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High-speed acoustic holography with arbitrary scattering objects

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Recent advances in high-speed acoustic holography have enabled levitation-based volumetric displays with tactile and audio sensations. However, current approaches do not compute sound scattering of objects' surfaces; thus, any physical object inside can distort the sound field. Here, we present a new technique that allows high-speed multipoint levitation even with arbitrary sound-scattering surfaces and demonstrate a volumetric display that works in the presence of any physical object. Our technique has a *two-step* scattering model and a *simplified* levitation solver, which together can achieve over 10,000 updates per second to create volumetric images above and below static sound-scattering objects. The model estimates transducer contributions in real-time by reformulating the boundary element method for acoustic holography, and the solver creates multiple levitation traps. We explain how our technique achieves its speed with minimum loss in the trap quality and illustrate how it brings digital and physical content together by demonstrating new interactive applications.

19 Introduction

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20 Acoustic levitation (1), a technique that utilizes mechanical energy of sound to levitate and manipulate materials, has been 21 significantly advanced over the last decade through the introduction of two fundamental techniques: phased arrays of transducers 22 (PATs) (2, 3) and acoustic holography (4-6). PATs allow dynamic control of dense arrays of sound sources (e.g., 16×16 23 ultrasound transducers) while holography, a wavefront-handling technique originally developed in optics, enabled PATs to 24 accurately control sound fields in 3D space. Thanks to its capability of levitating almost any type of materials, acoustic holography 25 using PATs has many potential applications in laboratory-on-chip (7), biology (8), computational fabrication (9), and mid-air 26 displays (6, 10-16). Acoustic levitation is also emerging as a strong candidate for creating new mixed-reality (MR) interfaces that 27 can seamlessly blend the digital and physical worlds, as envisioned in the Ultimate Display of Ivan Sutherland (17).

28 29 In general, acoustic holography using PATs relies on a linear model (15, 18, 19), represented by using a transmission matrix F. The matrix **F** describes how complex activations of N transducers ($\tau \in \mathbb{C}^N$) contribute to the complex acoustic pressures at L 30 points of interest in a sound field ($\zeta \in \mathbb{C}^L$), using a linear system: $\zeta = F \tau$, with $L \ll N$. Each element of this matrix $(F_{l,n})$ is equal 31 to the pressure at the *l*-th point of interest generated by the *n*-th transducer when its activation is 1 (i.e., the maximum amplitude 32 with a phase delay of 0 rad), and it can be approximated as a piston model (20) if we consider only direct contributions. Using this 33 common linear model, existing approaches use different solvers to obtain the transducers' activations τ that generate an ideal 34 sound field ζ , which, for example, creates focal points to provide tactile sensations (21, 22) or provides the maximum trapping 35 stiffness (i.e., the Laplacian of the Gor'kov potential, commonly been used as a metric to assess how strong each acoustic trap is 36 (4, 6, 15)) for levitating particles at desired positions (4). One critical milestone in this solving process for acoustic levitation was 37 the introduction of the holographic acoustic element (HAE) framework, which simplified the computation of levitation traps by 38 encoding them as the combination of a holographic acoustic lens creating focal points and a fixed levitation signature (4). This 39 framework supports a huge range of symmetric transducer arrangements (e.g., single-sided, top-bottom, V-shape) and has been 40 extended to multi-point levitation (6). Recent algorithmic advances have further accelerated the computational speed of this 41 framework, and consequently, the accelerated update rates (i.e., 10,000 fps) have enabled PATs to create volumetric visual content 42 (i.e., high-speed levitation) in mid-air using the persistence of vision (POV) effect, together with tactile and audio sensations in 43 order to provide multi-modal experiences (13, 15).

However, realizing the full potential of such approaches is hindered by the model used, which operates under the assumption of empty space. That is, sound scattering of objects' surfaces is not taken into account; thus, any physical object within the working volume can distort the sound field and cause particles to fall.

Transmission matrices F only capture direct contribution from each transducer to each point, ignoring interactions with any sound-scattering objects and implicitly representing an empty working volume. The only objects permitted within the working volume are acoustically transparent materials, which are carefully chosen not to affect sound fields (12), along with the levitated particles, which are usually much smaller than the acoustic wavelength (e.g., $\lambda = 8.65 mm$ in this study) and thus can be considered as acoustically transparent as well. To date, there have been limited explorations of acoustically manipulated particles in a presence of sound-scattering objects. For example, in one set of papers, the authors explored 2D plane manipulation above flat reflector (2, 6, 19, 23), while in another approach the authors used PATs with acoustic metamaterials to demonstrate a singleparticle levitation above a cloaked object (24).

Models such as the boundary element method (BEM (25, 26)) can simulate sound-scattering fields, and BEM has been used to levitate objects several times larger than the wavelength (27) or to assemble nanoparticles inside arbitrary shaped closed reservoirs (28, 29). However, BEM is usually considered incompatible with real-time applications, particularly for high demands of POV display applications (i.e., 10,000 fps), and no dynamic manipulation using BEM has been demonstrated in those existing works.

To make full use of acoustic holography in more flexible environments, we require a new acoustic holographic technique that does not rely on the assumption of the empty working volume and works in the presence of arbitrary sound-scattering objects. The main challenge in developing such techniques is that the entire process of both *modeling* the transmission matrix and *solving* for transducer phases must be computed in real time for practical applications of particle manipulation (e.g., 50 fps to manipulate particles at 1 cm/s with a step size of 0.2 mm). This becomes even more challenging in order to create volumetric images using the POV effect (*13*, *15*), as these require update rates above 10,000 fps. Thus, producing models as computationally efficient as transmission matrices, but with BEM's power to capture sound-scattering hence becomes the first obvious challenge.

For solvers, on the other hand, the HAE framework does not account for sound scattering and thus cannot provide the optimum solutions within the non-empty working volume (i.e., the top array with reflector, see Fig. 1). Therefore, to develop a high-performance solver without the HAE framework, we need more efficient metrics to assess trap quality, compared to the most common current metric given by trapping stiffness (i.e., Laplacian of Gor'kov potential (4, 6)).

70 Here, we present a novel high-performance approach to modeling the extended transmission matrix and solving for transducer 71 phases. Our technique has two novel computational components: a two-step scattering model and a simplified levitation solver. In 72 these components, physical phenomena (i.e., sound scattering, acoustic levitation) are rebuilt or simplified as models that are 73 suitable to be computed at high update rates. We start by reformulating BEM to pre-compute the contribution of each transducer to 74 the mesh and then use these pre-computed values in updating the transmission matrix in real time as the trap positions move. This 75 extended version of the transmission matrix keeps the efficiency of the empty-volume methods but provides the accuracy that is 76 exactly equivalent to BEM. Additionally, we show that a simplified Gor'kov potential can be used as a new metric in our solver 77 instead of stiffness, further improving the computational speed with negligible loss of accuracy. Our approach allows high-speed 78 and accurate multi-point acoustic manipulation, even with arbitrary sound-scattering objects (see Fig. 1 and Movie S1). It allows 79 the creation of volumetric POV images with arbitrarily shaped objects in the working volume by creating levitation traps at high 80 computational rates. Our technique provides extra freedom in system design and allows previously impractical application 81 scenarios, which inherently involve physical objects in their working space, such as mid-air MR displays (see Fig. 1b) and 82 contactless manufacturing. Additionally, thanks to the high computational rates, the displayed content can be interactive to user 83 inputs (e.g., keyboard, hand gestures) in real time. We illustrate for the first time how our acoustic holographic technique brings 84 digital and physical content together by demonstrating several MR applications, such as a mid-air screen, a point-scanning-based 85 volumetric display, and a surface-scanning-based volumetric display. We are the first to demonstrate a free-space surface-86 scanning-based volumetric display that can create full volumetric images in mid-air, within a non-empty working volume.



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Fig. 1. Real-time acoustic holography with arbitrary scattering surfaces. (a) Schematical concept of our acoustic holographic technique that can create multiple levitation traps in a presence of sound-scattering physical objects. P_{max} represents the maximum amplitude of the pressure in the sound field. (b) Experimental example of our technique that can levitate four particles with a projection screen (i.e., a piece of light fabric), demonstrating a mixed-reality display that creates digital content in the presence of a 3D-printed physical object. The high computational rates of our approach enable the digital content to be interactive to user inputs (i.e., the levitated screen moves according to the keyboard input).

94 **Results**

95 Model and Solver

First, we show how our new model and solver realized high-speed multi-point levitation with minimum loss of accuracy, even
 within a non-empty working volume.

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105 conventional transmission matrix capturing only direct contributions), and the matrix *H* represents the contribution from the

106 transducers to the mesh elements. The sizes of these matrices are $L \times N$ for E and F, $L \times M$ for G, and $M \times N$ for H. Given the 107 fact that the inequality $L \ll N \ll M$ is usually satisfied in acoustic levitation, the determination of H is more time-consuming than 108 the other matrices.

109 For static set-ups, H is constant and we can thus pre-compute it once the set-up is defined (i.e., position and normal of each 110 transducer and position, area and normal of each mesh element in the reflector). In contrast, computing F and G requires the 111 positions of points of interest in addition to the set-up information. For interactive applications, these points of interest are usually 112 unknown beforehand, and thus F and G need to be created in real time depending on the application logic and/or user input. While 113 H must be pre-computed, computation of F and G is highly parallelizable, and our model can achieve high computational rates for this modeling process by using a graphics processing unit (GPU) even in the presence of static sound-scattering objects. Fig. S6a 114 115 shows the computational speed of only this modeling process after the pre-computation part. Note here that our model is exactly 116 equivalent to BEM, not relying on any approximation to compute the acoustic pressures on the meshes, and thus can be used to 117 model any geometry of scattering objects without sacrifice in accuracy, unlike the methods based on the Rayleigh integral (18, 19, 118 30), which are limited to flat or slightly curved reflectors. We also note that our model does not require high sampling resolution 119 for 3D models' mesh (i.e., the best-balanced mesh size is $\lambda/2$, see *Mesh-size Dependency of the Trap Quality* and Fig. S7). We 120 also discuss how to adapt our approach to dynamically changing meshes later in Discussion.

121 Simplified levitation solver: We propose a simplified solver using the model above. Our SIMPLIFIED solver uses a gradient 122 descent minimizing a simplified metric U' at every trap position $\mathbf{r}_j = (x_j, y_j, z_j)$, allowing us to create multiple stable traps at high 123 computational speed. Our metric U' is based on the Gor'kov potential U, which can be used to compute the acoustic radiation 124 force \mathbf{F}^{rad} applied on a small particle (i.e., much smaller than the acoustic wavelength) at the point j as: $\mathbf{F}^{rad} = -\nabla U(\mathbf{r}_j)$. Here, 125 $U(\mathbf{r}_j)$ can be determined by the complex acoustic pressure p and its spatial derivatives at the trap position \mathbf{r}_j and constant values 126 $(K_1 \text{ and } K_2)$ as (31):

$$U(\mathbf{r}_j) = K_1 |p|^2 - K_2 \left(\left| \frac{\partial p}{\partial x} \right|^2 + \left| \frac{\partial p}{\partial y} \right|^2 + \left| \frac{\partial p}{\partial z} \right|^2 \right).$$
(1)

128 Trapping stiffness (4, 6) is a common metric to evaluate (and optimize) the quality of acoustic traps and is computed as the 129 Laplacian of the Gor'kov potential ($\nabla^2 U$) at the point *j*. A traditional method is to create levitation traps by maximizing such 130 trapping stiffness at the desired locations, with an optimization algorithm such as gradient descent (4). However, computing 131 stiffness $\nabla^2 U(r_j)$ requires sampling pressure values at many points of interest around each trap and thus is computationally heavy 132 for use in real-time applications.

133 In this study, we accelerate our solving process of creating J traps by using a simplified Gor'kov potential $U'(r_j)$ as a new 134 metric (i.e., our cost function in gradient descent):

135 $U'(\mathbf{r}_j) = K_1 |p|^2 - K_2 \left|\frac{\partial p}{\partial z}\right|^2.$

The advantage of this new metric is that it can be computed by sampling pressure values at only two points per trap (i.e., the number of total points of interest is L = 2J). This simplified metric is suitable for our experimental set-ups, in which the transducers face downward (i.e., -z direction) and sound-scattering objects are placed underneath (see Fig. 1a), allowing them to create standing-wave-like acoustic traps along the z-axis, similar to the commonly-used top-bottom set-ups (6).

140 Our simplification in Eq. 2 approximates sufficiently the potential $U(r_i)$ because the derivative of the pressure along the z-141 axis is more dominant than the derivatives along the other axes. Also, the Gor'kov potential along the z-axis behaves locally as a 142 sinusoidal pattern (32). Thus, the second derivative of such sinusoidal pattern (i.e., trapping stiffness) should also be sinusoidal of 143 opposite sign, supporting our assumption that a negative relationship between $U(\mathbf{r}_i)$ and its Laplacian ($\nabla^2 U(\mathbf{r}_i)$) still holds. Figure 144 2b validates this, showing the relationship between our new metric and trapping stiffness in our set-ups with a very good 145 correlation (i.e., $R^2 = 0.940$) and experimentally evaluating our assumption. Note here that our simplified metric (Eq. 2) could not 146 be directly used in set-ups, where this assumption is not valid, but this can be easily adjusted to other set-ups such as single-sided, 147 top-bottom, and V-shape, as shown in the section Metric validity and Figs. S4.

148 Validation and Performance Evaluation

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To evaluate our *SIMPLIFIED* solver in terms of trapping stiffness, we compared it with the other two solvers, which we refer to as *BASELINE* and *HEURISTIC*. The *BASELINE* solver is a traditional method that uses a physically accurate and broadly accepted metric (i.e., trapping stiffness $\nabla^2 U(\mathbf{r}_j)$) to optimize trapping quality (4) but is slow. The *HEURISTIC* solver is an extension of the HAE framework, enabling us to create traps by creating two focal points around each trap with a π -radian offset in the target phases (6). Although this approach is fast and would work well in a single-point manipulation, destructive interference between traps is likely to occur in multi-point manipulation (15). Note here that all the three solvers use our two-step scattering model.

As shown in Fig. S9, our *SIMPLIFIED* solver avoids destructive interference between multiple traps when compared to the *HEURISTIC* solver, while achieving similar quality (i.e., trapping stiffness) than the *BASELINE* solver that directly maximized $\nabla^2 U(\mathbf{r}_j)$ (see *Comparison between the Solvers* for more detailed evaluations). Additionally, with an appropriate initialization, our *SIMPLIFIED* solver can converge within 100 iterations (see *Convergence and Initialization* and Fig. S8). Therefore, our solver represents solutions being the most balanced, realizing accurate and fast acoustic manipulation.

Figure 2c summarizes the computational performance of our acoustic holographic technique. We evaluated how the numbers of traps (*J*) and mesh elements (*M*) influence the computational speed. Here, the number of transducers (*N*) and the number of iterations (*K*) in the solver were fixed (i.e., N = 256, K = 100). The results show the linear relationship between them as expected, and high update rates over 10,000 fps (i.e., less than 0.1 ms computational time) can be achieved in several scenarios (e.g., J = 4 with $M = \sim 8,000$). For example, the 3D model of the bunny and the flat reflector (i.e., 12×12 cm²), which was used in the four-trap application in Fig. 1b, is composed of 4,134 elements in total, achieving over 15,000 fps. The plots also show that even with the slowest scenario in the plots (i.e., J = 16 and M = 32,000), we can still get over 700 fps, which is enough to

(2)

manipulate particles in real time. Although the set-up-related part cannot be computed in real-time (see *Computational Performance*), this part can be pre-computed once the set-up is defined.



Simplified Gorkov (U') Number of mesh elements (M) **Fig. 2. Performance of the proposed technique. (a)** Schematical explanation of our *two-step* scattering model, adapting the boundary element method (BEM). **(b)** Correlation between trapping stiffness $\nabla^2 U$ and the simplified form of the Gorkov potential U', justifying the use of U' as our metric. **(c)** Computational performance of our acoustic holographic technique after pre-computation, depending on the numbers of mesh elements (M) and traps (J).

174 Versatile Manipulation Capabilities

175 The combination of our two-step scattering model and the simplified levitation solver allows real-time manipulation of materials 176 in 3D space, in the presence of sound-scattering objects. Figure 3a shows an experimental example of levitating 10 expanded 177 polystyrene (EPS) particles above a 3D-printed smooth surface. The simulated sound field in the xy-plane $\lambda/4$ above the trap 178 positions (i.e., the inserted image in Fig. 3a) shows 10 high-pressure points. The closest previous demonstrations (2, 6, 19, 23) of 179 this example were limited to 2D plane manipulation of EPS particles or liquid droplets just above flat reflector surfaces without 180 any scattering object. In our case, we have enabled acoustic 3D manipulation even with a non-flat reflector. In addition, particles 181 can be levitated under sound scattering obstacles, which occlude most direct sound contributions from the transducers (see Fig. 182 3b), showing manipulation capabilities in scenarios that were not previously possible.

183 Unlike other levitation techniques such as electromagnetics, the acoustic approach can levitate almost any type of material, 184 including solids and liquids (1). Figure 3c shows the manipulation of a water droplet in the presence of 3D-printed cacti. Acoustic 185 manipulation of liquid droplets is particularly challenging, as the acoustic velocity of air particles at the trap position needs to be 186 carefully adjusted, keeping it within the range determined by the droplet's radius and surface tension to avoid droplet atomization 187 (2, 33). The fast computational rates of our technique enable us to estimate the acoustic velocity in real time, dynamically 188 adjusting the transducers' amplitudes to make the acoustic velocity constant along the manipulation path (see Fig. S12). 189 Additionally, by modulating the amplitudes of all the transducers at certain frequencies, we can induce oscillatory vibrations to 190 levitated droplets, which is useful for mixing multiple materials in a contactless manner without any cross-contamination (34). 191 Furthermore, our scattering model works even if the scattering surfaces are liquids. Figure 3d shows the manipulation of a mixture 192 of water droplets, taking into account the liquid surface of a container filled with water (see also Movie S2). We approximated the 193 liquid surface is acoustically rigid (i.e., $\beta_m = 0$), still showing correct droplet manipulation. Such material independence lends 194 versatility to our technique, which can be applied in fields such as computational fabrication, laboratory-on-a-chip, and biomedical 195 imaging. The use of other β_m values is also possible, as detailed in *Two-step Scattering Model*.



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individually (i.e., there are 10 traps in the photograph). (b) Traps can be created even under sound-scattering obstacles by

199 utilizing scattered waves. (c) Materials that can be manipulated in mid-air include both solids and liquids (i.e., a water

200 droplet is levitated). (d) Our scattering model works on scattering surfaces of liquids as well. The inserted boxes show

201 simulated sound fields in the xy-plane $\lambda/4$ above the trap positions for (a) and the xz-plane on the trap positions for the 202 others (b-d), normalized using the maximum amplitude. The white dashed lines in these figures represent the positions of

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the scattering objects in the planes.

204 Creation of POV Images Using High Update Rates

205 An important aspect of our technique is its computational speed. As discussed in the literature (13, 15), high update rates for 206 PATs, of ideally more than 10,000 fps, enable us to manipulate EPS particles at fast velocities (i.e., maximum velocity of 8.75 m/s 207 with the top-bottom setup was reported in (13)), allowing the creation of mid-air volumetric images using the POV effect by 208 scanning particles in 0.1 s (35). The fast computational speeds of our technique (see Fig. 2c) allow such a point-scanning-based 209 method to create volumetric POV images even in the presence of sound-scattering objects. Additionally, thanks to the high update 210 rates of our approach, created POV images can be interactive to user inputs (e.g., keyboard, hand gestures) in real time. Figure 4a 211 shows the creation of a butterfly flapping around a 3D-printed bunny (M = 4,134), which can be controlled by hand gestures (see 212 Movie S4), by using a single particle colored by full-color LEDs. Other examples of volumetric shapes are shown in Fig. 4b (see 213 also Movie S3), showing two particles on top of plastic bricks (M = 5.010), while Fig. 4c shows a single particle under sound-214 scattering obstacles (M = 3,792). These are the first demonstration of the creation of digital volumetric images with physical 215 objects as a new MR human-computer interface, blurring the boundary between the digital and physical worlds.

216 However, the volumetric geometries that the point-scanning-based approach can create are limited to simple shapes, as 217 demonstrated in Figs 4a, 4b, and 4c, because particles must scan all the geometries in the POV time (i.e., 0.1 s). Therefore, here we 218 additionally demonstrate for the first time a free-space surface-scanning-based display within a non-empty working volume, to 219 create more complex volumetric shapes with many voxels (volume elements). In this approach, we levitated a piece of light fabric 220 with the same levitation set-up used for the point-scanning approach and used a high-speed projector (i.e., 1,440 fps) and a mirror, 221 as shown in Fig. 4d. Our technique can rotate the fabric in the presence of sound-scattering objects at five rotations per second 222 while synchronously projecting cross-sectional images of a 3D model on the rotating fabric, revealing the full volumetric image in 223 mid-air due to the POV effect. The reason we used the mirror is to project images even when the projection direction and the 224 fabric are in parallel. The two photographs taken from the different perspectives (see Figs. 4e and 4f) show the digital 3D image of 225 a bunny projected onto the rotational fabric. The digital 3D image was created on top of a physical bunny (M = 4,134), which was 226 3D-printed using the same 3D model for the digital bunny. We can confirm that our system can project complex volumetric shapes 227 in mid-air, which can be viewed from any direction.



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Fig. 4. Mixed-reality applications using high-speed acoustic holography. (a, b) Examples of the creation of volumetric 230 POV images using single and multiple particles with sound-scattering objects. (c) POV images can be created even under 231 sound-scattering obstacles. (d) Full-volumetric projection of 3D digital content together with a 3D printed object using a 232 quickly rotating screen (i.e., five rotations per second) and a high-speed projector. (e, f) Two photographs taken from two 233 different perspectives (i.e., from front and right) to demonstrate full volumetric projection. Note here that the digital and 234 physical objects both used the same 3D model (i.e., bunny) with the same orientation.

235 Discussion

236 Prior to this work, 3D manipulations of materials using acoustic holography have been accomplished only in an empty volume. 237 This limitation has so far forced the technology to be used in limited scenarios (i.e., no scattering objects around). Here, we 238 overcome this limitation by reformulating and simplifying the model and solver for acoustic holography. Our approach extends the 239 possibilities of acoustic levitation, enabling 3D printing for contactless manufacturing and mixing of physical and digital artifacts 240 for novel MR applications. In this study, we assumed only sound-scattering objects with high acoustic impedance compared to air

(e.g., plastic, water), within a single propagation medium (i.e., air). However, BEM can also be used to compute sound scattering
 from sound soft boundaries, even through multiple media. The same two-step approach could be applied to such more complex
 scenarios, accelerating computational speed and paving the way for real-time exploitation beyond the environments demonstrated.

This range of potential scenarios will also increase as we relax our current limitation of using only static scattering objects (i.e., a single pre-computed matrix H), but so do the challenges that need to be considered. That is, by removing the need for an empty volume, our current method already enables ultrasound-based solutions to be applied to many more real-world settings, such as inside appliances or in the dashboard of a car.

An obvious step to support dynamic (i.e., moving/changing) objects would be to pre-compute different *H* matrices, one per state of the object. This would require us to know in advance the nature of the dynamic evolution of the object, but even this simple step would be enough to enable many novel applications such as 3D printing and contactless assembly, as in all these cases the evolution of the geometry is known ahead of time.

252 Moving towards fully interactive scenarios opens new challenges and possibilities. For objects interactively changing position 253 and orientation but with fixed shape, the LU decomposition technique discussed in Moving Sound-scattering Objects and Fig. S10 254 could allow matrix H to be computed in real-time. The most challenging scenario is when the objects change their shapes, positions, and orientations in an unpredictable manner (e.g., an MR application where users' hands interact inside the working 255 256 volume). New approaches to compute H in real time would be required here, but one potential solution is to exploit the local 257 nature of changes. That is, if the positions and/or geometries of objects do not change drastically between updates, the solution for 258 the previous geometry can be used as good initial estimations for the next geometry, reducing the computational cost. It is worth 259 noting that the computational rates for this set-up-related part do not need to achieve 10,000 fps, and more conventional rates could suffice (e.g., >30 fps). 260

Also, our two-step scattering model can be adapted to various PAT arrangements (e.g., top-bottom, V-shape, single-sided; see Figs. S4d, S4e, S4f) with no modification. This offers great flexibility in designing new applications using our acoustic holographic technique. However, we need to note that the simplified metric should not be used as in Eq. 2 by default, but rather be adjusted to the geometric relationship between the involved PATs and trap positions (see Figs. S4a, S4b, S4c). This suggests that dynamically tuning the most suitable metric simplification for the set-up and content used would enable us to always bring the best accuracy and speed out of the device.

The point-scanning-based approach has been adopted and explored to realize free-space volumetric displays by using several levitation techniques such as acoustic (13–15), photophoretic (36), and electromagnetic traps (37). In this study, we for the first time introduced the surface-scanning-based approach into these levitation techniques and achieved the free-space volumetric display that can represent more voxels with minimum constraint in voxel arrangement, compared to the point-based ones (detailed in *Surface-scanning-based Volumetric Display*). In comparison with the volumetric displays using mechanically-rotated screens or emitters (38, 39), the advantage of our approach is that we can manipulate the rotational screen itself within the space that the user can directly access, highlighting the MR aspect of the acoustic holographic technique proposed in this study.

274 Materials and Methods

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275 Modeling sound-scattering for acoustic holography

276 Our scattering model is based on BEM (25, 26). Therefore, we first describe how the conventional BEM works for general scattering problems and then how we reformulated BEM for acoustic holography in two steps, to achieve the high update rates.

278 **Conventional BEM for Scattering Problems:** In BEM, acoustic pressure at some point x can be represented as a boundary 279 integral equation (i.e., Helmholtz-Kirchhoff integral equation) obtained via Green's theorem. In scattering problems, BEM can be 280 computed by discretizing the surface of the scattering objects into M mesh elements. The size of the elements is small enough so 281 that the pressure across each mesh p_m can be considered as constant across the element. Then, under certain impedance boundary 282 conditions parametrized by β_m , the complex pressure p(x) in the domain of propagation (i.e., the region in which the wave 283 propagates) is given by the direct incident contributions $p^{inc}(x)$ and scattered contributions from every mesh element as:

$$p(\mathbf{x}) = p^{inc}(\mathbf{x}) + \sum_{m=1}^{m} p_m s_m \left[ik \beta_m G(\mathbf{x}_m, \mathbf{x}) + \frac{\partial G(\mathbf{x}_m, \mathbf{x})}{\partial n(\mathbf{x}_m)} \right].$$
(3)

Here, s_m represents the surface area; k is the wavenumber; and β_m denotes the relative surface admittance at the boundary, computed as the ratio of acoustic impedances of the propagation medium Z_0 and the scattering object Z_s (i.e., $\beta_m = Z_0/Z_s$; $\beta_m =$ 0 when the surface is acoustically rigid). $G(\mathbf{y}, \mathbf{x})$ is the so-called free-field Green's function, defined in the 3D case by:

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$$G(\mathbf{y}, \mathbf{x}) = -\frac{e^{ikd(\mathbf{x}, \mathbf{y})}}{4\pi d(\mathbf{x}, \mathbf{y})}.$$
 (4)

Here, d(x, y) is the Euclidean distance between two points x and y. In Eq. 3, $\partial/\partial n$ denotes the normal derivative on the boundary (i.e., the rate of increase in the direction of the mesh's normal n_m). Let $\psi(x, y)$ denote the angle between the mesh's normal at yand the vector x - y and ∇_y denote the gradient for the components of y. The normal derivative of the Green's function at y can be represented as:

$$\frac{\partial G(\mathbf{y}, \mathbf{x})}{\partial n(\mathbf{y})} = \mathbf{n}(\mathbf{y}) \cdot \nabla_{\mathbf{y}} G(\mathbf{y}, \mathbf{x}) = \frac{e^{ikd(\mathbf{x}, \mathbf{y})}}{4\pi d(\mathbf{x}, \mathbf{y})} \left(ik - \frac{1}{d(\mathbf{x}, \mathbf{y})} \right) \cos \psi(\mathbf{x}, \mathbf{y}) \,. \tag{5}$$

On the other hand, when the surface is smooth around x_m , the acoustic pressure on each mesh p_m can be derived from the Helmholtz-Kirchhoff integral equation under the same impedance boundary condition (25) as:

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$$\frac{1}{2}p_m = p_m^{inc} + \sum_{\substack{m'=1\\m' \neq m}}^M p_{m'} s_{m'} \left[ik\beta_{m'}G(\mathbf{x}_{m'}, \mathbf{x}_m) + \frac{\partial G(\mathbf{x}_{m'}, \mathbf{x}_m)}{\partial n(\mathbf{x}_{m'})} \right]; \ m = 1, \dots, M.$$
(6)

297 Eq. 6 leads a set of M linear equations to determine the M unknown pressure values at the mesh elements p_m . The equation can be 298 represented as a simple equation system Ap = b, where each element of the matrix A and the vector b are given by: 299

$$b_m = p_m^{inc}$$
.

$$m^{c}$$
. (7)
0.5, $m = m^{'}$

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$$A_{m,m'} = \begin{cases} 0.5, \quad m = m' \\ -s_{m'} \left[ik\beta_{m'}G(\mathbf{x}_{m'}, \mathbf{x}_m) + \frac{\partial G(\mathbf{x}_{m'}, \mathbf{x}_m)}{\partial n(\mathbf{x}_{m'})} \right], \quad m \neq m'. \end{cases}$$
(8)

Once the set of pressure values at the mesh elements $(\mathbf{p} = [p_1 \cdots p_M]^T)$ is obtained by solving this equation system, we can 301 302 compute sound pressure p(x) at any position in the propagation field using Eq. 3. The matrix A depends only on the geometry of 303 the boundary, while the vector \boldsymbol{b} depends on the incident wave (i.e., direct sound contributions from the transducers). It must be 304 noted that solving this equation takes a huge amount of time and memory for a large M.

305 Two-step Scattering Model: To compute the transmission matrix E at high update rates, our model reformulates BEM into 306 two parts: the set-up-related and the application-related parts. Each element of the matrix $E_{l,n}$ equals the pressure $p_{l,n}$ that the *n*-th 307 transducer generates at the l-th point with a transducer's complex activation $\tau_n = 1$. In this study, we assumed $\beta_m = 0$ in Eqs. 3 and 8 for all the sound-scattering surfaces used (i.e., plastic, water) because their acoustic impedances are very high when 308 309 compared to air. Then, $p_{l,n}$ can be represented by using BEM as:

310
$$p_{l,n} = p_{l,n}^{inc} + \sum_{m=1}^{M} p_{m,n} s_m \frac{\partial G(\boldsymbol{x}_m, \boldsymbol{x}_l)}{\partial n(\boldsymbol{x}_m)}.$$
 (9)

Here, $p_{l,n}^{inc}$ denotes the direct contribution from the *n*-th transducer to the *l*-th point, and $p_{m,n}$ denotes the pressure at the *m*-th mesh generated by the *n*-th transducer. Then, as shown in Fig. 2a, the transmission matrix **E** can be represented as: 311 212

$$E = \begin{bmatrix} p_{1,1} & \cdots & p_{1,N} \\ \vdots & \ddots & \vdots \end{bmatrix} = F + GH.$$
(10)

$$\boldsymbol{E} = \begin{bmatrix} \vdots & \ddots & \vdots \\ p_{L,1} & \cdots & p_{L,N} \end{bmatrix} = \boldsymbol{F} + \boldsymbol{G} \boldsymbol{H}.$$
(10)

314
$$F_{l,n} = p_{l,n}^{inc}; G_{l,m} = s_m \frac{\partial G(\boldsymbol{x}_m, \boldsymbol{x}_l)}{\partial n(\boldsymbol{x}_m)}; H_{m,n} = p_{m,n}.$$
(11)

The direct incident contribution $p_{l,n}^{inc}$ can be represented as: $p_{l,n}^{inc} = P_{l,n} \Phi_{l,n}$, where $P_{l,n}$ denotes the scalar directivity of our sound sources approximated as a piston model, and $\Phi_{l,n}$ denotes the complex phase propagation approximated as a spherical sound 315 316 317 source:

$$P_{l,n} = \frac{2J_1(kr\sin\theta(x_l, x_n))}{kr\sin\theta(x_l, x_n)} \frac{P_{ref}}{d(x_l, x_n)}; \ \Phi_{l,n} = e^{ikd(x_l, x_n)}.$$
(12)

319 Here, P_{ref} represents the transducer's reference pressure at 1 m distance; r represents the transducer's radius; $\theta(x_l, x_n)$ is the 320 angle between the transducer's normal and point l; and J_1 represents a Bessel function of the first kind.

321 As we already mentioned, we assumed $\beta_m = 0$ for all the sound-scattering surfaces in this study. The extension to other values 322 of β_m is also possible by keeping the term of $ik\beta_{m'}G(\mathbf{x}_{m'}, \mathbf{x}_m)$ in Eq. 8 when solving the matrix **H** and adjusting Eq. 11 to have 323 the term when computing the matrix \boldsymbol{G} . We can adopt this extension, without much increasing the computational complexity.

324 The important point is that the matrices F and G depend on point positions while the matrix H, the largest and most 325 computationally expensive element in our model, does not. Therefore, once the geometry of the set-up (i.e., transducers and 326 scattering objects) is determined, H remains constant and does not have to be computed every time we update the trapping 327 positions (i.e., the set-up-related part). On the other hand, we must compute F and G every time for interactive applications (i.e., 328 the application-related part), but the computations of these have direct expressions given in Eq. 11 and thus are highly suitable for 329 computing in parallel using a GPU. Therefore, once we pre-compute the matrix H, the whole matrix can be computed at very high 330 rates (see Fig. S6a). The pre-computation process for the set-up-related part to calculate the matrix H is as follows:

- 1. Given the geometry of the sound-scattering objects, build an $M \times M$ matrix A using Eq. 8. 2. Build an M vector $\mathbf{b}^{(n)}$ for the *n*-th transducer: $b_m^{(n)} = P_{m,n} \Phi_{m,n}$. 3. Solve $A\mathbf{p}^{(n)} = \mathbf{b}^{(n)}$ to obtain $\mathbf{p}^{(n)}$ and store the results: $H_{m,n} = p_m^{(n)}$.

 - Repeat the steps 2 and 3 for all the N transducers. 4

335 In this study, we used a MATLAB function gmres, which uses the generalized minimum residual (GMRES) algorithm (40), to 336 solve the linear systems in the step 3. An alternative way to represent the steps 2–4 is as AH = B, where $B = [b^{(1)} \cdots b^{(N)}]$. 337 We could also decompose the matrix A (e.g., LU decomposition) to compute H at higher speeds, instead of using GMRES.

338 Sound-field Simulation Using Our Model: As the conventional BEM, our model can be used for the general purpose of 339 simulating sound fields, even though the main purpose of developing it in this study was to solve for the transducers' activation τ 340 to create multiple traps at high speeds. Figs. S1a and S1b show the sound fields simulated by the conventional BEM (see 341 Conventional BEM for Scattering Problems) when we created single traps at different positions, while Figs. S1c and S1d were 342 simulated by our model (see *Two-step Scattering Model*) when we used the same transducers' activations τ as Figs. S1a and S1b, 343 respectively. In these simulations, we used the bricks object shown in Fig. S3. We can confirm that the sound fields generated by 344 our model are equivalent to the ones simulated by the conventional BEM.

345 The conventional BEM requires solving the linear equation Ap = b every time to simulate sound fields with different τ , even 346 with the same set-up (e.g., as in the case of Figs. S1a and S1b). In contrast in our model, once we compute the transmission matrix 347 E, it can be used for simulating sound fields with different τ unless the same set-up is used. Note here that E can be computed at 348 very high speeds (see Fig. S6a), once we obtain the data from the pre-computation (i.e., the matrix H). Our model is especially 349 useful for simulating and evaluating sound fields many times with different τ but with the same set-up. Therefore, in this paper, we 350 used our model for every evaluation and visualization of the sound fields.

318



Fig. S1. Comparison between the conventional BEM and our two-step scattering model. Sound fields simulated by using (a, b) the conventional BEM and (c, d) our two-step scattering model when creating single traps at different

354 positions. The sound fields simulated by our model are equivalent to the ones obtained using the conventional BEM.

355 Solving for the Transducers' Phases for Acoustic 3D Manipulation

Once we know how to model the extended transmission matrix (E = F + GH), the next step is to solve for the transducers' activation τ that generates levitation traps at target positions in the presence of sound scattering objects. In this study, we assumed phase-only optimization (i.e., the amplitudes of the transducers are always maximum), and thus the goal of this optimization is to find the optimum phases of the transducers ($\boldsymbol{\varphi} = [\varphi_1, ..., \varphi_N]^T$) that maximize trapping stiffnesses $\nabla^2 U$ at every trap position r_j .

We considered three different levitation solvers: *BASELINE*, *HEURISTIC*, and *SIMPLIFIED*. The *BASELINE* solver uses stiffness, as a physically accurate and broadly accepted metric for trapping quality but is the slowest. The *HEURISTIC* solver is the fastest but not accurate enough. The *SIMPLIFIED* solver represents our solutions being the most balanced, realizing accurate and fast acoustic manipulation.

364 **BASELINE** Levitation Solver: One straightforward approach in this optimization problem is, as proposed in (4), to directly 365 maximize trapping stiffnesses $\nabla^2 U(r_i)$ at every trap position r_i by using a cost function $O(\varphi)$ determined as:

$$O(\boldsymbol{\varphi}) = \sum_{j=1}^{J} \left[-\nabla^2 U(\boldsymbol{r}_j) + w_s \left(\overline{\nabla^2 U(\boldsymbol{r}_j)} - \nabla^2 U(\boldsymbol{r}_j) \right)^2 \right].$$
(13)

Here, the bar ($^{-}$) represents the mean value among all the *J* traps; and w_s is a weight coefficient. We added the second term in this cost function to equalize the qualities (i.e., stiffnesses) of all *J* traps by minimizing the standard deviation similarly to (41). The *BASELINE* solver minimizes this cost function $O(\varphi)$ in Eq. 13 using the Broyden–Fletcher–Goldfarb–Shanno (BFGS) algorithm (42, 43).

However, as already described in the main text, computing trapping stiffnesses $\nabla^2 U$ is computationally heavy because it requires sampling pressure values at many points (e.g., 55 points in this study, which means L = 55J) around each trap. The reason it requires so many points is that the second spatial derivative of U requires up to third derivatives of pressure values at the trap position as:

375
$$\frac{\partial^2 U}{\partial a^2} = 2K_1 \left(\frac{\partial p}{\partial a} \cdot \frac{\partial p}{\partial a} + p \cdot \frac{\partial^2 p}{\partial a^2} \right) - 2K_2 \sum_{b}^{x, y, z} \left(\frac{\partial^2 p}{\partial a \partial b} \cdot \frac{\partial^2 p}{\partial a \partial b} + \frac{\partial p}{\partial b} \cdot \frac{\partial^3 p}{\partial a^2 \partial b} \right), a \in \{x, y, z\}.$$
(14)

Here, *a* represents *x*, *y*, or *z*; and the dot operator (·) is defined as: $p_f \cdot p_g = \mathcal{R}e[p_f]\mathcal{R}e[p_g] + \mathcal{I}m[p_f]\mathcal{I}m[p_g]$. To numerically obtain the derivatives in Eq. 14, this metric requires sampling pressure values at many points. In this study, we used the secondorder centered difference approximation to compute these derivatives for accuracy because this metric needs to serve as our baseline. Fig. S2a shows how we sampled the pressure values at points around the trap in an *ab*-plane, where $ab \in \{xy, yz, zx\}$. Note here that p_{10} in the *xy*-plane is duplicated in the other two planes; and p_9 and p_{11} in the *xy*-, *yz*-, and *zx*-planes are respectively identical to p_6 and p_{14} in the *yz*-, *zx*-, and *xy*-planes. This means, in this study, we used 55 points in total per trap (i.e., 21 for each of the *xy*-, *yz*- and *zx*-planes excluding the 2 + 2 × 3 = 8 duplicated points).



Fig. S2. Sampling points required to compute the metrics. (a) Sampling points in an *ab*-plane to calculate the trapping stiffness, where $ab \in \{xy, yz, zx\}$. We used 55 points in total per trap (i.e., 21 for each of the *xy*-, *yz*- and *zx*-planes excluding the 8 duplicated points). **(b)** Our proposed simplified metric requires sampling only two points per trap.

388 *HEURISTIC* Levitation Solver: In order to simplify this optimization problem, we adapted the heuristic approach proposed 389 in (6) for the top-bottom levitation set-ups. This approach uses two points of interest per trap (i.e., L = 2J), $\lambda/4$ above and $\lambda/4$

390 below the position where the trap needs to be located, with a π radian offset in the target phases. By simply back-propagating

391 those points with the conjugate transpose of the transmission matrix E^* and then constraining the transducers' amplitudes to their 392 maxima, we can calculate the transducer phases φ without any iterations (i.e., K = 1). Although this *HEURISTIC* approach is the

393 simplest and would work well in a single-point manipulation, destructive interference between traps is likely to occur in multi-394 point manipulation (15).

395 This HEURISTIC approach would still work even if the solver used slightly different positions for the two control points, 396 which are at the trap position $r_i = (x_i, y_i, z_i)$ and the position slightly above it $(x_i, y_i, z_i + h)$. This modified version of the 397 HEURISTIC levitation solver is also used to obtain initial guesses for the BASELINE and SIMPLIRIFED solvers (as explained in 398 *Convergence and Initialization*).

399 SIMPLIFIED Levitation Solver: This SIMPLIFIED solver uses our proposed simplified Gor'kov potential U' at each trap 400 position as our target cost function, instead of directly using trapping stiffnesses $\nabla^2 U(\mathbf{r}_i)$. As mentioned in the main text, we 401 determined $U'(\mathbf{r}_i)$ as:

$$U'(\mathbf{r}_{j}) = K_{1}|p|^{2} - K_{2} \left|\frac{\partial p}{\partial z}\right|^{2};$$

$$K_{1} = \frac{1}{4}V\left(\frac{1}{c_{0}^{2}\rho_{0}} - \frac{1}{c_{p}^{2}\rho_{p}}\right); K_{2} = \frac{3}{4}V\left(\frac{\rho_{p} - \rho_{0}}{\omega\rho_{0}(\rho_{0} + 2\rho_{p})}\right).$$
(15)

403 Here, V represents the volume of the levitated particle; ω represents the angular frequency; c and ρ represent the speed of sound 404 and the density, and the subscripts 0 and p refer to the host medium (i.e., air) and the particle material, respectively. The important 405 point here is that $U'(r_i)$ can be computed by sampling pressure values at only two points around each trap (i.e., L = 2J, see Fig 406 S2b), located at the trap position $r_i = (x_i, y_i, z_i)$ and the position slightly above it $(x_i, y_i, z_i + h)$, in order to numerically compute 407 the derivative along the z-axis (e.g., we used $h = \lambda/32$ in this study). Note here that adding the derivatives along the x- and y-408 axes (i.e., using the original Gor'kov potential shown in Eq. 1) requires sampling pressure values at four points around each trap 409 (i.e., L = 4I). Our simplified metric allows about twice faster update rates when compared to using the original Gor'kov potential 410 (described in Computational Performance), but (slower) solutions using the original Gor'kov potential would require minimal 411 changes. 412

The derivative of $U'(r_i)$ with respect to the phase of each transducer φ_n can be computed as:

$$\frac{\partial U'(\mathbf{r}_{j})}{\partial \varphi_{n}} = 2K_{1}(\mathcal{I}m[p]\mathcal{R}e[p_{n}] - \mathcal{R}e[p]\mathcal{I}m[p_{n}]) - 2K_{1}\left(\mathcal{I}m\left[\frac{\partial p}{\partial z}\right] \cdot \mathcal{R}e\left[\frac{\partial p_{n}}{\partial z}\right] - \mathcal{R}e\left[\frac{\partial p}{\partial z}\right] \cdot \mathcal{I}m\left[\frac{\partial p_{n}}{\partial z}\right]\right). \tag{16}$$

414 Here, $\mathcal{R}e[]$ and $\mathcal{I}m[]$ represent real and imaginary parts, and p_n represents a complex pressure value at the *j*-th trap position 415 created by a single transducer n.

416 Due to the negative correlation between $\nabla^2 U(\mathbf{r}_i)$ and $U'(\mathbf{r}_i)$ (see Fig. 2b and explanation in section *Metric Validity*), we can obtain our cost function $O(\boldsymbol{\varphi})$ to maximize the trapping stiffnesses as: 417

418
$$O(\boldsymbol{\varphi}) = \sum_{i=1}^{J} \left[U'(\boldsymbol{r}_i) + w_s \left(\overline{U'(\boldsymbol{r}_i)} - U'(\boldsymbol{r}_i) \right)^2 \right]. \tag{17}$$

419 The weight coefficient w_s was fixed to 0.0001 in this study. The gradient of this cost function $\nabla O(\varphi)$ can be computed as:

420
$$\frac{\partial O(\boldsymbol{\varphi})}{\partial \varphi_n} = \sum_{j=1}^{J} \left[\frac{\partial U'(\boldsymbol{r}_j)}{\partial \varphi_n} + 2w_s \left(\overline{U'(\boldsymbol{r}_j)} - U'(\boldsymbol{r}_j) \right) \left(\frac{\overline{\partial U'(\boldsymbol{r}_j)}}{\partial \varphi_n} - \frac{\partial U'(\boldsymbol{r}_j)}{\partial \varphi_n} \right) \right].$$
(18)

421 Again, computing this gradient requires sampling pressure values at only two points per trap, allowing high-speed computation.

422 Although any optimization algorithm, such as BFGS, can be used to minimize this cost function $O(\boldsymbol{\varphi})$, we decided to use 423 gradient descent because it is suitable for parallel computation. For further simplicity, we set the step size of the gradient descent 424 algorithm to $-1/\|\nabla O(\boldsymbol{\varphi})\|_2$, which can be determined without using any line searching algorithm. For all evaluations in this 425 study, we set the number of iterations K = 100, based on the evaluation in section Convergence and Initialization.

426 **Evaluation of Our Acoustic Holographic Technique**

427 In this section, we describe how we evaluated our acoustic holographic technique. In the evaluations, we used four 3D models, 428 flat, smooth, bricks, and bunny. We used a polygon mesh processing library (44) to uniformly re-mesh the 3D models so that the 429 maximum length of the mesh elements l_{max} is always less than λ , $\lambda/2$, $\lambda/4$, or $\lambda/6$, as shown in Fig. S3. The program detects 430 edges with dihedral angles larger than certain degrees as object features and reserves those features while re-meshing. In most of the evaluations, we used the models with $l_{max} = \lambda/2$, as it is the best-balanced mesh size between speed and accuracy (detailed in 431

432 Mesh-size Dependency of the Trap Quality).

402



433 434

455

460

Fig. S3. 3D models used for the evaluations: flat, smooth, bricks, and bunny. The 3D models were re-meshed to have a 435 maximum length of λ , $\lambda/2$, $\lambda/4$, or $\lambda/6$.

436 Metric Validity: As described earlier, our SIMPLIFIED levitation solver uses the simplified Gor'kov potential $U'(r_i)$ of Eq. 2 437 to evaluate the quality of traps, instead of using the trapping stiffness $\nabla^2 U(\mathbf{r}_i)$. To justify our choice of the metric, we evaluated 438 the correlation between $U'(r_i)$ and $\nabla^2 U(r_i)$. In this evaluation, the sound-scattering objects with $l_{max} = \lambda/2$ (see Fig. S3) were 439 placed at the origin (x, y, z) = (0, 0, 0), and the PAT was arranged at 12 cm above the objects. We created single traps at 2,000 440 random arrangements for each of the four objects (i.e., so 8,000 samples in total). Here, the x and y coordinates of the trap 441 positions ranged from -5 to 5 cm, and z was set from 2 to 9 cm. The trap positions that were too close to the objects (i.e., the distance less than 2λ) were excluded. We used the *BASELINE* solver to create the traps and computed $U'(\mathbf{r}_i)$ and $\nabla^2 U(\mathbf{r}_i)$ to plot 442 them together (see Fig. 2b). The data obtained can be linearly fit as: $U'(\mathbf{r}_i) = b_1 \nabla^2 U(\mathbf{r}_i) + b_2 (b_1 = -7.23 \times 10^{-7})$ and $b_2 = -7.23 \times 10^{-7}$ 443 -1.69×10^{-8}), with the square of the correlation $R^2 = 0.940$. This correlation indicates that minimizing $U'(r_i)$ would result in 444 445 maximizing the trapping stiffness $\nabla^2 U(\mathbf{r}_i)$.

446 Although we confirmed that our simplified Gor'kov potential $U'(r_i)$ can be used in our set-ups (i.e., the top array with 447 arbitrary objects), this does not necessarily apply to all experimental set-ups. Here, we demonstrate how our technique can be 448 adjusted to three other PAT set-ups: the top-bottom, V-shape, and single-sided without any reflector. Note here that we assumed 449 using the same 16×16 PAT, but the top-bottom and V-shape ones use two PATs. First, we can use the same simplification (i.e., Eq. 450 2) for the top-bottom set-up because sound waves propagating in +z and -z directions from the top and bottom arrays can create 451 vertical standing-wave-like traps (see Fig. S4a). In the V-shape set-up with an angle between PATs ($\phi = 90^\circ$), the propagation 452 directions of the two PATs are $(\sin \phi/2, 0, \cos \phi/2)$ and $(-\sin \phi/2, 0, \cos \phi/2)$, respectively. Therefore, thanks to the waves 453 propagating in opposite directions along the x-axis, the following metric enables the creation of strong levitation traps (see Fig. 454 S4b):

$$U'(\mathbf{r}_j) = K_1 |\mathbf{p}|^2 - K_2 \left| \frac{\partial p}{\partial x} \right|^2.$$
⁽¹⁹⁾

456 Note here that the constants K_1 and K_2 are determined by the physical properties of particles and air (see Eq. 15). The single-sided 457 set-up without any reflector is the most challenging of the three due to the absence of the sound wave propagating in the opposite 458 direction. However, we can still create a vortex trap (see Fig. S4c), which is very similar to that already demonstrated in (4), by 459 using the following metric:

$$U'(\mathbf{r}_j) = K_1 |p|^2 - K_2 \left(\left| \frac{\partial p}{\partial x} \right|^2 + \left| \frac{\partial p}{\partial y} \right|^2 \right).$$
(20)

461 Our two-step scattering model works in any levitation set-up. Thus, by combining it with the levitation solver using the proper 462 metrics, we can create levitation traps with the top-bottom, V-shape, and single-sided set-ups, even in the presence of sound-463 scattering objects (i.e., the sphere with a radius of 3 cm; see Figs. S4d, S4e, S4f).

Without scattering objects



465 Fig. S4. Other possible metrics for different set-ups. Creation of levitation traps with (a) top-bottom, (b) V-shape, and 466 (c) single-sided set-ups. (d, e, f) We can create traps with these set-ups even in the presence of sound-scattering objects 467 (i.e., the sphere with a radius of 3 cm). The trapping stiffness $\nabla^2 U(\mathbf{r}_i)$ in each case is shown as a reference.

468 Distortion and Correction of Sound Fields: To show how sound fields are distorted by sound-scattering objects and how 469 they are corrected by our two-step scattering model, we attempted to create four traps without (assuming-flat) and with (ours) our 470 model and simulated the generated sound fields. In this evaluation, we used different two 3D models (i.e., smooth, bricks) in Fig. 471 S3. As the assuming-flat model, we used the method of images (20). This method can compute sound waves scattering from a flat 472 reflector, by assuming them as the waves emitted by virtual sound sources located at the mirrored positions of the actual sources 473 (i.e., transducers). Therefore, these assuming-flat simulations do not account for sound scattering from the objects (i.e., assuming 474 there was only a flat reflector), and thus the generated sound fields can be distorted due to ignoring the presence of the objects. As 475 ours, we used our two-step scattering model and compared the results with the assuming-flat model (Figs. S5a, S5b). Then, as in 476 the surface-scanning-based display application (Fig. 4d), we horizontally rotated the trap positions and plotted the trapping stiffnesses $\nabla^2 U(\mathbf{r}_i)$ at four trap points according to the rotation angle (Figs. S5c, S5d). 477

478 Fig. S5 shows that the sound fields are distorted a lot by both of the objects (e.g., the mean trapping stiffnesses decrease 77% 479 and 75% on average, respectively). The bricks object is more challenging as it has a non-smooth surface. The minimum trapping 480 stiffness with bricks using the assuming-flat model becomes even negative (Fig. S5d), suggesting at least one of the four traps is 481 not able to levitate a particle (e.g., the bottom-right trap in the assuming-flat image of Fig. S5b). On the other hand, our two-step 482 scattering model can correct such distortion and improve the trapping stiffness by accounting for the sound scattering from the 483 objects.



484 485 486

Fig. S5. Distortion and correction of the sound fields. (a, b) Sound-field simulations when creating four traps without (assuming-flat) and with (ours) our two-step scattering model, with different 3D models (i.e., smooth, bricks). (c, d) Plots 487 showing the mean trapping stiffness of the four traps when the trap positions horizontally rotate. The shaded areas 488 represent minimum and maximum trapping stiffnesses in each case.

489 Computational Performance: Next, we evaluated the computational performance of our technique using a consumer-grade 490 laptop PC (Intel Core i7-9750H CPU at 2.60 GHz) with a single GPU (NVIDIA GeForce RTX 2080). We used C++ and OpenCL 491 for a parallelized implementation of our method. The positions of traps and mesh elements were randomly generated to be tested 492 as the computational time does not depend on them. We tested 100 times for each combination of the numbers of traps J =493 $\{1, 2, 4, 8, 16\}$ and mesh elements $M = \{1,000, 2,000, 4,000, 8,000, 16,000, 32,000\}$, and reported the average of the 494 computational times. Note here that in our implementation, the maximum number of frames (i.e., transducers' activation) that the 495 GPU can compute at the same time depends on the number of workgroup size of the GPU (i.e., $N_w = 1,024$ in this case) and the 496 number of points of interest required to compute each frame (i.e., L = 2I in our solver), determined as: $N_w/2I$. This indicates the 497 importance of choosing a metric with a small L as it directly relates to the available update rates, for example, using our simplified 498 metric (L = 2I) enables the solver to compute about twice faster as using the original Gor'kov potential (L = 4I).

499 Figure 2c summarizes the total computational performance of our technique (i.e., the combination of our model and solver 500 after the pre-computation), for given numbers of transducers (N = 256) and iterations for the solver (K = 100). Additionally, we 501 tested how fast our scattering model can compute alone to show the breakdown of the computational times (see Fig. S6a). In these 502 plots, the solid lines represent the computational time for only the model, and the dashed lines represent the total computational 503 time (i.e., the same plots as in Fig. 2c). These plots indicate that the solving process becomes more dominant when the number of 504 traps J is higher. This is more notable when the number of iterations K is higher (see Fig. S6b). The numbers of transducers N and 505 traps I are determined by the hardware and applications, respectively, and thus cannot be changed. To reduce the total 506 computational time while keeping sufficient accuracy, the numbers of mesh elements M and iterations K are keys to balancing 507 between speed and accuracy, and we explore these next.

In these performance evaluations, we excluded the set-up-related part (i.e., pre-computation for the matrix H) as our main focus is on the ability of our method to retain real-time high-computing rates for applications. Unlike the application-related part, the computational time for the set-up-related part does not depend only on N, L, and M but also on the object geometry. That is, even when two objects have the same number of mesh elements M, the computational times for these objects could differ (e.g., the *flat* reflector is easy to be solved). As references, the pre-computation for the 3D models in Fig. S3 with $l_{max} = \lambda/2$ takes about 9 s for *flat*, 12 s for *smooth*, 21 s for *bricks*, and 17 s for *bunny*, using a naïve CPU implementation.



514Number of mesh elements (M)Number of mesh elements (M)515Fig. S6. Computational performance in detail. (a) Computational performance of only the scattering model (solid lines).516The dashed lines represent the total computational time (i.e., model + solver excluding the pre-computation) when the517number of iterations K = 100. (b) Total computational performance of the technique with different K.

518 **Mesh-size Dependency of the Trap Quality:** As shown in Fig. 2c, the number of mesh elements *M* is an important parameter 519 that highly affects the computational speed in our technique. The total number of mesh elements depends on the 3D models' mesh 520 resolutions (i.e., the size of the elements), which also influences the accuracy of BEM. In general scattering problems using BEM, 521 six boundary elements per wavelength are usually required for accurate scattering simulations (*45*). However, the purpose of this 522 work is to solve for transducer phases that provide sufficient trapping stiffness, not to accurately simulate sound fields; therefore, 523 such high degrees of freedom per wavelength might not be necessary for our scattering model.

To find the best-balanced size for the mesh elements, we evaluated the mesh-size dependency of the trap quality (i.e., stiffness) using the 3D models in Fig. S3 with different maximum lengths of the mesh elements $l_{max} = \{\lambda, \lambda/2, \lambda/4, \lambda/6\}$. In this evaluation, we created single traps using the *BASELINE* solver at the same trap positions used in the *Metric Validity* test and then simulated the trapping stiffness $\nabla^2(\mathbf{r}_j)$ using the finest meshes (i.e., $\lambda/6$). Figure S7 summarizes the mean stiffnesses, showing that the use of $l_{max} = \lambda$ is insufficient for our two-step scattering model, failing to provide enough stiffness (e.g., especially for *smooth* and *bricks*) compared to the subwavelength maximum element sizes. Considering the balance between speed and accuracy, we decided to use $l_{max} = \lambda/2$ in our solver for the rest of the evaluations.



531 Reflector 532 **Fig. S7. Mesh-size dependency of the trap quality in our acoustic holographic technique.** When the maximum length 533 of the mesh elements $l_{max} = \lambda$, the model fails to provide enough stiffnesses at the trap positions.

Convergence and Initialization: We now show how our *SIMPLIFIED* levitation solver performs on multi-point levitation (i.e., number of traps $J = \{1, 2, 4, 8, 16\}$) in the presence of the four scattering objects used in the previous evaluations (see Fig. S3). We used 1,000 random combinations of trap positions per condition. To avoid cases where traps were too close to each other, we set the minimum distance between the traps to 2λ . Figure S8a shows the average stiffnesses and their standard deviations with different numbers of traps *J*, with $K = \{10, 20, 40, 80, 100, 200, 400, 800\}$, showing the increase of stiffness along with iterations, when transducer phases were randomly initialized. Even with the highest number of traps (i.e., J = 16), we can achieve positive stiffnesses, required for trapping particles, after several iterations.

541 Figure S8b shows the results when we used the phases obtained using the modified HEURISTIC solver instead of random 542 initial phases. The plots demonstrate that the use of such HEURISTIC initial guesses reduces the required number of iterations K 543 in the SIMPLIFIED solver. Note here that even though the HEURISTIC solver already provides comparatively high mean 544 stiffnesses without iterations (i.e., K = 1), the iterations are still required to reduce the standard deviation. This is because, in 545 multi-point acoustic levitation, weak traps could fail to hold particles in mid-air (15), and the objective is to generate equally 546 strong traps (see more discussion in the next section). The advantage of using the modified version of the HEURISTIC solver is 547 that it uses pressure values at exactly the same points with the SIMPLIFIED solver (i.e., at the trap position (x_i, y_i, z_i) and the 548 position slightly above it $(x_i, y_i, z_i + h)$ so that we can use the same transmission matrix **E** for both these initial and iterative 549 steps, without any additional modeling process required. Following these, this *HEURISTIC* initialization and K = 100 were used 550 in all the applications and for the rest of the evaluations.



Fig. S8. Convergence of the simplified levitation solver. (a) The trap quality of the *SIMPLIFIED* solver improves depending on the number of iterations *K*. **(b)** The use of the modified *HEURISTIC* solver as initial guesses reduces the number of iterations required to be converged. The error bars represent standard deviations.

Comparison between the Solvers: In this study, we considered using three solvers: *BASELINE*, *HEURISTIC*, and *SIMPLIFIED*, with our two-step scattering model. Here, we compare these three solvers to demonstrate that only the *SIMPLIFIED* solver provides both high computational speed and trap quality. Similar to the previous evaluation, we used 1,000 random combinations of trap positions per condition (i.e., four scattering objects with the different numbers of traps $J = \{1, 2, 4, 8, 16\}$). The numbers of transducers (N = 256) and iterations (K = 100) were fixed.

Figure S9a shows the average trapping stiffnesses and their standard deviations obtained by the different solvers. The mean values indicate that *BASELINE* overall is slightly better than *HEURISTIC* and that the performance of *SIMPLIFIED* tends to be between these two. We also confirmed this relationship statistically using the statistics software (i.e., IBM SPSS Statistics 25), as shown in Fig. S9a. The plots also show that *SIMPLIFIED* provides the smallest standard deviations of the solvers. Providing small standard deviations is important in multi-point acoustic levitation to avoid weak traps and realize stable particle manipulation (15).

To highlight this point, we performed the same evaluation but focused on the weakest traps of the *J* traps (see Fig. S9b). The plots indicate that the difference between *HEURISTIC* and the other two becomes more apparent, and *HEURISTIC* likely fails to create traps when the number of traps is large (i.e., negative stiffness with J = 16). This is why *HEURISTIC* is not enough even though it offers the fastest computational performance. Figure S9b also shows that *SIMPLIFIED* performs slightly better than even *BASELINE* in terms of the minimum stiffnesses, indicating that *SIMPLIFIED* is more suitable to uniformly provide sufficient stiffness for all the traps in multi-point levitation.

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571 Number of traps (J)
 572 Fig. S9. Comparison of the trap quality between the different solvers. Average stiffnesses (a) and minimum stiffnesses
 573 (b) obtained by the three different solvers. The bars represent standard deviations. The symbol '<' indicates there is a significant difference between the homogeneous groups represented by the symbol '{}'.

575 <u>Manipulation Capability:</u>

576 In this section, we discuss the manipulation capabilities enabled by our technique.

577 **Moving Sound-scattering Objects:** In our scattering model, the mesh models remain static over time. This assumption allows 578 us to pre-compute the scattering model (i.e., the matrix H). In other words, dealing with dynamic scattering objects is challenging 579 in our acoustic holographic technique. If we know ahead of time the nature of the dynamic evolution of the sound scattering 580 object, different H matrices can be pre-computed, and the other two matrices F and G can be computed in real time. If the sound-581 scattering object changes in a manner that cannot be predicted ahead of time, we need to repeatedly solve linear equations $Ap^{(n)} =$ 582 $b^{(n)}$, where A is an $M \times M$ matrix, for every N transducer to compute H in real time. Note here that, as shown in Eq. 8, the matrix 583 A depends only on the geometry of the scattering objects and not on the positions of the transducers or traps.

One common scenario is where the shape of the scattering object is constant but the object's position or transducers' arrangement changes. In such scenarios, we can assume the object is relatively static by assuming instead the positions of the transducers change. Thus, the matrix A is constant even while the actual position of the object is moving. Therefore, once we decompose this matrix (e.g., by using LU decomposition), we can reuse the decomposed matrices to easily solve the linear equations, obtaining different H at high rates during the movement of the object. Figure S10 shows an example of creating a POV image with a scattering object located at different positions. In these three examples, we used the same lower and upper triangular matrices, which were obtained from the decomposition of A, to accelerate the computation of H.



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Fig. S10. Creation of POV image with a scattering object located at different locations. (a, b, c) The center of the 3D-printed bunny was located at 0, 1, and 2 cm from the center of the system, respectively.

594 Scattering Objects Vicinity: One limitation of our technique is the manipulation of particles near the scattering surfaces. 595 When we try to create a trap near a surface, strong sound reflection from the surface tends to create standing-wave-like sound 596 fields on the surface, resulting in the creation of traps at certain discrete heights (z) from the surfaces (i.e., $z = \lambda/4, 3\lambda/4$). 597 Therefore, it is difficult to manipulate a particle from $z = \lambda/4$ to $z = 3\lambda/4$, or vice versa. To show this limitation, we tried to 598 create a single trap with our solver at certain heights (z) from the flat surface (see Fig. S11a) and plot how far the simulated trap 599 positions (i.e., positions where the Gor'kov potential is minimum) were from the target trap positions, even with the BASELINE 600 solver (see Fig. S11b). The plot shows very high position errors within the area around $\lambda/2 < z < 3\lambda/4$, indicating failures to 601 create the trap within this area. This manipulation difficulty near scattering surfaces was also confirmed experimentally. 602 Additional research efforts on both algorithmic and hardware fronts (e.g., transducer arrangement) are required for realizing 603 acoustic holographic systems with this feature.

A practical way to bypass this problem is the use of sound-scattering props (see Fig. S11c). Our two-step scattering model enables us to manipulate a particle along the ramp by creating traps $\lambda/4$ over the ramp surface. Once the particle is high enough from the surface (e.g., $z \ge 3\lambda/4 = 6.49$ mm), we can push the particle off the ramp and manipulate it in 3D without any constraint. We have experimentally confirmed this approach works to pick up particles from the ground.



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Fig. S11. Limitation on acoustic manipulation near scattering surfaces. (a) Experimental set-up. (b) Position error 609 610 near the flat surface depending on the trap height (z). Here, the wavelength $\lambda = 8.65$ mm in this study. (c) Sound-611 scattering prop enabling to translate particles near the surface.

612 Handling Liquid Droplets: Consider we manipulate a liquid droplet horizontally as shown in Fig. S12a. In acoustic 613 manipulation of liquid droplets, the ratio of acoustic forces to surface forces for a levitated droplet is described by the acoustic 614 Bond number (2, 33) as: $B_a = 2v_{rms}^2 \rho_0 R_s / \sigma$, where σ is the surface tension of the liquid; R_s is the droplet radius, and v_{rms} is the 615 root mean square of the acoustic velocity of air particles. To avoid atomization of the levitated droplet (i.e., droplet bursting), this 616 acoustic Bond number needs to be between 2.5 and 3.6, as experimentally determined in (33). Therefore, it is important to keep the 617 acoustic velocity constant along manipulation paths. In our experiment, we manipulated a liquid droplet horizontally (see Fig. 618 S12a and Movie S2). The fast computational rates of our technique enable us to estimate the acoustic velocity in real time and to

adjust the transducers' amplitudes to make the acoustic velocity constant along the manipulation path (see Fig. 9b). 619



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Fig. S12. Equalization of acoustic velocity along levitation paths to avoid atomization of droplets. (a) Definition of 622 the manipulation path of a pain droplet. (b) Adjusting the amplitudes of the transducers depending on the estimated 623 acoustic velocity (i.e., the velocity of air particles) allows the handling of liquid droplets without causing atomization.

624 **MR** Applications

625 In this section, we describe how we created the MR applications.

626 Experimental Set-ups: All our applications used the same levitation set-ups. The applications were created using a single 627 PAT of 16×16 transducers, designed as an extension of the Ultraino platform (6), modified for faster communication rates as 628 (15). The array used Murata MA40S4S transducers (40 kHz, 10.5 mm diameter (\sim 1.2 λ), delivering \sim 8.1 Pa at 1-m distance when 629 driven at 20 Vpp). A Waveshare CoreEP4CE10 Field Programmable Gate Array (FPGA) board was used to receive phase and 630 amplitude updates from the CPU, using a USB FT245 Asynchronous FIFO Interface at 8 Mbyte/sec and allowing more than 631 10,000 phase and amplitude updates per second. The PAT and a base flat acrylic reflector were aligned on top of each other with 632 an adjustable separation (e.g., fixed to 12 cm in this study). A square part $(12 \times 12 \text{ cm}^2)$ of the flat reflector can be replaced by 633 arbitrary scattering surfaces, such as 3D-printed ones, sets of bricks, and a glass container filled with water. We used a LulzBot 634 mini 3D printer with eSUN PLA+ filament to 3D-print the objects. For the interactive applications (see Movie S4), we used a 635 LeapMotion sensor to detect the user's fingertip positions.

Mid-air Screen: We used the same method described in (12) to prepare the mid-air screen for levitation. We first laser-cut 636 637 light, acoustically transparent fabric (Super Organza) into a square of 3×3 cm². Four EPS particles were glued on the piece of fabric, acting as anchors to allow 6-degrees-of-freedom manipulation of the fabric. For projection mapping onto this levitated 638 639 fabric, we used a projector (Texas Instruments, DLP LightCrafter Evaluation Module) with a native resolution of 608×684 pixels. 640 We obtained the intrinsic parameters of this projector in advance by using an OpenCV function (calibrateCamera) with a 641 checkerboard and a web camera, and then obtained the extrinsic parameters (i.e., positions and orientation, relative to the levitator 642 coordinate) by using the manually collected combinations of trap positions in the levitator coordinate and pixel positions in the 643 projector coordinate. We then used such parameters for our OpenGL cameras (i.e., projection and view matrices) to enable real-644 time projection mapping (see Fig. 1b).

Point-scanning-based Volumetric Display: In these applications, we used high-intensity full-color LEDs (OptoSupply, 645 646 OSTCWBTHC1S) to illuminate the levitated EPS particles. The LEDs were directly controlled by the FPGA, which controls the 647 transducers as well so that the illumination colors and the movements of the levitated particles were synchronized. All the 648 scanning paths were generated to be scanned by the particles in the POV time (i.e., 0.1 s). Therefore, we were able to create the 649 volumetric POV images (see Figs. 4a, 4b, and 4c).

Note here that in the point-scanning-based approach, the maximum number of voxels N_v is determined by the update rate of the levitator f_l , the number of traps J, and the POV rate ($f_{POV} = 10 \text{ Hz}$) as: $N_v = J \cdot f_l / f_{POV}$ (e.g., $N_v = 4,000$ when $f_l = 10,000$ and J = 4). Also, there are additional constraints in the voxel arrangement because the paths created by these voxels need to be scanned by single or multiple points. That is, the voxels need to be continuous, and the particle movements along the voxel paths need to be within the system's capabilities (i.e., maximum velocity and acceleration). These constraints make it difficult to create complex volumetric shapes with the point-scanning-based approach.

656 Surface-scanning-based Volumetric Display: We re-used the same fabric, projector, and calibration scheme used in the mid-657 air screen application. However, in this application, we used the projector in a high-speed binary mode at 1,440 fps. As shown in 658 Fig. 4d, we placed a mirror in the system to cover the angles, where the projector is not capable of directly projecting onto the 659 fabric (i.e., when the fabric and projection direction become parallel). In other words, we used the mirror as a second projector. We created 144 cross-sectional binary images of a 3D model (i.e., bunny) every 1.25 degrees, mapped those images onto the 660 rotating screen, and encoded them into 24-bit images as in (46). Then the system levitated and rotated the fabric at five rotations 661 662 per second while updating the encoded images at 60 Hz. Our OpenGL-based software can adjust the timing of projecting the 663 cross-sectional images so that it matches the fabric's rotational timing. The software also receives a VSYNC signal to 664 automatically adjust the timing of projecting the cross-sectional images corresponding to the levitator update.

In the surface-based approach, the maximum number of voxels of created images N_v is determined by the update rate of the projector f_p and the number of pixels of projected 2D images N_p as: $N_v = N_p \cdot f_p / f_{POV}$. Thus, ideally, $N_v = 608 \times 684 \times 1,440 /$ $10 \approx 60,000,000$, which is almost 15,000 times larger than the point-based approach. Although it is not realistic to assume full use of the pixels with a static projector like in our current system, it is possible to increase the usage of the pixels to nearly 100% by utilizing a projection engine with a rotational mirror such as demonstrated in (39). Additionally, the voxel arrangement is independent of the content because it is fixed, so the displayed content does not need to account for the levitator's capabilities (i.e., velocity and acceleration), once the levitator is able to rotate the fabric at five rotations per second.

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