- ESC Working Group on e-Cardiology Position Paper: Use of Commercially Available Wearable
   Technology for Heart Rate and Activity Tracking in Primary and Secondary Cardiovascular
   Prevention -
- 4 In collaboration with the European Heart Rhythm Association, European Association of Preventive
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6 Digital Health Committee

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#### 61 ABSTRACT

Commercially available health technologies such as smartphones and smartwatches, activity trackers 62 and eHealth applications, commonly referred to as wearables, are increasingly available and used both 63 64 in the leisure and healthcare sector for pulse and fitness/ activity tracking. The aim of the Position Paper is to identify specific barriers and knowledge gaps for the use of wearables, in particular for heart rate 65 66 and activity tracking, in clinical cardiovascular healthcare to support their implementation into clinical care. The widespread use of heart rate and fitness tracking technologies provides unparalleled 67 opportunities for capturing physiological information from large populations in the community, which 68 has previously only been available in patient populations in the setting of healthcare provision. The 69 70 availability of low-cost and high-volume physiological data from the community also provides unique challenges. While the number of patients meeting healthcare providers with data from wearables is 71 72 rapidly growing, there are at present no clinical guidelines on how and when to use data from wearables in primary and secondary prevention. Technical aspects of heart rate tracking especially during activity 73 74 need to be further validated. How to analyze, translate, and interpret large datasets of information into clinically applicable recommendations needs further consideration. While the current users of wearable 75 technologies tend to be young, healthy and in the higher sociodemographic strata, wearables could 76 potentially have a greater utility in the elderly and higher risk population. Wearables may also provide 77 78 a benefit through increased health awareness, democratization of health data and patient engagement. 79 Use of continuous monitoring may provide opportunities for detection of risk factors and disease development earlier in the causal pathway, which may provide novel applications in both prevention 80 and clinical research. However, wearables may also have potential adverse consequences due to 81 82 unintended modification of behaviour, uncertain use and interpretation of large physiological data, a possible increase in social inequality due to differential access and technological literacy, challenges 83 with regulatory bodies and privacy issues. In the present position paper, current applications as well as 84 specific barriers and gaps in knowledge are identified and discussed in order to support the 85 86 implementation of wearable technologies from gadget-ology into clinical cardiology.

#### 87 INTRODUCTION

The last decade has seen a rapid increase in commercially available health technology such as 88 89 smartphones and smartwatches, activity trackers and eHealth applications, commonly referred to as wearables. The worldwide wearable device sales is expected to reach 520 million units by 2025<sup>1</sup>. 90 91 Additionally, use of technologies capable of collecting physiological data may become even greater with widespread utilization of build-in smartphone sensors such as accelerometers, gyroscopes, video 92 camera, microphones, skin conductance, as well as of other wearable technology<sup>2</sup>. These sensors have 93 the capability of providing readily accessible physiological information at a population level, which was 94 95 previously available only in patient populations in the setting of provision of healthcare. At present, 96 heart rate monitoring and activity tracking are the two most prevalent physiological measurements generally available. Both heart rate and measures of physical fitness are known to be robustly related to 97 cardiovascular disease and longevity<sup>3, 4</sup>. There is a long-standing tradition for remote monitoring in 98 99 cardiology spanning from ambulatory heart rate monitoring to implantable devices such as pacemakers and implantable loop recorders<sup>5</sup>. Physicians are increasingly implementing wearables in their clinical 100 practice<sup>6</sup>. However, how to use and understand the data collected from commercially available 101 102 wearables for primary and secondary cardiovascular prevention is currently unclear, with no guidelines 103 or recommendations in this area.

104 The widespread availability of low-cost and high-volume physiological data from the community 105 provides both unique opportunities and challenges. These issues need to be addressed in order to 106 translate this data into meaningful clinical information on a user, provider, and healthcare system level.

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#### 111 AIMS & SCOPE

112 <u>Aim</u>

113 The aim of the present Position Paper is to identify specific barriers and knowledge gaps for the use of 114 wearables, in particular for heart rate and activity tracking, in clinical cardiovascular healthcare to 115 support their implementation into clinical care.

116 <u>Scope</u>

117 The scope of the present Position Paper, is focused on, but not limited to, use of wearables in primary 118 and secondary prevention. In the current context, *primary prevention* is defined as prevention or delay 119 of developing cardiovascular risk factors in healthy populations. *Secondary prevention* is defined as 120 early cardiovascular disease detection and treatment in populations with known cardiovascular risk 121 factors<sup>7</sup>.

As the area of wearables is increasing exponentially in these years, the present Position Paper aims to provide a framework to constructively move the field forward from consumer products to clinical utility on an individual, health care provider, and healthcare system level (Figure 1).

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#### **133 SECTION 1: TECHNICAL ASPECTS**

Generally, current consumer devices provide heart rate (HR) estimates and heart rhythm information 134 from one-lead electrocardiogram (ECG) or from the photoplethysmogram (PPG). An ECG can be 135 obtained, for example, by chest straps wirelessly connected to a smartphone or smartwatch, or by a 136 finger contact with a smartwatch crown. Using PPG, the sensor can be integrated into the smartphone, 137 138 a wrist bracelet, an armband, or a smartwatch and the HR is estimated from the analysis of the pressure pulse detected by measuring changes in the LED light absorbed by the blood flowing into an artery<sup>8</sup>. 139 140 Other methods are currently under development to estimate HR from precordial vibrations measured 141 with miniaturized accelerometers<sup>9</sup>.

In addition to single HR estimates, an increasing number of wearables enable continuous measurement
 of HR<sup>10</sup> and thereby quantification of more advanced metrics such as HR variability (HRV) indices<sup>11</sup>.

It is challenging to assess the accuracy of HR measurement by consumer devices as published studies 144 present data of different subsets of devices tested through different protocols, applied in different 145 populations, where the accuracy varies based on the subjects' activity and the prevalence of arrythmias. 146 147 Furthermore, the reported accuracy depends on which gold standard was used: for example, in some studies benchmarking was performed using consumer-grade ECG chest straps rather than clinical-grade 148 ECG equipment, producing discordant results<sup>12, 13</sup>. There is a need for standardized protocols and 149 measures for a robust appraisal of the accuracy of these consumer systems as well as for the definition 150 151 of their operational limitations.

- 152 The following general observations can be drawn:
- **153** accuracy differs among devices<sup>14, 15</sup>
- accuracy decreases significantly with increasing activity level<sup>15, 16</sup>

during exercise, PPG from smartwatches tends to be more sensitive to motion artifacts than
 ECG from chest straps<sup>17</sup>.

Only few consumer-grade systems have received FDA clearance or CE mark as personal ECG monitors 157 and irregular rhythm detectors (both from ECG or PPG), but with specific operational constraints and 158 19 evaluation<sup>18,</sup> 159 their ability reliably identify atrial fibrillation is under to 160

In addition, smartphone applications (apps) are also commonly used for HR/rhythm assessment. These 161 apps can measure HR by turning the smartphone into a PPG detector<sup>20</sup>. Although some recent phones 162 have a dedicated PPG sensor, in most cases the phone LED is exploited to illuminate the finger (to be 163 positioned on the rear part of the phone), and the phone camera is used as PPG light-receptor<sup>21</sup>. The 164 performance of HR measurement from a conventional ECG, a finger pulse oximeter and four PPG based 165 smartphone applications have been compared<sup>22</sup>. It has been shown that HR estimates from ECG are well 166 correlated with those from pulse oximetry, and from apps based on a PPG finger-contact measure. An 167 168 additional smartphone-based method relies on a non-contact PPG assessment (a video is made of the subject's face by the smartphone camera and PPG is derived from the changes of the red-color band of 169 the image over time) $^{23}$ . Performances of this technique are found to be significantly lower than those 170 obtained by the contact PPG<sup>21, 24, 25</sup>. 171

Several consumer devices provide quantification of physical activity and posture obtained by the socalled IMUs (Inertial Measurement Unit), i.e. electronic chips including a 3D accelerometer, a 3D gyroscope, and sometimes a magnetometer. While the hardware technology embedded in such devices is mature, the algorithms used to analyze the data are still in their infancy (i.e., distance measurements accuracy depending on speed). Hence, the raw data obtained by the sensors are reliable, but how this information is processed for quantification of a subject's activity and clinical utility needs more research<sup>26</sup>.

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#### 183 GAPS IN KNOWLEDGE

- Standardization of gold-standard to be used in validation protocols; for validation of HR-related
   measures, we recommend the use of clinical ECG equipment; for validation of activity
   measures, we recommend the use of video-camera recordings.
- Exact definition of range of measures and conditions in which the accuracy has been tested
   should be defined (i.e., posture-dependent, range of HR, range of walking speed, subject
   population, and for PPG skin colour, external light conditions, contact pressure).
- The variability (i.e. test-retest reliability), bias and limits of agreement of the measurements
  should be reported.

### 206 SECTION 2: HEART RATE AND ACTIVITY TRACKING FOR PRIMARY AND 207 SECONDARY PREVENTION

#### 208 <u>Resting Heart Rate (RHR)</u>

209 In individuals from the background population without known cardiovascular disease, elevated resting heart rate (RHR) has been shown to be associated with higher blood pressure, higher body mass index, 210 impaired pulmonary function, lower levels of physical activity and with increased subclinical chronic 211 inflammation<sup>27-29</sup>. Although RHR is closely related to VO<sub>2max</sub>, its association with mortality is not 212 explained by poor fitness alone<sup>30</sup>. There is consistent epidemiological evidence of a significant 213 independent relationship between elevated RHR and increased risk of cardiovascular events and 214 mortality in general populations<sup>29-34</sup>. While the majority of epidemiological research is based on single 215 216 measurements of HR, few studies have investigated the association between temporal changes in HR and risk, which could be of greater relevance to wearable technologies<sup>34, 35</sup>. As a result, an increase in 217 HR over time appears to be an indicator of deterioration of health<sup>36</sup>. Increased heart rate at rest has also 218 been found to be associated with adverse events in patient populations such as heart failure<sup>37</sup>, chronic 219 obstructive pulmonary disease<sup>38</sup>, diabetes<sup>39</sup>, and rheumatoid arthritis<sup>40</sup>. Despite the well-established 220 association between elevated HR, cardiovascular risk factors, and risk of cardiovascular disease, there 221 are currently no general recommendations to guide the general public or healthcare providers in this area 222 but also no trials in the general population to show that interventions directed at elevated HR has an 223 effect on clinical outcomes<sup>31</sup>. 224

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#### 226 <u>Heart Rate Variability (HRV)</u>

Beat-by-beat oscillations in RR interval (HRV) reflect the neural regulation on the cardiovascular
system, providing a simple, non-invasive means to explore the complex and dynamic balance between
sympathetic and parasympathetic cardiac neural influences in health and disease.<sup>11, 41</sup> Low HRV is
associated with a number of cardiovascular risk factors, such as diabetes and hypertension, and has been

shown to be associated with a 32-45% increase in the risk of development of a cardiovascular event in
 populations without known prevalent CVD<sup>42</sup>.

The availability of wearable tools to measure HRV (and possibly also by coupling with blood pressure 233 variability)<sup>41</sup> opens new possibilities in risk prediction in secondary prevention. In particular, HRV and 234 baroreflex sensitivity analysis may allow better characterization of cardiovascular neural modulation 235 during sleep in normal and pathological conditions such as sleep apnea or serve as a prognostic tool in 236 patients with established CV diseases. For example, low HRV has been shown to be independently 237 predictive of increased mortality in post- myocardial infarction patients and heart failure patients<sup>43, 44</sup>. 238 However, HRV analysis in clinical practice has never reached a wide utilization due to its limitations in 239 acquisition protocols detecting specific diseases (i.e., a lower HRV could be associated to different 240 causes, as well as unbalanced neural influences). 241

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#### 243 Assessment of daily exercise behavior

244 Improvement of physical activity behavior is an important treatment target in cardiovascular prevention. 245 Numerous physical activity devices are currently commercially available, but their accuracy, however, is differing considerably during walking at normal speed. Moreover, accurate assessment of physical 246 activity at lower speeds than usual walking was shown to be even more challenging.<sup>12</sup> A recent 247 systematic review of consumer-wearable activity trackers indicated a lower validity for assessment of 248 energy expenditure as compared to step counts.<sup>45</sup> Focusing on the cardiac patient population, recent 249 findings also demonstrated a low accuracy and sensitivity for estimating changes in energy expenditure 250 of modern activity trackers.<sup>46, 47</sup> This illustrates the need for elaboration and definition of population-251 specific exercise measurement algorithms. In this regard, it has been shown that the combination of heart 252 rate and accelerometric data enhances device performance on energy expenditure estimation.<sup>48</sup> 253

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256	GAPS	IN KNOWLEDGE
257	•	Clinical utility of heart rate and fitness tracking for monitoring or as a target for intervention
258		need to be determined.
259	•	Recommendations on healthy levels of heart rate at rest and during continuous activity are
260		needed, as well as recommendations for when and how to intervene or refer to specialist care.
261	•	Methods or algorithms for translating data from continuous fitness or heart rate tracking into
262		clinically meaningful information that can be used for primary and secondary prevention are
263		needed.
264	٠	Research on how to interpret data from continuous heart rate and fitness tracking is needed
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#### 276 SECTION 3: WHO WILL BENEFIT FROM WEARABLE TECHNOLOGY?

Wearables, properly selected and adopted, might be useful for both high- and low-risk individuals inallowing the identification of subjects needing further investigation.

The large and easy availability at population level make wearables the ideal technology for identification 279 of early disease or monitoring of existing disease. For example, the use of wearables to objectively 280 monitor physical activity can be of use in primary prevention, as it is well recognized that physical 281 activity is inversely related to cardiovascular risk<sup>49</sup>. In addition, physical activity plays a dual role for 282 patients who have experienced a cardiovascular event, both as part of cardiac rehabilitation, but also as 283 a tool to monitor treatment effects. Physical activity is a dynamic parameter, and the use of wearables 284 in heart failure populations have shown a correlation between decline in physical activity and cognitive 285 decline<sup>50</sup> showing the potential of wearable technologies to monitor disease states and indicate the need 286 287 for intensified medical attention.

The use of wearables as telemonitoring to reduce patient contacts may be beneficial for frail, immobile patients or in times of a pandemic<sup>5</sup>. Dedicated patient populations can use wearable devices for monitoring of disease-specific parameters, e.g. activity in heart failure patients<sup>43</sup>.

Most currently available wearable tools are not ready to be considered medical devices, <sup>46, 51</sup> instead they offer a daily life approach to monitor well-being, such as physical activity in leisure-time or indicating the presence of irregular heartbeats. This can be done over relatively long time periods in a noninvasive manner, a possibility not easily allowed by conventional methods.

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#### 296 <u>Previously undetected arrhythmias</u>

#### 297 Atrial Fibrillation

298 In the large consumer-driven studies of wearables for detection of atrial fibrillation (AF), younger

- individuals dominate the study population, reflecting current ownership and adoption of wearable
- 300 technology <sup>19, 52</sup>. In contrast, AF prevalence and associated risks are mainly driven by increasing age

<sup>53</sup>. The performance of such wearable devices will depend on the prevalence of AF in the population 301 that is studied. Younger participants (<40 years) also experience a larger number of false-positive 302 alerts compared to the elderly <sup>19</sup>, which may unnecessarily increase healthcare costs. In clinical studies 303 focusing on high-risk individuals, much more AF has been detected<sup>54</sup>, enabling stroke protective 304 therapy and suggesting improved cost-effectiveness<sup>55</sup>. For wearables to have an impact on health in 305 the population, the wearers of the devices need to be at risk of an adverse outcome and likely to 306 307 benefit from preventative therapy. The currently recruiting Heartline study (clinicaltrials.gov NCT04276441) aims to enrol 150.000 participants to evaluate if early AF diagnosis reduces the risk of 308 309 thromboembolic events in a real-world setting.

With regard to AF, risk factors for ischemic stroke, such as age or cardiovascular co-morbidities included in the  $CHA_2DS_2$ -VASc score, are generally those that are also associated with increased incidence of  $AF^{56}$ . One would therefore expect that the use of wearables in the population, which is at an *a priori* greater risk for AF and its thromboembolic complications, would be associated with greater diagnostic yield and impact on risk management than indiscriminatory use of the technology in the population dominated by young and healthy (Figure 2).

#### 316 <u>Management of known arrhythmias</u>

317 Wearable technologies have been proven useful, sometimes even beyond their indications for use as a medical device, for monitoring the effects of therapeutic interventions and documenting rhythm 318 disorders underlying typical or atypical symptoms perceived to be caused by arrhythmias.<sup>57</sup> A recent 319 study showed that Apple Watch ECG tracings allowed adequate QT-interval measurements<sup>58</sup> and 320 321 thereby facilitated remote QT monitoring in quarantined outpatients receiving QT-prolonging treatments. However, it should be considered that in de novo classification request to FDA for the ECG 322 app it is stated that "The clinical study did not quantitatively assess the quality of the ECG waveform 323 produced by the ECG App. The ECG produced by the ECG App is not intended for clinical use or as 324 325 the basis for diagnosis or treatment. The ECG waveform is only intended for informational use". In the context of AF management, documentation of cardiac rhythm is pivotal for decision regarding the need 326

327	for re-ablation procedures or self-administration of rhythm-control drugs in situations when pill-in-the		
328	pocket strategy is employed. Nearly two thirds of patients with symptoms suggestive of AF do not have		
329	the arrhythmia, as shown in the studies using implantable loop recorders <sup>59</sup> . Wearables can provide a		
330	comprehensive AF management enhancing teleconsultation during and after a pandemic, like recently		
331	shown in the Telecheck-AF project <sup>60</sup> .		
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333	GAPS IN KNOWLEDGE		
334	• Clinically relevant populations who would particularly benefit from use of wearables devices		
335	for heart rate and fitness monitoring should be defined.		
336	• Barriers, such as cost or technology literacy, should be identified and addressed in order to		
337	facilitate the use of wearables in at-risk populations.		
338	• While the wearable device ideally should be medically approved for clinical use, non-medically		
339	approved devices could contain clinically useful information. A therapeutic decision based on		
340	non-medical devices or off-label use of medical devices should therefore carefully weigh the		
341	source of data, validity of the information as well as clinical context before a clinical decision		
342	is made.		
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#### 353 SECTION 4: WEARABLES - A MEANS TO PATIENT EMPOWERMENT?

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Wearables are opening new avenues for patient engagement in self-management of cardiovascular health and in supporting shared decision making and goal setting. The European Society of Cardiology defines patient engagement as a set of behaviours by which patients take more responsibility for their own health care, and health care professionals take more account of patients' health needs.<sup>61</sup> Wearable technologies may facilitate this process by enabling patients to self-monitor a range of aspects of health, including activity, body weight, heart rate and rhythm, blood pressure, blood glucose, and fatigue.<sup>62</sup> This may also promote dynamic exchange of data with health professionals through visualization.

Visualization of health data has been mainly associated with electronic health records (EHR), gaining widespread adoption in the last two decades. A more recent approach aims to integrate data between EHR and medical devices, wearables and fitness tracking devices (a large number of existing wearables are EHR-compatible and this number is expected to increase exponentially). Mobile integration platforms, such as Google Fit and Apple HealthKit, pool data from multiple health apps and have the potential to integrate it with EHR, promoting visualization.<sup>63</sup>. However, there are concerns on data privacy and third-party utilization that would require further clarification.

One of the most advanced applications of health visualization is building an avatar using health information from a wide range of sources, including wearables. This enables a level of personalization of health that is key in facilitation of behaviour change. Personalization or tailoring is defined as any of a number of methods for creating communications individualized for their receivers.<sup>64</sup> Personalization techniques, such as gamification, rewarding, goal setting, feedback and inter-human interaction maximize the opportunity for personal engagement.<sup>65</sup> Personally controlled data alters power dynamics in health care, improving democratization of health.

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#### 378 GAPS IN KNOWLEDGE

379	- Value-based initiatives to increase patient activation and engagement using wearables are needed.
380	- Studies exploring the ability of a technology to maintain engagement over time (>3 or 6 months).
381	- Tools and methods to characterize patient preference, increase personalization and improve
382	engagement are needed.
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### 397 SECTION 5: WHAT ARE RELEVANT CLINICAL EVENTS OF INTEREST FOR398 PREVENTION USING WEARABLES?

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The use of continuous data using wearables is likely to challenge and expand our traditional way of 400 401 thinking on clinical events of interest. Wearables have the potential to detect early markers of disease in "real-time" or with a close temporal relationship to physiological changes and are therefore particularly 402 403 suited for prevention. The conventional endpoints used for preventive measures and clinical epidemiology typically include all-cause mortality, cause-specific mortality or single or aggregate 404 comorbid endpoints based on administrative registers or other means of sampling information. Other 405 cause-specific endpoints can be used, for instance incident atrial fibrillation or detection of other 406 407 arrythmias. Ideally, a marker of risk should be detected before a traditional endpoint/ clinical event (e.g. manifest hypertension, atrial fibrillation, myocardial infarction, sudden death) occurs. More transient 408 endpoints may be relevant- for instance, markers of physiological stress, and may potentially detect the 409 very early markers of clinical events such as myocardial infarction or stroke. Heart rate monitors would 410 be able to detect increase in heart rate at rest, increase in heart rate during night-time, or other 411 physiological markers. With the introduction of other wearable sensors (e.g. blood glucose), the 412 potential for early detection of disease and risk would increase. Increased resting heart rate has been 413 shown to predict future hypertension<sup>66</sup>, which in turn is associated with increased risk of manifest 414 415 cardiovascular disease. There is currently no recommendations, knowledge or consensus on how to advice individuals or the public in terms of very early markers of risk using wearable technology 416 including heart rate or fitness trackers. 417

Information from wearables may be particularly useful in nudging or educating patients or caregivers about the effects of patient activities, underlying medical conditions and treatments. Ideally, these devices also help to support diagnosis and to tailor treatment strategy. The potential value of this technology is that the feedback loop can be shortened by offering automated input for immediate modification of therapy and behaviour. In this context, the data generated should be diagnostically meaningful, informative regarding the treatment effect and of prognostic value. Wearables may

424	therefore allow a move towards "value-based pricing" (programs/drugs/interventions paid for if they			
425	lead to results) as well as allowing a more holistic assessment of the value of any intervention to that			
426	individual.			
427	GAPS IN KNOWLEDGE			
428	• Clinical endpoints and relevant events of interest need to be defined in the area of continuous			
429	monitoring in cardiovascular prevention.			
430	• Exploration of relevant immediate, intermediate or clinical endpoints are needed.			
431	• Research in the area of continuous heart rate and fitness tracking needs to be explored			
432	particularly for non-classical clinical endpoints such as quality of life and psychosocial factors.			
433	• Early markers of disease should be explored in the area of continuous monitoring.			
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# 445 SECTION 6: ARE THERE POTENTIAL ADVERSE CONSEQUENCES OF WEARABLES?446

447 There are several areas where wearable technology may have adverse consequences, including 448 unintended modification of behavior, unintended creation of big datasets and its misuse, privacy and 449 security issues, challenges facing regulatory bodies regarding safety and data interpretation, and lack of 450 validation when used for health promotion.<sup>67</sup>

Wearables provide feedback on physiological and exercise parameters, giving users an opportunity to modify health behaviours. In a minority of individuals, this may lead to increasing anxiety about health, to device addiction, or to self-diagnosis or even to self-medication or self-management of clinical conditions.<sup>68, 69</sup> Patients could also suffer from negative consequences of excessive self-monitoring by finding it uncomfortable, intrusive, and unpleasant. Wearables may provide false assurances to the patient, with inaccuracy of activity trackers leading individuals to overestimate their level of physical activity, limiting the effectiveness for lifestyle interventions.<sup>70</sup>

Users who buy wearable devices today do not necessarily "own" their data. Instead, the individual's data is usually collected and stored on cloud severs by the manufacturer. This can create a paradox for the user in that they own the device, but not the captured data. The creation of such big datasets derived from an individual's physiological data will have privacy and data storage / security implications, with the potential to expose patients to safety and cybersecurity risks, as has been the case in cardiac electronic implanted devices<sup>71</sup>, having their technology infected with malware and vulnerability to unauthorized access through hacking.

Regulatory bodies do not regulate wearable sensors/ devices designed purely for lifestyle purposes, such as smartwatches that generally promote health and fitness.<sup>72</sup> In contrast, apps with medical purposes (diagnosis, prevention, monitoring, treatment or alleviation of disease) are currently classified as "medical devices" by both the FDA<sup>73</sup> and the European Union, where the new Medical Device Regulation (entering in force starting May 22 2021) will strengthen the rules for obtaining certification. Also, wearable devices are marketed as a means to improve general health and fitness, but manufacturers are not required to provide data to support the accuracy and effectiveness of their products. Furthermore, the use of wearables for cardiovascular health screening may medicalize healthy individuals, resulting in unnecessary medical investigations with possible patient harm and increased cost. False negatives can cause a potentially fatal condition to be missed while false positives can lead to overtreatment and/or anxiety.<sup>74</sup>

Furthermore, wearables may contribute to increasing the health inequalities and inequities in society, where those without access to these technologies (because of economic considerations or digital literacy issues) may become more disadvantaged. However, with decrease in cost of wearables devices and higher penetration of digital literacy this challenge may be attenuated in the near future.

480 Lastly, increased downstream testing and overtreatment with potential increase in cost and patient harm481 is a concern, especially when no clear definitions on indications for treatment or referral are established.

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#### 483 GAPS IN KNOWLEDGE

- Data to show efficacy of wearable devices in improvement of meaningful clinical outcomes
- 485 in asymptomatic patients without clinically manifest cardiovascular diseases
- 486 The occurrence of unintended behavioural changes due to the use of devices and the
  487 resulting adverse clinical events in the population.
- The health economic consequences of wearables should be determined, including benefits
  of early detection and risk of unnecessary downstream testing and overtreatment
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## 493 SECTION 7: DATA SECURITY AND PRIVACY OF HEART RATE AND ACTIVITY 494 TRACKERS IN THE LIGHT OF NEW EUROPEAN LEGISLATION

When dealing with wearable technology in the context of cardiovascular health promotion, knowledgeof the current legislation at EU level is needed.

497 The presence of a privacy policy is often lacking in most current commercially available heart rate and 498 activity tracking technologies. In a review of the most downloaded health and fitness apps, the majority 499 of apps did not have a privacy policy, while 74% of them gathered information classified as "sensitive", 500 sharing the collected data with a third party<sup>75</sup>.

The EU General Data Protection Regulation (GDPR) 2016/679, effective since May 25 2018, has 501 502 extended the concept of personal data to any information (a name, a photo, an email address, bank details, posts on social networking websites, medical information, or a computer IP, or also genetic, 503 504 mental, cultural, economic and social data) related to a natural person or 'Data Subject' that can be used to directly or indirectly identify the person. Also, it has widened its jurisdiction, as it applies to all 505 506 companies processing the personal data of data subjects residing in the EU, regardless of the company's location. In addition, GDPR extends liability from Data controller to all parties that get in touch with 507 508 the personal data, together with the principle to hold and process only the data absolutely necessary for the completion of its duties (data minimization principle), as well as not to change the use of the data 509 from the purpose for which it was originally collected. These changes should be reflected in the consent 510 form that is provided with any tracker or activity app that require the subject to be enrolled in order to 511 512 access the service.

The EU Medical Device Regulation (MDR) 2017/745, which will become effective starting May 26 2021 extends the definition of medical device (any instrument intended by the manufacturer to be used for human beings for the purpose of diagnosis, prevention, monitoring, treatment or alleviation of disease) to prediction and prognosis, thus including all digital health apps that have an intrinsic tendency to collect and evaluate physiological data, including wellness technologies, as well as predictive models,

518	risk calculators, artificial intelligence. This could lead to the qualification as medical device for tools	
519	and software that are nowadays not under this category, as well as to the classification in higher classes	
520	of current class I medical devices, taking into account the intended purpose and the inherent risks <sup>76</sup> .	
521	In particular, software intended to monitor vital physiological parameters (heart rate, blood pressure,	
522	respiration) could be classified as Class IIb, if the nature of variations of those parameters could result	
523	in immediate danger to the patient (depending on patient disease and associated risk).	
524		
525	GAPS IN KNOWLEDGE	
526	Current legislation is not specific for novel technologies, such as wearables, that need different criteria	
527	to be tested, verified, and updated. It is important that professional medical associations such as the ESC	
528	follow the process of creation of new legislation in this field and to inform lawmakers on specific needs	
529	and risks related to healthcare in general and wearable technology in particular.	
530		
531	• It should be established to what extent healthcare professionals should be informed about data	
532	security and privacy of a device / health and fitness app.	
533	• It should be established in what way patients are informed about data storage and transfer to	
534	third parties when using a heart rate and activity tracker.	
535	• Data safety and integration with other health platforms should be addressed.	
536	• The ability of patients to opt out should be verified.	
537	• Standards for accreditation processes should be established to avoid relying on developer self-	
538	certification to ensure adherence to data protection principles.	
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#### 543 SECTION 8: Wearables and the COVID-19 Pandemic

The pandemic of coronavirus disease (COVID-19), a disease caused by severe acute respiratory 544 syndrome coronavirus 2 (SARS-CoV-2), has impacted clinical cardiology practice and the use of digital 545 546 health. Patients with chronic cardiac diseases such as heart failure, arrhythmia, coronary artery disease, and congenital heart defects are traditionally followed including face-to-face contacts during outpatient 547 visits<sup>77-79</sup>. Due to the COVID-19 pandemic, outpatient visits of chronic patients have been replaced by 548 virtual visits to limit disease transmission<sup>80</sup>. Chronic cardiac patients need regular care and are at 549 increased risk of infection with COVID-19 with worse outcome<sup>81</sup>. Wearables should be considered in 550 551 these vulnerable patients to continue regular care<sup>82</sup>, to reduce risk of transmission, and to diagnose COVID-19 infection early<sup>83</sup>. Wearables can also supplement conventional diagnostic testing for public 552 health surveillance to track (asymptomatic) persons who can transmit SARS-CoV-2 to others<sup>84</sup>. 553 554 Wearables certified as medical devices have been shown able to track healthcare workers health status or to measure QT intervals<sup>85</sup>. Zhuo et al. demonstrated that medical and nursing staff with insomnia 555 showed clear signs of comorbid sleep apnea attributable to stress<sup>86</sup>. Wearables can be used to perform 556 monitoring of vital signs such as oxygen saturation, respiratory rate, blood pressure, body temperature, 557 but also pulmonary auscultation, electrocardiograms, and cough monitoring<sup>87</sup>. SpO2 measurement is 558 available as both stand-alone finger oximeters and as smart phone systems, although the accuracy of the 559 latter has been questioned<sup>88</sup>. There are, however, also important challenges on wearables and COVID-560 19. Whereas only a few COVID-19 wearables studies are expected to generate high-quality evidence, 561 the majority of recently initiated studies are expected to have a concerning low level of evidence<sup>89</sup>. A 562 joint decision with the patient (shared decision making) to switch to remote care with wearables is 563 recommended. The many political, economic, and time-consuming barriers could be considered 564 discouraging for a quick introduction of wearables to monitor cardiac diseases in the COVID-19 era. 565 566 However, the COVID era without a doubt has been of enormous importance for the general adoption and clinical implementation of digital health and wearable devices. The rapid initiation could possibly 567 568 lead to the much needed will and decisiveness to create sustainable tools, to arrange for financial compensation, and to perform high-quality clinical outcome studies. 569

#### 570 GAPS IN KNOWLEDGE

571	•	Large-scale evidence of the efficacy of wearables to diagnose and manage COVID-19 in cardiac
572		patients is lacking

- The ideal physiological marker available for wearable technology to monitor, diagnose and
   manage COVID-19 with cardiac diseases need to be determined.
- How to implement these findings from the individual user to a population level relevant for a
  pandemic needs further consideration.

#### 591 CONCLUSION

The introduction of wearables represents an unprecedented situation in primary and secondary prevention of cardiovascular disease in relation to availability of low-cost physiological data, "democratization" of health information, and possibility for early detection of disease or risk factors for disease. There are, however, significant issues and barriers that need to be addressed before wearables can be translated from nice-to-have consumer gadgets to clinical utility in the context of primary and secondary prevention. Health care providers are being presented with information from commercially available wearables with increasing frequency. However, there are presently no concrete guidelines for primary care physician or cardiologist on how to use, interpret or act on information from wearables. Even with the present absence of clinical evidence, the need for guidance is increasing to support the clinician faced with the daily challenges in the management of information from wearables. We encourage the professional associations of the European Society of Cardiology to develop clinical recommendations to guide the cardiologist in their respective fields. The present Position Paper represents a constructive framework for directing future research and policy issues in relation to use of wearables for cardiovascular prevention and the implementation into clinical care. 

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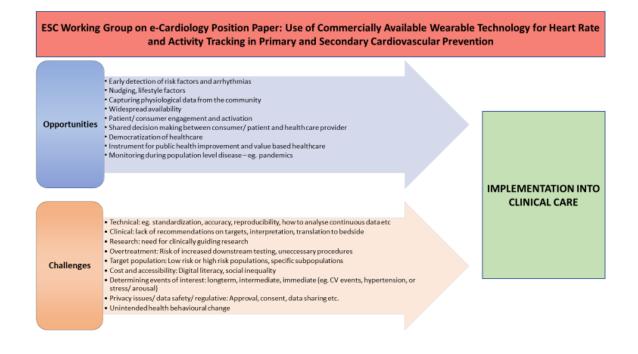
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- 888 Figure 1: Overview of Opportunities and Challenges in the use of Commercially Available Wearables
- 889 for the implementation into clinical care



