# Machine Learning-driven Optimization for SVM-based Intrusion Detection System in Vehicular Ad Hoc Networks

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Abstract Machine Learning (ML) driven solutions have been widely used to secure wireless communications Vehicular ad hoc networks (VANETs) in recent studies. Unlike existing works, this paper applies support vector machine (SVM) for intrusion detection in VANET. The structure of SVM has many computation advantages, such as special direction at a finite sample and irrelevance between the complexity of algorithm and the sample dimension. Intrusion detection in VANET is nonconvex and combinatorial problem. Thus, three intelligence optimization algorithms are used for optimizing the accuracy value of SVM classifier. These optimization algorithms include Genetic algorithm (GA), Particle Swarm Optimization (PSO), and Ant Colony Optimization (ACO). Our results demonstrate that GA outperformed other optimization algorithms.

 $\label{lem:keyword:machine} Keyword:\ Intrusion\ detection,\ Smart\ city,\ support\ vector\ machine,\ security,$   $misbehavior\ detection.$ 

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#### 1 Introduction

Nowadays, smart vehicles have been a corner stone in the concept of intelligent traffic systems (ITS), playing key roles in enhancing the road safety and improving the driving experience. For smart city, road infrastructure relies on information and communications technologies where smart vehicles are connected with other vehicles and roadside units (RSU). ITS relies on RSU for reducing accidents and improving driving performance Alsarhan et al. (2018); Arif et al. (2019).

VANETs are vulnerable to several types of attacks due to the nature of exposure of wireless medium Arif et al. (2019). Unfortunately, these attacks degrade the performance of VANET significantly and create serious problems for legitimate drivers. Hence, protecting VANET's traffic from modification, interception, and deletion the messages has been a great challenge and among the top priorities for academia and industry. Malicious nodes may alert the messages which are used to guide the drivers. Furthermore, attackers may spread false messages which may cause accidents Arif et al. (2019); Sun et al. (2019). In order to apply the VANETs in ITS effectively, new algorithms for securing VANETs' traffic should be proposed and applied efficiently. All VANETs' traffic should be classified for trustworthiness. Every attack class in VANET has certain characteristics, which establishes a profile for it. Machine learning (ML) algorithms have been widely adopted for analyzing large amounts of data and extract useful rule for the detection, classification, and prediction of future events in VANET. ML has many applications specially for problems that involve pattern recognition such as intrusion detection in VANET Alshammari et al. (2018). ML-based security system is desirable solution in such large wireless network so that intrusion can be detected in advance with acceptable time and reasonable accuracy Alshammari et al. (2018). Many factors motivate using ML for intrusion detection, including the following ones.

- The growing availability of large amounts of data, where, RSUs and vehicles can be used to collect data.
- The dramatic advances in ML algorithms such as SVM.
- The emergence of new computing paradigms such as cloud computing, fog computing and edge computing, graphics processing unit (GPU) technology, and other hardware upgrades.

ML can be used to identify unusual patterns of behavior in VANET data which become increasingly voluminous. Several ML algorithms have been used for intrusion detection system (IDS) in VANET Alshammari et al. (2018). These algorithms include: Bayesian approach, Neural network, Decision trees, and SVM.

Bayesian approach is a statistical technique based on Bayesian theorem Fouladi et al. (2016). Naive Bayes classification assumes all features for each event in VANET are independent from each other. It is easy to implement when the dimensionality of the objects is high with high accuracy value for classification. It can be used easily for very large datasets. Usually, it outperforms most of classification methods despite its simplicity Fouladi et al. (2016). In Naive Bayes classification, Bayes theorem is used to compute the posterior probability using large dataset Fouladi et al. (2016). The classifier can handle missing data efficiently Fouladi et al. (2016). For this classifier, it is assumed that the effect of the value for any feature on class C is independent of the values of the other attributesFouladi et al.

(2016). However, the conditional independence of data features is not valid assumption for intrusion detection problem in VANET. Correlated features for each event in VANET may degrade the classification accuracy of IDS significantly.

Artificial Neural Networks (ANN) can handle both linear and non-linear patterns. The extracted model computes the probability with features matches the characteristics that it has been trained to recognize Saied et al. (2016). ANN can learn efficiently from its environment. However, ANN does not scale easily when the data size is large and the structure of ANN is complex.

Decision tree (DT) is non-parametric data mining method used for supervised classification. DT performs a successive partitioning of cases until all objects in dataset are classified. DT can generate a rich set of rules that are easy to integrate with any real time system Gupta et al. (2019). It has been widely used in IDS Gupta et al. (2019). Beside it requires more time for training the model, small variations in the data may change DT structure significantly Gupta et al. (2019).

SVM has become one of the popular ML algorithm that used in IDS due to high generalization performance, and its ability to handle a small sample size Vapnik (1999). Furthermore, it can efficiently deal with curse of dimensionality Vapnik (1999). SVM maps training data nonlinearly into higher-dimensional feature space using mapping function Vapnik (1999). In this work, we use three intelligent algorithms to optimize accuracy function for IDS. These algorithms include: GA Goldberg (2006); Sivanandam and Deepa (2008), ACO Zhang et al. (2018), and PSO Eberhart and Kennedy (1995). The proposed IDS integrates machine learning intelligence and ambient intelligence concepts in VANET to develop decentralized security system that responds to the requirements of road safety. This system enables Vehicles which are considered to be more intelligent to detect any intrusion in VANET by setup SVM-based IDS. The main contributions of this work can be summarized as follows:

- 1. Propose new SVM-based IDS enhanced with a new penalty function for reinforcing the regularization of the proposed classifier. Penalty function is used to control the complexity of the classifier by reducing the number of support vectors
- 2. Since SVM-based IDS depends on several parameters, three algorithms were studied for automatically tuning the parameters of IDS. These algorithms include: PSO, GA, and ACO. New objective function is defined for SVM and the optimizations algorithms are used for the optimization task with certain heuristics in order to avoid trapping into local optimum.
- 3. Implement the proposed SVM model and examine the model by doing experiment on a well-known intrusion detection dataset (i.e. NSL-KDD).

The remainder of this paper is organized as follows. Section 2 gives a brief overview of the using machine learning based methods for intrusion detection. Section 3 introduces a description of the SVM optimization using intelligent algorithms. The performance result of our scheme is evaluated in Section 4. Section 5 concludes the paper and discusses future work.

# 2 BACKROUND

ML has a wide range of mundane and complex applications including IDS. Several ML algorithms for building IDS have been proposed. SVM was proposed in

Theissler (2014) for IDS with the Radial Basis Function (RBF) to classify the behaviors of nodes. However, the classifier gives good results in some environments but it fails to detect most anomalies as expected. Neural network was used in Ludwig (2017) for classifying events in the network. The proposed IDS consists of auto encoder, deep belief neural network, deep neural network, and an extreme learning machine. The NSL-KDD data set was used to evaluate the classification performance. Convolutional neural networks (CNN) was proposed in Wu et al. (2018) for intrusion detection. CNN was used to select traffic features from raw data set automatically. Furthermore, cost function was used to set weight coefficient of each class based on its numbers to solve the imbalanced data set problem. Deep learning theory was used in Xu et al. (2018) for improving the performance if IDS and extracting features for each event. The proposed technique has shown good performance. SVM was used in Mohammed and Sulaiman (2012) to improve intrusion system by recognizing attack patterns from database. The data was originated from a computer Lab. New ML based algorithm was applied for intrusion detection in Feng et al. (2014). The proposed algorithm was used to classify network activity as normal or abnormal using the network log. Ant Colony Network was applied with SVM for activities classification. The algorithms were implemented and evaluated using a standard benchmark KDD99 data set.

Authors in Shone et al. (2018) proposed a new novel deep learning technique for intrusion detection. Nonsymmetric deep learning was used for classifying events. The proposed classifier has been implemented in graphics processing unit (GPU)-enabled TensorFlow. IDS was evaluated using the benchmark KDD Cup '99 and NSL-KDD datasets. Novel framework was proposed in Papamartzivanos et al. (2019) for IDS. The framework combines the benefits of self-taught learning and MAPE-K frameworks to produce a scalable, self-adaptive, and autonomous misuse IDS. Deep learning was utilized to improve detection rate by grasping an attack's nature based on the generalized feature reconstructions stemming directly from the unknown environment and unlabeled data.

In Salama et al. (2011), authors suggested new hybrid scheme that adopted deep belief network and SVM to classify the traffic into two outcomes: normal or attack. The attacks fall into four classes: R2L, DoS, U2R, and Probing. In the proposed scheme, deep belief network was utilized to reduce the dimensionality of the feature sets. Then SVM is used to classify the intrusion into five outcomes: Normal, R2L, DoS, U2R, and Probing. NSL-KDD dataset was used to evaluate the performance of proposed scheme. Authors proposed a two-stage classifier based on RepTree algorithm and protocols subset for IDS Belouch et al. (2017). The scheme was evaluated using the UNSW-NB15 data set and the NSL-KDD data set. In the proposed IDS, the incoming network traffics is divided into three types of protocols TCP, UDP or other. Then, IDS classifies each type into normal or anomaly. After that, a multiclass algorithm is used to classify the anomaly detected in the first phase to identify the attacks class in order to choose the appropriate intervention.

New IDS was proposed in Pozi et al. (2016) to solve the problem of missing rare attacks . Anomalous attacks are detected by SVM-based IDS. GA is used to improve the accuracy of attacks detection. Authors proposed new IDS in Desale and Ade (2015) to reduce the time complexity by selecting only features that are most relevant to the classification modeling problem. The proposed IDS uses mathematical intersection principle for features selection. Furthermore, GA is used for classifying the network traffic. Authors proposed GA for intrusion detection in

Hosseini and Zade (2020). A wrapper technique is used in the proposed IDS for feature selection in the first phase. In the second phase, attacks are detected using an artificial neural network.

In Patel et al. (2015), authors proposed new hybrid IDS where association rule mining and random particle swarm optimization have been applied for attacks detection. The IDS was applied on NSL-KDD dataset. After detecting an intrusion, IDS applies a recheck frame to define the suspicious nodes. After that, association rule is used to extract the associated values which are passed to the next procedure. Authors proposed new learning machine scheme for intrusion detection in Ali et al. (2018). The parameters for IDS are initialized randomly. PSO is used for optimizing the model. A novel hybrid IDS was proposed in Li et al. (2018) with the purpose of detecting intrusion effectively. Gini index is applied for features selection. Furthermore, the gradient boosted decision tree (GBDT) algorithm is adopted for classifying the traffic of network, and the PSO is used to optimize the parameters of GBDT. Authors proposed new scheme to ensure network security in G et al. (2018). The main concern of the proposed is setting some thresholds on generic based feature selection mechanism for detecting network intrusion. ACO was used to optimize decision tree classifier. Furthermore, ACO was adopted to reduce the data set size and feature selection. ACO was adopted in Alanezi et al. (2013) for data classification. The proposed IDS attempts to classify the network traffic to detect intrusion. The Ant Tree Miner Amyntas classifier was proposed for intrusion detection in Botes et al. (2017). The proposed IDS uses ACO for feature selection from a data set before inducing Decision Trees that classify network traffic. However, it is worth indicating that some of the presented methods neglected the following:

- 1. developing an automated, and reliable method to select the values of the parameters for SVM-based IDS to enable high accuracy detection of intrusions. In the literature, the major drawbacks of SVM is optimizing the parameters of the model. The SVM algorithm usually depends on several parameters. However, the tuning objectives of the model are often conflicting. These conflicting objectives include: margin maximization and error minimization.
- 2. handling the dynamic and complex nature of cyber-attacks in VANET. Unfortunately, more complex attacks are developed and lunched for various purposes.
- 3. controlling the complexity of SVM model using suitable parameters for regularizing the classifier. Reinforcing the regularization of the SVM classifier is challenging problem especially when it is applied for Margin-maximization. Unfortunately, the training the model for SVM-based IDS requires optimization of the regularization and classifier parameters in order to control the risk of overfitting and the complexity of the boundary. Therefore, new regularized term should be added to SVM model in order to control the complexity of the IDS and the generalization performance of the classifier should be reinforced.
- 4. developing new mechanism for escaping from local optima solution for intrusion detection problem. The optimization problem for intrusion detection in VANET is not convex. This makes it difficult to find the optimal solution, whereas an optimization algorithm like SVM light will sometimes converges to a local optimum.
- 5. validating the performance of IDS using NSL-KDD. Some of the presented IDSs used the very old KDD'99 dataset to validate the detection method.

In our work, we have tested the proposed IDS using both datasets (i.e. KDD'99, and NSL-KDD). Furthermore, while we analysis the optimization performance of three ML based algorithms in terms of classification accuracy, most studies neglect the optimization and performance analysis of SVM. In this work, ML-based algorithms are used to look for optimum values for the SVM parameters in all spaces of potential parameters values. For IDS, SVM is simplified by adding new variable to the classification function for controlling the number of generated SVM.

# 3 OPTIMIZING SVM USING ML ALGORITHMS FOR IDS

SVM separates the training set of vectors that represent the incoming traffic as either malicious or normal. IDS assigns each event in VANET to malicious or normal event based on external observation. Suppose that  $x_i \in R^N, 1, ...m$ , are m training data that can be classified into two classes, and  $y_i \in malicious, normal, i = 1, ..., m$ , are their corresponding class label. IDS uses a rule for event classification. The problem of intrusion detection can be expressed as follows:

$$f: x_i \to y_i, i = 1, ..., m \tag{1}$$

Let x be the set of test and training examples. The test and training examples are generated from the same probability distribution P(x, y). Assume the training sample is separable by the following hyperplane function (see Figure 1):

$$(\omega . x) + b = 0 \tag{2}$$

where  $\omega$  is normal to the hyperplane. Figure 1 displays a simple linearly separable case H. H is the optimal separating hyperplane. However, there are an infinite number of these separating hyperplanes. In intrusion detection problem, we have more than one hyperplane which separates the malicious (i.e. positive) from normal (i.e. negative) examples.  $H_1$  and  $H_2$  are called support vector machines which are the closest to the separating line. The margin boundaries can be specified by drawing lines that pass through  $H_1$  and  $H_2$  which are parallel to H Vapnik (2013). The margin is defined as the distance between  $H_1$  and  $H_2$ . IDS based on SVM is optimal if the adopted SVM classifies malicious (i.e. positive) from normal (i.e. negative) examples without error (accuracy rate 100%) and the margin is maximal. The main concern of the detection algorithm of the SVM is maximizing the margin and minimizing the classification error. The margin is computed as follows:

$$M = \frac{2}{\|\omega\|} \tag{3}$$

IDS attempts to minimize the recognition rate by solving the optimization problem:

$$min_{\omega,b} \frac{1}{2} \omega^T \omega + C \sum_{m=1}^{i=1} \xi i$$
 (4)

$$s.t: y_i[\omega^T \phi(x) + b] \ge 1 - \xi_i, \forall_i = 1, ..., m$$
 (5)

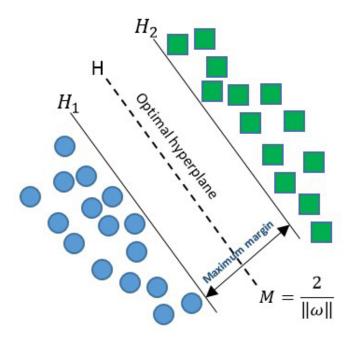


Fig. 1 Optimal Separating Hyperplane

$$\xi_i \ge 0, \forall_i = 1, ..., m \tag{6}$$

where  $\xi i$  is slack variable, and  $\phi(x)$  is a function that map training data into higher-dimensional feature space, which is specified by kernel function K(x,y). The kernel function is evaluated as follows Vapnik (2013):

$$k(x,y) = \phi(x).\phi(y) \tag{7}$$

The Lagrangian for intrusion classification problem is represented as follows Vapnik (2013):

$$\mathcal{L}(W, \infty) = \frac{1}{2}\omega^T \omega + C \sum_{i=1}^m \xi_i - \sum_{i=1}^m \alpha_i \left[ 1 - \xi_i - y_i(\omega, \phi(x_i) - b) \right] - \sum_{i=1}^m \pi_i \xi_i \quad (8)$$

We apply the differentiation theorem to obtain Lagrange Vapnik (2013):

$$f(x) = \rho \sum_{i=1}^{s} \alpha_i \ y_i K(x_i, x) + b \tag{9}$$

where  $x_i$  is one of support vectors,  $\alpha_i$  is corresponding Lagrange multiplier, s is the number of support vectors and  $\rho$  is a step function. This constraint optimization problem can be expressed as follows Vapnik (2013):

$$min |E(\infty^*) - G(\infty, y)| - \epsilon \tag{10}$$

$$s.t: \sum_{i=1}^{s} \propto_{i} y_{i} = 0,$$
  

$$y_{i}(\omega.\phi(x_{i}) - b) \geq 1,$$
  

$$C > \propto_{i}$$

 $\mathcal{E}(\infty^*)$  can be expressed as follows:

$$E(\alpha^*) = \sum_{i=1}^{s} \alpha_i \tag{11}$$

 $G(\infty, y)$  can be expressed as follows:

$$G(\infty, y) = \frac{1}{2} \sum_{i=1}^{s} \alpha_i \alpha_j \ y_i y_j$$
 (12)

For IDS, the main concern is finding the hyperplane < w,b > that maximize margin and minimize classification error as follows Vapnik (2013):

$$\min_{w,\xi,\xi^*,y_1^*,...,y_m^*} \mathcal{L}(w,\infty) = \frac{1}{2}\omega^T \omega + C \sum_{i=1}^m \xi - C^* \sum_{i=1}^m \xi^*$$
 (13)

$$s.t: yi(\omega^T.\phi(x_i) + b) \ge 1 - \xi_i$$
  
 $\xi_i \ge 0,$   
 $y_j^*[(\omega^T.\phi(j) + b)] \ge 1 - \xi_j^*,$   
 $\xi^* \ge 0,$ 

According Eq. (13) classification error is minimized by minimizing the classification errors in both training data set and test set Vapnik (2013). Combinatorial optimization approach is used to find the set of labels that minimize classification errors as follows Hoi et al. (2008):

$$W(\alpha^*) = \sum_{i=1}^{s} \alpha_i - \frac{1}{2} \sum_{i=1}^{s} \alpha_i \alpha_j \ y_i y_j \phi(x_i) . \phi(x_i) (+$$

$$\sum_{i=1}^{s} \alpha_i^* - \frac{1}{2} \sum_{i=1}^{s} \alpha_i^* \alpha_j^* \ y_i^* y_j^* (\phi(x_i^*) . \phi(x_j^*)) -$$

$$\sum_{i=1}^{s} \sum_{i=1}^{s} \alpha_i \alpha_j^* \ y_i y_j (\phi(x_i) . \phi(x_j^*))$$
(14)

$$s.t: 0 \le \infty_i \le C,$$

$$0 \le \infty_i^* \le C^*,$$

$$\sum_{s=1}^{i=1} \infty_j^* y_j^* = 0,$$

It is clear the more number of support vectors leads to more complex decision function. Therefore, our aim is to reduce the number of support vectors by penalizing the increment of the number support vectors. In order to reduce the number of generated support vectors  $\mathbf{s}$ , we propose the following objective function:

$$g(x) = Zf(x) + (1 - Z)s (15)$$

where  $\vartheta$  is a real number with  $0 \le Z \le 1$ .  $\vartheta$  can be expressed as follows:

$$Z = \frac{\vartheta}{\alpha *} \tag{16}$$

with  $\vartheta \leq \alpha *$ 

#### 3.1 PSO for IDS optimization

PSO is a computational method based on the swarm intelligence paradigm, and social behavior of animals. PSO is widely used to solve nonlinear and non-continuous optimization problems Eberhart and Kennedy (1995); Eberhart et al. (2001). Compared with conventional optimization approaches, PSO has less sensitivity to the nature of the objective function. Furthermore, it requires limited number of parameters in contrast with other optimization techniques Eberhart and Kennedy (1995); Eberhart et al. (2001). Particle's position represents a potential solution. The fitness value for each particle is computed using the fitness function. The characteristics for each particle include: position, velocity, and fitness value. Let the feasible solution m -dimensional space, the population of m particles is  $X = [x_1, x_2, \dots, x_m]$ . The position and velocity of the  $i^{th}$  particle are  $P_i = [p_1, p_2, \dots, p_m]$  and  $V_i = [v_1, v_2, \dots, v_m]$ , respectively, where the velocity specifies the direction and distance of  $i^{th}$  particle movement. During each iteration, particles move in the feasible solution space for better position. For  $i^{th}$ particle, the value of objective function  $O(P_i)$  is determined based on the coordinates of the  $i^{th}$  particle in the n-dimensional search space which is a certain solution to the optimization problem Sun et al. (2016); Demidova and Sokolova (2015).

After initializing a group of random particles with position and velocity by PSO, velocity and local best location for each particle are updated at iteration K as follows:

$$V_i(k+1) = V_i(k) + c1R()(P_i^*(k)) + c2R()(P^{GB}(k) - P_i(k))$$
(17)

where  $V_i(k+1)$  is the velocity vector of the ith particle,  $c_1$  and  $c_2$  are personal and global coefficients for ith particle acceleration. They are constant for determining the behavior and effectiveness of the PSO algorithm. R() is random numbers in interval [0, 1],  $P_i$  defining the position of the  $i^{th}$  particle;  $P_i^*$  is the  $i^{th}$  coordinate of the best position vector found by the  $i^{th}$  particle. The particle position is updated using the following equation:

$$P_i(K+1) = P_i(k) + V_i(k1)$$
(18)

The position for each particle is updated. The PSO algorithm is given as follows:

## 3.2 IDS Optimization using ACO algorithm

ACO algorithm was proposed in Karaboga (2005) for optimization of an extreme value. Beside it can converge quickly, ACO requires few parameters for extracting optimal solution. ACO has the advantage of inheriting parallelism and solves

## **Algorithm 1:** PSO Algorithm

```
1 for (i = 1, .....N) do
       P_i = generateRandomPosition();
        v_i = generateRandomVelocity();
 4 end
   fit = bestFit(X);
   globalBest = fit;
   P_{best} = bestPos(X); K = 1;
   while margine > \epsilon \ \mathbf{do}
        for i=1,\ldots,m do
            if (O(P_i) > fit) then
10
                fit = O(P_i);
11
            end
12
13
             P_{best} = bestPos(X); // best position
            if (O(P_i) > globalBest) then
14
                globalBest= O(P_i);
15
16
            end
17
            g_{best} = x_i;
            V_i(k+1) = V_i(k) + c_1 R()(P_i^*(k) - P_i(k)) + c_2 R()(P^G B(k) - P_i(k))
 P_i(k+1) = P_i(k) + V_i(k+1)
18
19
20
        end
        K = K + 1;
21
22 end
```

optimization efficiently Karaboga (2005). ACO algorithm imitates the behavior of a bee colony for gathering high quality nectar. For intrusion detection problem using SVM, the nectar is the optimal separating hyperplane. The fitness function measures the accuracy rate of classifier, where the highest values of fitness function indicates the maximum margin hyperplane. The bee colony consists of three kinds of bees: employed bees, onlooker bees, and scout bees. The employed bees, and onlooker bees become scouts when the quantity of the nectar becomes low. At time t, employed bees generates new solution  $v_t$  (i.e. new food source position) that close to the old solutions, v(t-1) as follows:

$$v_t = v_{t-1} + \varphi(v_t - v_{t-1}) \tag{19}$$

where  $\varphi$  is a random number in the interval [-1,1]. The fitness values of new solutions are calculated as follows:

$$f_t = \begin{cases} \frac{1}{1 + O(v_t)}, if O(v_t) \ge 0\\ 1 + |O(v_t)|, if O(v_t) < 0 \end{cases}$$
 (20)

where  $O(v_t)$  is the objective function for the SVM (i.e. maximizing classification accuracy). In order to improve its solution (i.e. maximizing the margin value M), the onlooker bees select employed bees by using (14).

Each unemployed bee selects an employed bee. The probability of selecting  $i^{th}$  bee is computed as follows:

$$P(i) = \frac{f(i)}{\sum_{N}^{j=1} f(i)}$$
 (21)

where f(i) is the fitness of the  $i^{th}$  employed bee. The ACO algorithm is given as follows:

## **Algorithm 2:** ACO Algorithm

```
Generate randomly N separating hyperplanes
    while (ClassificationAccuracy > Threshold) do
          for (each employee bee) do
                v_t = v_{t-1} + \phi(v_t - v_{t-1})
                f_t = \begin{cases} \frac{1}{1 + O(v_t)}, ifO(v_t) \ge 0\\ 1 + |O(v_t)|, ifO(v_t) < 0 \end{cases}
 5
                SelectBestSolution();
 6
 7
          end
          P(i) = \frac{f(i)}{\sum_{N}^{j=1} f(i)}
 8
          for each onlooker bee do
 9
                Select-Best-Solution();
10
                v_t = v_{t-1} + \phi(v_t - v_{t-1})
11
                      \begin{cases} \frac{1}{1+O(v_t)}, ifO(v_t) \ge 0\\ 1+\left|O(v_t)\right|, ifO(v_t) < 0 \end{cases}
12
                SelectBestSolution();
13
          end
14
          {f if} (there is i employee bee become scout bee) {f then}
15
               Select-new-source-food(i);
16
17
          end
18 end
```

## 3.3 Optimizing classification Accuracy of ID using GA

GA Holland et al. (1992) can be used for solving a nonconvex optimization problem such as optimizing SVM. In our work, genetic algorithm is adopted for SVM optimization where the combinatorial approach is used for this problem. We use GA for searching the best labels of hyperplane that maximizes the margins. GA has several advantages over other optimization techniques. These advantages include:

- Parallel search for optimal solution.
- Derivability or convexity is not required for extracting optimal solution. Furthermore, GA adapts to complex problems.
- GA can search the optimal solution in discreet search space. For SVM optimization problem, the labels of each intrusion is discreet.

In intrusion detection problem, GA is used to find the optimal labels that maximize the margin and minimize the classification error. Each event in VANET should be classified into binary string where 0 represents misbehaved event, and 1 well-behaved event. The value of the objective function defined in Eq. (10) is used to evaluate the quality of each candidate solution in the population. For extracting the optimal solution, the selection operator of the GA executes the following tasks:

- Generating the good solution for each population.
- Producing multiple copies of the good solutions.
- Excluding bad solutions.

A set of chromosomes is selected from the current generation's population for producing the next generation's population. Several techniques have been proposed for carrying out the selection of the next generations. In our work, Tournament selection Goldberg (1989) has been adopted for classifying events in VANET. At

each iteration, the best solution for the current population is selected. Then, optimal selection is determined using the Tournament technique. In order to generate new solutions in GA, crossover operator is used to combine the gens of one individual with those of another to create new solutions from an existing population. The two- and three-points crossover techniques are used in our work to create new solutions. The mutation operator is used to change a chromosome into its opposite. Hence, a new individual is generated in the population. The criterion that enables mutating only the misclassified samples according to the margin is adopted for intrusion detection problem. Therefore, the probability of choosing a gene is set proportional to the corresponding slack variable  $\xi$ . The mutation probability can be expressed as follows:

$$P(m) = P_G \frac{\xi i}{\sum_{j=1}^{N-1} \xi i}$$
 (22)

where  $P_G$  is the probability of selecting the individual solution that owns the gene. Our training scheme attempts to prevent mutation of well classified events. Our scheme starts training the classifier with labeled data for labeling the unlabeled data. If the generated value for  $x_i$  of SVM is large (i.e.  $f(x_i) > 1$ ), the label is kept. The label is chosen randomly for lower value of the predicted label. The chosen heuristics enable GA to generate population that is close to the optimal solution. After that, a new samples of unlabeled data are used to extend the subspace and to optimize the SVM. The GA is repeated until the algorithm converges to a certain value for classification error. The details of GA algorithm are shown in the table below.

# Algorithm 3: GA Algorithm

- 1 Train-SVM-random-samples-data()
- 2 while (ClassificationAccuracy > Threshold) do
- 3 Replace-Population ();
- 4 Select-parents();
- 5 Crossover();
- 6 Get-Best-Solution();
- 7 end

### 4 SIMULATION AND RESULTS

We simulate the driver and VANET activities, the communication activities in the vehicles and RSUs of the VANET based on the NSL-KDD data. NSL-KDD data is the cleaned-up refined version of KDD'99 Tavallaee et al. (2009). It solves some of the inherent problems of the KDD'99 Tavallaee et al. (2009). NSL-KDD contains all records of KDD dataset. The records contain internet traffic that was handled by a real intrusion detection system. Each record has 43 features where 41 of these features referring to the internet traffic and the last two are labels for the event. Each connection was labeled as either normal or attack. SVM classifier is used in the experiments to classify VANET activities into an attack (1) or normal (-1).

Four types of attacks are presented in NS-LKDD. These attacks include: denial of service, probing, unauthorized access to local system administrator privileges, and unauthorized access from a remote machine Dhanabal and Shantharajah (2015). The NSL-KDD dataset passes through pre-processing phase. In pre-processing phase all necessary steps for speeding up the learning were performed. These steps include: transformation of symbolic attributes to numeric values, feature selection, and data normalization. The following criteria are used for evaluating the performance of IDS:

- True Positive (TP): are intrusion events that correctly classified as abnormal.
- False Positive (FP): are the normal traffic that was incorrectly classified as intrusion.
- True Negative (TN): are normal traffic that correctly classified.
- False Negative (FN): are the anomalous events that were incorrectly classified as normal.

The following metrics are used to evaluate the proposed IDS:

 Accuracy rate: it is the number of correct predictions divided by all number predictions made. It is computed as follows:

$$A_r = \frac{TP + TN}{FP + FN + TP + TN} \tag{23}$$

Detection rate: the percentage of events that are correctly classified as attacks.
 It is computed as follows:

$$D_r = \frac{TP}{FP + TP} \tag{24}$$

False Positive Rate: the percentage of normal events that are incorrectly classified as attacks. It is computed as follows:

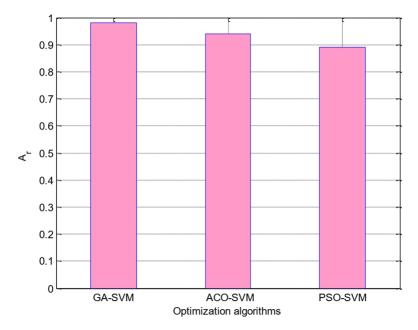
$$FP_r = \frac{FP}{TN + FP} \tag{25}$$

- False Negative Rate: the percentage of positive events that are incorrectly classified as negative. It is computed as follows:

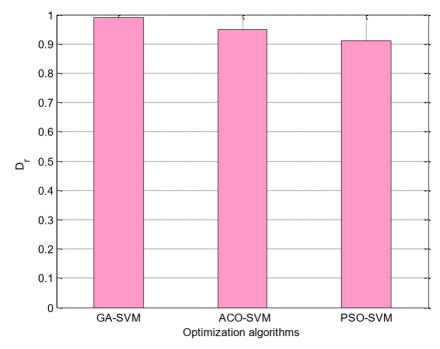
$$FN_r = \frac{FN}{FN + TP} \tag{26}$$

The performance of IDS is evaluated based on its capability of classifying VANET traffic into a correct type. 10-fold cross-validation method is used to avoid the effect of data sampling when evaluating the IDS' performance. The experiments were conducted using repeated 10-fold cross-validation method. In our work, all the results are the average value of outputs from 10 iterations of 10-fold cross-validation approach. Firstly, the dataset set is shuffled randomly and divided into 10 groups. One of the datasets is used for testing and the remaining nine groups are used for training. Then, the model is trained on the nine training datasets. After that, the model is validated using the testing dataset. The process repeated

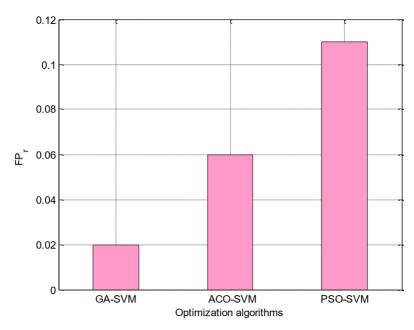
10 times and all the final results reported are the average value of results from 10 iterations of 10-fold cross-validation procedure. Fig. 2 presents a comparison of the achieved rates for the SVM that was optimized with three ML algorithms: GA (GA-SVM), PSO (PSO-SVM), and ACO (ACO-SVM). It is apparent that from Fig. 2 that using GA improves the performance of our IDS by about 4–9%. Clearly, GA-SVM achieves an accuracy rate of 98% which outperforms the other algorithms. In Fig. 3 we have compared the achieved detection rates for the three optimization algorithms. It is seen from the figure that the GA-SVM outperforms the other IDs in term of detection rate. It is apparent that from the figure that use of GA brings up the  $D_r$  to values close to 99%.



 ${\bf Fig.~2~~} {\bf Accuracy~rates~comparison~for~the~three~optimization~algorithms}$ 



 ${\bf Fig.~3}~$  Detection rates for the optimization algorithms



 ${\bf Fig.~4} \ \ {\bf False~positive~rates~for~the~optimization~algorithms}$ 

 $FP_r$  indicates the failure in detecting normal events. In other words, false alarm has been raised.  $FP_r$  is used to describe the failure of detecting normal behaviors of nodes. Fig. 4 presents a comparison of the reported  $FP_r$  for the three optimization algorithms. The GA-SVM technique achieves the least FP% when compared to other IDS.

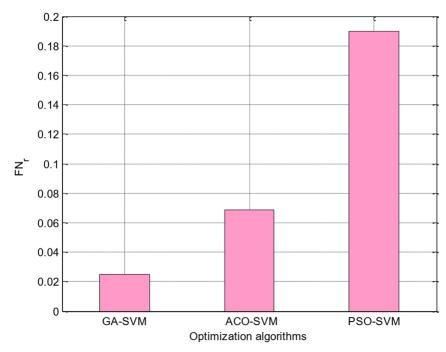


Fig. 5 False negative rates for the three optimization algorithms

False Negative rate  $(FN_r)$  refers to the ratio of positive events such as intrusion which is incorrectly classified as negative. Fig. 5 presents a comparison of the  $FN_r$  for the three algorithms. The GA-SVM achieves the least  $FN_r$  when compared to others algorithms. The experiments illustrate the performance of the proposed IDS. Clearly, the experiments have shown that the proposed classification method has improved the accuracy of the IDS due to the following:

- 1. adding a penalty factor to the IDS's objective function . Penalty function controls the complexity of the IDS. It prevents the SVM from handling infeasible region and improves the generalization ability of the classifier.
- 2. Intrusion detection using SVM classifier is combinatorial optimization problem where classifier attempts to produce the optimal hyperplane which separates the malicious (i.e. positive) from normal (i.e. negative) examples. Therefore, GA outperformed other optimization algorithms because this problem is combinatorial optimization problem. Some heuristics are used to avoid local optimum and infeasible solution. These heuristics include: preventing mutation of well classified events, and mutating solely the misclassified samples according to the margin.

#### 5 CONCLUSION AND FUTURE WORK

Smart vehicles provide a promising technology for ITS in smart cities. With the new capabilities of these smart vehicles equipped with other technological advances such as IoT and cloud computing-based ITS applications play a pivotal role in enabling smart cities around the world. However, smart vehicles communication is more vulnerable to various types of cyber-security attacks due to open wireless medium. In order to enable ITS and to prevent such attacks, we analyzed optimizing SVM using three ML algorithms (GA, ACO, and the PSO) for classifying intrusion in NSL-KDD set. We compared the optimization performance of the three ML algorithms on the SVM in terms of classification accuracy. When compared with the ACO and PSO algorithms, the GA outperform its counterparts in terms of classification accuracy. For the near future, we plan to extend the proposed model to utilize new AI-driven models such as deep learning for optimizing SVM and incorporating real big data collected from vehicular communication for SVM training.

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