

Marshall University

**Marshall Digital Scholar**

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Librarian Research

Libraries and Online Learning

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4-9-2021

## **Establishing an Open Access Publication Policy**

Larry Sheret

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# Establishing an Open Access Publication Policy

Larry Sheret  
Marshall University

April 9, 2021  
West Virginia Library Association Spring Fling



John Marshall  
Chief Justice of the United States (1801-35)

# Marshall Digital Scholar

Scholarship, Publishing, and Preservation

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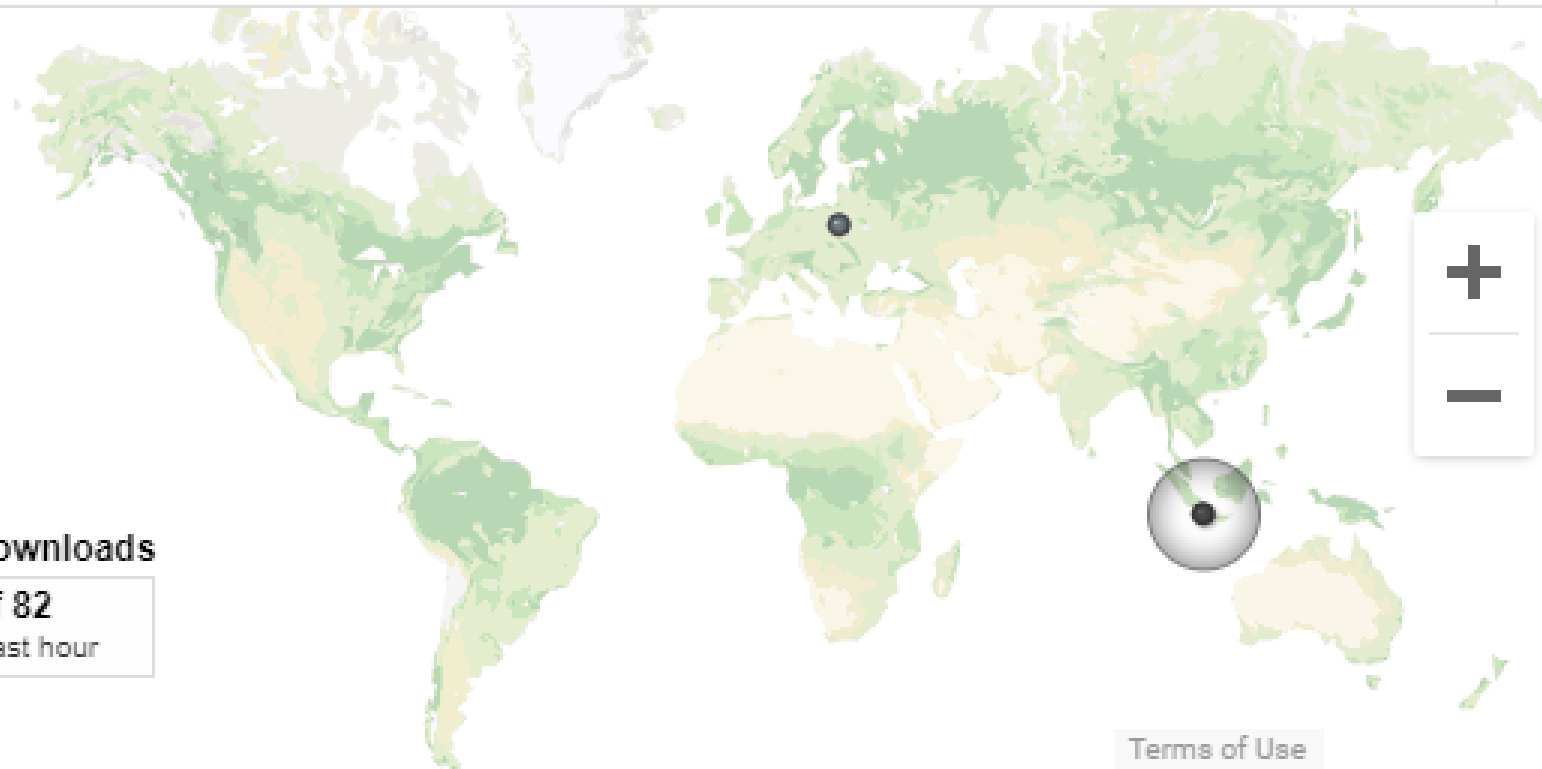
Marshall University faculty and staff, if you would like to have your scholarship or research loaded to Marshall Digital Scholar, [click here](#).

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## The Innovative Success that is Apple, Inc.

Katherine Johnson, Yang Li, Hang Phan, Jason Singer, Hoang Trinh

*Theses, Dissertations and Capstones*



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20,399  
Total Papers

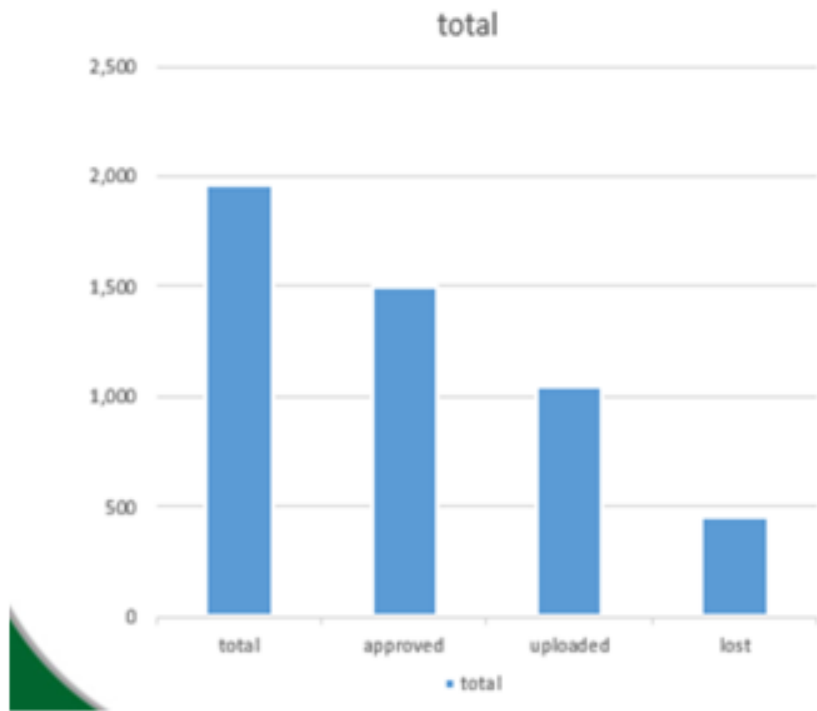
3,015,087  
Total Downloads

602,175  
Downloads in the past year

# Stats for January 2012 thru January 2018

## Copyright Clearance:

- ✓ Library provides copyright clearance services
- ✓ Issue: publishers approved 75% of our requests but we were only able to load 2/3rds of these to MDS because **authors had not retained their peer-reviewed manuscripts.**



## Solution:

### Adopt an Open Access Publication Policy

- ✓ Faculty participation rate: 25% → 100%
- ✓ The total items could be increased 3 to 4 times more
- ✓ 100% of publisher approvals will be uploaded into MDS

# **LFO Committee Meeting Minutes**

## **February 20th, 2017**

The library faculty organization fully supports the adoption of an open access publication policy.

The matter will be sent to the Faculty Senate Library Committee for further study with a view to passage by the Faculty Senate.

## **University of Washington Libraries implement open access policy**

As of September 1, 2017, librarians at the University of Washington (UW) will publish their research guided by an open access policy. The new policy promotes the visibility and accessibility of their scholarly work and makes their research freely available to users. The policy, adopted in May 2017, was inspired by current university discussions regarding an open access policy for UW faculty as well as the University Libraries mission “to advance intellectual discovery and enrich the quality of life by connecting people with knowledge.”

The Library Faculty  
Organization (LFO) adopts an  
Open Access Publication Policy  
for its own members in January  
2018.





**Marshall University**  
**School of Physical Therapy**

# Strategic Priorities and Goals 2018

- 4.2- Immediate Priority
- Participate in the MU initiative for Open Access Publishing  
Dr. Mehta/Research CMMTE
- Formalize and determine parameters for Candidate Interviews  
Dr. Chiu/Admissions CMMTE
- Facilitate the increase in National Ranking of the MU SOPT

Council of Chairs votes to give its support to  
an open access publication policy for  
Marshall University  
Fall 2018

It is our recommendation to the Faculty Senate  
that Marshall University adopt an Open Access  
Publication Policy

The Faculty Senate establishes an *ad hoc*  
Committee to study the proposal  
March 21, 2019

# Open Access Publication Policy *ad hoc* Committee convened December 2019

College of Pharmacy: Dr. Ruhul Amin

College of Health Professions: Dr. Saurabh Mehta

School of Medicine: Dr. Louise Risher

College of Education and Professional Development: Dr. Eugenia Webb-Damron

College of Arts and Media: Dr. Vicki Stroehrer

Lewis College of Business: Dr. Alberto Coustasse

College of Liberal Arts: Dr. Robert Ellison

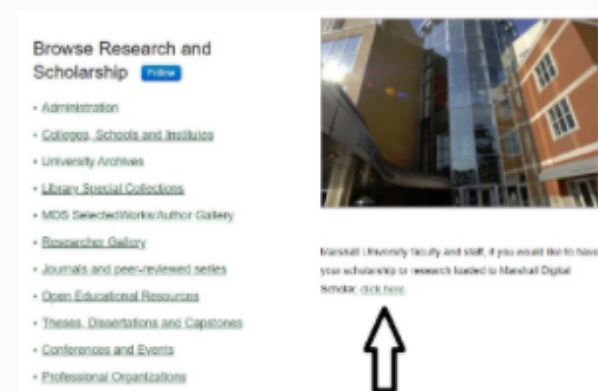
College of Science: Dr. Michael Castellani

College of Information Technology and Engineering: Dr. Sarah Surber

Library: Larry Sheret, MA

## What is Marshall Digital Scholar (MDS)?

MDS is Marshall University's open access institutional repository, which provides free access to our faculty research publications at <https://mds.marshall.edu>



The arrow points to the link to upload your articles to MDS.

## Who Should Submit a Manuscript to MDS?

The author. When there are multiple authors, the lead author, the principal researcher, or the corresponding author. The lead author is normally listed first in the citation and the principal researcher is frequently listed last. However, any author may submit a manuscript and is encouraged to do so.

## What Manuscript is to be Uploaded?

The *Author's Accepted Manuscript (AAM)* is the version accepted for publication in a journal following peer review but prior to copyediting and typesetting. Here is the link to a sample Author's Accepted Manuscript: [Prenatal Opioid Maintenance in the U.S. and its Effect on Neo-Natal Abstinence Syndrome: The Case of West Virginia's Opioid Epidemic \(marshall.edu\)](#)

## When is the Manuscript to be Uploaded and Where is the Upload Link?

*AAMs may be deposited in the repository immediately on acceptance.* The upload link is directly below the slide carousel on the MDS homepage at <https://mds.marshall.edu>

## Is this legal?

Yes. In fact, most publishers encourage authors to post their AAMs in an open access repository along with a link to the published version of record as long as the manuscript does not become visible to the public until after the embargo period has been completed. Some grant funding agencies require it!

## Are there not copyright restrictions that prohibit this?

OAPP was passed by the Faculty Senate 11-12-2020  
Approved by the Faculty Senate President 11-17-2020  
Approved by Marshall University President 11-19-2020  
To be added to the Faculty Handbook

Open Access Publication Policy ad hoc Committee  
RECOMMENDATION

SR 20-21-10 OAPP

Marshall University requests faculty members upload their final peer-reviewed research to Marshall Digital Archive (MDA) as soon as it is accepted for publication, or as soon as it is published. By December of each year, Deane will send an email to remind their faculty to upload the pre-published, pre-reviewed manuscripts of articles accepted for publication, or published during that year. FAQ: <https://digital.marshall.edu/oapp>

**RATIONALE:**

The outcome of this policy, if adopted, are greater visibility and recognition of Marshall University's status as an R1 research institution, increased discoverability and accessibility of MU's research output, greater impact factors for faculty research due to increased download counts and citations, greater workflow efficiency in placing articles into MDA and actualization of the latent potential of our institutional repository.

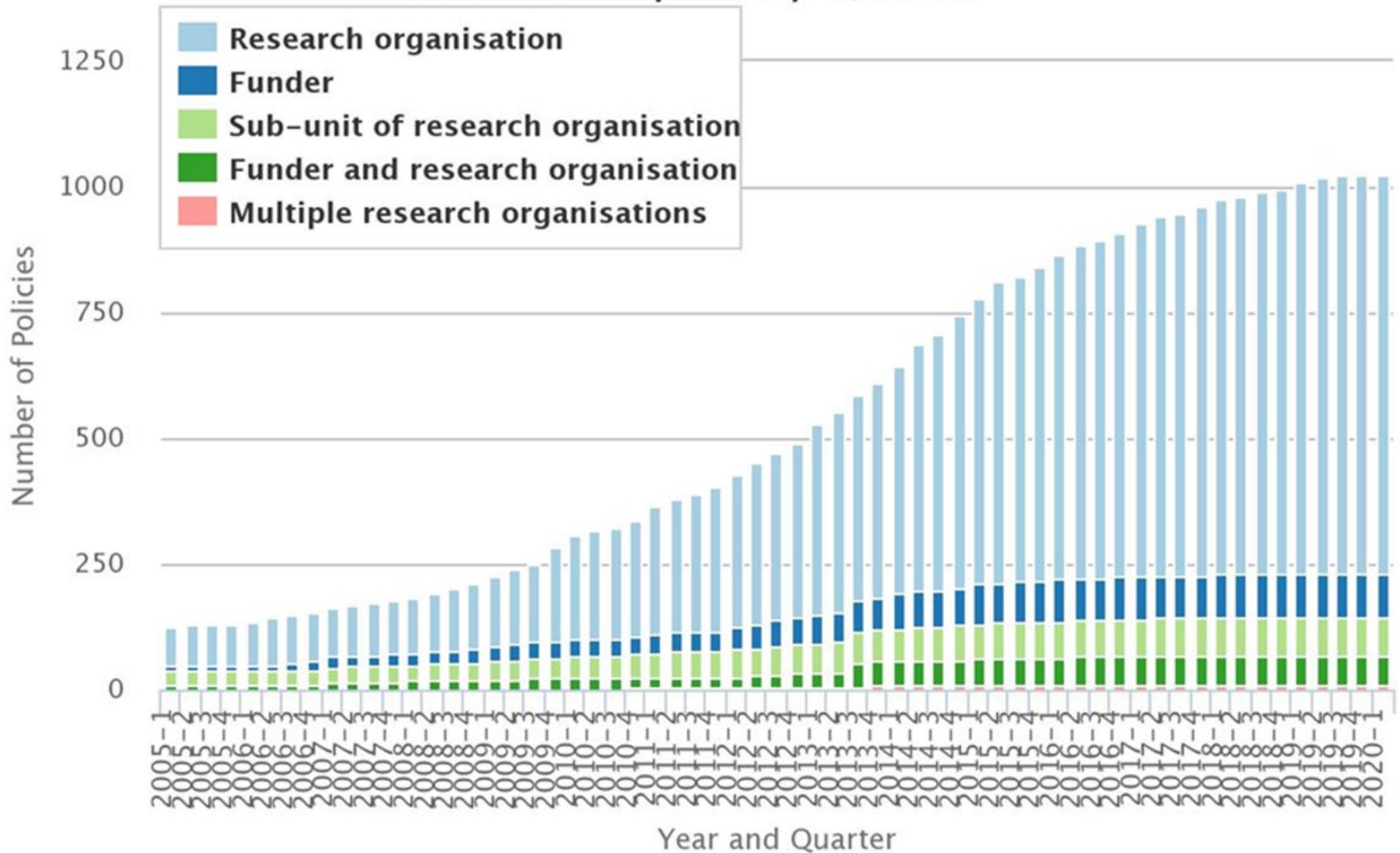
More information may be found at <https://digital.marshall.edu/oapp>.

**FACULTY SENATE CHAIR:**  
APPROVED BY THE FACULTY SENATE: \_\_\_\_\_ DATE: 11/17/20  
DISAPPROVED BY THE FACULTY SENATE: \_\_\_\_\_ DATE: \_\_\_\_\_

**UNIVERSITY PRESIDENT:**  
APPROVED: \_\_\_\_\_ DATE: 11-19-2020  
DISAPPROVED: \_\_\_\_\_ DATE: \_\_\_\_\_

COMMENTS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

# Policies Adopted by Quarter





# ROARMAP

Registry of Open Access Repository Mandates and Policies

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Item has been deposited.



Your item will not appear on the public website until it has been checked by an editor.

This item is in review. It will not appear in the repository until it has been checked by an editor.

Marshall University requests that faculty participate in an effort to increase the discoverability and accessibility of their research by participating in Marshall Digital Scholar (MDS). We request that you upload your final peer-reviewed research to MDS



John Marshall  
Chief Justice of the United States (1801-35)

# Marshall Digital Scholar

Scholarship, Publishing, and Preservation

### Open Access Publication Policy

**Faculty Senate approved the Open Access Publication Policy on Nov. 12, 2020 and President Gilbert approved it on Nov. 19, 2020:** Marshall University requests faculty members upload their final peer-reviewed research to Marshall Digital Scholar (MDS) as soon as it is *accepted* for publication, or as soon as it is *published*. In December of each year, Deans will send an email to remind their faculty to upload the pre-published, peer-reviewed manuscripts of articles accepted for publication, or published during that year. FAQ <https://libguides.marshall.edu/oapp>

#### Rationale for the policy:

The outcomes of this policy are *greater visibility and recognition* of Marshall University's status as an R-2 research institution, *increased discoverability and accessibility* of MU's research output, *greater impact* factors for faculty research due to increased download counts and citations, *greater workflow efficiency* in placing articles into MDS, and *actualization of the latent potential* of our institutional repository.

### FAQ

#### What is Marshall Digital Scholar (MDS)?

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#### Browse Research and Scholarship

- Administration
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