

### Identifying barriers to routine soil testing within beef and sheep farming systems

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## 1 Identifying barriers to routine soil testing

### 2 within beef and sheep farming systems

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#### 15 Highlights

16	•	Fewer UK beef & sheep farmers adopt soil index tests
17		than farmers from other sectors.
18	•	We explored factors influencing soil testing adoption
19		in the beef & sheep sector.
20	•	Differences in pressures and practice explain sectoral
21		differences in soil testing.
22	•	Beef & sheep farmer engagement with advisors results
23		in higher use of soil test data.
24	•	Linking soil condition to farm outputs is more likely to
25		prompt greater engagement.

26

#### 27 Abstract

28 Soil testing in agriculture is associated with many 29 economic and environmental benefits. However, previous 30 studies have shown that a significant proportion of beef and 31 sheep farmers in the UK do not carry out standard soil index 32 testing (pH, available Mg, P and K); with much fewer again 33 carrying out more extensive soil tests (e.g., organic matter, 34 micronutrients). This study identifies barriers and motivations 35 to soil testing amongst the beef and sheep sector, using a 36 combination of farmer surveys, expert interviews, and a 5-year soil testing dataset from the largest commercial UK soil testing 37

38 laboratory. Evidence for differences in the adoption of soil 39 tests by beef and sheep farmers compared to the arable and 40 dairy sectors is explained in relation to: (1) the extent of soil pH and nutrient imbalances, linking to the intensity of 41 42 management in the different sectors; and (2) the extent to 43 which farmers perceive links between their soils and their 44 outputs (profits, yield, livestock health). We show a greater 45 likelihood for farmers to engage with soil testing when the 46 links to declining outputs are clearer. Our results showed that 47 beef and sheep farmers who did engage with soil testing 48 showed greater levels of innovation and were more likely to 49 seek advisory support, most often associated with larger farm 50 sizes. Our data also highlights the importance of an output-51 driven approach to initiate an interest in soil analyses amongst 52 less engaged farmers. We argue that this avenue offers greater 53 potential for enhancement of farmers' knowledge of the soil 54 system than a primarily regulatory-driven approach, where soil testing becomes a compulsory action but does not lead to 55 56 subsequent improvements in farm management.

57

#### 58 **1. Introduction**

59 Globally, soil degradation in agroecosystems 60 represents one of the greatest threats to achieving food and 61 water security and continues to undermine our efforts to 62 combat climate change (Montanarella et al., 2016; Wuepper et 63 al., 2020). This decline in soil quality is typically characterised 64 by high rates of soil erosion, a loss of organic matter and 65 nutrient imbalances arising from land use change, agricultural 66 intensification and climate change (Borrelli et al., 2020). 67 However, recent years have seen a growing recognition of the 68 importance of soils and the gradual adoption of more 69 sustainable farming practices (Bouma et al., 2012; Keesstra et 70 al., 2016). Despite these advances, there is still considerable 71 scope to improve basic soil management, especially through 72 greater adoption of routine soil testing for agronomic use 73 (Carlisle, 2016; Li et al., 2020).

74 One common approach used to improve on-farm 75 nutrient use efficiency is through nutrient management 76 planning, which include a range of soil quality metrics, known 77 as standard soil index testing (e.g., pH, P & K indices and Mg 78 content; AHDB, 2020). Despite promotion of the potential 79 benefits of soil index testing to farmers (through various 80 channels), low rates of soil index testing still exist within some 81 farming sectors, namely amongst beef and sheep producers 82 (Carlisle, 2016). For example, in England, only 51% of beef 83 and sheep farmers regularly carry out soil index tests compared 84 to 89% of dairy farms and 97% of arable (DEFRA, 2013).

The lower rates of soil index testing adoption by the beef and sheep sector raises particular concerns. This is because soil testing provides opportunities for farmers to

88 optimise productivity and reduce inefficient resource use 89 (Goulding et al., 2008; Kettering et al., 2012), which can help 90 ensure financial resilience at a time where state aid and farm subsidies are dramatically declining. Whilst many beef and 91 92 sheep farms are less intensively managed than arable and dairy 93 systems (with lower stocking and input levels), particularly 94 those in upland areas and/or with areas of high environmental 95 value, factors including topography, soil fragility and weather 96 extremes mean these farms can be particularly sensitive to 97 nutrient imbalances and losses (Caporn and Emmett, 2009; Orr 98 et al., 2008). Given these concerns, and the distinctive 99 characteristics of beef and sheep farms, tailored analysis to 100 understand the behaviours and rationale of these farmers is 101 needed to ensure widespread adoption of soil index testing in 102 the future.

103 As our understanding of soil health improves, it has 104 become apparent that a more holistic approach to soil testing 105 that incorporates the physical, biological and chemical aspects 106 of soils, rather than relying on a small suite of indicators 107 through soil index testing (e.g., pH, P, K and Mg), can be more 108 informative and beneficial for making farm management 109 decisions. It has also been argued that the simplicity of current 110 soil index testing impedes knowledge transfer between farm 111 advisors and farmers (De Bruyn and Andrews, 2016). The use 112 of additional soil tests beyond soil index testing (e.g., for

113 micronutrients, organic matter content, soil texture and 114 microbial biomass) are readily available from soil testing facilities across the UK but are not readily adopted. For 115 116 example, in England only 35% of all farms (across all farming 117 sectors) monitor soil organic matter (DEFRA, 2018, 2013). 118 However, the reasons why and/or more advanced soil testing 119 is not undertaken by farmers are unclear, preventing the design of effective campaigns to promote greater adoption to improve 120 121 farm sustainability. For the purpose of this study, we define 122 soil index tests used for agronomic purposes, that assess the 123 lime and fertiliser/manure application needs to optimize crop 124 production, as 'soil tests'; and those that help with other 125 agronomic decisions and/or used to monitor soil health as 126 'advanced' soil tests.

127 Research into how farmers' perceptions of soil testing 128 influences farm practice has been longstanding, but the lack of 129 widespread adoption remains unresolved (Brandt, 2003; 130 Daxini et al., 2018; Dessart et al., 2019; Hyland et al., 2018; 131 Ingram et al., 2010; Osmond et al., 2015; Prager and 132 Posthumus, 2011). Various socio-economic, cultural. 133 institutional and environmental factors can influence a 134 farmer's decision to engage with soil testing (Dessart et al., 135 2019; Hyland et al., 2018; Prager and Posthumus, 2011). However, the sectoral differences in soil testing adoption rates 136 137 previously noted are largely unexplained; though larger, more

138 intensive farms are more commonly seen to test (DEFRA, 139 2013). Daxini et al. (2018) emphasize the importance of 140 psychological factors alongside socio-economic parameters, 141 particularly attitudinal response and social norms, which 142 reflect the benefits perceived from undertaking particular 143 behaviours and whether wider peer and public pressure is felt. 144 Better understanding of why and how positive attitudinal traits 145 develop would advance these insights further. Regulatory 146 pressure can also be a critical driver for soil testing, and has 147 continued to increase in recent years (DEFRA and EA, 2018; 148 Scottish Statutory, 2017; Welsh Government, 2020). However, 149 regulation to enforce testing does not necessarily translate into 150 changes in management (Daxini et al., 2018). The potential 151 reasons for this include a lack of awareness or perceived 152 benefit amongst farmers, reliance on customary practise, and 153 associated costs and difficulties with implementation (Brandt, 154 2003; Hyland et al., 2018; Ingram, 2008; Ingram et al., 2010; 155 Osmond et al., 2015). In these instances education therefore 156 seems key, though despite marked educational efforts in recent 157 years (Ingram, 2008; Krzywoszynska, 2019; Puig de la 158 Bellacasa, 2015) some farmers do not appreciate the potential 159 benefits of better management of their soils (Ingram, 2008; 160 Krzywoszynska, 2019). In turn, this study aims to (i) 161 understand why the beef and sheep sector has a much slower 162 soil testing adoption rate in comparison to the arable and dairy

sector, and (ii) ascertain what socio-economic and
psychological factors are associated with soil testing and
planned management behaviours.

#### 166 **2. Materials and methods**

#### 167 **2.1. Grassland and arable soil indices status**

168 To understand the influence of soil indices on farmers' 169 likelihood to soil test, we investigated differences in soil pH, P 170 & K indices and Mg content between grassland (this encompasses the dairy, beef and sheep, as the dataset did not 171 172 allow for a split between these sectors) and arable soils. These 173 indices were chosen as they are the recommended parameters 174 to determine lime and fertiliser/manure requirements for 175 optimal crop production, as outlined by the RB209 fertiliser 176 manual guidelines (hereon noted as 'RB209') (AHDB, 2020). 177 This was done using the soil analysis data collected by a major 178 UK soil testing lab. The database constituted the results of 179 samples submitted by farmers and/or consultants over a 5-year 180 period (2013-2017). For each soil variable, we calculated the 181 proportion of samples from grassland or arable land that were 182 lower, optimum and/or higher than the recommended values 183 for the corresponding farming sector stated in RB209 (AHDB, 184 2020). In this way, differences in relative soil indices were 185 compared between the sectors to determine whether this could 186 be influencing the farmer's decision to soil test. To ensure that 187 samples were from a 'continuous' land use (grass or arable), these comparisons were made on a subset of soil samples that classified both the previous and next crop as either arable or grassland, resulting in 92,001 and 73,454 samples from each sector, respectively.

192 **2.2. Beef and sheep farmers survey** 

193 To attain broad-scale insight into the levels of 194 engagement with soil testing amongst beef and sheep farmers, 195 we surveyed 302 such farmers from across the UK. This was 196 Toolbox and widely administered online using Kobo 197 publicised in the farming press and on social media, and 198 conducted face-to-face at key agricultural events between June 199 and December 2019. The survey was designed to derive 200 primarily quantitative information on the following points:

- Respondent demographics
- Farm business characteristics
- If/how they tested their soils
- Attitudes and rationale regarding soil testing
- Current and future management practices (responding to
   test results/not)

• The influence of regulatory pressures

The profile of survey participants can be found in Table 1. Some open-response questions were also included to provide additional qualitative detail to support analysis of the quantitative data. Questions were devised to capture both socio-economic and attitudinal factors, including

213 perceptions of soil testing and links to outcomes, following
214 recommendations from recent studies (see e.g. Daxini et al.,
215 2018).

216 All statistical analysis of the survey data was carried 217 out using the statistical programme R (R Core Team, 2019). 218 We used linear discriminant analysis to calculate the average 219 marginal effects of each factor that may contribute to a 220 livestock farmer's decision to soil test. The response and 221 explanatory variables included in the model are summarised in 222 Table 1. An Innovation score and Positive soil testing 223 perception score was calculated for each participant as the sum 224 of answers to relevant questions associated with each, as 225 detailed in Table 1.

226 We used the 'factoextra' R package (Kassambara and 227 Mundt, 2019) to perform hierarchical clustering analysis on 228 participants' Likert responses (0-1) to statements about how 229 important they perceive soil testing can be in improving animal 230 health, yield (of grass), profit, soil health and forage (grass or 231 conserved grass) quality. We determined the optimal number of clusters through k-means partitioning methods to be n = 3. 232 233 Respondents were grouped into their respective farmer group 234 clusters and Kruskal-Wallis tests were performed for each 235 Likert. This was followed by a Dunn multiple comparisons test (Dunn, 1964) to determine differences between farmer groups 236 237 for each Likert question.

Binomial regressions were used to determine whether groupings differed characteristically (e.g. Age) and behaviourally (e.g. Innovation score) for attributes that were not included in the hierarchical cluster analysis.

### 242 **2.3. Stakeholder interviews**

243 To provide further qualitative insight on farmers' 244 behaviours, and the reasons underpinning these, interviews 245 with eight expert stakeholders was undertaken in August-246 September 2019. This primarily involved farm advisory 247 consultants who worked with farmers across the UK to offer 248 support and advice on soils and associated farm management 249 practices. Some of these advisors worked across all farm 250 sectors (4 respondents), whilst others were selected 251 specifically for their specialism in pasture-based livestock (3) 252 respondents). Respondents from soil testing laboratories, who 253 were also involved in extension activities, were also 254 interviewed (1 respondent). Respondents each had over ten 255 years' experience working in the sector and each work with a 256 at least a hundred diverse farms on a regular basis, therefore 257 providing insights from a wide cross-sector of the industry.

Interviews lasted between 30–60 minutes and were audio recorded to support transcription and subsequent thematic analysis. All respondents, for both interviews and the survey, were given a project information sheet and provided their informed consent prior to questioning. Saturation in

themes was reached after eight interviews, meaning furtherdata capture was not necessary.

#### 265 **3. Results and Discussion**

# 3.1. Understanding differences in motivations to soil test between sectors

We found that 99.2% of arable soil samples sent for analysis and 98.6% from grasslands (dairy, beef and sheep) did not meet the optimum recommended UK guidelines for at least one of the four soil quality indicators (pH, P, K and Mg; Figure 1). These results indicate that underperforming soils (i.e., soils that do not meet the soil indices criteria for optimal crop production) are an influential driver in a farmers' motivation to soil test.

275 Due to the more intensive nature of arable systems and their greater crop offtake rates (Chiari et al., 1989; Withers et 276 277 al., 2006), these soils may more readily suffer nutrient or pH 278 imbalances in comparison to grassland soils (Muhammed et 279 al., 2018). This was reflected by the data that showed a lower 280 proportion of soils from arable farms to have optimal pH 281 compared to soils from grassland farms (15% and 37% 282 respectively, Figure 1). On-farm productivity monitoring also 283 varies between these sectors; arable farmers base this on crop 284 yields, whilst grassland farmers traditionally focus on their 285 livestock (e.g., growth, weight, health, milk yield, etc.). When 286 productivity is compromised, the role of soil indices may 287 therefore be more apparent for arable farmers due to the more direct soil-plant relationships compared to the indirect soilplant-livestock relationships. The resulting realisation that their soil is underperforming thus explains the higher levels of soil testing adoption by arable farmers than grassland beef and sheep farmers across the UK (DEFRA, 2013). These differences in focus and awareness were outlined by interviewed experts:

295 "The combine harvester tells [arable farmers] exactly 296 what each field is doing [in terms of yields] but within the 297 livestock sector very few [farmers] are measuring grass yield 298 and quality (Expert 3)"

299 "Arable farmers aim to produce the maximum yield
300 possible [...] grassland farmers aim to produce just enough
301 grass for their livestock (Expert 1)"

302 "[Beef and sheep farmers'] primary focus, in my
303 experience, is always going to be their [livestock], not their
304 soil. (Expert 7)"

305 Despite this, grassland farmers are increasingly being 306 encouraged to assess visual soil parameters (e.g., compaction or earthworm counts (Guimarães et al., 2011)) and to monitor 307 308 grass yield to better make the link between soil condition and 309 farm productivity (AHDB, 2018a, 2018b). However, it appears 310 that this has not been widely adopted by the beef and sheep 311 sector (Forager, 2017) compared to the dairy sector, the latter 312 generally being more engaged with such monitoring practices

and thus more readily associate productivity with soil
conditions and input management (Beegle et al., 2000). In turn,
this helps explain their higher levels of soil testing compared
to beef and sheep farmers (DEFRA, 2013). This notion was
supported by Expert 4:

318 "I think some of the dairy [farmers] are a little
319 more in tune with soil testing, with them being more
320 intensive systems. They also have more of a history of
321 soil and tissue testing. (Expert 4)"

Furthermore, dairy farms produce more manure that is stored in fully liquid slurry storage systems compared to beef and sheep farms (DEFRA, 2013), which has also been shown to be positively associated with increased adoption of soil testing (Buckley et al., 2015).

327 We found that motivations to soil test are also linked to 328 engagement with independent advisors (Figure 2), which 329 differs between farming sectors. Traditionally, a higher 330 proportion of cereal and dairy farmers seek this type of advice 331 for nutrient management planning compared to beef and sheep 332 livestock farmers (85%, 78% and 59%, respectively(DEFRA, 333 2019)). We found that 55% of the beef and sheep farmers who 334 soil test do so without help from an independent advisor (data 335 not shown). This highlights a clear lack of engagement with 336 independent advisors amongst beef and sheep farmers which is

also likely to be contributing towards adoption differencesbetween sectors. As expressed by Expert 1:

339 "Most arable farmers will have an agronomist...
340 grassland farms, particularly smaller family-run farms
341 will not have an agronomist or any other link to a
342 company that provides this service. (Expert 1)"

As the above comment highlights, farm size may also be a corresponding factor determining farmer engagement with advisors. Hence, the distinction is not just one of farm type, but that farm type often correlates with size, with dairy and arable predominantly being larger farms (DEFRA, 2015).

348

## 349 3.2. Understanding beef and sheep farm(er) 350 characteristics associated with (not) soil testing

351 In descending order of average marginal effect, the significant 352 factors that differentiated farmers who engaged in soil testing 353 from farmers that did not included a higher farmer innovation 354 score, engagement with independent advisors, higher farm 355 turnovers and positive perception of soil testing (Table 1; Figure 356 2). These findings are strongly aligned with similar factors 357 identified in the wider literature (Daxini et al., 2018; Dessart et 358 al., 2019; Hyland et al., 2018; Prager and Posthumus, 2011).

We saw that increased likelihood to soil test was correlated with reported adoption of other forms of innovation (High innovation score, Figure 2) and hence these farmers 362 seemed to be more actively adopting new practices to improve 363 their farm systems rather than relying on customary practice. 364 It was also notable that our farmer innovation score is co-365 correlated with the positive soil testing perception factor, 366 which aligns with wider reporting that farmers adopt new 367 technologies and/or farm practices when they perceive that an 368 innovation will lead to positive impacts (see also Pannell et al., 369 2006).

370 We also found that engagement with an independent 371 advisor increases the likelihood a farmer would soil test by 372 15% (Figure 2). This demonstrates the crucial role advisors 373 play initiating soil awareness, linking soil condition (e.g. soil 374 indices) to farm productivity and supporting farmers to make appropriate management decisions based on test results 375 376 (Daxini et al., 2018; Ingram, 2008). Farm turnover was also identified as an important factor (Figure 2), where farmers with 377 378 a below average turnover were 12% less likely to soil test 379 (Figure 2). This is likely to be linked to farms with higher 380 financial turnovers generally being associated with more 381 intensive systems, which typically have greater use of 382 resources, and greater financial capacity to engage with 383 advisors and implement the measures advised. Despite farm 384 size not significantly contributing to soil testing adoption 385 (Figure 2), we saw a general trend where soil testing adoption 386 is less frequent on smaller farms, similar to Ribaudo and Johansson (2007) and Daxini et al. (2018). This also aligns
with a wider acknowledgement that small farmers have lower
levels of turnover (DEFRA, 2015).

390 Finally, we found that farmers with a negative attitude 391 towards soil testing were less likely to adopt soil testing than 392 those who were more positive (Figure 2), due to a general lack 393 of awareness or perceived benefit. This was further confirmed 394 by 49% of non-soil testers reporting that they "didn't see the 395 point" of soil testing (data not shown). This aligns with the 396 findings of Daxini et al. (2018) on the psychological 397 parameters underpinning decision making.

398 To further our understanding on how differences in soil 399 testing perceptions influences the likelihood to soil test, we 400 utilised hierarchical cluster analysis to identify distinct farmer 401 groups based on how important they thought soil testing was 402 for improving animal health, profit, soil health, forage quality 403 and yield (these responses were averaged together to produce 404 the perception parameter within our binomial regression). We 405 identified three distinct groups (Figure 3); 1) The Engaged 406 farmers that expressed the highest level of positivity towards 407 soil testing and had the highest engagement with soil testing, 408 2) The Semi-engaged farmers who expressed slightly more 409 conservative views than The Engaged but still demonstrated 410 high soil testing adoption, and 3) The disengaged, who 411 expressed less confidence for soil testing improving most

412 aspects on the farm and a much lower proportion of which
413 engaged with soil testing. This supports our findings from our
414 binomial regression analysis by reinforcing the association
415 between positive soil testing perceptions and soil testing
416 adoption (Figure 2, Figure 3 and Figure 4a).

417 The characteristics of our identified farmer groups 418 found that *The Disengaged* had a much higher proportion of 419 older farmers than The Engaged and Semi-engaged (Figure 420 4c). Although our binomial analysis did not determine age as a 421 contributing factor for poor soil testing adoption, when 422 splitting the data into groups, it was clear that age influenced 423 soil testing perceptions (Figure 4c) and consequently adoption 424 (Buckley et al., 2015). Our identified farmer groups and their 425 characteristics were also strongly supported by interviewed 426 experts;

427 "You get more progressive, interested farmers
428 that are engaged and take advantage of soil workshops.
429 They read the literature and they learn. And then you
430 have other farmers who are less engaged and just doing
431 things how they've always done. (Expert 1)"

432 "There is a better understanding of the
433 importance of soils with [younger farmers]. (Expert 3)"
434 *The Engaged* placed higher importance on the
435 perceived benefits soil testing offers for improvements to each
436 of these aspects on the farm, animal health, profit, soil health,

forage quality and yield compared to *The Semi-engaged* and *Disengaged* (Figure 3), as did *The Semi-engaged* when
compared to *The Disengaged*. These findings highlight the
need to reinforce how soil testing has the potential to benefit
the farm, which corresponds to arguments made in section 3.1,
regarding the importance of connecting soil to measurements
of productivity/optimisation.

444 To fully appreciate the particularities of the beef and 445 sheep sector, it is important to note that within our high soil 446 testing adoption groups, The Engaged and Semi-engaged, we 447 still found a small proportion of farmers who do not soil test 448 (Figure 4a), suggesting that in some instances farmers 449 selectively choose not to soil test, even though they report a 450 general awareness of the benefits soil testing can bring. This 451 can be due to several factors that constrain production or 452 ambition to maximise productivity, such as farmland that is 453 part of an environmental scheme that prohibits application of 454 high input levels (Welsh Government, 2019).

455 Of those livestock farmers that did not soil test, 22% 456 stated that this was because they were satisfied with their 457 productivity and did not intend to increase grass growth to 458 meet their feed requirements (data not shown). This is not 459 unsurprising – typical nutrient application rates (as both 460 fertiliser/manure) on extensive beef and sheep farms are often 461 considerably lower than what is recommended to increase

462 grass yields (AHDB, 2020; British Survey of Fertiliser 463 Practise, 2019). Nutrient application recommendations based 464 on soil index tests from such farms could therefore be notably 465 higher than those rates currently applied. The environmental 466 costs of such farmers acting on this advice, such as greater 467 potential for eutrophication and greenhouse gas emissions 468 from greater application of fertilisers/manures should be considered. From an economic perspective, there is a rationale 469 470 for better optimisation of productivity, but it was notable that 471 24% of respondents did not test due to perceived 'high costs 472 associated with acting on advice' (data not shown). For 473 example, liming to increase soil pH can cost more than the 474 value of the extra return (Gibbons et al., 2014). Critical to this 475 is whether recommendations following testing would reveal 476 scope to optimise interventions within financially beneficial 477 margins.

#### 478 **3.3. Soil testing adoption through policy**

479 Finally, we consider the impact of policy. Here, we 480 found that 65% of those farmers that were soil testing reported 481 policy as a factor that influenced their decision to test (19%, 482 important, 18%, fairly important and 28% very important, data 483 not shown). However, such regulation has only recently been 484 implemented in England and whilst other countries in the UK 485 are also likely to follow (DAERA, 2019; DEFRA and EA, 486 Scottish Statutory, 2017; Welsh Government, 2018:

487 2020)(DAERA, 2019; DEFRA and EA, 2018; Scottish 488 Statutory, 2017; Welsh Government, 2020) this perceived 489 pressure could therefore increase in the future. However, 490 compulsory soil testing may not necessarily translate into good 491 management behaviours (Daxini et al 2018). Instead, Daxini et 492 al. (2018) and experts we interviewed felt that a notable 493 proportion of farmers were just doing the minimum to meet 494 regulatory requirements, and engagement with testing was not 495 substantively improving their understanding of, or care for, 496 their soil.

497 "I want nutrient management plans to be used and
498 not just stored in preparation for inspection ... I worry
499 the legislation is going to take us away from all the good
500 work [advisors have] been doing to encourage good soil
501 testing practice, and therefore it just becomes a
502 compliance exercise... (Expert 3)"

503 Our survey results do not reflect these fears, showing 504 that a high proportion of farmers were following the 505 management advice for nutrient application rates (as both 506 fertiliser/manure) and lime application rates based on their soil 507 index tests (data not shown), with only a very small proportion 508 ignoring the advice altogether (which was often explained in 509 relation to environmental restrictions, connecting with points 510 outlined above). However, the concerns raised by the 511 interviewed advisers is clearly reflected in the wider literature. 512 This is perhaps due to difference in context across different 513 studies, with regulatory obligations more widespread in Ireland 514 than in parts of the UK for example (Daxini et al., 2018).

#### 515 **3.4. Advanced soil testing**

516 In line with DEFRA (2018, 2013), we found far fewer 517 beef and sheep farmers to undertake advanced soil tests 518 compared to conventional soil index testing (Figure 5). 519 Reasons for this are likely to be similar to those we identified 520 with poor soil index testing adoption. Furthermore, poor 521 adoption is also likely to be associated with the lack of 522 standardised protocols, guidance as to which tests farmers 523 should be engaging with and how to interpret the results 524 (Briggs and Eclair-Heath, 2017).

#### 525 **4.** Conclusions

526 Our analysis explores the influence of soil condition, socio-527 economic factors and psychological factors associated with 528 lower levels of soil index testing amongst the UK beef and sheep 529 sector, compared to their dairy and arable counterparts. We 530 argue that it is accentuated by the characteristics of the beef and 531 sheep sectors, where farms are generally smaller, have a lower 532 turnover and engage less with advisory support compared to arable and dairy counterparts (Chiari et al., 1989; DEFRA ONS, 533 534 2015). Whilst this might appear to offer a poor prognosis for 535 improved adoption in the future, our data also affirms the 536 importance of an output driven approach to support farmer engagement with soil testing. We argue this approach offers greater potential to enhance farmers' knowledge of the soil system than a primarily regulatory driven approach, where soil testing becomes compulsory but does not necessarily lead to good management practice.

542 Finally, our analysis raises some points of caution, firstly for 543 extensive farms with high environmental importance, the 544 imperative to soil test seems less obvious as farmers may be 545 restricted (through environmental schemes) in their capacity to 546 act on recommended inputs from soil test results. Secondly, if 547 farmers are not aware of an apparent economic return from soil 548 testing, farmers would be less inclined to do so. Nonetheless, 549 these should be considered in future policy and market contexts 550 where the need to optimise grass production and utilization will 551 be fundamental to the survival, and ultimate success, of farmers 552 in these sectors.

#### 553 5. Acknowledgements

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Figure 1 Number of samples over 5 years sent into a major UK soil testing lab for analysis that are lower (yellow), optimum (green) and higher than the UK RB209 agronomic guidelines for soil a) pH b) K index c) P index and d) Mg index recommendations on arable and grass and forage crop field (arable n = 92,001 grassland and forage crops n = 73,454).





Figure 2 Predictors for soil testing among grassland beef and sheep farmers. The estimated average marginal effects derived from a logit binomial model predicting whether survey participants soil test or not. Factors significantly influencing farmers to soil test are represented in blue and factors influencing farmers not to test are represented in orange. Horizontal lines from each data point 95% confidence intervals. See SI appendix for full regression output.



764 Figure 3 Radar charts showing the average Likert responses (0-5: Not important - Very 765 Important) to questions on how important farmers thought soil testing was for improving animal 766 health, profit, soil health, forage quality and yields for each farmer group identified (Engaged, Semi-767 engaged, Disengaged). Letters denote significant differences between identified farmer groups for 768 each question answered.

769



771 Figure 4 The percentage of participant a) soil testing, b) engaging with independent advisors, 772 c) older than 54, d) with higher education, e) information score, f) innovation score, g) on a small 773 farm, h) with an above average turnover, i) on a tenancy farm, j) within an agri environment scheme

- and k) diversifying on the farm within each farmer group (Engaged, Semi-engaged, Disengaged).
- 775 Different letters denote significant differences between farmer groups.



Figure 5 Percentage of beef and sheep farmers who undertake advanced soil testing beyondthe basic soil index testing.

- 780 Table 1 Summary description of response and explanatory variables with their associated levels used
- for binomial regression and the percentage of respondents within each level. We utilised soil testing
- as our response variable and all other variables as explanatory variables.

Variables	Levels	Description for level	Percentage of
			total
			respondents
			(n=302)
Soil testing	Yes		81
	No		19
Age	Younger than		63
	54		
	Older than 54	-	37
Education	Further	Farmers with a level 4 qualification*	63
	education	(UK Gov Standard) or above were	
	Lower	considered to have further education.	37
	education		
Farm size	Small Farm	Standard Labour Requirements (SLR)	68
	$(SLR \le 2)$	are a coefficient that represents the	
	Large Farm	notional amount of labour required by	32
	(SLR > 2)	a holding to carry out all of its	
		agricultural activity and were	
		calculated for each participating farm	
		(Defra, 2014).	
Tenancy	Yes		57

	No	Participants with the whole or part of	43
		farm were considered as tenancy	
		farmers.	
Sector	Sheep		22
	Beef		14
	Both		63
Farm turnover	Below	Participants who stated their turnover	16
	Average	to be equal to or below £25,000 was	
	Turnover	considered to have a below average	
	Above	turnover for the grazing sector	84
	Average	(DEFRA ONS, 2015).	
	Turnover		
Agri-environment	Within an		50
scheme	Agri-		
	environment		
	scheme		
	Not within and		50
	Agri-		
	environment		
	scheme		
Diversification	Diversifying		76
	Not		24
	Diversifying		
	Yes		32

Engaging with	No		68
independent			
advisors/consultants			
Innovation score	0 – 1 (Low	Participants were scored with an	
	Innovation to	innovation score as the sum of the	
	Highly	number of options chosen with regards	
	Innovative)	to management practices made in the	
		last 5 years to improve forage quality,	
		animal genetics, soil pH, yields,	
		prepare for flood and drought events	
		and to incorporate new technologies	
		onto the farm and divided by 6.	
Positive soil testing	0-1 (Negative	Participants were scored with a	
perception	to positive	positive perception score as the sum of	
	perception)	five answers to 5-point Likert	
		questions linking the importance for	
		soil testing with positive on farm	
		outcomes to animal health, profit, soil	
		health, forage quality and yield and	
		divided by 5.	

783

\*this is equivalent to a Higher national certificate (HNC), Certificate of higher education

784 (CertHE), or Level 4 NVQ.