


Temperature-Dependent Charge-Transfer-State Absorption and Emission Reveal the Dominant Role of Dynamic Disorder in Organic Solar Cells

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 (Received 12 February 2021; revised 5 May 2021; accepted 5 May 2021; published 3 June 2021)

The energetic landscape of charge-transfer (CT) states at the interface of electron donating and electron accepting domains in organic optoelectronic devices is crucial for their performance. Central questions—such as the role of static energetic disorder and vibrational effects—are under ongoing dispute. This study provides an in-depth analysis of temperature-dependent broadening of the spectroscopic absorption and emission features of CT states in devices with small molecule-fullerene blends. We confirm the validity of the electro-optical reciprocity relation between the photovoltaic external quantum efficiency and electroluminescence, enabling us to validate the device temperature during the experiment. The validated temperature allows us to fit our experimental data with several models, and compare extracted CT state energies with the corresponding open-circuit voltage limit at 0 K. Our findings reveal that the absorption and emission characteristics are usually not symmetric, and dominated by temperature-activated broadening (vibrational) effects instead of static disorder.

DOI: [10.1103/PhysRevApplied.15.064009](https://doi.org/10.1103/PhysRevApplied.15.064009)

I. INTRODUCTION

Organic solar cells (OSCs) have gained renewed interest since their power conversion efficiency increased rapidly over the last few years and are nowadays approaching 20% [1–3]. Many successful steps have been achieved in designing new materials, especially nonfullerene acceptors; yet the underlying working principles remain relatively unchanged [4–8]. All efficient approaches favor a combination of at least two materials, namely an electron donor and acceptor, forming a heterogeneously blended layer sandwiched between selective electrodes. To facilitate the dissociation of strongly bound photogenerated excitons, free energy is usually sacrificed. This is realized at the donor-acceptor interface by the formation of energetically more favorable and less strongly bound charge-transfer (CT) states.

On the one hand, CT states are responsible for more efficient charge carrier generation. On the other hand, they limit the open-circuit voltage V_{OC} in organic solar cells

[9] and determine both radiative and nonradiative loss mechanisms [10,11]. Nevertheless, a detailed description of their energetic nature is still missing. The majority of studies in the field over the last few years determined the energetic properties utilizing (electro-)optical spectroscopy and interpreted the results based on a molecular model of CT states [9–18], and recently computational multiscale simulations together with quantum-mechanical calculations [19]. One of the most discussed questions is whether CT states are dominated by static energetic disorder at the donor-acceptor interface, which we would expect in organic semiconductor blends based on conclusions from trap-state [20] and charge-transport measurements [21,22], or can be described by dynamic vibrational broadening alone. As this matter impacts the interpretation of measured device properties, including the excited CT state energy E_{CT} , it is essential to precisely quantify energy losses in organic solar cells [9,11,12,23]. A related question is whether V_{OC} losses measured in a specific device are inherent to a material system, or can be potentially reduced by optimizing the morphology in order to decrease static disorder.

In this work, we address the role of energetic disorder by investigating the validity of different theoretical approaches. We compare the predicted absorption and emission spectra to our experimental photovoltaic external quantum efficiency (η_{PV}) and electroluminescence external

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quantum efficiency (η_{EL}) spectra, measured on a set of thermally evaporated OSCs over a broad temperature range. Our measurements provide evidence for the validity of the electro-optical reciprocity between η_{PV} and η_{EL} , being essential to confirm (or correct) the device temperature during experiments. We find that the *multiple vibrations model*, which does not include static disorder, explains our experimental results the best. In order to optimize V_{OC} in the absence of static disorder, the emphasis should shift to the reduction of vibration-induced losses [19].

II. BACKGROUND INFORMATION

A. Electro-optical reciprocity relation

As optical absorption of CT states is usually very weak in OSCs, it is often measured by sensitive detected η_{PV} spectroscopy. Absorption and η_{PV} are related by the internal photovoltaic quantum efficiency (η_{IQE}) for conversion of absorbed photons to extracted charge carriers [24]. Emission from CT states is quantified by the spectral electroluminescence (EL) yield (η_{EL}) under forward bias. Its integral value η_{LED} , the total EL quantum efficiency, represents all nonradiative voltage losses of the photovoltaic device, which scale with $\ln(\eta_{\text{LED}})$ [10]. While CT state emission can also be observed in terms of photoluminescence [12], it may be more difficult due to much stronger emission from pure donor and acceptor phases that have to be subtracted first [18]. Optical interference might also affect the light outcoupling efficiency of thin-film devices, which was recently pointed out by List *et al.* [25]. The effect was shown to be negligible for absorbing layer thicknesses below 80 nm [14,25,26], and to be independent of the device temperature [18].

η_{PV} and η_{EL} of semiconductor devices are connected by Rau's electro-optical reciprocity relation [27]. Assuming an η_{IQE} independent of the energy of the absorbed photon [28] and negligible space-charge effects [29], the emission flux from a solar cell operated under forward bias is proportional to its thermal emission spectrum: both spectral distributions (η_{PV} and η_{EL}) are correlated by the blackbody emission flux $\phi_{\text{BB}}(E, T)$ of photons with energy E at temperature T , and scale with the dark saturation current J_0 of the device. The validity of the reciprocity relation was shown to hold for most types of photovoltaic devices, including OSCs at room temperature [10,14,30,31]. Therefore, a theoretical description of CT state emission and absorption characteristics is required to satisfy Rau's reciprocity relation

$$\eta_{\text{EL}}(E, T) dE = \eta_{\text{PV}}(E, T) \phi_{\text{BB}}(E, T) \frac{q dE}{J_0(T)}, \quad (1)$$

where q is the elementary charge. The total EL efficiency $\eta_{\text{LED}}(T)$ is given by integrating Eq. (1) over all energies.

In the experiment, the temperature of the device under test is usually set to the temperature of a reservoir T_{set} by using, for example, a controlled heater in an insulated cryostat. Most studies reporting temperature-dependent investigations discuss their findings in terms of T_{set} [11,18,32], without verifying if that temperature is actually valid under the measurement conditions (e.g., current injected to drive the electroluminescence, which can heat up the sample). If the reciprocity relation holds over a broad temperature range, it allows us to validate the solar cell temperature T_{valid} during spectroscopic measurements: by using the Boltzmann approximation of the blackbody spectrum, the dark saturation current J_0 and T_{valid} can be extracted from the reciprocity relation and the quotient of η_{EL} and η_{PV} [28]. With the Boltzmann constant k_B , the speed of light in vacuum c , and Planck's constant h , we find that

$$\begin{aligned} \ln \left(\frac{q}{J_0} \right) - \frac{E}{k_B T_{\text{valid}}} \\ = -2 \ln(E) + \ln \left(\frac{c^2 h^3}{2\pi} \right) + \ln \left(\frac{\eta_{\text{EL}}(E)}{\eta_{\text{PV}}(E)} \right) \Bigg|_{T_{\text{set}}}. \quad (2) \end{aligned}$$

Employing Eq. (2), we can test if the device really is at T_{set} during the absorption and during the emission measurements. We calculate T_{valid} of the gray body that would fulfil the reciprocity relation of measured $\eta_{\text{EL}}(T_{\text{set}})$ and $\eta_{\text{PV}}(T_{\text{set}})$. If we find the $T_{\text{valid}} \neq T_{\text{set}}$, either one or both spectra were recorded at a device temperature other than T_{set} . The crucial parameter in these cases is most likely the device temperature during η_{EL} measurements, as injection conditions exceeding typical working parameters may cause unintended heating of the device. Monochromatic light intensities for η_{PV} spectroscopy are typically far below solar illumination and should not cause unintended heating; an approximation of the power dissipated by the devices during η_{EL} and η_{PV} measurements is given in Sec. 2 of the Supplemental Material [42]. Accordingly, high-injection currents have been shown to affect the shapes of η_{EL} spectra [32], and to cause deviations from the electro-optical reciprocity relation even at room temperature [10,28]. As a consequence of Eq. (2), we expect that the device temperature for η_{PV} measurements is usually very close to T_{set} , and the temperature during η_{EL} measurements is often $T_{\text{valid}} \geq T_{\text{set}}$ due to Joule heating caused by the higher current densities. We therefore suggest estimating the device temperature with T_{valid} for the analysis of emission characteristics.

B. CT state models

Currently discussed theoretical descriptions of CT states are based on the theory of electron transfer by Marcus [33]. The interfacial CT state is treated in analogy to a molecular state, with photon absorption and emission from excited electronic states. An application of this theory with regard to the electro-optical properties of a solar cell device

was given by Vandewal *et al.* [9,10], who were able to explain both the subbandgap characteristics of η_{PV} and η_{EL} of OSCs at room temperature with Gaussian line shapes

$$\eta_{PV} = \frac{E^{-1} \times f_{\sigma}^*}{\sqrt{4\pi\lambda_R k_B T}} \exp\left(-\frac{(E_{CT} + \lambda_R - E)^2}{4\lambda_R k_B T}\right), \quad (3)$$

$$\eta_{EL} = \frac{E \times f_{\text{emis}}^* / \phi_{\text{inj}}}{\sqrt{4\pi\lambda_R k_B T}} \exp\left(-\frac{(E_{CT} - \lambda_R - E)^2}{4\lambda_R k_B T}\right). \quad (4)$$

The absorption and emission lines of the CT state with energy E_{CT} are separated by twice its reorganization energy λ_R , which also describes the respective linewidths $(2\lambda_R k_B T)^{1/2}$; only these two parameters are necessary to describe the shape of the contribution of CT states to η_{EL} and η_{PV} spectra at temperature T . Further assumptions and quantum mechanical photophysics, including transition matrix elements, are merged into the amplitudes f_{σ}^* and f_{emis}^* . The emission flux under LED conditions has to be normalized by the injected charge carrier flux ϕ_{inj} . Using this model, Vandewal *et al.* [10] were able to predict V_{OC} of the solar cell under simulated solar illumination at room temperature from the extracted E_{CT} .

In this *simple Marcus model*, λ_R accounts for dynamic broadening from intra- and intermolecular vibrations with small characteristic frequencies $f_{\text{vibr}} \ll k_B T/h$. Higher frequency vibrations inherent to organic molecules such as carbon-carbon stretching modes, which have been proposed to play an important role for nonradiative recombination in organic semiconductor devices [16], lead to additional absorption and emission lines [12,34]. For a single dominant vibration mode, the corresponding spectra can be explained by a sum of shifted Gaussian lines, leading to an *extended Marcus model*

$$\eta_{PV} \propto \frac{E^{-1}}{\sqrt{4\pi\lambda_R k_B T}} \sum_{j=0}^{\infty} \frac{e^{-S} S^j}{j!} \times \exp\left(-\frac{(E_{CT} + j\Lambda_{\text{vibr}} + \lambda_R - E)^2}{4\lambda_R k_B T}\right). \quad (5)$$

The moment of the Poisson distribution characterized by the Huang-Rhys factor S determines the relative intensity of vibrational levels j . For vibration energies $\Lambda_{\text{vibr}} > 100$ meV, these contributions may not be visible in the η_{PV} spectrum due to the overlying stronger absorption from donor and/or acceptor singlet states [12]. Characteristic carbon-carbon stretching mode energies of fullerenes were found in the region of $\Lambda_{\text{vibr}} \approx 150$ –180 meV [12,18,35]. Note that excitation of phonons leads to additional absorption at higher energies, whereas the respective photoluminescence spectrum features additional emission lines redshifted by $-j\Lambda_{\text{vibr}}$ [12,34].

Equation (5) originates from the extended Marcus-Levich-Jortner model of the electron transfer theory. In this context, Λ_{vibr} represents one discrete intramolecular *quantum mode* of the charge-transfer complex, and λ_R is associated with various optical phonons of the surrounding medium, which can be treated as classical due to their mean vibration energy being much smaller than $k_B T$ [36,37].

Without taking these high-energy modes into account, the *simple Marcus model* [Eqs. (3) and (4)] complies with the reciprocity relation, Eq. (1). As a consequence, the reduced spectra ($\eta_{PV}E$ and η_{EL}/E) are symmetric and mirror each other. However, when the electro-optical reciprocity relation is applied to the *extended Marcus model's* η_{PV} [Eq. (5)], we can derive a different expression for the η_{EL} emission:

$$\eta_{EL} \propto \frac{E}{\sqrt{4\pi\lambda_R k_B T}} \exp\left(-\frac{E_{CT}}{k_B T}\right) \times \sum_{j=0}^{\infty} \frac{e^{-S} S^j}{j!} \exp\left(-\frac{j\Lambda_{\text{vibr}}}{k_B T}\right) \times \exp\left(-\frac{(E_{CT} + j\Lambda_{\text{vibr}} - \lambda_R - E)^2}{4\lambda_R k_B T}\right). \quad (6)$$

We find that η_{EL} no longer mirrors η_{PV} , as it inherits the positive vibrational progression $+j\Lambda_{\text{vibr}}$. The emission quantum efficiency from these states is however exponentially damped by the term $\exp[-j\Lambda_{\text{vibr}}/(k_B T)]$, yielding an asymmetrical shape of the reduced spectra, in contrast to the *simple Marcus model*.

C. Models based on static and dynamic disorder

Both models discussed above do not include static energetic disorder, which is expected to be present in noncrystalline organic semiconductors, and even more so in those made from heterogeneously blended films. An extension to the η_{PV} description from the *simple Marcus model* was given by Burke *et al.* [11] by assuming a Gaussian distribution of disordered excited CT state energies E'_{CT} with variance σ_{CT}^2 to represent static disorder. With this assumption, the η_{PV} shape can still be described by a reduced Gaussian, albeit with a modified variance and E_{CT} now representing the first moment of the distribution of CT state energies:

$$\eta_{PV} \propto \frac{E^{-1} \sqrt{\pi^{-1}}}{\sqrt{4\lambda_R k_B T + 2\sigma_{CT}^2}} \exp\left(-\frac{(E_{CT} + \lambda_R - E)^2}{4\lambda_R k_B T + 2\sigma_{CT}^2}\right), \quad (7)$$

$$\eta_{\text{EL}} \propto \frac{E\sqrt{\pi^{-1}}}{\sqrt{4\lambda_R k_B T + 2\sigma_{\text{CT}}^2}} \exp\left(-\frac{E_{\text{CT}}}{k_B T} + \frac{\sigma_{\text{CT}}^2}{2(k_B T)^2}\right) \times \exp\left(-\frac{[E_{\text{CT}} - \lambda_R - \sigma_{\text{CT}}^2/(k_B T) - E]^2}{4\lambda_R k_B T + 2\sigma_{\text{CT}}^2}\right). \quad (8)$$

The EL expression in this *disordered Marcus model* complies with η_{PV} [Eq. (7)] and the electro-optical reciprocity relation [Eq. (1)]. In both the η_{PV} and η_{EL} spectra, the modified variance $\sigma^2 = \sigma_{\text{CT}}^2 + 2\lambda_R k_B T$ leads to a nonzero linewidth at $T = 0$ K, a trend that was experimentally confirmed by several temperature-dependent measurements of CT states in η_{PV} or η_{EL} spectra [11, 18, 19, 32].

The model predicts an additional temperature-dependent peak redshift of the η_{EL} spectrum by $-\sigma_{\text{CT}}^2/k_B T$, while reduced spectra should nonetheless remain symmetric with equal η_{PV} and η_{EL} linewidths. This particular peak shift

should only be affected by the device temperature; it has been reported for inorganic solar cells with a Gaussian distribution of bandgaps [38], but is absent in many studies on OSCs [18, 32]. Nevertheless, prominent EL peak blueshifts caused by increased injection currents have been documented for OSCs with an exponential [32] and, less pronounced, with a Gaussian distribution of subbandgap states [14, 32, 39].

Kahle *et al.* [12] suggested a combination of the *disordered Marcus* and *extended Marcus models* to explain η_{PV} , in which each E_{CT}' in the normal distribution of disordered CT states was extended by vibrational states of equal frequency [Eq. (9)]. If the electro-optical reciprocity relation is applied, we again find a description for η_{EL} involving both the temperature-dependent peak redshift inherited from the *disordered Marcus model*, and the asymmetry of reduced spectra from the *extended Marcus model*:

$$\eta_{\text{PV}} \propto \frac{E^{-1}\sqrt{\pi^{-1}}}{\sqrt{4\lambda_R k_B T + 2\sigma_{\text{CT}}^2}} \sum_{j=0}^{\infty} \frac{e^{-S} S^j}{j!} \exp\left(-\frac{(E_{\text{CT}} + \lambda_R + j \Lambda_{\text{vibr}} - E)^2}{4\lambda_R k_B T + 2\sigma_{\text{CT}}^2}\right), \quad (9)$$

$$\eta_{\text{EL}} \propto \frac{E\sqrt{\pi^{-1}}}{\sqrt{4\lambda_R k_B T + 2\sigma_{\text{CT}}^2}} \exp\left(-\frac{E_{\text{CT}}}{k_B T} + \frac{\sigma_{\text{CT}}^2}{2(k_B T)^2}\right) \sum_{j=0}^{\infty} \frac{e^{-S} S^j}{j!} \exp\left(-\frac{j \Lambda_{\text{vibr}}}{k_B T}\right) \times \exp\left(-\frac{[E_{\text{CT}} + j \Lambda_{\text{vibr}} - \lambda_R - \sigma_{\text{CT}}^2/(k_B T) - E]^2}{4\lambda_R k_B T + 2\sigma_{\text{CT}}^2}\right). \quad (10)$$

This *extended disordered Marcus model* was discussed to be a simplification of a system with two characteristic vibrations; the second vibration was assumed to be in the range $\Lambda_2 \approx 5\text{--}20$ meV [12, 36]. The hypothetical vibration would, in connection with a high Huang-Rhys factor S_2 , transpose the Poisson distribution at room temperature into a Gaussian shape that is indistinguishable from a

normally distributed, statically disordered E_{CT} at room temperature [18]. At lower temperatures, this approximation becomes inaccurate, as the mode can no longer be treated in a classical limit, but rather as an additional discrete quantum mode [37]. Respective expressions for η_{PV} [12] and reciprocity compliant η_{EL} of this *multiple vibrations model* are given by

$$\eta_{\text{PV}} \propto \frac{E^{-1}}{\sqrt{4\pi\lambda_R k_B T}} \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} \frac{e^{-S_2} S_2^i}{i!} \frac{e^{-S} S^j}{j!} \exp\left(-\frac{(E_{\text{CT}} + \lambda_R + j \Lambda_{\text{vibr}} + i \Lambda_2 - E)^2}{4\lambda_R k_B T}\right), \quad (11)$$

$$\eta_{\text{EL}} \propto \frac{E e^{-E_{\text{CT}}/(k_B T)}}{\sqrt{4\pi\lambda_R k_B T}} \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} \frac{e^{-S_2} S_2^i}{i!} \frac{e^{-S} S^j}{j!} \exp\left(-\frac{i \Lambda_2 + j \Lambda_{\text{vibr}}}{k_B T}\right) \exp\left(-\frac{(E_{\text{CT}} - \lambda_R + j \Lambda_{\text{vibr}} + i \Lambda_2 - E)^2}{4\lambda_R k_B T}\right). \quad (12)$$

The idea of allowing only dynamic disorder effects for CT lineshape broadening may offer a solution for the η_{EL} peak shift expected for statically disordered systems, but experimentally not observed in OSCs. Yet interestingly, all models that deal with a progression of vibrations also predict an η_{EL} peak redshift with decreasing temperature (albeit not as pronounced as with static disorder). Injected charge carriers will occupy states near the CT state minimum, which is represented in Eq. (12) by an exponential decrease of η_{EL} intensity with i and j . For a high-frequency vibration $\Lambda_{\text{vibr}} \gg k_B T$, this results in a vanishing emission from $j > 0$; for a low-frequency vibration $\Lambda_2 \approx k_B T$, it manifests in a perceived peak shift and a further linewidth reduction. As a result of both properties, emission and absorption linewidths will be inherently asymmetrical in this model. A comparison of predicted peak properties between the *multiple vibrations* and *extended disordered models* is shown in Fig. S4 of the Supplemental Material [42].

D. Consequences

The main parameters E_{CT} and λ_R for every model are summarized in Table I, with regard to the linewidths σ and peak positions E_{max} of reduced EL and PV spectra, wherever possible. While all models share more or less the same set of molecular parameters to describe emission and absorption of CT states, their quantification from experimental data may differ depending on the model of choice. This especially holds for the dynamically disordered *multiple vibrations model*, where the more complex description

prevents a simple extraction of E_{CT} and λ_R . Therefore, even a set of temperature-dependent η_{PV} and η_{EL} measurements requires comprehensive model fits to the spectral data to determine these parameters correctly.

III. RESULTS

A. Methods

We investigate a set of organic bulk heterojunction solar cells with the small molecule donors 1,1-bis[4-(N,N-di-ptolylamino) phenyl]cyclohexane (TAPC) and 4,4',4''-tris(carbazol-9-yl)triphenylamine (TCTA), diluted with 5 or 10 weight percent (wt%) in a C₆₀ matrix. From η_{PV} measurements under different bias voltages, it can be concluded that these devices have a constant η_{IQE} , which is a prerequisite for the electro-optical reciprocity relation [15]. The electron mobility in this kind of OSC is several orders of magnitude higher than the hole mobility [40]. In TAPC_{6%}:C₆₀, the hole mobility is reportedly half of TAPC_{10%}:C₆₀, yet almost a factor 10 higher than in TCTA_{6%}:C₆₀, and is further reduced at lower temperatures [41]. Temperature-dependent measurements are realized with the devices in a contact gas cryostat. η_{PV} is measured by comparing currents under short-circuit conditions from monochromatic illuminated OSCs with a calibrated reference detector. Relative η_{EL} is detected from constant current injection, and illumination dependent V_{OC} is recorded while varying the output power of a cw laser.

TABLE I. Comparison of the models included in this study, separated for inclusion of static disorder and phonon excitation. The expected symmetry between reduced absorption and emission lines is included as an additional criterion. Allowing excitation of molecular vibrations disrupts the symmetry except for the 0–0 transition; incorporating static energetic disorder introduces a temperature-dependent shift of the η_{EL} peak.

	w/o molecular vibrations	w/ molecular vibrations
w/o static disorder	Simple Marcus model^a [10] $E_{\text{CT}} = E_{\text{PV,max}} - \lambda_R$ $E_{\text{CT}} = E_{\text{EL,max}} + \lambda_R$ $\sigma_{\text{PV,EL}} = \sqrt{2\lambda_R k_B T}$	Extended Marcus model^{b, c} $E_{\text{CT}} = E_{\text{PV,max}}^{0-0} - \lambda_R$ $E_{\text{CT}} = E_{\text{EL,max}}^{0-0} + \lambda_R$ $\sigma_{\text{PV,EL}}^{0-0} = \sqrt{2\lambda_R k_B T}$ Multiple vibrations model^d $E_{\text{PV,max}} = f(E_{\text{CT}}, \lambda_R)$ $E_{\text{EL,max}} = f(E_{\text{CT}}, \lambda_R, T)$ $\sigma_{\text{PV}} \neq \sigma_{\text{EL}}$
w/static disorder	Disordered Marcus model^{a, c} [11] $E_{\text{CT}} = E_{\text{PV,max}} - \lambda_R$ $E_{\text{CT}} = E_{\text{EL,max}} + \lambda_R + \frac{\sigma_{\text{CT}}^2}{k_B T}$ $\sigma_{\text{PV,EL}} = \sqrt{2\lambda_R k_B T + \sigma_{\text{CT}}^2}$	Extended disordered model^{b, c, e} [12] $E_{\text{CT}} = E_{\text{PV,max}}^{0-0} - \lambda_R$ $E_{\text{CT}} = E_{\text{EL,max}}^{0-0} + \lambda_R + \frac{\sigma_{\text{CT}}^2}{k_B T}$ $\sigma_{\text{PV,EL}}^{0-0} = \sqrt{2\lambda_R k_B T + \sigma_{\text{CT}}^2}$

^aSymmetrical reduced η_{PV} and η_{EL} spectra.

^bSymmetrical only on the 0–0 transition line of reduced spectra.

^cAsymmetrical envelope function.

^dAsymmetrical reduced η_{PV} and η_{EL} spectra, depending on the vibrations involved.

^eSymmetry axis redshifted with decreasing temperature.

Details about the device architecture and experimental conditions are given in Sec. VI.

By choosing a model system of wide bandgap, small molecule donors diluted in a C_{60} acceptor matrix, we are able to isolate the absorption of CT states in η_{PV} spectra, and avoid superimposing donor emission during EL measurements.

B. Reciprocity and temperature validation

The recorded, temperature-dependent η_{PV} and η_{EL} spectra are shown in Fig. 1. While the shapes of η_{PV} spectra are not significantly different at first glance, apart from the decreased signal-to-noise ratio at low temperatures, the deviations are more pronounced in the η_{EL} spectra of each system, most notably by a significant reduction of the linewidth with decreasing temperature.

First, we checked the validity of the electro-optical reciprocity by extracting T_{valid} from η_{PV} and η_{EL} spectra measured at the same T_{set} . The results are shown in Fig. 2. We find very good agreement between 125 and 300 K for the TAPC: C_{60} solar cell with 10-wt% donor content; however, an increasingly higher T_{valid} is extracted for the other devices when cooled below 250 K.

To further investigate this discrepancy, we compared η_{EL} spectra at presumably equal T_{set} (see Fig. S1 of the Supplemental Material [42]) and the effect of the injection current density on T_{valid} (see Fig. S2 of the Supplemental Material [42]) for the TAPC: C_{60} devices. Both control analyses show an increased device temperature during

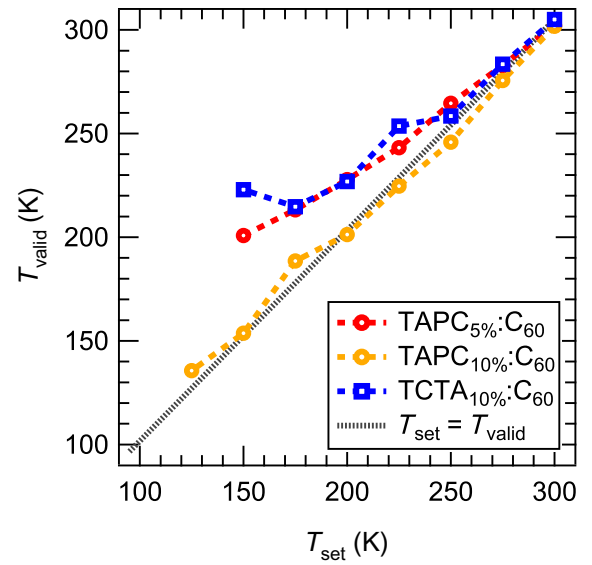


FIG. 2. Device temperature T_{valid} obtained from the electro-optical reciprocity relation between η_{PV} and η_{EL} [Eq. (2)] with respect to the experimentally expected temperature T_{set} . For the cell with 10-wt% donor content, both temperatures are almost identical, whereas we see significant deviations from the ideal case for the other two cells at lower T_{set} .

η_{EL} measurements, as the increased T_{valid} correlates with broader η_{EL} spectra, and the effect becomes more pronounced at higher injection currents. We point out that we did not change the experimental routines or the setup

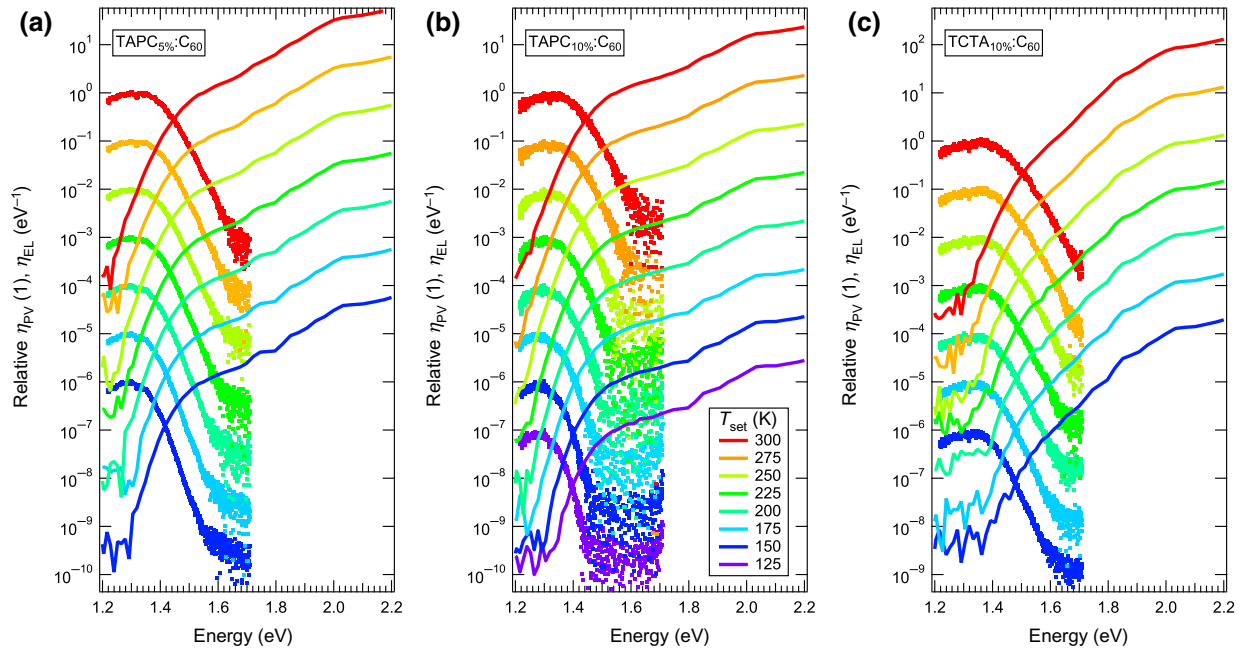


FIG. 1. Measured relative η_{PV} and η_{EL} spectra for (a) TAPC: C_{60} bulk heterojunction solar cells with 5-wt% and (b) 10-wt% donor content, and (c) TCTA: C_{60} bulk heterojunction solar cell with 10-wt% donor content. All measured curves are shifted by a constant offset respective to T_{set} . An alternate view on the normalized spectra is given in Fig. S9 of the Supplemental Material [42].

between individual devices. Thus, a control mechanism for the device temperature as given by the reciprocity becomes even more important.

C. Single spectrum analysis

When analyzing the linewidth σ of η_{EL} and η_{PV} CT contributions individually in terms of a Gaussian distribution, currently the most common method [18,32], we find major differences between extracted values from η_{PV} and η_{EL} spectra (Fig. 3; values for TCTA_{10%}:C₆₀ are given in Fig. S5 of the Supplemental Material [42]). Rather than fitting a nonlinear function to the spectrum, we applied a linear regression to the numerical derivative d/dE of the reduced spectra to decrease fitting errors [18] (see Sec. 5 of the Supplemental Material [42]). In general, our η_{PV} spectra tend to be significantly broader than their η_{EL} counterparts, requiring an asymmetrical model to describe both spectra simultaneously. These findings show that CT state models requiring reduced emission and absorption spectra to be symmetrical—the *simple Marcus* and *disordered Marcus models*—cannot be correct [10,11].

The slope of $\sigma^2(T)$ and its extrapolated intercept at $T = 0$ K is used to extract values for σ_{CT} and λ_R based on the *disordered Marcus* and *extended disordered Marcus models* [Eqs. (7)–(10)] [11,18,32]. The extracted parameters are listed in Table II. Both parameters depend on whether they are based on the supposed (T_{set}) or validated temperature (T_{valid}) during the measurement. Negative values for

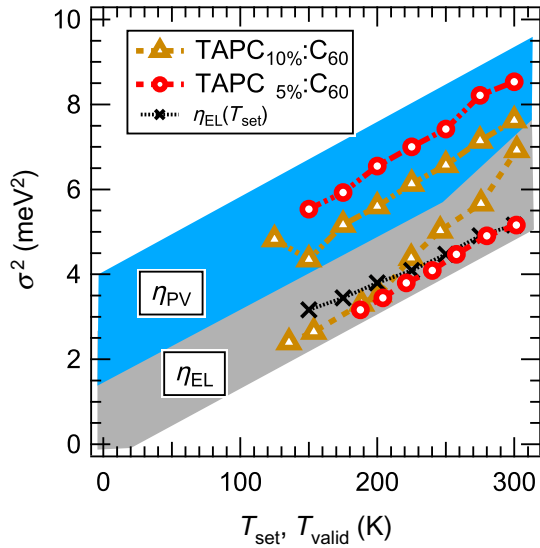


FIG. 3. Temperature dependency of the squared CT linewidth σ^2 in η_{EL} (against validated EL temperature T_{valid}) and η_{PV} (against expected device temperature T_{set}) spectra for TAPC:C₆₀ solar cells. We find smaller emission linewidths for all devices. In the case of TAPC_{5%}:C₆₀, where we find T_{valid} significantly increased for lower T_{set} , the linewidth narrowing appears less steep if T_{set} is used as reference (black crosses).

TABLE II. Energetic width of static disorder distribution σ_{CT} and reorganization energy λ_R extracted from individual fits to reduced η_{PV} and η_{EL} spectra in accordance with the *disordered Marcus model*. Negative values for σ_{CT} , noted in parentheses, occur when the CT linewidth interpolates to negative values at $T = 0$ K. Both obtained parameters change significantly when the correction of T_{set} is applied for η_{EL} by utilizing the reciprocity relation.

		η_{EL}		η_{PV}	
		σ_{CT} (meV)	λ_R (meV)	σ_{CT} (meV)	λ_R (meV)
TAPC _{5%} : C ₆₀	T_{valid}	(−14.8)	104.8	49.7	117.7
	T_{set}	32.6	79.6		
TAPC _{10%} : C ₆₀	T_{valid}	(−38.9)	151.4	36.7	122.2
	T_{set}	(−33.3)	145.9		
TCTA _{10%} : C ₆₀	T_{valid}	(−41.3)	179.3	44.9	126.7
	T_{set}	26.0	134.5		

σ_{CT} indicate a negative interpolated intersection that would be nonphysical in terms of the *disordered Marcus model*. Similar results were also reported for low-temperature EL measurements, challenging the relevance of static energetic disorder for the CT state ensemble compared to dynamic broadening [18]. In addition, we find unexpected quantitative differences of the parameters between absorption and emission, and within similar donor dilutions. Instead of relying on individual η_{PV} or η_{EL} peak analysis with all its flaws, our temperature validated combined data records allow us to extract model parameters from a simultaneous review of absorption and emission spectra.

D. Global data analysis

To test the *multiple vibrations model*, we implemented a Levenberg-Marquardt optimizing algorithm to fit both η_{PV} and η_{EL} spectra simultaneously for multiple temperatures. As discussed before, we assumed the temperature T_{valid} validated by the reciprocity relation for η_{EL} measurements, while maintaining T_{set} for η_{PV} spectra. Each spectrum is weighted equally by dividing its residuals by the number of supporting data points, in order to avoid overemphasizing η_{EL} (with higher energy resolution than η_{PV}), and spectra from higher temperatures, which tend to provide more supporting data points due to their better signal-to-noise ratio and broader signal range. The fitting range of models that do not describe excitation of molecular vibrations is reduced to the tail of each spectrum; otherwise, it is limited by the onset of the C₆₀-singlet excitation at 1.7 eV for η_{PV} and the detection limit at 1.2 eV for η_{EL} , and respective background noise levels. We applied the algorithm for all models summarized in Table I with similar starting values. During the fit, the parameter describing the high-frequency vibration is held constant at the lower

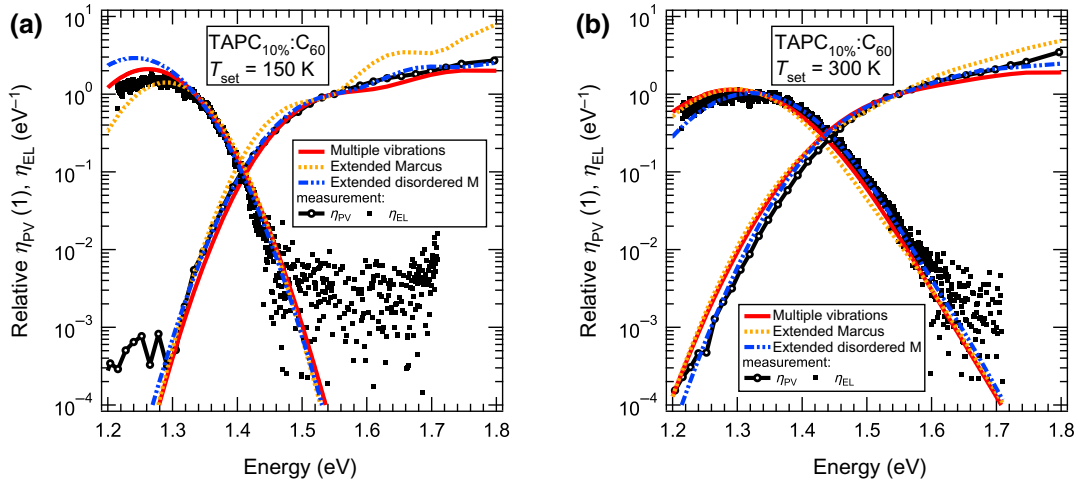


FIG. 4. Exemplary model fits for (a) $T_{\text{set}} = 150$ K and (b) 300 K for the solar cell with 10-wt% TAPC content. We find the best combined agreement for η_{PV} and η_{EL} at all temperatures with the *multiple vibrations model*. The *extended Marcus model* would require a defined substructure of additional absorption lines, separated by the characteristic vibrations energy Λ_{vibr} . Respective peaks are not found at low temperatures, which could be explained by the *extended disordered Marcus model*; however, it would require the η_{EL} peak shifting at low temperatures, which is not observed experimentally.

limit ($\Lambda_{\text{vibr}} = 150$ meV) of the values reported for the carbon-carbon stretching mode.

Figure 4 shows measured reduced η_{PV} and η_{EL} spectra of TAPC_{10%}:C₆₀ at $T = 150$ and 300 K and respective fitted curves for the *multiple vibrations*, *extended Marcus*, and *extended disordered Marcus models*. The best agreement between data and model is found for the *multiple vibrations model*, while the others may properly fit the spectra at room temperature and their respective tail regions, yet lead to characteristic differences especially at lower temperatures. A more detailed discussion is given in Sec. IV.

Some values of the fitted CT energy E_{CT} , reorganization energy λ_R , and the low-energy vibration (Λ_2 and S_2) are listed in Table III, with the complete sets of parameters in Table S3 of the Supplemental Material [42]. We focus here on the more elaborate *multiple vibrations*, *extended Marcus*, and *extended disordered Marcus models*. The latter

two models imply a more than 100 meV higher CT state energy E_{CT} and a lower reorganization energy λ_R of the CT state than the former. Between the different donor concentrations in TAPC:C₆₀ blends, we find a low-energy vibration of around 13 meV and a variation in the related Poisson distribution moment S_2 .

To add further plausibility to the numerically obtained model parameters, we turned to the total EL quantum yield η_{LED} , which can be estimated by integration of measured and predicted η_{EL} spectra. We find good agreement for the models without static energetic disorder, while the calculated η_{LED} in the *extended disordered model* becomes significantly larger than measured values at low temperatures (see Fig. S8 of the Supplemental Material [42]). In addition, we measured V_{OC} for a range of temperatures and increasing illumination intensity G ; values and analysis are discussed in Sec. 6 of the Supplemental Material [42]. Regardless of the intensity, $V_{\text{OC}}(T)$ converges to a

TABLE III. Extrapolated open-circuit voltage limit $V_{\text{OC}}^{0\text{K}}$ and fitted parameters for the multiple vibrations (MV), extended Marcus (EM), and extended disordered Marcus (EDM) models. We systematically find E_{CT} to be at least 100 meV higher in the extended Marcus and extended disordered Marcus models, in correspondence with a significant lower reorganization energy.

	E_{CT} (eV)			λ_R (meV)			Λ_2 (meV)	S_2
	EM	EDM	MV	EM	EDM	MV	MV	
TAPC _{5%} :C ₆₀	1.52	1.48	1.38	67	68	93	13.7	2.3
		$qV_{\text{OC}}^{0\text{K}} = 1.38$ eV						
TAPC _{10%} :C ₆₀	1.47	1.47	1.33	71	73	114	12.5	8.9
		$qV_{\text{OC}}^{0\text{K}} = 1.32$ eV						
TCTA _{10%} :C ₆₀	1.45	1.60	1.34	51	62	132	7.1	18.2
		$qV_{\text{OC}}^{0\text{K}} = 1.47$ eV						

fixed limit when extrapolated to $T \rightarrow 0$ K. The limit qV_{OC}^{0K} , shown in Table III, should equal E_{CT} in the case of OSCs [10], thus providing us with a supplementary measurement to validate the model parameters.

IV. DISCUSSION

The agreement between T_{set} and T_{valid} for TAPC_{10%}:C₆₀ over the temperature range between 125 and 300 K implies the validity of the electro-optical reciprocity relation between η_{PV} and η_{EL} , as T_{valid} is obtained by strictly comparing measured spectra taken at T_{set} . However, we find this correlation to be violated for the other solar cells investigated in this study. If the reciprocity relation remained valid as expected, we would conclude that T_{set} , the temperature of the heat reservoir, differs from the actual device temperature either during the η_{PV} or η_{EL} measurement, or both. On the one hand, a lower solar cell temperature while recording η_{PV} is unlikely as we always decreased T_{set} during the experiment and no additional cooling mechanisms are present. On the other hand, we always find that $T_{valid} > T_{set}$, which leads us to conclude that the solar cell temperature increases during the η_{EL} measurements: T_{valid} increases with higher injection currents during the EL experiment. In this case, perfect agreement between T_{valid} and T_{set} is found only for injection current densities $J_{inj} < 10$ mA cm⁻² (see Fig. S2 of the Supplemental Material [42]). Because of the design of the experiment, in which we recorded consecutive η_{EL} spectra for increasing J_{inj} at each temperature T_{set} before cooling down to the next temperature step, we can safely assume that the solar cells have reached thermal equilibrium with the reservoir before we injected charge carriers. The most likely explanation for this behavior is additional heating of the whole device induced by electric transport and shunt currents, as suspected previously [10].

The reported discrepancy between hole and electron mobilities in diluted donor:C₆₀ OSCs might lead to accumulation of space charges in devices at lower temperatures, which would violate a basic assumption of the reciprocity relation. This effect should be less pronounced in TAPC_{10%}:C₆₀ due to its higher hole mobility at room temperature compared to the other devices [41]. However, it was shown that unbalanced mobilities have a negligible influence on reciprocity in thin OSCs [29]. Because of the active layer thickness of only 50 nm, and the apparently unaffected reciprocity at 300 K and under lower injection conditions in 5-wt% TAPC, space charges seem unlikely as the main cause of the temperature difference. At the same time, a lower mobility implies lower conductivity and increased Joule heating.

We applied the common method of fitting reduced Gaussian distributions to subbandgap η_{PV} or η_{EL} tails to determine energetic CT state parameters E_{CT} , σ_{CT} , and λ_R according to the *disordered Marcus model* (Table II).

Even though we used a linear regression method instead of nonlinear fitting to reduce the uncertainties related to manual adjustment of fit ranges and starting values [18], the extracted parameter values from individual η_{PV} and η_{EL} analyses are inconsistent, or outright nonphysical within the model.

The systematic discrepancy between apparent CT state linewidths in emission and absorption leads us to the conclusion that reduced η_{PV} and η_{EL} distributions are in fact asymmetrical. This rules out the *simple Marcus* and *disordered Marcus models*, both predicting symmetry, to describe emission and absorption by CT states. Instead, the experimental observations are compatible with the *multiple vibrations model*. As was described before [18], the Poisson distributions attributed with low-energy vibrations merge into reduced Gaussians at high temperatures. Yet, if incorrectly interpreted as Gaussians, the extracted parameters could be misleading, and may even implicate apparent negative widths σ_{CT} of the assumed static disordered E_{CT} distributions.

To analyze our measured data with the *multiple vibrations model*, we reconstructed the measured η_{PV} and η_{EL} spectra for all temperatures simultaneously by a Levenberg-Marquard fitting algorithm (Fig. 4). A similar fit featuring the *extended Marcus* and *extended disordered Marcus models* resulted in further arguments that these two models do describe the physics of the investigated devices. The distinct substructure of higher energetic molecular vibration peaks at low temperatures could not be replicated in the measured, comparably smooth η_{PV} spectra. To compensate the missing substructure, static energetic disorder would be required; however, the static disorder models postulate a significant η_{EL} peak shift below the low-energy edge of the fitting range at lower temperatures. This leads to increasing peak amplitudes as well as fit residuals in this region, and the estimated η_{LED} values correspondingly deviate from the integrated measured η_{EL} spectra at lower temperatures. While we cannot provide measured emission data in this region to back up the prediction, we suspect this fitting behavior to solely be a numerical compensation for a temperature-dependent peak shift required by the model, which is not present in the experimental data. Either way, both models fail to reconstruct measured spectral data as a whole, which raises further doubt about their validity.

The *multiple vibrations model* yields a much more reasonable reconstruction of the experimental spectra. We even find agreement between the values of measured and reconstructed integrated η_{LED} (see Fig. S8 of the Supplemental Material [42]), and within the extracted parameter quantities for the donor material TAPC in different dilutions (Table III).

The choice of the model to analyze measured data obviously has an effect on the extracted parameters values, and we do not have to look further than the excited CT state energy E_{CT} to see the importance of the model

choice. In most discussed models, E_{CT} is determined by the η_{PV} 's (0–0) peak position and the reorganization energy λ_R (values given in Table S3 of the Supplemental Material [42]). This corresponds to the intersection between reduced normalized emission and absorption peaks [10] if static energetic disorder is not present; otherwise, we would see a temperature-dependent emission peak shift as discussed earlier.

In the *multiple vibrations model*, the absorption peak center is determined by the moment of the Poisson distribution of vibrational states with frequency Λ_2 , and thus exceeds $E_{CT} + \lambda_R$. Accordingly, the reconstructed values for E_{CT} are here lower than in the other investigated models. When compared to V_{OC} extrapolated to 0 K, we find them best approximated with the *multiple vibrations model*'s lower E_{CT} values in the case of TAPC:C₆₀ blends (see Fig. 5). In contrast, the static disorder models (*disordered Marcus* and *extended disordered Marcus models*) overestimate the limit by more than 100 meV (Table III).

Here, we want to highlight that the most trusted results of our analysis are gathered from the TAPC_{10%}:C₆₀ device, as this is the one that has been measured at the correct emission temperature, i.e., at $T_{valid} = T_{set}$. In all other devices, we are able to at least estimate η_{EL} temperatures from the reciprocity relation, which we are convinced is much closer to the real device temperature than the reservoir temperature T_{set} for the reasons discussed earlier. Differences in the extracted parameters, especially between the diluted TAPC solar cells, which only vary in their donor concentration and therefore should not differ a lot in their fundamental energetic properties, may partly be attributed to this temperature uncertainty. From

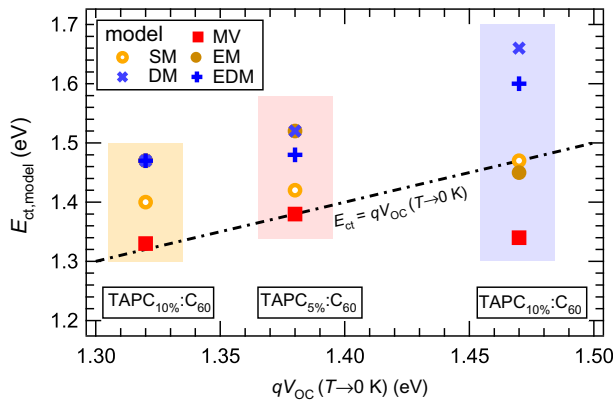


FIG. 5. Fitted energies $E_{ct,model}$ of the CT state according to the simple (SM), extended (EM), disordered (DM), and extended disordered Marcus model (EDM), and the multiple vibrations model (MV), compared to the extrapolated open circuit voltage limit V_{OC} ($T \rightarrow 0$ K). The black line represents identity, which is best approximated by the multiple vibrations model for TAPC:C₆₀ blends. Models which include static energetic disorder (DM, EDM) overestimate E_{CT} .

the η_{PV} and η_{EL} spectra alone, we find that E_{CT} , λ_R , and S_2 are strongly correlated, in a way that the E_{CT} difference between the cells with 5-wt % and 10-wt % TAPC concentration is compensated by the difference in λ_R and S_2 , while Λ_2 remains almost constant. To verify the parameters even further, additional experimental methods to access Λ_2 and E_{CT} would be necessary.

V. CONCLUSION

We measure the η_{PV} and η_{EL} properties of diluted donor-acceptor solar cell model systems and test currently discussed theoretical models concerning the temperature behavior of CT state emission and absorption. The OSCs nonetheless show substantial linewidth contributions independent of temperature (Table II), which are comparable to reported values from state-of-the-art solar cells extracted by either temperature-dependent absorption or emission spectroscopy [11,12,18,32].

We apply the electro-optical reciprocity relation between η_{PV} and η_{EL} spectra as a method to validate and correct the emission temperature of the devices. By showing that validated temperatures generally comply with expected temperatures, we confirm the validity of the reciprocity relation for this set of devices. The validated temperature can be several 10 K higher than assumed from the set temperature under different experimental circumstances, such as higher injection current densities during EL measurements. We attribute this discrepancy to additional current-induced heating of the devices, and recommend carefully verifying the emission temperature during EL experiments.

We are missing experimental evidence for a η_{EL} peak shift and find no symmetry between absorption and emission spectra—both predicted by Gaussian *static disorder models*. Together with their inability to reconstruct measured data and estimated values for η_{LED} , we have reasonable doubt about the general applicability of these models to describe CT state absorption and emission. Non-Gaussian distributions of static disorder might be considered instead if they predict both details and the general spectral shape correctly.

Our measured spectra are indeed better described by a *multiple vibrations model* with two characteristic frequencies of around 150 and 13 meV for TAPC:C₆₀, and 7 meV for TCTA:C₆₀. Higher energy modes above 30 meV have been reported for C₆₀ [18]. Because we found similar frequencies for TAPC, and a different one for TCTA, it is a likely scenario that the low-frequency vibration is associated with the donor. As a consequence, we find the excited CT state energy to be significantly smaller than suggested by previous analyses of TAPC and TCTA:C₆₀ blends [16]. We validated the *multiple vibrations model* further by showing agreement between the extrapolated

open-circuit voltage limit at $T = 0$ K and extracted values for E_{CT} for TAPC:C₆₀ devices.

In conclusion, while static energetic disorder in combination with the *multiple vibrations model* might play a role in different donor:acceptor blends, dynamic disorder has to be addressed in all organic solar cell systems as a dominant mechanism.

VI. EXPERIMENTAL SECTION

A. Materials

We purchased 1,1-bis[4-(N,N-di-*p*-tolylamino) phenyl]-cyclohexane (TAPC) and 4,4',4''-tris(carbazol-9-yl) triphenylamine (TCTA) from Sensient Technologies Corporation (USA); C₆₀ from CreaPhys GmbH (Germany) and Luminescence Technology Corp. (Lumtec, Taiwan); 4,7-diphenyl-1,10-phenanthroline (BPhen) from Abcr GmbH (Germany) and Luminescence Technology Corp.; molybdenum trioxide from Luminescence Technology Corp.

B. Device fabrication

The devices studied in this work are fabricated layer by layer through thermal coevaporation in a ultrahigh vacuum chamber (K. J. Lesker, UK) that has typical operating pressure of 10^{-7} mbar. All of the involved organic molecules are thermally sublimated before evaporation. Substrates are glass substrates (size 25×25 mm²) with prepatterned indium tin oxide (ITO; Thin film Devices, US). The ITO is 90 nm thick with a sheet resistance of $25 \Omega \text{ cm}^{-2}$, with 84% transparency. ITO is covered with 2-nm-thick hole transport layer of molybdenum trioxide. The coevaporated donor-acceptor blends of TAPC:C₆₀ and TCTA:C₆₀ with weight ratios of 5:95 and 10:90 are further covered with an 8-nm-thick layer of the electron transport material BPhen and a 100 nm aluminum top contact. The active area of the device is defined by the intersection of the structured ITO electrode and the structured opaque aluminum top contact and amounts to 6.44 mm². Before device fabrication, substrates are cleaned via the following procedure: coarse cleaning by detergent; rinsing with deionized water; sequentially dipping into *N*-methyl-2-pyrrolidone, acetone, ethanol for ultrasonic bath for 8 min for each solvent; rinsing with deionized water; after drying up, oxygen plasma (Priz Optics, Germany) treatment for 10 min. After fabrication in an ultrahigh vacuum, devices are transferred into a nitrogen filled glovebox. As the final step, all devices are encapsulated with a small glass lid to prevent moisture and oxygen induced degradation during device characterization in ambient air. The transparent glass lid is glued by UV-light-curing epoxy resin (XNR 5592, Nagase ChemteX, Japan) that is exposed with UV light for 196 s.

C. External quantum efficiency

η_{PV} is measured inside a closed-cycle cryostat (Cryovac) with helium as the contact gas. The monochromatic light source consists of a mechanically chopped 100 W quartz-tungsten-halogen lamp coupled to a double monochromator with additional optical bandpass filters (Quantum Design Europe MSHD-300) for further stray light reduction. The photocurrent, measured under short-circuit conditions and without bias illumination, is amplified and converted to a voltage signal by a trans-impedance amplifier (Zurich Instruments HF2TA) and measured with a lock-in amplifier (Zurich Instruments HF2LI). A small fraction of the monochromatic light is directed onto a calibrated two-color photodiode (Hamamatsu K1718-B) to record reference excitation fluxes with an additional lock-in amplifier (Stanford Research DSP830).

D. Electroluminescence

η_{EL} spectra are recorded with a 500 mm spectrograph (Princeton Instruments Acton 500i) and a liquid nitrogen-cooled silicon CCD camera (Princeton Instruments Spec-10:100). The constant EL driving current of 155 mA cm^{-2} is provided by a source-measure unit (Keithley 2368B).

E. Open-circuit voltage

A frequency doubled Nd:YAG-LASER (Spectra Physics Millennia Pro) with optical output power of 1 W is used to illuminate OSCs inside the custom-build cryostat. The incident irradiance is gradually increased over 10 orders of magnitude by passing two motorized neutral-density filter wheels (Thorlabs FW102C and FW212C); V_{OC} of the illuminated device is measured with a source-measure unit (Keithley 2368B). A mechanical shutter, triggered by the source-measure unit, limits the illumination time of the devices.

ACKNOWLEDGMENTS

We acknowledge funding by the DFG (project DE830/19-1). M.S. received funding from the European Union's Horizon 2020 research and innovation program under the Marie Skłodowska-Curie Grant Agreement No. 722651 (SEPOMO). J.B. acknowledges the Sächsische Aufbaubank through project No. 100325708 (Infrakart). We want to thank Lukasz Baisinger (IAPP Dresden) for his support during device fabrication and initial characterization.

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