1	Arctic soil microbial diversity in a changing world
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4	Aimeric Blaud <sup>1†*</sup> , Thomas Z. Lerch <sup>2</sup> , Gareth K. Phoenix <sup>1</sup> , A. Mark Osborn <sup>1,3</sup>
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7	<sup>1</sup> Department of Animal and Plant Sciences, University of Sheffield, Sheffield S10 2TN, UK
8	<sup>2</sup> Institute of Ecology and Environmental Sciences, Université Paris-Est Créteil, 94010
9	Créteil Cedex, France
10	<sup>3</sup> School of Applied Sciences, RMIT University, PO BOX77, Bundoora VIC3083, Australia
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13	* Corresponding author: A. Blaud
14	Email: aimeric.blaud@gmail.com
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## 26 Abstract

27 The Arctic region is a unique environment, subject to extreme environmental 28 conditions, shaping life therein and contributing to its sensitivity to environmental change. 29 The Arctic is under increasing environmental pressure from anthropogenic activity and global 30 warming. The unique microbial diversity of Arctic regions, that has a critical role in 31 biogeochemical cycling and in the production of greenhouse gases, will be directly affected 32 by and affect, global changes. This article reviews current knowledge and understanding of 33 microbial taxonomic and functional diversity in Arctic soils, the contributions of microbial 34 diversity to ecosystem processes and their responses to environmental change.

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*Keywords:* microbial functional diversity; carbon cycling; nitrogen cycling; soil active layer;
permafrost; global change

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### **39 1. Specificity of Arctic soils and ecosystems**

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41 *1.1. Arctic region* 

42 The Arctic region includes terrestrial, freshwater and marine environments across northern 43 Asia, Europe and North America. The boundary of the Arctic region is often defined by the 44 Arctic Circle (66°32'N), but the boundary can be drawn far below this, when based on 45 climate, marine or terrestrial environments [1]. When based on temperature, the Arctic is 46 often delimited by the 10 °C July isotherm. However, the Arctic exhibits considerable 47 variation in temperature, precipitation and soil characteristics both spatially and temporally. 48 Arctic terrestrial ecosystems are often divided into three different biogeographical zones with 49 a gradient in environmental conditions from north to south: the High Arctic, Low Arctic and 50 Subarctic (Table 1, Fig. 1a) [1], although various alternative classifications exist. The High

Arctic is the northern part of the Arctic region including Greenland, Nunavut Canadian 51 52 islands (i.e. Baffin Island, Parry Islands, Queen Elizabeth Islands and Ellsmere Islands), 53 Russian islands (i.e. Franz Josef Land, New Siberia Islands and part of Novaya Zemlya), 54 Severnaya Zemlya and Svalbard (Fig. 1a). The Low Arctic extends mainly from the Arctic 55 continental coastline to the treeline, while the Subarctic boundary starts from the treeline to 56 the closed-canopy of the boreal forest and the southern limits of permafrost (Fig. 1a) [1]. The 57 difference between these zones is seen in the gradient from the High Arctic to Subarctic with 58 changes in temperature, precipitation and plant cover (Table 1). Hence, the growing season 59 increase from the High Arctic to Subarctic as well the size of plants and plant cover, from 60 bare soil and discontinuous cover to continuous (Table 1). The High Arctic is characterised 61 by Polar deserts, corresponding to areas where annual precipitation is <150 mm and the mean 62 temperature of the warmest month is <10 °C (Polar semi-desert is also used for areas with 63 annual precipitation of 150-250 mm) [2].

64 The Arctic is characterised by cold temperatures, as low as -40 °C in winter 65 (sometimes lower in Siberia) rising to 15 °C (and higher in continental Asia) in summer 66 across the southern Arctic regions [1]. Annual precipitation is low in the Arctic, with less 67 than 500 mm for most of the Arctic with precipitation occurring mostly in the form of snow, increasing from the High Arctic to Subarctic, although the Greenland ice caps (High Arctic) 68 69 receives precipitation >1000 mm (Table 1). Annual solar radiation received in the Arctic 70 represents a third to a half of the radiation received in temperate and equatorial zones [1], 71 although during at least some of the summer period there is 24 h sunlight within the Arctic 72 Circle but also 24 h darkness in some of the winter period. The growing season, which 73 corresponds to the period of growth of plants, varies between 1 and 2.5 months in the High 74 Arctic, while it can last up to a year in the Subarctic [1].

77 Arctic regions harbour a high diversity of soils. Indeed, 75% of the soil groups 78 defined by the World Reference Base (WRB) [2] are present in the Arctic region. From these 79 soils, about 60% represent cold soils (i.e. soils affected by permafrost). Cryosols are the 80 dominant soil group (27%) and are defined as soils in cold regions where permafrost is 81 present, where water occurs mainly in a frozen form, and cryosols are formed under 82 cryogenic processes (e.g. frost heave, freezethaw cycles, cryoturbation) [2]. Permafrost is 83 defined as ground (including soil, rocks, ice and organic material) that remains at or below 0 84 °C for at least two consecutive years. Permafrost comprises 24% of exposed land in the 85 northern hemisphere (excluding areas beneath ice sheets; Fig. 1b) [2]. Most of the permafrost 86 was formed during the past ice ages and is divided into four types: continuous, discontinuous, 87 sporadic and isolated patches. Permafrost is found on land but can also be found below the 88 sea (Fig. 1b). The continuous permafrost corresponds to permafrost occurring everywhere 89 throughout an entire region, while discontinuous permafrost covers between 50 and 90% of 90 area, sporadic permafrost covers 10-50% of area and is surround by unfrozen soil, and 91 isolated permafrost covers only 0-10% [2]. Moving from the High Arctic to the Subarctic, the 92 distribution of continuous permafrost decreases, while discontinuous permafrost increases to 93 finish as sporadic and isolated patches (Fig. 1b). The upper part of Arctic soil, named the 94 "active layer", thaws during summer to a depth of between 20 cm and 150 cm and refreezes 95 each winter, but is not part of the permafrost by definition. The thickness of the active layer in summer depends upon local temperature, ground material, soil water content and plant 96 97 cover, and generally increases in depth from the High Arctic to the Subarctic.

Arctic soils are characterised by a number of key features: multiple soil horizons are often found; low temperatures influenced by air temperature but also by permafrost, snow and plant cover; and soil water contents varying from saturated to dry depending on 101 precipitation, soil drainage characteristics and permafrost (Table 2). Arctic soils are also 102 characterised by large amounts of organic C, which to 3 m depth are estimated to be more 103 than twice the atmospheric C pool [2-4]. Organic C accumulates because plant material is 104 only partially decomposed in Actic soils due to the low soil temperatures, short periods for 105 biological (microbial) activity and sometimes acidic and/or anoxic conditions [2]. Carbon is 106 accumulated near the surface as plant materials are deposited as litter and in deeper soil 107 horizons due to cryogenic processes that move plant material and soluble compounds down 108 toward the permafrost, where a horizon rich in organic matter can be formed. The migration 109 of organic matter can take thousands of years and enable long-term storage of C [2]. Soils 110 affected by permafrost contain potentially ~50% of the global organic C [2, 3]. In contrast, 111 nutrients such as N and P are considered to be in low abundance in Arctic soils, limiting plant 112 production [5-7] and also the activity of microbial communities [8,9].

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## 114 *1.3. A region under increasing pressure from global change*

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116 Arctic environments are facing and will face several threats due to anthropogenic 117 activities. Climate change is predicted to be more pronounced at high latitudes than across 118 other regions on earth [10], in particular with regard to temperature increases, with 119 consequences ranging from decrease in snow cover, glacier retreat, thawing of permafrost 120 and change in plant cover (Table 3). Furthermore, global change will affect soil moisture due 121 to increases in precipitation and changes in hydrological features with the thaw of permafrost 122 and the development of thermokarst landscapes. Atmospheric pollution in Artic regions 123 already leads to increased deposition of compounds and elements, such as N [11] and Hg [12] 124 (Table 3). Atmospheric pollution can originate from localised sources or be transported from 125 lower latitudes [11]. Finally, human activity in the Arctic can have direct effects on the Arctic

126 regions, despite the relative harmony with which indigenous peoples have lived with the 127 Arctic (e.g. increasing pressures from settlements, resource extraction and transport). The 128 number of ships in the Arctic is expected to increase [13] with the Arctic Ocean predicted to 129 be nearly ice-free in the summer by 2050 [14], opening new commercial routes. The 130 reduction of ice on the Ocean, the increase in soil temperature and thaw of permafrost will 131 lead to an increase in petroleum and gas extraction, as well as mining activities (e.g. cobalt, 132 iron ore, nickel, palladium and uranium), which is already important in Alaska, Canada and 133 Russia. All of these disturbances will directly affect Arctic terrestrial ecosystems, with 134 potential increases in microbial activity and changes in microbial diversity due to higher soil 135 temperatures, changes in plant communities, input of nutrients or pollutants and changes in 136 precipitation regimes (Table 3).

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## 138 *1.4 Microbial diversity and changes in methodology.*

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140 Microbial diversity generally referred to the genetic diversity of microorganisms and 141 is defined as the total number of operational taxonomic units (OTUs, richness) and their 142 relative abundance. However, the term "diversity" is often misused in microbial ecology [15]. 143 Throughout the review, the terms richness and relative abundance will be used to clearly 144 identify which part of the diversity is discussed; otherwise the term diversity will refer to 145 both richness and relative abundance. Furthermore, the term microbial community structure will refer to microbial community determined by fingerprinting methods (i.e. when there is 146 147 no information about the OTUs), while microbial gene abundance will refer to the number of 148 genes present in the soil and determined by quantitative-PCR (Q-PCR). The functions of 149 microorganisms can be specifically targeted using genes coding for a part of a process and 150 used as the proxy of the microbial functions. A variety of methods is used to target those

genes, ranging from fingerprinting methods, Sanger sequencing, Q-PCR, metagenomics and also microarray, which have been applied only a few time in the Arctic [16e18]. However, such approach has limitations, mainly because the presence of genes does not reflect the activity of microorganisms [19]. Thus, there is a need to inform microbial functional diversity with direct microbial activity and environmental variables to increase the meaning of functional diversity data. Thus, this review will support microbial diversity data with measurement of microbial activity and environmental variables when possible.

158 The methods to determine microbial community diversity and changes in composition 159 have evolved greatly over the last two decades. The microbial richness was (and still often is) 160 obtained by Sanger sequencing, but does not provide relevant information on microbial 161 relative abundance. The fingerprinting methods, such as DGGE, T-RFLP and ARISA, were 162 methods of choice to determine changes in microbial community structure. However, they do 163 not give any direct information on which microorganisms changed and the richness and 164 evenness of their genetic profiles do not reflect actual changes in soil microbial diversity 165 [20]. Over the past decade, next generation sequencing developed rapidly, allowing much 166 higher resolution than Sanger sequencing to determine microbial diversity. The era of the 167 fingerprinting methods coupled with Sanger sequencing will slowly leave the place to the era 168 of metagenomics (and metatranscriptomics), although it should be noted that fingerprinting 169 methods were found to have similar ability to determine changes in community structure and 170 to reliably relate those changes to environmental variables [21-23] and metagenomics also 171 present some significant technical and conceptual limitations [19]. Studies focussing on soil 172 microbial communities in the Arctic mainly used fingerprinting methods coupled with Sanger 173 sequencing, although the number of studies using metagenomics is rapidly increasing. The 174 use of Q-PCR to quantify the gene abundance in Arctic soil is also increasing. This variability 175 in the methods used to determine changes in microbial community diversity impact overall biological conclusions due to the different resolution of the methods, making it difficult todraw overall conclusions in the present review.

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## 179 2. Ecology of bacteria, archaea and fungi in arctic soils

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181 Until recently, microbial diversity in Arctic soils and more widely in polar regions 182 and high altitude regions, was considered to be low [24,25]. This statement was based on the 183 analogy with plants and animals in which diversity decreases with increases in latitude and 184 altitude. However, recent studies have shown that bacterial community diversity (i.e. richness 185 and relative abundance) in Arctic soils is similar to or higher than in other biomes such as 186 boreal, tropical and temperate forests, grassland, desert or prairie [26-29]. High-throughput 187 DNA sequencing has been used increasingly in recent years to investigate the diversity of 188 bacteria [17,22,29-40], archaea [30,32,35,37,41] and fungi [30,34,36] in Arctic soils.

189 Key findings were that bacterial diversity was found to differ between different 190 ecosystems in the Arctic, with differences between peat and hummock tundra [37] or between 191 type of tundra (e.g. wet sedge vs. dry heath) [39,42]. Similar findings were reported for 192 archaeal community structure and richness across peatlands [43,44]. Within soils, microbial 193 diversity was found to differ and decrease with soil depth or soil horizons within the active 194 layer (from tundras, to peats) for bacteria [32,35-38,45,46], archaea [35] and fungi [36]. 195 Similarly, the abundance of bacteria [36,37], archaea [36,37] and fungi [36,37] was also 196 found to decrease with soil depth/horizons in the active layer of Arctic soils. When the active 197 layer and the permafrost were investigated simultaneously, bacterial, archaeal and fungal 198 diversity were found to differ between the active layer and the permafrost [30,36,37,47,48] 199 with diversity often lower in the permafrost [36,37,49]. Similarly, bacterial, archaeal and 200 fungal abundances were shown to be lower in the permafrost than the active layer [36,37]. 201 All of these changes in structure, diversity and gene abundance were shown to be driven by 202 different environmental factors such as soil pH across Arctic soils [29,50] and across 203 ecosystems [38,39,45,46,51]. Other drivers of the bacterial and fungal diversity were 204 identified including C/N ratio, NH4<sup>+</sup> and N concentrations and plant cover 205 [38,39,42,44,46,52]. Phosphorus content was also found to be a dominant driver (after pH) of 206 bacterial and fungal richness, evenness, composition and phylogeny in Arctic soils [50]. 207 Permafrost constitutes a large part of the terrestrial Arctic and represents a unique habitats for 208 microorganisms, some of which are active at sub-zero temperature (see review on the 209 microbial ecology of permafrost by Jansson and Tas, [53]). Overall, these differences in 210 microbial diversity and abundance with soil depth/horizon, between active layer and 211 permafrost and between ecosystems show high heterogeneity of microbial communities, 212 which are likely to respond differently to global changes in relation to their location within 213 the soil, between ecosystems and over time because of the specific biotic and abiotic drivers 214 found (e.g. between soil horizons).

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## 216 **3. Microbial functions in arctic soils**

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218 Recent studies showed that the richness and gene abundance related to microbial 219 functions in Arctic soil is similar to other biomes, as found for bacterial diversity. Hence, the 220 bacterial communities involved in N fixation, investigated via the N-cycling functional gene 221 *nifH*, in a High Arctic tundra soil were found to be similar to those from a tropical forest in 222 Venezuela and had higher richness than in uncultivated temperate pastures in North America 223 [54]. Similarly, the abundance of bacterial genes nirS, nirK and nosZ related to nitrite and 224 nitrous oxide reduction (during denitrification), respectively, in Arctic soils (including wet 225 sedge meadows and dry heath tundra) was found to be similar to that in other biomes [55]. Thus, it highlights the complexity of microbial functions in Arctic soils. However, despite the increasing number of studies using high-throughput DNA sequencing to reveal bacterial, archaeal and fungal taxonomic diversity, less attention has been given to the diversity of different microbial functional guilds in Arctic soils.

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## 231 *3.1. Methane cycle and hydrocarbon degradation*

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233 The carbon cycle is difficult to study because it cover many different functions 234 involving many genes, such as for the degradation of organic matter in soil. In the Arctic, the 235 main focus of the studies investigating part of the C-cycle is on the production and oxidation 236 of CH<sub>4</sub>, due to the importance of CH<sub>4</sub> as a greenhouse gas and the thaw of permafrost that 237 could lead to methane release driving a positive feedback to climate change [56]. 238 Nevertheless, other studies are interested in the diversity of microorganisms capable of 239 bioremediation of organic (e.g. alkane, toluene) and inorganic pollutants coming from the 240 increasing human activity (e.g. petrol extraction). However, there are many other functions 241 related to the C-cycle which are not discussed here, due to the lack of studies.

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243 *3.1.1. Methanogens* 

Methane is produced by archaea and different substrates are used as the source of energy, dividing the methanogens into different metabolic categories namely: CO<sub>2</sub>-reduction, acetoclastic and hydrogenotrophic. Methanogenic archaea can be investigated via the *mcrA* gene encoding for the methyl coenzymes-M reductase  $\alpha$ -subunit, which is a terminal enzyme catalysing the reduction of methyl group bound to coenzyme-M and ubiquitous of known methanogens [57]. To the best of our knowledge, the diversity of *mcrA* gene in the Arctic was only investigated by two studies that focused on the active layer of peatland (e.g. wet sedge 251 meadows) [57,58]. The richness of mcrA gene in these soils was dominated by 252 Methanobacterium (57%), then by Methosarcina (15%) and Methanosaeta (14%) and to a 253 lesser extent by Methanocella (8.8%) and Methanosphaerula (4.8%; Fig. 2). The community 254 structure of mcrA genes was found to differ between different depths of the active layer of an 255 High Arctic wet sedge meadow (Herschel Island) [57], although the abundance of mcrA gene 256 was found either not to vary or increase with depth of active layer at different sites (Herchel 257 Island, Yukon Coast) [35]. Due to the small number of studies that targeted mcrA gene, the 258 diversity of methanogens found in studies targeting the 16S rRNA gene was also included in 259 this review (Fig. 2).

260 Methanogen diversity based on the 16S rRNA gene was dominated by the newly 261 described phylotype [56] candidatus Methanoflorens (39.9%), then by the genera 262 Methanobacterium (21.6%), Methanosaeta (16.6%), Methanosarcina (9.0%), candidatus 263 Methanoregula (8.5%) and 21 other genera (3.9%; Fig. 2). The newly described phylotype 264 candidatus Methanoflorens was found to be dominant in partially thawed permafrost of peat 265 (palsa, bog and fen) in northern Sweden [56,59], but was also found elsewhere in Arctic peat 266 [43] and permafrost [60]. This phylotype was found to be hydrogenotrophic, where H2 is 267 used as an electron donor to reduce CO<sub>2</sub> into CH<sub>4</sub> and may play an important role in methane 268 emissions in the Arctic, although further studies are required to assess the distribution of this 269 phylotype. *Methanobacterium*, producing methane via the hydrogenotrophic pathway, was 270 also found to be an important genus based on both mcrA and 16S rRNA genes (Fig. 2). 271 Frank-Falhe et al. [35] found that Methanobacterium were more abundant in the top soil of 272 the active layer in High Arctic wet sedge meadow. However, other studies showed that the 273 dominance of *Methanobacterium* in the top soil of the active layer in peats is not consistent 274 [32,56,59]. The order Methanosarcinales, which includes Methanosaeta (obligate 275 acetoclastic) and Methanosarcina (acetoclastic and hydrogrenotrophic), was often found to

276 be highly abundant in Arctic soils [30,32,34,35,61], with the relative abundance of 277 Methanorsarcina found to increase with depth of the active layer in tundra and peat 278 [32,34,35,56,59]. The widespread distribution of *Methanosarcinales* is often explained by 279 their ability to perform methanogenesis using acetate or hydrogen. Methanoregula, found to 280 represent 8.6% of the methanogens in the current survey (Fig. 2), is an acidophilic 281 hydrogenotrophic methanogen and was found in acidic peat in the Arctic [32,34,56,59]. 282 Overall, there is not a specific genus dominating either within a specific ecosystem or soil 283 depth, but there are a small number of methanogenic taxa that could drive methanogen 284 community composition in Arctic soils. It should be acknowledge that such conclusions may 285 reflect the limited number of studies, the different methods used and sites sampled, 286 highlighting the need for further studies. However, the organisms performing the 287 hydrogenotrophic pathway seem to be dominant in term of richness.

288 The methanogens were often found to be more abundant in deeper parts of the active 289 layer than the top soils of peat soils [32,34,56,59]. In the permafrost, the methanogens were 290 found to be more abundant than in the active layer [30,41] correlated with temperature, soil 291 depth, H<sub>2</sub> and CO<sub>2</sub>, or less abundant in the permafrost [17] or even not detected at all in grass 292 and tussock tundra [36,37]. The relatively higher abundance of methanogens in deeper soil 293 was expected because of the production of methane, where higher emissions were found 294 within deeper soils than in the top soil of the active layer [32,57,60]. This is potentially 295 related to the decrease of O<sub>2</sub> and temperature with soil depth, although high CH<sub>4</sub> emissions 296 were also found in the top soil [60]. Similarly, methanogens were found in the active layer of 297 Arctic peat but not in tundra, which was expected because of the CH<sub>4</sub> emissions from peat 298 soils (wet fens) being a source of CH<sub>4</sub>, while tundra heath and shrub tundra soils were sinks 299 of CH<sub>4</sub> [62], highlighting the highly variable distribution of methanogens in Arctic soils and 300 the difficulty in predicting their responses to global changes due to this complexity.

## 302 *3.1.2. Methanotrophs*

303 Methanotrophs use methane as a carbon and energy source via the activity of the 304 methane monooxygenase enzyme. The methane-oxidizing bacteria are divided into three 305 groups: Type I that include the bacterial family Methylococcaceae (Gammaproteobacteria), 306 Type II that include the families Methylocystaceae and Beijerinckiaceae (both 307 Alphaproteobacteria) and a third group within the phylum Verrucomicrobia [63]. The 308 methane monooxygenase enzyme exists in 2 forms. Firstly, the particulate or membrane-309 bound methane monooxygenase present in all methanotrophs except for Methyloferula and 310 *Methylocella* which can be investigated via the *pmoA* gene encoding the  $\alpha$ -subunit of the 311 enzyme. Secondly, the soluble or cytoplasmic methane monooxygenase that is present only in 312 type II except for *Methylococcus* and *Methylomonas*, which can be investigated via the *mmoX* 313 gene encoding the active site subunit of the soluble methane monooxygenase.

314 The *pmoA* diversity in the Arctic was dominated by the genus *Methylocystis* (46%), 315 then Methylobacter (14%) and Methylocapsa (13%) and several genera with relative 316 abundances of below 10%, such as Methylosarcina (6.7%), Methylomonas (5.6%), or 317 Methylococcus (4.6%), Methylocaldium and Methylomicrobium (~1%) and the unclassified 318 Methylococcaceae (8%; Fig. 3). The diversity of pmoA genes was based on studies from peat 319 [57,64,65] and tundra [63,66] active layer soils. The dominance of Methylocystis was found 320 in only two studies [57,65] from acidic peat (pH < 5), but the dominant genera vary for each 321 of the other studies without relationship with either soil pH or ecosystem type, suggesting 322 considerable diversity of methanotroph gene pmoA in Arctic soils. Similarly to pmoA, mmoX 323 gene richness was dominated by Methylocystis (73.7%), but then by unclassified 324 Beijerinckiaceae (24.8%) and Methylomonas (1.5%; Fig. 3), although the result is based on a 325 single study from a Siberian Low Arctic palsa peat soil [65]. The community structure of *pmoA* genes was found to differ between different depths of the active layer of an High Arctic wet sedge meadow [57], while *pmoA* genes abundance overall was not found to decrease with soil depth [35,63] or to decrease at specific locations [35]. The abundance of *pmoA* genes was also found to be higher in the active layer of tundra than peat soils [63] and higher in the active layer than permafrost [17].

331 The diversity of methanotrophs was also investigated via targeting 16S rRNA genes 332 and was dominated by Methylobacterium (20.1%), unclassified Methylocystaceae (18.9%) 333 and Crenothrix (14.2%; Fig. 3). Then, 20 genera comprised the remaining diversity (46.8%), 334 also including the order Methylylacidiphilales (5.1%), the genera Methylotenera (4.9%), 335 Methylibium (4.9%), Methylococcus (3.8%), Methylobacter (3.5%), Methylosinus (3.4%), 336 Methylobacillus (2.8%), Methylomonas (2.8%), Methylocella (2.5%), Methylacidiphylum 337 (2.1%) and 11 genera and 7 unclassified orders/families with relative abundances of below 338 2% (Fig. 3). The richness of methanotrophs in the Arctic was previously found to be low in 339 comparison to other biomes due to the extreme environmental conditions in the Arctic, 340 suggesting their potential vulnerability to environmental changes [64,67]. However, this 341 review shows high richness of methanotrophs, with 23 genera detected, suggesting 342 considerable functional redundancy. The low richness previously found could be partly 343 explained by the methods used to detect methanotrophs, such as cloning and sequencing [67] 344 and DGGE coupled with cloning and sequencing [64].

Type II methanotrophs were most dominant (46.7%), while Type I and other methanotrophs showed similar relative abundances, of 26.7% and 26.6% respectively. The Type I methanotrophs were often reported to be dominant over Type II in the Arctic, based on the number of DNA sequences found [17,64,68,69], or by DNA-SIP studies [66,67], or the number of RNA sequences [34] and their gene abundances [17,66,70]. In contrast, recent studies using next generation sequencing (NGS) showed higher relative abundances of Type

II over Type I [34], often depending on the sampling location [37,56,59,62]. Hence, Type I 351 352 dominated in a peat (pH 6.6), while Type II dominated in heath and shrub tundra site (pH 6) 353 [62]. Type I was found to dominate in sites with Eriophorum angustifolium, while Type II 354 dominated in Sphagnum spp. sites [56,59]. Methane emissions are also directly affected by 355 the plant cover, where ecosystems dominated by sedges have higher emissions because of the 356 stems that channel CH<sub>4</sub> out of the ground, reducing its oxidation [4,71]. At a soil profile 357 scale, Type I were more abundant than Type II in mineral soil horizons in the active layer of 358 a tundra, while Type II dominated in the top soil and buried top soil [37]. This variability in 359 the dominance of Type I vs. Type II may partly be explained by the methods used to assess 360 the diversity (DGGE coupled with cloning sequencing, cloning sequencing, microarray, 361 NGS) that vary in resolution, but also the ecosystems, soil depths and temporality 362 investigated. For example, Yergeau et al. [17] detected Type II in the active layer and permafrost by Q-PCR (~104 and ~103 16S rRNA gene number g<sup>-1</sup> soil, respectively), but 363 364 Type II was not detected in the metagenomics libraries, indicating potential methodological 365 limitations. Type I methanotrophs were found to decrease with soil depths within the active layer of tundra while Type II were constant through the soil profile and outnumbered Type I 366 367 close to the permafrost table [68].

In contrast, other types of methanotrophs were not found to dominate the overall methanotroph diversity, although their relative abundance was often found to be higher than Type I when Type II dominated the relative abundance (data not shown), such as the putative methanotrophic Verrucomicrobia [37]. In conclusion, the diversity of methanotrophs seems to be complex and variable and the dominance of one group (or family/genus) over others is not clear and needs further research to determine the biogeographical patterns and drivers of the diversity of methanotrophy across ecosystems, soil depths and horizons.

#### 376 *3.1.3. Petroleum hydrocarbon degraders*

377 The receding Arctic ice sheet facilitates the intensification of petroleum exploration, production, storage and transportation in the Arctic region, increasing the risk of hydrocarbon 378 379 contamination of soil (Table 3). Decontamination of polluted sites in the Arctic is difficult 380 due to the remote location and harsh environmental conditions. Since a variety of native 381 microorganisms are capable of petroleum degradation [72], bioremediation was proposed as 382 an effective method to reduce pollution of Arctic soils. However, low temperature directly 383 affects the rate of biodegradation, as well as the physical nature and chemical composition of 384 hydrocarbons. The persistence of hydrocarbons in cold soils is less subject to evaporation and 385 photo-oxidation, indicating slow in situ rates of hydrocarbon degradation [73]. It has been 386 shown that some psychrophilic bacteria are still active during winter, with microbial respiration recorded at temperatures as low as -15 °C [49]. However, hydrocarbon 387 degradation is known to occur above 0 °C [74]. 388

389 The identification of microorganisms able to degrade hydrocarbons is based on genes 390 encoding for proteins involved in biodegradation of hydrocarbons as polycyclic aromatic 391 hydrocarbons (PAHs) and volatile aromatics collectively indicated as BTEX (benzene, 392 toluene, ethylbenzene, xylene). Numerous petroleum-degrading bacteria have been isolated 393 and characterised from contaminated polar soils [73]. Genes encoding catabolic enzymes 394 involved in the degradation of representative fractions of petroleum hydrocarbons, including 395 n-alkanes and aromatic and polycyclic aromatic hydrocarbons (PAHs), appear to be 396 widespread in Arctic soils [75,76] and Alaskan sediments [77]. These microorganisms 397 harbour genes encoding hydrocarbon degradation, such as alkB, alkM, alkB1, alkB2, xylE, 398 ndoB, nidA [78].

Alkane hydroxylases play an important role in the microbial degradation of oil,
chlorinated hydrocarbons, fuel additives and many other compounds for C5 to C12 n-alkanes.

401 Alkane monooxygenases catalyse the initial terminal oxidation of the alkane. The alkane 402 monooxygenases have been described for only a small number of bacteria in Arctic systems. 403 For example, *Pseudomonas oleovorans* is known to carry *alkB* involved in C5-C12 n-alkanes 404 degradation [79]. In general, alkane-degradative psychrotrophs contain at least four alkane 405 monooxygenase homologues (Rh alkB1,Rh alkB2,Rh alkB3 and Rh alkB4) [75,76,80]. 406 Acinetobacter sp. strain M-1 contains two alkB-related alkane hydroxylases, named alkMa 407 and *alkMb*, which are differentially regulated depending on the alkane content in the medium 408 [81] alkB, alkM primers sets were used in culture-independent studies to identify [16,82,83] 409 and quantify [31,84] alkB genes and to determine their prevalence in Arctic contaminated 410 soils. An increase in *alkB* gene expression has been observed after contamination with diesel 411 spill in an ex situ experiment including N amendment and soil aeration, while an in situ 412 experiment did not show any increase in *alkB* gene expression [16]. The use of metagenomics 413 methods has also shown that Caulobacter, Pseudomonas and Rhodococcus could be involved 414 in alkane degradation in Arctic soils [31,84].

415 The first step in the microbial degradation of PAHs is the action of the dioxygenase, 416 which incorporates atoms of oxygen at two carbon atoms of a benzene ring of a PAH 417 resulting in the formation of cis-dihydrodiol. Aromatic-ring-hydroxylating dioxygenases 418 generally consist of a terminal dioxygenase (an iron sulphur protein) and a reductase chain, 419 which transfers electrons from NAD(P)H to the terminal dioxygenase. The reduced terminal 420 dioxygenase, catalyses the direct insertion of molecular oxygen into the substrate to form 421 cisarene diols. Eriksson et al. [85] showed that PAH degradation at a low temperature 422 occurred in anaerobic conditions. Phylogenetic studies of amino acid sequences of the 423 proteins involved in the initial oxidative attack of PAHs and BTEX and in their ring cleavage 424 show significant sequence homology, indicating a common ancestry that allowed the design 425 of group-specific primers. In contrast to alkane degradation genes present in the cold-tolerant 426 bacteria described above, the genes used for aromatic degradation by psychrotolerant and 427 psychrophilic bacteria do not appear to differ significantly from those identified in mesophilic 428 isolates. Whyte et al. [86] found that catabolic genes from several aromatic-degrading psychrotolerant strains had homology to those described in mesophilic bacteria (although 429 430 other isolates appeared to have novel genes). For PAH degradation, the main genes used to 431 characterise or quantify biodegradation pathways are *ndoB* and *nidA*, encoding for 432 naphthalene dioxygenase and pyrene dioxygenase, respectively. Bacterial community 433 structure and composition of Arctic soils are known to be influenced by different plant 434 species and diesel contamination. Ferrera-Rodriguez et al. [83] reported that Eriophorum 435 scheuchzeri, Potentilla rubricaulis, Oxyria digyna, Salix arctica and Puccinellia angustata 436 not only modified the abundance of hydrocarbon-degrading bacteria but also their community 437 structure. The community structure of a hydrocarbon degrader was found to differ between 438 the rhizosphere of different plant species [83]. Hence, Puccinellia angustata was found to 439 have a high phytoremediation potential because it was the only species that harboured a 440 rhizosphere containing alkB, ndoB and xylE genes simultaneously [83]. Yergeau et al. [31] 441 found a positive correlation between the abundance of *Pseudomonas* hydrocarbon-degrading 442 genes and soil hydrocarbon content. Correlations between soil hydrocarbon content and 443 Pseudomonas alkB and ndoB genes and Rhodococcus sp alkB1 and alkB2 were also 444 mentioned by Yergeau et al. [31]. The *ndoB* gene encoding the a-subunit of the iron sulphur 445 protein of naphthalene dioxygenase is less widely distributed than *alkB* in the rhizosphere 446 [83]. PAH degradation and horizontal gene transfer between strains have been found in 447 Antarctica [87], but not yet in the Arctic.

448

449 *3.2. Nitrogen cycle* 

The nitrogen cycle is probably the biogeochemical cycle that is most accessible to study due to the well documented number of genes that can be targeted to investigate the different steps of the N-cycle. Nevertheless, only a few studies have assessed their diversity in Arctic soils.

455 The fixation of N can be investigated using the *nifH* gene encoding for the Fe protein 456 subunit of nitrogenase reductase. The bacterial phyla carrying *nifH* genes in Arctic peat [65], 457 tundra soil aggregates [54] and the rhizosphere [88], include unclassified bacteria (68%), 458 Alphaproteobacteria (16%), Gammaproteobacteria and Firmicutes (5%) each) and 459 Betaproteobacteria and Deltaproteobacteria (3% each) (Fig. 4). At the genus level, organisms 460 such as Rhodopseudomonas, Bradyrhizobium were found in shrub tundra [54,88], while in 461 peat, mainly methanotrophic organisms were found, suggesting that methane-oxidizing 462 bacteria play an important role in both C and N-cycles [65]. However, further studies are 463 required to confirm the differences in *nifH* richness between peat and tundra ecosystems. 464 Studies using fingerprinting methods (e.g. RFLP, T-RFLP) showed that different plant 465 species (i.e. Dryas integrifolia, Salix arctica, Cassiope tetragona) [88], different tundra (e.g. 466 sedge meadow, heath or shrub tundra) and different soil depths within the active layer [89] 467 harboured different *nifH* gene community structures, while *nifH* gene abundance was found 468 to decrease with soil depth in a tundra active layer [35], but was higher than in the permafrost 469 [17]. Overall, plant composition, soil characteristics, soil moisture and temperature were 470 identified as the main drivers of *nifH* gene composition [88,89].

471 Nitrification, i.e. the oxidation of  $NH_4^+$  into  $NO_3^-$ , is comprised of two steps: the first 472 step corresponds to the oxidation of  $NH_4^+$  into  $NO_2^-$  (ammonia oxidation) and the second step 473 corresponds to the oxidation of  $NO_2^-$  into  $NO_3^-$  (nitrite oxidation). The oxidation of  $NH_4^+$  into 474  $NO_2^-$  is performed by both bacteria and archaea and is investigated by targeting the *amoA* 475 gene encoding the first subunit of the ammonia monoxygenase enzyme. In the Arctic tundra

476 (shrub, tussock, dry moss tundra) and peat, the *amoA* archaeal genes were found exclusively 477 within the phylum Thaumarchaeota, while at the genus level, Nitrosospharea [79,80], 478 Nitrosotalea [90] were the most dominant archaeal ammonia oxidisers in Arctic tundra 479 [79,80] and peat [79] (Fig. 4). Archaeal amoA genes were found to be more abundant than 480 bacterial amoA genes in Arctic tundra (shrub, tussock, polygons, dry moss tundra) and peat 481 soils [17,35,91], as was previously found for many other biomes [92], although the 482 dominance of archaea was found to be lower in permafrost than in the active layer [17], and 483 bacterial *amoA* gene abundance was also found to be higher for some specific tundra (tussock 484 grass) [82] and fen peat sites [91,93]. The abundance of bacterial and archaeal amoA genes 485 was also found to decrease with soil depth in the active layer [35] and to be lower in the 486 permafrost [17]. Soil moisture, pH and NO<sub>3</sub><sup>-</sup> concentrations were found to be important 487 drivers of archaeal richness [91]. In contrast to archaeal amoA gene diversity, the diversity of 488 bacterial amoA genes or the nxrA gene targeting the second step of nitrification (encoding for 489 the subunit of the nitrite oxidoreductase in *Nitrobacter* species) have not yet been directly 490 investigated in Arctic soils.

491 Denitrification is the anaerobic reduction of  $NO_3^-$  to  $N_2$  through several steps, 492 producing the greenhouse gas N<sub>2</sub>O as an intermediate. The first step of denitrification is the 493 reduction of NO3<sup>-</sup> into NO2<sup>-</sup> and can be targeted via narG (encoding for the catalytic a-494 subunit of the membrane-bound nitrate reductase) and *napA* (encoding for the catalytic 495 subunit of the periplasmic nitrate reductase) genes. In peat soils in the Arctic, narG gene 496 diversity was dominated by Actinobacteria (56.2%), Alphaproteobacterium (38.4%) and in 497 lower relative abundances by Betaproteobacteria (5.1%), Epsilonproteobacteria and 498 unclassified bacteria (~0.1% each) (Fig. 4) [94,95]. However, no diversity data were 499 available for napA genes, although a few metagenomics reads (19 in total) were assigned to 500 napA genes in Arctic peats [34]. narG genes showed similar diversity patterns between 501 cryoturbated (i.e. soil mixed by cryogenic processes in tundra) and non-cryoturbated peat soil 502 but *narG* gene abundance was higher in cryoturbated soil, where higher nitrate-dependent 503 denitrification occurred at higher rates than in non-cryoturbated peat soils [94]. Different 504 *narG* gene diversity were found between the soil 0-20 cm and soil 20 cm e permafrost layers, 505 with higher diversity in upper layers [95].

506 The second step of denitrification is the reduction of NO<sub>2</sub><sup>-</sup> into NO, which is catalysed 507 by two different metalloenzymes, a copper reductase and a cytochrome *cdl*-nitrite reductase 508 which are encoded by *nirK* and *nirS* genes, respectively. The diversity of *nirS* genes in Arctic 509 soil (peat) was dominated by Betaproteobacteria (76%), then Alphaproteobacteria (19%) and 510 unclassified bacteria (5%), while that of nirK genes in peat (same studies as nirS) was 511 dominated by Alphaproteobacteria (98%) and unclassified bacteria (2%) (Fig. 4) [94,95]. The 512 diversity (i.e. Shannon diversity index and species evenness) of *nirK* genes was found to be 513 higher in an Arctic peat soil from 0 to 20 cm than 20 cm-permafrost, while nirS gene 514 diversity was higher in the deeper soils, but the abundance of both genes was higher in the 515 0e20 cm soil, suggesting higher nitrite reductase activity in top soils and their composition 516 different between depths [95]. The nirS and nirK gene richness and evenness (Shannon-517 Weaver index) was found to be lower in cryoturbated than non-cryoturbated peat soils and 518 the *nirS* and *nirK* gene community compositions were different between soils [94].

The third step in denitrification is the reduction of NO into N<sub>2</sub>O, which can be investigated via the *norB* gene encoding for a subunit of the nitric oxide reductase. However, no analysis of *norB* sequences in Arctic soils are reported in the literature, although a few metagenomics reads (14 in total) were assigned to *norB* genes in Arctic peats [34] and lower richness in the nitric oxide reductase community was found in the permafrost than in the active layer [17]. The final step of denitrification is the reduction of N<sub>2</sub>O into N<sub>2</sub>, which can be targeted via the *nosZ* gene encoding for a subunit of nitrous oxide reductase. Similarly to 526 nirK, nosZ gene diversity in Arctic (peat) soils was nearly exclusively dominated by 527 Alphaproteobacteria (99.5%) and then Betaproteobacteria (0.4%) and Gammaproteobacteria 528 (0.1%) (Fig. 4) [94,95]. The diversity and abundance of nosZ genes between different soil 529 depths (0-20 cm vs. 20-permafrost) and between cryoturbated and non-cryoturbated in peat 530 were found to be similar [94,95], while permafrost harboured lower richness of nitrous oxide 531 reductase community [17]. In contrast, the *nosZ* gene community structure (investigated by 532 T-RFLP) differed between different tundra (i.e. sedge meadows, *Cassiope tetragona* heath 533 and shrub tundra), and with soil depth of the active layer of sedge meadow and alkaline shrub 534 tundra, but these differences were not consistent across all the sites [89].

Overall, the diversity of N functional guilds remains poorly understood in Arctic soils, with only seven studies targeting those genes, showing different diversity between the different steps of the N-cycle, but also similarities in their diversity patterns (e.g. between nirK and nosZ genes; Fig. 4) and variability between ecosystems, soil horizons/depths indicating that the microorganisms involved in the different steps of the N cycle are unlikely to respond similarly to environmental/global changes.

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## 542 **4.** Vulnerability of arctic ecosystems to environmental change

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The effects of increases in temperature are typically investigated in situ using open top chambers that increase the air (1-4 °C) and to a lesser extent, top soil (0.7-2 °C) temperatures [9,89,96,97], or ex situ using microcosms incubated at controlled and usually much higher temperature than the climate change models predict (Table 3). More rarely, heating lamps and soil warming cables have been used to simulate warming in Arctic

<sup>544 4.1.</sup> Temperature

551 environments [98]. Soil fertilisation has also been used in field plot or microcosm 552 experiments to simulate higher nutrient availability (due to increased mineralization rates or 553 permafrost thawing and higher plant activity) expected with global warming [99]. However, 554 as for plants [7], experimental studies in the Arctic have shown that fertilisation and warming 555 can have substantially different impacts on microbial communities [9], and findings from 556 fertilisation studies should not be extended to the effects of warming. Hence, fertilisation was 557 shown to have stronger and sometimes antagonistic effects compared to warming on carbon, 558 nitrogen and phosphorus microbial biomass in Subarctic tundra heath (e.g. increase in C 559 microbial biomass with fertilisation and decrease with warming) and the bacterial community 560 structure differed more importantly between tundra soil under open top chambers and 561 fertilisation treatment than with respect to the control plots [9].

562 CO<sub>2</sub> emissions were found to increase with temperature in peat active layers and 563 permafrost [41,100], with addition of acetate increasing emissions at 22 °C in comparison to 564 4 °C in active layer and permafrost, while methanol addition increased emission only in the 565 permafrost [41]. Anaerobic conditions in Arctic peat increased CO<sub>2</sub> emissions at 20 °C but not at -0.5 °C, while anaerobic conditions showed lower CO<sub>2</sub> emissions at 20 °C only [100]. 566 567 The Q10 (i.e. increase of CO<sub>2</sub> emission or carbon mineralisation for a 10 °C increase in 568 temperature) of Arctic soils has been reported to average 1.3, which will translate into a 569 substantial increase in CO<sub>2</sub> emission as the temperature rises in the active layer and 570 permafrost, and is somewhat a conservative estimate as compared to literature values relative 571 to soils from other regions [101]. Increases in temperature often resulted in an increase in 572 CH<sub>4</sub> emissions in the active layer of Arctic soils (peat, polygonal peat), with optimum 573 temperatures of between 20 and 25 °C [41,58,60,61,100,102-104], although some studies 574 have found no effect of increasing temperature on CH4 emissions depending on the location 575 (between peats and between peat and tundra) and coupled with hydrology, soil organic C,

permafrost and vegetation [41,100]. Addition of  $H_2$ ,  $CO_2$  or methanol was found to result in increased CH<sub>4</sub> emissions in the active layer and permafrost of peat or tundra at 15-20 °C in comparison to 4-5 °C (with a stronger effect of  $H_2$  in most peats), while addition of acetate decreased emissions in the active layer and permafrost [41]. Anaerobic conditions were also found to increase CH<sub>4</sub> emissions (while aerobic conditions showed lower emissions) after 30 days of incubation at 20 °C but not at -0.5 °C [100].

582 The methanogenic community was also found to be affected by increases in 583 temperature. Hence, the relative abundance of methanogens increased with temperature in the 584 peat active layer [41,61,102], from 28% to 58% of the overall archaeal diversity at 20 °C in 585 comparison to 5 °C [102]. The diversity of methanogens was found to be affected by 586 temperature increases [41,102], with an increase in relative abundance within the peat active 587 layer of Methanomicrobiales (from 16% at 5 °C to 32% at 20 °C) and Methanosarcina (from 588 2% to 14%), while Methanobacterium decreased (from 10% to 6%) [102]. Methanogen 589 relative abundance was found to increase in the active layer and in permafrost of Arctic peat 590 incubated at 22 °C coupled with acetate, methanol or CO<sub>2</sub> addition, with higher abundance of hydrogenotrophic methanogens, while acetocalstic methanogens were adapted to lower 591 592 temperatures [41], suggesting that different methanogens respond differently to increases in 593 temperature [41,102]. In contrast, thawing of permafrost at 5 °C did not increase the 594 abundance of mcrA genes [30].

Increases in temperature were also found to affect the oxidation of  $CH_4$  and the methanotroph community. Oxidation of  $CH_4$  increased in top soil incubated at room temperature in comparison to 4 °C [66] and in thawing permafrost incubated at 5 °C in comparison to frozen one [30]. Liebner and Wagner [68] showed that the effect of temperature on the potential of methane oxidation varies with soil depth in low-centred icewedge polygons. The highest potential methane oxidation in soil close to the permafrost 601 was found at 4 °C, but not at higher temperatures (12 or 21 °C) or at 0 °C, while in the top 602 soil, the optimum methanotrophic potential was reached at 21 °C, but also showed relatively high methane oxidation at 28, 12, 4 and 0 °C. Hence, the methanotrophic community close to 603 604 the permafrost is likely to be dominated by psychrophiles, while in the top soil, mesophiles 605 and psychrotolerants may dominate. Similarly, thawing of permafrost at 5 °C increased the 606 abundance of Type II methanotrophs and of pmoA genes, confirmed by an increase in the oxidation of CH<sub>4</sub> [30]. The community structure of *pmoA* was also found to be affected by 607 608 incubation of top soil at room temperature in comparison to 4 °C, with the presence of 609 Methylobacter detected only at room temperature; Methylotenera and Methylophilus were 610 mainly detected in samples at room temperature, while Methylosarcina were found at both 611 temperatures [66].

612 Overall, an increase in temperature is expected to increase CH<sub>4</sub> and CO<sub>2</sub> emissions in 613 the Arctic, especially in thawing permafrost, but also to increase the temperature sensitivity 614 of respiration, linked to soil with high C/N releasing more GHG [4,105,106]. However, the 615 balance between sources and sink of GHG remains unclear. Although CH<sub>4</sub> emissions can 616 increase via higher activity of methanogens, it remain unclear how methanotroph activity will 617 be affected and mitigate CH<sub>4</sub> emissions at the same specific location, as such balance will 618 depend on the plant cover, the soil water content (anaerobic/aerobic conditions) and soil 619 properties [4]. Furthermore, effects of an increase in temperature could occur in different 620 phases: a rapid response of microbial communities to changes in temperature from a couple 621 of months to a decade, and then a second phase over a longer period related to the change in 622 vegetation (the "greening" of the Arctic), which will be more productive and provide greater 623 C supply to microbial communities. The potential response of microbial communities and 624 their feedback to GHG is likely to be more complex than a simple increase in activity with 625 temperature, highlighting the need for studies taking into consideration all those parameters.

626 The effects of increases in temperature of the N functional guilds have also been 627 investigated in Arctic regions. Warming experiments using open top chambers showed 628 changes in the community structure of *nifH* genes within the organic horizon of the High 629 Arctic shrub tundra following 5-6 years of warming [96]. At the same location, but at 630 different sites, Walker et al. [89] also found that the structure of *nifH* and, to a lesser extent, 631 of *nosZ*, genes significantly changed following warming within both the upper and lower part 632 of the active layers in the High Arctic tundra (wet sedge meadow, acidic shrub tundra, willow 633 tundra), but the response varied with location (no effect in Cassiope tetragona heath tundra or 634 alkaline shrub tundra) and was more pronounced in wet sedge meadows, with a reduction in 635 complexity of the community structure (i.e. reduction in the richness of T-RFLP profiles). In 636 contrast, 16 years of warming with open top chambers in High Arctic tundra heath did not 637 affect the abundance or community structure of either amoA bacteria or nosZ genes in the 638 upper soil horizon, although the use of a low resolution fingerprint method (DGGE) and the 639 single sampling time point in the upper soil horizon may explain the absence of effects on 640 microbial communities [97]. Microcosm experiments showed that thawing of permafrost at 5 641 °C decreased the abundance of *nifH* genes, while *narG* gene abundance increased with 642 thawing of permafrost, but nosZ gene did not change [30]. Increases in N<sub>2</sub>O emissions and 643 NH4<sup>+</sup> consumption were found only at 20 °C, but not at 4 or 28 °C [91]. Overall, N fixation 644 seems to be negatively affected by warming, while the effect of warming on nitrifiers and 645 denitrifiers showed variability (i.e. presence or absence of effect) which could be related to 646 differences between sites and soil horizons investigated, but also the different increase in 647 temperature simulated either in situ or ex situ.

The effects of increasing temperature have been identified for CH<sub>4</sub> and CO<sub>2</sub> emissions
and, to a lesser extent, for N<sub>2</sub>O, but the effect on microbial diversity remains unclear.
Different communities of methanogens and methanotrophs can respond differently to similar

651 increases in temperature depending on their soil depth, but also to soil organic C, hydrology, 652 plant cover and permafrost [68,100]. Finally, most studies have investigated effects of large 653 temperature increases, up to 30 °C, rather than the smaller increases expected due to climate 654 change. There is a clear need for studies simulating what will happen in situ.

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656 4.2. Soil moisture

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658 Changes in soil moisture are expected due to the increase in precipitation and thaw of 659 permafrost, that could lead to the development of thermokarst landscapes, where higher soil 660 moisture and greater flooded areas are found, when other are drained with lower soil 661 moisture. However, the effects of increased soil moisture on Arctic microbial communities 662 have rarely been directly investigated via the simulation of greater soil moisture, but have 663 more often been assessed indirectly via natural gradients in soil moisture across landscapes. Soil water content was found to be important for the abundance of methanogens within active 664 665 layers of High Arctic tundra, with methanogens and methane emissions present only in soils 666 permanently wet, such as peat, but not present in heath or shrub tundra sites [62,107], while 667 methanotroph abundance tends to be lower in wetlands [62]. Denitrification was found to be 668 controlled by soil moisture and organic C in High Arctic wet sedge meadows and heath 669 tundra soils [55], with positive correlations between a nitrous oxide reductase assay and soil 670 moisture, while at the same site, N2O emissions were found to be insensitive to changes in soil 671 moisture [108]. Abundances of *nosZ* and *nirK* genes were found to be 10 times higher in top 672 soils from wet sedge meadow sites, with soil moisture of ~60% in comparison to sites (bare 673 soil, upland sedges) with a soil moisture of ~20%, while neither nirS nor amoA archaea 674 abundances were affected [108]. In contrast, previously at the same sites, nosZ gene 675 abundance was not found to vary between sites [109] or was found to be more abundant at

676 low soil moisture ~20% [55], while nirS gene abundance was lower at ~60% soil moisture. 677 This indicates variability in the spatial distribution of nitrogen functional guilds and over time, making it difficult to conclude confidently on the effects of soil moisture and hence on 678 679 the effects of increases in precipitation. The amoA bacteria and archaea, nirS, nirK and nosZ 680 genes in top soil were not found to be affected by soil moisture across a natural soil moisture 681 gradient (from tussock grass tundra to fen) in Subarctic Alaska [93]. Addition of water in situ 682 over 16 years increased CO<sub>2</sub> emissions in High Arctic tundra shrub tundra, but did not affect 683 the abundance or structure of *amoA* bacteria and *nosZ* genes in the upper soil horizon [97]. 684 However, use of the low resolution fingerprint method DGGE and the single sampling time 685 point in the upper soil horizon may again explain the absence of effects on microbial 686 communities [97].

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## 688 4.3. Atmospheric and terrestrial pollution

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The effects of N deposition (Table 3) on microbial functional guilds has been rarely investigated and studies have often tended to focus on fertilisation effects, rather than to simulate atmospheric N deposition which typically occurs at lower rates than in fertilization studies, which also often include co-input of P and K. This results in a limited knowledge of the effect of N pollution on microbial communities in Arctic ecosystems.

Among the studies that have taken place in High Arctic shrub tundra, the community structure of *nifH* genes was found not to be affected by 5-6 years of NPK addition (solution 5  $g m^{-2} yr^{-1}$ ) within the organic horizon over the summer [96]. Similarly, the addition, over 16 years, of 10 or 50 g NPK m<sup>-1</sup> yr<sup>-1</sup> (solution of NPK) with or without warming did not affect the structure or abundance of *amoA* bacteria or *nosZ* genes in the upper soil horizon of High Arctic shrub tundra [97]. However, with 10 g NPK m<sup>-1</sup> yr<sup>-1</sup>, the tundra was a source of 701 methane (regardless of warming), while it was a sink for methane for the other treatments 702 (i.e. control, warming, high fertilisation and treatments in interaction) [97]. Similarly, 703 fertilisation was found to increase N<sub>2</sub>O emissions in Subarctic palsa peat active layers, 704 especially with 20 mM NaNO<sub>3</sub> and, to a lesser extent, by 20 mM NH<sub>4</sub>Cl [95]. Fertilisation 705 also dramatically increased N<sub>2</sub>O rates in mesic birch hummock tundra (Canadian low Arctic) in early spring, after 2 years of addition of 10 g NH<sub>4</sub>NO<sub>3</sub><sup>-</sup> m<sup>-2</sup> yr<sup>-1</sup> [110]. The lack of effects 706 707 of N addition on the abundance and structure of N-cycling functional guilds is surprising 708 considering the long-term addition of large amounts of N. However, sampling only in the 709 organic horizon, where larger amounts of nutrient are present in comparison to the mineral 710 horizon or bare soil, coupled with sampling only once or twice in a year, may partly explain 711 the absence of treatment effect.

712 One of the climate feedbacks from Arctic warming is that the thawing of sea ice will 713 inexorably facilitate the exploitation of Arctic resources by man, with petroleum and gas 714 being the most targeted, and the probability that contamination of soil will increase. Mining 715 activities are also important in the Arctic (especially in Alaska, Canada and Russia) including, for example, cobalt, iron ore, nickel, palladium and uranium, and are likely to 716 717 increase. With increasing temperature, the period in which soil temperatures exceed 0 °C 718 should also increase. Thus, we hypothesize that hydrocarbon degradation rates will be 719 enhanced, as has been suggested in studies of warmed soils [111]. However, the literature 720 related to the effect of temperature variation on the remediation of Arctic contaminated soil is 721 scarce. The temperature sensitivity of hydrocarbon mineralisation in Arctic soils is still 722 largely unknown. In an enrichment cultures inoculated with samples from Arctic soils, 723 Eriksson et al. [112] showed that low temperature (7 °C) severely limited PAH 724 biodegradation under aerobic conditions, but not under nitrate-reducing conditions. A study 725 made on a High Arctic permafrost site indicated that without other limiting factors, the active

726 biodegradation period can be extended to about 6 months despite periods with subzero soil 727 temperatures [113]. Among limiting factors, including O<sub>2</sub> depletion or substrate availability, 728 nitrogen has been shown to be a major limiting factor in Arctic bioremediation [111]. For this 729 reason, biostimulation (e.g. addition of nitrogen) is commonly applied to hydrocarbon 730 contaminated polar soils for in situ treatment [73]. This biostimulation may occur naturally 731 with increased N deposition. However, very few studies have been conducted on the effect of 732 nitrogen on hydrocarbon-degrading microorganisms in Arctic soils to date. Using a 15N-733 based DNA-SIP approach, Bell et al. [84] determined which taxonomic groups most readily 734 incorporated nitrogen from monoammonium phosphate added to contaminated and 735 uncontaminated soil. Their results suggest that nitrogen uptake efficiency differs between 736 bacterial groups in contaminated soils. A better understanding of how temperature, nutrient 737 availability and plant interactions in soil affect hydrocarbon degraders should help to improve 738 bioremediation treatments.

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## 740 **5. Conclusions**

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742 The Arctic is a major world biome of high conservation value which plays an 743 important role in regulating the global carbon balance and Earth's climate. Across this biome, 744 microbial taxonomic and functional diversity in Arctic soils has proven to be highly diverse 745 and complex, yet comparable in many ways to that in other biomes despite the harsh 746 environmental conditions. As with other biomes, microbial diversity was found to vary with 747 soil horizons/depths, between ecosystems (e.g. tundra, peat) and with plant cover. 748 Furthermore, an important feature of many Arctic soils, the permafrost, presents a significant 749 potential of microbial functions and diversity, showing that despite the frozen state of 750 permafrost, microbial activity can occur therein. Thawing of permafrost due to global

751 warming will directly affect these communities, especially at the interface of soil active 752 layer/permafrost where thawing takes place. This review has focussed on the most-studied 753 functional guilds in the Arctic, but many other microbial functions require attention, as many 754 other functions/genes have been detected in the Arctic, such as chitinase (*chiA* gene), 755 homoacetogenesis (*fthfs* gene), [FeFe] hydrogenase (*hydA* gene) and sulphate reduction 756 (*dsrA* gene) [17,34].

757 The knowledge of the diversity of microbial functional guilds in Arctic soil and their 758 responses to global change therefore remains limited and further research is needed (Table 4). 759 Some potential threats such as the effect of mercury deposition on microbial diversity are not 760 yet understood. There is also a real need to consider the spatial (vertical and horizontal 761 distribution of microorganisms) and temporal (over the entire year) variations of Arctic 762 microbial diversity and function. The understanding of changes in microbial diversity and 763 function over time is limited, being mainly studied in summer and usually at a single time 764 point. Similarly, there is a need to perform in situ experiments to more closely mimic global 765 changes, across soil horizons/depths/ecosystems and over short (a few days) and long 766 (months-years) periods of time, as different responses of microbial diversity are expected 767 within the vertical and horizontal distribution over time. Additionally, interactions between 768 temperature, hydric regime and pollution require more investigation, at least using laboratory 769 experiments. These future research areas may help to predict the real effect of global change 770 on microbial communities and their subsequent impact on sinks and sources of greenhouse 771 gases in the Arctic.

772

## 773 **Conflict of interest**

The authors declare they have no conflict of interest.

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## 1243 **Table 1**: Description of the three main arctic regions: High Arctic, Low Arctic and Subarctic

	High Arctic	Low Arctic	Subarctic
Limit	Northern part of Arctic region	Arctic continental coastline to the treeline	From the treeline to the upper latitudinal limit of the boreal forest
Growing season	1-2.5 months	3-4 months	3.5-12 months
Temperature	Annual <-15 °C Mean July 4-8 °C	Annual -15 to -10 °C Mean July 4-11 °C	Large fluctuations Annual -20 to 5 °C (even below -20 °C in Siberia, where the average in January is -50 °C)
Precipitation	< 250 mm or between 250- 500 mm (only Greenland ice cap receives > 1000 mm)	< 500 mm and often < 250 mm	Large variations with annual precipitation varying from < 250 mm, up to 750 mm
Plant cover	Large areas of bare soil Discontinuous plant cover Bryophyte and lichen 50- 80%, vascular plant 0-20%	Plant cover increases to 80-100% Decrease in bryophyte and lichen density	Increase in vegetation height due to shrub dominance. Plant cover 100%
Ecosystems	Polar desert <sup>a</sup> and polar semi-desert <sup>b</sup> , tundra,	Increase of tundra area and peatland; decrease in polar semi-desert area	Transition zone between arctic tundra and boreal forest; large areas of wetland

1244 (based on Jones et al. [2]).

<sup>a</sup> Polar deserts are areas where annual precipitation is < 150 mm and the mean temperature of the warmest

1246 month is  $< 10 \ ^{\circ}C$  [2].

<sup>b</sup> Polar semi-desert is also used for areas with annual precipitation of 150-250 mm [2].

	Characteristics	Drivers
Soil horizons	Organic horizon at the soil surface. From few cm in High Arctic to 40 cm in peat (up to several meter in Subarctic) Mineral horizons mix of organic & mineral material	Plant cover determines the presence and the thickness of the organic horizon. Mineral horizon can contain organic matter via cryogenic processes
Temperature	-30 up to nearly 10°C. Soil temperature is directly related to air temperature	Always lower than the air temperature in summer due to the presence of permafrost and insulation from plant cover. Conversely, the soil temperature in winter is substantially warmer than the air temperature due to snow and plant cover which insulates the soil from the air temperature
Water	Arctic soils are: - poorly drained (85 - 90% of the Low Arctic, and some wetlands of High Arctic) - well drained which is common in the High Arctic [1]	<ul> <li>Precipitation</li> <li>Soil draining characteristics: annual runoff in High Arctic soil can reach 80 - 90% over two or three weeks during the snowmelt period leading to extremely low water availability during the growing season [1]</li> <li>Permafrost: barrier to water drainage, source of water from its melting</li> </ul>
Carbon content	Total C (1672 petagrams) described as being more than twice the atmospheric C pool and especially of organic C [3,2]	Input of organic C is low due to small plant inputs from low annual production leading to C- input ranging from 1 – 50 g C m <sup>-2</sup> yr <sup>-1</sup> [1,2]

**Table 2:** Soil characteristics and drivers in Arctic regions.

**Table 3:** Anthropogenic environmental threats to the Arctic regions that could directly affect

# 1251 arctic soils and microbial communities.

	Threats	Consequences
<b>Temperature</b> [14]	Increase of 3-6 °C by 2080 Increases higher in autumn and winter than summer, and lower over land (2-3 °C) Increase in permafrost temperature $(0.5 - 2^{\circ}C)$	<ul> <li>Decrease in overall snow cover</li> <li>Decrease in duration of snow cover by 10-20% by 2050, increasing the summer period</li> <li>Glacier retreat: new land revealed (glacier forefield), release of water and nutrients</li> <li>Thawing of the permafrost: increased depth of active layer, release of organic matter to decomposition, , increase of water (due to the melt of ice) and decrease (due to greater drainage). Increase in therrmokarst landscapes (i.e. formation of depression, mound, lake due to thaw of ice in the permafrost).</li> <li>Change in vegetation diversity, increase in size, root growth deeper, treeline moving northward.</li> </ul>
Precipitation [14]	Increase in precipitation, higher in autumn and winter than in summer, but does not prevent dry periods without precipitation, more precipitation falling as rain rather than snow.	<ul> <li>Increased probability of rain in winter, leading to more frequent ice cover on land</li> <li>Change in soil moisture (both dry and wet conditions)</li> <li>Change in vegetation diversity and increase in size</li> </ul>
Atmospheric pollution	N deposition (1 – 5 kg N ha <sup>-1</sup> yr <sup>-1</sup> across the Arctic) [11] Increasing Hg deposition [12]	<ul> <li>Source of nutrients for biotic activities in this nutrient poor region.</li> <li>Potential to increase in primary productivity</li> <li>Change in plant and microbial activity and diversity</li> <li>Select microorganisms resistant to Hg [114]</li> <li>Change in vegetation diversity and increase in size</li> </ul>
Human activity [10,13]	Increase in ships and aircraft Increase in human presence Increase in mining, hydrocarbon and gas exploration	<ul> <li>Fuel combustion leading to atmospheric deposition, and fuel spillage</li> <li>Direct change/destruction of habitat via settlement development, resource extraction, transport</li> </ul>

**Table 4:** research priorities for the study of microbial communities in arctic soils.

General	Specific
Spatial variability*	The horizontal and vertical distribution of microorganisms should always be taken into consideration. Different soil horizons, rhizosphere, bare soil, plant cover, active layer, permafrost directly influence microbial community and are unlikely to respond similarly to global changes. Not taking into consideration such variability will lead to miss understanding on the effect of global changes and the feedbacks from microorganisms.
Temporal variability*	Too often studies in the Arctic did only one sampling point and nearly exclusively in summer time. However, studies showed that microbial community can change rapidly over few days [52], over season [115,116], and still active in subzero temperature [53]. There is a need to study winter, snow melt period, the summer period throughout the changes in plant activity, and performed multi point sampling over few days and months. There is also a need for long term experiment over years.
<i>In situ</i> multi-factorial experiments	<i>In situ</i> experiments realistically mimicking global changes are crucial to determine effects on microbial diversity and functions. Furthermore, multifactorial experiments ( <b>interactions between temperature, hydric regime, plant cover and pollutions</b> ) are also crucial to gather understanding of the real effect of global change on microbial communities and their subsequent impacts such as on sinks and sources of greenhouse gases in the Arctic.
Going beyond richness to understand biogeochemical cycles	Until recently, most studies focused on the microbial richness due to methodological limitations. Thus, there is a need for full diversity data (i.e. richness and relative abundance) and their interactions. These data should be directly link to spatial and temporal variability and environmental variables as well as with microbial activity to directly understand their effect on biogeochemical cycles.
Plant-microorganisms interactions	Global changes directly affect plants distribution and activity, with for example the northward move of the treeline. Plant cover has a direct effect on microbial community [39]. There is a need to understand how the changes of plant community will affect (and be affected by) microbial communities and how this will affect biogeochemical cycles (see Opinion article from Wullschleger et al [117]).
Functional diversity and threats	This review has focused on the most-studied functional guilds in the Arctic, but many other microbial functions requires attention, as many other functions/genes have been detected in the Arctic, [16,18,34]. Furthermore, some potential threats such as the effect of mercury deposition and other heavy metals, or mining on microbial diversity are not yet understood.
* Spatial and temporal varia	ability should always been takin into consideration, and such approach in microbial

1255 ecology is fundamental for any biomes [19].



Fig. 1. Maps a) of the three ecological zones in the Arctic region, based on floristic data
(AMAP [1,118]), and b) of the distribution of permafrost in the Northern Hemisphere
(AMAP [14]).





1262 Fig. 2. Overall methanogen taxon composition in arctic soils. The relative abundances of 1263 methanogen genera is shown, based on sequences from published studies in the Arctic and 1264 investigating mcrA genes (encoding for the methyl coenzymes-M reductase  $\alpha$ -subunit) and 1265 16S rRNA genes (including only methanogen related sequences). The mcrA gene diversity 1266 was based on 125 sequences from the active layer of peat soils [58,57]. 16S rRNA gene 1267 diversity was based on 103,379 sequences originating mainly from active layers of peat soils [34,43,44,56,58–61,102,107] but also the tundra active layer [42], and permafrost [119,120]. 1268 1269 Note that the diversity of methanogens based on the 16S rRNA gene is dominated by studies 1270 using next generation sequencing [34,56,59,62].



1272 Fig. 3. Overall methanotroph composition in arctic soils. The relative abundances of genera 1273 (otherwise order or family when sequences not assigned to a genus) composition of 1274 methanotrophs is shown, based on sequences from published studies in the Arctic and 1275 investigating *pmoA* genes (encoding the  $\alpha$ -subunit of the methane monoxygenase), *mmoX* 1276 genes (encoding the active-site subunit of the soluble methane monooxygenase) and 16S 1277 rRNA genes (including only methanotroph related sequences). The *pmoA* gene diversity was 1278 based on 522 sequences from the active layer of peat soils [57,64,66,121] and tundra [63]. 1279 The *mmoX* gene diversity was based on 133 sequences from a single study investigating the active layer of peat soils [121]. The 16S rRNA gene diversity was based on 25,517 sequences 1280 1281 originating from active layers of peat soils [34,56,59,62,66,67,121,122] but also tundra active 1282 layers [62]. Note that the diversity of methanogens based on the 16S rRNA gene is dominated 1283 by studies using next generation sequencing [34,56,59,62].

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**Fig. 4.** Overall phylum and class (Proteobacteria) - level composition of nitrogen-cycling genes in arctic soils. The relative abundances of different phyla and/or classes of the Nfunctional genes is shown based on sequences from published studies in the Arctic. *nifH: nitrogen* fixation (133 sequences) [54,88,121], *amoA* archaea: ammonia oxidizing archaea (4,476 sequences) [90,91], *narG:* reduction of NO<sub>3</sub><sup>-</sup> into NO<sub>2</sub><sup>-</sup> (16,145 sequences) [94,95], *nirS*: reduction of NO<sub>2</sub><sup>-</sup> into NO (4,145 sequences) [94,95], *nirK* reduction of NO<sub>2</sub><sup>-</sup> into NO (34,018 sequences) [94,95], *nosZ*: reduction of N<sub>2</sub>O into N<sub>2</sub> (17,233 sequences) [84,85].