first one has been supported with irrefutable evidence that it belongs to the nMBE class. In the present work, we provide numerical analysis of the local roughness (Hurst) exponent [1,40] of interfaces generated with these models, using the recently proposed optimal detrended fluctuation analysis [37], which is devised to investigate universality class in mounded structures. As in the two-dimensional analysis of mounded surfaces, the ODFA method [37] outperforms the standard DFA in the determination of the local roughness exponent α_{loc} , as can be seen in Table I, where a summary of the results reported in this paper is presented. For all investigated models, the roughness exponents were found within the interval [0.96,0.98], in quantitative agreement with the two-loop correction $\alpha =$ $1 - \epsilon$ with $\epsilon = 0.0306$ in one dimension. This rules out the existence of asymptotic anomalous roughening sometimes claimed for these models [22] since these values are consistent with the predictions of the two-loop renormalization group developed by Janssen [18], where corrections in one-loop

TABLE I. Values of the local roughness exponent calculated using the ODFA₂ and DFA₂ methods in the corresponding range of the ratio r/ξ_0 (see also Fig. 3 for plateaus in the effective exponent analysis). The symbol * means the absence of reliable scaling regimes in the corresponding range of r/ξ_0 .

Model	r/ξ_0	ODFA ₂	DFA ₂
CRSOS	[0.6, 1.06]	0.983(1)	0.966(2)
CV	[0.67, 2.3]	0.96(1)	0.73(3)
DT [Region (1)]	[0.23, 0.90]	0.903(1)	0.772(6)
DT [Region (2)]	[2.00, 2.51]	0.976(1)	*
DT [M = 4]	[0.88, 1.58]	0.967(2)	0.804(7)

calculations of the form $\alpha_{\rm loc}^{\rm (VLDS)} = 1 - \epsilon$ are expected in all dimensions. To the best of our knowledge, this is the first evidence for the local roughness exponent measured in the DT model that agrees with the nMBE class. The original DT model still possesses a small ambiguity in the value of $\alpha_{\rm loc}$: At short scales, the value $\alpha_{\rm loc}^{\rm (DT)} = 0.903$ is close to the VLDS exponent $\alpha_{\rm loc}^{\rm (VLDS)} = 1 - \epsilon$, albeit still not negligibly below the VLDS value observed for intermediary scales, as shown in Table I. However, a mild noise reduction is sufficient to remove very strong corrections to the scaling and an accordance with the nMBE exponent is also found. Our results also support the

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theoretical analyses by Haselwandter and Vvedensky [27–29] that explained the apparent anomalous scaling based on a multiscale analysis of atomistic surface processes.

Our findings constitute an important step for confirming the nMBE as a general universality class. Moreover, the scarcity of experimental evidences for nMBE could be explained by the almost unavoidable presence of strong corrections to the scaling due to limitations for growth times and resolution in scanning probe microscopes [44,45], which might be addressed using suitable methods such as ODFA [37]. This method can be easily extended to the analysis of self-affine objects not related to surface growth such as time series modulated for seasonal changes [46]. Further enhancement of this method may include adapting it for global detrending, which will allow the characterization of other features in interface growth such as properties of the underlying fluctuations in height distributions [47–49].

ACKNOWLEDGMENTS

T.A.d.A., S.C.F., and R.F.S.A. thank the financial support of Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq). S.C.F. acknowledges the support of Fundação de Pesquisa do Estado de Minas Gerais (FAPEMIG). This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior, Brasil (CAPES), Finance Code 001.

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