Experimental estimation of the Lorenz number and scattering parameter for *p*-type bismuth antimony telluride via multiple doping under constant temperature conditions

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The effects of the addition of lead as one of the multiple dopants in p-type  $Bi_{0.3-x}Sb_{1.7}Te_{3.0+0.01}Pb_x$  (x = 0, 0.001, 0.002, 0.003) fabricated by mechanical alloying followed by hot pressing were investigated. Measurements by X-ray diffraction (XRD), differential thermal analysis (DTA), and scanning electron microscopy (SEM) showed the same matrix morphology. The second phase by doped elements was not confirmed by transmission electron microscopy (TEM). By using the lead addition results and previously studied tellurium doping effects, the Lorenz number L was evaluated to be  $0.73-1.18 \times 10^{-8}$  W S<sup>-1</sup> K<sup>-2</sup>. The scattering parameter y and reduced Fermi energy  $\eta$ were estimated by using expressions on the basis of a one-electron approximation, measured Seebeck coefficients, and the estimated L at room temperature. The  $\gamma$  ranged approximately from -1.06 to -0.60 and showed a mutual effect of acoustic and optical phonon scattering. The relationship between a dimensionless figure of merit ZT and  $\eta$ was clarified. The optimum  $\eta$  was determined as -1.25 at ZT = 1.26. From these results, multi-doped Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> could be applied to evaluate L,  $\gamma$ , and  $\eta$  at a constant temperature.

Keywords: Electrical properties; Thermal conductivity; Hot pressing; Thermoelectric materials

# 1. Introduction

Thermoelectric materials can convert thermal energy into electricity directly and vice versa by the Seebeck effect and Peltier effect respectively, with potential applications such as power generation and solid-state cooling. Thermoelectric devices consisting of such materials have many advantages, such as a lack of mechanically moving parts, high reliability, lightness, and environmental friendliness [1,2]. However, thermoelectric technology is currently limited to niche applications due to moderate efficiency and a relatively high cost [3]. The development of methods for improving thermoelectric performance and understanding of its improved mechanism are therefore still the central aim of much research. Various thermoelectric materials, such as Bi<sub>2</sub>Te<sub>3</sub> [4–6], PbTe [7,8], metal oxides [9,10], half-Heusler [11,12], Zintl phases [13,14], etc. have been studied to improve their thermoelectric performance in recent years.

The thermoelectric performance of the materials is evaluated by a dimensionless figure of merit *ZT*:

$$ZT = \frac{\alpha^2 \sigma}{\kappa} T \quad (1)$$

where  $\alpha$  is the Seebeck coefficient (V K<sup>-1</sup>),  $\sigma$  is the electrical conductivity (S m<sup>-1</sup>),  $\kappa$  is the thermal conductivity (W m<sup>-1</sup> K<sup>-1</sup>), and *T* is the absolute temperature (K) [15]. The thermal conductivity  $\kappa$  generally includes phonon and carrier contributions:

# $\kappa = \kappa_{\text{phonon}} + \kappa_{\text{carrier}} = \kappa_{\text{phonon}} + L\sigma T.$ (2)

The carrier contribution,  $\kappa_{carrier}$ , is given by the Wiedemann-Franz law, where  $\kappa_{phonon}$  is the phonon contribution and *L* is the Lorenz number [16]. The Lorenz number *L* is given by the following expression on the basis of a one-electron approximation or single parabolic band model [17,18]:

$$L = \left(\frac{k_B}{e}\right)^2 \left\{ \frac{(1+\gamma)(3+\gamma)F_{\gamma}(\eta)F_{\gamma+2}(\eta) - (2+\gamma)^2F_{\gamma+1}(\eta)^2}{(1+\gamma)^2F_{\gamma}(\eta)^2} \right\}$$
(3)

where  $\eta$  is the reduced Fermi energy (=  $E_F/k_BT$ ,  $E_F$ : Fermi energy,  $k_B$ : Boltzmann's constant),  $\gamma$  is the scattering parameter, and  $F_{\gamma}(\eta)$  is the Fermi integral. The transport properties of a conductor including thermoelectric materials depend not only on the concentration of the charge carrier but also on the mean free path length between collisions. The scattering parameter is representative of collision effects. The scattering parameter is an important parameter for understanding thermoelectric properties. The reduced Fermi energy  $\eta$  is usually found from the experimental values of the Seebeck coefficient as follows [17,18]:

$$\alpha = \pm \frac{k_B}{e} \left( \frac{(2+\gamma)F_{\gamma+1}(\eta)}{(1+\gamma)F_{\gamma}(\eta)} - \eta \right).$$
(4)

The Fermi integral is defined by

$$F_{\gamma}(\eta) = \int_0^\infty \frac{\epsilon^{\gamma} d\epsilon}{1 + \exp(\epsilon - \eta)}$$
(5)

where  $\varepsilon$  is the electronic energy (eV). The scattering parameter  $\gamma$  is identified for several

scattering mechanisms, i.e., the parameter  $\gamma = 0.5$  for neutral impurity scattering,  $\gamma = 0$  for acoustic phonon scattering, and  $\gamma = -1.0$  for both acoustic and optical phonon scattering [19–22]. These thermoelectric properties of Eqs. (1)–(5) strongly depend on the temperature.

For room-temperature applications,  $Bi_2Te_3$ -based materials have received increasing attention due to their high thermoelectric performance near room temperature [23]. They have a rhombohedral crystal structure with the  $R\bar{3}m$  space group. Their thermoelectric properties, especially their electrical and thermal conductivities, and physical properties are significantly anisotropic [24]. Mechanical alloying (MA) followed by hot pressing (HP) is one of the preparation methods for polycrystalline  $Bi_2Te_3$  materials that is widely studied [25–27]. MA is a high-energy powder processing method that has a grain-refining effect via grain boundary scattering. The sintered compacts obtained via the MA-HP process have random crystal orientations and refined structures, which decrease the phonon thermal conductivity [25].

Recently, a huge variety of strategies have been used to improve the thermoelectric performance of Bi<sub>2</sub>Te<sub>3</sub> materials, such as doping with various elements [28–34], dispersing nanomaterials that dramatically reduce the thermal conductivity [35–38], and introducing processing techniques such as annealing, two-step sintering, and hydrogen

reduction treatment [39–42]. In a previous study, the optimal Bi-Sb ratio for undoped Bi<sub>2</sub>Te<sub>3</sub>-Sb<sub>2</sub>Te<sub>3</sub> solid solution produced by the MA-HP process was determined to be Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> [43], which differs from the best composition of the sample produced by established melt growth methods, such as zone melting of the Bridgman method, namely, Bi<sub>0.5</sub>Sb<sub>1.5</sub>Te<sub>3.0</sub> [44]. In addition, the importance of the milling vessel material became apparent by comparing the thermoelectric properties of Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> fabricated with YSZ ceramic (yttria-stabilized zirconia) milling vessels and stainless-steel metal vessels. Ceramic milling vessels suppressed contamination from milling vessels and improved the thermoelectric performance by 15% compared with conventional stainless-steel vessels [45]. Furthermore, by adding small excess tellurium, the thermoelectric performance of bismuth antimony telluride was improved and reached the maximum ZT = 1.30 at room temperature when the composition was  $Bi_{0.3}Sb_{1.7}Te_{3.01}$ . Tellurium doping did not affect the matrix morphology and gave constant phonon thermal conductivity and an improved power factor due to the small content of the dopant [28]. On the other hand, as the tellurium solid solubility limit was quite narrow, the variation ranges of the electrical conductivity and Seebeck coefficient were small. Controlling the electrical properties in a wider range is crucial not only to improve thermoelectric performance at room temperature but also to produce thermoelectric materials working at mid-temperature [46,47]. Since lead is a group 14 element close to bismuth, which is a group 15 element, lead is a typical element that is added as a single dopant into *p*-type bismuth telluride [30,31]. Therefore, we added additional lead, which works as an effective acceptor, into  $Bi_{0.3}Sb_{1.7}Te_{3.0+0.01}$  to vary the electrical properties in a wider range.

Although multiple doping was implemented for *n*-type bismuth telluride to improve ZT and to optimize the carrier concentration, the effect of multiple doping and variation range of the electrical properties were almost the same as single doping [48]. However, the multiple doping effect for *p*-type Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> fabricated by the MA-HP process with ceramic milling vessels has not been investigated previously. The present study was undertaken to investigate the effect of adding lead as one of the multiple dopants in  $Bi_{0.3}Sb_{1.7}Te_{3.0+0.01}$ . In addition, the Lorenz number L was estimated from Eq. (2) by using the doping results. The Lorenz number L is an important factor to evaluate the phonon thermal conductivity and was generally assumed to be  $1.6-2.45 \times 10^{-8}$  W S<sup>-1</sup>  $K^{-2}$  [31,43,49,50]. In the present study, the Lorenz number L was empirically estimated by using the relationship of thermal and electrical conductivities under constant  $\kappa_{phonon}$ from Eq. (2), which differed from the reported method with measuring the temperature dependence of thermoelectric parameters [51,52]. Finally, the scattering parameter  $\gamma$  and reduced Fermi energy  $\eta$  were estimated from Eqs. (3)–(5) by using the measured Seebeck coefficients and estimated Lorenz number under constant temperature. A series of investigations for Bi<sub>2</sub>Te<sub>3</sub> were conducted by evaluating the carrier concentration [5,31,34], while there are few reports that evaluate Bi<sub>2</sub>Te<sub>3</sub>-based materials from the perspective of the scattering parameter  $\gamma$  [22]. Furthermore, the scattering parameter  $\gamma$  of the sample fabricated by the MA-HP process with ceramic vessels has not been investigated. This is because each thermoelectric parameter highly depends on temperature, and these values lack reliability. Thus, the Lorenz number, scattering parameter, and reduced Fermi energy near the maximum *ZT* of Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> fabricated by the MA-HP process were clarified by evaluating those under constant temperature conditions.

#### 2. Experimental

High-purity bismuth (99.999%), antimony (99.9999%), tellurium (99.9999%), and lead (99.99%) purchased from Kojundo Chemical Laboratory Co., Ltd., Saitama, Japan were weighed to give various stoichiometries of  $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$  (x = 0-0.003) and Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0+y</sub> (y = 0-0.0085). The elements were loaded into YSZ milling vessels with YSZ balls with a diameter of 25 mm. The weight ratio of the balls to the raw elements was 20:1. The vessels were sealed in a glove box under an argon environment. The raw elements were powdered and alloyed by dry milling with a Fritsch P-5 planetary ball mill at a rotational speed of 150 rpm for 30 h. The milled powder was sieved with a 150 µm diameter sieve. It was confirmed that no raw material remained. The powder was sintered by hot pressing at 350°C under a uniaxial pressure of 147 MPa. The sintered bulk consisted of a cylinder with a height of 9 mm and a diameter of 10 mm. The bulk was cut into disks with a thickness of 1.0 mm and a diameter of 10 mm. The disks cut from both ends of the cylinder were discarded because it has been reported that these portions contain (00l) texture formation [53,54].

The phase structures in the out-of-plane direction of sintered disks with x = 0 and 0.003 were examined by X-ray diffraction (XRD; Rigaku SmartLab) with Cu K $\alpha$  radiation. All disks were examined in the Bragg angle range  $2\theta = 10^{\circ}-110^{\circ}$ , with a step size of  $0.1^{\circ}$  and step speed of 5.0 s per step. The orientation factor *F* of each disk was calculated by using the Lotgering method to confirm isotropy. *F* is expressed by the following equations [55]:

$$F = \frac{P - P_0}{1 - P_0} \quad (6)$$

$$P = \frac{\sum I(00l)}{\sum I(hkl)} \quad (7)$$

$$P_0 = \frac{\sum I_0(00l)}{\sum I_0(hkl)} \quad (8)$$

where  $\Sigma I(00I)$  and  $\Sigma I(hkl)$  are the sum of the (00I) and (hkl) reflection intensities, respectively. *P* is the ratio of  $\Sigma I(00I)$  and  $\Sigma I(hkl)$ , which shows the observed degree of orientation for the obtained disk. *P*<sub>0</sub> is the same ratio for powder with random orientation. Composites with *F* = 0 have no oriented texture, while grains are fully oriented when *F* = 1 [43]. *P*<sub>0</sub> = 0.0486 was estimated from standard peaks of Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> (Inorganic Crystal Structure Database (ICSD) #184248) [56]. The densities of the sintered disks with *x* = 0, 0.003 and *y* = 0, 0.006 were measured by using Archimedes' method at room temperature. The accuracy of the densities was within ±1%.

Differential thermal analysis (DTA; Hitachi TG/DTA6300) was conducted for the ground disks with x = 0 and 0.003 to confirm the existence of elemental Te or alloyed PbTe. DTA was performed in the temperature range of 30–950°C at a constant heating

rate of 10°C min<sup>-1</sup> under Ar gas flow.

The cross-sectional microstructures and the in-plane fracture surfaces of the sintered disk with x = 0 and 0.003 were investigated using scanning electron microscopy (SEM; JEOL, JSM-6510A) to confirm that no variation in morphology occurred due to the addition of tellurium and lead dopants. To confirm whether the second phase was formed by elemental doping, the elemental dispersions in cross-sections of the sintered disk with x = 0.003 were investigated by transmission electron microscopy (TEM; JEOL, JEM-2100F).

The thermoelectric properties (the Seebeck coefficient, electrical conductivity, and thermal conductivity) at room temperature of all the sintered disks were determined using laboratory-constructed systems. The dimensionless figure of merit, *ZT*, at room temperature (27°C) was estimated using Eq. (1). The Seebeck coefficients were measured by the thermal contact method [57]. The standard material SRM3451 was used to confirm that the measurement accuracy was within  $\pm 2\%$  [58]. The electrical conductivities were measured by the four-point probe method using a delta mode electrical resistance system based on a 2182A/6220 instrument (Keithley Instruments, Inc.). The probe was made of tungsten carbide and 1.00 mm in diameter. All measurements made using the system were confirmed by ohmic contact. The accuracy

of the measured electrical conductivity was within  $\pm 1\%$  [59]. The thermal conductivities were measured under a 1 Pa vacuum by using a static comparison method [48,60]. A quartz disk with a diameter of 10 mm and a thickness of 1.0 mm was used as standard material ( $\kappa = 1.411$  W m<sup>-1</sup> K<sup>-1</sup>). The accuracy of the thermal conductivity was within  $\pm 1\%$ .

#### 3. Results and Discussion

All sintered samples were *p*-type semiconductors according to the measured Seebeck coefficient. Figure 1 shows XRD patterns of the sintered samples with x = 0 and 0.003. Each peak of both samples corresponded with the standard peaks of Bi0.3Sb1.7Te3.0 (Inorganic Crystal Structure Database (ICSD) #184248), and no other decomposed or precipitated phases, such as pure lead or lead telluride, were detected [56]. The estimated F values by using Eqs. (6)–(8) for x = 0 and 0.003 were 0.046 and 0.036, respectively, which indicated that preferred orientation in the (001) direction was not observed for the resulting samples [61]. These results indicated that the additive lead and tellurium had no effects on the XRD pattern of Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> and the orientation of the (001) planes. Table 1 shows the measured absolute density and relative density. The theoretical density value of  $6.73 \times 10^3$  kg m<sup>-3</sup> for Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> was used for all of the measured samples due to a sufficiently small amount of dopants [56]. The relative densities of all measured samples exceeded 99%, and no difference was confirmed.



Diffraction angle,  $2\theta$ / deg

Fig. 1 XRD patterns ( $2\theta = 10-110^{\circ}$ ) of Bi<sub>0.3-x</sub>Sb<sub>1.7</sub>Te<sub>3.01</sub>Pb<sub>x</sub> (x = 0, 0.003) sintered disks prepared by MA-HP and Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> main indices [56]. The standard peaks correspond to Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> (ICSD #184248).

Composition $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$ $Bi_{0.3}Sb_{1.7}Te_{3.0+y}$	Absolute density [×10 <sup>3</sup> kg m <sup>-3</sup> ]	Relative density [%]
x = 0	$6.67\pm0.07$	99.1 ± 1
x = 0.003	$6.70\pm0.07$	$99.5\pm1$
y = 0	$6.69\pm0.07$	$99.4\pm1$
<i>y</i> = 0.006	$6.67\pm0.07$	<b>99.</b> 1 ± 1

Table 1 Absolute and relative densities of Bi<sub>0.3-x</sub>Sb<sub>1.7</sub>Te<sub>3.01</sub>Pb<sub>x</sub> and Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0+y</sub>.

Theoretical density  $6.73\times 10^3~kg~m^{-3}$  for  $Bi_{0.3}Sb_{1.7}Te_{3.0}~[56]$ 

Figure 2 shows the DTA results for the samples with x = 0 and 0.003. The endothermic point at approximately 600°C corresponds to the melting point of Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub>. The endothermic peak due to the melting of pure lead (327°C) or lead telluride (924°C) was not detected. The additive lead did not affect the DTA result. This may be because the additive lead content of the sample was sufficiently small and dissolved in the matrix.



Fig. 2 DTA curves for pestle-ground, sintered disks of  $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$  (x = 0 and 0.003) prepared by MA-HP.

Figure 3 (a) and (b) show the cross-sectional SEM micrographs for x = 0 and 0.003, respectively. Both of the samples were dense and homogeneous throughout the sample. An obvious difference in the matrix morphology was not observed. Figure 3 (c) and (d) show SEM micrographs of the fracture surfaces of the samples with x = 0 and 0.003, respectively. An obvious difference in the grain size was not observed. The grain size of both samples was almost the same and approximately 1  $\mu$ m. The results show that the additive lead had no effect on grain growth.



Fig. 3 SEM micrographs of the cross-section of sintered disks with (a) x = 0 and (b) x = 0.003. SEM micrographs of the fracture surface of sintered disks with (c) x = 0 and (d) x = 0.003.

Figure 4 (a) shows the STEM micrograph of the sample with x = 0.003. The grain size is approximately 1 µm, which corresponds to the fracture surface observation by SEM. Figure 4 (b)–(e) show the elemental distributions of Sb, Te, Bi, and Pb. Each element was distributed homogeneously, and the second phase was not observed. From these results, the obtained  $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$  with x = 0-0.003 has the same morphology due to the small contents of dopants. In addition, it was also reported in the literature that the phonon thermal conductivity is not changed at a small level addition of lead [30,31]. Therefore, it was expected that the phonon thermal conductivity was almost constant.



Fig. 4 (a) A STEM micrograph of the cross-section of the sintered disk with x = 0.003 and the elemental distributions of (b) Sb, (c) Te, (d) Bi, and (e) Pb determined by EDS.

Figure 5 (a) shows the relationship between the Seebeck coefficient at room temperature

and the additive lead content *x*. All of the measured Seebeck coefficients were positive, which indicated that they were *p*-type semiconductors. The Seebeck coefficient decreased monotonically with increasing additive lead content. Figure 5 (b) shows the relationship between the electrical conductivity at room temperature and the additive lead content. The electrical conductivity increased monotonically with increasing additive lead content. The electrical conductivity increased monotonically with increasing additive lead content. The electrical conductivity increased monotonically with increasing additive lead content. The trend of the variation in the electrical conductivity was opposite to that of the Seebeck coefficient, which indicated that the additive lead acted as a hole carrier dopant. The results showed that the variation range in electrical properties became wider by adding lead as an additional dopant, compared with only tellurium doping [28,62]; however, the lead added as one of multiple dopants performed the same function as when added as a single dopant [31], and the specific effect of multiple doping was not confirmed.

Figure 5 (c) shows the relationship between the thermal conductivity at room temperature and the additive lead content. The phonon and carrier thermal conductivities were estimated by using Eq. (2). The Lorenz number L was temporarily assumed to be the standard Lorenz number  $L_0$  for metals as

$$L_0 = \frac{\kappa_{\text{carrier}}}{\sigma T} = \frac{\pi^2}{3} \frac{k_{\text{B}}^2}{e^2}.$$
 (9)

The numerical value of  $L_0$  is approximately 2.45  $\times$  10<sup>-8</sup> W S<sup>-1</sup> K<sup>-2</sup> [60]. The total

thermal conductivity increased with increasing lead addition. The greater part of the difference in the total thermal conductivities is due to carrier-induced thermal conductivity. The phonon thermal conductivity decreased with increasing lead addition. The result does not correspond to the fact that the additional lead dissolved in the matrix did not affect grain growth, as shown in DTA and SEM observations. It was considered that the used Lorenz number ( $L_0 = 2.45 \times 10^{-8} \text{ W S}^{-1} \text{ K}^2$ ) was overestimated, which led to the mismatch between the prediction and the results in phonon thermal conductivities. Figure 5 (d) shows the relationship between the dimensionless figure of merit ZT at room temperature and the additive lead content. At x = 0, the maximum ZT value of 1.26 was obtained and ZT decreased with increasing additive lead content. At  $0.001 \le x$  $\leq$  0.003, ZT varied from 1.18 to 1.09. The deteriorated ZT was attributed to the overbalance of carrier concentration for the thermoelectric material intended for room temperature.

These results show that multiple doping of lead in  $Bi_{0.3}Sb_{1.7}Te_{3.01}$  is an effective way to control the carrier concentration without variation of the condition of the BiSbTe matrix, such as the crystal structure and grain size.



Fig. 5 The relationships between the room-temperature thermoelectric properties and the amount of additive lead (*x*) for  $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$ . (a) Seebeck coefficient, (b) electrical conductivity, (c) thermal conductivity, and (d) dimensionless figure of merit (*ZT*).

In the present study, the same matrix conditions were observed due to the small amount of dopants. In addition, it was reported by other groups that the additive lead less than 0.1 at% or additive tellurium less than 8 at% had no effect on phonon thermal conductivity and resulted in almost the same value of  $\kappa_{phonon}$  [30,31,39]. Therefore, we assumed that the phonon thermal conductivity in this study could be regarded as a constant value. Furthermore, it was also confirmed in a previous study that additive tellurium less than 0.2 at% does not affect matrix grain growth or phonon thermal conductivity [28]. From these results, we considered that the Lorenz number L could be evaluated by using Eq. (2) and the doping results of lead and tellurium, assuming that the  $\kappa_{\text{phonon}}$  was constant.



Fig. 6 The relationships between the room-temperature thermoelectric properties and the amount of additive tellurium (y) for Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0+y</sub>. (a) Seebeck coefficient, (b) electrical conductivity, (c) thermal conductivity, and (d) dimensionless figure of merit (*ZT*).

Figure 6 shows the relationship between the room-temperature thermoelectric properties and the amount of additive tellurium (y) for Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0+y</sub>. Additive tellurium acted as a hole carrier dopant in the range of  $y \ge 0.0025$ . On the other hand, additive tellurium supplemented the tellurium deficiency at  $y \le 0.0025$ . These results correspond to previously reported results, and the same matrix conditions at  $y \le 0.01$  were confirmed in a previous study [28,62].



Fig. 7 The relationship between thermal and electrical conductivity. The quadratic curve shows  $\kappa = (1.50 \times 10^{-11} \sigma + 1.62 \times 10^{-6})\sigma + 0.902$ .

Figure 7 shows the relationship between the total thermal conductivity  $\kappa$  and the electrical conductivity  $\sigma$  for all the samples doped with tellurium and lead. When the phonon thermal conductivity  $\kappa_{\text{phonon}}$  is assumed to be constant and the absolute

temperature *T* is fixed at room temperature,  $\kappa$  is expressed as a function of  $\sigma$  and Lorenz number *L*. *L* is strictly expressed by Eq. (3), but it is complicated to use it. Besides, in theory the  $\kappa_{carrier}$  increases non-linearly with increasing electrical conductivity [44]. Therefore,  $\kappa$  was approximated as a quadratic function of  $\sigma$  for the sake of simplicity. As a result of fitting by the least-squares method,  $\kappa$  is expressed as  $\kappa = (1.50 \times 10^{-11} \sigma + 1.62 \times 10^{-6})\sigma + 0.902$  at room temperature. The linear regression yielded a determination coefficient R<sup>2</sup> of 0.99, indicating a reasonable evaluation. From Eq. (2), the constant phonon thermal conductivity at room temperature was estimated to be  $0.902 \text{ W m}^{-1} \text{ K}^{-1}$ .



Fig. 8 The relationship between the measured Seebeck coefficient and estimated Lorenz number.

Figure 8 shows the relationship between the estimated Lorenz number from Fig. (7) and the measured Seebeck coefficient. The estimated Lorenz number decreased from 1.18 to  $0.73 \times 10^{-8}$  W S<sup>-1</sup> K<sup>-2</sup> with increasing Seebeck coefficient. In the present study, the Lorenz number decreased with increasing Seebeck coefficient as predicted by the single parabolic band model [18].

For a single parabolic band model, the Lorenz number *L* is a function of the reduced Fermi energy  $\eta$  and scattering parameter  $\gamma$  only, as is the Seebeck coefficient  $\alpha$ , as shown in Eqs. (3) and (4) [18]. By using the measured Seebeck coefficients and estimated Lorenz number *L* shown in Fig. 8, the scattering parameter  $\gamma$  and the reduced Fermi energy  $\eta$  were solved by Eqs. (3)–(5).



Fig. 9 The relationships between the reduced Fermi energy and the (a) scattering parameter and (b) dimensionless figure of merit (ZT).

Figure 9 (a) shows the relationship between the scattering parameter  $\gamma$  and reduced Fermi energy  $\eta$ . The scattering parameters for our samples ranged from -1.06 to -0.60, which indicates that the scattering mechanism of the Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> alloys produced by MA-HP was the mutual effect of acoustic and optical phonon scattering [22,63]. The

scattering parameter of the Bi<sub>2</sub>Te<sub>3</sub> fabricated by the established melt growth method has been reported to be -0.8 to 0.1 [64]. The estimated scattering parameter was slightly smaller than that of the Bi<sub>2</sub>Te<sub>3</sub> fabricated by the established melt growth method. The result indicates that the MA-HP process decreased the scattering parameters by grain refining effect [22]. The scattering parameter of Bi<sub>0.5</sub>Sb<sub>1.5</sub>Te<sub>3</sub> fabricated by using metal vessels measured by the temperature dependence of carrier concentration varied from -2.2 to -0.9 [22]. It was assumed that the difference in y was due to the difference in measurement accuracy or the influence of impurities from the milling vessels [45]. According to Figure 9 (b), the ZT increased with increasing reduced Fermi energy  $\eta$ , peaked at 1.26 when  $\eta = -1.25$ , and then decreased with increasing reduced Fermi energy, qualitatively corresponding to the theoretical calculation [44]. However, the optimal value of the reduced Fermi energy is approximately -0.5 in the theoretical calculation [44]. The difference in the optimal value of the reduced Fermi energy was due to the differences in y and  $\kappa_{phonon}$ . The y was assumed as -0.22 and  $\kappa_{phonon}$  as 1.3 W  $m^{-1}$  K<sup>-1</sup> in the theoretical calculation, which were the values of the melt grown sample. The results indicate that the optimum reduced Fermi energy for the sample fabricated by MA-HP is on the smaller side than that of the melt growth method due to the reduced  $\gamma$ and  $\kappa_{\text{phonon}}$  by MA-HP process.

These results show that the scattering mechanism at room temperature and the relationship between the *ZT* and reduced Fermi energy could be determined without the temperature dependence of the thermoelectric properties. Furthermore, it was clarified that the MA-HP process reduces the scattering parameter compared to the melt growth method. These analyses will be applicable in Bi<sub>2</sub>Te<sub>3</sub>-Sb<sub>2</sub>Te<sub>3</sub> thermoelectric materials and have the potential to be applied in other thermoelectric materials. From these results, the slight amount of multiple doping in thermoelectric materials is a simple and effective way to evaluate the Lorenz number and scattering mechanism.

#### 4. Conclusion

In the present study, the effects of adding lead as one of the multiple dopants in  $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$  (x = 0, 0.001, 0.002, 0.003) prepared by MA-HP were investigated. From the results, the Lorenz number *L* and scattering parameter  $\gamma$  were estimated under constant temperature. The findings are summarized as follows.

(1) All of the sintered samples with x = 0-0.003 were *p*-type semiconductors. All of the samples consisted of dense, homogeneous, and fine grains of approximately 1  $\mu$ m.

(2) The lead, added as one of the multiple dopants, acted as a hole carrier dopant, which brought a wider variation range of electrical properties than tellurium doping alone. On the other hand, the function of lead added as one of the multiple dopants was the same as that added as a single dopant, and the specific effect of multiple doping was not confirmed. The dimensionless figure of merit *ZT* at room temperature was affected due to the overbalance of the carrier concentration. For  $0.001 \le x \le 0.003$ , the *ZT* varied from 1.18 to 1.09.

(3) The Lorenz number L at room temperature was estimated to be  $0.73-1.18 \times 10^{-8}$  W S<sup>-1</sup> K<sup>-2</sup> by assuming the phonon thermal conductivity constant and the relationship between the thermal conductivity and the electrical conductivity.

(4) The scattering parameter  $\gamma$  at room temperature was estimated by using the above

Lorenz number and measured Seebeck coefficients. The parameter  $\gamma$  varied from -1.06 to -0.60, which indicated that the scattering mechanism of the Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> alloys produced by MA-HP was a mutual effect of acoustic and optical phonon scattering.

(5) The relationship between the dimensionless figure of merit ZT and reduced Fermi energy  $\eta$  was obtained. The trend of the relationship corresponded to the theoretical calculation, and ZT showed a peak of 1.26 at  $\eta = -1.25$ .

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## **Figure captions**

Fig. 1 XRD patterns ( $2\theta = 10-110^{\circ}$ ) of Bi<sub>0.3-x</sub>Sb<sub>1.7</sub>Te<sub>3.01</sub>Pb<sub>x</sub> (x = 0, 0.003) sintered disks prepared by MA-HP and Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> main indices [56]. The standard peaks correspond to Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0</sub> (ICSD #184248).

Fig. 2 DTA curves for pestle-ground, sintered disks of  $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$  (x = 0 and 0.003) prepared by MA-HP.

Fig. 3 SEM micrographs of the cross-section of sintered disks with (a) x = 0 and (b) x = 0.003. SEM micrographs of the fracture surface of sintered disks with (c) x = 0 and (d) x = 0.003.

Fig. 4 (a) A STEM micrograph of the cross-section of the sintered disk with x = 0.003and the elemental distributions of (b) Sb, (c) Te, (d) Bi, and (e) Pb determined by EDS.

Fig. 5 The relationships between the room-temperature thermoelectric properties and the amount of additive lead (*x*) for  $Bi_{0.3-x}Sb_{1.7}Te_{3.01}Pb_x$ . (a) Seebeck coefficient, (b) electrical conductivity, (c) thermal conductivity, and (d) dimensionless figure of merit (*ZT*).

Fig. 6 The relationships between the room-temperature thermoelectric properties and the amount of additive tellurium (y) for  $Bi_{0.3}Sb_{1.7}Te_{3.0+y}$ . (a) Seebeck coefficient, (b) electrical conductivity, (c) thermal conductivity, and (d) dimensionless figure of merit

(ZT).

Fig. 7 The relationship between thermal and electrical conductivity. The quadratic curve shows  $\kappa = (1.50 \times 10^{-11} \sigma + 1.62 \times 10^{-6})\sigma + 0.902$ .

Fig. 8 The relationship between the measured Seebeck coefficient and estimated Lorenz number.

Fig. 9 The relationships between the reduced Fermi energy and the (a) scattering parameter and (b) dimensionless figure of merit (*ZT*).

Table 1 Absolute and relative densities of Bi<sub>0.3-x</sub>Sb<sub>1.7</sub>Te<sub>3.01</sub>Pb<sub>x</sub> and Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3.0+y</sub>.