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Evaluation of Inter-Modular Connection Behaviour Under Lateral Loads: An Experimental and Numerical Study

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Abstract

This study focuses on a comprehensive investigation of the inter-modular connection shear behaviour under lateral load using theoretical, experimental, and numerical analyses. Initially, three design configurations of proposed inter-modular connection with varying bolt sizes and hole tolerances were tested in shear, and their load-deformation behaviours were studied. Finite element models were then developed in ANSYS and validated against the test results obtained from the experiments. The connections were identified as slip critical connections for serviceability design, as they tend to fail in slippage even at a very small lateral load. Further, evaluation of combined tension and shear effects on the connections confirmed that the failures were due to the combined effect not purely by shear, and therefore connections of this type should consider this as the most critical design check. Based on findings, this paper then describes a methodology for estimating the overall stiffness of inter-modular connections, such that those stiffness values can be employed in modelling the inter-modular connections as a link or spring type elements in the global model of modular buildings. This paper also presents recommendations and suggestions for future enhancement of inter-modular connection designs highlighting the shear slip behaviour and onsite installation constraints.

Keywords: *Modular buildings, Inter-modular connections, Experimental studies, Numerical studies.*

1. Introduction

Modular construction is the process of off-site manufacturing of modules or prefabricated units, which are then transferred to the site and readily assembled. Figure 1 shows a simplified graphical representation of a modular construction system. There are intense demands on housing production in various parts of the industrialised world. Modular Building Systems (MBS) are well recognised to have the ability to play a vital role in addressing the housing crisis at present. This contemporary building technique is a cost-effective and rapid construction solution, which can significantly impact the current housing market crisis. Despite a significant research gap in advanced techniques and structural performance, the uptake of MBS techniques is emerging more rapidly than on-site building practices in most developed countries (Sweden[1], UK[2], Australia [3], China and Canada[4]).

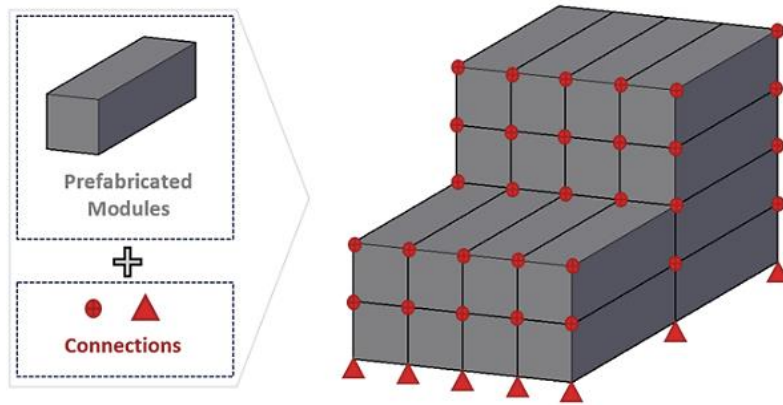


Figure 1: Graphical representation of MBS concept [5].

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38 The use of modular construction possesses many advantages, which includes: enhanced speed of
39 construction [4], higher quality management at the factory [2-5], work safety as reduced work required
40 at high-altitudes [6]; rapid deployment of new technologies; construction not being affected by adverse
41 weather[7]; decreased noise level and construction waste as on-site construction works are minimal [8].
42 In brief, modular and off-site manufactured building techniques eliminate most of the input from the
43 construction site and effectively replace sluggish, unproductive site operations with quicker factory
44 processes that are more effective. Despite such significant benefits, some critical challenges are
45 experienced in the widespread adoption of modular construction technologies, most specifically in
46 multi-storey structures [9]. Past studies have highlighted those difficulties in construction and
47 installation [2], and reliability over critical connections [2-4] based on load transfer mechanism [4] and
48 induced inter modular stresses [7] are some notable key factors influencing the reliability of MBS
49 construction and its structural integrity. In that case, connections and bracings, most specifically
50 intermodular connections (as shown in Figure 2) play a significant role in preserving the integrity of a
51 module assembly by directly influencing the ultimate structural stability and robustness of MBS. In
52 addition, inter-modular connections are crucial in resisting lateral loads and transferring them to stiffer
53 vertical elements, especially in high-rise MBSs [10]. Previous research studies [10,11, 13] in relevant
54 contexts have portrayed an overview of the structural performance and response of MBSs, for which a
55 major limitation and challenge was acknowledged as designing and developing reliable, easily
56 installable inter-modular connections.

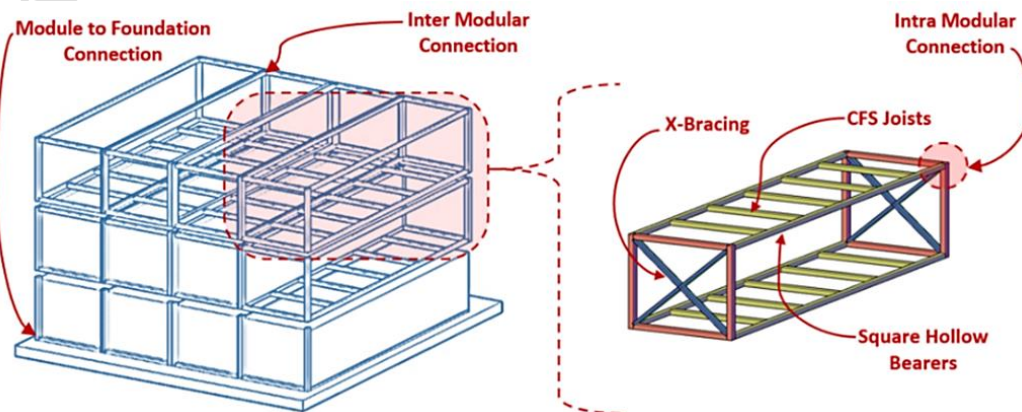
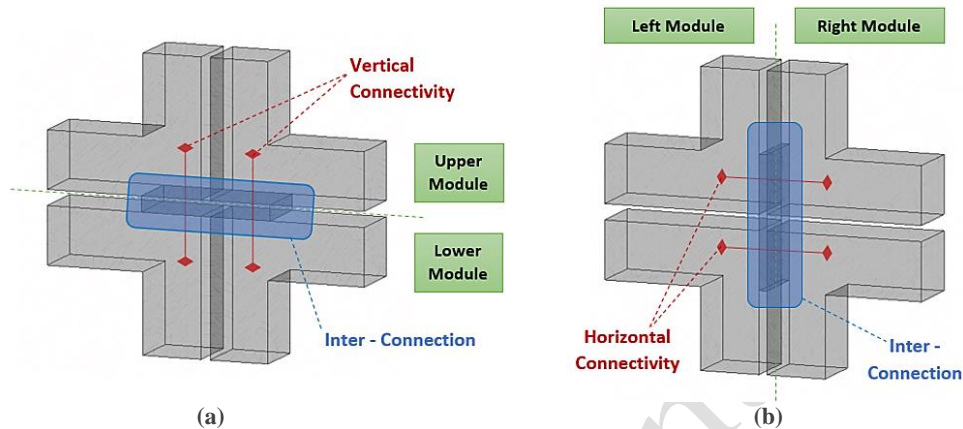


Figure 2: Graphical illustration of MBS connections and bracing.

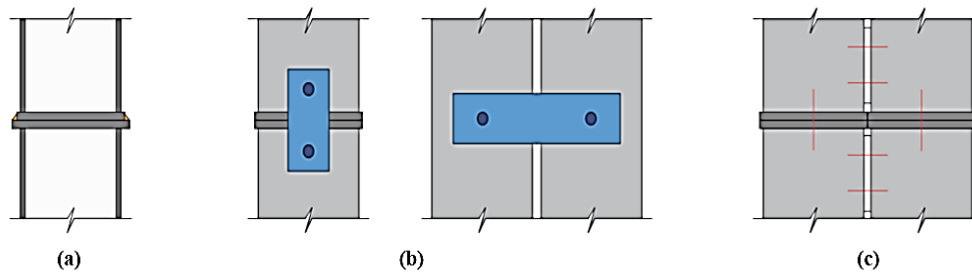
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59 Unlike in-situ reinforced-concrete (RC) and steel structures, MBSs construction requires more attention
 60 to detail in designing connections because of the need to accurately and conveniently connect multiple
 61 individual structural elements to form a modular unit, and modular units to develop a building as a
 62 whole [13]. In that context, an innovative connection has been proposed in this study, mainly targeting
 63 the inter-modular connections which forms the interface within modules. In MBSs, the inter-modular
 64 connections provide Horizontal Connectivity (HC), and/or Vertical Connectivity (VC) as presented in
 65 Figure 3.



66
 67 Figure 3: Vertical (a), and horizontal (b) connectivity of inter-modular connections [5].

68 Most common inter-modular MBS connections include bolted plate or site welded details; Figure 4 (a-
 69 c) illustrates some examples of currently employed steel connections. However, these currently used
 70 inter-modular connections have several disadvantages in terms of application and installation [4, 8].



71
 72 (a) Site welded plates [8-10], (b) Tie plates [11-12], (c) Bolted side plate [19] and end plate [20].

73 Figure 4: Most typical inter-modular connections used in the industry.

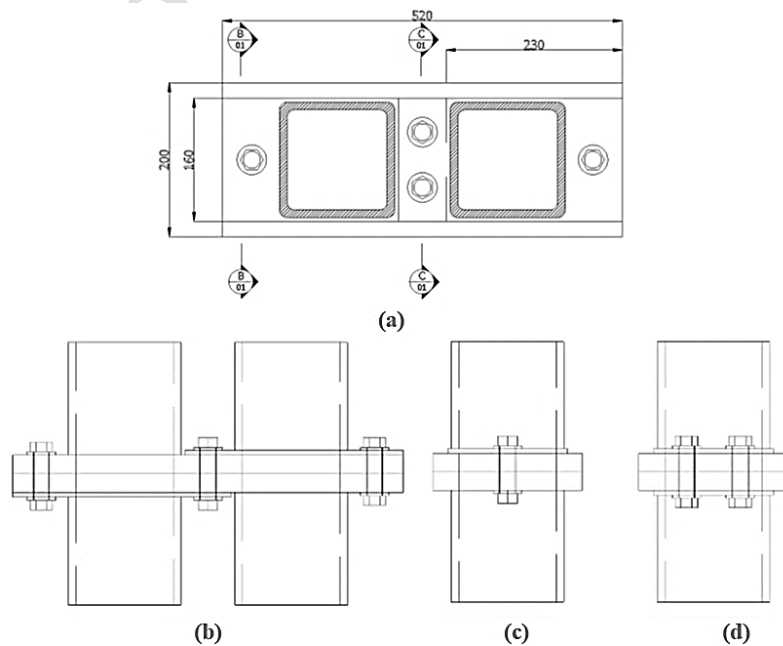
74 In the case of intermodular connections, modular units are generally linked in their corners by
 75 mechanical fasteners (both vertically and horizontally, Figure 4c) in the conventional design of MBSs
 76 in order to ensure both vertical and lateral stability of the structure. A potential downside in the use of
 77 these mechanical fasteners is accidental teardown under abnormal loading circumstances. Another
 78 limitation in the use of these types of joints is the stress concentrations at the fastening points if not
 79 properly designed. This can lead to tearing of these connections and initiate unfavourable local failures
 80 threatening the structure's overall stability. In that case, it is essential to define MBSs connection
 81 systems' ability to resist the applied load, and on the other hand, limit the potential for over-design due
 82 to limited understanding. Further, the inter-modular connections must provide suitable tolerances to
 83 allow positioning of the modules on site. In that case, the on-site welded details as illustrated in Figure
 84 4a, unlike bolted connections, will enable increased flexibility in the positioning of the modules. The

85 site welding process, however, adds another trade into the site work, which is undesirable if the priority
 86 is to optimise construction cost and speed while increasing the work quality. In addition, comparatively
 87 simple joints or assembling points might become complicated if connecting points of modules are
 88 required in 3 directions while providing access for installation to the relevant point on-site [15]. Past
 89 studies and research [4-14,21] have proven that a promising improvement in inter-modular MBS
 90 connection design with a more detailed understanding of its behaviour to avoid overdesign is inevitable.
 91 Hence this study focuses on proposing a new intermodular connection design and identifying the role
 92 and behaviour of proposed intermodular connections in MBS lateral load resistance system performance
 93 with the help of theoretical, experimental and comprehensive 3D Finite Element Analysis (FEA). The
 94 3D FEA was conducted using ANSYS [22], and an advanced contactless measurement system
 95 ARAMIS [23] with high-definition photogrammetry was used for the experiment. Based on the
 96 simulation and test results, a methodology was proposed for estimating the overall rigidity of
 97 intermodular connections, such that these rigidity or stiffness values can be employed in modelling the
 98 connection as a link or spring element in a global model of MBSs. In addition to that, this paper also
 99 presents the critical failure criteria of the intermodular connection using a validated finite element model
 100 and suggests appropriate strategies to optimize the connection design further for strength and
 101 serviceability requirements.

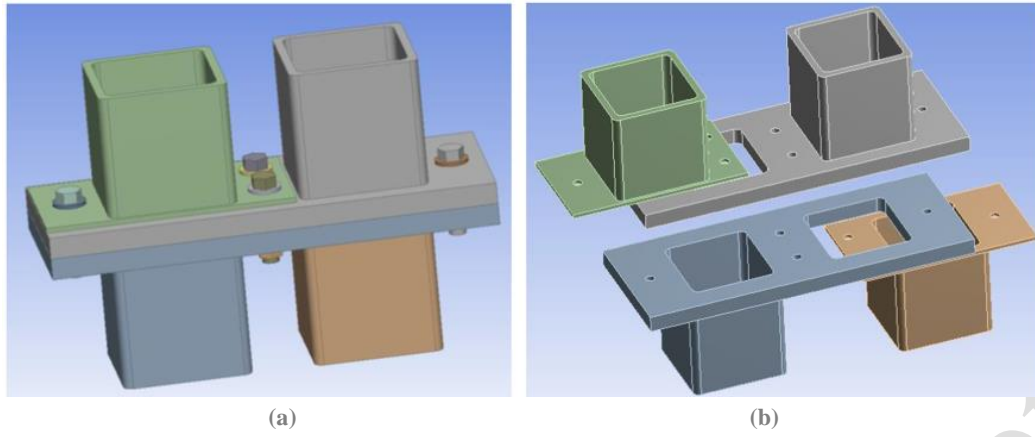
102 **2. Inter-modular Connection**

103 **2.1. Connection Detail**

104 Based on the understanding of the knowledge gap and considering the challenges faced in the modular
 105 construction industry, a novel connection design was proposed. The detailed design and 3D view of the
 106 connection proposed are illustrated in Figures 5 and 6. The 4 Square Hollow Sections (SHS) represent
 107 the 4 corner columns of the modules which get connected at one joint.



108 Figure 5: Detail of proposed module to module connection (a) top view, (b) front view and cross-
 109 sectional views (c) section B-B and (d) section C-C.
 110



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Figure 6: 3D model of proposed inter-modular connection (a); exploded view (b).

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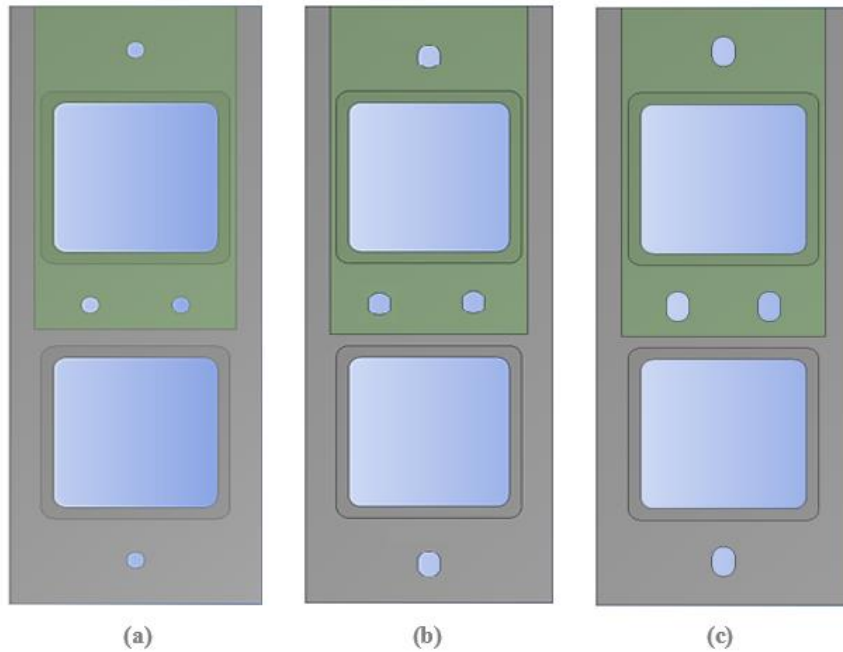
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In order to satisfy the performance requirements of a corner supported MBS, the connection is designed to resist and to transfer both horizontal and vertical loads. As illustrated in Figure 6, the proposed connection unit, both vertically and horizontally, will connect four neighbouring modules. Three different connection design parameters were considered for the experiment and the FEM validation. The varying parameters were the size of bolts (bolt diameter), and shape and size (diameter) of bolt holes, as illustrated in Table 1 and Figure 7.

Table 1: Details of connection design (C1-C3).

Connection type	Bolt diameter (mm)	Bolt type and hole diameter (mm)
C1	12	Round, 14
C2	16	Round, 18
C3	16	Slotted, 18



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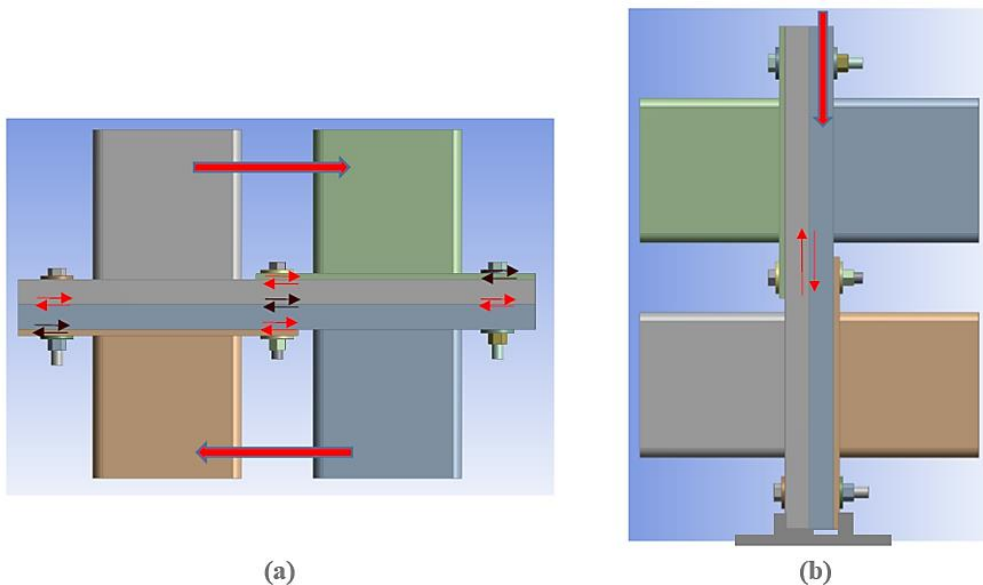
Figure 7: Three different connection design configurations (a) C1 with 14 mm round bolt hole, (b) C2 with 18 mm round bolt hole, and (c) C3 with 18 mm slotted bolt hole with slot size of 26 mm.

124 **2.2. Theoretical Study on Connection Capacity**

125 Australian steel design standard AS 4100 [24] and supporting guidelines [25] were used for the
 126 calculation of nominal shear capacity and slip resistance of the proposed connection design. The
 127 calculation elaborated in this paper is only for design of connection C1, where a similar procedure was
 128 adopted for design of connection C2 and C3.

129 **2.2.1. Calculation of Nominal Shear Capacity**

130 Based on the guidance provided under AS 4100 [24] clause 9.3.2, the nominal shear capacity of the
 131 proposed connection was calculated. As illustrated in Figure 8(a), the 2 bolts in the middle will cover 3
 132 shear planes each, and the other 2 bolts in the corners will cover 2 shear planes each when this
 133 connection is applied in a real modular building. However, as discussed in detail later in section 3, the
 134 experimental setup was planned in a way to apply a shearing force through the centre of the overall
 135 connection using a vertical load cell. This setup allowed columns to freely move under the applied load.
 136 Since only one 25 mm thick plate of the connection was connected to the load cell, all bolts will lie at
 137 the common interface of the two 25 mm thick plates in a single shear plane as shown in Figure 8(b).
 138 Hence, only one shear plane was considered for the purpose of this study and for the design of
 139 connection and its three design variations (C1, C2 and C3).



140
 141 Figure 8: Shear planes in the proposed connection (a) for axially loaded columns; (b) for the
 142 experimental setup and finite element analysis

143 The shear capacity and preload limit for the connection were calculated based on checks for the shear
 144 failure of bolts, crushing or bearing and tear-out failure of ply.

145 In this study, the shear capacity of a single bolt (V_f) was calculated using Eq. (1), where the equation is
 146 used only to interpret the capacity of the connection as per the experimental setup. In order to obtain
 147 the design shear capacity of the proposed connection as per AS 4100 [24], the output value from Eq.
 148 (1) should get multiplied by a capacity reduction factor of 0.8.

149
$$V_f = 0.62 k_r f_{uf} n_x A_0 \quad (1)$$

150 Where k_r is the reduction factor for the length of the bolt (takes a value of 1.0 for non-lap connections);
 151 f_{uf} is the tensile strength of the bolt; n_x is the number of shear planes (unthreaded region); A_0 is the
 152 cross-sectional area of bolt shank (considering no shear planes in the threaded region). Accordingly, the
 153 shear capacity of an individual bolt and all four bolts together will be 56.1 kN and 224.4 kN,
 154 respectively. The bearing capacity of the ply (V_b) was calculated using Eq. (2),

155
$$V_b = 3.2 t_p d_f f_{up} \quad (2)$$

156 Where t_p is the thickness of the ply; f_{up} is the ultimate tensile strength of the ply; d_f is the bolt diameter.
 157 Considering 6 mm thick ply as the critical ply thickness for bearing failure, the maximum bearing
 158 capacity of the ply under the preload of an individual bolt was calculated using Eq. (3), and it was found
 159 that all the bolts can be preloaded at maximum to ($= 3.2 \times 6 \text{ mm} \times 12 \text{ mm} \times 450 \text{ MPa}$) 103.7 kN.
 160 Further, the connection was checked against tear-out failure (V_p) using Eq. 3 as follows,

161
$$V_p = a_e t_p f_{up} \quad (3)$$

162 Where a_e is the minimum distance from the centre of the hole in the direction of the bearing load to the
 163 ply edge. Based on Eq. (3), the tear-out capacity (V_p) near an individual bolt and the complete C1
 164 connection was calculated as ($= 35 \text{ mm} \times 6 \text{ mm} \times 450 \text{ MPa}$) 94.5 kN and ($= 4 \times 94.5 \text{ kN}$) 378 kN
 165 respectively. Therefore, considering all failure criteria, the shear capacity of the overall C1 type
 166 connection was deduced as equal to the shear capacity of bolts which is 224.4 kN.

167 **2.2.2. Calculation of Slip Resistance**

168 The proposed connection was considered a 'slip critical' connection in this study due to its geometric
 169 position in a modular building structure and the load types the connection could undergo during a
 170 seismic event. According to AS 4100 [24], slip failure of a connection is addressed as serviceability
 171 limit criterion, and the slip resistance (V_{sf}) is calculated as in Eq. (4)

172
$$V_{sf} = \mu n_{ei} N_{ti} k_b \quad (4)$$

173 Where μ is the coefficient of friction between plies; n_{ei} is the number of shear planes; N_{ti} is the minimum
 174 preload on bolts applied during installation (31.8 kN - AJAX Fastener Handbook [26]); k_b is the factor
 175 for the type of hole used in the connection (1.0 for standard holes, 0.85 for oversize holes and short
 176 slots and 0.70 for long slotted holes). Using Eq. (4) the shear slip resistance for an individual bolt and
 177 the entire C1 connection was obtained as ($= 0.2 \times 1 \times 31.8 \text{ kN} \times 1$) 6.36 kN and ($= 4 \times 6.36 \text{ kN}$) 25.44
 178 kN respectively.

179 The summary of shear capacity, preload limit (per bolt) and slip capacity calculated based on the Eq.
 180 (1) - (4) for all three types of connections is provided in Table 2.

181

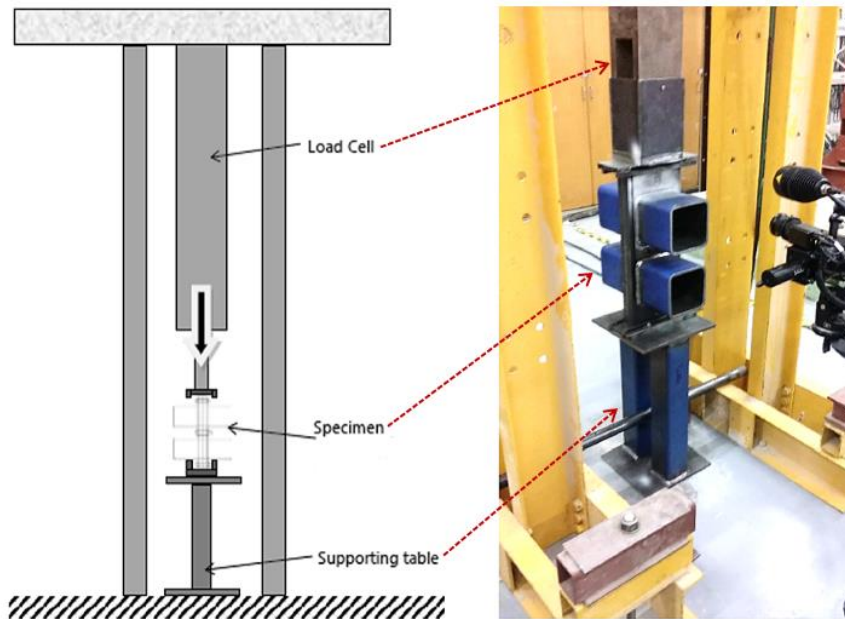
Table 2: Summary of the capacity of three types of connections proposed.

Connection design	Shear capacity (kN)	Bolt pre-tension limit (kN per bolt)	Slip capacity (kN)
C1	224.4	103.7	25.4
C2	398.9	138.2	47.4
C3	398.9	138.2	40.3

182 **3. Experimental Study**

183 **3.1. Test Setup and Instrumentation**

184 The laboratory experiment was carried out in order to understand the shear-slip behaviour of the
 185 proposed connection subjected to later load. As illustrated in Figure 9, the connection specimens were
 186 mounted onto a supporting steel table and was placed under a vertical load cell with a maximum loading
 187 capacity of 500 kN. The setup was arranged in a way to induce a shear force in the connection equivalent
 188 to the vertical compressive load from the load cell. The base support attached to the steel table prevents
 189 one plate from sliding down while allowing the other to move freely (see Figure 10). Another identical
 190 block is placed at the top of the specimen, allowing the opposite plates to move freely while restraining
 191 the other from moving.



192

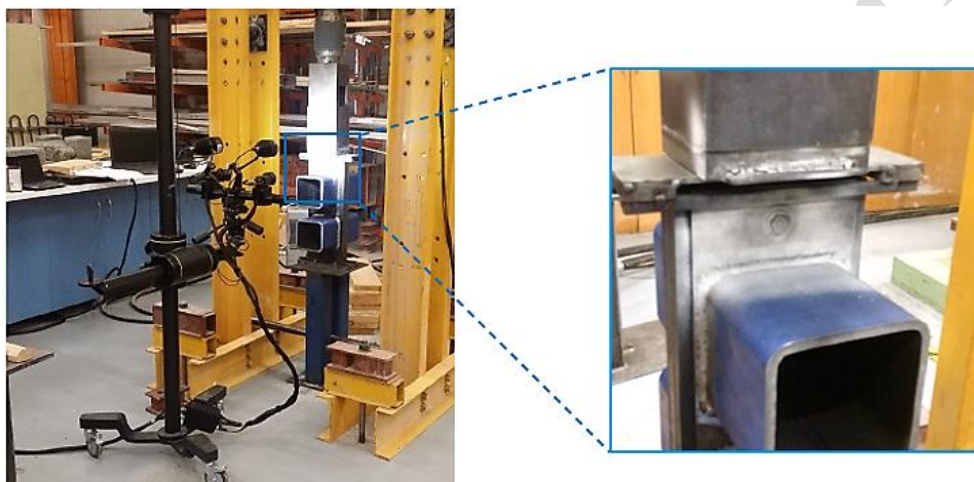
193 Figure 9: Schematic diagram of the experimental setup (left); specimen setup prior to loading (right).



194

195 Figure 10: Schematic detailed close-up view of specimen, base attached to the supporting block (left);
 196 top attached to the load cell block (right).

197 An advanced contactless measurement system called ARAMIS [23] which gauges strains in both 2D
198 and 3D environments with high-definition photogrammetry was employed to carry out the proposed
199 experiment. The adoption of this system was mainly to obtain accurate strain values to validate the
200 finite element models without any hassle from attaching strain gauges and other strain measurement
201 kits to the specimen. The specimens were placed under the load cell; the target area was sprayed with
202 white matte paint to provide a contrasting and anti-reflective surface and was kept focused by the
203 ARAMIS camera, as shown in Figure 11. The lighting around the specimen's target region was
204 meticulously adjusted to ensure that the image on display was as sharp and clean as possible. The
205 loading and displacement values were directly obtained from the load cell's data logger. 0.1 mm/min
206 rate displacement-based load was applied as the vertical load, since the experiment was intended to be
207 performed at a slow phased loading rate.



208
209 Figure 11: ARAMIS camera focused on the targeted area painted for strain measurement.

210 **4. Finite Element Modelling and Validation**

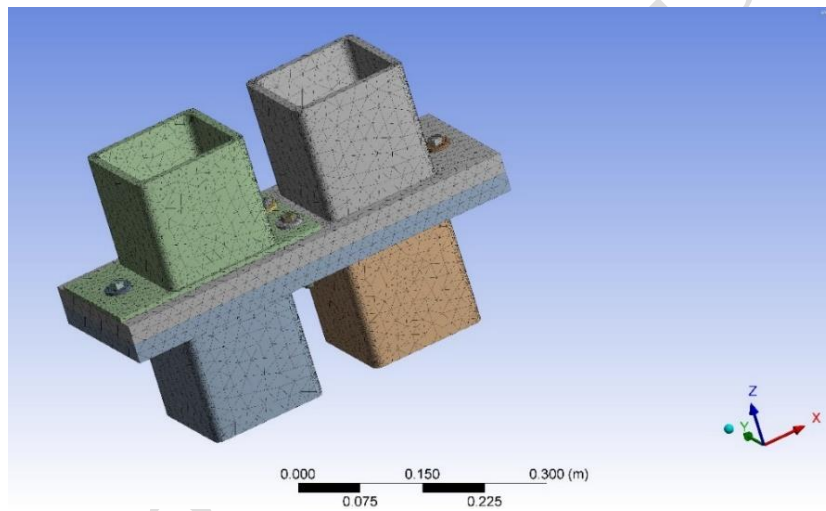
211 **4.1. Design and Methodology**

212 This section provides the details of the extensive FE modelling carried out in this study using ANSYS
213 software package [22] to investigate the structural behaviour of the module-to-module steel connection
214 when the lateral load is applied. The experimental setup of the inter-modular connections were closely
215 followed during the implementation of the FE models. Three separate numerical models were created
216 in ANSYS using the transient structural module to simulate three connection types: C1, C2 and C3
217 where the size of bolts, and both size and type of bolt holes were the same as those used for the
218 experiment (as per Table 1). Further details of the bolts, nuts and bolt holes considered for each of the
219 finite element models of the connection types are given in Table 3. The bolt and nut details and fastening
220 specifications including pre-tension values were determined using the AJAX Fastener Handbook [26].
221 During the 3D model development, different components of each connection were created and meshed.
222 Then, material properties were assigned to them, and they were assembled. After that, each connection
223 was given appropriate loading and boundary conditions, and suitable contact interactions were defined

224 between different components in contact. Figure 12 shows the 3D FE model created in ANSYS to
 225 simulate the connection and its different components.

226 Table 3: Details of bolts, nuts and bolt holes considered in the FE modelling of connections C1-C3.

Parameters	Values used for each connection type		
	C1	C2	C3
Bolt diameter (mm)	12.0	16.0	16.0
Hole type and diameter (mm)	14.0, round	18.0, round	18.0, slotted
Slot size (mm)	N/A	N/A	26.0
Pitch of threads (mm)	1.75	2.0	2.0
Bolt head thickness (mm)	7.5	10.0	10.0
Bolt head width across flats (mm)	18.0	24.0	24.0
Bolt head width across corners (mm)	20.03	26.75	26.75
Nut thickness (mm)	10.58	14.45	14.45
Nut width across flats (mm)	18.0	24.0	24.0
Nut width across corners (mm)	20.03	26.75	26.75
Bolt pre-tension	31.8 kN	59.2 kN	59.2 kN



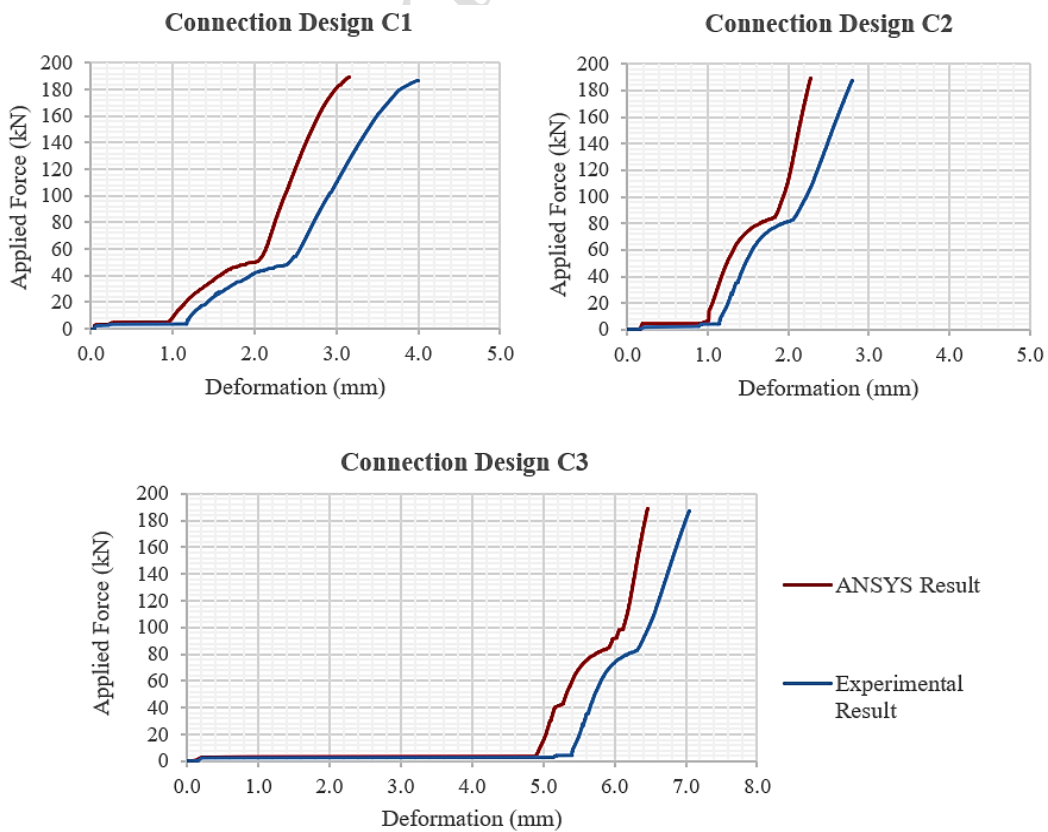
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 228 Figure 12: 3D ANSYS model of the module-to-module connection.

229 When defining the material properties in the FE models, yield strength of 350 MPa [27] was assigned
 230 to four square hollow section columns and 25 mm and 6 mm thick steel plates. Grade 8.8 bolts were
 231 modelled with a yield strength of 660 MPa [27]. A minimum tensile strength of 800 MPa was
 232 considered for the bolts [26]. The Young’s modulus and the shear modulus of steel were taken as 200
 233 GPa and 80 GPa, respectively.

234 The element type provided in ANSYS as ‘Solid 185’ with an elastoplastic stress-strain behaviour was
 235 used to model the structural steel components of the finite element models. The mesh sizes were selected
 236 to be close to 1.0 for all components controlled by the length-to-width aspect ratios. More finer mesh
 237 sizes were adopted around the bolt holes in order to transfer the stress from the bolt to the plates and to
 238 detail the deformation of bolt holes. The bolt pretension loads were generated in the developed FE
 239 models with pretension element in the middle section of the meshed bolt shank. The bolt pretension
 240 loads of 31.8 kN and 59.2 kN [26] is applied for bolt body and force is applied to the nut surface which

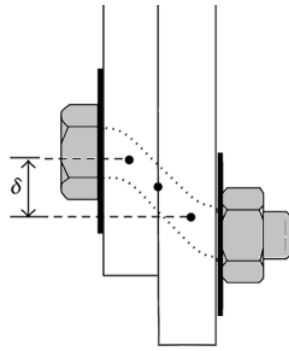
241 indicated the amount of force required to tight the nut. All steel-steel contact surfaces were defined as
 242 frictional contacts with a frictional coefficient of 0.2. Further, the threaded region of all bolts were
 243 defined as bolt thread contacts with properties as given in Table 3.

244 When applied in a real building, both wind and seismic forces would transfer as shear forces through
 245 the inter-modular connection. Therefore, a monotonic lateral load was applied to the connection
 246 (vertical load to the test setup) to examine its response under these loading conditions. The boundary
 247 conditions of the model were applied to reflect the experimental conditions as closely as possible. One
 248 25 mm thick plate was restrained at its two ends to lateral forces, while the other 25 mm thick plate was
 249 set free to move when a lateral load was applied. In addition, the bolts were assigned pre-tension forces,
 250 which are equal to the values given in Table 3. Finally, a static load was applied to one edge of the 25
 251 mm thick plate, which is free to move against lateral loads. Upon completion of the 3D FE models of
 252 modular connections, a nonlinear analysis was performed. The analysis results were then compared
 253 with the experimental results to assess the accuracy of the developed numerical models. The test and
 254 FE load-deformation responses were compared as illustrated in Figure 13 for all three connection types.
 255 The shear deformation of the steel bolt was calculated by sum of deformation at 2 mid points of bolt
 256 shank as illustrated in Figure 14. Three different stages can be identified from the load-deformation
 257 curves of each connection, and the comparisons show that the numerical load-deformation response
 258 closely followed the experimental response in all three stages. Figure 15 provides the expected detailed
 259 behaviour of a clamping bolt according to Gorenc et al. [28], which is similar to those used in the test
 260 specimens.

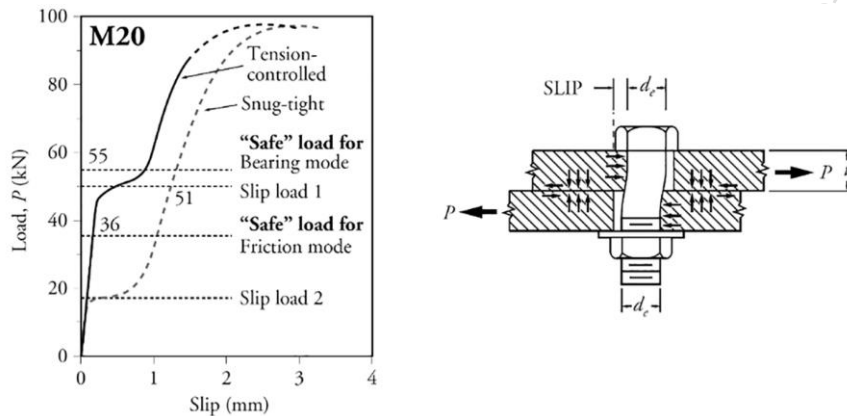


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262 Figure 13: Comparison of load-deformation responses of experiment and ANSYS model for the
 263 connection C1-C3.



264
 265 Figure 14: Deformation of single bolt connection.



266
 267 Figure 15: Expected behaviour of bolts under combined bearing and shear stresses [28].

268 A rapid deformation is observed at the beginning of the curves as a result of the initial slip of the
 269 connections. The tolerance between the bolt hole and bolt allows for this initial slip which is about 1
 270 mm for connection types C1 and C2 and is equal to an approximately higher value of 5 mm for
 271 connection type C3 with slotted holes. The irregularities of plate geometries and the deviations of bolt
 272 orientation from its centre axis in the tested connections could be the reasons for slight deviations
 273 observed between the experimental and numerical curves. When at least one bolt has reached the edge
 274 of its bolt hole under lateral loading, the overall stiffness of the connection begins to increase. The
 275 settled bolt would contribute fully to the resistance of the connection against the lateral loading.
 276 Gradually other bolts also would follow this path and result in contributing to the overall stiffness of
 277 the connection in full capacity. The second stage of the load-deformation response corresponds to this
 278 phenomenon. The overall slip resistances of each connection can be identified from the loads equivalent
 279 to the end of this second stage and are given in Table 4. Finally, all the components in the connection
 280 act together in resisting the lateral load in the third stage of the load-deformation curve. The bolts begin
 281 to show both shear and bearing deformations in this stage. The stiffness exhibited in this stage of the
 282 curve corresponds to the lateral stiffness of the overall connection. Table 4 compares the test slip
 283 resistances and analytical slip resistances obtained from ANSYS for all three connections. The test-to-
 284 FE slip resistance ratios given in Table 4 confirm that the developed 3D FE models of inter-modular
 285 connections can predict their slip resistance with good accuracy.

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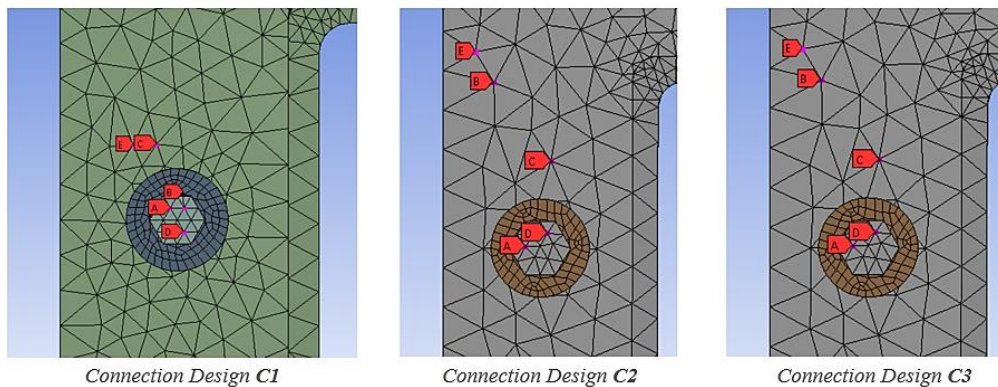
Table 4: Comparison of experimental and ANSYS slip resistances of the connections.

Connection Type	Slip resistance (kN)		Test/ANSYS slip resistance ratio
	Test	ANSYS	
C1	51.8	48.5	1.07
C2	84.0	81.5	1.03
C3	83.5	81.0	1.03

287

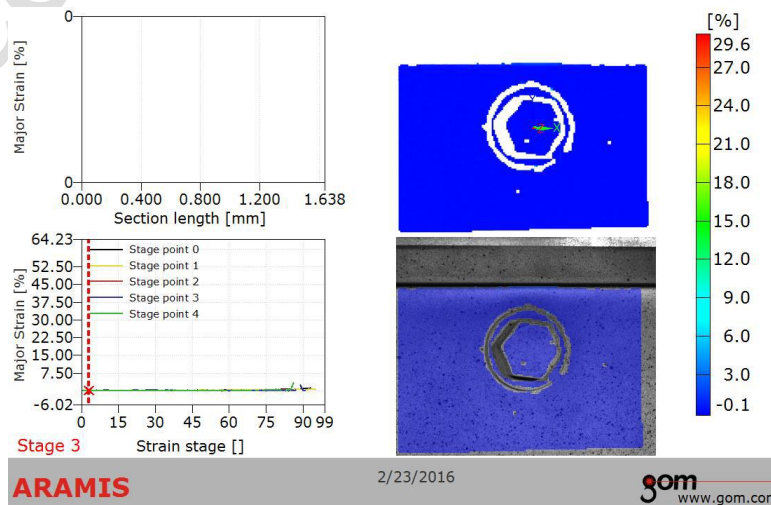
288 **4.2. Strain Measurements**

289 The contactless strain measurements that were taken with the aid of the ARAMIS system is compared
 290 here against the results from the ANSYS model. To increase accuracy of the comparison process, five
 291 nodes were selected from the ARAMIS results where the measurements were clear for the total duration
 292 of the experiment. The five points that were marked to measure the strain results through the duration
 293 of the test is illustrated in Figure 16. The marked points A, B, C, D and E were at similar locations to
 294 the stage points 0, 1, 2, 3 and 4 from the ARAMIS strain measurement. A sample strain results plot
 295 from ARAMIS for a time at the initial part of the loading is illustrated in Figure 17. The results obtained
 296 from this comparison as a part of validating the developed model with laboratory test data were
 297 satisfactory with the coefficients of determination ranging from 0.61 to 0.99. Hence the developed and
 298 validated ANSYS models were proved to be conservative in comparison with the experimental outputs.



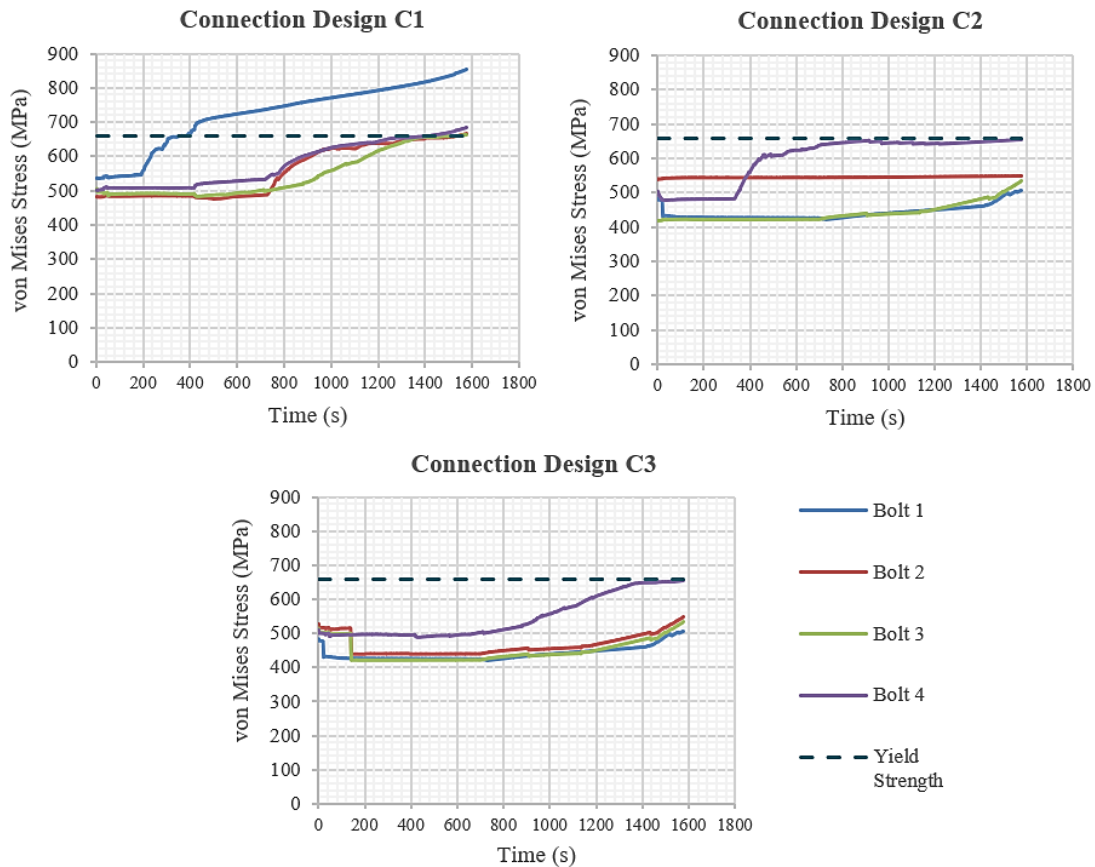
299

300 Figure 16: Five points (A-E) marked on the ANSYS models to measure von Mises strains for
 301 connection designs (C1-C3).



302

303 Figure 17: A sample strain results plot from ARAMIS for a time at the initial part of the loading.



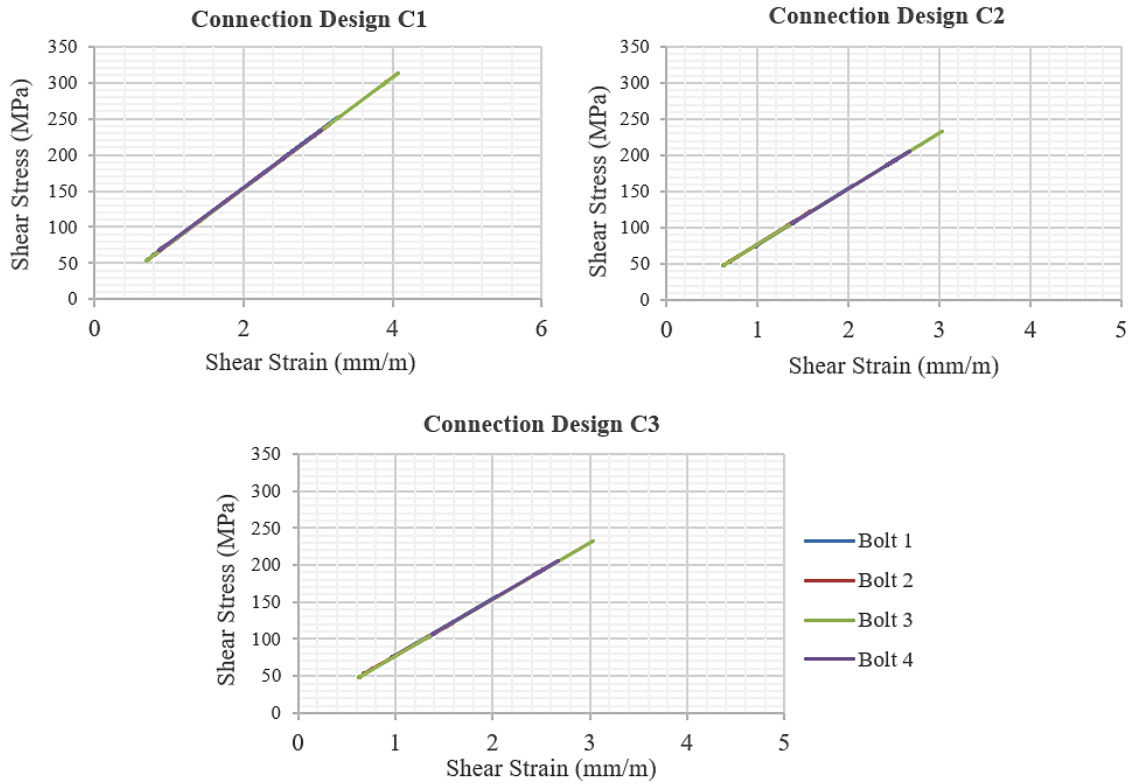
320 Figure 19: Equivalent (von Mises) stress development in the bolts of connection designs (C1-C3)
 321 through the loading history.
 322

323 According to Figure 19, solely in C1 connection appears to be yielding through bolt 1 at first, then the
 324 following 3 bolts as the loading history progresses. Unlike in connection C1, in the connections, C2 and
 325 C3, Bolt 4 which is nearest to the bottom support, has developed the largest strains even though neither
 326 of those bolts have yielded. Further, to pinpoint the specific mode of failure and to understand whether
 327 the yielding occurs due to specific one action or a combined effect, the shear stresses, principal stresses
 328 and combined effect of tension and shear were evaluated in detail for all three types of connections.

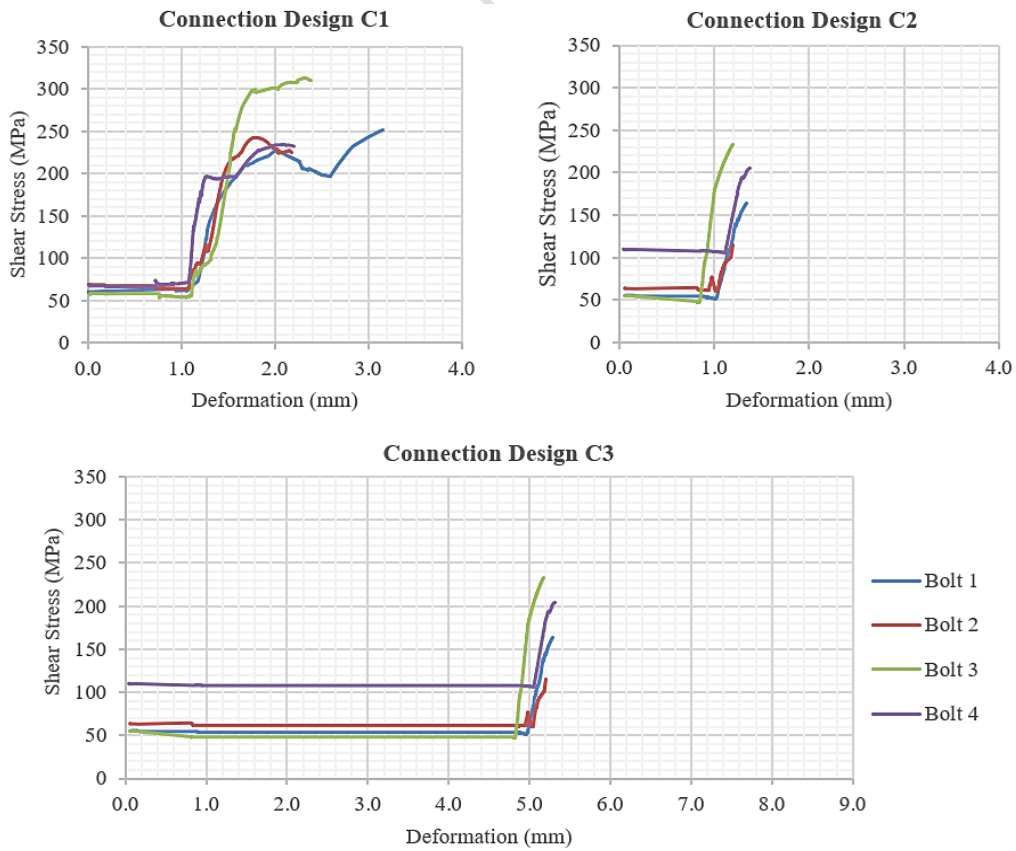
329 **5.1.1. Evaluation of Shear Stresses**

330 The generated graphs presented in Figure 20 describes the shear stress generated against strains in each
 331 bolt of C1-C3 connection designs. The graphs indicate an equivalent gradient of liner shear stress-strain
 332 relationship with an approximate value of 76.9 GPa, which is equal to the shear modulus of the material.
 333 Hence, it is evident that generated strains and stresses vary from one bolt to the other, none of the
 334 connections faces pure shear failure, and shear stress generated in the connection is not born equally by
 335 all four bolts. Design codes in general, would assume that all bolts bear an equal degree of shear force.
 336 However, it should be noted that according to AISC (1980) shear stress experienced by each bolt in a
 337 bolted connection vary with the distance from where the load is applied and the distance within the
 338 bolts of the connection. Figure 21 presents the graphs of shear stress plotted against the bolt deformation
 339 in load direction. The initial slip of 1 mm in connection C1 and C2, and 5 mm in connection C3 due to

340 bolt clearance and development of shear stress in bolts immediately after the slip is clearly captured in
 341 the graphs.

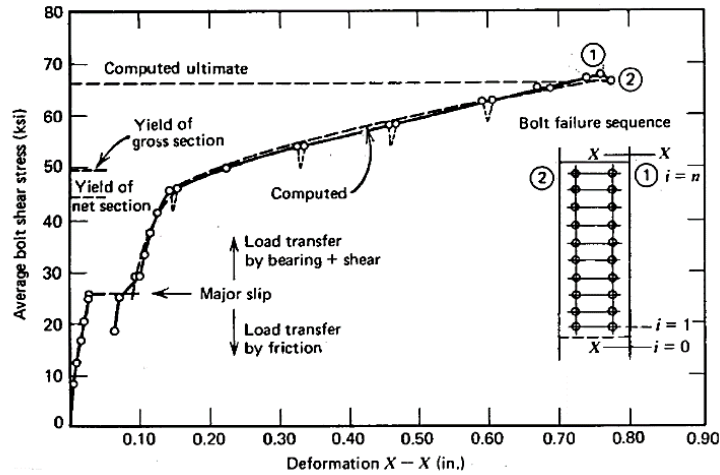


342
 343 Figure 20: Shear stress vs shear strain relationship for the bolts in connection designs (C1-C3).



344
 345 Figure 21: Shear stress vs deformation of the applied load for the bolts in connection designs (C1-C3).

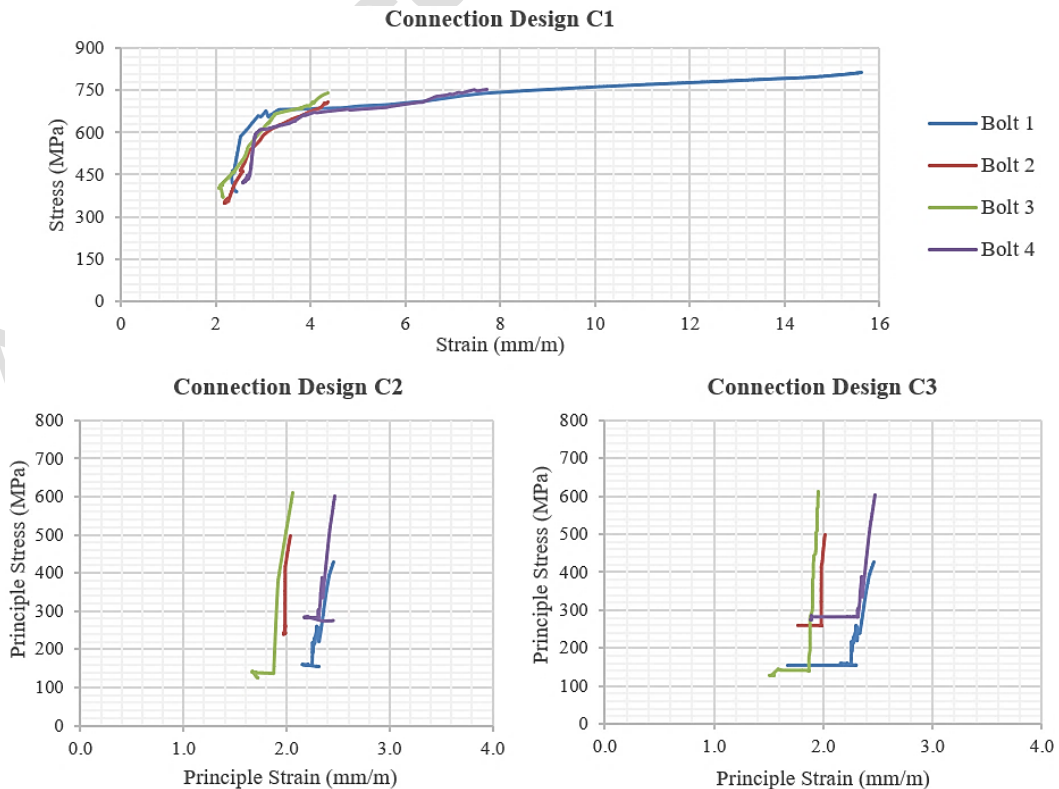
346 The reason for shear values not starting from zero is because the specimens were kept vertical under
 347 the load cell and before the external load gets applied from load cell the connections' self-weight itself
 348 adds shear load on the bolts. As a result, Bolt 4 (furthest from the load applied point and closest to the
 349 bottom support) has the largest shear stress at the beginning as it takes the largest portion of the
 350 connection's self-weight. Similar to Figure 21, The graph (Figure 22) by Kulak et al. [29] illustrates a
 351 detailed shear stress vs deformation relationship for a steel bolt which highlights the shear-slip
 352 deformation behaviour and yielding through the nonlinearity with evident change in the gradient.



353
 354 Figure 22: Experimental and theoretical results for shear stress vs deformation relationship for a slip
 355 critical bolt connection [29].

356 **5.1.2. Evaluation of Principal Stresses**

357 In order to study the failure modes of bolts in the connection, the stress vs strain relationships were
 358 analysed for connection designs C1-C3 (shown in Figure 23).



359
 360 Figure 23: Principal stress vs strain relationship for each bolt in connection designs (C1-C3).

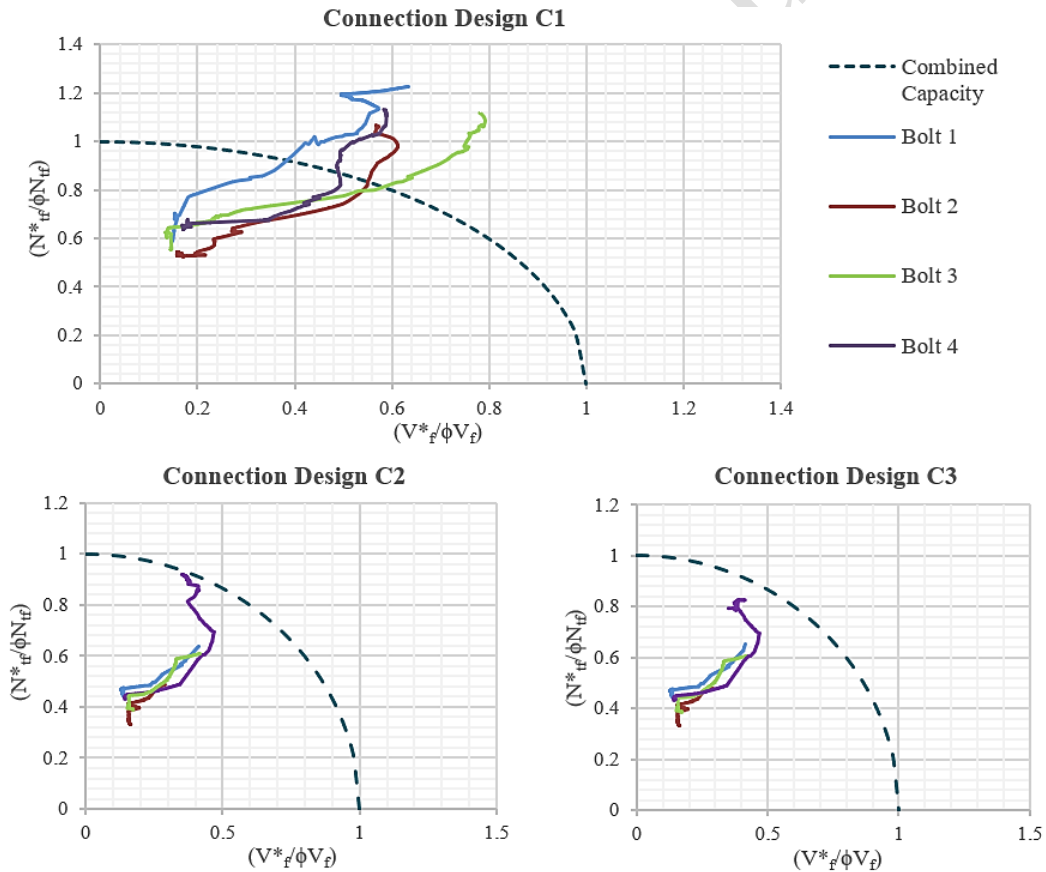
361 An evident nonlinearity for all four bolts (especially Bolts 1 and 4) can be observed in the principal
 362 stresses for connection C1, unlike in C2 and C3. Even though Maximum Principal Stress Theory
 363 (MPST) is not an ideal assessment for yielding behaviour of bolted connections, it clearly indicates
 364 development of significant axial stresses in all 4 bolts of the 3 connection designs.

365 **5.1.3. Evaluation of the Combined Effects of Shear and Tension**

366 The combined tension and shear effect can be considered critical for the connections because of the
 367 frequent and early slip failures in their loading histories. According to AS 4100 [24], the interaction
 368 relationship between tension shear for bolt connection can be expressed as in Eq. (5). The combined
 369 effect of tension and shear against their capacity calculated using Eq. (5) are presented in Figure 24.

370
$$\left(\frac{V_f^*}{\phi V_f}\right)^2 + \left(\frac{N_{tf}^*}{\phi N_{tf}}\right)^2 \leq 1 \quad (5)$$

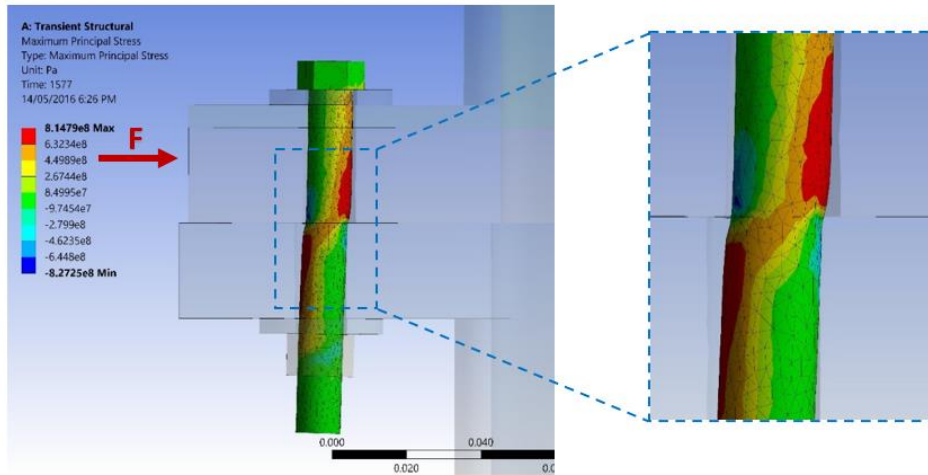
371 Where, V_f^* is the design shear force of the bolt; V_f is the nominal shear capacity of the bolt; N_{tf}^* is the
 372 design tensile force of the bolt; N_{tf} is the nominal tensile capacity of the bolt; and ϕ is 0.8 - the capacity
 373 reduction factor as per Table 3.4 of AS 4100 [24].



374
 375 Figure 24: Combined shear-tension interaction diagram for connection design C1-C3.

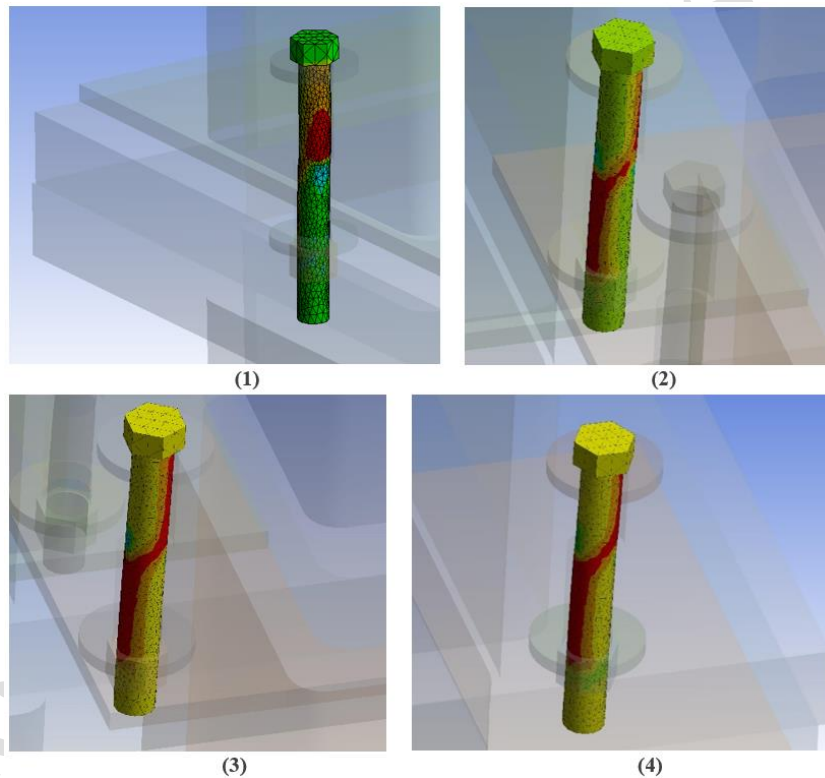
376 Considering the magnitude of the applied loads, it can be noted that none of the bolts in connections C2
 377 and C3 undergo yielding, and all 4 bolts in connection C1 have yielded through combined tension and
 378 shear (Figure 24). The failure pattern of bolts in connection C1 is further illustrated through images
 379 from ANSYS analysis and presented in Figures 25 and 26. From the figures, it also can be concluded

380 that these bolts have failed due to both shear and the axial stresses caused by bearing (within the inside
381 surface of the bolt hole), and the failure mode is a combination of tension and shear.



382
383

Figure 25: The failure zone of Connection design C1, Bolt 1.

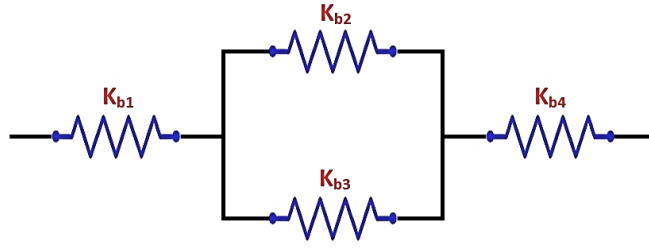


384
385

Figure 26: Bolt (1-4) failure mode of connection C1.

386 5.2. Estimating the Stiffness of the Connection

387 The accurate estimation of connection stiffness can help in global modelling of MBSs, where these
388 values can be used as an equivalent spring stiffness for connection points represented as either link or
389 spring type elements. Only the elastic stiffness of the connection was considered here, as the main
390 intention is to identify the stiffness value for the final connection design. Stiffness was calculated for
391 both the initial slip and the shear deformation, which are the 2 distinct stages of bolt connection
392 deformation observed. Bolts 1-4, a combination of springs connected stiffness, were separately
393 estimated and combined as in the resistance arrangement shown in Figure 27.



394
 395 Figure 27: Representation of overall connection stiffness as a combination of individual bolt stiffness.
 396 The resultant stiffness can be calculated from Eq. (6), where the 2 bolts in the middle (b2 and b3) are
 397 considered parallel, and their combined stiffness will act in series with corner bolts b1 and b4.

398

$$\frac{1}{k} = \frac{1}{k_{b1}} + \frac{1}{(k_{b2} + k_{b3})} + \frac{1}{k_{b4}} \quad (6)$$

399 **5.2.1. Slip Stiffness (k_{slip})**

400 The shear slip stiffness for every individual bolt was calculated based on Eq. (7). Where, k_{slip} is
 401 stiffness against the initial slip; P_{slip} is the load applied during the initial slip; Δ_{slip} is initial slip value
 402 (slip to the bolt hole edge tolerance). The overall stiffness value for the entire connection was then
 403 calculated using Eq. (6).

404

$$k_{slip} = \frac{P_{slip}}{\Delta_{slip}} \quad (7)$$

405 **5.2.2. Stiffness against combined Shear and Tension (k_{br})**

406 Based on Hooke's law, the stiffness against shear (k_{τ}) can be estimated using Eq. (9) [30]. According
 407 to Wileman et al. [31], the connection stiffness against tension (k_m) is estimated though an exponential
 408 relationship as per Eq. (9). And then the combined shear and tension stiffness of the entire connection
 409 therefore can be expressed as in Eq. (10).

410

$$k_{\tau} = \frac{GA_s}{L} \quad (8)$$

411

$$k_m = AEde^{B\left(\frac{d}{L}\right)} \quad (9)$$

412

$$\frac{1}{k_{br}} = \frac{1}{k_{\tau}} + \frac{1}{k_m} \quad (10)$$

413 Where G is the shear modulus of the material; A_s is bolt cross-sectional area; L is grip length of bolt;
 414 A, B are the dimensional constants which carries values of 0.78715 and 0.62873 respectively for steel;
 415 E is elasticity modulus of material; d is diameter of bolt hole. Similar to slip stiffness, each plate in a
 416 connection linked by a single bolt has its stiffness computed independently and then combined in series.

417 The summary of design capacities of connections C1, C2 and C3, where the theoretical stiffness values
 418 obtained were compared with analytical and experimental results, is provided in Table 5. In addition,
 419 the analytical and experimental stiffness were evaluated based on analyzing a typical portion from the
 420 elastic zone of the load - deformation curve.

421 Table 5: Design capacities of connections C1-C3.

Connection Design	Theoretical connection stiffness (kN/mm)	Analytical connection stiffness – from ANSYS model (kN/mm)	Experimental connection stiffness (kN/mm)
C1	74.9	102.6	137.9
C2	134.9	148.4	225.0
C3	134.9	152.0	285.0

422 The stiffness of connection derived from analytical model shows a closer match with theoretical
423 calculations than those of experimental results. This could be due to the fact that numerical models are
424 more controlled simulations with theorised parameters, where in case of experiments, laboratory
425 investigations are dependent on a large number of factors that can diverge from expected values.
426 Deviations in thickness of clamping plates, size of the bolt holes and loss of applied preload during the
427 experiments are factors which may possibly have influenced the variation of these stiffness values.

428 **6. Conclusions**

429 In MBSs, the intermodular connections are crucial in transferring and resisting lateral loads. The lateral
430 loads are transferred from horizontal elements to stiffer vertical members via these connections that link
431 neighbouring modules. Hence, a better knowledge of their behaviour when subjected to lateral loads is
432 essential. In this study, experiments were performed on 3 distinctive connection configurations to
433 successfully validate the FEMs. The experiments and verified models along with theoretical design
434 calculations, provided a great insight into how the connection would respond to horizontal stresses.
435 Based on that, the following conclusions were drawn.

- 436 1. Comparison of load-deformation responses of the experiment and the FEMs indicated a close
437 agreement, especially in the initial slip stage. And in addition, the experimental and finite element
438 model slip resistances of the connections have given a test to model output slip resistance ratio of
439 1.03-1.07 for connection C1-C3 with an average of 1.04, showing an acceptable accuracy providing
440 research basis for further investigations.
- 441 2. The detailed analyses on all 3 connection configurations reveal that, the connection tends to fail in
442 slippage even at a very small lateral load. Therefore, the proposed connection should be considered
443 a 'slip critical' connection when designed for serviceability.
- 444 3. Shear stress-strain curves exhibited a gradient of 76.9 GPa equal to the shear modulus of the material,
445 which also indicates that the pure shear is not the most critical failure criteria. However, further
446 evaluation of combined tension and shear effects on the connections confirmed that the failures were
447 due to the combined effect, and therefore connections of this type should consider this as the most
448 critical design check.
- 449 4. Finally, the stiffness capacities of the connection designs C1-C3 was acquired as 102.6, 148.4, and
450 152.0 kN/mm respectively, from finite element analysis showing a closer match with theoretical
451 calculation outputs. Hence, the obtained stiffness values can be adopted in the global structural
452 model of MBSs, where connection can be modelled as either link or spring type element.

453 Following are suggestions and recommendations for further investigations based on the understanding
454 developed from this study.

- 455 1. Slip failure is unavoidable for large horizontal stresses, such as those experienced during seismic
456 events. As a result, bolt slippage should be expected during an earthquake. Even though slip failure
457 is not catastrophic, it does convert the connection to a bearing type connection. Hence, as in C3
458 design, wider slots are not recommended for high rise modular buildings because cumulative slips
459 can generate a substantial lateral deflection and can cause damage to supporting elements.
- 460 2. Slotted holes are very appealing for modular construction because they provide a higher tolerance
461 margin when aligning the top modules with the bolt holes of the fixed bottom modules. If slotted
462 holes are still necessary to favour installation, they must be appropriately engineered to prevent
463 slippage. This can be accomplished by using larger bolt sizes than that are required. This approach,
464 however, is not recommended in seismically active regions.
- 465 3. Another option for satisfying this requirement during module installation is to employ specialised
466 technologies for example specially designed interlock or self-lock connections, to support the
467 module while it is precisely positioned for installation. This would help considerably reduce human
468 errors and offer a safer working environment during module installation while also allowing for the
469 installation of modules with considerably lower tolerances.

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