

# Active packaging based on PLA and chitosan-caseinate enriched rosemary essential oil coating for fresh minced chicken breast application

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## Highlights

- Poly lactic acid film was coated with chitosan or chitosan/sodium caseinate blend enriched with rosemary essential oil
- Addition of 2% rosemary essential oil in coating reduced the water vapor permeability by 25%
- Chitosan/sodium caseinate blend enriched with 2% of rosemary essential oil showed the greatest radical scavenging activity
- Chitosan/sodium caseinate blend with 1% and 2% of rosemary essential oil reduced the malondialdehyde concentration of chicken meat by 50%
- Samples packed with active films had lower concentration of heptanal and ethanol



PLA film



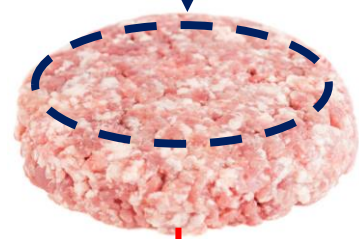
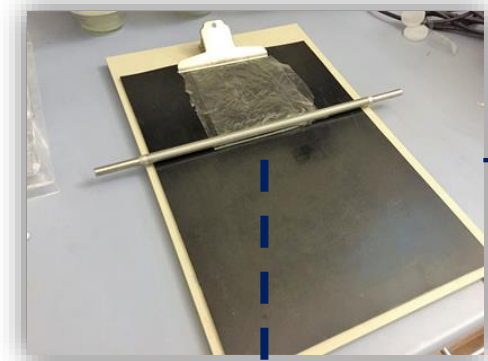
Chitosan (CH)



Sodium caseinate (SC)

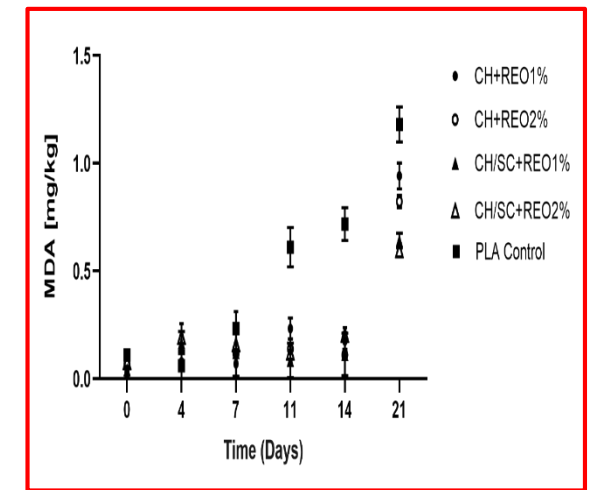
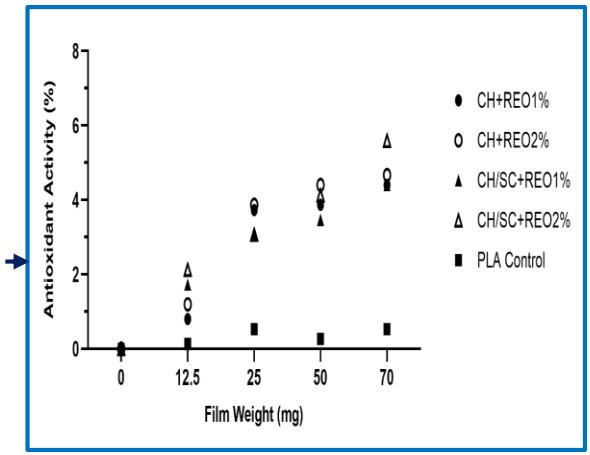
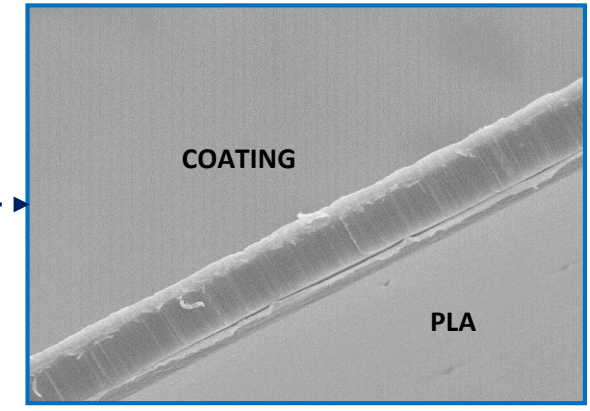


Rosemary essential oil (REO)



Physico-chemical chicken meat properties

Film properties



1     **Active packaging based on PLA and chitosan-caseinate enriched rosemary essential oil**  
2                                     **coating for fresh minced chicken breast application**

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12    **Abstract**

13    Active packaging systems are considered an effective way to prolong the shelf life of fresh  
14    food products. This study compared five different biopolymer films for their ability to delay  
15    the lipid oxidation of raw chicken meat. New antioxidant poly lactic acid film was prepared by  
16    coating the film surface with chitosan or chitosan/caseinate blend enriched with rosemary  
17    essential oil at concentration of 1% and 2%. Films were characterized in terms of  
18    microstructure, water vapor permeability, mechanical properties, and antioxidant capacity. In  
19    vivo study was done using fresh minced chicken meats stored at 4 °C and analysed after 0, 4,  
20    7, 11, 14, 21 days. Results indicated that water vapor transmission rate was reduced by the  
21    presence of the coating and assumed the lowest value ( $1.23 \pm 0.03 \times 10^{-4} \text{ g m}^{-2} \text{ s}^{-1}$ ) with coating  
22    enriched with 2% of rosemary essential oils. The films showed an antioxidant capacity of  
23    maximum 6% equivalent to the antioxidant capacity of 6,25 µg of REO/mL. Results from *in*  
24    vivo test showed that the active films were able to reduce meat oxidation during storage in

25 anaerobic modified atmosphere condition: samples packed with active film showed  
26 constant malondialdehyde (MDA) and colour up to 14 days and reduction of heptanal  
27 and ethanol concentration compared to samples packed with control film (72% and 90%  
28 respectively). Overall, this study has demonstrated that PLA films coated with an active  
29 coating are a promising delivery method for providing antioxidant effects in packaging  
30 for fresh meat products.

31 **Key words:** Antioxidant film, rosemary essential oil, chitosan, chicken breast, volatiles-  
32 organic-compounds, malondialdehyde.

33

34

## 35 **1. Introduction**

36 Active packaging can be designed to deliberately incorporate components that would release  
37 or absorb substances into or from the packaged food or the environment surrounding the food  
38 to enhance the food preservation (Regulation (EC) 1935/2004). These substances can be active  
39 compounds to be released into the packaging atmosphere or packed food (i.e. antimicrobial or  
40 antioxidant) or component able to absorb undesirable substances from the packaging head  
41 space (scavengers). Among natural antioxidant compounds, essential oils have  
42 been extensively studied to develop active films (Llana-Ruiz-Cabello *et al.*, 2018; Maisanaba  
43 *et al.*, 2017; Ribeiro-Santos, Andrade, de Melo, & Sanches-Silva, 2017). Rosemary  
44 essential oil (REO) is known for its strong antioxidant properties and several studies have  
45 demonstrated the effectiveness of REO for controlling the oxidation of food products  
46 (Kahraman, Issa, Bingol, Kahraman, & Dumen, 2015; Pereira *et al.*, 2017; Qiu, Jacobsen, &  
47 Sørensen, 2018). Moreover, REO has a high antimicrobial efficacy against *Pseudomonas*  
48 spp, one of the predominant spoilage bacteria on poultry meat (Forsythe, 2020; Hao, Roy,  
49 Pan, Shah, & Mraz, 2021). Essential oils have been incorporated into food packaging by  
50 including them in film forming solutions which are subsequently cast on plates (Pires, de  
51 Souza, Victor Gomes Lauriano, & Fernando, 2018; Souza *et al.*, 2019; Torrieri, Cavella,  
52 & Masi, 2015) or including them (rosemary extract, oregano essential oil, BHT) in  
53 polyolefin films (Llana-Ruiz-Cabello *et al.*, 2018; Wrona *et al.*, 2021).  
54 To reduce its volatility and its thermal degradation when incorporating it into polymer matrix,  
55 usually shaped by extrusion, REO can be included in a biopolymer solution before being  
56 deposited as a coating on the food contact surface of the film. The coating acts as a carrier  
57 for the active compounds, helping to maintain high concentrations of preservatives on the  
58 surface of foods.

59 Among biopolymers, chitosan has been extensively studied for coating applications because of  
60 its film-forming properties, biodegradability, selective permeability to gases, and good  
61 mechanical properties (Elsabee & Abdou, 2013). REO was successfully used as an active  
62 compound in food packaging biofilms based on chitosan (Pires *et al.*, 2018; Souza *et al.*, 2019;  
63 Abdollahi, Rezaei, & Farzi, 2012; Torrieri *et al.*, 2015) or blend of chitosan and protein  
64 films (Yeddes *et al.*, 2020; Volpe, Cavella, Masi, & Torrieri, 2017).

65 Poly (lactic acid) (PLA) is one of the most commercially available biopolymers (Nova-  
66 Institute, 2020) and is “Generally Recognized As Safe” (GRAS) by the Food and Drug  
67 Administration (FDA Regulation, at 21 C.F.R. § 184.1061) for use in food packaging (Conn  
68 *et al.*, 1995). PLA has unique properties like good appearance, high mechanical strength,  
69 and low toxicity (Jamshidian, Tehrani, Imran, Jacquot, & Desobry, 2010). However, a  
70 weakness of PLA is its relatively high permeability to gases and vapours, which results in  
71 an increased risk of oxidation reactions occurring within PLA food packaging.

72 To improve functional properties of biopolymer film, active packaging is gaining increasing  
73 attention in the last ten years. Few studies have been conducted also on bilayer  
74 films incorporating antioxidant compounds (Scaffaro *et al.*, 2020; Suryani, Rihayat,  
75 Nurhanifa, & Riskina, 2020).

76 There are many methods to measure the efficacy of antioxidant packaging materials (Shahidi  
77 & Zhong, 2015). Generally, in vitro antioxidant tests using free radical traps are relatively  
78 straightforward to perform. Among free radical scavenging methods, DPPH method is  
79 furthermore rapid, simple (i.e. not involved with many steps and reagents) and inexpensive in  
80 comparison to other test models (Alam, Bristi, & Rafiquzzaman, 2013). Due to the complexity  
81 of the oxidation process in food system, it is also important to evaluate their performance when  
82 in contact with a food matrix (Shahidi & Zhong, 2015). In case of meat samples, it is common  
83 to study the myoglobin oxidation by monitoring the colour of the meat (Robertson, 2009) and

84 lipid oxidation process by evaluating changes in primary or secondary products (formation of  
85 carbonyls, aldehydes, volatiles, malondialdehyde) (Domínguez *et al.*, 2019).  
86 In a previous study, blend film based on chitosan and sodium caseinate has been optimized to  
87 improve the mechanical and water vapor barrier properties of chitosan film (Volpe *et al.*, 2017).  
88 Moreover, the inclusion of rosemary essential oil in chitosan film was already optimized  
89 (Torrieri *et al.*, 2015). Thus, the aim of this work was to develop an active film based on  
90 PLA and chitosan or chitosan and caseinate coating enriched with rosemary essential oil.  
91 Active films have been characterized in terms of microstructure, antioxidant properties,  
92 water vapor permeability and mechanical analysis. The antioxidant capacity of the film was  
93 also evaluated when in contact with real food, a fresh minced chicken breast, by monitoring the  
94 quality indices affected by oxidation reaction during storage at 4 °C.

95



## 96 **2. Materials and Methods**

### 97 **2.1 Materials**

98 For preparation of the active films, medium molecular weight chitosan (CH) with a degree of  
99 deacetylation of 75 – 85%, sodium caseinate (SC), acetic acid puriss. p.a., rosemary essential  
100 oils (*Rosemary officinalis*- REO) and tween 80 were purchased from Sigma-Aldrich (Milan,  
101 Italy); Poly (lactic acid) (PLA) were kindly supplied by Icimendue srl, (Caserta, Italia). Chicken  
102 breast (Class A) meat was purchased from a local butcher (Dundee, UK). For meat packaging,  
103 PET tray sealed with lintop PE HB film were supplied by Linpac Packaging Pontivity (France).  
104 2-Thiobarbituric acid, malondialdehyde bis (dimethyl acetal), trichloroacetic acid (TCA), 2, 2-  
105 diphenyl-1-picrylhydrazyl (DPPH) and methyl butyrate internal standard were purchased from  
106 Sigma Aldrich (Dorset, UK).

### 107 **2.2. Coating composition and active film preparation**

108 CH solution with a concentration of 1% (w/v) and CH/SC blend solution at 2% and 4% (w/v),  
109 respectively, in ratio 1:1, were prepared following the method of Volpe *et al.* (2017).  
110 Rosemary oil and Tween 80 were emulsified at 4:1 ratio, by using a shaker (MS 3 Digital,  
111 IKA, Staufen, Germany) for 5 min at 2000 rpm, and added to the CH or CH/SC solutions  
112 to reach a final oil/tween 80 concentration in the solution of 1% and 2% (w/v).  
113 Solutions were finally emulsified for 4 min at 15500 rpm at room temperature using a rotor-  
114 stator homogenizer (Ultra Turrax®, T 18, IKA, Staufen, Germany) to obtain active coatings.  
115 PLA (21.0 cm x 29.7 cm) was layered with 20 mL of active coatings by using a Rod Coater  
116 (Gardco Company, Pompano Beach, USA). The coated PLA films were dried at 20 °C and  
117 50% relative humidity (RH) for 2 h in an environmental chamber (Climacell, MMM Group,  
118 Planegg Germany). Once the films were dried, they were coated again with a further 10 mL  
119 of coatings without REO to help protect the volatile essential oil from evaporation.  
120 Active PLA film were coded as CH+REO1%, CH+REO2%, CH/SC+REO1% and CH/  
SC+REO2%. The REO concentration

121 per grams of solids and per surface unit were, respectively, 1.05 mL/g/m<sup>2</sup>, 2.13 mL/g/m<sup>2</sup>, 0.35  
122 mL/g/m<sup>2</sup> and 0.71 mL/g/m<sup>2</sup>. PLA sheets without coating were used as control sample. Dry  
123 coated film thickness was determined using a HO62 micrometre (Metacontrol Srl, Napoli,  
124 Italia) with a sensitivity of  $\pm 2 \mu\text{m}$ .

### 125 **2.3 Scanning electron microscopy analysis**

126 Cross-sectional images of film samples were taken with a scanning electron microscope LEO  
127 (EVO 40, Zeiss, Oberkochen, Germany). To do this, film samples were immersed in liquid  
128 nitrogen to obtain a fragile fracture. Thus, the films were first mounted on bronze stubs with  
129 the cross-section oriented upward and then coated with gold, by using DC sputter coater  
130 (AGAR B7340, Agar Scientific Ltd, Stansted, UK), under a low vacuum pressure (with an  
131 accelerating voltage of 10 kV). Digital images of film cross-sections were collected at a tilt  
132 angle of 0° to the electron beam using an acceleration voltage of 20 kV.

### 133 **2.4. Physical properties of active film**

#### 134 **2.4.1. Mechanical properties**

135 Tensile tests were carried out according to D882-10 (ASTM. American Society for Testing and  
136 Materials., 2010) using a dynamometer (Instron Universal Model No 4301, Instron  
137 Engineering Corp., Canton, MA) equipped with a 1000 N load cell. The films were cut into a  
138 strip with 100-mm in length and 25 mm in width using a sharp razor blade; the test was carried  
139 out at 50 mm/min. Before testing, the thickness of the strips was measured at five points. The  
140 Young's modulus (EM), tensile strength (TS) and elongation at break ( $\epsilon\%$ ) were calculated.  
141 The test was carried out at only one REO concentration (CH+REO2% and CH/CS+REO2%),  
142 because it was shown that REO concentration did not have a significant effect on mechanical  
143 behaviour of a biopolymer-based film (Perone *et al.*, 2014). The results are given by the average  
144 of five strips for each sample.

#### 145 **2.4.2 Water vapor permeability**

146 The water vapor permeability (WVP) of the films was evaluated using a gravimetric test  
147 according to ASTM (2002) using a Payne permeability cup (Carlo Erba, Milan, Italy). Eight  
148 grams of silica gel was introduced into each cup. A film sample, with a diameter of  
149 approximately 6 cm, was placed on top of the cup and sealed using a top ring kept in place by  
150 three tight clamps. The film surface exposed to vapor transmission was 9.89 cm<sup>2</sup>. The cups  
151 were weighed and then placed in desiccators containing a saturated KCl solution ( $a_w = 0.85 \pm$   
152 0.003). The desiccator was stored in a thermostatic incubator (MMM Medcenter Einrichtungen  
153 GmbH, Monaco di Baviera, Germany) at 20 °C. Cups are weighed at scheduled times, and the  
154 water vapor transmission rate (WVTR) and water vapour permeability (WVP) was calculated  
155 as reported by McHugh *et al.* (1993). The results are reported as the average of three  
156 replications of each sample.

#### 157 **2.5 Film antioxidant capacity**

158 The DPPH method was used to determine the free radical scavenging capacity of rosemary  
159 essential oil and PLA active films. For rosemary essential oil samples, eight different dilutions  
160 of analysed samples in methanol were prepared at concentrations ranging between 0.025 –  
161 0.3%. The calibration curve of the REO in the studied range followed a linear relationship with  
162 a R<sup>2</sup> of 0.99. The IC<sub>50</sub> of REO, calculated as the REO concentration to obtain a 50% of  
163 scavenging activity reduction, was 75 µg/ml. DPPH assays were carried out to measure the  
164 effectiveness of REO as an active antioxidant component. DPPH and methanol stock solution  
165 were prepared at 100 mM concentration. For active films, the test was standardised using a  
166 scale of 12.5, 25, 50, 75 mg samples of each film. REO or film samples were placed into  
167 centrifuge tubes along with 4 mL of the DPPH stock solution and left to react in the dark at  
168 ambient temperature for 30 minutes. Once the reaction had occurred, the absorption of each  
169 sample was read at 517 nm on a dual wave spectrometer (Jasco V-550 UV/VIS, Lecco, Italy).

170 Methanol was used as a blank and DPPH stock solution acted as the control sample. A decrease  
171 in absorption values should be observed if the test sample contains antioxidants. After  
172 absorption readings were obtained, the antioxidant capabilities of the active films were  
173 calculated using the following equation:

$$174 \quad \text{DPPH Radical Scavenging Activity}(\%) = \left(1 - \frac{A_1}{A_C}\right) \times 100 \quad (1)$$

175 where  $A_C$  is the absorbance of the control and  $A_1$  is the absorbance using the film samples  
176 (Siripatrawan & Harte, 2010).

## 177 **2.6. Application on fresh minced chicken breast**

### 178 **2.6.1. Packaging and storage condition**

179 Chicken breast (Class A) meat was purchased four days after slaughter and 3 days after  
180 processing. The meat was vacuum packed prior to purchase, so exposure to oxygen was kept  
181 as limited as possible. The samples were transported in cooled bag to Abertay University's  
182 laboratory in 20 min. The chicken was ground using a grinder (Chef KMC515 with a food  
183 mincer attachment, Kenwood Ltd., UK). Petri dishes (50 mm Diameter) were filled with 10 g  
184 of ground chicken. Film samples were then cut to cover the surface of the meat in each petri  
185 dish. The film was placed onto meat samples with the coated surface touching the meat. The  
186 resulting film to meat ratio was 4.8 mg/g.

187 The chicken samples were modified atmosphere packaged with a gas mixture of 80% CO<sub>2</sub> and  
188 20% N<sub>2</sub> (T100 vacuum packer, Multivac, UK). The tray was sealed with a barrier film (13x16  
189 cm) (LINTOP PE HB A 40, 270 mm, Linpac Packaging Pontivity, France). The headspace gas  
190 composition was monitored by using a gas analyser (Oxybaby, Witten, Germany). The packed  
191 chicken samples were stored at 4 °C for 21 days. After 0, 4, 7, 11, 14 and 21 days the samples  
192 were analysed by chemical and physical analysis. At each storage time, headspace gas

193 composition (CO<sub>2</sub> %, O<sub>2</sub>%) was recorded before opening the packaging to control the effective  
194 gas composition.

### 195 **2.6.2 Thiobarbituric Acid Reactive Substances (TBARS)**

196 Malondialdehyde is an organic compound which is highly reactive and can be used as a marker  
197 of lipid oxidation. To measure the concentration of malondialdehyde (MDA) present in each of  
198 the packed chicken samples, a TBARS assay was carried out according to the method of Lau  
199 & King (2003) with some modifications.

200 Trichloroacetic acid (TCA) and 2-Thiobarbituric acid (TBA) reagents were prepared at 25%  
201 (w/v) and 0.6% (w/v), respectively. One gram of chicken sample was placed in a 50 mL  
202 centrifuge tube along with 10 mL deionized water. This mixture was homogenised using an  
203 (ULTRA-TURRAX® T25 Digital, Ika) 5000rpm/ 30 s, then 2.5 mL of TCA (25% w/v) solution  
204 was added and vortexed for 30 s to mix. Test samples were refrigerated for 5 minutes before  
205 being centrifuged at 4000 rpm for 10 minutes. The supernatant (11 mL) was then extracted  
206 from the sample and centrifuged for a further 5 minutes at 4000 rpm to remove any remaining  
207 meat fibres. Then, 1.5 mL of TBA (0.6%) was added to the 3.5 mL of the extracted supernatant  
208 bringing the total volume to 5 mL. For the calibration curve, known concentrations of MDA (1  
209 mM) stock solution were used in place of the supernatant. The samples were incubated for 15  
210 minutes at 70 °C. When incubation was complete, the absorbance values for each sample were  
211 obtained using a Genesys 10S UV-Vis dual wave spectrophotometer (Thermo Scientific, UK)  
212 at 532 nm against a blank containing 1 mL of TCA/1.5 mL TBA. The malondialdehyde  
213 concentration of the sample were calculated using the standard curve of MDA as a reference.  
214 The linearity of the calibration curve was obtained in the range of 5–300 µM nominal  
215 concentrations with regression coefficient (R<sup>2</sup>) 0.98.

216

217 **2.6.3 Colour measurement**

218 Image analysis was chosen to assess colour changes in the chicken over the 21 days of storage.  
219 Images were obtained in a photography studio/dark room. Fluorescent lighting was set at a 45-  
220 degree angle to the sample. Camera positioning was also standardised, held directly above the  
221 samples to allow for consistent images. A Nikon D500 Digital SLR Camera was used to  
222 photograph the samples with a Nikon DX SWM Micro 1:1  $\infty$  52 lens. Sample placement was kept  
223 consistent with the use of positioning indicators and standard matte black card was used as a  
224 background for the images.

225 Image J photo analysis program was used to analyse the changes in colour by converting the  
226 image into the LAB colour space system which allows for a more accurate analysis of colour.  
227 LAB scale extends from red to green on the **a** axis (a+/a-) and on the **b** axis blue to yellow (b  
228 +/b-). Brightness is measured on the **L** axis (Kaewthong, Waiyagan, & Wattanachant, 2017). **2.6.4**

229 **Release of Volatile Compounds**

230 Solid phase micro extraction gas chromatography mass spectrometry (SPME GC/MS) was  
231 used to measure the release of volatile compounds from the packed chicken. An SPME method  
232 was developed based on previously developed protocols (Brunton, Cronin, Monahan, &  
233 Durcan, 2000; Watkins, Rose, Warner, Dunshea, & Pethick, 2012). A GC/MS method was  
234 developed performed according the previously developed method of Brunton, Cronin,  
235 Monahan & Durcan (2000), Rux, Luca & Mahajan (2019), Watkins, Rose, Warner, Dunshea &  
236 Pethick (2012) and standardised to the packaging systems using a model system.

237 The model system was realized to replicate the conditions of the chicken samples packed in  
238 modified atmosphere packaging (MAP), as well as match the ratio of meat to film correctly.  
239 Briefly, samples of ground chicken breast (5 g) were wrapped in 24.4 mg of PLA (Control and  
240 active) film and inserted into 20 mL headspace vials with septum. Methyl butyrate internal  
241 standard was injected into vials at a volume of 200  $\mu$ L with a concentration of 1  $\mu$ g/g of meat.

242 The vials were then flushed with 80% CO<sub>2</sub> gas for 10 s to simulate the controlled atmosphere  
243 used in the MAP system.

244 The samples were refrigerated and extracted over a 21-day period. Samples were frozen on  
245 their sampling date until the analysis. A total of 18 replicates were made for each film type  
246 meaning there would be triplicates of every sample.

247 SPME analysis was carried out using a Shimadzu GCMS-QP2010 SE with DB Wax column.  
248 Various SPME fibres were tested; 50/30 µm DVB/CAR/PDMS, 65 µm PDMS/DVB and 85  
249 µm polyacrylate. The polyacrylate fibre was chosen for the final analysis. Sample runs were  
250 carried out in batches of 8 over a week. Transfer time between refrigeration and analysis was  
251 kept consistent for all samples.

## 252 **2.7. Statistical analysis**

253 Three replications were performed for each test and data was presented as mean values with  
254 standard deviation. The mean values were calculated using the results of the treatment  
255 replicates and the technical replicates.

256 Statistical analysis was performed on SPSS Statistic 24 software (SPSS Inc. Westland's Road,  
257 Quarry Bay, Hong Kong) and Graphpad Prism 8 software (GraphPad Software, Northside Dr.  
258 San Diego, CA 92108). For the image analysis data was obtained using Image J software  
259 version 2017 (LOCI, Wisconsin).

260 ANOVA analysis of variance was used, at 95% confidence level, to determine if the difference  
261 between factors and levels was significant. Mean values which displayed significant  
262 differences were analysed using Tukey's HSD multiple comparisons test. Normality of data  
263 was tested using the Shapiro Wilks test of normality. Differences between sample groups were  
264 regarded as significant at  $p < 0.05$ .

265

266

## 267 **Results and discussions**

### 268 **3.1. Structure and physical properties of active films**

269 SEM analysis was carried out to verify the homogeneity and adhesion of the biopolymer  
270 coating on the PLA surface. By way of example, samples CH+REO1% and CH+REO2% were  
271 reported (Figure 1). The cross-sectional views of the coated PLA samples confirm the firm  
272 adherence of the chitosan-based coating over the PLA surface. Same results were observed for  
273 CH/CS based coating (data not showed). The strong interactions between the two layers may  
274 be related to the high interfacial tension of the two layers. However, the addition of coating  
275 has resulted in a rough and uneven surface, with some impurities. The thickness of the coating  
276 for all the films was very thin and was not possible to calculate it from the image. In fact, no  
277 differences were observed among films in thickness which showed an average value of  $20\pm 1$   
278  $\mu\text{m}$ .

279 The mechanical properties are reported in Table 1. The elastic modulus (EM) of films ranged  
280 from  $1133\pm 136$  MPa for control sample to  $2073\pm 89$  MPa for CH+REO2%. No significant  
281 differences were observed between control and CH/SC+REO2% samples ( $p>0.05$ ), the PLA  
282 film coated with chitosan showed a significantly higher elastic modulus ( $p<0.05$ ).

283 Regarding TS, control film showed a TS value of  $93\pm 9$  MPa, whereas the CH+REO2% and  
284 CH/SC+REO2% showed a value of  $140\pm 19$  MPa and  $160\pm 28$  MPa, respectively. Significant  
285 differences ( $p<0.05$ ) were observed only between the control sample and the active films.  
286 Moreover, the control film showed the higher  $\varepsilon\%$  equal to  $0.6\pm 0.1\%$  compared to active films  
287 which assumed an average value of  $0.29\pm 0.1\%$ .

288 These results suggest that by adding a layer of CH or CH/SC blend to PLA, the films were  
289 notably reinforced, as compared to the uncoated PLA film. In agreement with previous findings  
290 (Li *et al.*, 2020), the tensile strength of the PLA film increased with the number of layers. On



291 the other hand, it seems that the coating limits the extension capacity of PLA. However, no  
292 differences in terms of biopolymer composition were observed.

293 The WVTR and WVP values of film samples are reported in Table 1. The control film showed  
294 the highest WVTR ( $1.7 \pm 0.2 \times 10^{-4} \text{ g m}^{-2} \text{ s}^{-1}$ ) whereas PLA coated film showed lower values,  
295 but only for CH/CS+REO2% ( $1.23 \pm 0.03 \times 10^{-4} \text{ g m}^{-2} \text{ s}^{-1}$ ) the differences observed in terms of  
296 WVTR were statistically significant. Same results were observed in terms of WVP. This result  
297 can be justified by the lower water vapour permeability of the CH/CS coating respect to CH  
298 ones (Volpe *et al.*, (2017)). The polyelectrolyte complexes between chitosan and sodium  
299 caseinate were able to neutralize the biopolymer charges, which reduced the hydrophilic nature  
300 of the film. These observations disagreed with previous results showing a strong increase of  
301 the WVTR of PLA coated with chitosan or pea starch, ascribed to the strong hydrophilicity of  
302 the biopolymers (Li *et al.*, 2020; Zhou, Yang, Wang, & Chen, 2019). Our results showed no  
303 effect or a small improvement of the water vapour barrier properties.

### 304 **3.3 Antioxidant Activity**

305 The antioxidant capacity of films ranged between 1% to 6% as function of mass of film tested.  
306 A clear rise in antioxidant activity was observed as the weight of the active film was increased,  
307 as shown in Figure 2. Blank samples containing no active film were used as a control, and  
308 therefore displayed 0% antioxidant activity after the 30 minutes reaction time. The antioxidant  
309 capacity of the film at 18,75 mg/mL (75 mg of film) was equivalent to the antioxidant capacity  
310 of 6,25  $\mu\text{g}$  of REO/mL. ANOVA results showed that there was a significant difference in  
311 antioxidant activity between all samples ( $p < 0.0001$ ). The Tukey HSD test identified that the  
312 significant differences were found between all samples ( $p < 0.0001$ ) except CH+REO1% and  
313 CH/SC+REO1% samples which had similar antioxidant activity scores ( $p = 1.0$ ). The data for  
314 this test was found to be normally distributed ( $p < 0.68$ ). When 75 mg of film were tested, the  
315 samples CH/SC+REO2% showed the highest antioxidant capacity among active film. Previous

316 results showed that sodium caseinate can be a good substrate for dispersion active  
317 compounds, mainly for rosemary essential oil, for the developing of bioactive coating with  
318 good antioxidant properties (Valentino, Volpe, Di Giuseppe, Cavella, & Torrieri, 2020).  
319 Moreover, REO incorporated in chitosan film maintained its ability to scavenge the  
320 DPPH radical after the casting and migration assay, showing antioxidant properties in the  
321 range of 2-10% as function of REO concentration and food simulant (Souza *et al.*, 2019).

### 322 **3.4. Application on fresh minced chicken breast**

#### 323 **3.4.1 Malondialdehyde concentration**

324 Figure 3 shows the variation of MDA of samples packaged with the different active films and  
325 without the active film during storage at 4 °C for 21 days.

326 The initial MDA value of the chicken meat was 0.15 mg MDA/kg, which is within the  
327 normal range of MDA for fresh samples as reported in previous studies (Domínguez *et*  
328 *al.*, 2019; Kahraman *et al.*, 2015). All MAP systems displayed an increase of MDA over the  
329 21 days of storage. Control samples had the highest concentrations of MDA and most  
330 steady increase throughout the analysis. When compared to the control, all packages  
331 containing the active film displayed a lower average concentration of MDA over the 21 days.

332 From day 0-7 no significant difference in MDA concentration was observed between  
333 samples. At day 11 a significant difference in MDA concentrations has been observed when  
334 comparing the control to the active film samples ( $p < 0.0832, 0.3810, 0.4478, 0.8233$ ). We  
335 continue to see this trend on day 14 ( $p < 0.0047, 0.0104, 0.0051, 0.0137$ ) and 21 ( $p < 0.0465,$   
336  $0.0234, 0.0070, 0.0083$ )

337 On day 21 we also see a significant difference between the chitosan and blend samples, with  
338 the CH1% and CH2% samples having higher MDA concentrations than either of the blend  
339 samples ( $p < 0.0171, 0.0163, 0.0026, 0.0113$ ).

340 MDA concentration is a direct marker of lipid peroxidation (Varzaru, Untea, & Saracila, 2020)  
341 therefore lower concentrations of MDA suggests that the presence of REO is contributing some  
342 inhibitory effects to the oxidation of the chicken meat. Oxidation of lipids gives rise to rancid  
343 odours and flavour in meat (Campo *et al.*, 2006). According to Sheard *et al.* (2000), the  
344 threshold of off-odour perception by consumers corresponds to a TBARS value of 0.5 mg  
345 MDA/kg sample for pork patties. Analysing the results, control samples reached the off-odour  
346 threshold at the 11<sup>th</sup> day of storage, while meat packed with the active film only on day 21.  
347 Among active film, CH/SC+REO1% and CH/SC+REO2% showed the lowest value (0,6 mg  
348 MDA/kg samples) after 21 days.

349 In the present study, results on day 21 showed a 50% decrease in the amount of MDA in  
350 CH/SC+REO1% and CH/SC+REO2% treated meat sample compared to the control. Similar  
351 results were previously reported with rosemary essential oil used in combination with MAP  
352 (Kahraman *et al.*, 2015) and with chitosan film incorporated with rosemary essential oil applied  
353 in a meat product (Souza *et al.*, 2019), and with chitosan-based coating incorporated with  
354 essential oil, namely: chitosan solution with REO coating fresh silver carp (Abdollahi, Rezaei, &  
355 Farzi, 2014), chitosan film with Thyme EO in fish fillets (Yang *et al.*, 2015).

356 Zaid *et al.*, (2019) reported a decrease of 20.2% in the amount of MDA in rosemary treated fish  
357 sample packed with PLA films containing different EO's (thyme, rosemary, oregano). However,  
358 EO's were incorporated into the film by solvent casting method, thus was less available to act on  
359 the product surface. Wrona *et al.*, (2021) reported an extension of 22% of the shelf life of fresh  
360 meat (measured on the base of appearance and smell of meat) when packed with 50 µm LDPE  
361 film with 25% of masterbatch with flaxseed oil.

362 The use of an active coating on PLA seems to be more effective thanks to the fast availability of  
363 the active substance at contact with the meat samples. In fact, a similar antioxidant activity

364 was observed by Contini *et al.* (2012) for cooked turkey meat packaged in PET trays coated  
365 with citrus extract. Results showed that a 47.8% decrease in amount of MDA in turkey meat  
366 were observed in the presence of citrus extract. The effectiveness of the citrus extract coating  
367 was attributed to its high surface roughness, and to the high level of release (solubility) of the  
368 antioxidant in water.

### 369 **3.4.2 Release of volatile compounds**

370 The SPME GCMS analysis identified many volatile compounds of interest, which were  
371 recorded for analysis (Table 2).

372 Acetic acid, ethanol, heptanal, hexanal, butanoic acid, hexanoic acid were chosen for further  
373 analysis as these were the most consistently occurring VOC's throughout the analysis.

374 Ethanol and hexanal are connected to the spoilage of raw chicken breast and have been linked  
375 to the metabolic processes of *Pseudomonas* spp, *Moraxella* spp. and *B. thermosphacta* spp.  
376 (Bowman, Freeman, Later, & Lee, 1983; Freeman, Silverman, Angelini, Merritt, & Esselen,  
377 1976; Lee, Smith, & Freeman, 1979; Thomazini & Miyagusku, 2006). Acetic acid is a  
378 common marker for primary stage oxidation in chicken meat (MikšKrajnik, Yoon, & Yuk,  
379 2015). Butanoic and hexanoic acid have both been linked to the natural spoilage of meat and  
380 are commonly occurring in products stored in MAP (Casaburi, Piombino, Nychas, Villani, &  
381 Ercolini, 2015; Ercolini *et al.*, 2011; La Storia *et al.*, 2012).

382 Two-way ANOVA with Tukey's multiple comparisons test were used to identify  
383 significant differences between samples. The factors considered for the multiple  
384 comparison test were sampling time point and film type. Since all samples were prepared  
385 from the same source of chicken, samples were set as linked in the multiple comparison test.  
386 The results of this analysis were as follows and summarised in Figure 4.

387 Control samples displayed significantly higher concentrations of heptanal (**A.**) when  
388 compared samples stored in packaging systems CH+REO1%, CH+REO2% and CH/SC  
389 +REO1% after 3 ( $p<0.0001$ , 0.0001, 0.0120), 6 ( $p<0.0002$ , 0.0316, 0.0003) and 14 days of  
390 storage ( $p<0.0167$ , 0.0115, 0.0173). No significant differences found at day 10. Control  
391 samples displayed significantly higher concentrations of butanoic acid (**B.**) when  
392 compared samples stored in packaging systems CH+REO1%, CH+REO2% and CH/SC  
393 +REO1% after 3 ( $p<0.0349$ , 0.0008), 6 ( $p<0.0241$ , 0.0005) and 14 ( $p<0.0287$ , 0.0190,  
394 0.0334) days of storage. Significantly higher concentrations of Ethanol (**C.**) were found in the  
395 control samples after 14 days of storage when compared to all other samples ( $p<0.0001$ ,  
396 0.0001, 0.0001, 0.0001) where the ethanol concentration was reduced by the 90% compared  
397 to the samples packed with control film. No significance was identified at earlier time points.  
398 No significant differences were identified for; acetic acid (**D.**) throughout shelf life.

### 399 **3.4.3 Colour Change**

400 Clear visual changes were observed in the chicken samples over the duration of the 21-day  
401 period. Samples became lighter and began to gain a distinctive yellow colouring around their  
402 edges as well as a slimy surface texture. Figure 5 displays clear changes in the LAB  
403 values over the 21 days, most noticeable an increase in ( $L^*$ ) lightness. Mean values for  $a^*$   
404 generally decreased after the first 7 days as yellowing began to occur.

405 Throughout shelf life, a slight increase in ( $L^*$ ) lightness has been observed, however no  
406 significant differences were identified between samples. A significant difference in green /  
407 magenta ( $a^*$ ) values were identified at day 14, however this was not consistent throughout  
408 shelf life so is likely due to sample variation. No significant differences were found between  
409 blue / yellow ( $b^*$ ) values throughout shelf life. Control samples generally displayed lower  $b^*$   
410 values throughout shelf life, indicating a greater loss of red pigment.

411 These results are in line with the findings of Kahrmaran et al (2015), particularly for the (a\*)  
412 coordinate (an average of 6), where they used a Colorflex HunterLab spectrophotometer  
413 which differed from our system. Therefore, by using a semi-professional camera Nikon DX  
414 SWM Micro 1:1  $\infty$  52 lens with a colour analysis software, we can generate comparable  
415 results with other tristimulus colorimeter.

416 However, during our study, we did not observe a significant difference by visual observation  
417 during the shelf life of the chicken samples, this observation confirms the data observed for  
418 the (b\*) coordinate. Same applies for the development of red pigment before 14 days.

#### 419 **4 Conclusions**

420 To conclude, four bio-based active packaging systems using were successfully developed  
421 utilizing PLA films coated with CH and a blend of CH/SC containing different amount of REO.  
422 A model system was developed to measure the packaging's effectiveness at preserving the  
423 quality of fresh chicken.

424 DPPH assays indicated that the film samples loaded with REO were able to provide antioxidant  
425 effects, with the CH/SC 2% REO demonstrating the greatest radical scavenging activity.  
426 TBARS assay supported these results, with active film meat samples displaying significantly  
427 lower concentrations of MDA throughout shelf life when compared to the PLA control sample.  
428 CH/SC 2% REO also demonstrated the lowest concentrations of MDA confirming its greater  
429 scavenging capability. SPME testing further supported these findings showing lower  
430 concentrations of heptanal, butanoic acid and ethanol throughout shelf life when compared to  
431 control samples. Colour analysis indicated no significant differences throughout shelf life,  
432 suggesting that the visual changes observed were uniform across all sample sets. Overall, this  
433 study has demonstrated that PLA films coated with chitosan based active coatings are a

434 promising delivery method for providing antioxidant effects in packaging for fresh meat  
435 products.

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625 Table1: Mechanical and water vapor barrier properties of films.

	E	TS	$\epsilon$	WVTR ( $\times 10^4$ )	WVP ( $\times 10^{14}$ )
	MPa	MPa	%	$\text{g m}^{-2} \text{s}^{-1}$	$\text{g m}^{-1} \text{s}^{-1} \text{Pa}^{-1}$
Control	1133 $\pm$ 136 <sup>a</sup>	93 $\pm$ 9 <sup>a</sup>	0.6 $\pm$ 0.1 <sup>b</sup>	1.70 $\pm$ 0.20 <sup>b</sup>	8 $\pm$ 1 <sup>b</sup>
CH+REO2%	2073 $\pm$ 244 <sup>b</sup>	140 $\pm$ 19 <sup>b</sup>	0.2 $\pm$ 0.1 <sup>a</sup>	1.58 $\pm$ 0.06 <sup>ab</sup>	8 $\pm$ 3 <sup>ab</sup>
CH/SC+REO2%	1323 $\pm$ 89 <sup>a</sup>	160 $\pm$ 28 <sup>b</sup>	0.4 $\pm$ 0.1 <sup>a</sup>	1.23 $\pm$ 0.03 <sup>a</sup>	6 $\pm$ 2 <sup>a</sup>

626 <sup>a</sup> Control, PLA film without coating; CH+REO2%, PLA film coated with chitosan incorporated  
 627 with REO at 2%; CH/SC+REO2%, PLA film coated with a blend of chitosan and sodium  
 628 caseinate incorporated with REO at 2%.

629 <sup>b</sup> The values are the means  $\pm$  SD obtained from five independent for the mechanical test and  
 630 three independent replication for the WVTR and WVP. Means within a column with the same  
 631 lower-case letter are not significantly different ( $P > 0.05$ ).

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648 **Table 2:** Volatile organic compounds (VOCs) extracted from raw chicken breast stored in different  
 649 bio-based active packaging systems for 21 days at 4°C.

ID	Volatile organic compounds (VOCs)	rt (min)	m/z
1	Methyl butyrate (Internal Standard)	3.4	102
2	Acetic acid	13.5	60
4	Heptanal	17.567	114
5	Hexanal	17.419	100
6	Ethanol	20.887	88
7	Butanal	17.192	86
8	Octanal	26.34	128
9	Pentanal	23.734	86
10	1- Hexanol 2- ethyl	13.6	130
11	Propylene glycol	11.406	76
12	Dimethyl sulfone	15.04	94
13	Hexanoic acid	18.921	116
14	Decanoic acid methyl ester	18.933	186
15	Butanoic acid	15.5	88
16	Hexadecanoic acid	19.896	256
17	Paraldehyde	17.427	132
18	Tridecanoic acid methyl ester	18.936	228
19	Propanedioic acid	15.65	286
20	2,2,4-Trimethyl-1,3-pentanediol disobutyrate	15.088	213
21	1-Tetradecanamine	25.92	100
22	1-Octanol, 2-methyl	18.709	284
23	Pentanoic acid	18.93	128
24	Heptadecanol	19.948	256
25	Butyric acid 4-pentadecyl ester	17.106	298
27	Undecanal	18.377	170
28	Octadecanoic acid, methyl ester	22.371	298
29	Oelic acid	17.325	282

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655 **Figure captions**

656 Figure 1. SEM micrographs of (a) CH+REO1%, PLA film coated with chitosan incorporated  
657 with REO at 1% (5 kx) and (b) CH+REO2%, PLA film coated with chitosan incorporated  
658 with REO at 2% (2kx).

659 Figure 2. Antioxidant activity (%) of film samples: PLA Control, PLA film without coating;  
660 CH+REO1%, PLA film coated with chitosan incorporated with REO at 1%; CH  
661 +REO2%, PLA film coated with chitosan incorporated with REO at 2%; CH/SC  
662 +REO1%, PLA film coated with a blend of chitosan and sodium caseinate  
663 incorporated with REO at 1%. CH/SC+REO2%, PLA film coated with a blend of chitosan  
664 and sodium caseinate incorporated with REO at 2%.

665 Figure 3. MDA concentrations of meat in contact with different films during storage for 21  
666 days at 4°C. PLA Control, PLA film without coating; CH+REO1%, PLA film coated with  
667 chitosan incorporated with REO at 1%; CH+REO2%, PLA film coated with chitosan  
668 incorporated with REO at 2%; CH/SC+REO1%, PLA film coated with a blend of chitosan  
669 and sodium caseinate incorporated with REO at 1%. CH/SC+REO2%, PLA film coated with  
670 a blend of chitosan and sodium caseinate incorporated with REO at 2%.

671 Figure 4. Volatiles organic compounds of meat in contact with different films during storage  
672 for 14 days at 4°C. PLA Control, PLA film without coating; CH+REO1%, PLA film coated  
673 with chitosan incorporated with REO at 1%; CH+REO2%, PLA film coated with chitosan  
674 incorporated with REO at 2%; CH/SC+REO1%, PLA film coated with a blend of chitosan  
675 and sodium caseinate incorporated with REO at 1%. CH/SC+REO2%, PLA film coated with  
676 a blend of chitosan and sodium caseinate incorporated with REO at 2%. (A. heptanal, B.  
677 butanoic acid, C. ethanol, D. acetic acid) for the different samples

678 Figure 5. Colour change of meat (L, a, b) of meat in contact with different films during  
679 storage for 21 days at 4°C. PLA Control, PLA film without coating; CH+REO1%, PLA film  
680 coated with chitosan incorporated with REO at 1%; CH+REO2%, PLA film coated with  
681 chitosan incorporated with REO at 2%; CH/SC+REO1%, PLA film coated with a blend of  
682 chitosan and sodium caseinate incorporated with REO at 1%. CH/SC+REO2%, PLA film  
683 coated with a blend of chitosan and sodium caseinate incorporated with REO at 2%.

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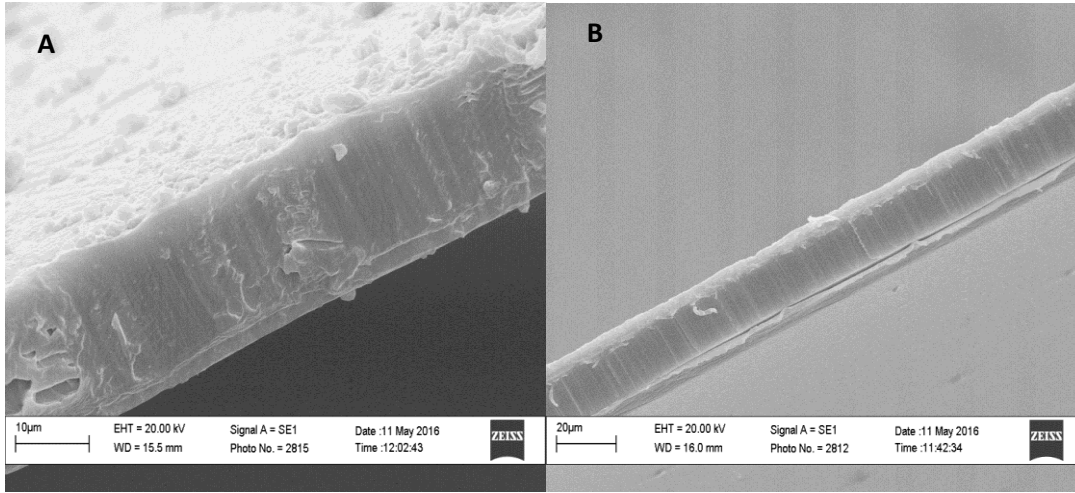
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699 **Figure 1. Fiore *et al.***

700 **COATING**

**PLA**



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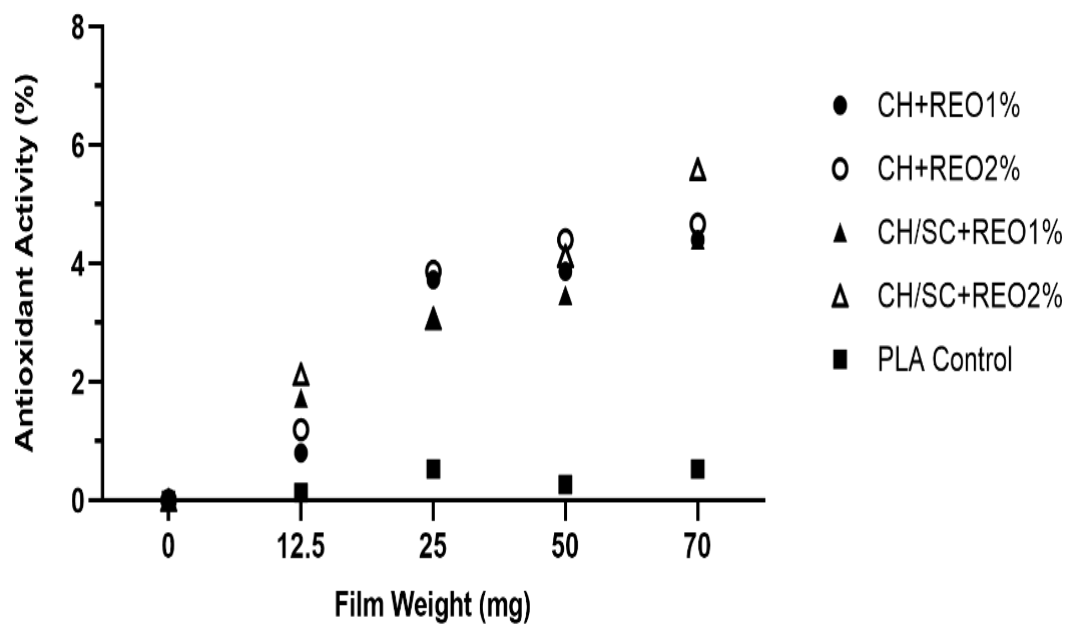
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714 **Figure 2.** Fiore *et al.*



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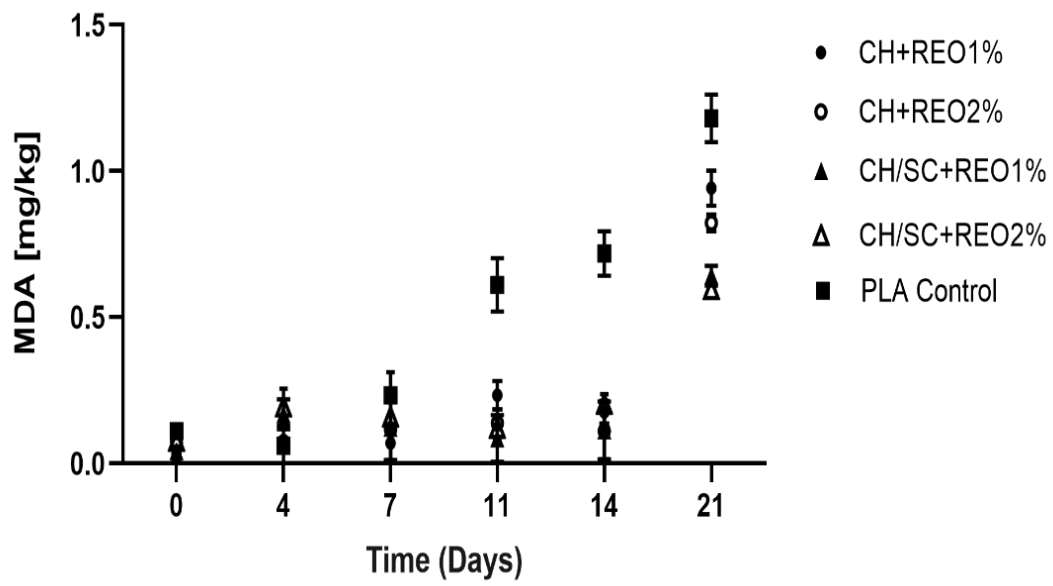
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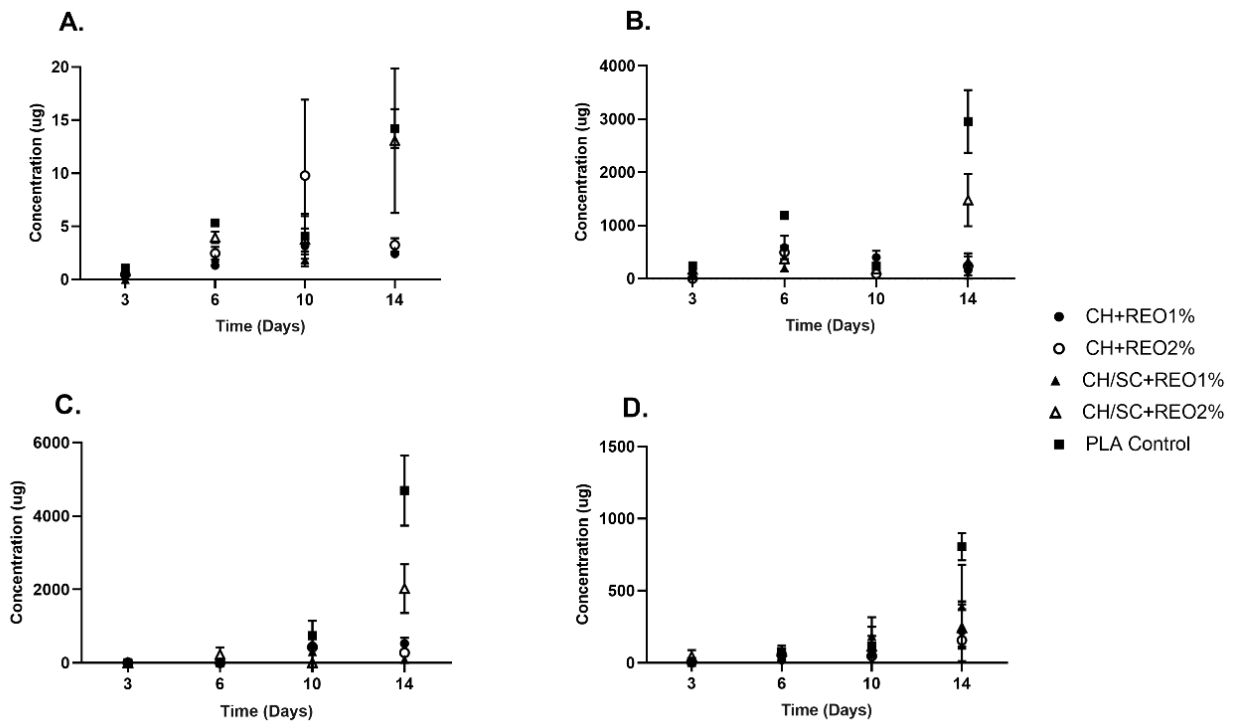
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727 Figure 3. Fiore *et al.*

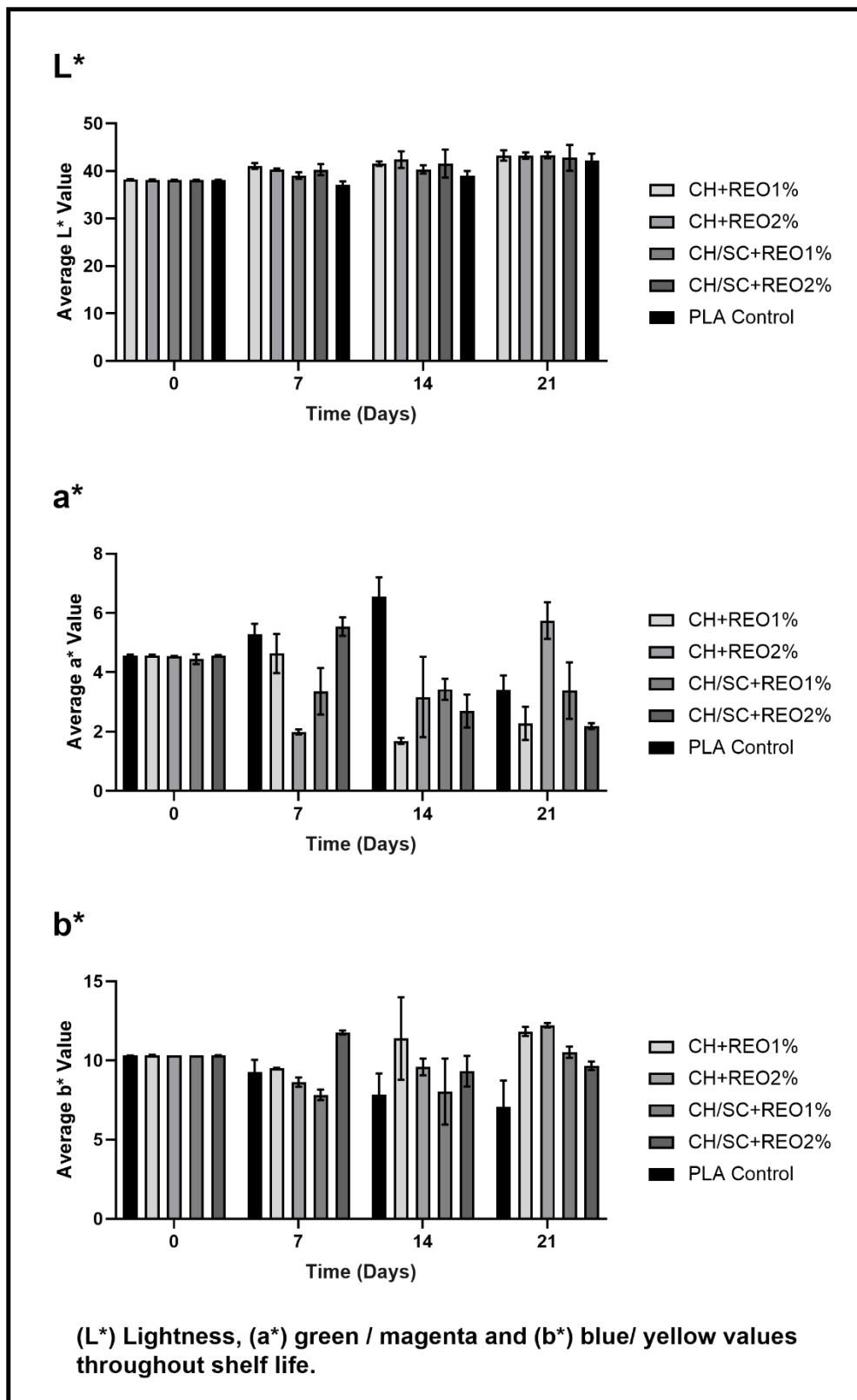


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747 Figure 4. Fiore *et al.*



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## Authors statement

Steven Park: investigation, writing-original draft preparation. Stefania Volpe: validation, visualization, formal analysis, Alberto Fiore: conceptualization, writing, review & editing, funding acquisition; Elena Torrieri: conceptualization, writing, review & editing, supervision; Paolo Masi: founding acquisition